### An Introduction to Monads

### **Kathleen Fisher**

```
Reading: "
A history of Haskell: Being lazy with class",
Section 6.4 and Section 7
"Monads for functional programming"
Sections 1-3
"Real World Haskell", Chapter 14: Monads
```

Thanks to Andrew Tolmach and Simon Peyton Jones for some of these slide

### **Notes on the Reading**

- 坛 "Monads for functional programming" uses
  - unit instead of return
- ★ instead of bind
  But it is talking about the same things.
  - "Real World Haskell", Chapter 14, uses running examples introduced in previous chapters. You don't need to understand all that code, just the big picture.

# Reviewing IO Monad

坛 Basic actions in IO monad have "side

```
getChar :: IO Char
putChar :: Char -> IO ()
isEOF :: IO Bool
```

坛 "Do" combines actions into larger

坛 Operations happen only at the "top level"

# Reviewing IO Monad

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坛 Operations happen only at the "top level"

### "do" and "bind"

The special notation

is "syntactic" sugar for the ordinary expression (v1 -> e2

 The value returned by the first action needs to be fed to the second; hence the 2nd arg to >>= is a function (often an explicit lambda).

### More about "do"

坛 Actions of type Io() don't carry a useful value, so we can sequence them with >>.

```
(>>) :: IO a -> IO b -> IO b
e1 >> e2 = e1 >>= (\_ -> e2)
```

坛 The full translation for "do" notation is:

### **Explicit Data Flow**

- 坛 Pure functional languages make *all* data flow explicit.
- 坛 Advantages
  - Value of an expression depends only on its free variables, making equational reasoning valid.
  - Order of evaluation is irrelevant, so programs may be evaluated lazily.
  - Modularity: everything is explicitly named, so programmer has maximum flexibility.
- Disadvantages
  - Plumbing, plumbing, plumbing!

## **Plumbing**

- 坛 The IO monad allowed us to hide the plumbing required to handle interacting with the world.
- 坛 Can we use the same ideas to hide other kinds of plumbing?



### An Evaluator

## Making Modifications...

#### 坛 To add error checking

- Purely: modify each recursive call to check for and handle errors.
- Impurely: throw an exception, wrap with a handler.

#### 坛 To add logging

- Purely: modify each recursive call to thread logs.
- Impurely: write to a file.
- 坛 To add a count of the number of operations
  - Purely: modify each recursive call to thread count.

# **Adding Error Handling**

坛 Modify code to check for division by zero:

Yuck! A lot of ugly plumbing!

# **Adding Error Handling**

坛 Modify code to check for division by zero:

Note: whenever an expression evaluates to **Error**, that **Error** propagates to final result.

### **A Useful Abstraction**

坛 We can abstract how **Error** flows through the code with a higher-order function:

### A Pattern...

坛 Compare the types of these functions:

```
ifOKthen :: Hope a -> (a -> Hope b) -> Hope b
Ok :: a -> Hope a -- constructor for Hope

(>>=) :: IO a -> (a -> IO b) -> IO b
return :: a -> IO a
```

- 坛 The similarities are not accidental!
- 坛 Like IO, Hope is a monad.
  - Io threads the "world" through functional code.
  - Hope threads whether an error has occurred.
- 坛 Monads can describe many kinds of plumbing!

## Monads, Formally

- 坛 A monad consists of:
  - A type constructor M
  - A function >>= :: M a -> ( a -> M b) -> M
  - A function return :: a -> M a
- 坛 Where >>= and return obey these laws:

# Verifying that Hope is a

```
e `ifOKthen` k = case e of Ok x -> k x

Error s -> Error s
```

# Third Monad Law exercise)

(left as an

```
m1 >>= (\x->m2 >>= \y->m3) = (m1 >>= \x->m2) >>= \y->m3
```

# Recall Type Classes

坛 We can overload operators to work on many types:

many types:

(==) :: Int -> Int -> Bool

(==) :: Char -> Char -> Bool

(==) :: [Int]-> [Int]-> Bool

坛 Type classes and instances capture this pattern:

```
class Eq a where
   (==) :: a -> a -> Bool ...

instance Eq Int where
   (==) = primIntEq

instance Eq a => Eq [a] where
   (x:xs) == (y:ys) = x==y && xs == ys
...
```

# Recall Type Constructor Classes

Classes

We can define type classes over type

constructors:

We can do the same thing for monads!

