

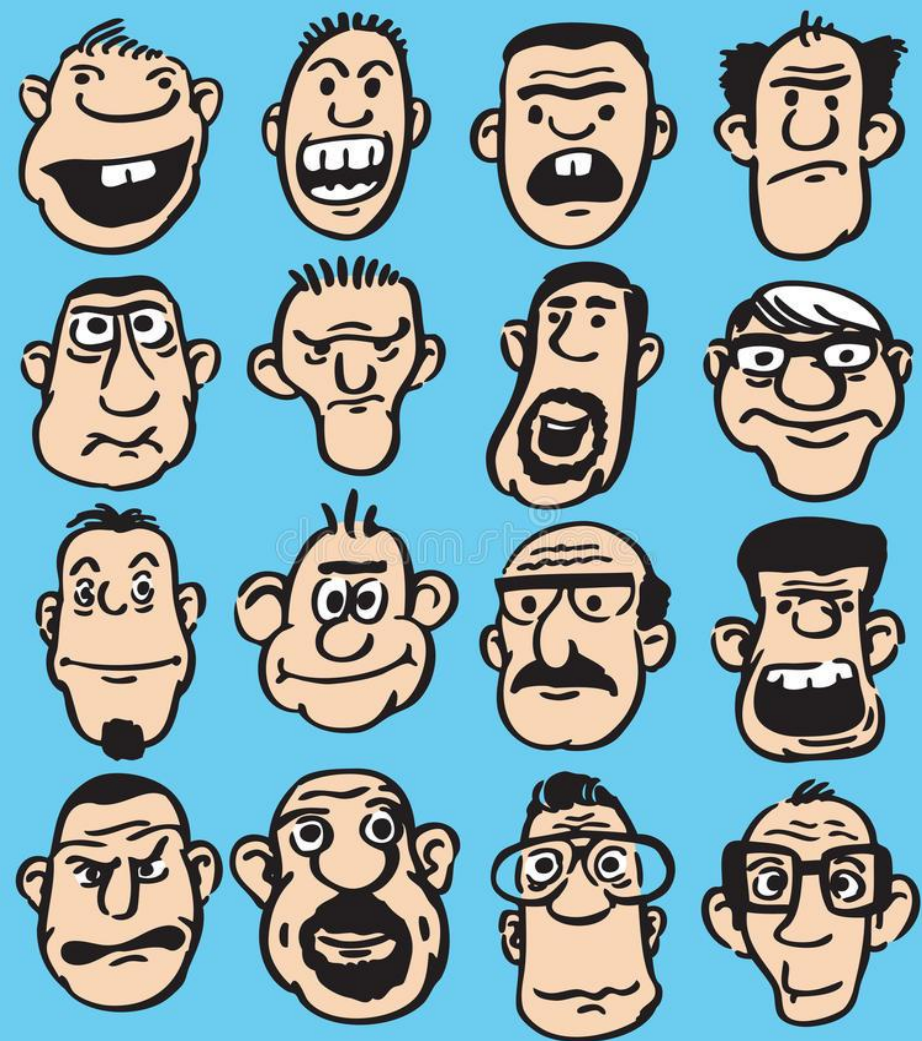
The background is a 2x3 grid of colored squares: green, orange, red in the top row, and yellow, blue, pink in the bottom row. Each square contains a cartoon face with large, expressive eyes and a simple mouth, peeking over a central light green rectangular box. The faces are drawn in a simple, stylized manner with black outlines. The top row faces are looking upwards, while the bottom row faces are looking downwards.

# Emotion Recognition

Wenhan Yang, Eric Zhang

# Outline

- Introduction
- Overview of Dataset
- Model Design
- Results and Discussion
- Future Studies



## 1. INTRODUCTION TO

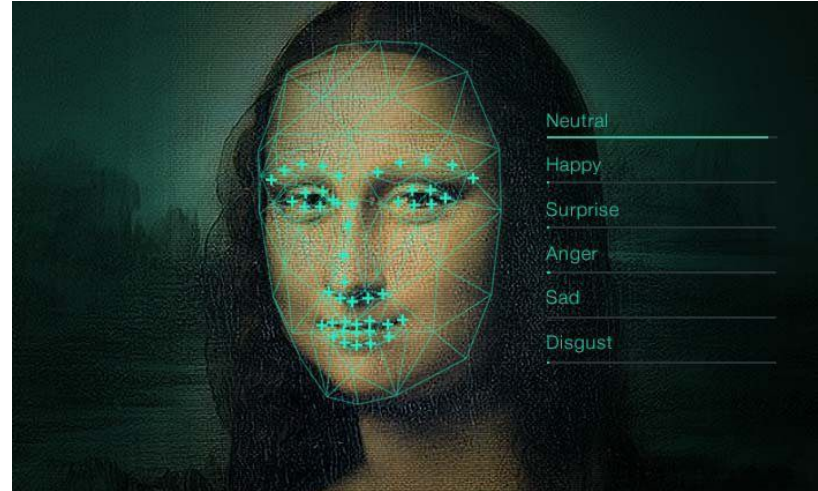
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# EMOTION RECOGNITION

