

Math 103B

Modern Algebra: Rings and Fields

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1 Rings (Chapter 12)

Recall that a group is a set equipped with a binary operation. However, often times, a lot of sets are naturally endowed with *two* binary operations: addition *and* multiplication. In this case, we want to account for *both* of them at the same time instead of having two groups with the same sets but different operations. To that, we introduce the *ring*.

Definition 1.1: Ring

A ring R is a set with two *binary operations* (meaning closed operations), addition (denoted by $a + b$) and multiplication (denoted by ab), such that for all $a, b, c \in R$:

1. **Commutative:** $a + b = b + a$
2. **Associative:** $(a + b) + c = a + (b + c)$
3. **Additive Identity:** There is an additive identity $0 \in R$ such that $a + 0 = 0 + a = a$ for all $a \in R$.
4. **Additive Inverse:** There is an element $-a \in R$ such that $a + (-a) = (-a) + a = 0$.
5. **Associative:** $a(bc) = (ab)c$.
6. **Distributive Property:** $a(b + c) = ab + ac$ and $(b + c)a = ba + ca$.

We sometimes write this ring out as $(R, +, \cdot)$.

Remarks:

- A ring is an abelian group under addition, but also has an associative multiplication that is *left and right distributive* over addition.
- If a and b belong to a commutative ring R and a is nonzero, then we say that a *divides* b (or that a is a factor of b) and write $a|b$ if there exists $c \in R$ such that $b = ac$. If a does not divide b , we write $a \nmid b$.
- If we need to deal with something like:

$$\underbrace{a + a + \cdots + a}_{n \text{ times}}$$

Then, we will use $n \cdot a$ to mean this.

1.1 Basic Applications of the Ring

Here, we introduce several examples of rings.

1.1.1 Example 1: Integer Rings

$$\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$$

The set of integers under ordinary addition and multiplication is a commutative ring with unity 1. The *units* of \mathbb{Z} are 1 and -1.

1.1.2 Example 2: Integers Mod N

$$\mathbb{Z}/n\mathbb{Z} = \{0, 1, \dots, n-1\}$$

The set of integers modulo n under addition and multiplication is also a commutative ring with unity 1. The set of *units* is $U(n)$. Here, we define $U(n)$ to be the set of integers less than n and relatively prime to n under multiplication modulo n .

This can also be written as \mathbb{Z}_n .

1.1.3 Example 3: Polynomial Rings

The set $\mathbb{Z}[x]$ of all polynomials in the variable x with integer coefficients under ordinary addition and multiplication is a commutative ring with unity $f(x) = 1$. Here, we define:

$$\mathbb{Z}[x] = \{a_0 + a_1x + a_2x^2 + \cdots + a_nx^n \mid a_i \in \mathbb{Z}\}$$

So, for example, $x^2 + 4x + 5 \in \mathbb{Z}[x]$.

1.1.4 Example 4: Matrix Rings

The set $M_2(\mathbb{Z})$ of 2×2 matrices with integer entries is a *noncommutative ring* with unity $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$.

1.1.5 Example 5: Even Integer Rings

The set $2\mathbb{Z}$ of even integers under ordinary addition and multiplication is a commutative ring without unity.

1.1.6 Example 6: Direct Sum

If R_1, R_2, \dots, R_n are rings, then we can create a new ring:

$$R_1 \oplus R_2 \oplus \cdots \oplus R_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in R_i\}$$

From this, we can perform componentwise addition and multiplication; that is:

$$(a_1, a_2, \dots, a_n) + (b_1, b_2, \dots, b_n) = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n)$$

$$(a_1, a_2, \dots, a_n)(b_1, b_2, \dots, b_n) = (a_1b_1, a_2b_2, \dots, a_nb_n)$$

1.2 More on Rings

Definition 1.2: Commutative Ring

A ring R is **commutative** if $ab = ba$ for all $a, b \in R$.

Remark: In other words, multiplication in a ring does **not** have to be commutative. However, *if* it is commutative, we say that the ring is commutative.

Definition 1.3: Unity

A ring R has **unity** if $1 \in R$ is a multiplicative identity:

$$1a = a1 = a$$

Remark: A ring *does not need to have* an identity under multiplication. If a ring does have a non-zero identity under multiplication, then we say that the identity is a *unity*.

Definition 1.4: Unit

An element $a \in R$ is called a **unit** if it has a multiplicative inverse. In other words, a is a unit if there exists an $a^{-1} \in R$ such that:

$$a^{-1}a = aa^{-1} = 1$$

Remarks:

- A nonzero element of a commutative ring with unity need not have a multiplicative inverse. When it does, we say that it is a unit of the ring. In other words, a is a unit if a^{-1} exists.

- $U(R) = \{\text{Units in } R\}$
- $U(n) = \{\text{Units in } \mathbb{Z}/n\mathbb{Z}\}$

Definition 1.5: Division

For $a, b \in R$, we say that a **divides** b and write $a|b$ if $b = ac$ for some $c \in R$.

1.3 Properties of Rings

We begin by talking about a few important properties.

1.3.1 Basic Rules of Multiplication

Theorem 1.1

For all $a \in R$, we have:

$$a0 = 0a = 0$$

Proof. We know that:

$$0a = (0 + 0)a = 0a + 0a$$

Subtracting both sides by $0a$ gives:

$$0 = 0a + (0a - 0a) \implies 0 = 0a$$

By symmetry, we can do the same for $0a$. Therefore, we are done. \square

Theorem 1.2

For all $a, b \in R$, we have:

$$a(-b) = (-a)b = -(ab)$$

Proof. First, we have:

$$a(-b) + ab = a(-b + b) = a0 = 0$$

Now, if we add $-(ab)$ to both sides, we have:

$$a(-b) + ab + -(ab) = -(ab) \implies a(-b) = -(ab)$$

By symmetry, $(-a)b = -(ab)$ as well. \square

Theorem 1.3

For all $a, b \in R$, we have:

$$(-a)(-b) = ab$$

Proof. Note that:

$$\begin{aligned}
 (-a)0 &= 0 \\
 &\iff (-a)(b + (-b)) = 0 \\
 &\iff (-a)b + -a(-b) = 0 \\
 &\iff -(ab) + -a(-b) = 0 \\
 &\iff ab + -(ab) + -a(-b) = ab \\
 &\iff -a(-b) = ab
 \end{aligned}$$

