ELEMENTARY COMBINATORICS & PROBABILITY

Lecture 1

Sets Basic counting

TODAY

- Introduction
 - about this course;
 - about me.
- What is combinatorics (and why it's worth studying).

Basic counting principles.

• Examples.



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♀ Leuven, Belgium

- Week 1: Combinatorics.
- Weeks 2 & 3: Probability.

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- Evaluation:
 - 5 graded assignments
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- Week 1: Combinatorics.
- Weeks 2 & 3: Probability.
- Evaluation:
 - 5 graded assignments 5% each;
 - 2 interim exams (March 22 & 29) 25% each;
 - Final exam (April 2), 25%.

LET'S START!

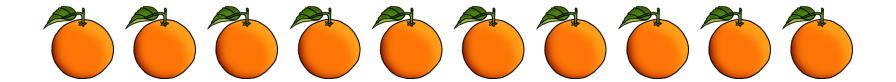
• Fine art of counting.



Elementary Combinatorics and Probability - March 2021

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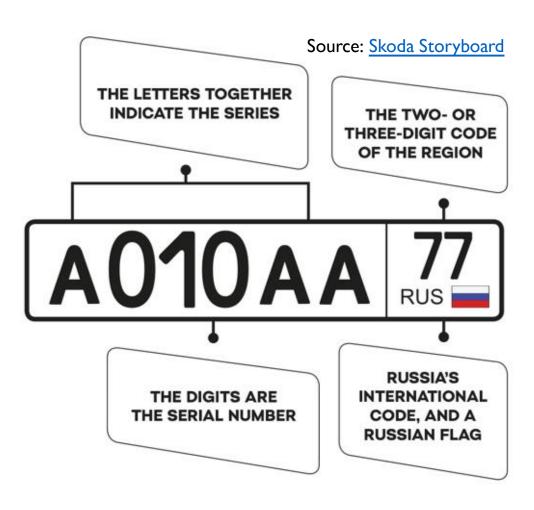
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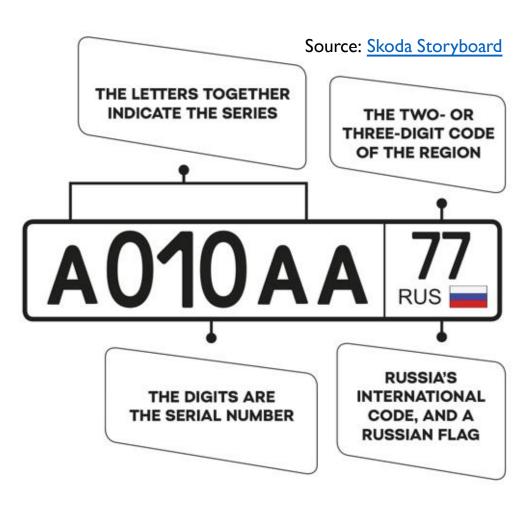
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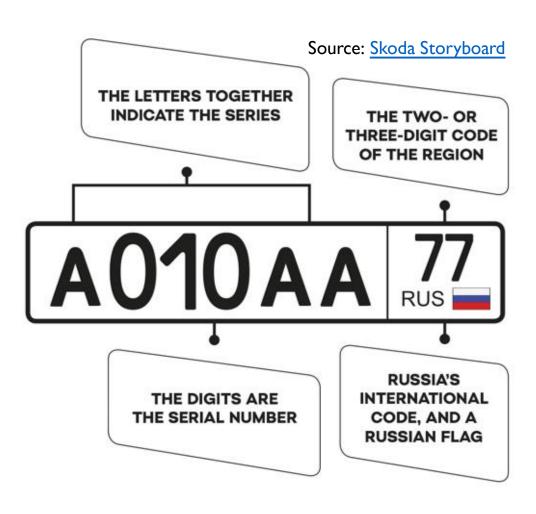
But enumeration is not always easy.



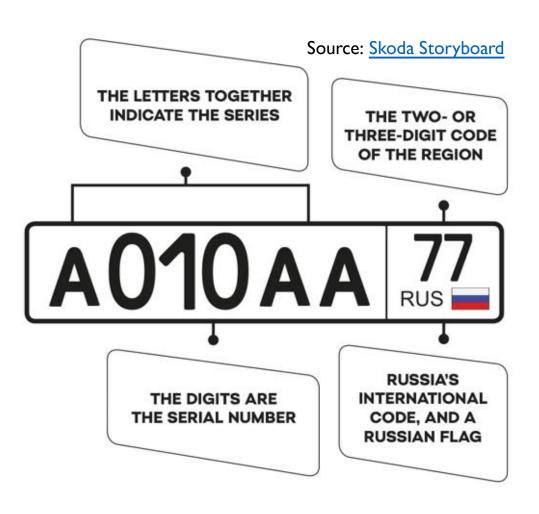
A Russian license plate:
3 letters + 3 digits + regional code



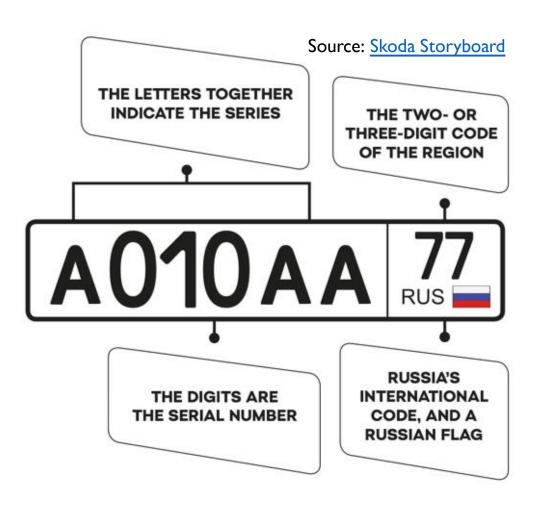
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- 12 letters and 10 digits can be used.
- How many combinations are there per region?
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- Moscow has **nine** regional codes.
 - ~ 1.7 million -> ~15.5 million unique plates.

