A Tutorial on Uppaal 4.0

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Abstract. This is a tutorial paper on the tool Uppaal. Its goal is to be a short introduction on the flavour of timed automata implemented in the tool, to present its interface, and to explain how to use the tool. The contribution of the paper is to provide reference examples and modelling patterns.

1 Introduction

Uppaal is a toolbox for verification of real-time systems jointly developed by Uppsala University and Aalborg University. It has been applied successfully in case studies ranging from communication protocols to multimedia applications [35,55,24,23,34,43,54,44,30]. The tool is designed to verify systems that can be modelled as networks of timed automata extended with integer variables, structured data types, user defined functions, and channel synchronisation.

The first version of Uppaal was released in 1995 [52]. Since then it has been in constant development [21,5,13,10,26,27]. Experiments and improvements include data structures [53], partial order reduction [20], a distributed version of Uppaal [17,9], guided and minimal cost reachability [15,51,16], work on UML Statecharts [29], acceleration techniques [38], and new data structures and memory reductions [18,14]. Version 4.0 [12] brings symmetry reduction [36], the generalised sweep-line method [49], new abstraction techniques [11], priorities [28], and user defined functions to the mainstream. Uppaal has also generated related Ph.D. theses [50,57,45,56,19,25,32,8,31]. It features a Java user interface and a verification engine written in C++ . It is freely available at http://www.uppaal.com/.

This tutorial covers networks of timed automata and the flavour of timed automata used in Uppaal in section 2. The tool itself is described in section 3, and three extensive examples are covered in sections 4, 5, and 6. Finally, section 7 introduces common modelling patterns often used with Uppaal.

2 Timed Automata in Uppaal

The model-checker Uppaal is based on the theory of timed automata [4] (see [42] for automata theory) and its modelling language offers additional features such as bounded integer variables and urgency. The query language of Uppaal, used

to specify properties to be checked, is a subset of TCTL (timed computation tree logic) [39,3]. In this section we present the modelling and the query languages of UPPAAL and we give an intuitive explanation of time in timed automata.

2.1 The Modelling Language

Networks of Timed Automata A timed automaton is a finite-state machine extended with clock variables. It uses a dense-time model where a clock variable evaluates to a real number. All the clocks progress synchronously. In UPPAAL, a system is modelled as a network of several such timed automata in parallel. The model is further extended with bounded discrete variables that are part of the state. These variables are used as in programming languages: They are read, written, and are subject to common arithmetic operations. A state of the system is defined by the locations of all automata, the clock values, and the values of the discrete variables. Every automaton may fire an edge (sometimes misleadingly called a transition) separately or synchronise with another automaton[1], which leads to a new state.

Figure 1(a) shows a timed automaton modelling a simple lamp. The lamp has three locations: `off`, `low`, and `bright`. If the user presses a button, i.e., synchronises with `press?`, then the lamp is turned on. If the user presses the button again, the lamp is turned off. However, if the user is fast and rapidly presses the button twice, the lamp is turned on and becomes bright. The user model is shown in Fig. 1(b). The user can press the button randomly at any time or even not press the button at all. The clock y of the lamp is used to detect if the user was fast ($y < 5$) or slow ($y >= 5$).
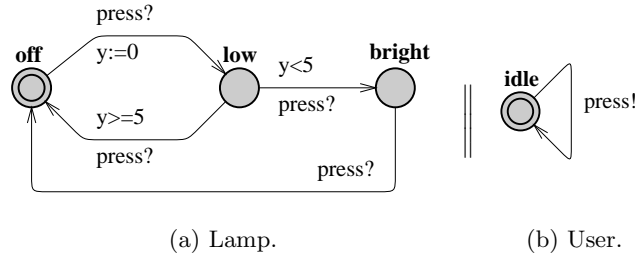

(a) Lamp.   (b) User.

Fig. 1. The simple lamp example.

We give the basic definitions of the syntax and semantics for the basic timed automata. In the following we will skip the richer flavour of timed automata supported in UPPAAL, i.e., with integer variables and the extensions of urgent and committed locations. For additional information, please refer to the help

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[1] or several automata in case of broadcast synchronisation, another extension of timed automata in UPPAAL.

menu inside the tool. We use the following notations: C is a set of clocks and $B(C)$ is the set of conjunctions over simple conditions of the form $x \bowtie c$ or $x - y \bowtie c$, where $x, y \in C$, $c \in \mathbb{N}$ and $\bowtie \in \{<, \leq, =, \geq, >\}$. A timed automaton is a finite directed graph annotated with conditions over and resets of non-negative real valued clocks.

Definition 1 (Timed Automaton (TA)). *A timed automaton is a tuple (L, l_0, C, A, E, I), where L is a set of locations, $l_0 \in L$ is the initial location, C is the set of clocks, A is a set of actions, co-actions and the internal τ-action, $E \subseteq L \times A \times B(C) \times 2^C \times L$ is a set of edges between locations with an action, a guard and a set of clocks to be reset, and $I : L \rightarrow B(C)$ assigns invariants to locations.* $\square$

In the previous example on Fig. 1, `y:=0` is the reset of the clock y, and the labels `press?` and `press!` denote action–co-action (channel synchronisations here).

We now define the semantics of a timed automaton. A clock valuation is a function $u : C \rightarrow \mathbb{R}_{\geq 0}$ from the set of clocks to the non-negative reals. Let $\mathbb{R}^C$ be the set of all clock valuations. Let $u_0(x) = 0$ for all $x \in C$. We will abuse the notation by considering guards and invariants as sets of clock valuations, writing $u \in I(l)$ to mean that u satisfies $I(l)$.
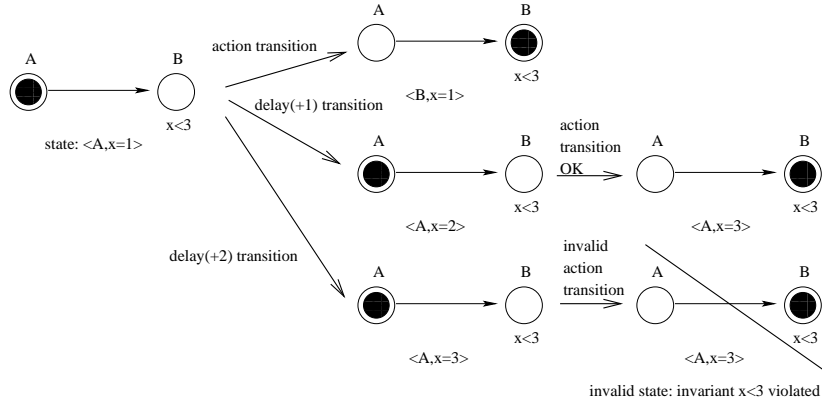

Fig. 2. Semantics of TA: different transitions from a given initial state.

Definition 2 (Semantics of TA). *Let (L, l_0, C, A, E, I) be a timed automaton. The semantics is defined as a labelled transition system $\langle S, s_0, \rightarrow \rangle$, where $S \subseteq L \times \mathbb{R}^C$ is the set of states, $s_0 = (l_0, u_0)$ is the initial state, and $\rightarrow \subseteq S \times (\mathbb{R}_{\geq 0} \cup A) \times S$ is the transition relation such that:*

- *$(l, u) \xrightarrow{d} (l, u + d)$ if $\forall d' : 0 \leq d' \leq d \implies u + d' \in I(l)$, and*
- *$(l, u) \xrightarrow{a} (l', u')$ if there exists $e = (l, a, g, r, l') \in E$ s.t. $u \in g$, $u' = [r \mapsto 0]u$, and $u' \in I(l')$,*

where for $d \in \mathbb{R}_{\geq 0}$, $u + d$ maps each clock x in C to the value $u(x) + d$, and $[r \mapsto 0]u$ denotes the clock valuation which maps each clock in r to 0 and agrees with u over $C \setminus r$. $\qquad\qquad\square$

Figure 2 illustrates the semantics of TA. From a given initial state, we can choose to take an *action* or a *delay* transition (different values here). Depending of the chosen delay, further actions may be forbidden.

Timed automata are often composed into a *network of timed automata* over a common set of clocks and actions, consisting of n timed automata $\mathcal{A}_i = (L_i, l_i^0, C, A, E_i, I_i)$, $1 \leq i \leq n$. A location vector is a vector $\bar{l} = (l_1, \ldots, l_n)$. We compose the invariant functions into a common function over location vectors $I(\bar{l}) = \wedge_i I_i(l_i)$. We write $\bar{l}[l_i'/l_i]$ to denote the vector where the ith element l_i of $\bar{l}$ is replaced by l_i'. In the following we define the semantics of a network of timed automata.

Definition 3 (Semantics of a network of Timed Automata). *Let $\mathcal{A}_i = (L_i, l_i^0, C, A, E_i, I_i)$ be a network of n timed automata. Let $\bar{l}_0 = (l_1^0, \ldots, l_n^0)$ be the initial location vector. The semantics is defined as a transition system $\langle S, s_0, \rightarrow \rangle$, where $S = (L_1 \times \cdots \times L_n) \times \mathbb{R}^C$ is the set of states, $s_0 = (\bar{l}_0, u_0)$ is the initial state, and $\rightarrow \subseteq S \times S$ is the transition relation defined by:*

- $(\bar{l}, u) \xrightarrow{d} (\bar{l}, u + d)$ *if* $\forall d' : 0 \leq d' \leq d \implies u + d' \in I(\bar{l})$.
- $(\bar{l}, u) \xrightarrow{a} (\bar{l}[l_i'/l_i], u')$ *if there exists* $l_i \xrightarrow{\tau g r} l_i'$ *s.t.* $u \in g$, $u' = [r \mapsto 0]u$ *and* $u' \in I(\bar{l}[l_i'/l_i])$.
- $(\bar{l}, u) \xrightarrow{a} (\bar{l}[l_j'/l_j, l_i'/l_i], u')$ *if there exist* $l_i \xrightarrow{c?g_i r_i} l_i'$ *and* $l_j \xrightarrow{c!g_j r_j} l_j'$ *s.t.* $u \in (g_i \wedge g_j)$, $u' = [r_i \cup r_j \mapsto 0]u$ *and* $u' \in I(\bar{l}[l_j'/l_j, l_i'/l_i])$. $\square$

As an example of the semantics, the lamp in Fig. 1 may have the following states (we skip the user): $(\texttt{Lamp.off}, y = 0) \rightarrow (\texttt{Lamp.off}, y = 3) \rightarrow (\texttt{Lamp.low}, y = 0) \rightarrow (\texttt{Lamp.low}, y = 0.5) \rightarrow (\texttt{Lamp.bright}, y = 0.5) \rightarrow (\texttt{Lamp.bright}, y = 1000) \ldots$

Timed Automata in Uppaal The UPPAAL modelling language extends timed automata with the following additional features (see Fig. 3:

Templates automata are defined with a set of parameters that can be of any type (e.g., `int`, `chan`). These parameters are substituted for a given argument in the process declaration.

Constants are declared as `const name value`. Constants by definition cannot be modified and must have an integer value.

