# Memory Fusion Network for Multi-View Sequential Learning

### **Amir Zadeh**

Carnegie Mellon University, USA abagherz@cs.cmu.edu

## Soujanya Poria

NTU, Singapore sporia@ntu.edu.sg

### Paul Pu Liang

Carnegie Mellon University, USA pliang@cs.cmu.edu

### Erik Cambria

NTU, Singapore cambria@ntu.edu.sg

### Navonil Mazumder

Instituto Politécnico Nacional, Mexico navonil@sentic.net

## **Louis-Philippe Morency**

Carnegie Mellon University, USA morency@cs.cmu.edu

#### **Abstract**

Multi-view sequential learning is a fundamental problem in machine learning dealing with multi-view sequences. In a multi-view sequence, there exists two forms of interactions between different views: view-specific interactions and crossview interactions. In this paper, we present a new neural architecture for multi-view sequential learning called the Memory Fusion Network (MFN) that explicitly accounts for both interactions in a neural architecture and continuously models them through time. The first component of the MFN is called the System of LSTMs, where view-specific interactions are learned in isolation through assigning an LSTM function to each view. The cross-view interactions are then identified using a special attention mechanism called the Delta-memory Attention Network (DMAN) and summarized through time with a Multi-view Gated Memory. Through extensive experimentation, MFN is compared to various proposed approaches for multi-view sequential learning on multiple publicly available benchmark datasets. MFN outperforms all the multi-view approaches. Furthermore, MFN outperforms all current stateof-the-art models, setting new state-of-the-art results for all three multi-view datasets.

### Introduction

In many natural scenarios, data is collected from diverse perspectives and exhibits heterogeneous properties: each of these domains present a different view of the same data, where each view can have its own individual representation space and dynamics. Such forms of data are known as multiview data. In a multi-view setting, each view of the data may contain some knowledge that other views do not have access to. Therefore, multiple views must be employed together in order to describe the data comprehensively and accurately. Multi-view learning has been an active area of machine learning research (Xu, Tao, and Xu 2013). By exploring the consistency and complementary properties of different views, multi-view learning can be more effective, more promising, and has better generalization ability than single-view learning.

Multi-view sequential learning extends the definition of multi-view learning to manage with different views all in the form of sequential data, i.e. data that comes in the form

Copyright © 2018, Association for the Advancement of Artificial Intelligence (www.aaai.org). All rights reserved.

of sequences. For example, a video clip of an orator can be partitioned into three sequential views – text representing the spoken words, video of the speaker, and vocal prosodic cues from the audio. In multi-view sequential learning, two primary forms of interactions exist. The first form is called view-specific interactions; interactions that involve only one view. For example, learning the sentiment of a speaker based only on the sequence of spoken works. More importantly, the second form of interactions are defined across different views. These are known as cross-view interactions. Cross-view interactions span across both the different views and time – for example a listener's backchannel response or the delayed rumble of distant lightning in the video and audio views. Modeling both the view-specific and cross-view interactions lies at the core of multi-view sequential learning.

This paper introduces a novel neural model for multiview sequential learning called the Memory Fusion Network (MFN). At a first layer, the MFN encodes each view independently using a component called the System of Long Short Term Memories (LSTMs). In this System of LSTMs, each view is assigned one LSTM function to model the dynamics in that particular view. The second component of MFN is called the Delta-memory Attention Network (DMAN) which finds cross-view interactions across memories of the System of LSTMs. Specifically, the DMAN identifies the cross-view interactions by associating a relevance score to the memory dimensions of each LSTM. The third component of the MFN stores the cross-view information over time in the Multi-view Gated Memory. This memory updates its contents based on the outputs of the DMAN and its previously stored contents, acting as a dynamic memory module for learning crucial cross-view interactions throughout the sequential data. Prediction is performed by integrating both view-specific and cross-view and information.

We perform extensive experimentation to benchmark the performance of MFN on 6 publicly available multi-view sequential datasets. Throughout, we compare to the state-of-the-art approaches in multi-view sequential learning. In all the benchmarks, MFN is able to outperform the baselines, setting new state-of-the-art results across all the datasets.

#### **Related Work**

Researchers dealing with multi-view sequential data have largely focused on three major types of models.

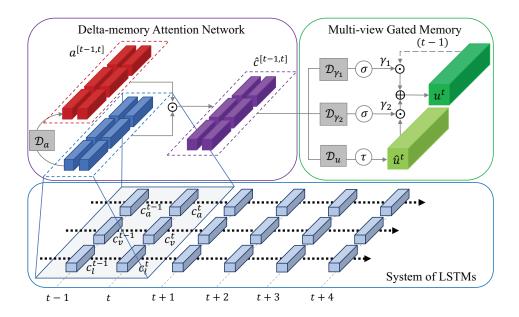


Figure 1: Overview figure of Memory Fusion Network (MFN) pipeline.  $\sigma$  denotes the sigmoid activation function,  $\tau$  the tanh activation function,  $\odot$  the Hadamard product and  $\oplus$  element wise addition. Each LSTM encodes information from one view such as language (l), video (v) or audio (a).

The first category of models have relied on concatenation of all multiple views into a single view to simplify the learning setting. These approaches then use this concatenated view as input to a learning model. Hidden Markov Models (HMMs) (Baum and Petrie 1966; Morency, Mihalcea, and Doshi 2011), Support Vector Machines (SVMs) (Cortes and Vapnik 1995), Hidden Conditional Random Fields (HCRFs) (Quattoni et al. 2007) and their variants (Morency, Quattoni, and Darrell 2007) have been successfully used for structured prediction. More recently, with the advent of deep learning, Recurrent Neural Networks, specially Long-short Term Memory (LSTM) networks (Hochreiter and Schmidhuber 1997), have been extensively used for sequence modeling. Some degree of success for modeling multi-view problems is achieved using this concatenation. However, this concatenation causes over-fitting in the case of a small size training sample and is not intuitively meaningful because each view has a specific statistical property (Xu, Tao, and Xu 2013) which is ignored in these simplified approaches.

The second category of models introduce multi-view variants to the structured learning approaches of the first category. Multi-view variations of these models have been proposed including Multi-view HCRFs where the potentials of the HCRF are changed to facilitate multiple views (Song, Morency, and Davis 2012; 2013). Recently, multi-view LSTM models have been proposed for multimodal setups where the LSTM memory is partitioned into different components for different views (Rajagopalan et al. 2016).