# The Monad Constructor Class

坛 The Prelude defines a type constructor class for monadic behavior:

```
class Monad m where
  return :: a -> m a
  (>>=) :: m a -> (a -> m b) -> m b
```

- 坛 The Prelude defines an instance of this class for the IO type constructor.
- 坛 The "do" notation works over any instance of class **Monad**.

## Hope, Revisited

坛 We can make **Hope** an instance of Monad:

```
instance Monad Hope where
return = Ok

(>>=) = ifOKthen

monadic
```

```
eval3 :: Exp -> Hope Int
-- Cases for Plus and Minus omitted but similar
eval3 (Times el e2) = do {
    v1 <- eval3 el;
    v2 <- eval3 e2;
    return (v1 * v2) }
eval3 (Div el e2) = do {
    v1 <- eval3 e1;
    v2 <- eval3 e2;
    if v2 == 0 then Error "divby0" else return (v1 `div` v2)}
eval3 (Const i) = return i</pre>
```

### Compare

```
-- Div case, non-monadic case
eval1 (Div e1 e2) =
   case eval1 e1 of
   Ok v1 ->
      case eval1 e2 of
      Ok v2 -> if v2 == 0 then Error "divby0"
            else Ok (v1 `div` v2)
            Error s -> Error s
```

```
-- Div case, monadic case
eval3 (Div el e2) = do {
   v1 <- eval3 e1;
   v2 <- eval3 e2;
   if v2 == 0 then Error "divby0"
   else return (v1 `div` v2)}</pre>
```

The monadic version is much easier to read and modify.

# **Adding Tracing**

Modify (original) interpreter to generate a log of the operations in the order they are

More ugly plumbing!

### **Tracing Monad**

坛 We can capture this idiom with a tracing monad, avoiding having to explicitly thread the log through the computation

## **Eval with Monadic Tracing**

Which version would be easier to modify?

# Adding a Count of Div Ops

- Non-monadically modifying the original evaluator to count the number of divisions requires changes similar to adding tracing:
  - thread an integer count through the code
  - update the count when evaluating a division.
- Monadically, we can use the general state monad  $\frac{1}{\text{type ST s a = s -> (a, s)}}$ r an arbitrary state type. Intuitively:
- The IO TO a street world instant type IO a = World -> (a, World) e the type of the state is "World"

### **The ST Monad**

坛 First, we introduce a type constructor for the new monad so we can make it an newtype State s a = ST {runST :: s -> (a,s)}

- 坛 A newtype declaration is just like a datatype, except
  - It must have exactly one constructor.
  - Its constructor can have only one argument.
  - It describes a strict isomorphism between types.
  - It can often be implemented more efficiently

```
runST :: State s a -> s -> (a,s)
```

The curly braces define a record, with a

# The ST Monad, Continued

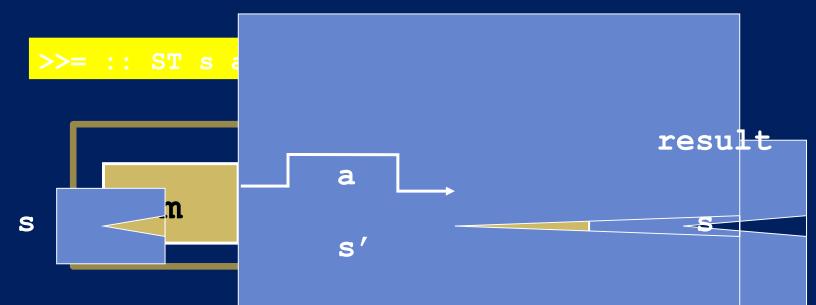
坛 We need to make ST s an instance of

```
S
```

## The ST Monad, Continued

坛 We need to make ST s an instance of

```
newtype ST s a = ST {runST :: s -> (a,s)}
```



### **Operations in the ST Monad**

The monad structure specifies how to thread the state. Now we need to define operations for using the state.

```
get :: State s s
get = ST (\s -> (s,s))

-- Make put's argument the new state, return the unit value.
put :: s -> State s ()
put s = ST (\_ -> ((),s))

-- Before update, the state has value s.
-- Return s as value of action and replace s with f s.
update :: (s -> s) -> State s s
```

# **Counting Divs in the ST**

The state flow is specified in the monad; eval can access the state w/o having to thread it explicitly.