Bounded integer variables are declared as `int[min,max] name`, where `min` and `max` are the lower and upper bound, respectively. Guards, invariants, and assignments may contain expressions ranging over bounded integer variables. The bounds are checked upon verification and violating a bound leads to an invalid state that is discarded (at run-time). If the bounds are omitted, the default range of -32768 to 32768 is used.
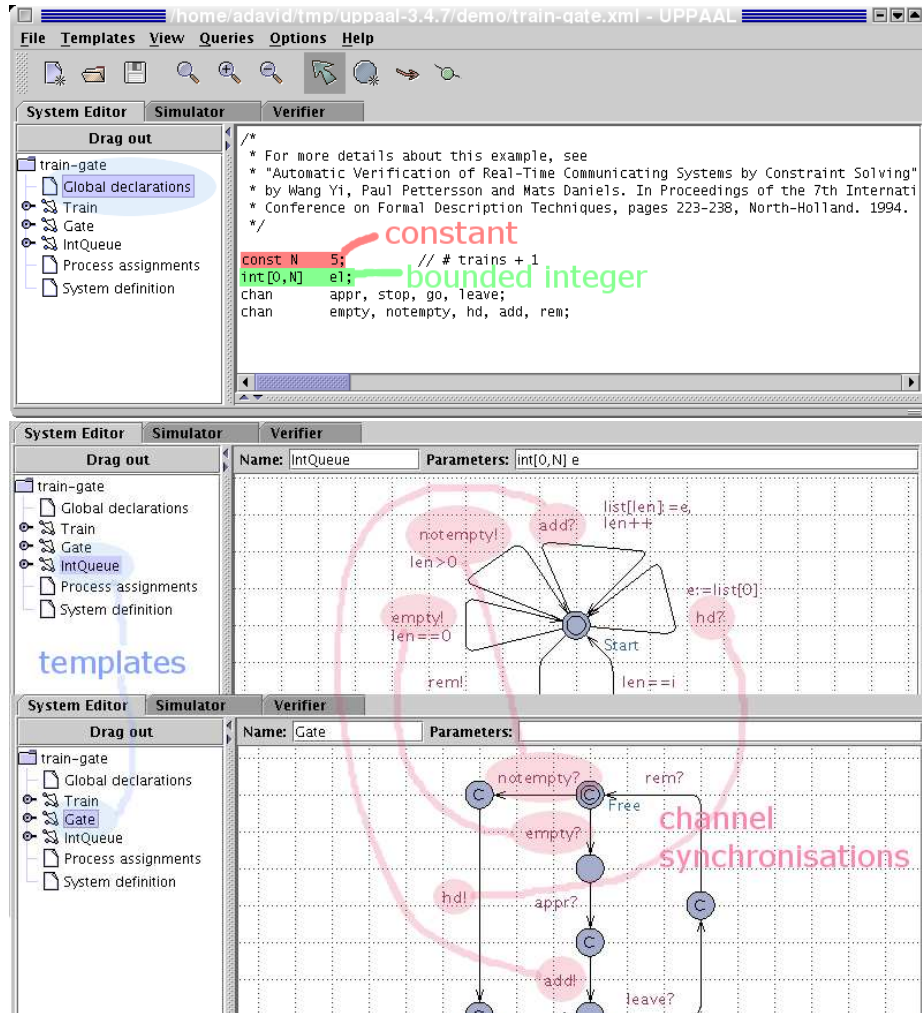
Fig. 3. Declarations of a constant and a variable, and illustration of some of the channel synchronisations between two templates of the train gate example of Section 4, and some committed locations.

Binary synchronisation channels are declared as `chan c`. An edge labelled with `c!` synchronises with another labelled `c?`. A synchronisation pair is chosen non-deterministically if several combinations are enabled.

Broadcast channels are declared as `broadcast chan c`. In a broadcast synchronisation one sender `c!` can synchronise with an arbitrary number of receivers `c?`. Any receiver than can synchronise in the current state must do so. If there are no receivers, then the sender can still execute the `c!` action, i.e. broadcast sending is never blocking.

Urgent synchronisation channels are declared by prefixing the channel declaration with the keyword `urgent`. Delays must not occur if a synchronisation transition on an urgent channel is enabled. Edges using urgent channels for synchronisation cannot have time constraints, i.e., no clock guards.

Urgent locations are semantically equivalent to adding an extra clock `x`, that is reset on all incoming edges, and having an invariant `x<=0` on the location. Hence, time is not allowed to pass when the system is in an urgent location.

Committed locations are even more restrictive on the execution than urgent locations. A state is committed if any of the locations in the state is committed. A committed state cannot delay and the next transition must involve an outgoing edge of at least one of the committed locations.

Arrays are allowed for clocks, channels, constants and integer variables. They are defined by appending a size to the variable name, e.g. `chan c[4]; clock a[2]; int[3,5] u[7];`.

Initialisers are used to initialise integer variables and arrays of integer variables. For instance, `int i = 2;` or `int i[3] = {1, 2, 3};`.

Record types are declared with the *struct* construct like in C.

Custom types are defined with the C-like *typedef* construct. You can define any custom-type from other basic types such as records.

User functions are defined either globally or locally to templates. Template parameters are accessible from local functions. The syntax is similar to C except that there is no pointer. C++ syntax for references is supported for the arguments only.

Expressions in Uppaal Expressions in Uppaal range over clocks and integer variables. The BNF is given in Fig. 33 in the appendix. Expressions are used with the following labels:

Select A select label contains a comma separated list of *name : type* expressions where *name* is a variable name and *type* is a defined type (built-in or custom). These variables are accessible on the associated edge only and they will take a non-deterministic value in the range of their respective types.

Guard A guard is a particular expression satisfying the following conditions: it is side-effect free; it evaluates to a boolean; only clocks, integer variables, and constants are referenced (or arrays of these types); clocks and clock differences are only compared to integer expressions; guards over clocks are essentially conjunctions (disjunctions are allowed over integer conditions). A guard may call a side-effect free function that returns a bool, although clock constraints are not supported in such functions.

Synchronisation A synchronisation label is either on the form *Expression*!
or *Expression*? or is an empty label. The expression must be side-effect free,
evaluate to a channel, and only refer to integers, constants and channels.

Update An update label is a comma separated list of expressions with a side-
effect; expressions must only refer to clocks, integer variables, and constants
and only assign integer values to clocks. They may also call functions.

Invariant An invariant is an expression that satisfies the following conditions: it
is side-effect free; only clock, integer variables, and constants are referenced;
it is a conjunction of conditions of the form `x<e` or `x<=e` where `x` is a clock
reference and `e` evaluates to an integer. An invariant may call a side-effect free
function that returns a bool, although clock constraints are not supported
in such functions.

2.2   The Query Language

The main purpose of a model-checker is verify the model w.r.t. a requirement
specification. Like the model, the requirement specification must be expressed
in a formally well-defined and machine readable language. Several such logics
exist in the scientific literature, and UPPAAL uses a simplified version of TCTL.
Like in TCTL, the query language consists of path formulae and state formulae.[2]
State formulae describe individual states, whereas path formulae quantify over
paths or traces of the model. Path formulae can be classified into *reachability*,
safety and *liveness*. Figure 4 illustrates the different path formulae supported by
UPPAAL. Each type is described below.

State Formulae A state formula is an expression (see Fig. 33) that can be
evaluated for a state without looking at the behaviour of the model. For instance,
this could be a simple expression, like `i == 7`, that is true in a state whenever
i equals 7. The syntax of state formulae is a superset of that of guards, i.e., a
state formula is a side-effect free expression, but in contrast to guards, the use
of disjunctions is not restricted. It is also possible to test whether a particular
process is in a given location using an expression on the form `P.l`, where `P` is a
process and `l` is a location.

In UPPAAL, deadlock is expressed using a special state formula (although
this is not strictly a state formula). The formula simply consists of the keyword
`deadlock` and is satisfied for all deadlock states. A state is a deadlock state if
there are no outgoing action transitions neither from the state itself or any of
its delay successors. Due to current limitations in UPPAAL, the deadlock state
formula can only be used with reachability and invariantly path formulae (see
below).

Reachability Properties Reachability properties are the simplest form of
properties. They ask whether a given state formula, φ, *possibly* can be satisfied

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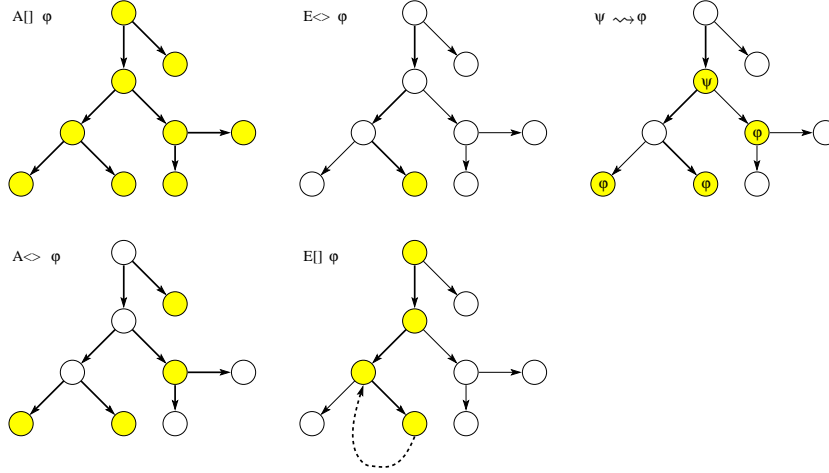[2] In contrast to TCTL, UPPAAL does not allow nesting of path formulae.

Fig. 4. Path formulae supported in UPPAAL. The filled states are those for which a given state formulae ϕ holds. Bold edges are used to show the paths the formulae evaluate on.

by any reachable state. <mark>Another way of stating this is: Does there exist a path starting at the initial state, such that φ is eventually satisfied along that path.</mark>

Reachability properties are often used while designing a model to perform sanity checks. For instance, when creating a model of a communication protocol involving a sender and a receiver, it makes sense to ask whether it is possible for the sender to send a message at all or whether a message can possibly be received. These properties do not by themselves guarantee the correctness of the protocol (i.e. that any message is eventually delivered), but they validate the basic behaviour of the model.

We express that <mark>some state</mark> satisfying φ should be reachable using the path formula $E\Diamond\,\varphi$. In UPPAAL, we write this property using the syntax <mark>E<> φ</mark>.

Safety Properties Safety properties are on the form: "<mark>something bad will never happen</mark>". For instance, in a model of a nuclear power plant, a safety property might be, that the operating temperature is always (invariantly) under a certain threshold, or that a meltdown never occurs. A variation of this property is that "something will possibly never happen". For instance when playing a game, a safe state is one in which we can still win the game, hence we will possibly not loose.

<mark>In UPPAAL these properties are formulated positively</mark>, e.g., something good is invariantly true. Let φ be a state formulae. We express that φ should be true in <mark>all reachable states</mark> with the path formulae $A\square\,\varphi$,[3] whereas $E\square\,\varphi$ says that

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[3] Notice that $A\square\,\varphi = \neg E\Diamond\,\neg\varphi$

there should exist a maximal path such that φ is always true.[4] In Uppaal we write `A[]` φ and `E[]` φ, respectively.

Liveness Properties Liveness properties are of the form: something will eventually happen, e.g. when pressing the *on* button of the remote control of the television, then eventually the television should turn on. Or in a model of a communication protocol, any message that has been sent should eventually be received.

In its simple form, liveness is expressed with the path formula $A\diamond \varphi$, meaning φ is eventually satisfied.[5] The more useful form is the *leads to* or *response* property, written $\varphi \rightsquigarrow \psi$ which is read as whenever φ is satisfied, then eventually ψ will be satisfied, e.g. whenever a message is sent, then eventually it will be received.[6] In Uppaal these properties are written as `A<>` φ and φ `-->` ψ, respectively.

2.3 Understanding Time

Invariants and Guards Uppaal uses a continuous time model. We illustrate the concept of time with a simple example that makes use of an *observer*. Normally an observer is an add-on automaton in charge of detecting events without changing the observed system. In our case the clock reset (`x:=0`) is delegated to the observer for illustration purposes.

Figure 5 shows the first model with its observer. We have two automata in parallel. The first automaton has a self-loop guarded by `x>=2`, `x` being a clock, that synchronises on the channel `reset` with the second automaton. The second automaton, the observer, detects when the self loop edge is taken with the location `taken` and then has an edge going back to `idle` that resets the clock `x`. We moved the reset of `x` from the self loop to the observer only to test what happens on the transition before the reset. Notice that the location `taken` is committed (marked `c`) to avoid delay in that location.

The following properties can be verified in Uppaal (see section 3 for an overview of the interface). Assuming we name the observer automaton `Obs`, we have:

- `A[] Obs.taken imply x>=2` : all resets off `x` will happen when `x` is above 2. This query means that for all reachable states, being in the location `Obs.taken` implies that `x>=2`.
- `E<> Obs.idle and x>3` : this property requires, that it is possible to reachable state where `Obs` is in the location `idle` and `x` is bigger than 3. Essentially we check that we may delay at least 3 time units between resets. The result would have been the same for larger values like 30000, since there are no invariants in this model.

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[4] A maximal path is a path that is either infinite or where the last state has no outgoing transitions.

[5] Notice that $A\diamond \varphi = \neg E\square \neg\varphi$.

[6] Experts in TCTL will recognise that $\varphi \rightsquigarrow \psi$ is equivalent to $A\square\,(\varphi \implies A\diamond \psi)$
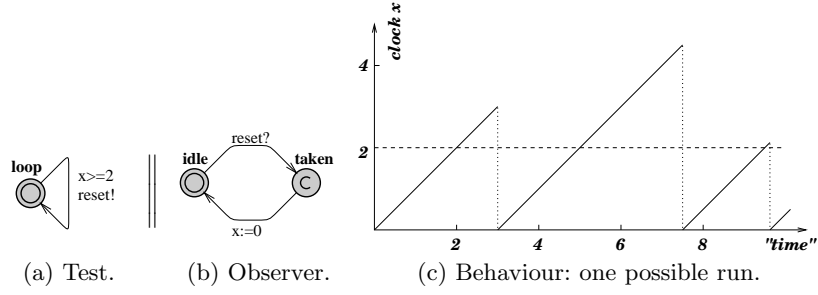
(a) Test. (b) Observer. (c) Behaviour: one possible run.

