## CPSC-354 Report

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#### Abstract

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# 2.1 Week 1

### Lecture Summary

We introduced formal systems and worked with Hofstadter's MIU-system as a rule-based rewriting game. Alphabet:  $\Sigma = \{M, I, U\}$ . Axiom (start string): MI. Production rules:

- **(R1)** If a string ends in I, append  $U: xI \Rightarrow xIU$ .
- **(R2)** If a string is Mx, duplicate x:  $Mx \Rightarrow Mxx$ .
- **(R3)** Replace any *III* by  $U: xIIIy \Rightarrow xUy$ .
- **(R4)** Delete any  $UU: xUUy \Rightarrow xy$ .

Key idea: reason about *invariants* that rules preserve, instead of searching blindly through derivations.

### Homework: The MU-puzzle

**Definition 2.1** (I–count and residue). For a string w, let  $\#_I(w)$  be the number of I's in w, and define the residue

$$\varphi(w) = \#_I(w) \mod 3 \in \{0, 1, 2\}.$$

**Lemma 2.2** (Effect of each rule on  $\#_I$ ). For any string w:

- 1. (R1) and (R4) do not change  $\#_I$ .
- 2. (R2) doubles the number of I's after the initial M, so  $\varphi$  is multiplied by 2 modulo 3.
- 3. (R3) decreases  $\#_I$  by 3, so  $\varphi$  is unchanged.

**Proposition 2.3** (Invariant modulo 3). Every string derivable from MI has  $\varphi \in \{1,2\}$ . In particular, no derivable string has  $\varphi = 0$ .

*Proof.* We use induction on the length of a derivation from MI.

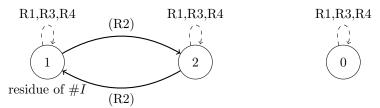
Base.  $\varphi(MI) = 1$ .

Step. Assume  $\varphi \in \{1, 2\}$  for some derivable w. By Lemma 2.2, rules (R1), (R3), and (R4) keep  $\varphi$  unchanged, and rule (R2) maps  $1 \leftrightarrow 2$  modulo 3. None of these operations yields 0 from a value in  $\{1, 2\}$ . Therefore the next string also has  $\varphi \in \{1, 2\}$ .

**Theorem 2.4** (MU is unreachable). MU cannot be derived from MI in the MIU-system.

*Proof.* MU contains zero I's, hence  $\varphi(MU) = 0$ . By Proposition 2.3, every derivable string has residue 1 or 2. Thus MU is not derivable.

Conclusion. Starting from MI we can toggle the residue  $1 \leftrightarrow 2$  with (R2) and otherwise keep it fixed with (R1), (R3), (R4). We never reach residue 0, so no sequence of legal rule applications yields MU.



Question: If the MU-puzzle shows that some goals are unreachable due to invariants (like the mod-3 property of I's), how does this idea connect to undecidability in programming languages?

#### 2.2 Week 2

### Lecture Summary

We introduced Abstract Reduction Systems (ARS): a pair (A, R) with one-step reduction  $R \subseteq A \times A$ . Key notions: reducible/normal form, joinability, confluence, termination, and unique normal forms.

#### Homework Part 2: The 8 Combinations

We provide an example ARS for each combination of (confluent, terminating, unique NFs). If a row is impossible, we explain why.

Confluent	Terminating	Unique NFs	Example
True	True	True	$A = \{a\}, R = \emptyset \text{ (Fig. 1)}$
True	True	False	Impossible
True	False	True	$A = \{a, b\}, R = \{(a, a), (a, b)\}$ (Fig. 2)
True	False	False	$A = \{a\}, R = \{(a, a)\} $ (Fig. 3)
False	True	True	Impossible
False	True	False	$A = \{a, b, c\}, R = \{(a, b), (a, c)\} $ (Fig. 4)
False	False	True	Impossible
False	False	False	$A = \{a, b, c\}, R = \{(a, b), (a, c), (b, b), (c, c)\}$ (Fig. 5)

Why some rows are impossible. If an ARS has unique normal forms, it must be confluent. If an ARS is both confluent and terminating, then every element reduces to a unique normal form. Therefore the rows (T,T,F), (F,T,T), and (F,F,T) cannot occur.



Figure 1: Combination (True, True, True). Terminating, confluent, unique NF.



Figure 2: Combination (True, False, True). Non-terminating, confluent, unique NF b.



Figure 3: Combination (True, False, False). Non-terminating, confluent, no normal form.



Figure 4: Combination (False, True, False). Terminating, not confluent; two distinct normal forms b, c are not joinable.



Figure 5: Combination (False, False, False). Non-terminating (loops), not confluent, no unique normal forms.

Conclusion. The MU-puzzle illustrates how invariants prove impossibility in a formal system. The ARS framework provides the general language to study rewrite systems via termination, confluence, and normal forms. The 8-combination analysis shows which behaviors are possible and which are structurally impossible.

**Question:** Could there be a general framework that unifies invariants with confluence and termination, so that impossibility and determinism appear as two sides of the same rewriting theory?

- 3 Essay
- 4 Evidence of Participation
- 5 Conclusion

### References

[BLA] Author, Title, Publisher, Year.