The third category of models rely on collapsing the time dimension from sequences by learning a temporal representation for each of the different views. Such methods have used average feature values over time (Poria, Cambria, and Gelbukh 2015). Essentially these models apply conventional multi-view learning approaches, such as Multiple Kernel Learning (Poria, Cambria, and Gelbukh 2015), subspace learning or co-training (Xu, Tao, and Xu 2013) to the multi-view representations. Other approaches have trained different models for each view and combined the models using decision voting (Nojavanasghari et al. 2016), tensor products (Zadeh et al. 2017) or deep neural networks (Poria et al. 2017). While these approaches are able to learn the relations between the views to some extent, the lack of the temporal dimension limits these learned representations, eventually affect their performance. Such is the case for long sequences where the learned representations do not sufficiently reflect all the temporal information in each view.

The proposed model in this paper is different from the first category models since it assigns one LSTM to each view instead of concatenating the information from different views. MFN is also different from the second category models since it considers each view in isolation to learn view-specific interactions. It then uses an explicitly designed attention mechanism and memory to find and store cross-view interactions over time. MFN is different from the third category models since view-specific and cross-view interactions are modeled over time.

### **Memory Fusion Network (MFN)**

The Memory Fusion Network (MFN) is a recurrent model for multi-view sequential learning that consists of three main components: 1) **System of LSTMs** consists of multiple Longshort Term Memory (LSTM) networks, one for each of the views. Each LSTM encodes the view-specific dynamics and interactions. 2) **Delta-memory Attention Network** is a spe-

cial attention mechanism designed to discover both crossview and temporal interactions across different dimensions of memories in the System of LSTMs. 3) **Multi-view Gated Memory** is a unifying memory that stores the cross-view interactions over time. Figure 1 shows the overview of MFN pipeline and its components.

The input to MFN is a multi-view sequence with the set of N views each of and length T. For example sequences can consist of language, video, and audio for  $N = \{l, v, a\}$ . The input data of the nth view is denoted as:  $\mathbf{x}_n = [x_n^t : t \leq T, x_n^t \in \mathbb{R}^{d_{x_n}}]$  where  $d_{x_n}$  is the input dimensionality of nth view input  $\mathbf{x}_n$ .

### **System of LSTMs**

For each view sequence, a Long-Short Term Memory (LSTM), encodes the view-specific interactions over time. At each input timestamp t, information from each view is input to the assigned LSTM. For the nth view, the memory of assigned LSTM is denoted as  $\mathbf{c}_n = \{c_n^t : t \leq T, c_n^t \in \mathbb{R}^{d_{c_n}}\}$  and the output of each LSTM is defined as  $\mathbf{h}_n = \{h_n^t : t \leq T, h_n^t \in \mathbb{R}^{d_{c_n}}\}$  with  $d_{c_n}$  denoting the dimensionality of nth LSTM memory  $\mathbf{c}_n$ . Note that the System of LSTMs allows different sequences to have different input, memory and output shapes. The following update rules are defined for the nth LSTM (Hochreiter and Schmidhuber 1997):

$$i_n^t = \sigma(W_n^i \ x_n^t + U_n^i \ h_n^{t-1} + b_n^i) \tag{1}$$

$$f_n^t = \sigma(W_n^f x_n^t + U_n^f h_n^{t-1} + b_n^f)$$
 (2)

$$o_n^t = \sigma(W_n^o x_n^t + U_n^o h_n^{t-1} + b_n^o)$$
 (3)

$$m_n^t = W_n^m x_n^t + U_n^m h_n^{t-1} + b_n^m$$
 (4)

$$c_n^t = f_n^t \odot c_n^{t-1} + i_n^t \odot m_n^t \tag{5}$$

$$h_n^t = o_n^t \odot tanh(c_n^t) \tag{6}$$

In the above equations, the trainable parameters are the two affine transformations  $W_n^* \in \mathbb{R}^{d_{x_n} \times d_{c_n}}$  and  $U_n^* \in \mathbb{R}^{d_{c_n} \times d_{c_n}}$ .  $i_n, f_n, o_n$  are the input, forget and output gates of the nth LSTM respectively,  $m_n$  is the proposed memory update of nth LSTM for time  $t, \odot$  denotes the Hadamard product (element-wise product),  $\sigma$  is the sigmoid activation function.

### **Delta-memory Attention Network**

The goal of the Delta-memory Attention Network (DMAN) is to outline the cross-view interactions at timestep t between different view memories in the System of LSTMs. To this end, we use a coefficient assignment technique on the concatenation of LSTM memories  $c^t$  at time t. High coefficients are assigned to the dimensions jointly form a cross-view interaction and low coefficients to the other dimensions. However, coefficient assignment using only memories at time t is not ideal since the same cross-view interactions can happen over multiple time instances if the LSTM memories in those dimensions remain unchanged. This is especially troublesome if the recurring dimensions are assigned high coefficients, in which case they will dominate the coefficient assignment system. To deal with this problem we add the memories  $c^{t-1}$  of time t-1 so DMAN can have the freedom of leaving

unchanged dimensions in the System of LSTMs memories and only assign high coefficient to them if they are about to change. Ideally each cross-view interaction is only assigned high coefficients once before the state of memories in System of LSTMs changes. This can be done by comparing the memories at the two time-steps (hence the name Delta-memory).

The input to the DMAN is the concatenation of memories at time t-1 and t, denoted as  $c^{[t-1,t]}$ . These memories are passed to a neural network  $\mathcal{D}_a:\mathbb{R}^{2d_c}\mapsto\mathbb{R}^{2d_c}, d_c=\sum_n d_{c_n}$  to obtain the attention coefficients.

$$a^{[t-1,t]} = \mathcal{D}_a(c^{[t-1,t]})$$
 (7)

 $a^{[t-1,t]}$  are softmax activated scores for each LSTM memory at time t-1 and t. Applying softmax at the output layer of  $\mathcal{D}_a$  allows for regularizing high-value coefficients over the  $c^{[t-1,t]}$ . The output of the DMAN is  $\hat{c}$  defined as:

$$\hat{c}^{[t-1,t]} = c^{[t-1,t]} \odot a^{[t-1,t]} \tag{8}$$

 $\hat{c}^{[t-1,t]}$  is the attended memories of the LSTMs. Applying this element-wise product amplifies the relevant dimensions of the  $c^{[t-1,t]}$  while marginalizing the effect of remaining dimensions. DMAN is also able to find cross-view interactions that do not happen simultaneously since it attends to the memories in the System of LSTMs. These memories can carry information about the observed inputs across different timestamps.