So, we are done. \square

Theorem 1.4

For all $a, b, c \in R$, we have:

$$a(b - c) = ab - ac \text{ and } (b - c)a = ba - ca$$

Proof.

$$\begin{aligned}
 a(b - c) &= ab + -(ac) \\
 &= ab + (-a)c \\
 &= ab - ac
 \end{aligned}$$

By symmetry, we can apply the other side as well. So, we are done. \square

1.3.2 Rules of Multiplication with Unity Element

Theorem 1.5

For all $a \in R$ where R has a unity element 1, we have:

$$(-1)a = -a$$

Proof. Applying the theorem that we proved:

$$(-1)a = -(1a) = -a$$

So, we are done. \square

Alternatively:

Proof. Since $(\mathbb{R}, +)$ is an abelian group, it suffices to prove that $(-1)a + a = 0$.

$$(-1)a + a = (-1)a + 1a = (-1 + 1)a = 0a = 0$$

So, we are done. \square

Theorem 1.6

$$(-1)(-1) = 1$$

Proof. Applying the theorem that we proved:

$$(-1)(-1) = 1(1) = 1$$

So, we are done. □

1.3.3 Uniqueness of Unity and Inverses

Theorem 1.7

If a ring has a unity, it is unique. If a ring element has a multiplicative inverse, it is also unique.

Proof. We will prove both parts individually. Suppose R is a ring.

1. Suppose e and e' are unity elements in a ring R . Then, we know that:

- $e = ee'$ since e' is a unity.
- $e' = ee'$ since e is a unity.

Therefore:

$$e = ee' = e'$$

Which means that the unity must be unique.

2. Suppose $a \in R$ and further suppose that x and y are both multiplicative inverses of a . Then:

$$x = x1 = x(ay) = (xa)y = 1y = y$$

Therefore, $x = y$ and the two inverses are equal.

Therefore, we are done. □

Important Note 1.1

Rings are not groups under multiplication. $R - \{0\}$ is not a group under multiplication.
Rings may not have multiplicative cancellations.

To show that this is the case, consider the question: Which elements $a \in R$ satisfy $a^2 = a$?

- If R has unity, then $a = 1$.
- $a = 0$ is always a solution.

Now, consider $\mathbb{Z}/6\mathbb{Z} = \{0, 1, 2, 3, 4, 5\}$. Then, $a^2 = a$ for $a = 0, 1, 3, 4$. The only units in this ring are 1 and 5.

2 Subrings (Chapter 12)

Recall that, with groups, we have objects called *subgroups*. The same thing applies here: with rings, we have objects called *subrings*.

Definition 2.1: Subring

A nonempty subset S of a ring R is a **subring** of R if S itself is a ring with the operations of R .

Remark: If R is commutative, then S is commutative.

2.1 Examples of Subrings

Below are some examples of subrings.

2.1.1 Example 1: Simple Subrings

The trivial subring $\{0\}$ is a subring of any ring R . This is because:

$$0(0) \in R \quad 0 - 0 \in R$$

Any ring R is a subring of itself. This is because for any $a, b \in R$, we know that $a - b = a + (-b) \in R$ and $ab \in R$.

2.1.2 Example 2: Integers

For any positive integer n , the set below is a subring of the integers \mathbb{Z} :

$$n\mathbb{Z} = \{0, \pm n, \pm 2n, \pm 3n, \dots\}$$

Take any $a, b \in \mathbb{Z}$. Then, suppose we have an and bn . We know that:

$$an - bn = (a - b)n \in \mathbb{Z}$$

$$an(bn) = abn^2$$

Since $anb \in \mathbb{Z}$, it follows that $(anb)n \in n\mathbb{Z}$.

2.1.3 Example 3: Rational Numbers

The ring \mathbb{Q} is a subring of \mathbb{R} .

2.1.4 Example 4: Gaussian Integers

Consider the Gaussian integers:

$$\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}$$

This is a subring of \mathbb{C} . Note that $i^2 = -1$ so:

$$(a + bi)(c + di) = ac + adi + bci + bdi^2 = ac + adi + bci - bd = (ac - bd) + (ad + bc)i$$

2.1.5 Example 5: Integers with Square Root 2

Consider the following set:

$$\mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}$$

This is a subring of \mathbb{R} . This is because:

$$(a + b\sqrt{2})(c + d\sqrt{2}) = ac + ad\sqrt{2} + bc\sqrt{2} + 2bd$$

Note that we can apply the same work used in the previous example.

2.1.6 Example 6: Diagonal Matrices

The set of diagonal matrices is a subring of $M_2(\mathbb{Z})$.

$$\left\{ \begin{bmatrix} a & 0 \\ 0 & d \end{bmatrix} \mid a, d \in \mathbb{Z} \right\}$$

2.2 Subring Test

Theorem 2.1: Subring Test

A nonempty subset S of a ring R is a subring if S is closed under subtraction and multiplication; that is, if $a - b \in S$ and $ab \in S$ whenever $a, b \in S$.

Proof. If S is a subring, then it is a ring and so S must be closed under subtraction and multiplication.

Suppose S is closed under subtraction and multiplication. Then, we know the following properties (inherited from R):

- $a + b = b + a$
- $(a + b) + c = a + (b + c)$
- $a(bc) = (ab)c$
- $a(b + c) = ab + ac$
- $(a + b)c = ac + bc$

We need to check if S has 0. Since S is not empty, pick some $a \in S$. Then, it follows that:

$$a - a = 0 \in S$$

So, the additive identity exists. Now, if $a \in S$, then $-a = 0 - a \in S$, so additive inverses exist.

Finally, we need to show that addition is closed. We know that subtraction is closed, so if $a, b \in S$, then $-b \in S$ and $a + b = a - (-b) \in S$. \square

3 Integral Domains (Chapter 13)

Recall that rings do not have multiplicative cancellation. That is, $ab = ac$ does not imply that $b = c$. However, there are exceptions to this rule.

3.1 Zero-Divisors

First, we briefly talk about zero-divisors.