### The "Real" ST Monad

- The module Control.Module.ST.Lazy, part of the standard distribution, defines the ST monad, including the get and put functions.
- 坛 It also provides operations for allocating, writing to, reading from, and modifying

```
-- From Data.STRef.Lazy
data STRef s a
newSTRef :: a -> ST s (STRef s a)
readSTRef :: STRef s a -> ST s a
writeSTRef :: STRef s a -> a -> ST s ()
modifySTRef :: STRef s a -> (a -> a) -> ST s ()
```

坛 Analogous to the IORefs in the IO Monad.

### Swapping in ST s

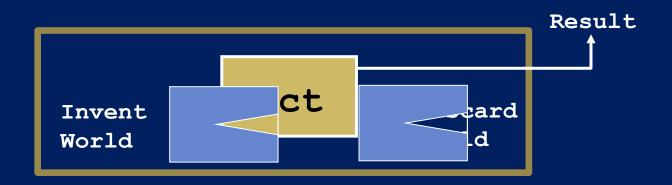
坛 Using these operations, we can write an imperative swap function:

坛 And test It...

### A Closer Look

坛 Consider again the test code:

The runsr :: sr s int -> int function allowed us to "escape" the sr s monad.



### **But Wait!!!!**

- The analogous function in the IO Monad unsafePerformIO breaks the type system.
- 坛 How do we know runst is safe?

```
-- What is to prevent examples like this one?
-- It allocates a reference in one state thread,
-- then uses the reference in a different state.

let v = runST (newSTRef True)
in runST (readSTRef v)
```

This code must be outlawed because actions in different state threads are not sequenced with respect to each other. Purity would be lost!

### **But How?**

- 坛 Initially, the Haskell designers thought they would have to tag each reference with its originating state thread and check each use to ensure compatibility.
  - Expensive, runtime test
  - Obvious implementation strategies made it possible to test the identity of a thread and therefore break referential transparency.
- 坛 Use the type system!

## Typing runST

- 坛 Precisely typing runST solves the problem!
- 坛 In Hindley/Milner, the type we have given to runST is implicitly universally quantifierunST:: \/s,a.ST s a -> a
- 坛 But this type isn't good enough.

#### **A Better Type**

- Intuition: runST should only be applied to an ST action which uses newSTRef to allocate any references.
- Or: the argument to runsT should not make any assumptions about what has already been allocated.
- □ Or: runST should work regardless of what initial state is given.
- 坛 So, its t runst :: \/a.(\/s.st s a) -> a

which is not a Hindley/Milner type because it has a nested quantifier. It is an example

#### **How does this work?**

坛 Consider the example again:

```
let v = runST (newSTRef True)
in runST (readSTRef v) -- Bad!
```

坛 The type of **readVar v** depends upon the type of **v**, so during type checking, we will

```
{...,v:STRef s Bool} |- readSTRef v : ST s Bool
```

- 坛 To apply runST we have to give (readSTRef v) the type \/s.ST s Bool.
- But the type system prevents this quantifier introduction because s is in the se A foreign reference cannot be imported into a state thread.

#### **How does this work?**

坛 In this example, v is escaping its thread:

```
Env |- newSTRef True :: ST s (STRef s Bool)
which generalizes to
Env |- newSTRef True :: \/ST s (STRef s Bool)
We
instantiate its type with STRef s Bool to
get:
```

```
runST :: \/s,(\/a.ST s a) -> a -- instantiate a runST :: (\/s'. ST s'(STRef s Bool) -> STRef s Bool
```

A reference cannot escape from a state thread.

#### **Formally**

- 坛 These arguments just give the intuition for why the type preserves soundness.
- 坛 In 1994, researchers showed the rank-2 type for runst makes its use safe.
- 坛 They used proof techniques for reasoning about polymorphic programs developed by John Mitchell and Albert Meyer.
- Consequence: we can write functions with pure type that internally use state. The rest of the program *cannot* tell the difference.

Lazy Functional State Threads by John Launchbury and Simon Peyton Jones

## The Implementation

- 坛 The ST monad *could be* implemented by threading the state through the computation, directly as the model suggests.
- 运 But, the type system ensures access to state will be single threaded.
- 坛 So the system simply does imperative updates.
- 坛 The safety of the type system ensures that user code *cannot tell the difference* (except in performance!)