## **Multi-view Gated Memory**

Multi-view Gated Memory u is the neural component that stores a history of cross-view interactions over time. It acts as a unifying memory for the memories in System of LSTMs. The output of DMAN  $\hat{c}^{[t-1,t]}$  is directly passed to the Multi-view Gated Memory to signal what dimensions in the System of LSTMs memories constitute a cross-view interaction.  $\hat{c}^{[t-1,t]}$  is first used as input to a neural network  $\mathcal{D}_u: \mathbb{R}^{2\times d_c} \mapsto \mathbb{R}^{d_{mem}}$  to generate a cross-view update proposal  $\hat{u}^t$  for Multi-view Gated Memory.  $d_{mem}$  is the dimensionality of the Multi-view Gated Memory.

$$\hat{u}^t = \mathcal{D}_u(\hat{c}^{[t-1,t]}) \tag{9}$$

This update proposes changes to Multi-view Gated Memory based on observations about cross-view interactions at time t.

The Multi-view Gated Memory is controlled using set of two gates.  $\gamma_1, \, \gamma_2$  are called the retain and update gates respectively. At each timestep  $t, \, \gamma_1$  assigns how much of the current state of the Multi-view Gated Memory to remember and  $\gamma_2$  assigns how much of the Multi-view Gated Memory to update based on the update proposal  $\hat{u}^t$ .  $\gamma_1$  and  $\gamma_2$  are each controlled by a neural network.  $\mathcal{D}_{\gamma_1}, \, \mathcal{D}_{\gamma_2} : \mathbb{R}^{2 \times d_c} \mapsto \mathbb{R}^{d_{mem}}$  control part of the gating mechanism of Multi-view Gated Memory using  $\hat{c}^{[t-1,t]}$  as input:

$$\gamma_1^t = \mathcal{D}_{\gamma_1}(\hat{c}^{[t-1,t]}), \ \gamma_2^t = \mathcal{D}_{\gamma_2}(\hat{c}^{[t-1,t]})$$
 (10)

At each time-step of MFN recursion, u is updated using retain and update gates,  $\gamma_1$  and  $\gamma_2$ , as well as the current crossview update proposal  $\hat{u}^t$  with the following formulation:

$$u^{t} = \gamma_1^{t} \odot u^{t-1} + \gamma_2^{t} \odot \tanh(\hat{u}^{t}) \tag{11}$$

 $\hat{u}^t$  is activated using tanh squashing function to improve model stability by avoiding drastic changes to the Multi-view Gated Memory. The Multi-view Gated Memory is different from LSTM memory in two ways. Firstly, the Multi-view Gated Memory has a more complex gating mechanism: both gates are controlled by neural networks while LSTM gates are controlled by a non-linear affine transformation. As a result, the Multi-view Gated Memory has superior representation capabilities as compared to the LSTM memory. Secondly, the value of the Multi-view Gated Memory does not go through a sigmoid activation in each iteration. We found that this helps in faster convergence.

## **Output of MFN**

The outputs of the MFN are the final state of the Multi-view Gated Memory  $u^T$  and the outputs of each of the n LSTMs:

$$\mathbf{h}^T = \bigoplus_{n \in N} h_n^T$$

representing individual sequence information.  $\oplus$  denotes vector concatenation.

## **Experimental Setup**

In this section we design extensive experiments to evaluate the performance of MFN. We choose three multi-view domains: multimodal sentiment analysis, emotion recognition and speaker traits analysis. All benchmarks involve three views with completely different natures: language (text), vision (video), and acoustic (audio). The multi-view input signal is the video of a person speaking about a certain topic. Since humans communicate their intentions in a structured manner, there are synchronizations between intentions in text, gestures and tone of speech. These synchronizations constitute the relations between the three views.

### Datasets

In all the videos in the datasets described below, only one speaker is present in front of the camera.

Sentiment Analysis The first domain in our experiments is multimodal sentiment analysis, where the goal is to identify a speaker's sentiment based on online video content. Multimodal sentiment analysis extends the conventional text-based definition of sentiment analysis to a multimodal setup where different views contribute to modeling the sentiment of the speaker. We use four different datasets for English and Spanish sentiment analysis in our experiments. The CMU-MOSI dataset (Zadeh et al. 2016) is a collection of 93 opinion videos from online sharing websites. Each video consists of multiple opinion segments and each segment is annotated with sentiment in the range [-3,3]. The MOUD dataset (Perez-Rosas, Mihalcea, and Morency 2013) consists of product review videos in Spanish. Each video consists of multiple segments labeled to display positive, negative or neutral sentiment. To maintain consistency with previous works (Poria et al. 2017; Perez-Rosas, Mihalcea, and Morency 2013) we remove segments with the neutral label. The YouTube dataset (Morency, Mihalcea, and Doshi 2011) introduced tri-modal sentiment analysis to the research community. Multi-dimensional data from the audio, visual and textual modalities are collected in the form of 47 videos from the social media web site YouTube. The collected videos span a wide range of product reviews and opinion videos. These are annotated at the segment level for sentiment. The *ICT-MMMO* dataset (Wöllmer et al. 2013) consists of online social review videos that encompass a strong diversity in how people express opinions, annotated at the video level for sentiment.

Emotion Recognition The second domain in our experiments is multimodal emotion recognition, where the goal is to identify a speakers emotions based on the speakers verbal and nonverbal behaviors. These emotions are categorized as basic emotions (Ekman 1992) and continuous emotions (Gunes 2010). We perform experiments on *IEMOCAP* dataset (Busso et al. 2008). IEMOCAP consists of 151 sessions of recorded dialogues, of which there are 2 speakers per session for a total of 302 videos across the dataset. Each segment is annotated for the presence of emotions (angry, excited, fear, sad, surprised, frustrated, happy, disappointed and neutral) as well as valence, arousal and dominance.