MOTIVATING EXAMPLE: PHONE NUMBERS

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Japan is running out of phone numbers, so it's making longer ones

Its current 11-digit numbers could run out by 2022

By Jon Porter | @JonPorty | May 16, 2019, 8:45am EDT





Photo by Amelia Holowaty Krales / The Verge

Source: The Verge





Local calls using area codes seen as a better alternative to adding another digit

We can easily count by enumeration:



But enumeration is not always easy.

Combinatorics = fine art of counting (without actually enumerating).

 Computer science: determining the time and storage required to solve a problem.

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- Basis of probability theory
 - we'll see it later in the course.

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 Some proof techniques in mathematics rely on combinatorics.

SET THEORY

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SETS

• Set is a collection of <u>distinct</u> objects in which the <u>order</u> <u>doesn't matter</u>

- {apple, peach} is a set
- {apple, apple, peach} is **not** a set
- {apple, peach, pear} is the same as {peach, apple, pear}

• Set A contains elements a, b and c: A = {a, b, c}

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- Set B contains all even numbers:

$$B = \{x \mid x = 2n, n = 0, 1, 2, ...\}$$

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• Element 5 doesn't belong to set B: 5 ∉ B

FINITE AND INFINITE SETS

- Sets can contain finite number of elements
 - digits: {0, 1, 2, 3, 4, 5, 6, 7, 8, 9}
 - Latin characters: {A, B, C, ..., X, Y, Z}
 - all possible 10-digit phone numbers

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 - natural numbers N: {1, 2, 3, ...}
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EMPTY SET

• A set with no elements:

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• A set with no elements:

$$A = \{\}, \qquad A = \emptyset$$

• Cardinality = "how large the set is".

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- Finite sets: cardinality = number of elements.
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 - B a set of Latin characters, |B| = 26
 - $C = \{\}$ an empty set, |C| = 0

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$$A \subseteq B$$

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Example:

A - a set of all numbers between 0 and 100 divisible by 3.

B – a set of all numbers between 0 and 100.

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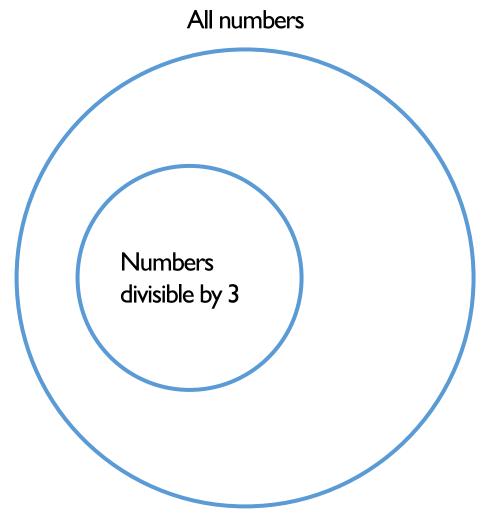
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VISUALIZATION



OPERATIONS WITH SETS

• The union of A and B is

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$$A \cup B = \{x \mid x \in A \text{ or } x \in B \}$$