Definition 3.1: Zero-Divisors

A **zero-divisor** is a nonzero element a of a commutative ring R such that there is a nonzero element $b \in R$ with $ab = 0$.

For example, $2 \in \mathbb{Z}/4\mathbb{Z}$ is a zero divisor. That is:

$$2 \cdot 2 \equiv 0 \pmod{4}$$

Another example is $M_2(\mathbb{R})$. Take $A = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$ and $B = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$. Then:

$$A \cdot B = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

3.2 Integral Domains

Now, we talk about integral domains.

Definition 3.2: Integral Domain

An **integral domain** is a commutative ring with unity and no zero-divisors.

Remarks:

- Recall that a ring R has **unity** if $1 \in R$ is a multiplicative identity; that is, $1a = a1 = a$.
- Essentially, in an integral domain, a product is 0 only when one of the factors is 0. That is, $ab = 0$ only when $a = 0$ or $b = 0$.

3.3 Examples of Integral Domains

Here are some examples of integral domains.

3.3.1 Example 1: The Integers

The ring of integers is an integral domain.

3.3.2 Example 2: Gaussian Integers

The ring of Gaussian integers $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}$ is an integral domain.

3.3.3 Example 3: Ring of Polynomials

The ring $\mathbb{Z}[x]$ of polynomials with integer coefficients is an integral domain.

3.3.4 Example 4: Square Root 2

The ring $\mathbb{Z}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Z}\}$ is an integral domain.

3.3.5 Example 5: Modulo Prime Integers

The ring $\mathbb{Z}/p\mathbb{Z}$ of integers modulo a prime p is an integral domain. This is because:

$$ab \equiv 0 \pmod{p} \iff p|ab \implies p|a \text{ or } p|b \implies a \equiv 0 \pmod{p} \text{ or } b \equiv 0 \pmod{p}$$

3.3.6 Non-Example 1: Modulo Integers

The ring $\mathbb{Z}/n\mathbb{Z}$ of integers modulo n is not an integral domain when n is not prime. If we write $n = ab$, then $1 < a$ and $b < n$ implies that $ab \equiv 0 \pmod{n}$. We saw an example of this in the zero-divisors section; that is, $\mathbb{Z}/4\mathbb{Z}$ is not an integral domain because we had $2 \cdot 2 \equiv 0 \pmod{4}$.

3.3.7 Non-Example 2: Matrices

The ring $M_2(\mathbb{Z})$ of 2×2 matrices over the integers is not an integral domain.

3.3.8 Non-Example 3: Direct Product

$\mathbb{Z} \oplus \mathbb{Z}$ is not an integral domain. The reason why is because, for:

$$\mathbb{Z} \oplus \mathbb{Z} = \{(x, y) \mid x, y \in \mathbb{Z}\}$$

Take $(0, 1) \in \mathbb{Z} \oplus \mathbb{Z}$ and $(1, 0) \in \mathbb{Z} \oplus \mathbb{Z}$. Then:

$$(0, 1) \cdot (1, 0) = (0, 0)$$

3.4 Properties of Integral Domains

Theorem 3.1: Cancellation

Let a , b , and c belong to an integral domain. If $ab = ac$, then:

$$a = 0 \text{ or } b = c$$

Proof. From $ab = ac$, we know that $ab - ac = 0$. Then, we know that $a(b - c) = 0$. There are two cases to consider:

- If $a \neq 0$, it follows that $b - c = 0$.
- Otherwise, $a = 0$ and it's trivial.

So, we are done. □

3.5 Fields

Definition 3.3: Field

A **field** is a commutative ring with unity in which every nonzero element is a unit (i.e. every nonzero element has a multiplicative inverse).

Remarks:

- To verify that every field is an integral domain, observe that if a and b belong to a field with $a \neq 0$ and $ab = 0$, we can multiply both sides of the last expression by a^{-1} to obtain $b = 0$.
- In other words, you can never have an x such that $0x = 1$.

3.5.1 Properties of Fields

Theorem 3.2

A finite integral domain is a field.

Proof. Let R be a finite integral domain and suppose $a \in R \setminus \{0\}$. Consider the set:

$$\{a, a^2, a^3, a^4, \dots\} \subseteq R$$

Because R is finite, there must be some overlap, i.e. there exists two integers $j < i$ such that $a^j = a^i$. This implies that $a^j = a^{i-j}a^j$. Since we have an integral domain, we can perform multiplicative cancellation; so:

$$1 = a^{i-j} \text{ for } i - j \geq 1$$

Then, $i - j - 1 \geq 0$ with $(a)(a^{i-j-1}) = a^{i-j} = 1$. So, $a^{-1} = a^{i-j-1}$ is a multiplicative inverse of a . \square

Corollary 3.1

For every prime p , $\mathbb{Z}/p\mathbb{Z}$, the ring of integers modulo p is a field.

Remark: This is often denoted \mathbb{F}_p in this context.

3.5.2 Examples of Fields

Here are some examples and non-examples of fields.

1. Infinite Sets: \mathbb{R} , \mathbb{C} , and \mathbb{Q} are all fields.
2. Matrices: $M_2(\mathbb{R})$ is not a field because not all matrices have an inverse.
3. (Non-Example) Polynomials: $\mathbb{R}[x]$ is not a field. This is because not all functions have a *polynomial* inverse. For example, the inverse of $x+3$ is $\frac{1}{x+3}$, which isn't a polynomial. However, $\mathbb{R}[x]$ is an integral domain.
4. Field w/ 9 Elements: $\mathbb{F}_3[i] = \{0, 1, 2, i, 1+i, 2+i, 2i, 1+2i, 2+2i\}$. Recall that $i^2 = -1 \equiv 2 \pmod{3}$.
5. Field w/ 4 Elements: $\{0, 1, a, b\}$.