Speaker Traits Analysis The third domain in our experiments is speaker trait recognition based on communicative behavior of the speaker. The goal is to identify 16 different speaker traits. The *POM* dataset (Park et al. 2014) contains 1,000 movie review videos. Each video is annotated for various personality and speaker traits, specifically: confident (con), passionate (pas), voice pleasant (voi), dominant (dom), credible (cre), vivid (viv), expertise (exp), entertaining (ent), reserved (res), trusting (tru), relaxed (rel), outgoing (out), thorough (tho), nervous (ner), persuasive (per) and humorous (hum). The short form of these speaker traits is indicated inside parentheses and used for the rest of this paper.

### **Sequence Features**

The chosen system of sequences are the three modalities: language, visual and acoustic. To get the exact utterance time-stamp of each word we perform forced alignment using P2FA (Yuan and Liberman 2008) which allows us to align the three modalities together. Since words are considered the basic units of language we use the interval duration of each word utterance as a time-step. We calculate the expected video and audio features by taking the expectation of their view feature values over the word utterance time interval (Zadeh et al. 2017). For each of the three modalities, we process the information from videos as follows.

**Language View** For the language view, Glove word embeddings (Pennington, Socher, and Manning 2014) were used to embed a sequence of individual words from video segment transcripts into a sequence of word vectors that represent spoken text. The Glove embeddings used are 300 dimensional word embeddings trained on 840 billion tokens from the common crawl dataset, resulting in a sequence of dimension  $T \times d_{x_{text}} = T \times 300$  after alignment. The timing of word utterances is extracted using P2FA forced aligner. This extraction enables alignment between text, audio and video.

**Visual View** For the visual view, the library Facet (iMotions 2017) is used to extract a set of visual features including

Dataset	CMU-MOSI	ICT-MMMO	YouTube	MOUD	IEMOCAP	POM
Level	Segment	Video	Segment	Segment	Segment	Video
# Train	52→1284	220	30→169	49→243	5→6373	600
# Valid	10→229	40	5→41	10→37	1→1775	100
# Test	31→686	80	11→59	20→106	1→1807	203

Table 1: Data splits to ensure speaker independent learning. Arrows indicate the number of annotated segments in each video.

facial action units, facial landmarks, head pose, gaze tracking and HOG features (Zhu et al. 2006). These visual features are extracted from the full video segment at 30Hz to form a sequence of facial gesture measures throughout time, resulting in a sequence of dimension  $T \times d_{x_{video}} = T \times 35$ . Acoustic View For the audio view, the software CO-

Acoustic View For the audio view, the software CO-VAREP (Degottex et al. 2014) is used to extract acoustic features including 12 Mel-frequency cepstral coefficients, pitch tracking and voiced/unvoiced segmenting features (Drugman and Alwan 2011), glottal source parameters (Childers and Lee 1991; Drugman et al. 2012; Alku 1992; Alku, Strik, and Vilkman 1997; Alku, Bäckström, and Vilkman 2002), peak slope parameters and maxima dispersion quotients (Kane and Gobl 2013). These visual features are extracted from the full audio clip of each segment at 100Hz to form a sequence that represent variations in tone of voice over an audio segment, resulting in a sequence of dimension  $T \times d_{x_{andio}} = T \times 74$  after alignment.

## **Experimental Details**

The time steps in the sequences are chosen based on word utterances. The expected (average) visual and acoustic sequences features are calculated for each word utterance to ensure time alignment between all LSTMs. In all the aforementioned datasets, it is important that the same speaker does not appear in both train and test sets in order to evaluate the generalization of our approach. The training, validation and testing splits are performed so that the splits are speaker independent. The full set of videos (and segments for datasets where the annotations are at the resolution of segments) in each split is detailed in Table 1. All baselines were re-trained using these video-level train-test splits of each dataset and with the same set of extracted sequence features. Training is performed on the labeled segments for datasets annotated at the segment level and on the labeled videos otherwise. All the code and data required to recreate the reported results are available at https://github.com/A2Zadeh/MFN.

## **Baseline Models**

We compare the performance of the MFN with current state-of-the-art models for multi-view sequential learning. To perform a more extensive comparison we train all the following baselines across all the datasets. Due to space constraints, each baseline name is denoted by a symbol (in parenthesis) which is used in Table 2 to refer to specific baseline results.

### **View Concatenation Sequential Learning Models**

Song2013 (⊲): This is a layered model that uses CRFs with latent variables to learn hidden spatio-temporal dynamics.

For each layer an abstract feature representation is learned through non-linear gate functions. This procedure is repeated to obtain a hierarchical sequence summary (HSS) representation (Song, Morency, and Davis 2013).

Morency2011 (×): Hidden Markov Model is a statistical Markov model in which the system being modeled is assumed to be a Markov process with unobserved (i.e. hidden) states (Baum and Petrie 1966). We follow the implementation in (Morency, Mihalcea, and Doshi 2011) for tri-modal data.

Quattoni2007 (2): Concatenated features are used as input to a Hidden Conditional Random Field (HCRF) (Quattoni et al. 2007). HCRF learns a set of latent variables conditioned on the concatenated input at each time step.

Morency2007 (#): Latent Discriminative Hidden Conditional Random Fields (LDHCRFs) are a class of models that learn hidden states in a Conditional Random Field using a latent code between observed input and hidden output (Morency, Quattoni, and Darrell 2007).

Hochreiter1997 (§): A LSTM with concatenation of data from different views as input (Hochreiter and Schmidhuber 1997). Stacked, bidirectional and stacked bidirectional LSTMs are also trained in a similar fashion for stronger baselines.

### **Multi-view Sequential Learning Models**

Rajagopalan2016 (\$\000cd): Multi-view (MV) LSTM (Rajagopalan et al. 2016) aims to extract information from multiple sequences by modeling sequence-specific and cross-sequence interactions over time and output. It is a strong tool for synchronizing a system of multi-dimensional data sequences.

Song2012 (▷): MV-HCRF (Song, Morency, and Davis 2012) is an extension of the HCRF for Multi-view data. Instead of view concatenation, view-shared and view specific sub-structures are explicitly learned to capture the interaction between views. We also implement the topological variations - linked, coupled and linked-couple that differ in the types of interactions between the modeled views. Song2012LD (■): is a variation of this model that uses LDHCRF instead of HCRF.