• Example:

```
A = {apple, banana}
B = {orange, banana, peach}
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 $A \cup B = \{apple, banana, orange, peach\}$

• The **intersection** of A and B is

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$$A \cap B = \{banana\}$$

 Sets A and B are called **disjoint** if they don't have a common element:

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OPERATIONS ON SETS: INTERSECTION

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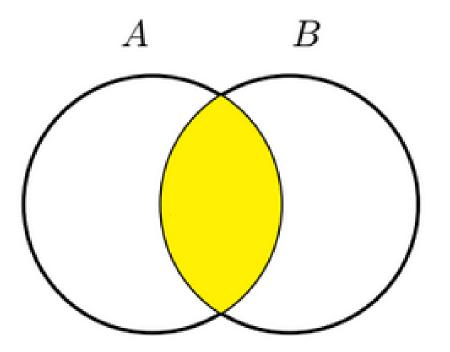
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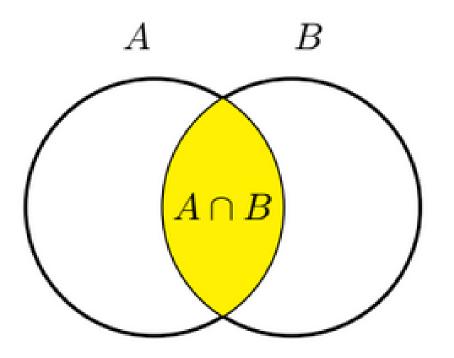
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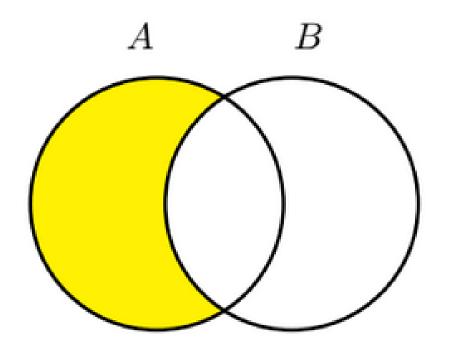
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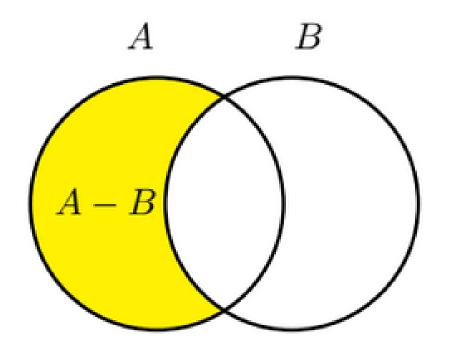
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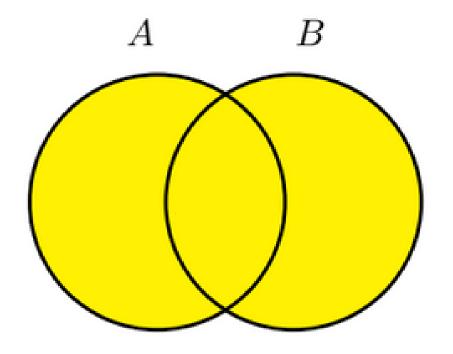
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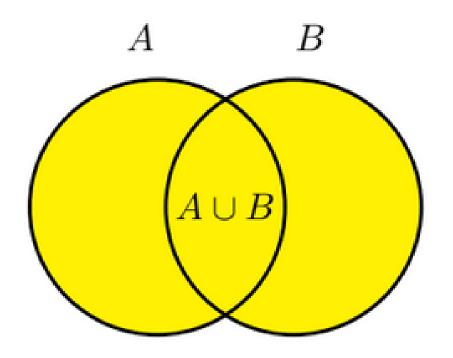






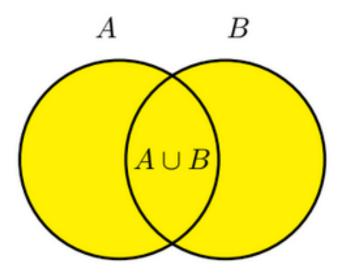




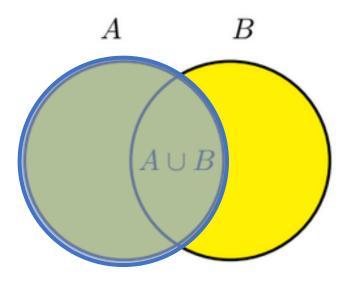


$$A \cap (A \cup B) = \dots$$
?

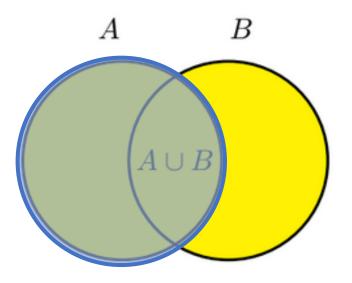
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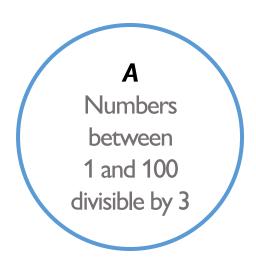
COMPLEMENT OF A SET

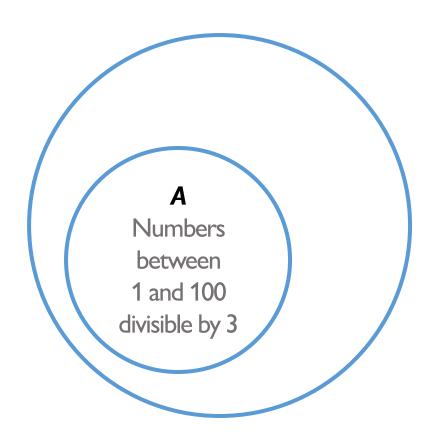
- Let be U the universal set.
 - "a set of everything", whatever that is in the given context.

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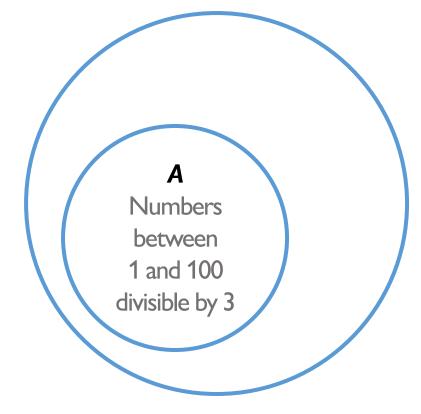
- Let be U the universal set.
 - "a set of everything", whatever that is in the given context.
- Complement of A is

$$A^c = A = U \setminus A$$

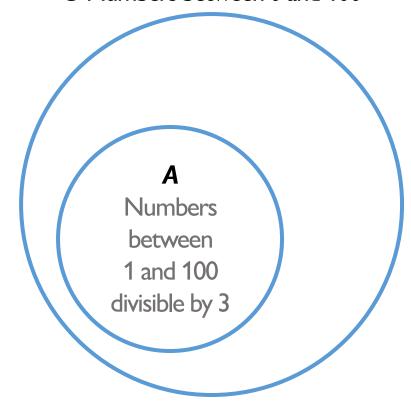




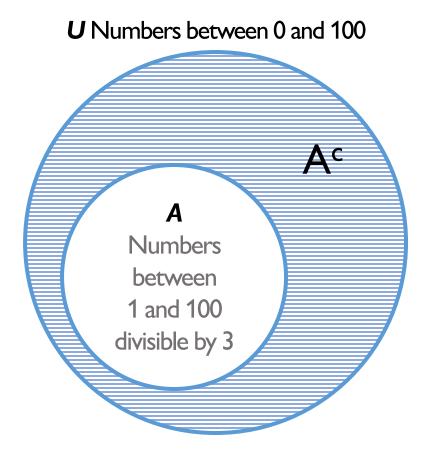
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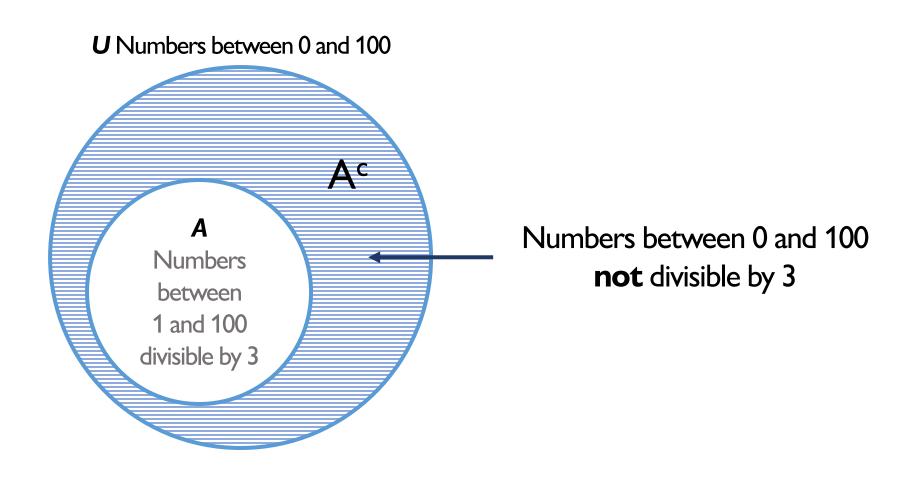