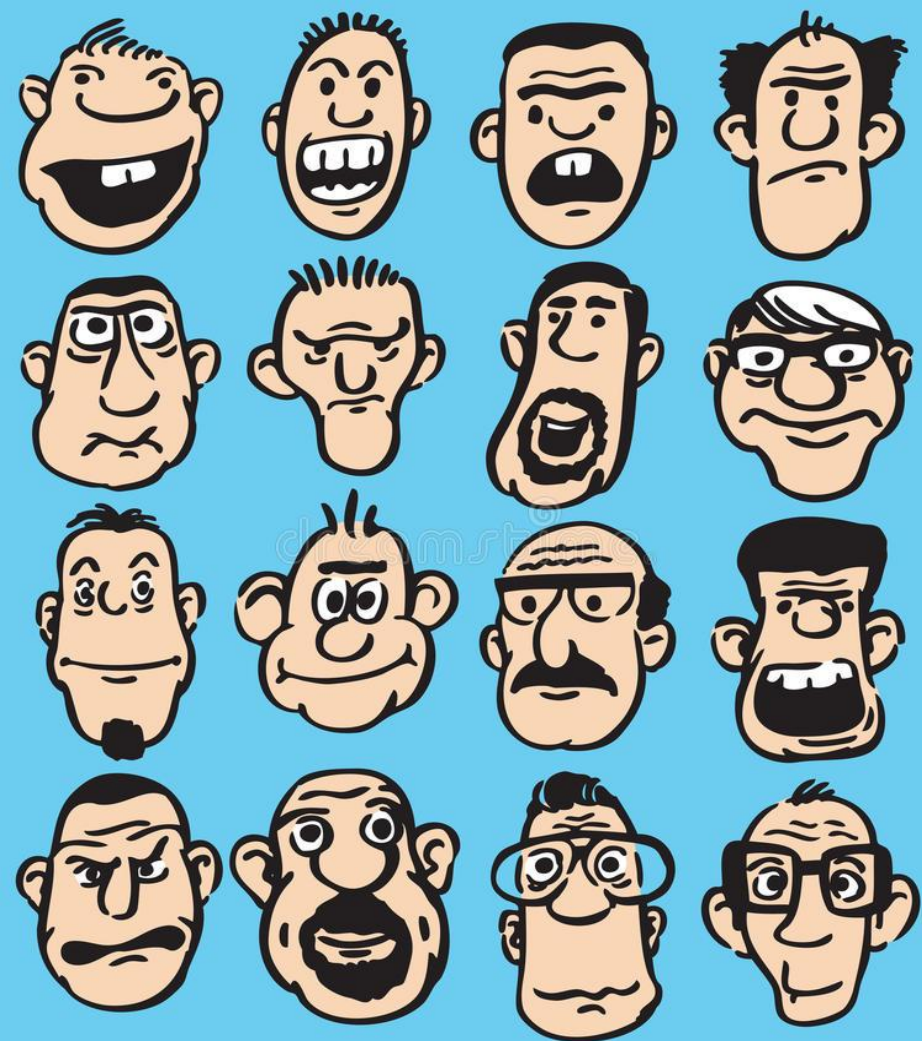
# Introduction

## Real-time interaction

- Recommender systems
- Security (Driving)



Emotion Detection System



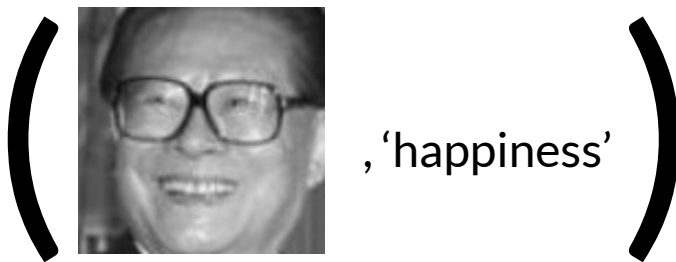
## 2. OVERVIEW OF

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# DATASET

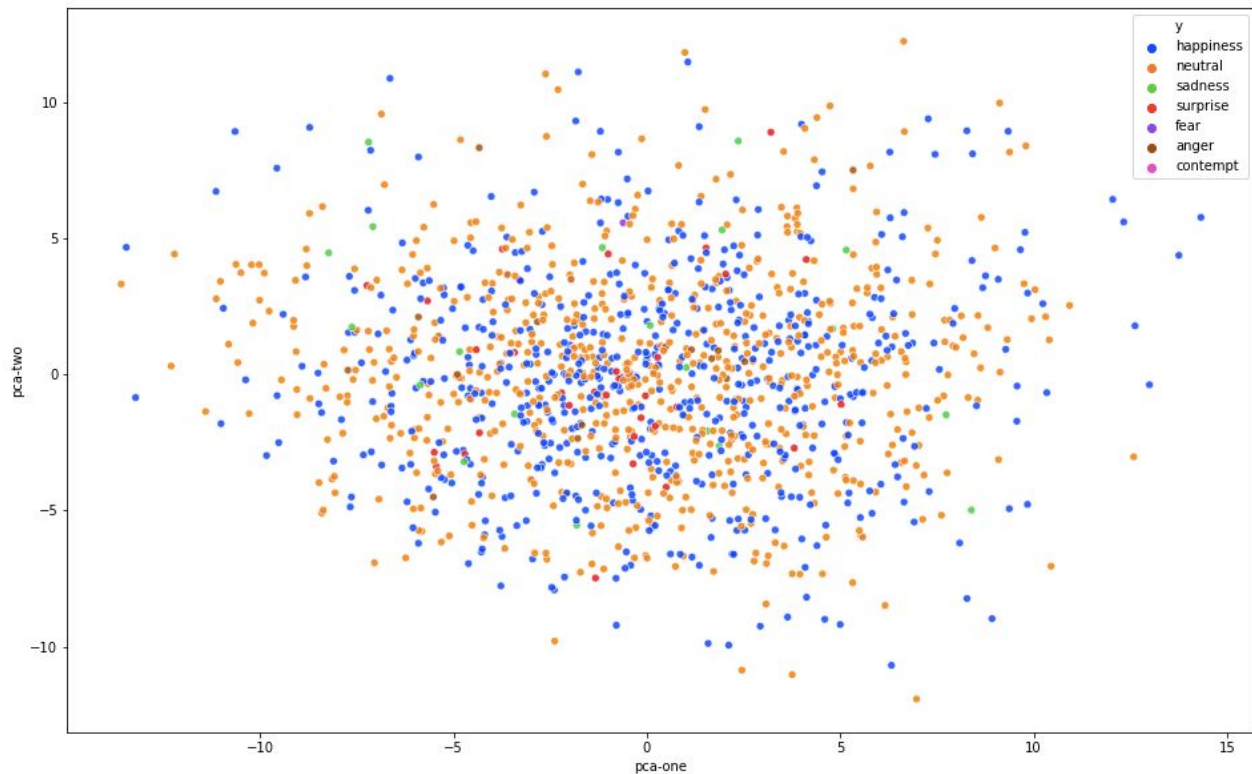
# Overview of Dataset

- **Dataset:** [https://github.com/muxspace/facial\\_expressions](https://github.com/muxspace/facial_expressions)
- **Raw data format: (picture, label)**
  - **Picture:** 350x350 pixel. Mostly Grayscale, a few RGB with different dimension.
  - **Label:** { 'anger', 'contempt', 'disgust', 'fear', 'happiness', 'neutral', 'sadness', 'surprise' }
  - **Example:**