Song2013MV ( $\cup$ ): MV-HSSHCRF is an extension of Song2013 that performs Multi-view hierarchical sequence summary representation.

### **Dataset Specific Baselines**

*Poria2015* (♣): Multiple Kernel Learning (Bach, Lanckriet, and Jordan 2004) classifiers have been widely applied to problems involving multi-view data. Our implementation follows a previously proposed model for multimodal sentiment analysis (Poria, Cambria, and Gelbukh 2015).

Nojavanasghari2016 (b): Deep Fusion Approach (Nojavanasghari et al. 2016) trains single neural networks for each view's input and combine the views with a joint neural network. This baseline is current state of the art in POM dataset.

Zadeh2016 (♥): Support Vector Machine (Cortes and Vapnik 1995) is a widely used classifier. This baseline is closely implemented similar to a previous work in multimodal sentiment analysis (Zadeh et al. 2016).

Ho1998 (•): We also compare to a Random Forest (Ho 1998) baseline as another strong non-neural classifier.

Task		CMU	J-MOSI Sent	iment			ICT-MMM	O Sentiment		YouTube	Sentiment	MOUD Sentiment		
Metric	BA	F1	MA(7)	MAE	r	BA	F1	MAE	r	MA(3)	F1	BA	F1	
SOTA2	$73.9^{\dagger}$	74.0°	32.4 <sup>§</sup>	1.023§	0.601	81.3#	79.6 <sup>#</sup>	0.968⁵	0.499 <sup>b</sup>	49.2°	49.2°	72.6 <sup>†</sup>	72.9 <sup>†</sup>	
SOTA1	74.6*	74.5*	33.2	1.019°	0.622 <sup>§</sup>	81.3	79.6 <sup>•</sup>	0.842 <sup>§</sup>	$0.588^{\S}$	50.2	50.8	74.0	74.7	
MFN l	73.2	73.0	32.9	1.012	0.607	60.0	55.3	1.144	0.042	50.9	49.1	69.8	69.9	
MFN a	53.1	47.5	15.0	1.446	0.186	80.0	79.3	1.089	0.462	39.0	27.0	60.4	47.1	
MFN v	55.4	54.7	15.0	1.446	0.155	58.8	58.6	1.204	0.402	42.4	35.7	61.3	47.6	
MFN (no $\Delta$ )	75.5	75.2	34.5	0.980	0.626	76.3	75.8	0.890	0.577	55.9	55.4	71.7	70.6	
MFN (no mem)	76.5	76.5	30.8	0.998	0.582	82.5	82.4	0.883	0.597	47.5	42.8	75.5	72.9	
MFN	77.4	77.3	34.1	0.965	0.632	87.5	87.1	0.739	0.696	61.0	60.7	81.1	80.4	
$\Delta_{SOTA}$	↑ 2.8	↑ 2.8	↑ 0.9	↓ 0.054	↑ 0.010	↑ 6.2	↑ 7.5	↓ 0.103	↑ 0.108	↑ 10.8	↑ 9.9	<sup>↑</sup> ↑ 7.1	↑ 5.7	

Task	IEMOCAP Dis	crete Emotions	IEMOCA	P Valence	IEMOCA	P Arousal	IEMOCAP	Dominance
Metric	MA(9)	F1	F1 MAE		MAE	r	MAE	r
SOTA2	35.9 <sup>†</sup>	$34.1^{\dagger}$	$0.248^{\dagger}$	$0.065^{\dagger}$	0.521*	0.617 <sup>§</sup>	0.671*	0.479 <sup>§</sup>
SOTA1	36.0*	34.5*	0.244 <sup>§</sup>	0.088§	0.513	0.620	0.668	0.519°
MFN l	25.8	16.1	0.250	-0.022	1.566	0.105	1.599	0.162
MFN a	22.5	11.6	0.279	0.034	1.924	0.447	1.848	0.417
MFN $v$	21.5	10.5	0.248	-0.014	2.073	0.155	2.059	0.083
MFN (no $\Delta$ )	34.8	33.1	0.243	0.098	0.500	0.590	0.629	0.466
MFN (no mem)	31.2	28.0	0.246	0.089	0.509	0.634	0.679	0.441
MFN	36.5	34.9	0.236	0.111	0.482	0.645	0.612	0.509
$\Delta_{SOTA}$	↑ 0.5	↑ 0.4	↓ 0.008	↑ 0.023	↓ 0.031	↑ 0.025	↓ 0.056	↓ 0.010

Dataset	1							PC	OM							
Task	Con	Pas	Voi	Dom	Cre	Viv	Exp	Ent	Res	Tru	Rel	Out	Tho	Ner	Per	Hum
Metric	MA(7)	MA(7)	MA(7)	MA(7)	MA(7)	MA(7)	MA(7)	MA(7)	MA(5)	MA(5)	MA(5)	MA(5)	MA(5)	MA(5)	MA(7)	MA(5)
SOTA2	26.6°	27.6§	32.0♡	35.0♡	26.1⁵	32.0 <sup>b</sup>	27.6*	29.6₺	34.0♡	53.2°	49.8♡	39.4 <sup>b</sup>	42.4§	42.4 <sup>b</sup>	27.6*	36.5 <sup>†</sup>
SOTA1	26.6°	31.0*	33.0 <sup>b</sup>	35.0♥	$27.6^{\dagger}$	$36.5^{\dagger}$	$30.5^{\dagger}$	31.5♥	34.0♥	53.7 <sup>b</sup>	50.7°	42.9 <sup>♥</sup>	$45.8^{\dagger}$	42.4 <sup>b</sup>	28.1♥	40.4°
MFN l	26.6	31.5	21.7	34.0	25.6	28.6	26.6	30.5	29.1	34.5	39.9	31.5	30.5	34.0	24.1	42.4
MFN $a$	27.1	26.1	29.6	34.5	24.6	29.6	26.6	31.0	32.5	35.0	45.8	37.4	35.0	40.4	28.1	36.5
MFN $v$	25.6	23.6	26.6	31.5	25.1	28.6	25.6	26.6	32.5	48.3	43.3	36.9	42.4	33.5	24.1	37.4
MFN (no $\Delta$ )	28.1	32.0	34.5	36.0	32.0	33.0	29.6	33.5	33.0	56.2	51.2	42.9	44.3	43.8	31.5	42.9
MFN (no mem)	26.1	27.1	34.5	35.5	28.1	31.0	27.1	30.0	32.0	55.2	50.7	39.4	42.9	42.4	29.1	33.5
MFN	34.5	35.5	37.4	41.9	34.5	36.9	36.0	37.9	38.4	57.1	53.2	46.8	47.3	47.8	34.0	47.3
$\Delta_{SOTA}$	↑ 7.9	↑ 4.5	↑ 4.4	↑ 6.9	↑ 6.9	↑ 0.4	↑ 5.5	↑ 6.4	↑ 4.4	↑ 3.4	↑ 2.5	↑ 3.9	↑ 1.5	↑ 5.4	↑ 5.9	↑ 6.9