+	0	1	a	b
0	0	1	a	b
1	1	0	b	a
a	a	b	0	1
b	b	a	1	0
·	0	1	a	b
0	0	0	0	0
1	0	1	a	b
a	0	a	b	1
b	0	b	1	a

6. Rational Numbers: $\mathbb{Q}[\sqrt{5}] = \{a + b\sqrt{5} \mid a, b \in \mathbb{Q}\} \subseteq \mathbb{R}$ is a field. First, to show that it's a field, we need to show that every nonzero element has multiplicative inverses. Suppose $a + b\sqrt{5} \neq 0$. Then:

$$a + b\sqrt{5} \neq 0 \iff b\sqrt{5} \neq -a \iff (a, b) \neq (0, 0)$$

In other words, a, b are not both zero. Note that since $\sqrt{5}$ is irrational, $\sqrt{5} \neq \frac{a}{b}$. So, $\frac{1}{a+b\sqrt{5}} \in \mathbb{R}$ by $a+b\sqrt{5}$ is not zero and \mathbb{R} is a field. With this in mind, we have:

$$\begin{aligned} \frac{1}{a+b\sqrt{5}} &= \frac{1}{a+b\sqrt{5}} \cdot \frac{a-b\sqrt{5}}{a-b\sqrt{5}} \\ &= \frac{a-b\sqrt{5}}{a^2-ab\sqrt{5}+ab\sqrt{5}-5b^2} \\ &= \frac{a-b\sqrt{5}}{a^2-5b^2} \\ &= \frac{a}{a^2-5b^2} + \frac{-b}{a^2-5b^2}\sqrt{5} \in \mathbb{Q}[\sqrt{5}] \end{aligned}$$

3.6 Characteristic of a Ring

Consider the ring $\mathbb{Z}_3[i]$, with the elements:

$$\{0, 1, 2, i, 1+i, 2+i, 2i, 1+2i, 2+2i\}$$

For any element x in this ring, we have:

$$3x = x + x + x = 0$$

To better see this process, consider the following examples of elements in the ring:

- $2i + 2i + 2i = 6i = 0i = 0$
- $(1+2i) + (1+2i) + (1+2i) = 3 + 6i = 0$
- And so on.

Similarly, in the ring $\{0, 3, 6, 9\} \subset \mathbb{Z}_{12}$, we have, for all x :

$$4x = x + x + x + x = 0$$

We can formalize this into a definition.

Definition 3.4: Characteristic of a Ring

The **characteristic** of a ring R is the least positive integer n such that $nx = 0$ for all $x \in R$. If no such integer exists, we say that R has characteristic 0. The characteristic of R is denoted by $\text{char } R$.

So, for example, the ring of integers \mathbb{Z} has characteristic 0 and \mathbb{Z}_n has characteristic n . Also, consider $\mathbb{Z}_3 = \{0, 1, 2\}$. Then, we know that:

$$3x = x + x + x = 0 \quad \forall x$$

So the characteristic of \mathbb{Z}_3 is $\boxed{3}$. Now, consider \mathbb{Z}_6 . We know that:

$$6x = x + x + x + x + x + x = 0 \quad \forall x$$

So, its characteristic is $\boxed{6}$. As a final example, $\{0\}$ has characteristic $\boxed{1}$.

3.6.1 Characteristic of a Ring with Unity

Occasionally, we might have more complicated rings where the above theorem may be hard to apply.

Theorem 3.3: Characteristic of a Ring with Unity

Let R be a ring with unity 1. If 1 has infinite order under addition, then the characteristic of R is 0. If 1 has order n under addition, then the characteristic of R is n .

Remark: Here, suppose $(\mathbb{R}, +)$ is a group. Then, we say that $x \in \mathbb{R}$ has an additive order n if $nx = 0$ and n is the smallest positive number with this property.

Proof. Suppose 1 has infinite order. Then, there is no positive integer n such that $n \cdot 1 = 0$, so R must have characteristic 0. Now, let's suppose that 1 does have additive order n . Then, we know that $n \cdot 1 = 0$ and n is the least positive integer with this property. So, for any $x \in R$, we have:

$$\begin{aligned} n \cdot x &= \overbrace{x + x + \cdots + x}^{n \text{ times}} \\ &= \overbrace{1x + 1x + \cdots + 1x}^{n \text{ times}} \\ &= \overbrace{(1 + 1 + \cdots + 1)x}^{n \text{ times}} \\ &= (n \cdot 1)x = 0x = 0 \end{aligned}$$

So, R has characteristic n . □

For example, take $R = \mathbb{Z}/6\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/10\mathbb{Z}$.

1. Does this ring have unity? Each member of this direct product ring has 1, so the unity would be $(1, 1, 1) \in R$.
2. What is the characteristic of R ? The characteristic order of R is the additive order of $(1, 1, 1) \in R$. Well, we have that:

$$n(1, 1, 1) = (n1, n1, n1)$$

Consider the first element in the pair. When is $n1 \equiv 0 \pmod{6}$? This is when $6|n$, or:

$$n \in \{6, 12, 18, 24, \dots\}$$

For the third element in the pair, we need to know when $n1 \equiv 0 \pmod{10}$. This is when $10|n$, or:

$$n \in \{10, 20, 30, \dots\}$$

Here, it's clear that the answer is $\text{lcm}(6, 4, 10) = 60$.

Theorem 3.4: Characteristic of an Integral Domain

The characteristic of an integral domain is 0 or prime.

Proof. It suffices to consider the additive order of 1. Suppose towards a contradiction that 1 has composite order n and $1 < s$ and $t < n$ such that $n = st$. Then, we know that:

$$0 = n1 = (st)1 = s(t1) = (s1)(t1)$$

But, $1 < s$ and $t < n$, so by minimality of n being the order of 1, it must be that $s1, t1 \neq 0$ and are thus zero-divisors. But, this is a contradiction. □

3.7 Summary of Rings

Ring	Characteristic	Integral Domain?
\mathbb{Z}	0	Yes
$M_2(\mathbb{Z})$	0	No
$\mathbb{Z} \oplus \mathbb{Z}$	0	No
$\mathbb{F}_p(\mathbb{Z}/p\mathbb{Z})$	p	Yes
$\mathbb{F}_p \oplus \mathbb{F}_p$	p	No
$\mathbb{F}_p[x]$	p	Yes
$\mathbb{Z}/n\mathbb{Z}[i]$	n	$\begin{cases} \text{No} & n \text{ not prime.} \\ \text{Maybe} & n \text{ prime.} \end{cases}$

4 Ideals & Quotient Rings (Chapter 14)

Recall that if H is a *normal* subgroup of G , then there exists a quotient group G/H defined by:

$$G/H = \{gH \mid g \in G\}$$

Where the operation of the quotient group is:

$$(g_1H)(g_2H) = (g_1g_2)H$$

We can use this same notion in *rings*. In our case, the normal subgroup of groups is the same as *ideals* in rings.