# Visualizing Data Features

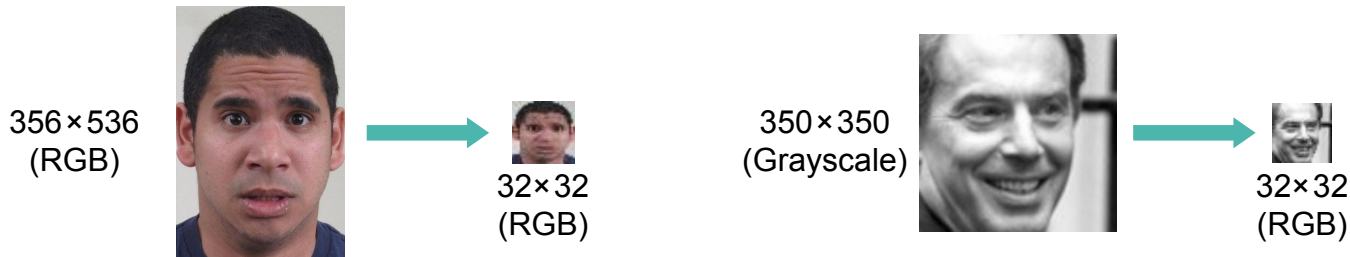
<matplotlib.axes.\_subplots.AxesSubplot at 0x1a23203c18>



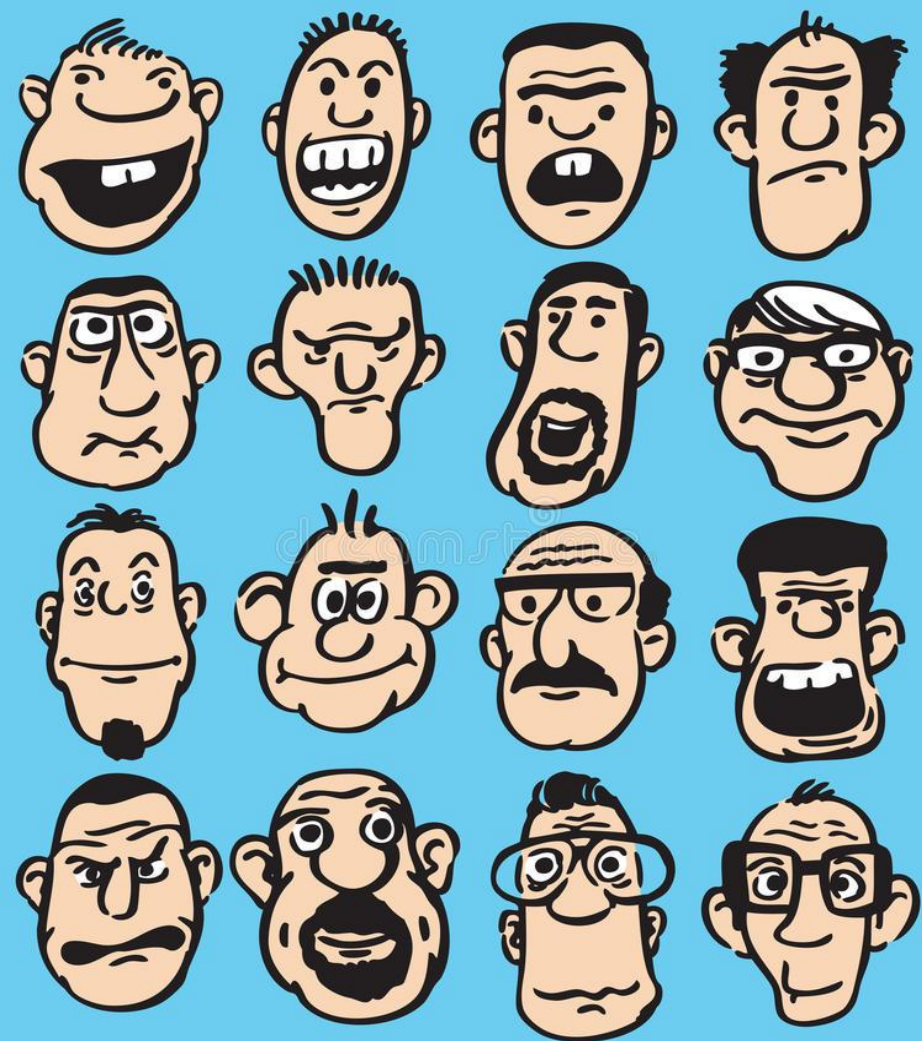


# Preprocessing of Dataset

- **Resizing:** reshape the pictures into 32x32 pixel size
  - Reduce input data sizes while change resolution
  - Restricted data as square, so rectangular pictures are stretched/compressed
- **Channel Matching:** transfer pictures into 3-channel RGB
- **Input Format:**
  - Logistic & Random Forest: 13690x3072 numpy array of uint8s. Each row of the array stores a 32x32 colour image. The first 1024 entries contain the red channel values, the next 1024 the green, and the final 1024 the blue. The image is stored in row-major order, so that the first 32 entries of the array are the red channel values of the first row of the image.
  - ConvNet Models: 4D numpy array of dimension (sample\_size, 32,32,3), sample\_size = 500. Here we used the first 500 data from our dataset, as the computational cost is high especially for our laptops.







3. DIVE INTO

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**MODEL DESIGN**

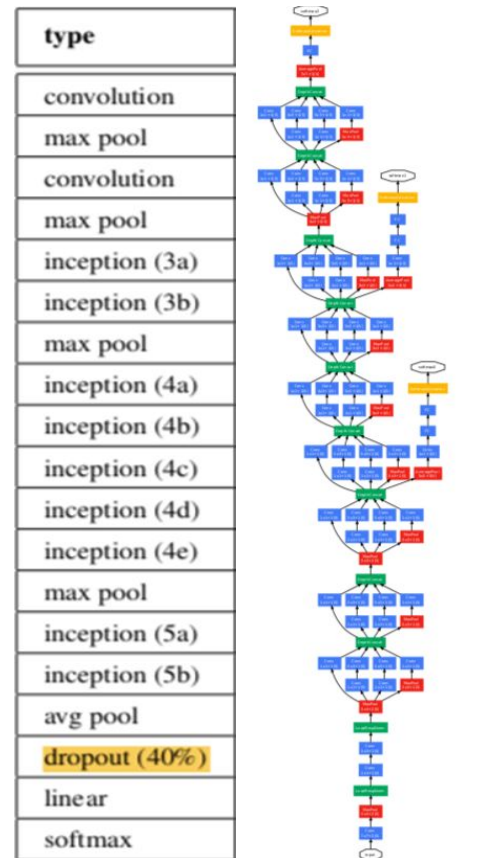
# Model Overview

- Logistic regression
  - `model = LogisticRegression(solver = 'lbfgs', multi_class = 'multinomial', penalty='l2', C = 2e10, max_iter = 1e7)`
- KNN
- Decision Tree
- Random Forest
- CNN with different architectures
  - VGG (VGG11, VGG13, VGG19)
  - GoogLeNet
  - SEResNet (SEResNet18, SEResNet34)
  - InceptionV3
  - MobileNet