U Numbers between 0 and 100



 $A^c = ?$





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4. A ∩ U =

1. $A \cup \emptyset = A$

2. $A \cap \emptyset = \emptyset$

3. $A \cup U = U$

4. $A \cap U = A$

OTHER LAWS

Name	Rule
Commutative laws	$A \cap B = B \cap A, A \cup B = B \cup A$
Associative laws	$A \cap (B \cap C) = (A \cap B) \cap C$
	$A \cup (B \cup C) = (A \cup B) \cup C$
Distributive laws	$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$
	$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$
De Morgan's laws	$(A \cup B)^c = A^c \cap B^c, (A \cap B)^c = A^c \cup B^c$
Complement laws	$A \cap A^c = \varnothing, A \cup A^c = U$
Double complement law	$(A^c)^c = A$
Idempotent laws	$A \cap A = A, A \cup A = A$
Absorption laws	$A \cap (A \cup B) = A, A \cup (A \cap B) = A$
Dominance laws	$A \cap \emptyset = \emptyset, A \cup U = U$
Identity laws	$A \cup \emptyset = A, A \cap U = A$

CARTESIAN PRODUCT

- Let A and B be two sets.
- Cartesian product of two sets A and B is a set, containing all sequences, where the first element is from set A and the second is from set B:

$$A \times B = \{(a, b) \mid a \in A, b \in B\}$$

- D = {wine, beer, water}
- F = {spaghetti, burgers}

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$$D \times F = {$$

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BACK TO COUNTING...

ADDITION PRINCIPLE

(aka Rule of sum)

- We want to order dinner for tonight:
 - Pizza:
 - Sushi:

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Dominos, Pizza Hut or King Slice (3 restaurants)

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 - 3 pizza places + 2 sushi places =

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How many options are there in total?