4.1 Ideals

Definition 4.1: Ideal

A subring A of a ring R is called a (two-sided) **ideal** of R if for every element $r \in R$ and every $a \in A$ then:

$$ra \in A \text{ and } ar \in A$$

That is, $rA = \{ra \mid a \in A\} \subseteq A$ and $Ar \subseteq A$.

Definition 4.2: Proper Ideal

An ideal A is called **proper** if $A \subset R$.

4.1.1 Ideal Test

Theorem 4.1: Ideal Test

A nonempty subset $A \subseteq R$ is an ideal if and only if:

1. $a, b \in A \implies a - b \in A$.
2. $a \in A, r \in R \implies ra, ar \in R$.

Proof. This is similar to the subring test. □

4.1.2 Principal Ideal

If R is a commutative ring with unity, then the principal ideal generated by $a \in R$ is:

$$\langle a \rangle = (a) = \{ra \mid r \in R\}$$

Proof. Pick two elements $ra, sa \in \langle a \rangle$. Then, $ra - sa = (r - s)a \in \langle a \rangle$. Likewise, if $r \in R$, then $sa \in \langle a \rangle$ so:

$$(sa)r = r(sa) = (rs)a \in \langle a \rangle$$

So, we are done. □

4.1.3 Basic Examples of Ideals

We now go over some basic examples of ideals.

1. Even Integers: $2\mathbb{Z} \subseteq \mathbb{Z}$ is an ideal. Suppose that there is some integer $r \in \mathbb{Z}$ and $a \in 2\mathbb{Z}$. Then, $a = 2k$ for some $k \in \mathbb{Z}$ so that $ra = r \cdot 2k = 2(rk) \in 2\mathbb{Z}$. Note that this also extends to any $n\mathbb{Z}$; that is, $n\mathbb{Z}$ is an ideal.
2. Trivial Subring: $\{0\} \subseteq R$ is a trivial ideal because $r\{0\} = \{0\}r = \{0\}$.
3. Integers/Rationals: $\mathbb{Z} \subseteq \mathbb{Q}$ is *not* an ideal. Take $r = \frac{1}{2} \in \mathbb{Q}$ and $a = 1 \in \mathbb{Z}$. Then

$$ra = \frac{1}{2}(1) = \frac{1}{2} \notin \mathbb{Z}$$

4. Integers: Consider $R = \mathbb{Z}$ with $\langle n \rangle = n\mathbb{Z}$. This is a principal ideal.
5. Polynomials: If $R = \mathbb{R}[x]$, then

$$\begin{aligned} \langle x \rangle &= \{f(x)x \mid f(x) \in \mathbb{R}[x]\} \\ &= \{\text{Polynomials divisible by } x\} \\ &= \{f(x) \in \mathbb{R}[x] \mid f(0) = 0\} \end{aligned}$$

6. Ring of Unity: The ideal generated by $a_1, a_2, \dots, a_n \in R$, where R is a commutative ring of unity, is:

$$\langle a_1, a_2, \dots, a_n \rangle = \{r_1 a_1 + r_2 a_2 + \dots + r_n a_n \mid r_1, \dots, r_n \in R\}$$

7. Two Elements: Consider $\langle 2, x \rangle \subseteq \mathbb{Z}[x]$. This is defined by

$$\{f(x) \in \mathbb{Z}[x] \mid f(0) \text{ is even.}\}$$

4.2 Quotient Ring

Now, we talk about quotient rings, which are very similar to quotient groups.

Definition 4.3: Quotient Ring

Let $I \subseteq R$ be an ideal of R . Then, the **quotient ring** (or factor ring) is the set of *cosets*

$$R/I = \{r + I \mid r \in R\}$$

with the operations

$$(r + I) + (s + I) = (r + s) + I$$

$$(r + I)(s + I) = (rs) + I$$

Proposition. R/I is a ring.

Proof. • For addition, we know that $(R, +)$ is an abelian group. This implies that $(I, +)$ is a normal subgroup of $(R, +)$, so $(R/I, +)$ is a group.

- For multiplication, suppose $r + I = r' + I$ and $s + I = s' + I$, i.e.

$$r = r' + a \text{ and } s = s' + b \text{ for some } a, b \in I$$

Then, $(rs) = (r' + a)(s' + b) = r's' + r'b + as' + ab$. Note that $r'b, as', ab$ all belong to the ideal. So $r's' + r'b + as' + ab \in r's' + I$.

And, we are done. □

4.2.1 Examples of Quotient Rings

Now, we go over some examples of quotient rings.

1. Integer Ring Modulo 5: Consider $\mathbb{Z}/5\mathbb{Z} = \{0+5\mathbb{Z}, 1+5\mathbb{Z}, 2+5\mathbb{Z}, 3+5\mathbb{Z}, 4+5\mathbb{Z}\}$. We know that $5\mathbb{Z} \subseteq \mathbb{Z}$ is an ideal.
2. Polynomial Ideal: Consider $\mathbb{R}[x]/\langle x^2+1 \rangle$. This ring is “isomorphic” to \mathbb{C} . By identifying $x + \langle x^2+1 \rangle \in \mathbb{R}[x]/\langle x^2+1 \rangle$ as $i \in \mathbb{C}$, then

$$(x + \langle x^2+1 \rangle)^2 = x^2 + \langle x^2+1 \rangle = x^2 - (x^2+1) + \langle x^2+1 \rangle = -1 + \langle x^2+1 \rangle$$

We can also see this through polynomial long division. There is a unique way to write $f(x) = g(x)q(x) + r(x)$ with $\deg r(x) < \deg g(x)$. From this, we can tell that

$$f(x) + \langle x^2+1 \rangle = (x^2+1)q(x) + (a+bx) + \langle x^2+1 \rangle = (a+bx) + \langle x^2+1 \rangle$$