# Model Design - GoogLeNet

22 Layer  
Network

- **Inception architecture**: how an optimal local sparse structure in a convolutional vision network can be approximated and covered by readily available dense components.
- Judiciously applying **dimension reductions** and **projections** wherever the computational requirements would increase too much otherwise — rectified linear activation.
- Allow for increasing the number of the units at each stage significantly **without an uncontrolled blow-up in computational complexity**.

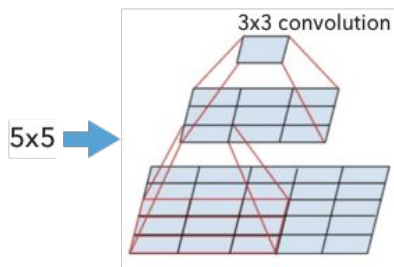


# Model Design - Inception V3

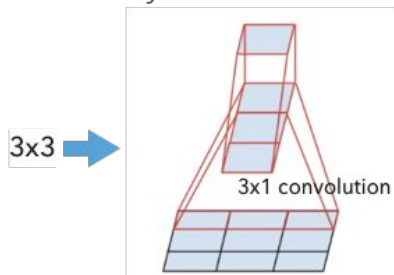
42 Layer  
Network

## ❖ Factorizing Convolutions with Larger Filter Size

- smaller

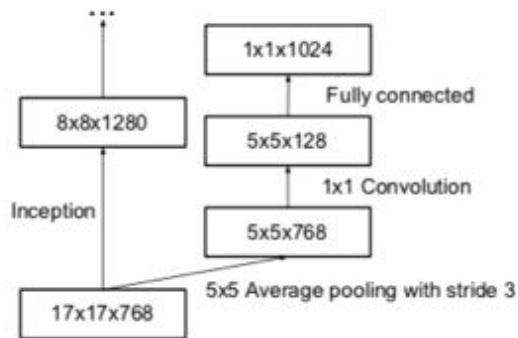


- asymmetric

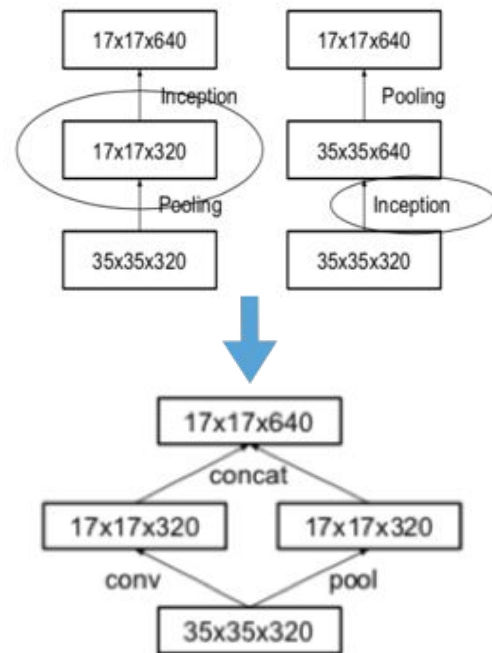


## ❖ Utility of Auxiliary Classifiers

- promote stable learning and convergence
- accuracy reaches slightly higher plateau by the end of training
- regularizer



## ❖ Efficient Grid Size Reduction



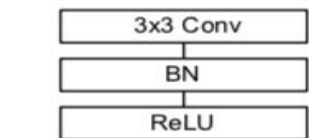
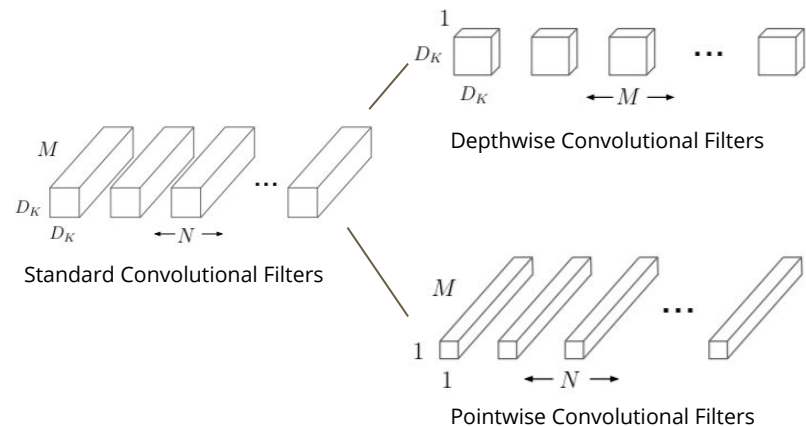
# Model Design - Inception V3

42 Layer  
Network

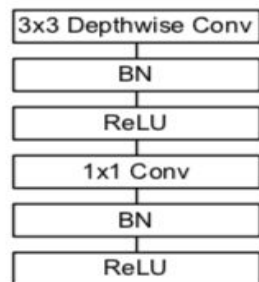
- Label Smoothing Regularization(LSR)
  - Consider cross entropy:  $\ell = -\sum_{k=1}^K \log(p(k))q(k)$ . Minimizing the loss may cause overfitting and reduces the model adaptability.
  - Introducing  $q'(k|x) = (1 - \epsilon)\delta_{k,y} + \epsilon u(k)$ , which is a mixture of original ground-truth distribution and fixed distribution  $u(k)$  with assigned weights.
  - $$H(q', p) = -\sum_{k=1}^K \log p(k)q'(k) = (1-\epsilon)H(q, p) + \epsilon H(u, p)$$
- Good Performance on Low Resolution Input

Receptive Field Size	Top-1 Accuracy (single frame)
79 × 79	75.2%
151 × 151	76.4%
299 × 299	76.6%