Fig. 5. First example with an observer.

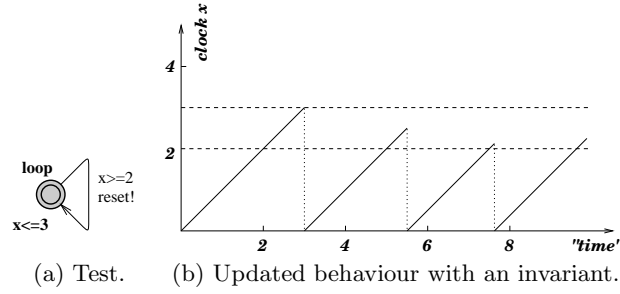(a) Test. (b) Updated behaviour with an invariant.

Fig. 6. Updated example with an invariant. The observer is the same as in Fig. 5 and is not shown here.

We update the first model and add an *invariant* to the location `loop`, as shown in Fig. 6. The invariant is a progress condition: the system is not allowed to stay in the state more than 3 time units, so that the transition has to be taken and the clock reset in our example. Now the clock x has 3 as an upper bound. The following properties hold:

- `A[] Obs.taken imply (x>=2 and x<=3)` shows that the transition is taken when x is between 2 and 3, i.e., after a delay between 2 and 3.
- `E<> Obs.idle and x>2` : it is possible to take the transition when x is between 2 and 3. The upper bound 3 is checked with the next property.
- `A[] Obs.idle imply x<=3` : to show that the upper bound is respected.

The former property `E<> Obs.idle and x>3` no longer holds.

Now, if we remove the invariant and change the guard to `x>=2 and x<=3`, you may think that it is the same as before, but it is not! The system has no progress condition, just a new condition on the guard. Figure 7 shows what happens: the system may take the same transitions as before, but deadlock may also occur. The system may be stuck if it does not take the transition after 3 time units. In fact, the system fails the property `A[] not deadlock`. The property `A[] Obs.idle imply x<=3` does not hold any longer and the deadlock can also be illustrated by the property `A[] x>3 imply not Obs.taken`, i.e., after 3 time units, the transition is not taken any more.

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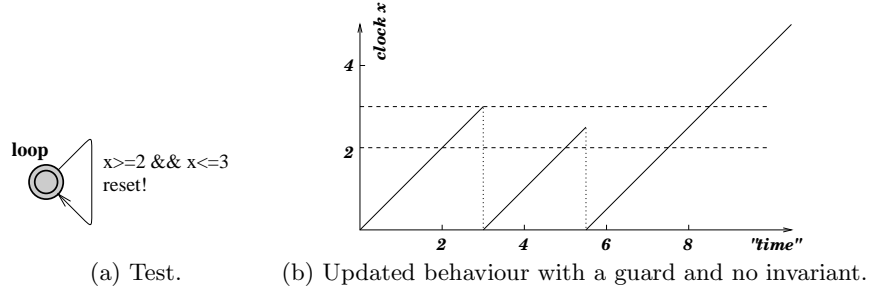(a) Test.   (b) Updated behaviour with a guard and no invariant.

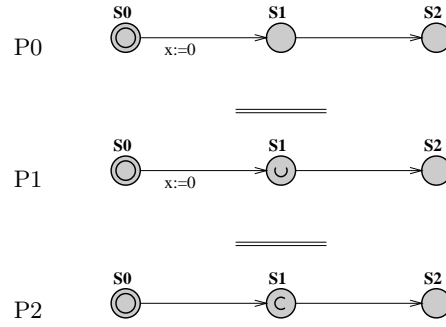**Fig. 7.** Updated example with a guard and no invariant.

Fig. 8. Automata in parallel with normal, urgent and commit states. The clocks are local, i.e., `P0.x` and `P1.x` are two different clocks.

Committed and Urgent Locations There are three different types of locations in UPPAAL: normal locations with or without invariants (e.g., `x<=3` in the previous example), urgent locations, and committed locations. Figure 8 shows 3 automata to illustrate the difference. The location marked `u` is urgent and the one marked `c` is committed. The clocks are local to the automata, i.e., `x` in `P0` is different from `x` in `P1`.

To understand the difference between normal locations and urgent locations, we can observe that the following properties hold:

– `E<> P0.S1 and P0.x>0` : it is possible to wait in `S1` of `P0`.
– `A[] P1.S1 imply P1.x==0` : it is not possible to wait in `S1` of `P1`.

An urgent location is equivalent to a location with incoming edges reseting a designated clock `y` and labelled with the invariant `y<=0`. Time may not progress in an urgent state, but interleavings with normal states are allowed.

A committed location is more restrictive: in all the states where `P2.S1` is active (in our example), the only possible transition is the one that fires the edge outgoing from `P2.S1`. A *state* having a committed location active is said to

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be committed: delay is not allowed and the committed location must be left in the successor state (or one of the committed locations if there are several ones).

3   Overview of the Uppaal Toolkit

UPPAAL uses a client-server architecture, splitting the tool into a graphical user interface and a model checking engine. The user interface, or client, is implemented in Java and the engine, or server, is compiled for different platforms (Linux, Windows, Solaris).[7] As the names suggest, these two components may be run on different machines as they communicate with each other via TCP/IP. There is also a stand-alone version of the engine that can be used on the command line.

3.1   The Java Client

The idea behind the tool is to model a system with timed automata using a graphical editor, simulate it to validate that it behaves as intended, and finally to verify that it is correct with respect to a set of properties. The graphical interface (GUI) of the Java client reflects this idea and is divided into three main parts: the editor, the simulator, and the verifier, accessible via three "tabs".

The Editor A system is defined as a network of timed automata, called processes in the tool, put in parallel. A process is instantiated from a parameterised template. The editor is divided into two parts: a tree pane to access the different templates and declarations and a drawing canvas/text editor. Figure 9 shows the editor with the train gate example of section 4. Locations are labelled with names and invariants and edges are labelled with guard conditions (e.g., `e==id`), synchronisations (e.g., `go?`), and assignments (e.g., `x:=0`).

The tree on the left hand side gives access to different parts of the system description:

Global declaration Contains global integer variables, clocks, synchronisation channels, and constants.

Templates `Train`, `Gate`, and `IntQueue` are different parameterised timed automata. A template may have local declarations of variables, channels, and constants.

Process assignments Templates are instantiated into processes. The process assignment section contains declarations for these instances.

System definition The list of processes in the system.

The syntax used in the labels and the declarations is described in the help system of the tool. The local and global declarations are shown in Fig. 10. The graphical syntax is directly inspired from the description of timed automata in section 2.
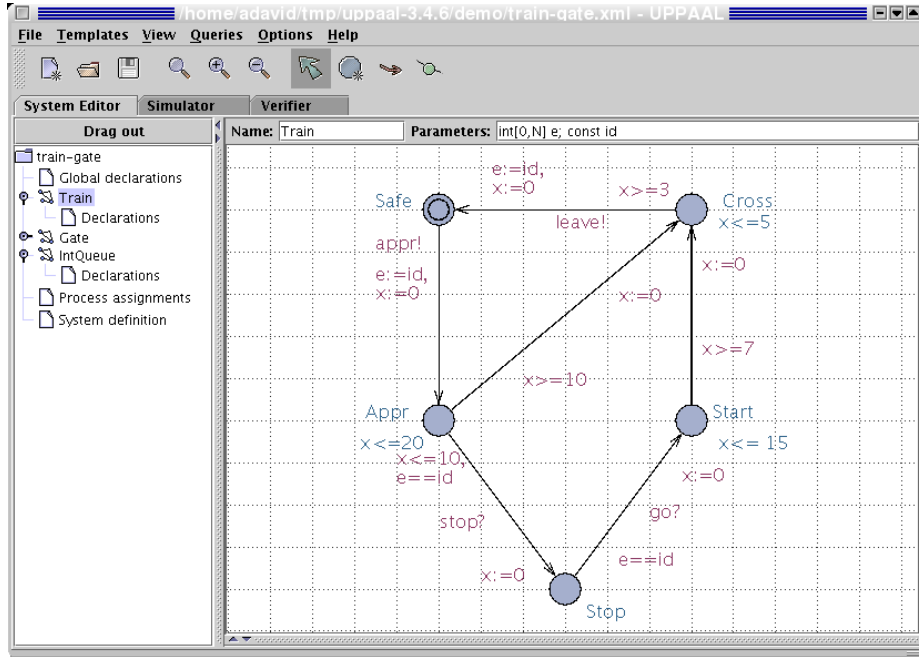
Fig. 9. The train automaton of the train gate example. The *select* button is activated in the tool-bar. In this mode the user can move locations and edges or edit labels. The other modes are for adding locations, edges, and vertices on edges (called nails). A new location has no name by default. Two text fields allow the user to define the template name and its parameters. Useful trick: The middle mouse button is a shortcut for adding new elements, i.e. pressing it on the canvas, a location, or edge adds a new location, edge, or nail, respectively.

The Simulator The simulator can be used in three ways: the user can run the system manually and choose which transitions to take, the random mode can be toggled to let the system run on its own, or the user can go through a trace (saved or imported from the verifier) to see how certain states are reachable. Figure 11 shows the simulator. It is divided into four parts:

The control part is used to choose and fire enabled transitions, go through a trace, and toggle the random simulation.

The variable view shows the values of the integer variables and the clock constraints. UPPAAL does not show concrete states with actual values for the clocks. Since there are infinitely many of such states, UPPAAL instead shows sets of concrete states known as symbolic states. All concrete states in a symbolic state share the same location vector and the same values for discrete variables. The possible values of the clocks is described by a set of con-
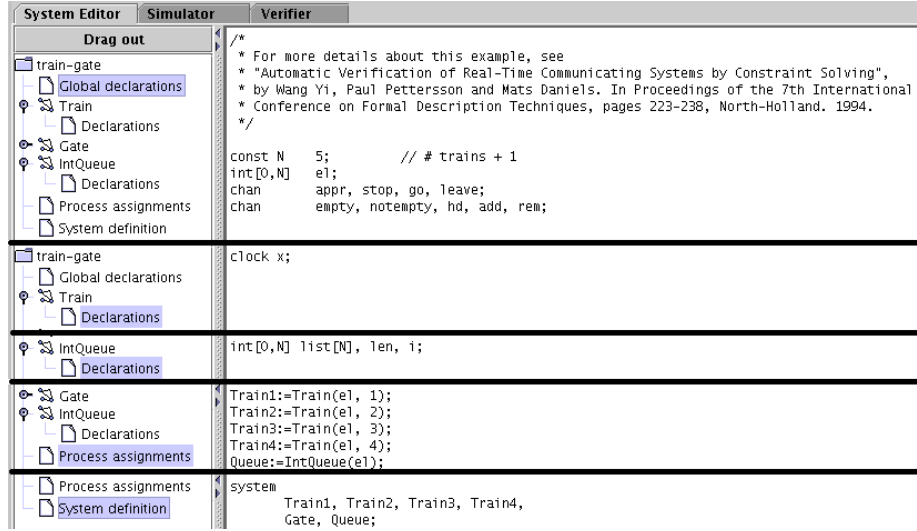
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[7] A version for Mac OS X is in preparation.

Fig. 10. The different local and global declarations of the train gate example. We superpose several screen-shots of the tool to show the declarations in a compact manner.

straints. The clock validation in the symbolic state are exactly those that satisfy all constraints.

The system view shows all instantiated automata and active locations of the current state.

The message sequence chart shows the synchronisations between the different processes as well as the active locations at every step.

The Verifier The verifier "tab" is shown in Fig. 12. Properties are selectable in the *Overview* list. The user may model-check one or several properties,[8] insert or remove properties, and toggle the view to see the properties or the comments in the list. When a property is selected, it is possible to edit its definition (e.g., `E<> Train1.Cross and Train2.Stop` ...) or comments to document what the property means informally. The *Status* panel at the bottom shows the communication with the server.

When trace generation is enabled and the model-checker finds a trace, the user is asked if she wants to import it into the simulator. Satisfied properties are marked green and violated ones red. In case either an over approximation or an under approximation has been selected in the options menu, then it may happen that the verification is inconclusive with the approximation used. In that case the properties are marked yellow.