3 pizza places + 2 sushi places = 3 + 2 = 5 options in total

RULE OF SUM

• If there are **a** ways of doing something and **b** number of ways of doing another thing and we can not do both at the same time, then there are **a** + **b** ways to choose one of the actions.

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If
$$A_1 \cap A_2 \cap ... \cap A_n = \emptyset$$
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If
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, then

$$|A_1 \cup A_2 \cup ... \cup A_n| = |A_1| + |A_2| + ... + |A_n|$$

MULTIPLICATION PRINCIPLE

(aka Rule of product)

RULE OF PRODUCT INFORMALLY

• If there are **a** ways of doing something and **b** ways of doing another thing, then there are **a** · **b** ways of performing both actions.

- You want to order pizza:
 - first, choose the type of crust:
 - second, choose one topping:

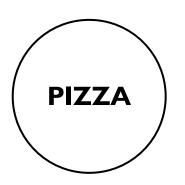
- You want to order pizza:
 - first, choose the type of crust: thin or thick (2 choices);
 - second, choose one topping:

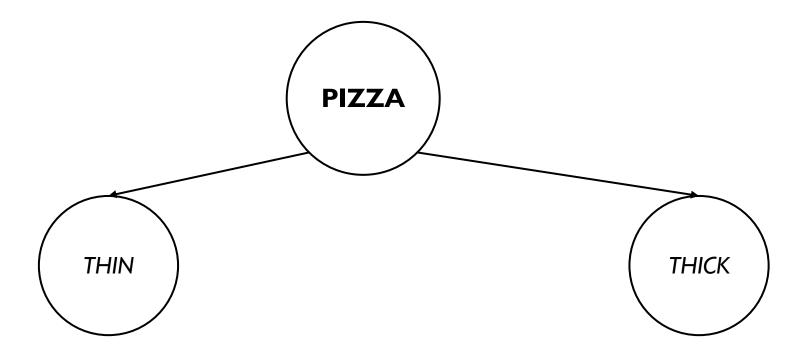
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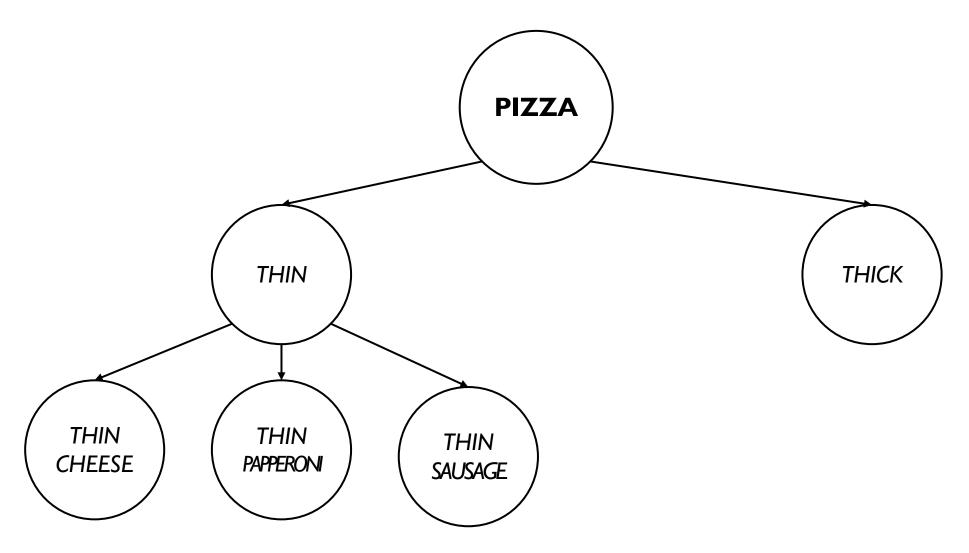
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- How many different pizzas are there?

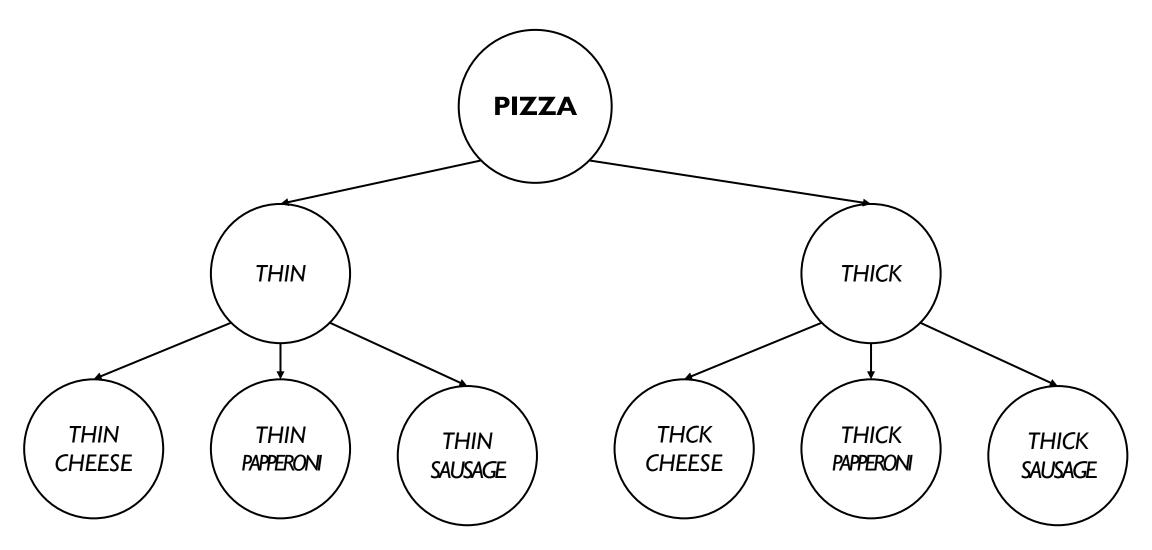
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 - 2 ways of choosing crust \times 3 ways of choosing topping = $2 \times 3 = 6$ different pizzas









RULE OF PRODUCT (SET THEORY)

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For any two sets A and B

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$$|A \times B| =$$

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$$|A \times B| = |A| \cdot |B|$$

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Generalized product rule:

For any two sets A and B

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Generalized product rule:

For any sets $A_1, A_2, ..., A_n$

For any two sets A and B

$$|A \times B| = |A| \cdot |B|$$

Generalized product rule:

For any sets $A_1, A_2, ..., A_n$

$$|A_1 \times A_2 \times ... \times A_n| = |A_1| \cdot |A_2| \cdot ... \cdot |A_n|$$

- One can chose from the following meals during the day:
 - **B**reakfast = {pancakes, eggs, bagel}
 - Lunch = {salad, soup, bagel}
 - **D**inner = {soup, pasta, burgers}

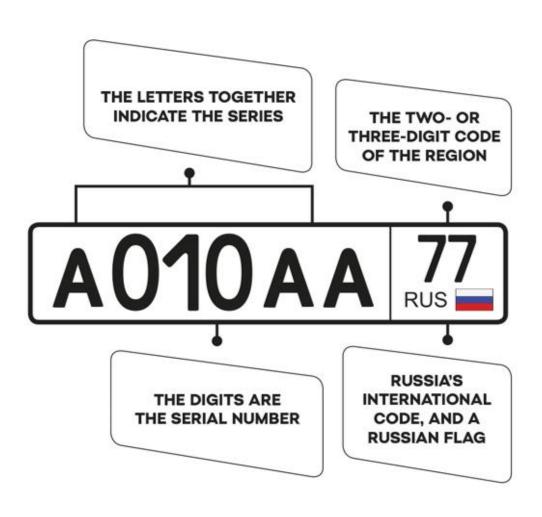
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 - **D**inner = {soup, pasta, burgers}

How many different day menus can one have?

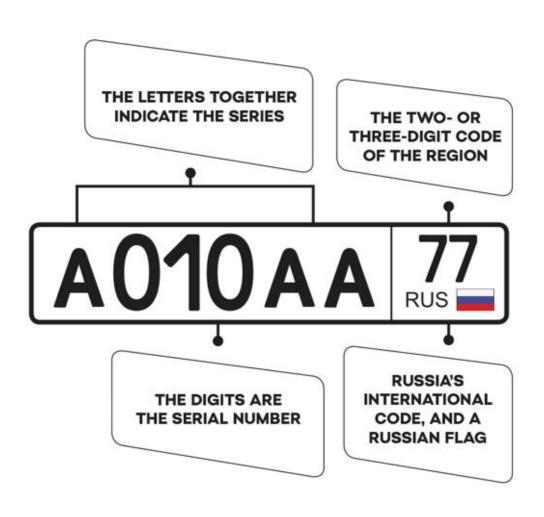
- One can chose from the following meals during the day:
 - **B**reakfast = {pancakes, eggs, bagel}
 - Lunch = {salad, soup, bagel}
 - Dinner = {soup, pasta, burgers}

How many different day menus can one have?

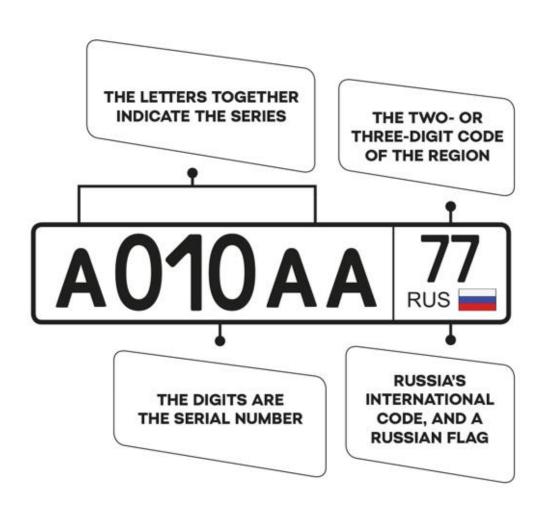
$$|B| \cdot |L| \cdot |D| = 3 \cdot 3 \cdot 3 = 27$$



- License plate:
- 3 letters + 3 digits + regional code
- How many unique plates are there per regional code?

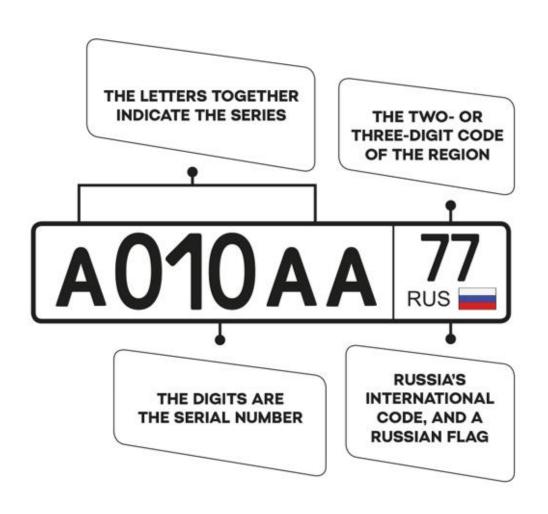


- License plate:
- 3 letters + 3 digits + regional code
- How many unique plates are there per regional code?
- 12 letters and 10 digits can be used:



- License plate:
- 3 letters + 3 digits + regional code
- How many unique plates are there per regional code?
- 12 letters and 10 digits can be used:

 $12 \cdot 12 \cdot 12 \cdot 10 \cdot 10 \cdot 10$



- License plate:
- 3 letters + 3 digits + regional code
- How many unique plates are there per regional code?
- 12 letters and 10 digits can be used:

12 · 12 · 10 · 10 · 10 = 1 728 000 unique plates

MORE EXAMPLES

Sum and product rules in action

