

Stable Canonical Rules II

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Multi Conclusion Rules

We review some recent and ongoing work concerning multi-conclusion rules; main topics will be

- rule systems and Hilbert-style calculi for hyper-formulae;
- Jerabek's reformulation of canonical formulae and rule-dichotomy property;
- stable rules as canonical axiomatizations;
- dichotomy property for stable rules (ongoing joint with N. & G. Bezhanishvili, D. Gabelaia, M. Jibladze).

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- 1 Multiconclusion Rules
- 2 Canonical rules via subreductions
- 3 Canonical rules via stable maps

Why many conclusions?

A *multiple-conclusion rule* is a pair of finite sets of formulae $\langle \Gamma, S \rangle$.

If $\Gamma = \{\gamma_1, \dots, \gamma_n\}$, $S = \{\delta_1, \dots, \delta_m\}$, we write the rule $\langle \Gamma, S \rangle$ as Γ/S or as

$$\frac{\gamma_1, \dots, \gamma_n}{\delta_1 \mid \dots \mid \delta_m} (R)$$

The formulae $\Gamma = \{\gamma_1, \dots, \gamma_n\}$ are said to be the *premises* of the rule (R) and the formulae $S = \{\delta_1, \dots, \delta_m\}$ are said to be the *conclusions* of the rule (R) .

The rule (R) is *valid* in a modal algebra (A, \Box) iff for every valuation V

$$V(\gamma_1) = 1 \ \& \ \dots \ \& \ V(\gamma_n) = 1 \quad \Rightarrow \quad V(\delta_1) = 1 \ \text{or} \ \dots \ \text{or} \ V(\delta_m) = 1 .$$

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Multiple-conclusion rules recently gained attention in the literature from many points of view.

From an algebraic and a semantic point of view, (Kracht 07, Jerabek 09, N. & G. Bezhanishvili & Iemhoff 2014), they constitute an essential tool for investigating classes of algebras beyond varieties and they supply nice canonical formulae axiomatizations.

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Derived Rules

Let K be a set of multiple-conclusion rules; a multiple-conclusion rule Γ/S is *derivable from K* - written $K \vdash \Gamma/S$ iff every modal algebra validating all rules in K validates also Γ/S .

In the terminology of modal rule systems (Jerabek 09, N. & G. Bezhanishvili & lemmhoff 2014), it can be proved that this equivalently means that Γ/S belongs to the smallest modal rule system including K .

What we want to build here is a *Hilbert style* calculus for recognizing $K \vdash \Gamma/S$. This calculus will consequently be complete also for global consequence relation in K .

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Hyperformulae and derivations

Our calculus will manipulate hyperformulae, seen as disjunctions of global assertions (this is the shape of conclusions of our multi-conclusion rules).

A *hyperformula* is a finite set of propositional formulae written in the form

$$\alpha_1 \mid \cdots \mid \alpha_n. \quad (1)$$

We use letters S, S_1, S', \dots for hyperformulae; the notation $S \mid S'$ means set union and $S \mid \alpha$ and $\alpha \mid S$ stand for $S \mid \{\alpha\}$ and $\{\alpha\} \mid S$, respectively.

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Hyperformulae and derivations

Definition

Let Γ be a set of propositional modal formulae and let K be a set of multiple-conclusion rules. A *K-hyperproof* (or a *K-derivation* or just a *derivation*) under assumptions Γ is a finite list of hyperformulae S_1, \dots, S_n such that each S_i in it matches one of the following requirements:

- (i) S_i is of the kind $\alpha \mid S$, where $\alpha \in \Gamma$ or α is a tautology or α is an instance of the **K** distribution axiom;
- (ii) S_i is obtained from hyperformulae preceding it by applying a rule from K or the necessitation rule or the modus ponens rule.

We write $\Gamma \vdash_K S$ to mean that there is a K -derivation ending with S .