## **Mutable Arrays**

坛 In addition to imperative variables, the ST monad provides mutable arrays with the

```
-- Allocate a new array, with each cell initialized to elt.
newArray :: Ix i => (i,i) -> elt -> ST s MArray(s i elt)

-- Read an element of the array a[i]
readArray :: Ix i => MArray(s i elt) -> i -> ST s elt

-- Write an element of the array a[i] := new_elt
writeArray :: Ix i => MArray(s i elt) -> i -> elt -> ST s ()
```

# Imperative Depth First Search

Problem: Given a graph and a list of "root" vertices, construct a list of trees that form a spanning forest for the graph.

```
type Graph = Array Vertex [Vertex]
data Tree a = Node a [Tree a]
```

- With lazy evaluation, the trees will be constructed on demand, so the this construction corresponds to depth-first search.
- 坛 We can use the ST monad to give a purely functional interface to an imperative implementation of this

# Imperative Depth First Search

# Using DFS

```
-- Is Vertex b reachable from Vertex a in Graph g?
reachable :: Graph -> Vertex -> Vertex -> Bool
reachable g a b = b `elem` (toPreOrder ( dfs g [a]))

toPreOrder :: [Tree Vertex] -> [Vertex]
```

# toPreOrde dfs g [a]

Lazy evaluation means e1 will start executing as soon as b is emitted, and dfs will stop, imperative state and all!

```
if reachable g [a] b
... C, b, a then e1
else e2
```

#### Quicksort

The problem with this function is that **it's not really** Quicksort. ... What they have in common is overall algorithm: pick a pivot (always the first element), then recursively sort the ones that are smaller, the ones that are bigger, and then stick it all together. But in my opinion the real Quicksort has to be imperative because it relies on destructive update... The partitioning works like this: scan from the left for an element bigger than the pivot, then scan from the right for an element smaller than the pivot, and then swap them. Repeat this until the array has been partitioned.... Haskell has a variety of array types with destructive updates (in different monads), so it's perfectly possible to write the imperative Quicksort in Haskell. [The code is on his blog]

-- Lennart Augustsson

#### A Monad of

- 坛 Like many business the Like many business to Like many business the Like many business t
- The bind operator applies **f** to each element **x** in the input list, producing a list for each **x**. Bind then concatenates the results.
- We can view this monad as a representation of nondeterministic computations, where the members of the list a orelse = (++) -- contatentation

dafina

#### **Example: Pairs of Factors**

坛 This code returns a list of pairs of numbers that multiply to the argument n:

```
multiplyTo :: Int -> [(Int,Int)]
multiplyTo n = do {
    x <- [1..n];
    y <- [x..n];
    if (x * y == n) then return (x,y) else bad }

fstMult = head (multiplyTo 10)
sndMult = head (tail (multiplyTo 10))</pre>
```

坛 Lazy evaluation ensures that the function produces only as many pairs as the program consumes.

```
Example: Eight
   Queens
```

## Monad Menagerie

- 坛 We have seen many example monads
  - IO, Hope (aka Maybe), Trace, ST, Nondeterminism
- 坛 There are many more...
  - Continuation monad
  - STM: software transactional memory
  - Reader: for reading values from an environment
  - Writer: for recording values (like Trace)
  - Parsers
  - Random data generators (e.g, in Quickcheck)
- 坛 Haskell provides many monads in its

#### **Operations on Monads**

坛 In addition to the "do" notation, Haskell leverages type classes to provide generic functions for manipulating monads.

## **Composing Monads**

- 运 Given the large number of monads, it is clear that putting them together is useful:
  - An evaluator that checks for errors, traces actions, and counts division operations.
- 坛 They don't compose directly.
- 坛 Instead, monad transformers allow us to "stack" monads:
  - Each monad M typically also provides a monad transformer MT that takes a second monad N and adds M actions to N, producing a new monad that does M and N.
- 坛 Chapter 18 of RWH discusses monad transformers.