# Model Design - Mobile Net



Standard Convolutional Layer

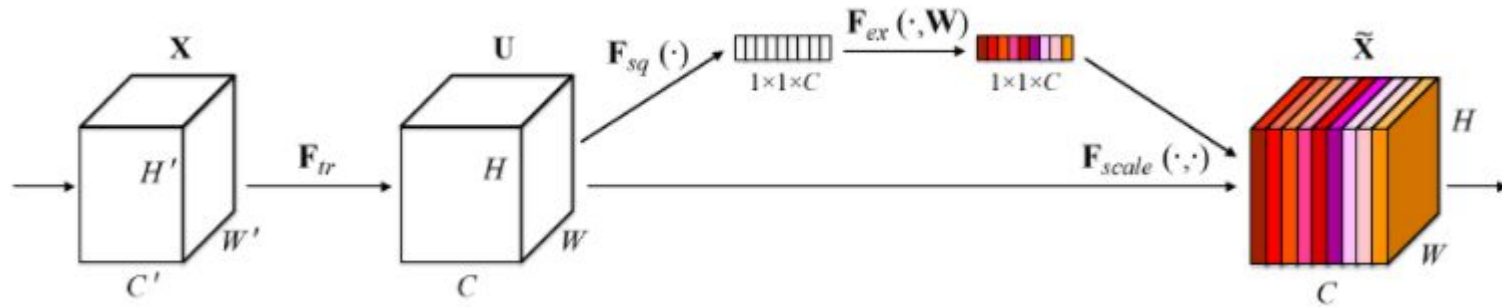


Depthwise Seperable Layer

- Built on **depthwise separable convolutions**, except first layer which is full convolution
- MobileNets use both batchnorm and ReLU nonlinearities for both layers.
- **Small architecture and low latency**
- **Width multiplier:**  $\alpha \in (0, 1]$ 
  - give thinner models
  - reduce computational cost
  - reduce the number of parameters quadratically( $\alpha^2$ )
- **Resolution Multiplier:**  $\rho \in (0, 1]$ 
  - reducing representation
  - reducing computational cost by  $\rho^2$