3. Gaussian Integers: Take $\mathbb{Z}[i]/\langle 2-i \rangle$. We claim that this is “isomorphic” to $\mathbb{Z}/5\mathbb{Z}$. It turns out:

$$\mathbb{Z}[i]/\langle 2-i \rangle = \{0 + \langle 2-i \rangle, 1 + \langle 2-i \rangle, 2 + \langle 2-i \rangle, 3 + \langle 2-i \rangle, 4 + \langle 2-i \rangle\}$$

Consider that $2 + \langle 2-i \rangle = i + \langle 2-i \rangle$ because $2-i \in \langle 2-i \rangle$. Then:

$$\begin{aligned} 2^2 + \langle 2-i \rangle &= i^2 + \langle 2-i \rangle \\ \implies 4 + \langle 2-i \rangle &= -1 + \langle 2-i \rangle \\ \implies 5 &\in \langle 2-i \rangle \end{aligned}$$

Thus, $a+bi + \langle 2-i \rangle = a+2b + \langle 2-i \rangle = r + \langle 2-i \rangle$ for $0 \leq r < 5$ such that $a+2b = 5q+r$. Now, how do we know that these cosets are distinct? It suffices to show that $1 + \langle 2-i \rangle$ has additive order 5. So:

$$5(1 + \langle 2-i \rangle) = 5 + \langle 2-i \rangle = 0 + \langle 2-i \rangle$$

Where the last step is due to $5 \in \langle 2-i \rangle$. This tells us that the additive order of $1 + \langle 2-i \rangle$ divides 5. This implies that the order is either 1 or 5. If the order is 5, we are done since this implies that there are 5 distinct cosets. Otherwise, suppose towards a contradiction that $1 + \langle 2-i \rangle \in \mathbb{Z}[i]/\langle 2-i \rangle$ has additive order 1. In this case:

$$\begin{aligned} 1(1 + \langle 2-i \rangle) &= 0 + \langle 2-i \rangle \\ \implies 1 &\in \langle 2-i \rangle = \{(2-i)r \mid r \in \mathbb{Z}[i]\} \\ \implies 1 &= (2-i)(a+bi) \text{ for some } a, b \in \mathbb{Z} \\ \implies 1 &= 2a + 2bi - ai + b \\ \implies 1 + 0i &= (2a+b) + (2b-a)i \\ \implies \begin{cases} 1 = 2a+b \\ 0 = 2b-a \end{cases} \\ \implies a &= \frac{1}{5} \text{ and } \frac{2}{5} \end{aligned}$$

However, $a, b \in \mathbb{Z}$ so we have a contradiction and so $1 + \langle 2-i \rangle$ must have additive order 5.

4.3 Prime and Maximal Ideals

Definition 4.4: Prime Ideals

A **prime ideal** A of a commutative ring R is a proper ideal of R such that $a, b \in R$ and $ab \in A$ imply $a \in A$ or $b \in A$.

Consider the following examples:

- Consider $R = \mathbb{Z}$. The ideals of \mathbb{Z} are $\{0\}$ and $n\mathbb{Z}$ for $n = 1, 2, \dots$. We know that $2\mathbb{Z}$ is prime. So, if $nm \in 2\mathbb{Z}$, then $nm = 2k$, which is even. This implies that one of n or m is even, so $n \in 2\mathbb{Z}$ or $m \in 2\mathbb{Z}$.

This is true in general. If p is prime, then $p\mathbb{Z}$ is a prime ideal. Recall that if $p|ab$, then $p|a$ or $p|b$ by Euclid's Lemma.

- Consider $6\mathbb{Z}$, which is not prime. We want to show that this is not a prime ideal. To do this, we want to find an $n, m \in \mathbb{Z}$ such that $nm \in 6\mathbb{Z}$ but $n, m \notin 6\mathbb{Z}$. An obvious example is $n = 2$ and $m = 3$.

In general, if $n = st$ is composite, then $st \in n\mathbb{Z}$ but $s, t \notin n\mathbb{Z}$.

- Consider $R = \{0\}$. This is a prime ideal. Suppose $n, m \in \mathbb{Z}$ with $nm \in R$. Then, $nm = 0$ means that one of n or m is 0, which implies that $n \in R$ or $m \in R$.

Fact: $\{0\} \subseteq R$ is a prime ideal if and only if R is an integral domain.

Definition 4.5: Maximal Ideals

A **maximal ideal** of a commutative ring R is a proper ideal of R such that, when B is an ideal of R and $A \subseteq B \subseteq R$, then $B = A$ or $B = R$.

Put it another way, a maximal ideal I of a commutative ring R is a proper ideal which is not contained in any other proper ideals, i.e. if $I \subseteq A \subseteq R$ for some ideal A , then $A = I$ or $A = R$.

4.3.1 Properties of Prime & Maximal Ideals

Theorem 4.2

Let R be a commutative ring with unity and $I \subseteq R$ an ideal. Then, R/I is an integral domain if and only if I is prime.

Proof. Suppose R/I is an integral domain. Suppose then that $a, b \in R$ with $ab \in I$. Then, $ab + I = 0 + I$. This further implies that $(a + I)(b + I) = 0 + I$. This implies that $a + I = 0 + I$ or $b + I = 0 + I$ by integral domain definition. By the definition of a coset, $a \in I$ or $b \in I$. Thus, I is prime.

Suppose now that I is prime. Suppose $a, b \in R$ with $(a + I)(b + I) = 0 + I$ with $ab + I = 0 + I$. This implies that $ab \in I$, which further means that $a \in I$ or $b \in I$ by prime. Thus, $a + I = 0 + I$ or $b + I = 0 + I$. Thus, R/I is an integral domain. \square

Theorem 4.3

Let R be a commutative ring with unity and $I \subseteq R$ an ideal. Then, R/I is a field if and only if I is maximal.

Proof. Suppose R/I is a field. We want to show that if $I \subseteq A \subseteq R$, then $A = I$ or $A = R$.^a Suppose $A \subseteq R$ is an ideal satisfying $I \subseteq A$ and $A \neq I$. The fact that $A \neq I$ implies that we can choose some $b \in A \setminus I$. This implies that $b + I \neq 0 + I$ and so $b + I \in R/I$ is a unit. This implies that there exists some $c + I \in R/I$ with $(b + I)(c + I) = 1 + I$, which further implies that $bc + I = 1 + I$. Thus, \dots We know that $1 - bc \in A$, but $b \in A \setminus I \subseteq A$ so $bc \in A$ and thus $1 = (1 - bc) + bc \in A$. So, $R = R \cdot 1 \subseteq A$ so that $A = R$. Thus, I is maximal.