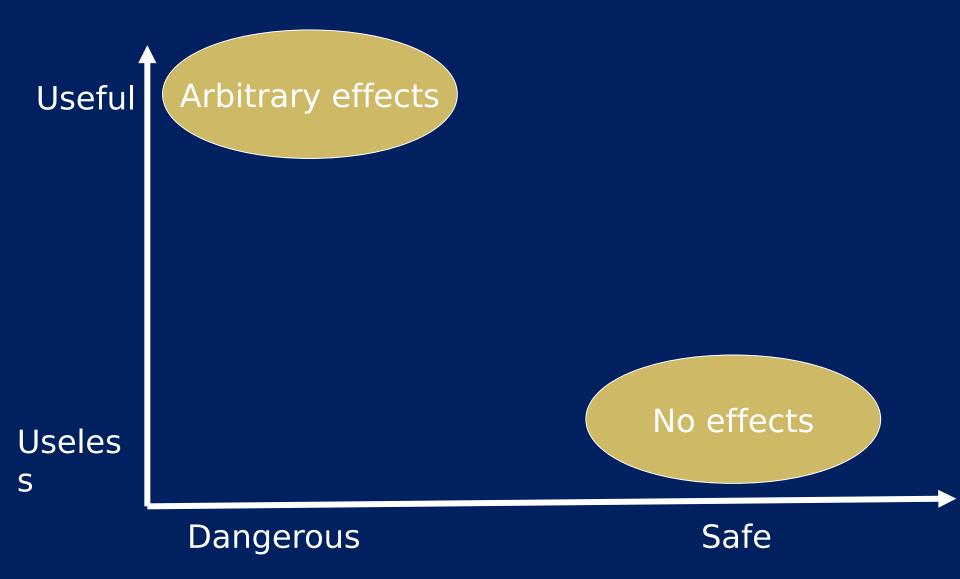
#### Summary

- 坛 Monads are everywhere!
- 坛 They hide plumbing, producing code that looks imperative but preserves equational reasoning.
- 坛 The "do" notation works for any monad.
- 坛 The IO monad allows interactions with the world.
- 坛 The ST monad safely allows imperative implementations of pure functions.
- 坛 Slogan: Programmable semi-colons. The programmer gets to choose what

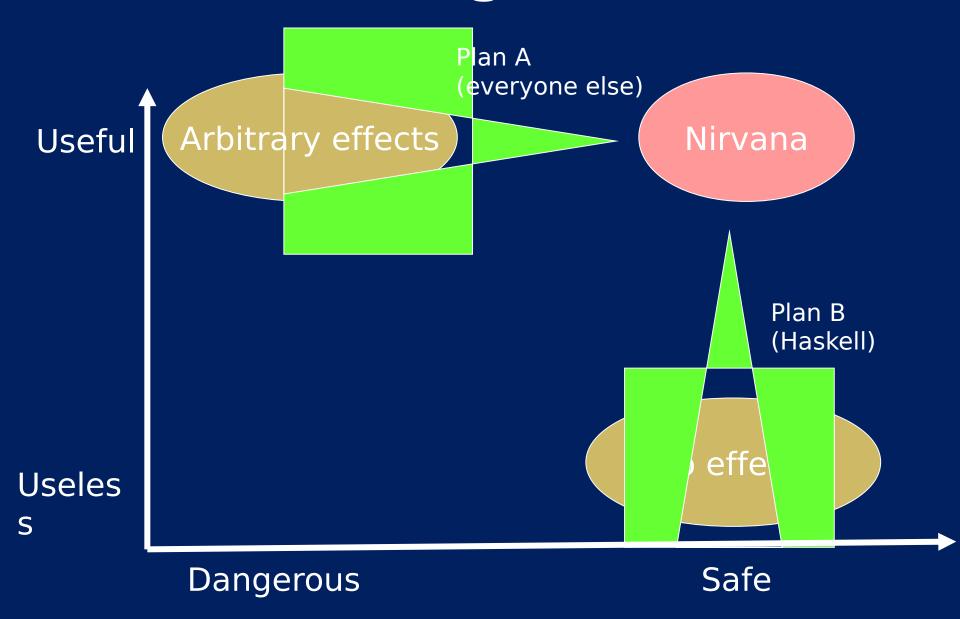
#### A Monadic Skin

- In languages like ML or Java, the fact that the language is in the IO monad is baked in to the language. There is no need to mark anything in the type system because IO is everywhere.
- In Haskell, the programmer can choose when to live in the IO monad and when to live in the realm of pure functional programming.
- Interesting perspective: It is not Haskell that lacks imperative features, but rather the other languages that lack the ability

