

Article

Learning Convolutional Neural Layer in High **Dimension Space**

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- Abstract: we evaluate the replace ReLU non-linear activation layer with the combination between
- convolution layer and polynomial kernel. If the evaluation results are acceptable, we can remove
- ReLU layer in neural network model design. This will also exceed the limit deeper the better in neural
- network architecture. We use LeNet5 baseline CNN model and the NEU surface defect database for
- defect classification task.
- Keywords: convolution neural network; ReLU, Polynomial kernel, classification, NEU surface defect
- database

1. Introduction

- https://arxiv.org/pdf/1807.02582.pdf
- Role of non-linear activation functions is mean a lot for optimizing in neural network. They allow the model to create complex mapping between the network's inputs and outputs, which are essential for learning and modeling complex data, such as images, video, audio, and data sets which are non-linear or have high dimensionality. Some of non-linear activation functions are used to be applied such as Sigmoid, Tanh, ReLU, Threshold. They are located right behind convolution layer and linear layer.
- 2. Related Work

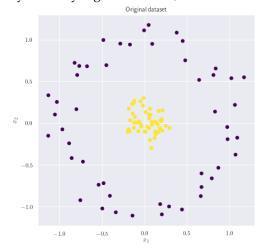
3. Representer theorem

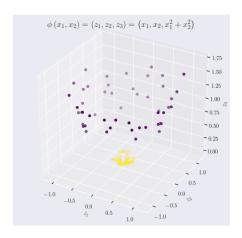
- 3.1. Inner product
- Definition 1: Let \mathcal{H} be a vector space over \mathbb{R} . A function $\langle \cdot, \cdot \rangle_{\mathcal{H}} : \mathcal{H} \times \mathcal{H} \to \mathbb{R}$ is an inner product on \mathcal{H} if all of linear, symmetric, and positive definite properties are satisfied.
- Linear: $\langle \alpha_1 f_1 + \alpha_2 f_2, g \rangle_{\mathcal{H}} = \alpha_1 \langle f_1, g \rangle_{\mathcal{H}} + \alpha_2 \langle f_2, g \rangle_{\mathcal{H}}$
- Symmetry: $\langle f,g\rangle_{\mathcal{H}}=\langle g,f\rangle_{\mathcal{H}}$ Positive definiteness: $\langle f,f\rangle_{\mathcal{H}}\geq 0$ and $\langle f,f\rangle_{\mathcal{H}}=0$ if and only if f=0
- In this paper the vector space is assumed to be real.
- We can define a norm using the inner product as $||f||_{\mathcal{H}} := \sqrt{\langle f, f \rangle_{\mathcal{H}}}$. A Hilbert space is a space on
- which an inner product is defined, along with an Cauchy sequence limits condition.

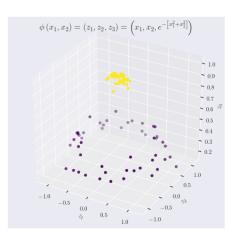
27 3.2. Feature mapping

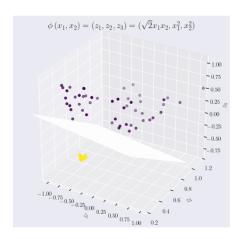
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A feature map is a function which maps a input feature. In neural network: it means map input features to hidden units to form new features to feed to the next layer. In Kernel machine: feature mapping means a mapping of features from input space to a reproducing kernel hilbert space, where usually it is very high dimension, or even infinite dimension.









3.3. Kernel

Definition 2: Let \mathcal{X} be a non-empty set. A function $k: \mathcal{X} \times \mathcal{X} \to \mathbb{R}$ is called a kernel if there exists an \mathbb{R} -Hilbert space and a feature map $\phi: \mathcal{X} \to \mathcal{H}$ such that $\forall x, x' \in \mathcal{X}$,

$$k\left(x,x'\right) := \left\langle \phi(x),\phi\left(x'\right)\right\rangle_{\mathcal{H}}.$$
 Let $\mathcal{X} = \mathcal{H} = \mathbb{R}^2$ and for $x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$ let $\Phi(x) = \begin{pmatrix} x_1^2 \\ x_2^2 \end{pmatrix}$ Then the kernel is:
$$K\left(x,x'\right) = \Phi(x)^\top \Phi\left(x'\right) = (x_1)^2 \left(x_1'\right)^2 + (x_2)^2 \left(x_2'\right)^2$$

3.4. Polynomial kernels

Let $x, x' \in \mathbb{R}^d$ for $d \ge 1$, and let $m \ge 1$ be an integer and $c \ge 0$ be a positive real. Then polynomial kernel is below: $k(x, x') := (\langle x, x' \rangle + c)^m$

3.5. Kernel tricks

The problem is that the features may live in very high dimensional space, possibly infinite, which makes the computation of the inner product $\langle \Phi(x_i), \Phi(x_j) \rangle$ very difficult. This is where we introduce the notion of a Kernel which will greatly help us perform these computations.

when you can replace $\langle x_i, x_j \rangle$ with $K(x_i, x_j)$ and get a nonlinear version of the algorithm. This is equivalent to replacing x with $\Phi(x)$ and replacing $\langle x_i, x_j \rangle$ with $\langle \Phi(x_i), \Phi(x_j) \rangle$. However, $K(x_i, x_j) = \langle \Phi(x_i), \Phi(x_j) \rangle$ and $K(x_i, x_j)$ is much easier to compute.