---

[8] several properties only if no trace is to be generated.
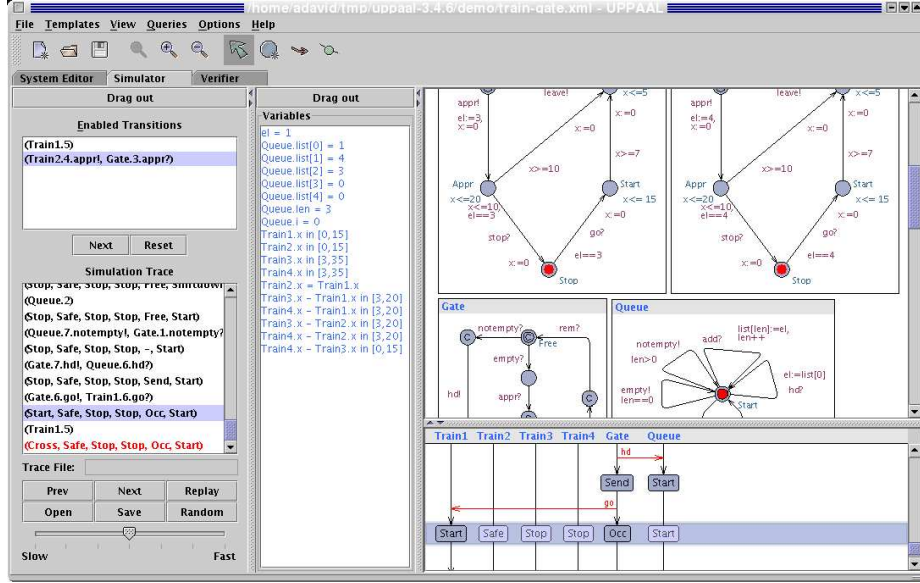
Fig. 11. View of the simulator tab for the train gate example. The interpretation of the constraint system in the variable panel depends on whether a transition in the transition panel is selected or not. If no transition is selected, then the constrain system shows all possible clock valuations that can be reached along the path. If a transition is selected, then only those clock valuations from which the transition can be taken are shown. Keyboard bindings for navigating the simulator without the mouse can be found in the integrated help system.

3.2 The Stand-alone Verifier

When running large verification tasks, it is often cumbersome to execute these from inside the GUI. For such situations, the stand-alone command line verifier called `verifyta` is more appropriate. It also makes it easy to run the verification on a remote UNIX machine with memory to spare. It accepts command line arguments for all options available in the GUI, see Table 3 in the appendix.

4 Example 1: The Train Gate

4.1 Description

The train gate example is distributed with UPPAAL. It is a railway control system which controls access to a bridge for several trains. The bridge is a critical shared resource that may be accessed only by one train at a time. The system is defined as a number of trains (assume 4 for this example) and a controller. A train can not be stopped instantly and restarting also takes time. Therefor, there are timing constraints on the trains before entering the bridge. When approaching,
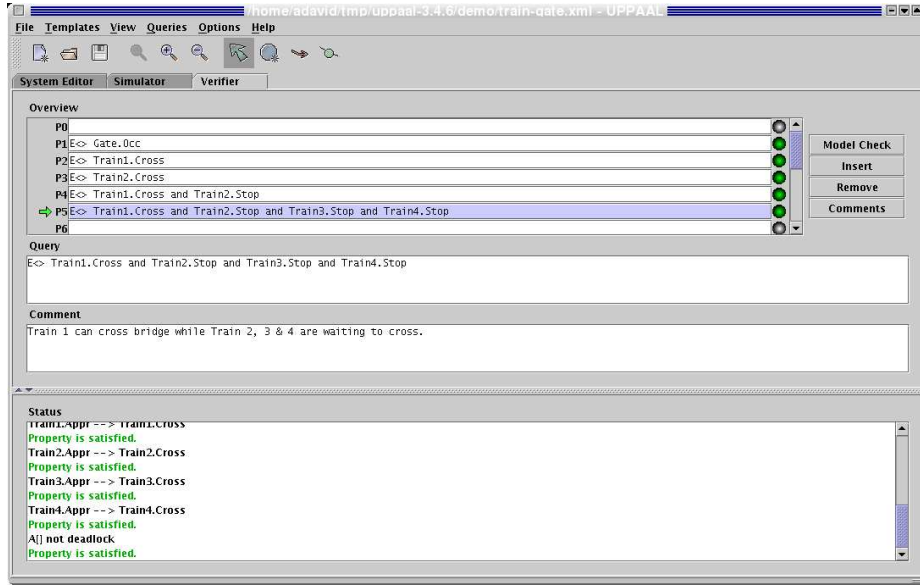
Fig. 12. View of the verification tab for the train gate example.

a train sends a appr! signal. Thereafter, it has 10 time units to receive a stop signal. This allows it to stop safely before the bridge. After these 10 time units, it takes further 10 time units to reach the bridge if the train is not stopped. If a train is stopped, it resumes its course when the controller sends a go! signal to it after a previous train has left the bridge and sent a leave! signal. Figures 13 and 14 show two situations.

4.2 Modelling in Uppaal

The model of the train gate has three templates:

Train is the model of a train, shown in Fig. 9.
Gate is the model of the gate controller, shown in Fig. 15.
IntQueue is the model of the queue of the controller, shown in Fig. 16. It is simpler to separate the queue from the controller, which makes it easier to get the model right.

The Template of the Train The template in Fig. 9 has five locations: `Safe,` `Appr, Stop, Start`, and `Cross`. The initial location is `Safe`, which corresponds to a train not approaching yet. The location has no invariant, which means that a train may stay in this location an unlimited amount of time. When a train is approaching, it synchronises with the controller. This is done by the channel synchronisation `appr!` on the transition to `Appr`. The controller has a corresponding `appr?`. The clock `x` is reset and the parameterised variable `e` is set
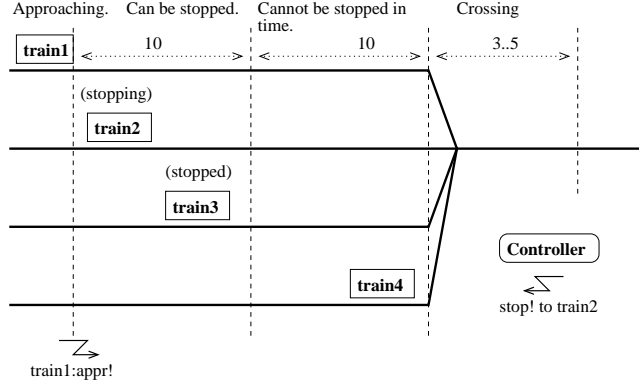
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Fig. 13. Train gate example: train4 is about to cross the bridge, train3 is stopped, train2 was ordered to stop and is stopping. Train1 is approaching and sends an appr! signal to the controller that sends back a stop! signal. The different sections have timing constraints (10, 10, between 3 and 5).

to the identity of this train. This variable is used by the queue and the controller to know which train is allowed to continue or which trains must be stopped and later restarted.

The location `Appr` has the invariant $x \leq 20$, which has the effect that the location must be left within 20 time units. The two outgoing transitions are guarded by the constraints $x \leq 10$ and $x \geq 10$, which corresponds to the two sections before the bridge: can be stopped and can not be stopped. At exactly 10, both transitions are enabled, which allows us to take into account any race conditions if there is one. If the train can be stopped ($x \leq 10$) then the transition to the location `Stop` is taken, otherwise the train goes to location `Cross`. The transition to `Stop` is also guarded by the condition $e == id$ and is synchronised with `stop?`. When the controller decides to stop a train, it decides which one (sets `e`) and synchronises with `stop!`.

The location `Stop` has no invariant: a train may be stopped for an unlimited amount of time. It waits for the synchronisation `go?`. The guard $e == id$ ensures that the right train is restarted. The model is simplified here compared to the version described in [60], namely the slowdown phase is not modelled explicitly. We can assume that a train may receive a `go?` synchronisation even when it is not stopped completely, which will give a non-deterministic restarting time.

The location `Start` has the invariant $x \leq 15$ and its outgoing transition has the constraint $x \geq 7$. This means that a train is restarted and reaches the crossing section between 7 and 15 time units non-deterministically.

The location `Cross` is similar to `Start` in the sense that it is left between 3 and 5 time units after entering it.
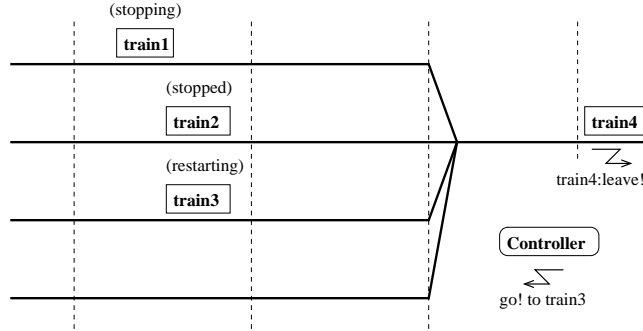
17

Fig. 14. Now train4 has crossed the bridge and sends a leave! signal. The controller can now let train3 cross the bridge with a go! signal. Train2 is now waiting and train1 is stopping.

The Template of the Gate The gate controller in Fig. 15 synchronises with the queue and the trains. Some of its locations do not have names. Typically, they are committed locations (marked with a `c`).

The controller starts in the `Free` location (i.e., the bridge is free), where it tests the queue to see if it is empty or not. If the queue is empty then the controller waits for approaching trains (next location) with the `appr?` synchronisation. When a train is approaching, it is added to the queue with the `add!` synchronisation. If the queue is not empty, then the first train on the queue (read by `hd!`) is restarted with the `go!` synchronisation.

In the `Occ` location, the controller essentially waits for the running train to leave the bridge (`leave?`). If other trains are approaching (`appr?`), they are stopped (`stop!`) and added to the queue (`add!`). When a train leaves the bridge, the controller removes it from the queue with the `rem?` synchronisation.

The Template of the Queue The queue in Fig. 16 has essentially one location `Start` where it is waiting for commands from the controller. The `Shiftdown` location is used to compute a shift of the queue (necessary when the front element is removed). This template uses an array of integers and handles it as a FIFO queue.

4.3 Verification

We check simple reachability, safety, and liveness properties, and for absence of deadlock. The simple reachability properties check if a given location is reachable:

- `E<> Gate.Occ`: the gate can receive and store messages from approaching trains in the queue.
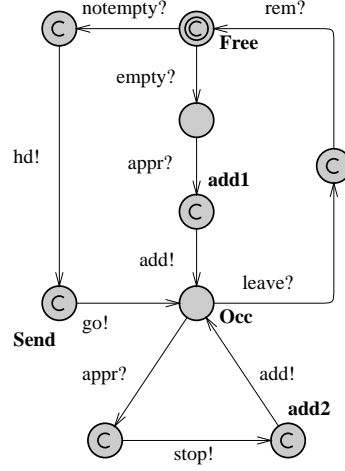- `E<> Train1.Cross`: train 1 can cross the bridge. We check similar properties for the other trains.

Fig. 15. Gate automaton of the train gate.

- `E<> Train1.Cross and Train2.Stop`: train 1 can be crossing the bridge while train 2 is waiting to cross. We check for similar properties for the other trains.
- `E<> Train1.Cross && Train2.Stop && Train3.Stop && Train4.Stop` is similar to the previous property, with all the other trains waiting to cross the bridge. We have similar properties for the other trains.

The following safety properties must hold for all reachable states:

- `A[] Train1.Cross+Train2.Cross+Train3.Cross+Train4.Cross<=1`. There is not more than one train crossing the bridge at any time. This expression uses the fact that `Train1.Cross` evaluates to true or false, i.e., 1 or 0.
- `A[] Queue.list[N-1] == 0`: there can never be N elements in the queue, i.e., the array will never overflow. Actually, the model defines N as the number of trains + 1 to check for this property. It is possible to use a queue length matching the number of trains and check for this property instead: `A[] (Gate.add1 or Gate.add2) imply Queue.len < N-1` where the locations `add1` and `add2` are the only locations in the model from which `add!` is possible.

The liveness properties are of the form `Train1.Appr --> Train1.Cross`: whenever train 1 approaches the bridge, it will eventually cross, and similarly for the other trains. Finally, to check that the system is deadlock-free, we verify the property `A[] not deadlock`.