Hyperformulae and derivations

An important remark is in order for (ii): when we say that S_i is obtained by applying an inference rule, *we include uniform substitution and weakening in the application of the rule*. Thus, if the rule is

$$\frac{\gamma_1, \dots, \gamma_n}{\delta_1 \mid \dots \mid \delta_m} (R)$$

when we say that S_i is obtained from (R) , we mean that there are a hyperformula S and a substitution σ such that S_i is of the kind $S \mid \delta_1\sigma \mid \dots \mid \delta_m\sigma$ and that there are $j_1, \dots, j_n < i$ such that S_{j_1} is of the kind $S \mid \gamma_1\sigma$, and \dots and S_{j_n} is of the kind $S \mid \gamma_n\sigma$.

Hyperformulae and derivations

In other words, when rule (R) is used, we apply a substitution to its contextual form

$$\frac{\gamma_1 \mid S, \dots, \gamma_n \mid S}{\delta_1 \mid \dots \mid \delta_m \mid S} (R)$$

Proposition

We have $K \vdash \Gamma / S$ iff there is a K -derivation under assumptions Γ ending in S .

Fmp and Bpp

We call a set of multi-conclusion rules K a (modal) inference system.

Definition

An inference system K has the *bounded proof property* (bpp) iff whenever $\Gamma \vdash_K S$ holds, there is a K -hyperproof from Γ of S in which formulae not exceeding the modal degrees of formulae in $\Gamma \cup S$ occur.

Definition

An inference system K has the *finite model property* (fmp) iff whenever $\Gamma \vdash_K S$ does not hold, then there is a finite modal algebra validating all rules from K but not Γ/S .

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Subreductions

E. Jerabek [JSL, 2009] introduced multi-conclusion variants of Zakharyashev canonical rules. The idea behind such rules is that the rule is refuted precisely whenever there is no subreduction (with conditions) onto a given finite frame.

Frames in this section are assumed to be *transitive*.

Definition

Let (W, R, P) be a descriptive frame and (F, R_F) be a finite frame. A partial surjective map $f : W \supseteq \text{dom}(f) \rightarrow F$ is a *subreduction* iff the following hold:

- $x, y \in \text{dom}(f)$ and xRy imply $f(x)R_F f(y)$;
- if $f(x)R_F u$ then there is y such that xRy and $f(y) = u$;
- $f^{-1}(u) \in P$, for all $u \in F$.

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Subreductions

A *domain* d in F is an upward closed subset of F ; a subreduction f satisfies the (global) closed domain condition for d iff there is no $x \in W \setminus \text{dom}(f)$ such that $f(\uparrow x) = d$.

Definition

Let (F, R) be a finite frame and D be a set of domains in F ; the **canonical rule** $\gamma(F, D)$ is the multi-conclusion rule:

$$\frac{\{\delta_{ij} \mid i \neq j\}, \{\rho_{ij} \mid a_i R_F a_j\}, \{\bar{\rho}_{ij} \mid \text{not } a_i R_F a_j\}, \{\phi_d \mid d \in D\}}{\neg x_{a_1} \mid \cdots \mid \neg x_{a_n}} \gamma(F, D)$$

where we suppose that $F = \{a_1, \dots, a_n\}$ and

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Proposition

A general frame (W, R, P) refutes $\gamma(F, D)$ iff there is a subreduction from (W, R, P) to (F, R_F) satisfying the (global) closed domain condition for all $d \in D$.

The following completeness result guarantees that one can replace any axiomatization whatsoever with an axiomatization via canonical rules:

Theorem

Given a rule Γ / S one can always find a finite set of canonical rules equivalent to it over $K4$.

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Subframe case

A subframe rule is a canonical rule of the kind (F, \emptyset) and a cofinal subframe rule is a rule of the kind $(F, \{\emptyset\})$.

Theorem

Any rule system over $K4$ axiomatized by subframe and cofinal-subframe canonical rules has the finite model property.

It is not clear however how to get bpp:

Open Problem

Find a way to modify a subframe rule in such a way that the resulting system has the bpp.

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Dichotomy property

The following result is very interesting and gives new insight into rule admissibility problems:

Theorem (Jerabek 2009)

Over various common logics (including $K4$, $S4$, GL , \dots), a canonical rule is admissible or equivalent to an assumption-free rule.

The proof of the above theorem gives a new way of building bases for admissible rules and a new proof of decidability of the admissibility problem for the above logics.