```
for i in range(n):
    print('hello')

for j in range(m):
    print('hello')

for j in range(m):
    print('hello')
```

RULE OF SUM

```
for i in range(n):
    print('hello')

for j in range(m):
    print('hello')
```

RULE OF SUM

```
for i in range(n):
    print('hello')

for j in range(m):
    print('hello')

for j in range(m):
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```

hello will be printed **m + n** times

RULE OF SUM

RULE OF PRODUCT

```
for i in range(n):
    print('hello')

for j in range(m):
    print('hello')

for j in range(m):
    print('hello')
```

hello will be printed **m** + **n** times

RULE OF SUM

RULE OF PRODUCT

```
for i in range(n):
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for j in range(m):
    print('hello')

for j in range(m):
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```

hello will be printed **m + n** times

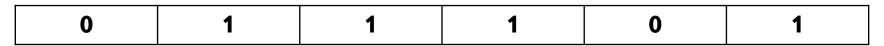
hello will be printed m*n times

• Example of a 6-bit string:

0	1	1	1	0	1

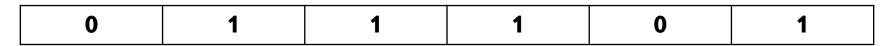
How many such strings are there?

• Example of a 6-bit string:



- How many such strings are there?
- Solution:

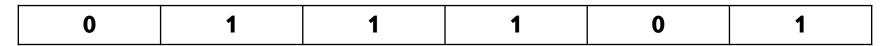
• Example of a 6-bit string:



- How many such strings are there?
- Solution:

*	*	*	*	*	*

• Example of a 6-bit string:



- How many such strings are there?
- Solution:

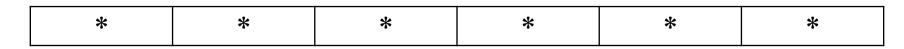
*	*	*	*	*	*

Each position:

• Example of a 6-bit string:

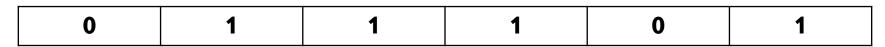


- How many such strings are there?
- Solution:

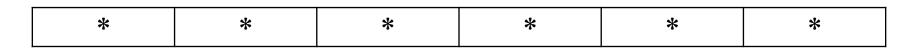


Each position: 0 or 1 (2 choices)

• Example of a 6-bit string:



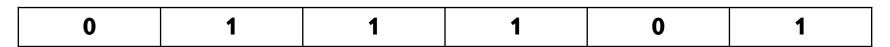
- How many such strings are there?
- Solution:



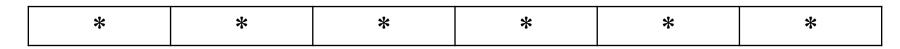
Each position: 0 or 1 (2 choices)

Product rule:

• Example of a 6-bit string:



- How many such strings are there?
- Solution:



Each position: 0 or 1 (2 choices)

Product rule:

 $2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 = 2^6 = 64$ different 6-bit strings.

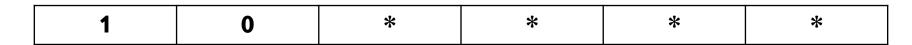
• How many such strings are there that start with 10?

• How many such strings are there that start with 10?

• Solution:

1	0	*	*	*	*

- How many such strings are there that start with 10?
- Solution:



Positions 1 & 2: 10

Other positions: 0 or 1 (2 choices)

- How many such strings are there that start with 10?
- Solution:

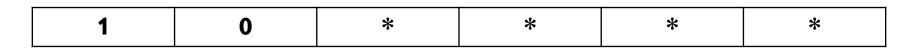


Positions 1 & 2: 10

Other positions: 0 or 1 (2 choices)

Product rule:

- How many such strings are there that start with 10?
- Solution:



Positions 1 & 2: 10

Other positions: 0 or 1 (2 choices)

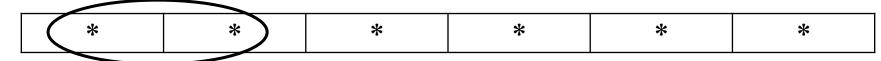
Product rule:

$$1 \cdot 1 \cdot 2 \cdot 2 \cdot 2 \cdot 2 = 2^4 = 16$$
 different 6-bit strings that start with **10**.

• How many such strings are there that **don't** start with 10?

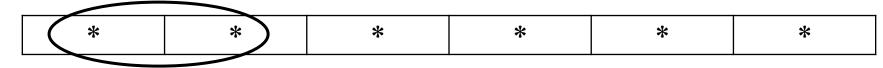
• How many such strings are there that **don't** start with 10?

• Solution: not 10



• How many such strings are there that **don't** start with 10?

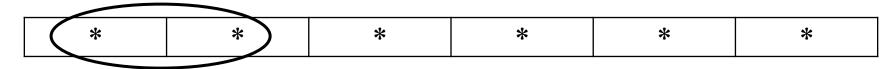
• Solution: not 10



SOLUTION 1

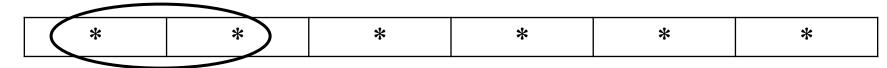
|strings that **don't** start with 10| =

- How many such strings are there that **don't** start with 10?
- Solution: not 10



SOLUTION 1 |strings that **don't** start with 10| = |strings that start with 00| + |strings that start with 01| + |strings that start with 11|

- How many such strings are there that don't start with 10?
- Solution: not 10

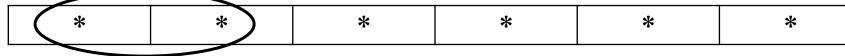


SOLUTION 1 | strings that **don't** start with 10| = | strings that start with 00| + | strings that start with 01| + | strings that start with 11|

$$2^4 + 2^4 + 2^4 = 16 + 16 + 16 = 48$$

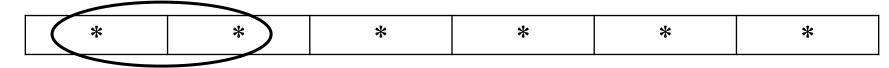
• How many such strings are there that **don't** start with 10?

• Solution: not 10



SOLUTION 2 |strings that **don't** start with 10| =

- How many such strings are there that **don't** start with 10?
- Solution: not 10

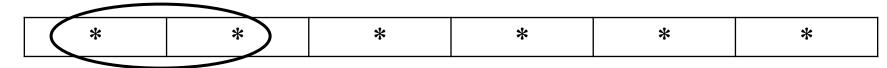


SOLUTION 2

|strings that **don't** start with 10| = |all strings| - |strings that **do** start with 10|

NUMBER OF 6-BIT STRINGS

- How many such strings are there that **don't** start with 10?
- Solution: not 10



SOLUTION 2

|strings that **don't** start with 10| = |all strings| - |strings that **do** start with 10|

$$2^6 - 2^4 = 64 - 16 = 48$$

How many bit strings are there of length 6 or less?

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- Solution:

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- Solution:
- 1. Sum rule:

$$N = N_6 + N_5 + N_4 + N_3 + N_2 + N_1$$
, $N_i - \#$ bit strings of length i

- How many bit strings are there of length 6 or less?
- Solution:
- 1. Sum rule:

$$N = N_6 + N_5 + N_4 + N_3 + N_2 + N_1$$
, $N_i - \#$ bit strings of length i

$$N_i =$$

- How many bit strings are there of length 6 or less?
- Solution:
- 1. Sum rule:

$$N = N_6 + N_5 + N_4 + N_3 + N_2 + N_1$$
, $N_i - \#$ bit strings of length i

2. Product rule:

$$N_i = 2^i$$

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- Solution:
- 1. Sum rule:

$$N = N_6 + N_5 + N_4 + N_3 + N_2 + N_1$$
, $N_i - \#$ bit strings of length i

2. Product rule:

$$N_i = 2^i$$

$$N = 2^6 + 2^5 + 2^4 + 2^3 + 2^2 + 2^1 = 126$$

• Consider a set {a, b, c}. How many subsets does it have? List them all.

• Consider a set {a, b, c}. How many subsets does it have? List them all.

• 0 elements:

• 1 element:

• 2 elements:

• Consider a set {a, b, c}. How many subsets does it have? List them all.

• 0 elements:

 $\{\}$

- 1 element:
- 2 elements:
- 3 elements:

• Consider a set {a, b, c}. How many subsets does it have? List them all.

• 0 elements:

 $\{\}$

• 1 element:

{a} {b} {c}

• 2 elements:

• Consider a set {a, b, c}. How many subsets does it have? List them all.