Suppose that we made a mistake in the queue, namely we wrote `e:=list[1]` in the template `IntQueue` instead of `e:=list[0]` when reading the head on the transition synchronised with `hd?`. We could have been confused when thinking in terms of indexes. It is interesting to note that the properties still hold, except
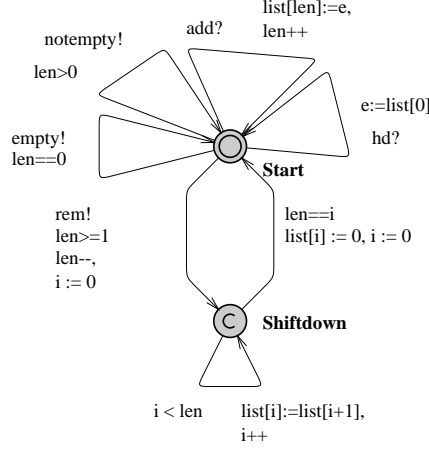
Fig. 16. Queue automaton of the train gate. The template is parameterised with `int[0,n] e`.

the liveness ones. The verification gives a counter-example showing what may happen: a train may cross the bridge but the next trains will have to stop. When the queue is shifted the train that starts again is never the first one, thus the train at the head of the queue is stuck and can never cross the bridge.

5 Example 2: Fischer's Protocol

5.1 Description

Fischer's protocol is a well-known mutual exclusion protocol designed for n processes. It is a timed protocol where the concurrent processes check for both a delay and their turn to enter the critical section using a shared variable `id`.

5.2 Modelling in Uppaal

The automaton of the protocol is given in Fig. 17. Starting from the initial location (marked with a double circle), processes go to a request location, `req`, if `id==0`, which checks that it is the turn for no process to enter the critical section. Processes stay non-deterministically between 0 and `k` time units in `req`, and then go to the `wait` location and set `id` to their process ID (pid). There it must wait at least k time units, `x>k`, k being a constant (2 here), before entering the critical section CS if it is its turn, `id==pid`. The protocol is based on the fact that after (strict) k time units with `id` different from 0, all the processes that want to enter the critical section are waiting to enter the critical section as well, but only one has the right ID. Upon exiting the critical section, processes reset `id` to allow other processes to enter CS. When processes are waiting, they may retry when another process exits CS by returning to `req`.
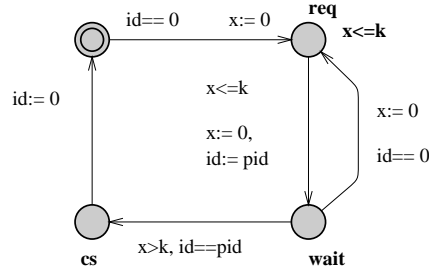
Fig. 17. Template of Fischer's protocol. The parameter of the template is `const pid`. The template has the local declarations `clock x; const k 2;`.

5.3 Verification

The safety property of the protocol is to check for mutual exclusion of the location CS: `A[] P1.cs + P2.cs + P3.cs + P4.cs <= 1`. This property uses the trick that these tests evaluate to true or false, i.e., 0 or 1. We check that the system is deadlock-free with the property `A[] not deadlock`.

The liveness properties are of the form `P1.req --> P1.wait` and similarly for the other processes. They check that whenever a process tries to enter the critical section, it will always eventually enter the waiting location. Intuitively, the reader would also expect the property `P1.req --> P1.cs` that similarly states that the critical section is eventually reachable. However, this property is violated. The interpretation is that the process is allowed to stay in `wait` for ever, thus there is a way to avoid the critical section.

Now, if we try to fix the model and add the invariant `x <= 2*k` to the wait location, the property `P1.req --> P1.cs` still does not hold because it is possible to reach a deadlock state where `P1.wait` is active, thus there is a path that does not lead to the critical section. The deadlock is as follows: `P1.wait` with $0 \leq x \leq 2$ and `P4.wait` with $2 \leq x \leq 4$. Delay is forbidden in this state, due to the invariant on `P4.wait` and `P4.wait` can not be left because $id == 1$.

6 Example 3: The Gossiping Girls

6.1 Description

Let n girls have each a private secret they wish to share with each other. Every girl can call another girl and after a conversation, both girls know mutually all their secrets. The problem is to find out how many calls are necessary so that all the girls know all the secrets. A variant of the problem is to add time to conversations and ask how much time is necessary to exchange all the secrets, allowing concurrent calls.

The basic formulation of the problem is not timed and is typically a combinatorial problem with a string of n bits that may take (at most) 2^n values for

every girl. That means we have in total a string of n^2 bits taking 2^{n^2} values (in product with other states of the system).

6.2 Modelling in Uppaal

We face choices regarding the representation of the secrets and where to store them. One way is to use one integer and manually set or reset its bits using arithmetic operations. Although the size of the system is limited by the size of the integers, a quick complexity evaluation shows that the state-space explodes too quicly anyway so this is not really a limitation. Another way is to use an array of booleans. The solution with the integer sounds like hacking and in fact it is so specialized that we will have problem to refine the model later. The model with booleans is certainly more readable, which is desirable for formal verification. The second choice is where to store the messages: in one big shared table locally with every girl process. The referenced models are available at *http://www.cs.aau.dk/~ adavid/UPPAAL-tutorial/.*

Generic Declarations The global declaration contains:

```
const int GIRLS = 4;
typedef int[0,GIRLS-1] girl_t;
chan phone[girl_t], reply[girl_t];
```

This allows us to scale the model easily. Notice that it is possible to declare that arrays of channels are indexed by a given type, which implicitely gives them the right size. This is necessary to use symmetry reduction through *scalar* sets later.

The girl process is named Girl and has girl_t id as parameter. Every girl has a different ID. The *system* declaration is simply: system Girl;. This makes use of the *auto-instantiation* feature of UPPAAL. All instances of the template Girl ranging over its parameters are generated. The number of instances is controlled by the constant GIRLS.

Flexible Modelling We declare three local functions to the template Girl. Notice that they have access to the parameter id. These functions are used to initialize the template (start()) with a unique secret and to send and receive secrets to other templates (talk() and listen()). We can change these functions but still keep the same model, which makes the model flexible.

Integers The encoding with integers has meta int tmp; added to the global declarations and the following to the local declaration of the template Girl:

```
girl_t g;
int secrets;
void start()  { secrets = 1 << id; }
void talk()   { tmp = secrets; }
void listen() { secrets |= tmp; }
```

Initialization is done by setting bit *id* to one. The initial committed location ensures all girls are initialized before they start to exchange secrets. Then we have a standard message passing using a shared variable with the receiver merging the secrets sent with her own (logical or). The shared variable is declared *meta*, which means it is a special temporary variable *not* part of the state, i.e., *never* refer to such a variable between two states. We assume that these functions are used with channel synchronization.

Booleans The encoding with booleans has meta bool tmp[girl_t]; added to the global declarations and the following to the local declarations of the template Girl:

```
girl_t g;
bool secrets[girl_t];
void start()  { secrets[id] = true; }
void talk()   { tmp = secrets; }
void listen() { for(i:girl_t) secrets[i] |= tmp[i]; }
```

In this version we use assignment between arrays for talk(). The function listen() uses an iterator. The automaton for models gossip0.xml (with integers) and gossip1.xml (with booleans) is given in Fig. 18. This first attempt captures the fact that we want the model to be symmetric with respect to sending and receiving and is quite natural with symmetric uses of talk() and listen(). The local variable g records which other girl is a given template communicating with. The sender selects its receiver and the receiver its sender.
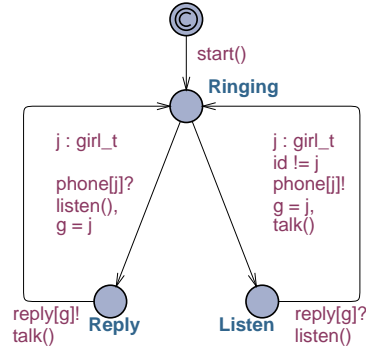

Fig. 18. First attempt for modelling the gossiping girls.

Let us first improve the model on three points:

1. The intermediate state Listen should be made committed otherwise all interleaving of half-started and complete calls will occur.
2. One select is enough because we are modelling something else here, namely girl id selects a channel j and *any other* girl that selects the same channel can communicate with id.

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3. The local variable `g` contributes badly to the state-space when its value is not relevant, i.e., the previous communication does not need to be kept. We can set it in a symmetric manner upon the start and reset it after communication to `id`.

These are typical "optimizations" of a model: Avoid useless interleavings by using committed locations, make sure you model exactly what you need and not more, and "active variable reduction". The updated model (gossip2.xml/integers, gossip3.xml/booleans) is shown in Fig. 19. The template keeps as an invariant that the variable `g` is always equal to `id` whenever it is not sending. In addition, when a channel `j` is selected, then it corresponds to exactly girl `j`. Only one committed location is enough but it is a good practice to mark them both. It is more explicit when we read the model. Since the model performs better, we can now check with 5 girls instead of 4 within roughly the same time, which is a very good improvement considering the exponential complexity of the model.
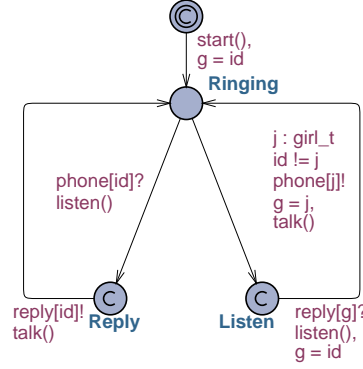

Fig. 19. Improved model of the gossiping girls.

Optimizing Further We can abstract which communication line is used by declaring only one channel `chan call`. Since the semantics says that any pair of enabled edges (call!,call?) can be taken, we do not need to make an extra select. In addition, processes cannot synchronize with themselves so we do not need this check either. The downside is that we lose the information on the receiver from the sender point of view. We do not need this in our case. We can get rid of the local variable `g` as well. We could use the sequence `talk()`-`listen()`-`talk()`-`listen()` with the old functions but we can simplify these by merging the middle `listen()`-`talk()` into one and simplifying `listen()` to a simple assignment since we know that the message already contains the secrets sent. The global declaration is updated with only `chan call;` for the channel. The updated automaton is depicted in Fig. 20.

The *integer* version of the model (gossip4.xml) has the following local functions:
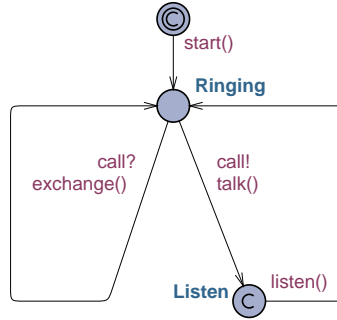
Fig. 20. Optimized model of the gossiping girls.

```
int secrets;
void start()   { secrets = 1 << id; }
void talk()    { tmp = secrets; }
void exchange() { secrets = (tmp |= secrets); }
void listen()  { secrets = tmp; }
```

The *boolean* version of the model (gossip5.xml) is changed to:

```
bool secrets[girl_t];
void start()   { secrets[id] = true; }
void talk()    { tmp = secrets; }
void exchange() { for(i:girl_t) tmp[i] |= secrets[i];
                  secrets = tmp; }
void listen()  { secrets = tmp; }
```

The exchange function could have been written as

```
void exchange() {
    for(i:girl_t) secrets[i] = (tmp[i] |= secrets[i]);
}
```

which is almost the same. The difference is that the number of interpreted instructions is lower in the first case. A step further would be to inline these functions on the edges in the model but then we would lose readability to gain less than 5% in speed. It is possible to further optimize the model by having one parameterized shared table and avoid message passing all-together. We leave this as an exercise for the reader but we notice that this change destroys the nice design with the local secrets to each process.