# Model Design - SENet

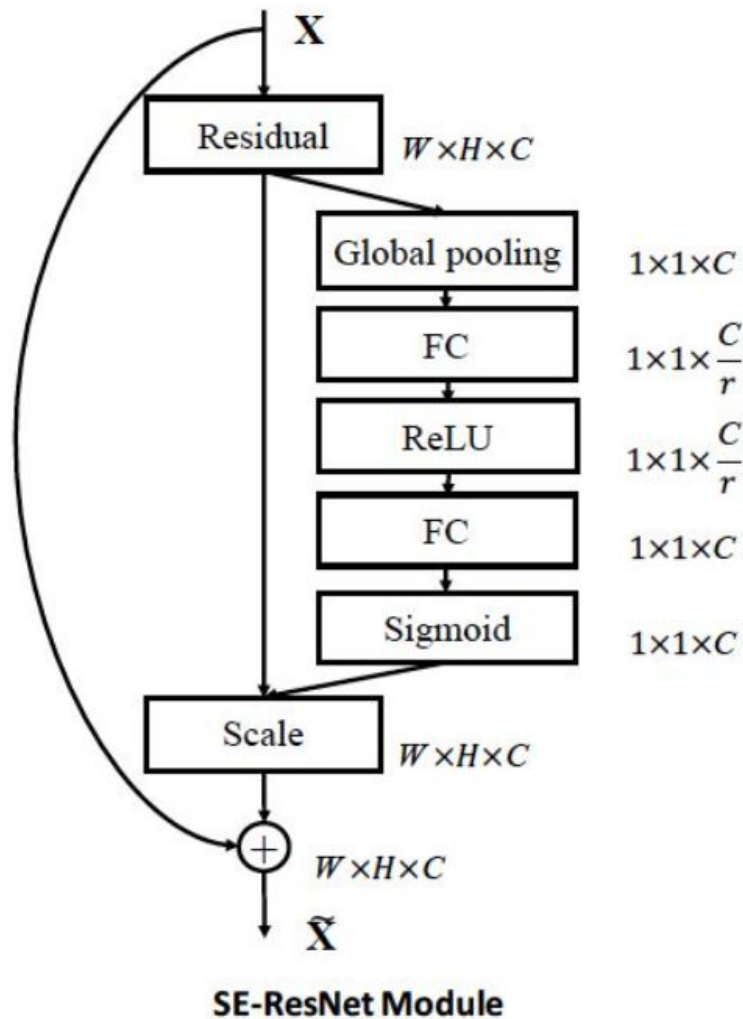
## General SENet

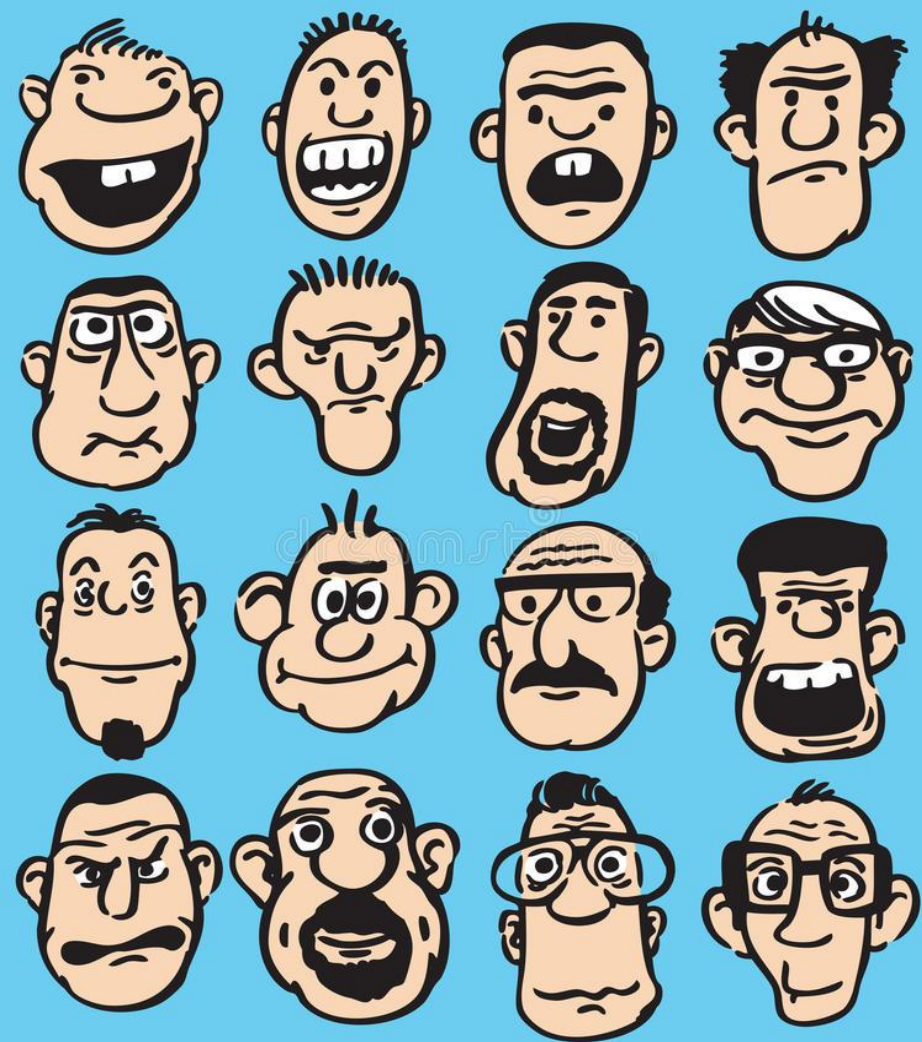




# Model Design - SEResnet

Key idea: Give each channel a weight





## 4. CONTINUE WITH

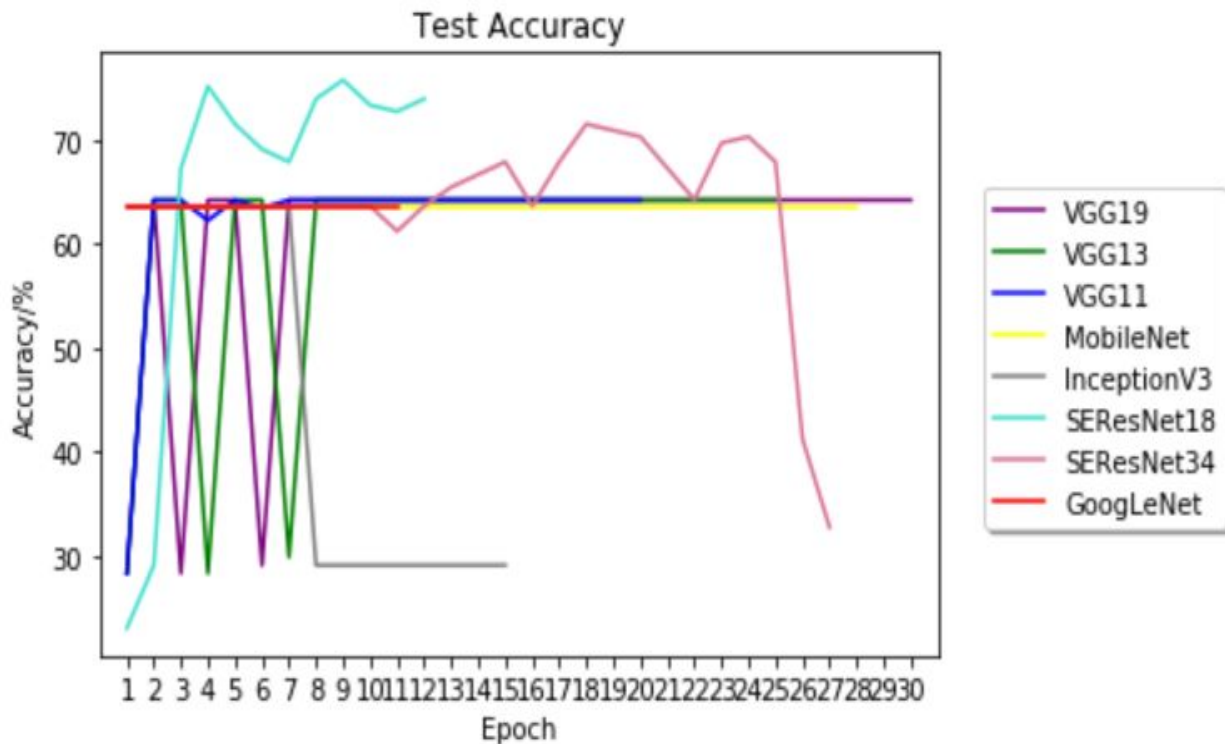
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# RESULTS & DISCUSSIONS