Suppose that I is maximal. We want to show that any $b + I \neq 0 + I$ is a unit in R/I . Choose some $b + I \in R/I$ with $b + I \neq 0 + I$, i.e. choose some $b \in R \setminus I$. Consider $B = \{rb + a \mid r \in R, a \in I\}$. Thus,

$B = R$ by $I \subseteq B \subseteq R$ and $b \notin I$ ($b \in B, b \in I$).^b From there, $1 \in B$ which means that $1 = rb + a$ for some $r \in R$ and $a \in I$, which finally implies that $1 + I = (r + I)(b + I)$. \square

^aWe can prove the fact that $I \subseteq A \subseteq R$ and $A \neq I$ implies that $A = R$.

^bExercise: Show that B is an ideal with contains I

Corollary 4.1

All maximal ideals are prime ideals.

Proof. Suppose $I \subseteq R$ is maximal.

R/I is a field.

$\implies R/I$ is an integral domain.

$\implies R/I$ is prime.

So, we are done. \square

Remark: The converse is not true. Consider $\langle x \rangle \subseteq \mathbb{Z}[x]$. This is not maximal by $\langle x \rangle \subset \langle 2, x \rangle \subset \mathbb{Z}[x]$.

$$\mathbb{Z}[x]/\langle x \rangle \longleftrightarrow \mathbb{Z}$$

$$f(x) + \langle x \rangle \longleftrightarrow f(0)$$

$$f(x) + \langle x \rangle = h(x) + \langle x \rangle \iff f(x) - h(x) = g(x)x \text{ for some } g(x) \iff f(0) - h(0) = 0$$

Thus, this ideal $\langle x \rangle$ is prime.

5 Ring Homomorphisms

Ring homomorphism is very similar in nature to group homomorphisms. Here, a ring homomorphism preserves the ring operations.

Definition 5.1: Ring Homomorphism

A **ring homomorphism** φ from a ring R to a ring S is a mapping from R to S that preserves the ring operation. That is, for all $a, b \in R$:

$$\varphi(a + b) = \varphi(a) + \varphi(b) \quad \varphi(ab) = \varphi(a)\varphi(b)$$

Remark: As is the case for groups, the operations on the left of the equal signs are those of R , while the operations on the right side are those of S .

Along with ring homomorphisms, there is also ring isomorphisms.

Definition 5.2: Ring Isomorphism

A **ring isomorphism** is a ring homomorphism that is both one-to-one and onto (i.e. bijective).

5.1 Properties of Ring Homomorphisms

Theorem 5.1

Let φ be a ring homomorphism from a ring R to a ring S , and let A be a subring of R and let B be an ideal of S .

1. For any $r \in R$ and any positive integer n , $\varphi(nr) = n\varphi(r)$ and $\varphi(r^n) = (\varphi(r))^n$.
2. $\varphi(A) = \{\varphi(a) \mid a \in A\}$ is a subring of S .
3. If A is an ideal and φ is onto S , then $\varphi(A)$ is an ideal.
4. $\varphi^{-1}(B) = \{r \in R \mid \varphi(r) \in B\}$ is an ideal of R .
5. If R is commutative, then $\varphi(R)$ is commutative.
6. If R has a unity 1 , $S \neq \{0\}$, and φ is onto, then $\varphi(1)$ is the unity of S .
7. φ is an isomorphism if and only if φ is onto and $\ker(\varphi) = \{r \in R \mid \varphi(r) = 0\} = \{0\}$.
8. If φ is an isomorphism from R onto S , then φ^{-1} is an isomorphism from S onto R .

6 Ring Homomorphisms

Theorem 6.1

Let $\varphi : R \rightarrow S$ be a ring homomorphism. Then, $\ker \varphi = \{r \in R \mid \varphi(r) = 0\}$ is an ideal of R .

Proof. If $a, b \in \ker \varphi$, then $\varphi(a - b) = \varphi(a) - \varphi(b) = 0 - 0 = 0$, which implies that $a - b \in \ker \varphi$. Now, if we check $a \in \ker \varphi$ and $r \in R$, then $\varphi(ra) = \varphi(r)\varphi(a) = \varphi(r)0 = 0$. Therefore, $ra \in \ker \varphi$. Thus, $\ker \varphi$ is an ideal by the ideal test. \square

6.1 Examples of Ring Homomorphism

Here are some examples of ring homomorphisms.

6.1.1 Example 1: Integers and Modulo

Consider the mapping:

$$k \mapsto k \pmod{n}$$

This is a ring homomorphism from \mathbb{Z} onto \mathbb{Z}_n , and is called the natural homomorphism from \mathbb{Z} to \mathbb{Z}_n .

6.1.2 Example 2: Complex Numbers

Consider the mapping:

$$a + bi \mapsto a - bi$$

This is a ring homomorphism from the complex numbers onto the complex numbers.

6.1.3 Example 3: Functions

Consider the ring of all polynomials with real coefficients $\mathbb{R}[x]$. Consider the mapping:

$$f(x) \mapsto f(1)$$

This is a ring homomorphism from $\mathbb{R}[x]$ onto \mathbb{R} .

6.2 First Isomorphism Theorem

Theorem 6.2: First Isomorphism Theorem

Let $\varphi : R \mapsto S$ be a ring homomorphism. Then, the map

$$\bar{\varphi} : R / \ker \varphi \mapsto \varphi(R)$$

defined by the mapping

$$r + \ker \varphi \mapsto \varphi(r)$$

is an isomorphism.

Proof. We already know that $\bar{\varphi} : R / \ker \varphi \mapsto \varphi(R)$ is an isomorphism of additive groups; in particular,

$$(R / \ker \varphi, +) \mapsto (\varphi(R), +)$$

by the First Isomorphism Theorem for groups. Thus, it suffices to check that:

$$\bar{\varphi}(xy) = \bar{\varphi}(x)\bar{\varphi}(y)$$

So, it suffices to check:

$$\begin{aligned} \bar{\varphi}((r + \ker \varphi)(s + \ker \varphi)) &= \bar{\varphi}(rs + \ker \varphi) \\ &= \varphi(rs) \\ &= \varphi(r)\varphi(s) \\ &= \bar{\varphi}(r + \ker \varphi)\bar{\varphi}(s + \ker \varphi) \end{aligned}$$

And so we are done. □

Remark: If $I \subseteq R$ is an ideal, then $I = \ker q$ where $q : R \mapsto R/I$, defined by the mapping $r \mapsto r + I$, is the quotient homomorphism.