• 0 elements:

 $\{\}$

• 1 element:

{a} {b} {c}

• 2 elements:

 ${a, b} {a, c} {b, c}$

- Consider a set {a, b, c}. How many subsets does it have? List them all.
- 0 elements:

• 1 element:

• 2 elements:

$${a, b} {a, c} {b, c}$$

- A 3-element set has 8 subsets.
- How many subsets does a set of *n* elements have?

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- Solution:

- A 3-element set has 8 subsets.
- How many subsets does a set of *n* elements have?

Solution:

Each element can either be included in the subset (1) or not (0):

- A 3-element set has 8 subsets.
- How many subsets does a set of *n* elements have?

Solution:

Each element can either be included in the subset (1) or not (0):

0	1	0	•••	0	0	1

- A 3-element set has 8 subsets.
- How many subsets does a set of *n* elements have?

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Each element can either be included in the subset (1) or not (0):

0 1 0	•••	0	0	1
-------	-----	---	---	---

2 options per each of the *n* element (just like bit-strings!).

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Each element can either be included in the subset (1) or not (0):

0 1 0	•••	0	0	1
-------	-----	---	---	---

2 options per each of the *n* element (just like bit-strings!).

Product rule: $2 \cdot 2 \cdot \dots \cdot 2 = 2^n$ subsets

- A valid password contains 6 to 8 symbols
 - first symbol is a letter (upper- or lowercase);
 - other symbols are letters (upper- or lowercase) or digits.
- How many different passwords are there?

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- How many different passwords are there?
- Solution:
 - Sum rule:

- A valid password contains 6 to 8 symbols
 - first symbol is a letter (upper- or lowercase);
 - other symbols are letters (upper- or lowercase) or digits.
- How many different passwords are there?
- Solution:

Sum rule:

$$N = P_6 + P_7 + P_8$$
 $P_i - \#$ passwords of length i

• Solution:

Sum rule: $N = P_6 + P_7 + P_8$

 P_i – # passwords of length i

• Solution:

Sum rule: $N = P_6 + P_7 + P_8$

 $P_i - \#$ passwords of length i

First symbol:

upper- or lowercase letter

Other symbols:

upper- or lowercase letter or digit

• Solution:

Sum rule: $N = P_6 + P_7 + P_8$

 P_i – # passwords of length i

First symbol:

upper- or lowercase letter $-2 \cdot 26 = 52$ options

Other symbols:

upper- or lowercase letter or digit

• Solution:

Sum rule: $N = P_6 + P_7 + P_8$

 P_i – # passwords of length i

First symbol:

upper- or lowercase letter $-2 \cdot 26 = 52$ options

Other symbols:

upper- or lowercase letter or digit -52 + 10 = 62 options

Solution:

Sum rule:
$$N = P_6 + P_7 + P_8$$

 P_i – # passwords of length i

First symbol:

upper- or lowercase letter $-2 \cdot 26 = 52$ options

Other symbols:

upper- or lowercase letter or digit -52 + 10 = 62 options

$$P_6 =$$

$$P_7 =$$

$$P_8 =$$

Solution:

Sum rule:
$$N = P_6 + P_7 + P_8$$

 P_i – # passwords of length i

First symbol:

upper- or lowercase letter $-2 \cdot 26 = 52$ options

Other symbols:

upper- or lowercase letter or digit -52 + 10 = 62 options

Product rule:

$$P_6 =$$

$$P_7 =$$

$$P_8 =$$

• Solution:

Sum rule: $N = P_6 + P_7 + P_8$

 P_i – # passwords of length i

First symbol:

upper- or lowercase letter $-2 \cdot 26 = 52$ options

Other symbols:

upper- or lowercase letter or digit -52 + 10 = 62 options

Product rule:

 $P_6 = 52 \cdot 62^5$

 $P_7 =$

 $P_8 =$

• Solution:

Sum rule: $N = P_6 + P_7 + P_8$

 P_i – # passwords of length i

First symbol:

upper- or lowercase letter $-2 \cdot 26 = 52$ options

Other symbols:

upper- or lowercase letter or digit -52 + 10 = 62 options

Product rule:

 $P_6 = 52 \cdot 62^5$

 $P_7 = 52 \cdot 62^6$

 $P_8 = 52 \cdot 62^7$

Solution:

Sum rule: $N = P_6 + P_7 + P_8$

 P_i – # passwords of length i

First symbol:

upper- or lowercase letter $-2 \cdot 26 = 52$ options

Other symbols:

upper- or lowercase letter or digit -52 + 10 = 62 options

Product rule:

 $P_6 = 52 \cdot 62^5$ $P_7 = 52 \cdot 62^6$

 $P_8 = 52 \cdot 62^7$

 $N = 52 \cdot 62^5 + 52 \cdot 62^6 + 52 \cdot 62^7$

OTHER USEFUL TRICKS

How to count the number of people in the class?

- How to count the number of people in the class?
 - Count heads

- How to count the number of people in the class?
 - Count heads
 - Count their laptops

- How to count the number of people in the class?
 - Count heads
 - Count their laptops
 - Count ears and divide by 2 © (assuming that everyone has exactly two ears)



• There are *n* people in a room. Everyone shakes hands with everyone. How many handshakes are there?

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• Solution:

• There are *n* people in a room. Everyone shakes hands with everyone. How many handshakes are there?

• Solution:

Every person (n) shakes hands with everyone else:

• There are *n* people in a room. Everyone shakes hands with everyone. How many handshakes are there?

• Solution:

Every person (n) shakes hands with everyone else (n-1):

n(n-1) handshakes?

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• Solution:

Every person (n) shakes hands with everyone else (n-1):

$$n(n-1)$$
 handshakes?

We counted each handshake twice!

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Every person (n) shakes hands with everyone else (n-1):

n(n-1) handshakes?

We counted each handshake twice!

Fixing it:

• There are *n* people in a room. Everyone shakes hands with everyone. How many handshakes are there?

• Solution:

Every person (n) shakes hands with everyone else (n-1):

$$n(n-1)$$
 handshakes?

• We counted each handshake twice!

Fixing it:
$$\frac{n(n-1)}{2}$$

LET'S PRACTICE

https://docs.google.com/document/d/1XM0qoghJNkjnrVL3QVb_cSYRnfz1qdf7CLK6yFezF18/edit?usp=sharing

TO SUM UP

- The basics of set theory.
- Basic counting principles:
 - sum rule;
 - product rule;
 - combining the rules.
- Other tricks
 - using complement of a set;
 - overcounting.