# Training Phase - ConvNets

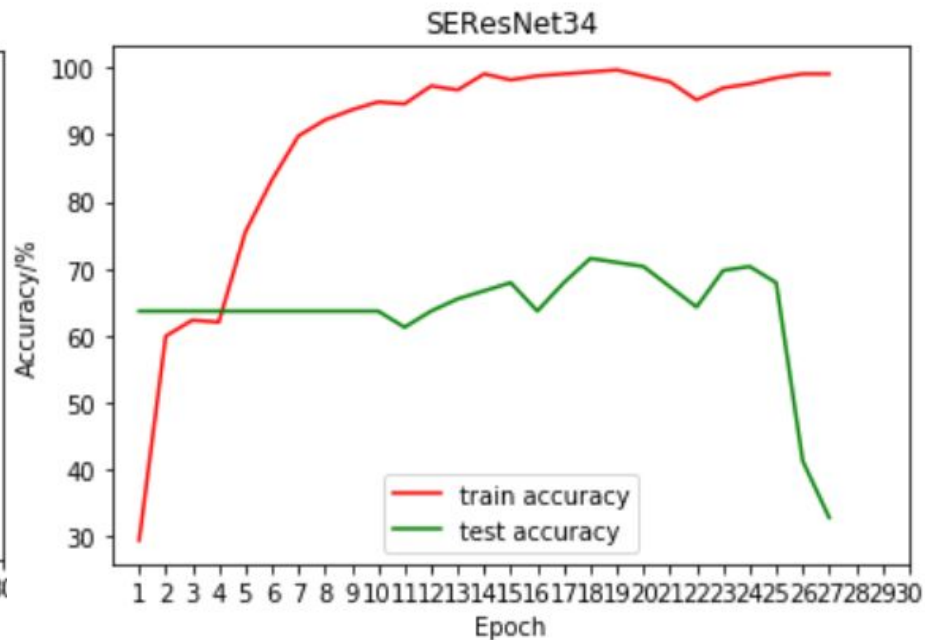
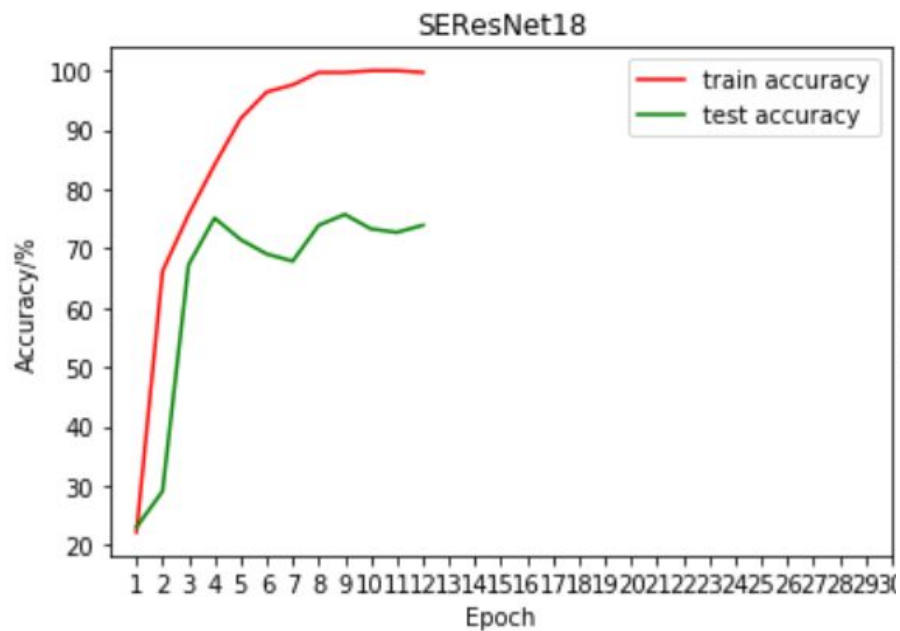
- Use the first 500 labeled images with each 32x32, RGB channel.
- Train test split ratio: 2:1.
- Train for 30 epoches, learning rate = 0.001, batch size = 100.
- **Early stopping:** when test loss increases for 3 consecutive epoches.
- **Loss function:** Cross Entropy Loss
  - `loss_object = tf.keras.losses.SparseCategoricalCrossentropy()`
- **Learning rate update:** Adam
  - `optimizer = tf.keras.optimizers.Adam(args.lr)`

# Results and discussions



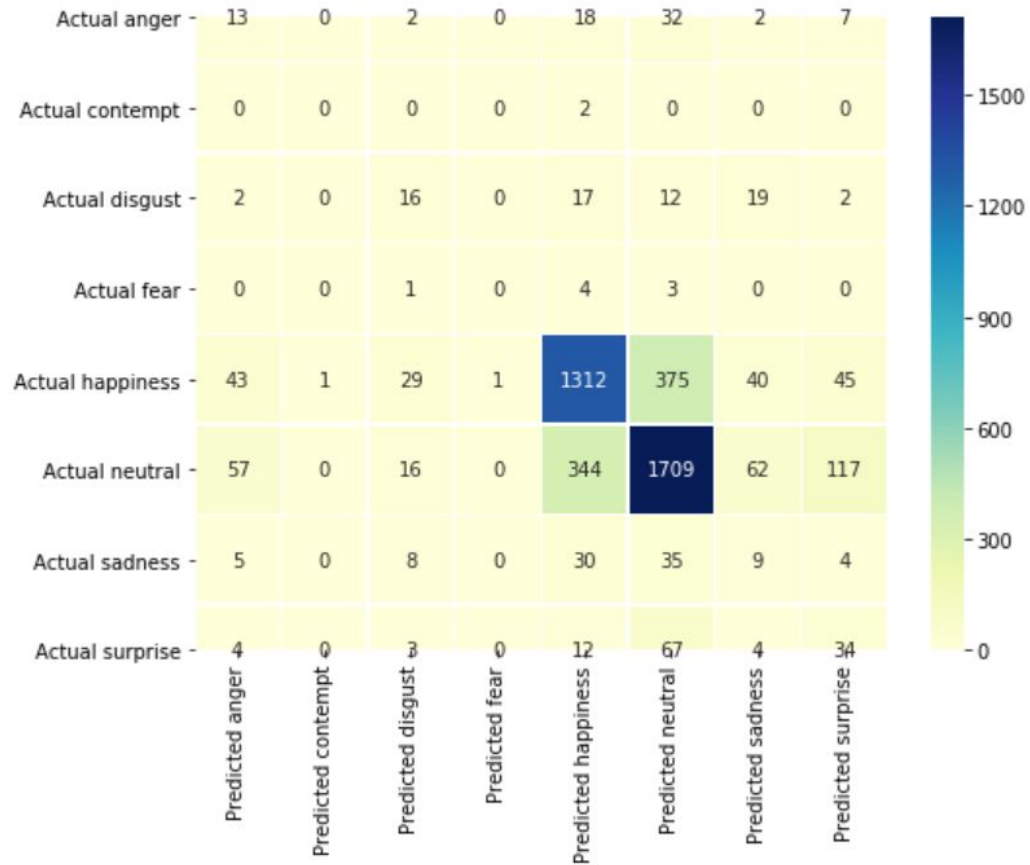
Model	Best Test Accuracy
Logistic Regression	72.2%
KNN	61.0%
Random Forest	71.5%
Decision Tree	60.1%
VGG11,13,18	64.2%
GoogLeNet	63.6%
InceptionV3	63.6%
MobileNet	63.6%
SEResNet18	75.8%
SEResNet34	71.5%