For $x = (x_1, x_2)^{\top} \in \mathbb{R}^2$, let $\Phi(x) = (1, \sqrt{2}x_1, \sqrt{2}x_2, x_1^2, x_2^2, \sqrt{2}x_1x_2) \in \mathbb{R}^5$, we calculate two operator below:

Operator 1: inner product

$$\langle \Phi(\mathbf{x}_i), \Phi(\mathbf{x}_j) \rangle = \left\langle \left\{ 1, \sqrt{2}x_{i1}, \sqrt{2}x_{i2}, x_{i1}^2, x_{i2}^2, \sqrt{2}x_{i1}x_{i2} \right\}, \left\{ 1, \sqrt{2}x_{j1}, \sqrt{2}x_{j2}, x_{j1}^2, x_{j2}^2, \sqrt{2}x_{i1}x_{i2} \right\} \rangle$$

$$= 1 + 2x_{i1}x_{j1} + 2x_{i2}x_{j2} + x_{i1}^2x_{j1}^2 + x_{i2}^2x_{j2}^2 + 2x_{i1}x_{i2}x_{j1}x_{j2}$$

Operator 2: polynomial kernel $K(\mathbf{x}_i, \mathbf{x}_j) = (1 + \langle \mathbf{x}_i, \mathbf{x}_j \rangle)^2$

$$(1 + \langle \mathbf{x}_i, \mathbf{x}_j \rangle)^2 = (1 + x_{i1}x_{j1} + x_{i2}x_{j2})^2$$

= 1 + 2x_{i1}x_{j1} + 2x_{i2}x_{j2} + x_{i1}²x_{i2}² + x_{i2}²x_{i2}² + 2x_{i1}x_{i2}x_{j1}x_{j2}

The result of inner product $\langle \Phi(\mathbf{x}_i), \Phi(\mathbf{x}_j) \rangle$ and polynomial kernel $K(\mathbf{x}_i, \mathbf{x}_j)$ is equal, but $K(\mathbf{x}_i, \mathbf{x}_j)$ is much easier to compute. It is only relate to calculate the low dimension space $\langle \mathbf{x}_i, \mathbf{x}_j \rangle$

48 4. Method

52

4.1. Polynomial kernel in convolution layer

Let $\mathbf{x} \in \mathbb{R}^n$ is a vectorized input, $\mathbf{w} \in \mathbb{R}^n$ is the filter, and $\mathbf{f}(\mathbf{x})$ is output. The convolutional operator \oplus is definited:

$$\mathbf{f}(\mathbf{x}) = \mathbf{x} \oplus \mathbf{w} \tag{1}$$

Specifically, the i_{th} element of the convolution output $\mathbf{f}(\mathbf{x})$ is calculated as:

$$\mathbf{f}_i(\mathbf{x}) = \left\langle \mathbf{x}_{(i)}, \mathbf{w} \right\rangle \tag{2}$$

 $X_{(i)}$ the circular shift of x by i elements.

We will custom in convolution operator (1) by replace (2) by (3). that mean we use the feature mapping Φ to transform i_{th} element and filter \mathbf{w} before applying inner product (2).

$$\mathbf{g}_{i}(\mathbf{x}) = \left\langle \Phi\left(\mathbf{x}_{(i)}\right), \Phi(\mathbf{w}) \right\rangle$$
 (3)

The feature mapping Φ is calculated by using polynomial kernel easily.

57 4.2. convergence

58 4.3. Learnable Kernel

5. Result

Choose a corresponding Kernel. working in the high-dimension while doing computation in the original low dimensional space

52 6. Conclusions

63 7. Patents

This section is not mandatory, but may be added if there are patents resulting from the work reported in this manuscript.

Author Contributions: For research articles with several authors, a short paragraph specifying their individual contributions must be provided. The following statements should be used "conceptualization, X.X. and Y.Y.;
 methodology, X.X.; software, X.X.; validation, X.X., Y.Y. and Z.Z.; formal analysis, X.X.; investigation, X.X.;
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Abbreviations

The following abbreviations are used in this manuscript:

MDPI Multidisciplinary Digital Publishing Institute

DOAJ Directory of open access journals
TLA Three letter acronym

TLA Three letter acronym LD linear dichroism

91 Appendix A

92 Appendix A.1

The appendix is an optional section that can contain details and data supplemental to the main text. For example, explanations of experimental details that would disrupt the flow of the main text, but nonetheless remain crucial to understanding and reproducing the research shown; figures of replicates for experiments of which representative data is shown in the main text can be added here if brief, or as Supplementary data. Mathematical proofs of results not central to the paper can be added as an appendix.

99 Appendix B

All appendix sections must be cited in the main text. In the appendixes, Figures, Tables, etc. should be labeled starting with 'A', e.g., Figure A1, Figure A2, etc.

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- ¹⁰⁴ 2. Author2, L. The title of the cited contribution. In *The Book Title*; Editor1, F., Editor2, A., Eds.; Publishing House: City, Country, 2007; pp. 32–58.

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