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Homomorphic Images and Stability

A *stable embedding* of a modal algebra $\mathfrak{A} = (A, \Diamond)$ into a modal algebra $\mathfrak{B} = (B, \Diamond)$ is an injective Boolean morphism $\mu : A \rightarrow B$ such that we have $\Diamond \mu(x) \leq \mu(\Diamond x)$ for all $x \in A$.

A class \mathcal{C} of modal algebras is said to be *stable* iff whenever $\mathfrak{B} \in \mathcal{C}$ and \mathfrak{A} has a stable embedding into \mathfrak{B} , then $\mathfrak{A} \in \mathcal{C}$ too.

We have dual notions for general frames. $\mathfrak{F} = (W, R, P)$ is a *homomorphic image* of $\mathfrak{F}' = (W', R', P')$ iff there is a continuous (i.e. $S \in P \Rightarrow f^{-1}(S) \in P'$) surjective map $f : W' \rightarrow W$ such that xRy implies $f(x)R'f(y)$ for all $x, y \in W'$.

A class of (ordinary, general or descriptive) frames is said to be *stable* iff it is closed under homomorphic images.

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Canonical Stable Rules

Given a domain a clopen $d \in P'$, we say that a stable map f from $\mathfrak{F} = (W, R, P)$ into $\mathfrak{F}' = (W', R', P')$ satisfies the **closed domain condition** for d iff $f^{-1}(\Diamond d) = \Diamond f^{-1}(d)$ i.e. iff for all x

$$d \cap \uparrow f(x) \neq \emptyset \Rightarrow d \cap f(\uparrow x) \neq \emptyset.$$

We introduce now another class of rules, called ‘canonical stable rules’ (see N. & G. Bezhanishvili, R. Iemhoff (2014)). No transitivity is assumed now.

Canonical Stable Rules

Definition

Let (F, R) be a finite frame and D be a set of domains in F ; the **canonical stable rule** $\sigma(F, D)$ is the multi-conclusion rule:

$$\frac{\bigvee_{i=1}^n x_{a_i}, \{\delta_{ij} \mid i \neq j\}, \{x_{a_i} \rightarrow \Box \bigvee_{a_i R b} x_b\}_i, \{\phi_d \mid d \in D\}}{\neg x_{a_1} \mid \cdots \mid \neg x_{a_n}} \sigma(F, D)$$

where we suppose that $F = \{a_1, \dots, a_n\}$ and

- $\delta_{ij} := \neg(x_{a_i} \wedge x_{a_j})$;
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Completeness

Proposition

A general frame (W, R, P) refutes $\sigma(F, D)$ iff there is a stable surjective map from (W, R, P) onto (F, R_F) satisfying the (global) closed domain condition for all $d \in D$.

We have a completeness result here too (without transitivity hypothesis):

Theorem

*Given a rule Γ / S one can always find a finite set of canonical rules equivalent to it over **K**, **K4**, **S4**.*

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Fmp and Bpp

A stable rule is a canonical stable rule of the kind (F, \emptyset) . A modal calculus K is *stable* iff so is the class of modal algebras validating it (equivalently: the class of descriptive frames validating it).

Theorem (N. & G. Bezhanishvili & Iemhoff 2014)

- (i) *A modal calculus K is stable iff it is axiomatizable via stable rules.*
- (ii) *A stable modal calculus enjoys fmp.*

Bpp can be obtained for stable rules over \mathbf{K} as follows (it is still an open problem over $\mathbf{K4}$). We need to modify the stable rule $\sigma(F, \emptyset)$.

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A stable rule is a canonical stable rule of the kind (F, \emptyset) . A modal calculus K is *stable* iff so is the class of modal algebras validating it (equivalently: the class of descriptive frames validating it).

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Dichotomy property

The following result is ongoing work (N. B. & S. G. with G. Bezhanishvili, M. Jibladze and D. Gabelaia):

Theorem

*Over **K4** and **S4**, a stable canonical rule is admissible or equivalent to an assumption-free rule.*

The above result extends to **IPC** (it is an open problem over **K**). Again, the proof builds bases for admissible rules and shows decidability of admissibility.

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Conclusions

- canonical stable rules are a powerful method for analyzing modal logics and also rule admissibility;
- nevertheless, the behavior of canonical stable rules might be different from that of canonical rules;
- there are still challenging basic open problems in the area.

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