# Results and discussions



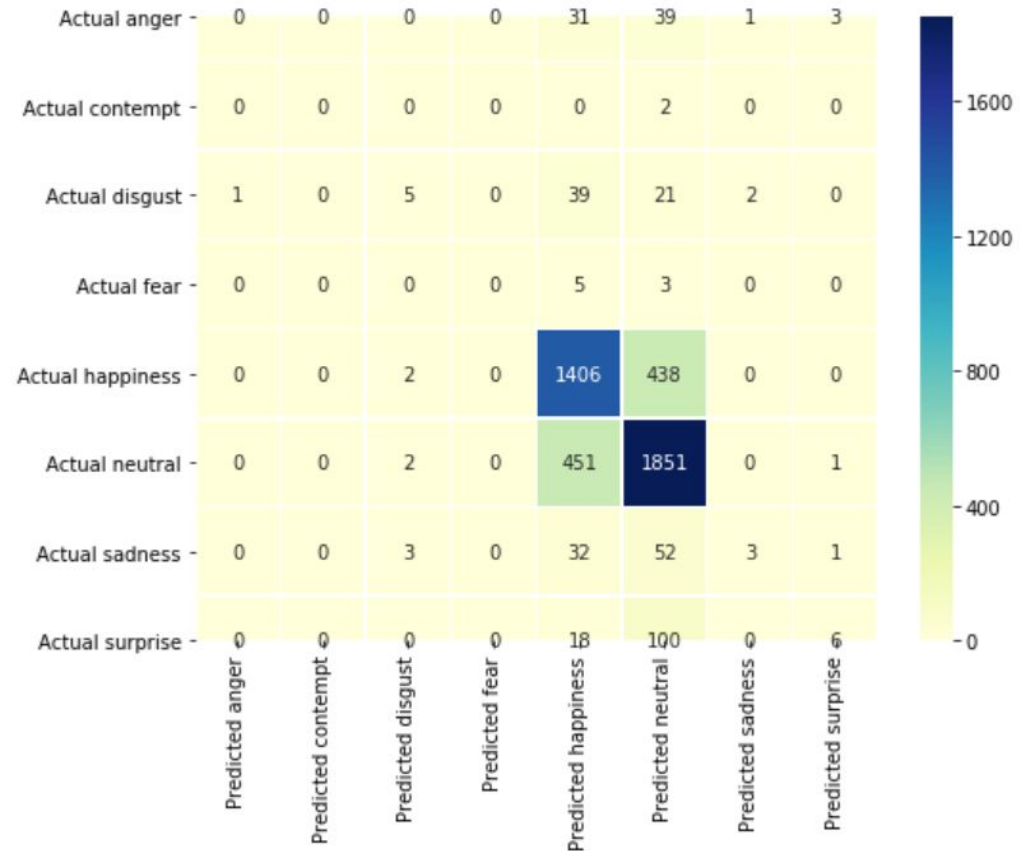
# Results and discussions

Logistic regression

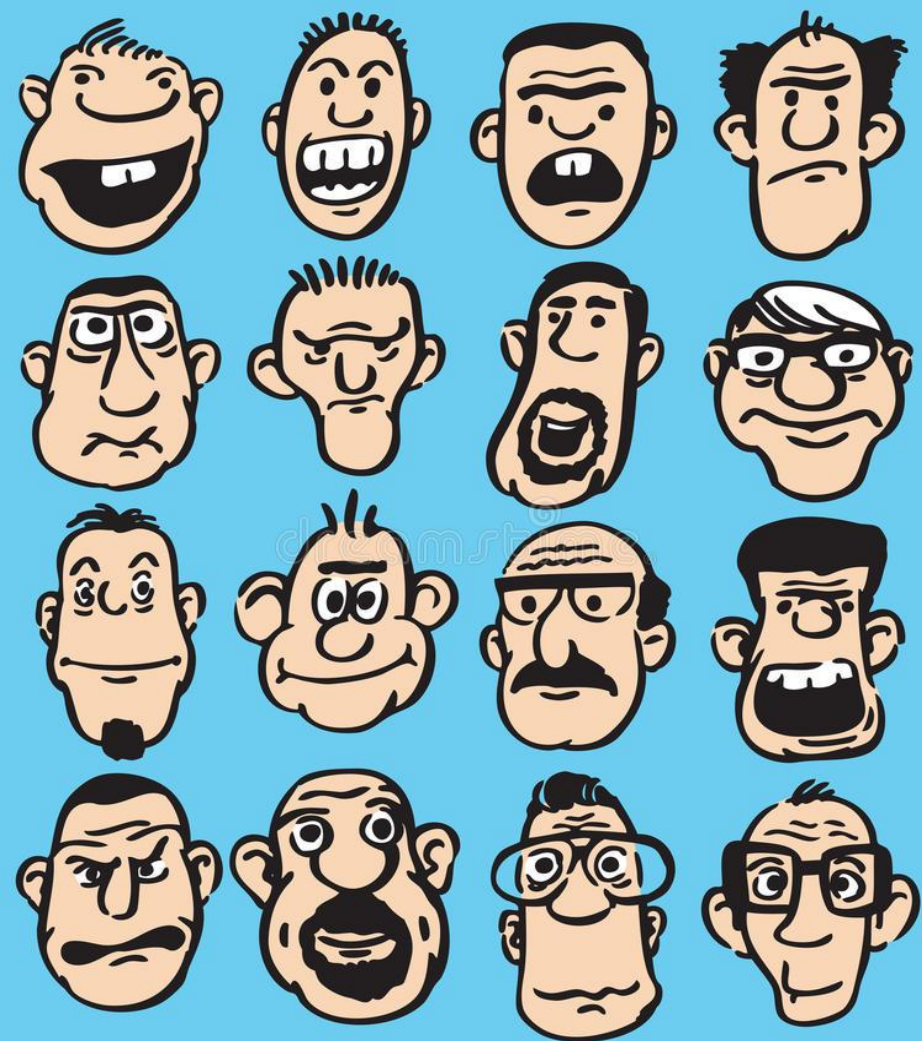


# Results and discussions

## Random Forest







5. ABOUT

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**FUTURE WORKS**

# Future Works

- Dataset not even
  - Solution: Use Bootstrap
- Dataset labels are similar
  - Solution: Design more labels and train with unambiguous images

The image features a 2x3 grid of colored squares. The top row consists of green, orange, and red squares. The bottom row consists of yellow, blue, and pink squares. Each square has a cartoon face peeking over a central light green rectangular sign. The faces are drawn with large, expressive eyes and simple mouths. The green face has a slightly sad or tired expression. The orange face has wide, curious eyes. The red face has very wide, excited eyes. The yellow face has a wide, happy smile. The blue face has a neutral, slightly downturned mouth. The pink face has a wide, happy smile showing teeth.

**THANK YOU!**