6.3 Verification

We check the property that all girls know all secrets. For the integer version of the model, the property is:

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```
E<> forall(i:girl_t) forall(j:girl_t) (Girl(i).secrets & (1 << j))
```

We can write a shorter but less obvious equivalent formula that takes advantage of the fact that $2^{GIRLS} - 1$ generates a bit mask with the first GIRLS bits set to one:

```
E<> forall(i:girl_t) Girl(i).secrets == ((1 << GIRLS)-1)
```

The formula for the boolean version is:

```
E<> forall(i:girl_t) forall(j:girl_t) Girl(i).secrets[j]
```

The formulas use the "for-all" construct, which gives compact formulas that automatically scale with the number of girls in the model. The version with the integers checks with a bit mask that the bits are set.

Table 1 shows the resource consumption for the different models with different number of girls. Experiments are run on an AMD Opteron 2.2GHz with UPPAAL rev. 2842. The results show how important it is to be careful with the model and

Girls	4	5	6
gossip0	0.6s/24M	498s/3071M	-
gossip1	1.0s/24M	809s/3153M	-
gossip2	0.1s/1.3M	0.3s/22M	71s/591M
gossip3	0.1s/1.3M	0.5s/22M	106s/607M
gossip4	0.1s/1.3M	0.2s/22M	37s/364M
gossip5	0.1s/1.3M	0.3s/22M	63s/381M

Table 1. Resource consumption for the different models with different number of girls. Results are in seconds/Mbytes.

to optimize the model to reduce the state-space whenever possible. We notice that we do not even have time in this model. The model with integers is faster due to its simplicity but consumes marginally less memory.

6.4   Improved Verification

UPPAAL features two major techniques to improve verification. These techniques concern directly verification and are orthogonal to model optimization. The first is *symmetry reduction*. Since we designed our model to be symmetric from the start, taking advantage of this feature is done by using a *scalar set* for the type girl_t. The second feature is the generalized *sweep-line* method. We need to define a progress measure to help the search. Furthermore, only the model with booleans is eligible for symmetry reduction since we cannot access individual bits in an integers in a symmetric manner (using scalars).

Symmetry Reduction The only change required is for the definition of the type girl_t. We use a scalar set for the new model (gossip6.xml):

```
typedef scalar[GIRLS] girl_t;
```

Sweep-line We need to define a progress measure that is cheap to compute and relevant to help the search. It is important that it is cheap to compute since it will be evaluated for every state. To do so, we add int m; to the global declarations, we add the progress measure definition *after* the system declaration:

```
progress { m; }
```

Finally, we compute m in the exchange function as follows:

```
void exchange() {
    m = 0;
    for(i:girl_t) {
        m += tmp[i] ^ secrets[i];
        tmp[i] |= secrets[i];
    }
}
```

This measures counts the number of new messages exchanged per communication.

Girls	4	5	6	7
gossip6	0.1s/1.3M	0.1s/1.3M	3.4s/29M	399s/1115M
gossip7	0.1s/1.3M	0.1s/1.3M	0.3s/21M	29s/108M

Table 2. Resource consumption using symmetry reduction (gossip6) combined with the sweep-line method (gossip7).

Table 2 show that these features give gains with another order of magnitude both in speed and memory. The model still explodes exponentially but we cannot avoid it given its nature.

7 Modelling Patterns

In this section we present a number of useful modelling patterns for Uppaal. A modelling pattern is a form of designing a model with a clearly stated intent, motivation and structure. We observe that most of our Uppaal models use one or more of the following patterns and we propose that these patterns are imitated when designing new models.

7.1 Variable Reduction

Intent
To reduce the size of the state space by explicitly resetting variables when they are not used, thus speeding up the verification.

Motivation

Although variables are persistent, it is sometimes clear from the way a model behaves, that the value of a variable does not matter in certain states, i.e., it is clear that two states that only differ in the values of such variables are in fact bisimilar. Resetting these variables to a known value will make these two states identical, thus reducing the state space.

Structure

The pattern is most easily applied to local variables. Basically, a variable v is called inactive in a location l, if along all paths starting from l, v will be reset before it will be used. If a variable v is inactive in location v, one should reset v to the initial value on all incoming edges of l.

The exception to this rule is when v is inactive in all source locations of the incoming edges to l. In this case, v has already been reset, and there is no need to reset it again. The pattern is also applicable to shared variables, although it can be harder to recognise the locations in which the variable will be inactive.

For clocks, UPPAAL automatically performs the analysis described above. This process is called active clock reduction. In some situations this analysis may fail, since UPPAAL does not take the values of non-clock variables into account when analysing the activeness. In those situations, it *might* speed up the verification, if the clocks are reset to zero when it becomes inactive. A similar problem arises if you use arrays of clocks and use integer variables to index into those arrays. Then UPPAAL will only be able to make a coarse approximation of when clocks in the array will be tested and reset, often causing the complete array to be marked active at all times. Manually resetting the clocks *might* speed up verification.

Sample

The queue of the train gate example presented earlier in this tutorial uses the active variable pattern twice, see Fig. 21: When an element is removed, all the remaining elements of the list are shifted by one position. At the end of the loop in the `Shiftdown` location, the counter variable i is reset to 0, since its value is no longer of importance. Also the freed up element `list[i]` in the list is reset to zero, since its value will never be used again. For this example, the speedup in verification gained by using this pattern is approximately a factor of 5.

Known Uses

The pattern is used in most models of some complexity.

7.2   Synchronous Value Passing

Intent

To synchronously pass data between processes.

Motivation

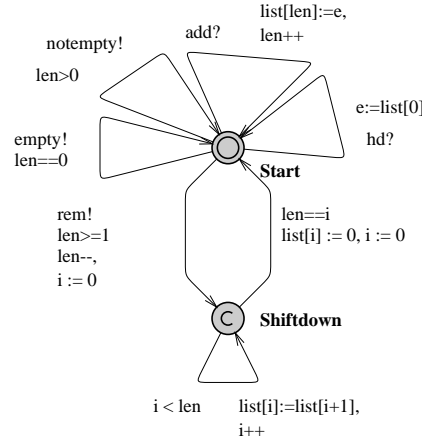Consider a model of a wireless network, where nodes in the network are modelled

Fig. 21. The model of the queue in the train gate example uses active variable reduction twice. Both cases are on the edge from `Shiftdown` to `Start`: The freed element in the queue is reset to the initial value and so is the counter variable `i`.

as processes. Neighbouring nodes must communicate to exchange, e.g., routing information. Assuming that the communication delay is insignificant, the handshake can be modelled as synchronisation via channels, but any data exchange must be modelled by other means.

The general idea is that a sender and a receiver synchronise over shared binary channels and exchange data via shared variables. Since UPPAAL evaluates the assignment of the sending synchronisation first, the sender can assign a value to the shared variable which the receiver can then access directly.

Structure
There are four variations of the value passing pattern, see Fig. 22. They differ in whether data is passed *one-way* or *two-way* and whether the synchronisation is *unconditional* or *conditional*. In one-way value passing a value is transfered from one process to another, whereas two-way value passing transfers a value in each direction. In unconditional value passing, the receiver does not block the communication, whereas conditional value passing allows the receiver to reject the synchronisation based on the data that was passed.

In all four cases, the data is passed via the globally declared shared variable `var` and synchronisation is achieved via the global channels `c` and `d`. Each process has local variables `in` and `out`. Although communication via channels is always synchronous, we refer to a `c!` as a send-action and `c?` as a receive-action. Notice that the *variable reduction* pattern is used to reset the shared variable when it is no longer needed. Alternatively, the shared variable can be declared *meta*, in which case the reset is not necessary since the variable is not part of the state.

In one-way value passing only a single channel `c` and a shared variable `var` is required. The sender writes the data to the shared variable and performs a
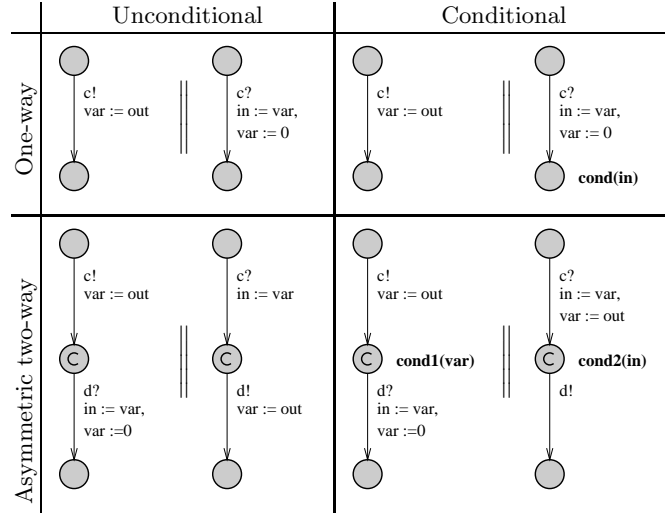
Fig. 22. The are essentially four combinations of conditional, unconditional, one-way and two-way synchronous value passing.

send-action. The receiver performs the co-action, thereby synchronising with the sender. Since the update on the edge with send-action is always evaluated before the update of the edge with the receive-action, the receiver can access the data written by the sender in the same transition. In the conditional case, the receiver can block the synchronisation according to some predicate `cond(in)` involving the value passed by the sender. The intuitive placement of this predicate is on the guard of the receiving edge. Unfortunately, this will not work as expected, since the guards of the edges are evaluated before the updates are executed, i.e., before the receiver has access to the value. The solution is to place the predicate on the invariant of the target location.

Two-way value passing can be modelled with two one-way value passing pattern with intermediate committed locations. The committed locations enforce that the synchronisation is atomic. Notice the use of two channels: Although not strictly necessary in the two-process case, the two channel encoding scales to the case with many processes that non-deterministically choose to synchronise. In the conditional case each process has a predicate involving the value passed by the other process. The predicates are placed on the invariants of the committed locations and therefore assignment to the shared variable in the second process must be moved to the first edge. It might be tempting to encoding conditional two-way value passing directly with two one-way conditional value passing pattern, i.e., to place the predicate of the first process on the third location. Unfortunately, this will introduce spurious deadlocks into the model.

If the above asymmetric encoding of two-way value passing is undesirable, the symmetric encoding in Fig. 23 can be used instead. Basically, a process can non-deterministically choose to act as either the sender or the receiver. Like before,

committed locations guarantee atomicity. If the synchronisation is conditional, the predicates are placed on the committed locations to avoid deadlocks. Notice that the symmetric encoding is more expensive: Even though the two paths lead to the same result, two extra successors will be generated.
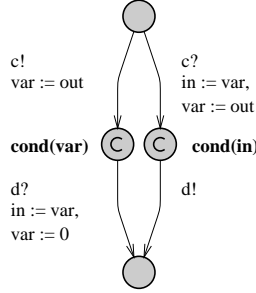

Fig. 23. In contrast to the two-way encoding shown in Fig 22, this encoding is symmetric in the sense that both automata use the exact same encoding. The symmetry comes at the cost of a slightly larger state space.

Sample

The train gate example of this tutorial uses synchronous one-way unconditional value passing between the trains and the gate, and between the gate and the queue. In fact, the value passing actually happens between the trains and the queue and the gate only act as a mediator to decouple the trains from the queue.

Known Uses

Lamport's Distributed Leader Election Protocol. Nodes in this leader election protocol broadcast topology information to surrounding nodes. The communication is not instantaneous, so an intermediate process is used to model the message. The nodes and the message exchange data via synchronous one-way unconditional value passing.

Lynch's Distributed Clock Synchronisation Protocol. This distributed protocol synchronises drifting clocks of nodes in a network. There is a fair amount of non-determinism on when exactly the clocks are synchronised, since the protocol only required this to happen within some time window. When two nodes synchronise non-deterministically, both need to know the other nodes identity. As an extra constraint, the synchronisation should only happen if it has not happened before in the current cycle. Here the asymmetric two-way conditional value passing pattern is used. The asymmetric pattern suffices since each node has been split into two processes, one of them being dedicated to synchronising with the neighbours.

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7.3 Synchronous Value Passing (bis)

Intent

To synchronously pass integers with a small range between processes.

Motivation

Similarly to the previous value passing pattern, it is useful to send values between processes. However, this pattern is specialized to integers with small ranges, which gives us the benefit to avoid using a shared variable for the communication.

Structure

The idea is to use arrays of channels to pass specific integers. The pattern is given for the general case of passing an integer value between *MIN* and *MAX*. Declare the array *chan send[MAX-MIN+1]* with *MAX* and *MIN* being either constants or the actual value of the desired range. Figure 7.3 shows the pattern. As an example the sender is sending the values 2, 3, or a randomly chosen value. The receiver is using the *select* feature of Uppaal 3.6 to find the right value. Notice that this is expensive for the model-checker if the range is large and will degrade performance. Two-way value passing can be modeled similarly to the previous pattern with the shared variable removed. Conditional value passing works for one-way only.
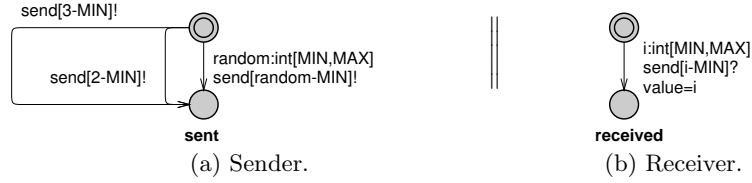

(a) Sender. (b) Receiver.

Fig. 24. Value passing using an array of channels.

7.4 Multicast

Intent

To encode multicast to at least N receivers (or similarly exactly N).

Motivation

Uppaal provides pair-wise synchronisation via regular channels (*chan*) and broadcast synchronisation via broadcast channels (*broadcast chan*). In some models it is useful to ensure there are at least N receivers available and have the multicast behaviour, typically for communication protocols.

Structure

Use a shared variable (e.g. *ready*) that is incremented on the edges leading to a

location where it is possible to receive and decrement this variable on the edges that leave this location. In addition, add the constraint in the sender process on the required number of receiver (e.g. $ready >= N$). Figure 7.4 illustrates the patter.
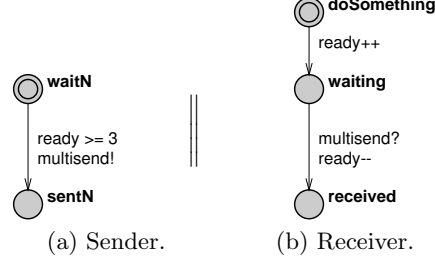

(a) Sender.   (b) Receiver.

Fig. 25. Multicast from one sender to at least N receivers (3 in this example).

7.5 Atomicity

Intent
To reduce the size of the state space by reducing interleaving using committed locations, thus speeding up the verification.

Motivation
UPPAAL uses an asynchronous execution model, i.e., edges from different automata can interleave, and UPPAAL will explore all possible interleavings. Partial order reduction is an automatic technique for eliminating unnecessary interleavings, but UPPAAL does not support partial order reduction. In many situations, unnecessary interleavings can be identified and eliminated by making part of the model execute in atomic steps.

Structure
Committed locations are the key to achieving atomicity. When any of the processes is in a committed location, then time cannot pass and at least one of these processes must take part in the next transition. Notice that this does not rule out interleaving when several processes are in a committed location. On the other hand, if only one process is in a committed location, then that process must take part in the next transition. Therefore, several edges can be executed atomically by marking intermediate locations as committed and avoiding synchronisations with other processes in the part that must be executed atomically, thus guaranteeing that the process is the only one in a committed location.

Sample
The pattern is used in the Queue process of the train gate example, see Fig. 26.
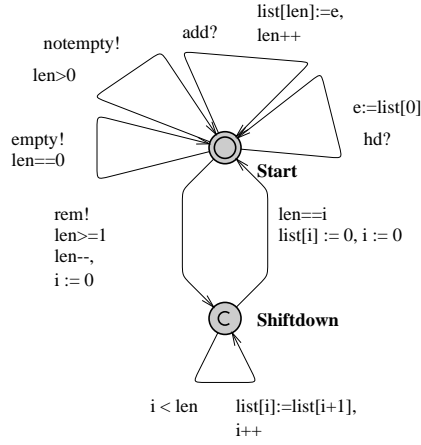
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Fig. 26. When removing the front element from the queue, all other elements must be shifted down. This is done in the loop in the `Shiftdown` location. To avoid unnecessary interleavings, the location is marked committed. Notice that the edge entering `Shiftdown` synchronises over the `rem` channel. It is important that target locations of edges synchronising over `rem` in other processes are not marked committed.

Known Uses

Encoding of control structure A very common use is when encoding control structures (like the encoding of a for-loop used in the `IntQueue` process of the train-gate example): In these cases the interleaving semantics is often undesirable.

Multi-casting Another common use is for complex synchronisation patterns. The standard synchronisation mechanism in Uppaal only supports binary or broadcast synchronisation, but by using committed locations it is possible to atomically synchronise with several processes. One example of this is in the train-gate example: Here the `Gate` process acts as a mediator between the trains and the queue, first synchronising with one and then the other – using an intermediate committed location to ensure atomicity.

7.6 Urgent Edges

Intent
To guarantee that an edge is taken without delay as soon as it becomes enabled.

Motivation
Uppaal provides urgent locations as a means of saying that a location must be left without delay. Uppaal provides urgent channels as a means of saying that a synchronisation must be executed as soon as the guards of the edges involved are enabled. There is no way of directly expressing that an edge without synchronisation should be taken without delay. This pattern provides a way of encoding this behaviour.

Structure

The encoding of urgent edges introduces an extra process with a single location and a self loop (see Fig. 27 left). The self loop synchronises on the *urgent* channel go. An edge can now be made urgent by performing the complimentary action (see Fig. 27 right). The edge can have discrete guards and arbitrary updates, but no guards over clocks.
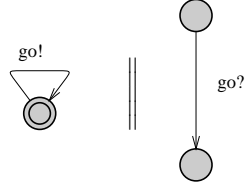

Fig. 27. Encoding of urgent edges. The go channel is declared urgent.

Sample

This pattern is used in a model of a box sorting plant (see `http://www.cs.auc.dk/~behrmann/esv03/exercises/index.html#sorter`): Boxes are moved on a belt, registered at a sensor station and then sorted by a sorting station (a piston that can kick some of the boxes of the belt). Since it takes some time to move the boxes from the sensor station to the sorting station, a timer process is used to delay the sorting action. Figure 28 shows the timer (this is obviously not the only encoding of a timer – this particular encoding happens to match the one used in the control program of the plant). The timer is activated by setting a shared variable `active` to true. The timer should then move urgently from the `passive` location to the `wait` location. This is achieved by synchronising over the urgent channel go.
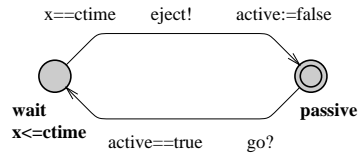

Fig. 28. Sample of a timer using an urgent edge during activation.

7.7 Timers

Intent

To emulate a timer where, in principle, time decreases until it reaches zero, at which point the timer is said to time-out.

Motivation

Although clocks are powerful enough to model timing mechanisms, some systems are more naturally modelled using timers, in particular event based models. In such models, a timer is started, may be restarted, and counts down until a time-out event is generated.

Structure

The pattern gives an equivalent of a timer object mapped on a process in UP-PAAL. We define the following operations for a timer object `t`:

- `void set(TO)`: this function starts or restarts the timer with a time-out value of `TO`. The timer will count down for `TO` time units. `TO` is an integer.
- `bool expired()`: this function returns *true* if the timer has expired, *false* otherwise. When the timer has not been started yet, it is said to have expired. This function may be called at any time to test the timer.

We map the above defined timer as a process in UPPAAL. When a timer `t` is to be used in the model, its functions are mapped as follows:

- `t.set(v)` where `v` is an integer variable is mapped to the synchronisation `set!` and the assignment `value := v`, where the channel `set` and the integer `value` are the parameters of the timer template.
- `t.expired()` is mapped to the guard `value == 0`, where `value` is a parameter of the timer template.

As a variant of this basic timer model, it is possible to generate a time-out synchronisation, urgent or not depending on the needs, by using the pattern to encode urgent edges shown in Fig. 27. If the time-out value is a constant, we can optimise the coding to:

- `t.set()` (no argument since the time-out is a constant) is mapped to `set!`.
- `t.expired()` is mapped to `active == false` where `active` is a parameter of the template.

The templates are shown in Fig. 29. The two states correspond to the timer having expired (timer inactive) and waiting to time-out (timer active). The template (a) makes use of a feature of UPPAAL to mix integers and clocks in clock constraints. The constraint is dynamic and depends on the value of the integer. When returning to the state `Expired`, the timer resets its `value`, which has the effect to (1) use variable reduction (see pattern 7.1) and (2) to provide a simple way to test for a time-out. The template (b) is simpler in the sense that a constant is used in the clock constraints. Testing for the time-out is equivalent to test on the boolean variable `active`.
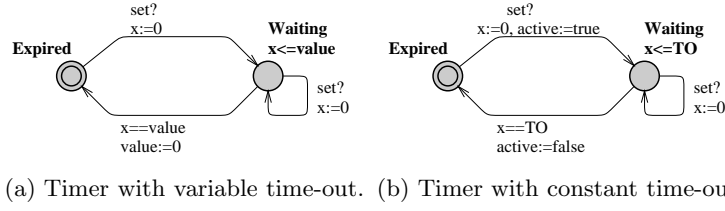
(a) Timer with variable time-out. (b) Timer with constant time-out.

Fig. 29. Template of the timer pattern. Template (a) has `int value; chan set` as parameters and template (b) has `bool active; chan set; const TO` as parameters. Both templates have the local declaration `clock x`.

Known Uses
A variation of the timer pattern is used in the box sorting machine of the previous pattern (for educational purposes reconstructed in Lego): A timer is activated when a coloured brick passes a light sensor. When the timer times out a piston kicks the brick from the transport belt.

7.8 Bounded Liveness Checking

Intent
To check bounded liveness properties, i.e., properties that are guaranteed not only to hold eventually but within some specified upper time-bound. Time-bounded liveness properties are essentially safety properties and hence often computationally easier to verify. Thus moving from (unconditional) liveness properties to a time-bounded versions will not only provide additional information — i.e., if one can provide a valid bound — but will also lead to more efficient verification.

Motivation
For real-time systems general liveness properties are often not sufficiently expressive to ensure correctness: the fact that a particular property is guaranteed to hold *eventually* is inadequate in case hard real-time deadlines must be observed. What is really needed is to establish that the property in question will hold within a certain upper time-limit.

Structure
We consider two variations of the pattern for a *time-bounded leads-to* operator $\varphi \rightsquigarrow_{\leq t} \psi$ expressing that whenever the state property φ holds then the state property ψ must hold within at most t time-units thereafter.

In the first version of the pattern we use a simple reduction for unbounded leads-to. First the model under investigation is extended with an additional clock z which is reset whenever φ starts to hold. The time-bounded leads-to property $\varphi \rightsquigarrow_{\leq t} \psi$ is now simply obtained by verifying $\varphi \rightsquigarrow (\psi \wedge z \leq t)$.

In the second — and more efficient version — of the pattern we use the method proposed in [54] in which time-bounded leads-to properties are reduced

to simple safety properties. First the model under investigation is extended with a boolean variable b and an additional clock z. The boolean variable b must be initialised to *false*. Whenever φ starts to hold b is set to *true* and the clock z is reset. When ψ commences to hold b is set to *false*. Thus the truth-value of b indicates whether there is an obligation of ψ to hold in the future and z measures the accumulated time since this unfulfilled obligation started. The time-bounded leads-to property $\varphi \leadsto_{\leq t} \psi$ is simply obtained by verifying the safety property $A\square(b \implies z \leq t)$.

A third method not reported is based on augmenting the model under investigation with a so-called test-automata, see [2,1].

We have deliberately been somewhat vague about the exact nature of the required augmentation of the model. The most simple case is when the (state) properties φ and ψ are simple locations l and l' of component automata. In this simple case the settings of z and b are to be added as assignments of the edges entering l and l'.

Sample
In the train gate example presented earlier in this tutorial a natural requirement is that a train is granted access to the crossing within a certain upper time-bound (say 100) after having signalled that it is approaching. In fact, not only is the gate responsible for avoiding collisions on the crossing but also for ensuring a fair and timely handling of requests. In Fig. 30 the `Train` template has been augmented with a local boolean `b` and a local clock `z`. `b` (to be initialised to 0) is set to 1 on the transition to location `Appr` and set to 0 on the two transitions to `Cross`. The clock `z` is reset on the transition to `Appr`. On the augmented model we now check the safety property `A[](Train1.b==1 imply Train1.z<=100)` which establishes that the bounded liveness property holds for `Train1`. In fact — due to obvious symmetries in the model — it suffices to establish the property for one train, `Train1` say. In this case it would have been advantageous for `Train1` to be singleton template in order to avoid augmenting *all* trains. In particular, the state-space will be substantially smaller in this way.

Known Uses
Almost any real-time system will have a number of liveness properties where information as to the time-bounds is vital for the correctness of the systems. The Gearbox Controller of [54] offers an excellent example where a long list of time-bounded liveness properties are directly obtained from requirements specified by the company Mecel AB.

7.9 Abstraction and Simulation

Intent
The goal of abstraction is to replace the problem of verifying a very large, infeasible concrete system with a smaller, and hopefully feasible abstract system. In particular, the method could be applied in a *compositional* manner to subsystems, i.e., various concrete subsystems are replaced by suitable abstractions,
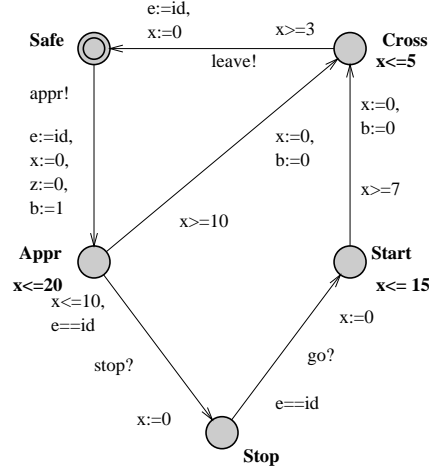
Fig. 30. The Train-Gate augmented to enable time-bounded liveness checking.

and the verification effort is conducted on the composition of these abstract subsystems.

Motivation

Despite enormous improvement in the verification capabilities of UPPAAL over the past years — and undoubtedly also for the years to come — state-space explosion is an ever existing problem that will be solved by algorithmic advances.[9] However, in verifying specific properties of a systems it is often only part of the behaviour of the various components which is relevant. Often the designer will have a good intuition about what these relevant parts are, in which case (s)he is able to provide abstractions for the various components, which are still concrete enough that the given property holds, yet are abstract (and small) enough that the verification effort becomes feasible. To give a sound methodology two requirements should be satisfied. Firstly, the notion of abstraction applied should preserve the properties of interest, i.e., once a property has been shown to hold for the abstraction it should be guaranteed to also hold for the concrete system. Secondly, the abstraction relation should be preserved under composition of systems. In [46,45] we have put forward the notion of (ready) timed simulation preserving safety properties while being a pre-congruence w.r.t. composition. Moreover, for (suggested) abstractions being deterministic and with no internal transitions, timed simulation may be established using simple reachability checking (and hence by using UPPAAL).

Structure

Let A be a timed automaton suggested as an abstraction for some (sub)system

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[9] unless we succeed in showing P=PSPACE

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S (possibly a network of timed automata). We assume that A is deterministic (i.e., no location with outgoing edges having overlapping guards) and without any internal transitions. For simplicity we shall assume all channels to be non-urgent and no shared variables exist between S and the remaining system. The extension of the technique to allow for urgency and shared variables can be found in [46]. To show that A is indeed an abstraction of S in the sense that A (ready) timed simulates S a test-automata T_A is constructed in the following manner: T_A has A as a skeleton but with the direction of actions (input/output) reversed. A distinguished new location *bad* is added and from all locations l and all actions a an a-labelled edge from l to *bad* is inserted with guard $\neg(g_1 \vee \ldots \vee g_n)$ where $g_1 \ldots g_n$ is the full set of guards of a-labelled edges out of l in the skeleton. Now S is (ready) timed simulated by A — and hence A is a valid abstraction of S — precisely if the location *bad* is unreachable in the composite system $S \| T_A$. Essentially, T_A observes that all behaviour of S is matchable by A.

Sample

Consider the Uppaal model in Fig. 31 consisting of a `Sender` a `Receiver` and four pipelining processes `Pi`. Each pipeline process `Pi` has the obligation of reacting to a stimulus from its predecessor on channel `ai` and pass it on to its successor on channel `ai+1`. A local clock is used to model that each pipeline process adds a minimum delay of 2. After having completed the passing on, the pipeline process engages in some internal computation (the small cycle `S2`, `S3`, `S4`). Now assume that we want to verify that the `Receiver` will have received its stimulus no sooner than after 8 time-units, or in general $2n$ in a system with n pipeline processes. Obviously, the system we are looking at is subject to an enormous state-space explosion when we increase the number of pipeline elements. However, for establishing the property in question we need only little information about the various subsystems. For `P1`∥`P2` we essentially only need to know that the time from reacting to the initial stimulus from the `Sender` to passing this stimulus on to `P3` is at least 4. We do not need to worry about the internal computation nor the precise moment in time when the stimulus was passed from `P1` to `P2`. In particular we should be able to replace `P1`∥`P2` with the much simpler automaton `P1P2`. To show that this is a valid substitution we simply show that the `BAD` location is unreachable for the system `P1`∥`P2`∥`TestP1P2`, where `TestP1P2` is the test automaton for `P1P2`. A similar abstraction `P3P4` may obviously be given for the subsystem `P3`∥`P4` and the desired property may now be established for the "much" simpler system `P1P2`∥`P3P4`, rather than the original system.

Known Uses

The described technique can be found in full details in the Ph.D. thesis of Jensen [45]. In [46] the technique has been successfully applied to the verification of a protocol for controlling the switching between power on/off states in audio/video components described in [48].
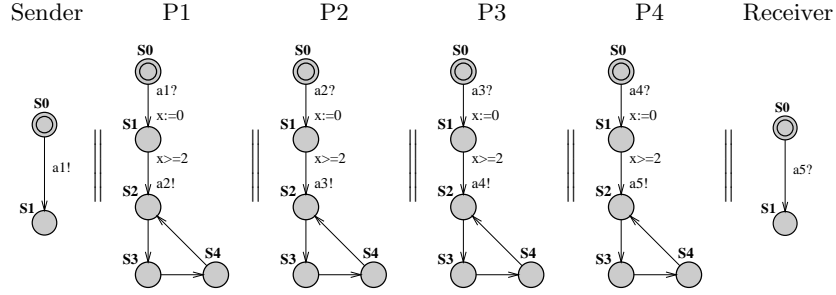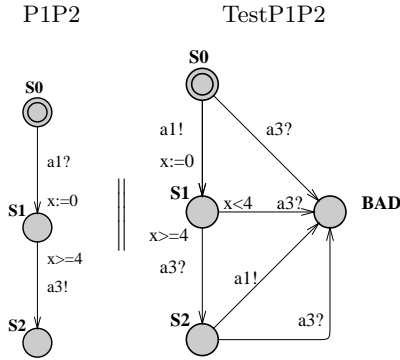
Fig. 31. A small pipelining system.

Fig. 32. A suggested abstraction and its test automaton.

8 Conclusion

UPPAAL is a research tool available for free at `http://www.uppaal.com/` that features an intuitive graphical interface. It has been ported to different platforms and it is in constant development. There are different development branches and tools that make use of UPPAAL:

Cost–UPPAAL supports cost annotations of the model and can do minimal cost reachability analysis [51]. This version also has features for guiding the search. This version can be downloaded from `http://www.cs.auc.dk/~behrmann/_guiding/`.

Distributed–UPPAAL runs on multi-processors and clusters using the combined memory and CPU capacity of the system [17,9].

T–UPPAAL test case generator for black-box conformance testing, see `http://www.cs.auc.dk/~marius/tuppaal/`.

Times is a tool set for modelling, schedulability analysis, and synthesis of (optimal) schedules and executable code. The verification uses UPPAAL [6].

On-going work on the model-checker includes support for hierarchical timed automata, symmetry reduction, U-Code (UPPAAL code, large subset of C), im-

proved memory management, etc. The tool has been successfully applied to case studies ranging from communication protocols to multimedia applications:

Bang & Olufsen audio/video protocol. An error trace with more than 2000 transition steps was found [35].

TDMA Protocol Start-Up Mechanism was verified in [55].

Bounded retransmission protocol over a lossy channels was verified in [24].

Lip synchronisation algorithm was verified in [23].

Power-down controller in an audio/video component was designed and verified in collaboration with Bang & Olufsen in [34].

Guided synthesis of control programs for a steel production plant was done in [43]. The final control programs were compiled to run on a Lego model of the real plant.

Gearbox controller was formally designed and analysed in [54].

Lego Mindstorm programs written in "Not Quite C" have been verified in [44].

Field bus protocol was modelled and analysed in [30].

Bi-phase Mark Protocol was modelled and analysed in [59] with UPPAAL and PVS.

Controller for a wafer scanner was designed with the aid of UPPAAL in [37].

Car supervision system was formally modelled and analysed in [33].

IEEE1394a root contention protocol was modelled and verified in [58].

UPPAAL is also used in a number of courses on real-time systems and formal verification:

- `http://user.it.uu.se/~paupet/#teaching`
  Real-time and formal method courses at Uppsala University.
- `http://csd.informatik.uni-oldenburg.de/teaching/fp_realzeitsys_ws0001/result/eindex.html`
  Practical course "Real-Time Systems" at the University of Oldenburg.
- `http://fmt.cs.utwente.nl/courses/systemvalidation/`
  System Validation (using Model Checking) at the University of Twente.
- `http://www.cs.auc.dk/~behrmann/esv03/`
  Embedded Systems Validation at Aalborg University.
- `http://www.cs.auc.dk/~kgl/TOV04/Plan.html`
  Test and Verification at Aalborg University.
- `http://www.seas.upenn.edu/~pappasg/EE601/F03/`
  Hybrid Systems at the University of Pennsylvania.
- `http://www.it.uu.se/edu/course/homepage/proalgebra`
  Process Algebra at Uppsala University.
- `http://www.cs.auc.dk/~luca/SV/`
  Semantics and Verification.
- `http://www.cs.depaul.edu/programs/courses.asp?subject=SE&courseid=533`
  Software Validation and Verification at DePaul University.
- `http://www.cs.bham.ac.uk/~mzk/courses/SafetyCrit/`
  Safety Critical Systems and Software Reliability at the University of Birmingham.

- `http://fmt.cs.utwente.nl/courses/sysontomg/`
  Systeem-ontwikkelomgevingen at the University of Twente.
- `http://www.niii.ru.nl/F.Vaandrager/PV/`
  Protocol Validation at the Radboud University of Nijmegen.

Finally the following books have parts devoted to UPPAAL:

- *Concepts, Algorithms and Tools for Model-Checking* [47]: Lecture notes in its current form. It treats both Spin and UPPAAL.
- *Systems and Software Verification: Model-checking Techniques and Tools* [22]: This book identifies 6 important tools and has a chapter on UPPAAL.

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Appendix