# The Central Challenge



# The Challenge of Effects



#### Two Basic

Arbitrary effects

Examples

- 坛 Regions
- 坛 Ownership ty
- 坛 Vault, Spec#

Default = Any effect Plan = Add restrictions

#### **Two Basic**

la

Default = No effects
Plan = Selectively permit effects

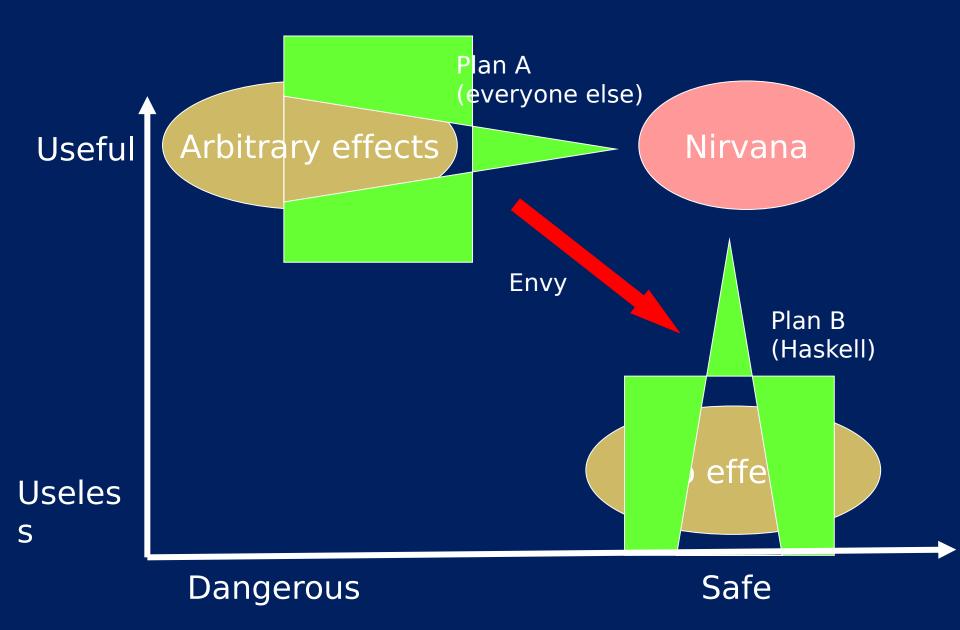
Types play a major role

#### Two main approach

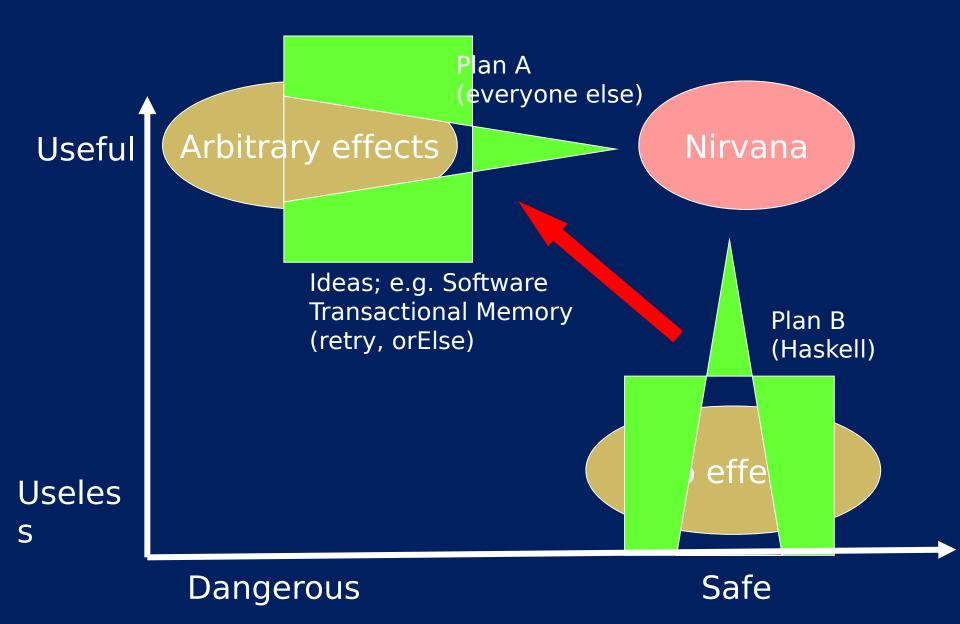
- Domain specific languages (SQL XQuery, MDX, Google map/reduce)
- 坛 Wide-spectrum functional languages + controlled effects (e.g. Haskell)

Value oriented programming

#### **Lots of Cross Over**



#### **Lots of Cross Over**



# An Assessment and a **Prodiction**

One of Haskell's most significant contributions is to take purity seriously, and relentlessly pursue Plan B.

Imperative languages will embody growing (and checkable) pure subsets.

-- Simon Peyton Jones