Metric	I							M	AE							
SOTA2	1.033b	1.067§	0.911 <sup>§</sup>	0.864*	1.022§	0.981 <sup>§</sup>	0.990 <sup>§</sup>	0.967⁵	0.884 <sup>b</sup>	0.556 <sup>§</sup>	0.594 <sup>§</sup>	0.700§	0.712 <sup>§</sup>	0.705 <sup>†</sup>	1.084§	0.768₺
SOTA1	$1.016^{\dagger}$	$1.008^{\dagger}$	0.899⁵	$0.859^{\dagger}$	$0.942^{\dagger}$	$0.905^{\dagger}$	$0.906^{\dagger}$	$0.927^{\dagger}$	0.877	0.523	0.591 <sup>b</sup>	0.698₺	$0.680^{\dagger}$	0.687	$1.025^{\dagger}$	$0.767^{\dagger}$
MFN $l$	1.065	1.152	1.033	0.875	1.074	1.111	1.135	0.994	0.915	0.591	0.612	0.792	0.753	0.722	1.134	0.838
MFN $a$	1.086	1.147	0.937	0.887	1.104	1.028	1.075	1.009	0.882	0.589	0.611	0.719	0.759	0.697	1.159	0.783
MFN $v$	1.083	1.153	1.009	0.931	1.085	1.073	1.135	1.028	0.929	0.664	0.682	0.771	0.770	0.773	1.138	0.793
MFN (no $\Delta$ )	1.015	1.061	0.891	0.859	0.994	0.958	1.000	0.955	0.875	0.527	0.583	0.691	0.711	0.691	1.052	0.750
MFN (no mem	1.018	1.077	0.887	0.865	1.014	0.995	1.012	0.959	0.877	0.530	0.581	0.701	0.719	0.694	1.063	0.764
MFN	0.952	0.993	0.882	0.835	0.903	0.908	0.886	0.913	0.821	0.521	0.566	0.679	0.665	0.654	0.981	0.727
$\Delta_{SOTA}$	↓ 0.064	↓ 0.015	↓ 0.017	↓ 0.024	↓ 0.039	↑ 0.003	↓ 0.020	↓ 0.014	↓ 0.056	↓ 0.002	↓ 0.025	↓ 0.019	↓ 0.015	↓ 0.033	↓ 0.044	↓ 0.040

Metric	I	r														
SOTA2	0.240 <sup>b</sup>	0.302 <sup>§</sup>	0.031 <sup>§</sup>	0.139 <sup>b</sup>	0.170 <sup>§</sup>	0.244 <sup>§</sup>	0.265 <sup>§</sup>	0.240 <sup>§</sup>	0.148 <sup>b</sup>	$0.109^{\dagger}$	0.083 <sup>§</sup>	0.093 <sup>b</sup>	0.260 <sup>§</sup>	0.136 <sup>b</sup>	0.217 <sup>§</sup>	0.259 <sup>b</sup>
SOTA1	0.359 <sup>†</sup>	$0.425^{\dagger}$	0.131	$0.234^{\dagger}$	$0.358^{\dagger}$	$0.417^{\dagger}$	$0.450^{\dagger}$	$0.361^{\dagger}$	0.295	0.237	0.119	0.238	$0.363^{\dagger}$	0.258	$0.344^{\dagger}$	$0.319^{\dagger}$
MFN l	0.223	0.281	-0.013	0.118	0.141	0.189	0.188	0.227	-0.168	-0.064	0.126	0.095	0.173	0.024	0.183	0.216
MFN $a$	0.092	0.128	-0.019	0.050	0.021	-0.007	0.035	0.130	0.152	-0.071	0.019	-0.003	-0.019	0.106	0.024	0.064
MFN $v$	0.146	0.091	-0.077	-0.012	0.019	-0.035	0.012	0.038	-0.004	-0.169	0.030	-0.026	0.047	0.059	0.078	0.159
MFN (no $\Delta$ )	0.307	0.373	0.140	0.209	0.272	0.334	0.333	0.305	0.194	0.218	0.160	0.152	0.277	0.182	0.288	0.334
MFN (no mem)	0.259	0.261	0.166	0.109	0.161	0.188	0.209	0.247	0.189	0.059	0.151	0.115	0.161	0.134	0.190	0.231
MFN	0.395	0.428	0.193	0.313	0.367	0.431	0.452	0.395	0.333	0.296	0.255	0.259	0.381	0.318	0.377	0.386
$\Delta_{SOTA}$	↑ 0.036	↑ 0.003	↑ 0.062	↑ <b>0.079</b>	↑ 0.009	↑ 0.014	↑ 0.002	↑ 0.034	↑ 0.038	↑ 0.059	↑ 0.136	↑ <b>0.021</b>	↑ 0.018	↑ 0.060	↑ 0.033	↑ <b>0.067</b>

Table 2: Results for sentiment analysis on CMU-MOSI, ICT-MMMO, YouTube and MOUD, emotion recognition on IEMOCAP and personality trait recognition on POM. SOTA1 and SOTA2 refer to the previous best and second best state of the art respectively. Best results are highlighted in bold,  $\Delta_{SOTA}$  shows the change in performance over SOTA1. Improvements are highlighted in green. The MFN significantly outperforms SOTA across all datasets and metrics except  $\Delta_{SOTA}$  entries in gray.