```
Expression → ID | NAT
           |  Expression '[' Expression ']'
           |  '(' Expression ')'
           |  Expression '++' | '++' Expression
           |  Expression '--' | '--' Expression
           |  Expression AssignOp Expression
           |  UnaryOp Expression
           |  Expression BinaryOp Expression
           |  Expression '?' Expression ':' Expression
           |  Expression '.' ID
UnaryOp    → '-' | '!' | 'not'
BinaryOp   → '<' | '<=' | '==' | '!=' | '>=' | '>'
           |  '+' | '-' | '*' | '/' | '%' | '&'
           |  '|' | '^' | '<<' | '>>' | '&&' | '||'
           |  '<?' | '>?' | 'and' | 'or' | 'imply'
AssignOp   → ':=' | '+=' | '-=' | '*=' | '/=' | '%='
           |  '|=' | '&=' | '^=' | '<<=' | '>>='
```

Fig. 33. Syntax of expressions in BNF.

Table 3. Options of `verifyta` and the corresponding options in the GUI. Defaults of `verifyta` are shown in boldface.

State Space Representation

-C   DBM
Use DBMs rather than a minimal constrain graph [53] in the state representation used to store reachable states. This increases the memory usage (more so in models with many clocks), but is often faster.

-A   Over approximation
Use convex hull over-approximation [7]. For timed systems, this can drastically increase verification speed. For untimed systems, this has no effect.

-Z   Under approximation
Use bit-state hashing under-approximation. This reduces memory consumption to a more of less fixed amount. The precision of the approximation is controlled by changing the hash table size. Known as *super-trace* in [40,41].

-T   Reuse
Speed up verification by reusing the generated state-space when possible. For some combinations of properties this option can *possibly* lead to a larger state-space representation, thus nullifying the speedup.

-U   When representing states with minimal constraint graphs, this option changes how states are compared. It reduces the memory consumption at the expense of a more time consuming comparison operator. The reduced memory usage might cancel out the overhead. In the GUI, this is always on.

-H   Change the size of hash tables used during verification. Can give a speedup for large systems.

State Space Reduction

-S0   None
Store all reachable states. Uses most memory, but avoids that any state is explored more than once.

-S1   Conservative
Store all non-committed states. Less memory when committed locations are used, and for most models states are only explored once.

-S2   Aggressive
Try hard to reduce the number of states stored. Uses much less memory, but might take much more time. Do not combine this option with depth first search, as the running time increases drastically.

Search Order

-b   Breadth First
Search the state space using a breadth first strategy.

-d   Depth First
Search the state space using a depth first strategy.

Trace Options

-t0   Some Trace
Generate some diagnostic trace.

-t1   Shortest Trace
Generate the shortest (in number of steps) trace.

-t2   Fastest Trace
Generate the fastest (smallest time delay) trace.

-f   Write traces to XTR trace files (which can be read by the GUI).

-y   By default concrete traces (showing both delay and control transitions) are produced. This option produces symbolic traces like those shown in the GUI.