6.3 Examples

1. Consider the homomorphism $\varphi : \mathbb{Z}[x] \mapsto \mathbb{Z}$ defined by the mapping $f(x) \mapsto f(0)$. φ is a surjective¹ homomorphism. By the First Isomorphism Theorem:

$$\mathbb{Z}[x]/\ker \varphi \cong \mathbb{Z}$$

Here, we define $\ker \varphi = \{a_1x + a_2x^2 + \cdots + a_nx^n \mid a_i \in \mathbb{Z}\}$ because $f(0)$ is a constant term. However, we can factor x out to get:

$$\ker \varphi = \{x(a_1 + a_2x^1 + \cdots + a_nx^{n-1}) \mid a_i \in \mathbb{Z}\} = \langle x \rangle$$

And so it follows that:

$$\boxed{\mathbb{Z}[x]/\langle x \rangle \cong \mathbb{Z}}$$

2. Consider the homomorphism $\varphi : \mathbb{R}[x] \mapsto \mathbb{C}$ defined by the mapping $f(x) \mapsto f(i)$. φ is surjective because $f(a + bx) = a + bi$ for any $a, b \in \mathbb{R}$. We also know that $x^2 + 1 \in \ker \varphi$ by $i^2 + 1 = 0$. This implies that:

$$\langle x^2 + 1 \rangle \subseteq \ker \varphi \subset \mathbb{R}[x]$$

Fact: $\langle x^2 + 1 \rangle$ is maximal, which implies that $\langle x^2 + 1 \rangle = \ker \varphi$.

Proof. (Of fact.) We prove that $\mathbb{R}[x]/I$ for $I = \langle x^2 + 1 \rangle$ is a field for any $a + bx + I$ with a, b not both zero, then $(a + b + I)^{-1} = \frac{a-bx}{a^2+b^2} + I$. □

Therefore, $\boxed{\mathbb{R}[x]/\langle x^2 + 1 \rangle \cong \mathbb{C}}$ by the First Isomorphism Theorem.

6.4 Rings with Unity

Proposition. If R has unity, then $\varphi : \mathbb{Z} \mapsto R$ defined by

$$\varphi(n) = n \cdot 1 = \begin{cases} \underbrace{1 + \cdots + 1}_{n \text{ times}} & n > 0 \\ 0 & n = 0 \\ \underbrace{-1 - 1 - \cdots - 1}_{-n \text{ times}} & n < 0 \end{cases}$$

is a homomorphism.

Proof. Left as an exercise. □

Proposition. If R is a ring with unity, then:

- (a) If $\text{char } R = n > 0$, then R contains a subring isomorphic to $\mathbb{Z}/n\mathbb{Z}$.
- (b) If $\text{char } R = 0$, then R contains a subring isomorphic to \mathbb{Z} .

Proof. Let $\varphi : \mathbb{Z} \mapsto R$ with $\varphi(n) = n \cdot 1$. Then, $\text{char } R$ is the additive order of 1. This implies that if $\text{char } R = n > 0$, then $\ker \varphi = n\mathbb{Z}$ so $\mathbb{Z}/n\mathbb{Z} \cong \varphi(\mathbb{Z}) \subseteq R$ by the First Isomorphism Theorem. Likewise, if $\text{char } R = 0$, then $\ker \varphi = \{0\}$ and it follows that $\mathbb{Z} \cong \varphi(\mathbb{Z}) \subseteq R$ by the First Isomorphism Theorem. □

¹If $a \in \mathbb{Z}$, then $(x + a) \xrightarrow{\varphi} 0 + a = a$

6.5 Fields

Definition 6.1: Prime Subfield

The subfield of a field isomorphic to \mathbb{F}_p or \mathbb{Q} is called the **prime subfield**.

Theorem 6.3

- If F is a field of characteristic p , then F contains a subfield isomorphic to \mathbb{F}_p .
- If F is a field of characteristic 0, then F contains a subfield isomorphic to \mathbb{Q} .

Proof. We prove both parts.

- By the previous proposition, $\text{char } F = p$ implies that the subring is isomorphic to $\mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p$.
- $\text{char } F = 0$ implies that the subring is isomorphic to \mathbb{Z} , given by

$$\varphi : \mathbb{Z} \mapsto F$$

which sends $n \mapsto n \cdot 1$. Consider the set

$$T = \{ab^{-1} \mid a, b \in \varphi(\mathbb{Z}), b \neq 0\} \subseteq F$$

We claim that T is a subring isomorphic to \mathbb{Q} .

Proof. Define $\bar{\varphi} : \mathbb{Q} \mapsto F$ by $\bar{\varphi}(a/b) = \varphi(a)\varphi(b)^{-1}$.

- Well-Defined: This is well-defined since $\frac{a}{b} = \frac{c}{d}$ if and only if $ad = bc$, which then implies that $\varphi(a)\varphi(d) = \varphi(b)\varphi(c)$ for $\varphi : \mathbb{Z} \mapsto F$. This implies that $\varphi(a)\varphi(b)^{-1} = \varphi(c)\varphi(d)^{-1}$, which again implies that $\bar{\varphi}(a/b) = \bar{\varphi}(c/d)$.
- Homomorphism: Addition is left as an exercise. For multiplication, see lecture.

So, we are done. □

And so on (need to come back). □

Remark: If F is a field and $I \subseteq F$ is an ideal, then $I = \{0\}$ or $I = F$.

Proof. $F/\{0\} \cong F$ is a field. Thus, $\{0\}$ is a maximal ideal of F . This implies that, for all ideals I with $\{0\} \subseteq I \subseteq F$, $I = \{0\}$ or $I = F$. The fact that all ideals satisfy $\{0\} \subseteq I \subseteq F$ concludes the proof. □