#### **Dataset Specific State-of-the-art Baselines**

Poria2017 (†): Bidirectional Contextual LSTM (Poria et al. 2017) performs context-dependent fusion of multi-sequence data that holds the state of the art for emotion recognition on IEMOCAP dataset and sentiment analysis on MOUD dataset.

Zadeh2017 (\*): Tensor Fusion Network (Zadeh et al. 2017) learns explicit uni-view, bi-view and tri-view concepts in multi-view data. It is the current state of the art for sentiment analysis on CMU-MOSI dataset.

Wang2016 ( $\cap$ ): Selective Additive Learning Convolutional Neural Network (Wang et al. 2016) is a multimodal sentiment analysis model that attempts to prevent identity-dependent information from being learned so as to improve generalization based only on accurate indicators of sentiment.

### **MFN Ablation Study Baselines**

MFN  $\{l, v, a\}$ : These baselines use only individual views – l for language, v for visual, and a for acoustic. The DMAN and Multi-view Gated Memory are also removed since only one view is present. This effectively reduces the MFN to one single LSTM which uses input from one view.

MFN (no  $\Delta$ ): This variation of our model shrinks the context to only the current timestamp t in the DMAN. We compare to this model to show the importance of having the  $\Delta$  memory temporal information – memories at both time t and t-1.

MFN (no mem): This variation of our model removes the Delta-memory Attention Network and Multi-view Gated Memory from the MFN. Essentially this is equivalent to three disjoint LSTMs. The output of the MFN in this case would only be the outputs of LSTM at the final timestamp T. This baseline is designed to evaluate the importance of spatio-temporal relations between views through time.

### **MFN Results and Discussion**

Table 2 summarizes the comparison between MFN and proposed baselines for sentiment analysis, emotion recognition and speaker traits recognition. Different evaluation tasks are performed for different datasets based on the provided labels: binary classification, multi-class classification, and regression. For binary classification we report results in binary accuracy (BA) and binary F1 score. For multiclass classification we report multiclass accuracy MA(k) where k denotes the number of classes, and multiclass F1 score. For regression we report Mean Absolute Error (MAE) and Pearson's correlation r. Higher values denote better performance for all metrics. The only exception is MAE which lower values indicate better performance. All the baselines are trained for all the benchmarks using the same input data as MFN and best set of hyperparameters are chosen based on a validation set according to Table 1. The best performing baseline for each benchmark is referred to as state of the art 1 (SOTA1) and SOTA2 is the second best performing model. SOTA models change across different metrics since different models are suitable for different tasks. The superscript symbol on each number indicates what method it belongs to. The performance improvement of our MFN over the SOTA1 model is denoted as  $\Delta_{SOTA}$ , the raw improvement over the previous models. The results of our experiments can be summarized

as follows:

MFN Achieves State-of-The-Art Performance for Multiview Sequential Modeling: Our approach significantly outperforms the proposed baselines, setting new state of the art in all datasets. Furthermore, MFN shows a consistent trend for both classification and regression. The same is not true for other baselines as their performance varies based on the dataset and evaluation task. Additionally, the better performance of MFN is not at the expense of higher number of parameters or lower speed: the most competitive baseline in most datasets is *Zadeh2017* which contains roughly 2e7 parameters while MFN contains roughly 5e5 parameters. On a Nvidia GTX 1080 Ti GPU, *Zadeh2017* runs with an average frequency of 278 IPS (data point inferences per second) while our model runs at an ultra realtime frequency of 2858 IPS.

**Ablation Studies:** Our comparison with variations of our model show a consistent trend:

MFN > MFN (no  $\Delta$ ), MFN (no mem) > MFN  $\{l, v, a\}$ 

The comparison between MFN and MFN (no  $\Delta$ ) indicates the crucial role of the memories of time t-1. The comparison between MFN and MFN (no mem) shows the essential role of the Multi-view Gated Memory. The final observation comes from comparing all multi-view variations of MFN with single view MFN  $\{l,v,a\}$ . This indicates that using multiple views results in better performance even if various crucial components are removed from MFN.

Increasing The DMAN Input Region Size: In our set of experiments increasing the  $\Delta$  to cover [t-q,t] instead of [t-1,t] did not significantly improve the performance of the model. We argue that this is because additional memory steps do not add any information to the DMAN internal mechanism.

#### Conclusion

This paper introduced a novel approach for multi-view sequential learning called Memory Fusion Network (MFN). The first component of MFN is called System of LSTMs. In System of LSTMs, each view is assigned one LSTM function to model the interactions within the view. The second component of MFN is called Delta-memory Attention Network (DMAN). DMAN outlines the relations between views through time by associating a cross-view relevance score to the memory dimensions of each LSTM. The third component of the MFN unifies the sequences and is called Multi-view Gated Memory. This memory updates its content based on the outputs of DMAN calculated over memories in System of LSTMs. Through extensive experimentation on multiple publicly available datasets, the performance of MFN is compared with various baselines. MFN shows state-of-the-art performance in multi-view sequential learning on all the datasets.

### **Acknowledgements**

This project was partially supported by Oculus research grant. We thank the reviewers for their valuable feedback.

## References

- Alku, P.; Bäckström, T.; and Vilkman, E. 2002. Normalized amplitude quotient for parametrization of the glottal flow. *the Journal of the Acoustical Society of America* 112(2):701–710.
- Alku, P.; Strik, H.; and Vilkman, E. 1997. Parabolic spectral parametera new method for quantification of the glottal flow. *Speech Communication* 22(1):67–79.
- Alku, P. 1992. Glottal wave analysis with pitch synchronous iterative adaptive inverse filtering. *Speech communication* 11(2-3):109–118.
- Bach, F. R.; Lanckriet, G. R.; and Jordan, M. I. 2004. Multiple kernel learning, conic duality, and the smo algorithm. In *Proceedings of the twenty-first international conference on Machine learning*, 6. ACM.
- Baum, L. E., and Petrie, T. 1966. Statistical inference for probabilistic functions of finite state markov chains. *The annals of mathematical statistics* 37(6):1554–1563.
- Busso, C.; Bulut, M.; Lee, C.-C.; Kazemzadeh, A.; Mower, E.; Kim, S.; Chang, J.; Lee, S.; and Narayanan, S. S. 2008. Iemocap: Interactive emotional dyadic motion capture database. *Journal of Language Resources and Evaluation* 42(4):335–359.
- Childers, D. G., and Lee, C. 1991. Vocal quality factors: Analysis, synthesis, and perception. *the Journal of the Acoustical Society of America* 90(5):2394–2410.
- Cortes, C., and Vapnik, V. 1995. Support-vector networks. *Machine learning* 20(3):273–297.
- Degottex, G.; Kane, J.; Drugman, T.; Raitio, T.; and Scherer, S. 2014. Covarepa collaborative voice analysis repository for speech technologies. In *Acoustics, Speech and Signal Processing (ICASSP)*, 2014 IEEE International Conference on, 960–964. IEEE.
- Drugman, T., and Alwan, A. 2011. Joint robust voicing detection and pitch estimation based on residual harmonics. In *Interspeech*, 1973–1976.
- Drugman, T.; Thomas, M.; Gudnason, J.; Naylor, P.; and Dutoit, T. 2012. Detection of glottal closure instants from speech signals: A quantitative review. *IEEE Transactions on Audio, Speech, and Language Processing* 20(3):994–1006.
- Ekman, P. 1992. An argument for basic emotions. *Cognition & emotion* 6(3-4):169–200.
- Gunes, H. 2010. Automatic, dimensional and continuous emotion recognition.
- Ho, T. K. 1998. The random subspace method for constructing decision forests. *IEEE transactions on pattern analysis and machine intelligence* 20(8):832–844.
- Hochreiter, S., and Schmidhuber, J. 1997. Long short-term memory. *Neural computation* 9(8):1735–1780.
- iMotions. 2017. Facial expression analysis.
- Kane, J., and Gobl, C. 2013. Wavelet maxima dispersion for breathy to tense voice discrimination. *IEEE Transactions on Audio, Speech, and Language Processing* 21(6):1170–1179.
- Morency, L.-P.; Mihalcea, R.; and Doshi, P. 2011. Towards multimodal sentiment analysis: Harvesting opinions from the web. In *Proceedings of the 13th international conference on multimodal interfaces*, 169–176. ACM.
- Morency, L.-P.; Quattoni, A.; and Darrell, T. 2007. Latent-dynamic discriminative models for continuous gesture recognition. In *Computer Vision and Pattern Recognition*, 2007. CVPR'07. IEEE Conference on, 1–8. IEEE.
- Nojavanasghari, B.; Gopinath, D.; Koushik, J.; Baltrušaitis, T.; and Morency, L.-P. 2016. Deep multimodal fusion for persuasiveness

- prediction. In *Proceedings of the 18th ACM International Conference on Multimodal Interaction*, ICMI 2016, 284–288. New York, NY, USA: ACM.
- Park, S.; Shim, H. S.; Chatterjee, M.; Sagae, K.; and Morency, L.-P. 2014. Computational analysis of persuasiveness in social multimedia: A novel dataset and multimodal prediction approach. In *Proceedings of the 16th International Conference on Multimodal Interaction*, ICMI '14, 50–57. New York, NY, USA: ACM.
- Pennington, J.; Socher, R.; and Manning, C. D. 2014. Glove: Global vectors for word representation.
- Perez-Rosas, V.; Mihalcea, R.; and Morency, L.-P. 2013. Utterance-Level Multimodal Sentiment Analysis. In *Association for Computational Linguistics (ACL)*.
- Poria, S.; Cambria, E.; Hazarika, D.; Majumder, N.; Zadeh, A.; and Morency, L.-P. 2017. Context-dependent sentiment analysis in user-generated videos. In *Proceedings of ACL*, volume 1, 873–883.
- Poria, S.; Cambria, E.; and Gelbukh, A. 2015. Deep convolutional neural network textual features and multiple kernel learning for utterance-level multimodal sentiment analysis. In *Proceedings of the 2015 Conference on Empirical Methods in Natural Language Processing*, 2539–2544.
- Quattoni, A.; Wang, S.; Morency, L.-P.; Collins, M.; and Darrell, T. 2007. Hidden conditional random fields. *IEEE Trans. Pattern Anal. Mach. Intell.* 29(10):1848–1852.
- Rajagopalan, S. S.; Morency, L.-P.; Baltrušaitis, T.; and Goecke, R. 2016. Extending long short-term memory for multi-view structured learning. In *European Conference on Computer Vision*.
- Song, Y.; Morency, L.-P.; and Davis, R. 2012. Multi-view latent variable discriminative models for action recognition. In *Computer Vision and Pattern Recognition (CVPR)*, 2012 IEEE Conference on, 2120–2127. IEEE.
- Song, Y.; Morency, L.-P.; and Davis, R. 2013. Action recognition by hierarchical sequence summarization. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 3562–3569.
- Wang, H.; Meghawat, A.; Morency, L.-P.; and Xing, E. P. 2016. Select-additive learning: Improving cross-individual generalization in multimodal sentiment analysis. *arXiv* preprint arXiv:1609.05244.
- Wöllmer, M.; Weninger, F.; Knaup, T.; Schuller, B.; Sun, C.; Sagae, K.; and Morency, L.-P. 2013. Youtube movie reviews: Sentiment analysis in an audio-visual context. *IEEE Intelligent Systems* 28(3):46–53.
- Xu, C.; Tao, D.; and Xu, C. 2013. A survey on multi-view learning. arXiv preprint arXiv:1304.5634.
- Yuan, J., and Liberman, M. 2008. Speaker identification on the scotus corpus. *Journal of the Acoustical Society of America* 123(5):3878.
- Zadeh, A.; Zellers, R.; Pincus, E.; and Morency, L.-P. 2016. Multimodal sentiment intensity analysis in videos: Facial gestures and verbal messages. *IEEE Intelligent Systems* 31(6):82–88.
- Zadeh, A.; Chen, M.; Poria, S.; Cambria, E.; and Morency, L.-P. 2017. Tensor fusion network for multimodal sentiment analysis. In *Proceedings of EMNLP*, 1114–1125.
- Zhu, Q.; Yeh, M.-C.; Cheng, K.-T.; and Avidan, S. 2006. Fast human detection using a cascade of histograms of oriented gradients. In *Computer Vision and Pattern Recognition*, 2006 IEEE Computer Society Conference on, volume 2, 1491–1498. IEEE.