

# 1 Classical Cryptosystems

(Continued from Lecture 1.)

## 1.1 Interlude: Modular Arithmetic

One fundamental idea in number theory, which is used in cryptography, is modular arithmetic.

### 1.1.1 Quotients and Remainders

#### Lemma 1.1: Euclid's Division

For any integer  $a$  and positive integer  $n$ , there exists a unique pair of integers  $q$  and  $r$  such that  $0 \leq r < n$  and  $a = qn + r$ . The integers  $q$  and  $r$  are called the *quotient* and *remainder*, respectively. We also write  $a \pmod{n}$  to refer to the remainder.

For the proof, the idea is that we can keep subtracting, or adding,  $n$  from  $a$  until we end up in the range  $[0, n)$ . Therefore, the number of times we had to subtract, or add,  $n$  is the *quotient*, and the number in the range  $[0, n)$  that we end up with at the end is the *remainder*.

(Example.) Divide  $a = 17$  by  $n = 5$ . Find the quotient and remainder.

Using the proof idea, we note that:

- Subtracting 5 to  $a$  once gives us 12.
- Subtracting 5 to  $a$  twice gives us 7.
- Subtracting 5 to  $a$  thrice gives us 2.

It took us 3 subtractions to get to a number that's in the range  $[0, 5)$ , so the quotient is  $\boxed{3}$  and the remainder is  $\boxed{2}$ .

We should note that this is pretty standard when  $a \geq 0$ . However, for  $a < 0$ , it might be less familiar, albeit the same process.

(Example.) Divide  $a = -7$  by  $n = 5$ . Find the quotient and remainder.

Using the proof idea, we note that:

- Adding 5 to  $a$  once gives us 2.
- Adding 5 to  $a$  twice gives us 3.

It took us 2 additions to get to a number that's in the range  $[0, 5)$ , so the quotient is  $\boxed{-2}$  (because we had to *add*, not subtract) and the remainder is  $\boxed{3}$ .

#### Remark:

- If we have to **add**  $n$  to  $a$   $x$  times to get a number that's in the range  $[0, n)$ , then our final quotient will be negative (that is,  $-x$ ).
- If we have to **subtract**  $n$  from  $a$   $x$  times to get a number that's in the range  $[0, n)$ , then our final quotient will be positive (that is,  $x$ ).

(Exercise.) For each of the following, calculate the quotient and remainder when  $a$  is divided by  $n$ . Do these calculations by hand.

- $a = 13, n = 3$ .

We know that  $13/3 = 4$ , and  $13 - (3 \cdot 4) = 1 \in [0, 3)$ . So, the quotient is  $\boxed{4}$  and the remainder is  $\boxed{1}$ .

- $a = 134, n = 10$ .

We know that  $134/10 = 13$  and  $134 - (10 \cdot 13) = 4 \in [0, 10)$ . So, the quotient is  $\boxed{13}$  and remainder is  $\boxed{4}$ .

- $a = -37, n = 10$ .

We know that we need to add  $n$  to  $a$   $4$  times to get a number,  $3$ , that is in the range  $[0, 10)$ . To be precise,

$$-37 + 10 + 10 + 10 + 10 = -37 + 40 = 3 \in [0, 10).$$

Therefore, the quotient is  $\boxed{-4}$  and the remainder is  $\boxed{3}$ .

- $a = -15, n = 60$ .

We have to add  $n$  to  $a$   $1$  time to get  $45 \in [0, 60)$ . Therefore, the quotient is  $\boxed{01}$  and the remainder is  $\boxed{45}$ .

- $a = 13, n = 12$ .

We know that  $13/12 = 1$  and  $13 - (12 \cdot 1) = 1$ . So, the quotient is  $\boxed{1}$  and the remainder is  $\boxed{1}$ .

**Proposition.** Suppose  $a$  and  $n$  are integers and  $n > 0$ . All the following statements are equivalent:

- $a \pmod{n} = 0$ .
- There is no remainder when  $a$  is divided by  $n$ .
- $a$  is a multiple of  $n$ .
- $a$  is divisible by  $n$ .
- $n$  is a divisor of  $a$ .
- $n$  is a factor of  $a$ .
- $n$  divides  $a$  (in notation<sup>1</sup>:  $n|a$ ).
- $a/n$  is an integer.

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<sup>1</sup>Note that  $|$  is read as “divides.”

## 1.1.2 Congruences

**Definition 1.1: Congruence**

Fix a positive integer  $n$ . If  $a$  and  $b$  are integers, we say that “ $a$  is **congruent** to  $b \bmod n$ ,” or that “ $a$  and  $b$  are congruent mod  $n$ ,” if  $a$  and  $b$  have the same remainder when each is divided by  $n$ . This can be denoted in symbols as follows:

$$a \equiv b \pmod{n}.$$

For example,  $19 \equiv 7 \pmod{4}$  since 19 and 7 both have remainder 3 when divided by 4. Observe also that  $19 - 7 = 12$  is a multiple of 4. This can be generalized:

**Lemma 1.2**

Fix a positive integer  $n$ . Two integers  $a$  and  $b$  are congruent mod  $n$  if and only if  $a - b$  is a multiple of  $n$ .

*Proof.* Divide  $a$  and  $b$  by  $n$  to write  $a = q_1n + r_1$  and  $b = q_2n + r_2$ . If

$$a \equiv b \pmod{n},$$

this by definition means that  $r_1 = r_2$  so

$$a - b = (q_1n + r_1) - (q_2n + r_2) = q_1n - q_2n = n(q_1 - q_2).$$

So,  $a - b$  is a multiple of  $n$ . Conversely, suppose  $a - b$  is a multiple of  $n$ . Then,

$$(a - b) - (q_1 - q_2)n = ((q_1n + r_1) - (q_2n + r_2)) - (q_1 - q_2)n = r_1 - r_2$$

is a multiple of  $n$ . Since  $0 \leq r_1, r_2 < n$ , however, we must have  $|r_1 - r_2| < n$ . The only way that  $r_1 - r_2$  can be a multiple of  $n$  is if  $r_1 - r_2 = 0$ , i.e., if  $r_1 = r_2$ . That means  $a \equiv b \pmod{n}$ .  $\square$

**Theorem 1.1: Modular Arithmetic Theorem**

Fix a positive integer  $n$ . Suppose  $a, a', b, b'$  are integers such that

$$a \equiv a' \pmod{n}$$

$$b \equiv b' \pmod{n}$$

and  $k$  is any positive integer. Then, all of the following are also true:

$$a + b \equiv a' + b' \pmod{n}$$

$$a - b \equiv a' - b' \pmod{n}$$

$$ab \equiv a'b' \pmod{n}$$

$$a^k \equiv (a')^k \pmod{n}$$

(Exercise.) Use the Modular Arithmetic Theorem to quickly calculate the following.

- $417 \cdot 22 \pmod{10}$ .

$$\begin{aligned} 417 \cdot 22 &\equiv 7 \cdot 2 \\ &= 14 \\ &\equiv 4 \pmod{10}. \end{aligned}$$

- $333333 + 666 \pmod{3}$ .

$$\begin{aligned} 333333 + 666 &\equiv 0 + 0 \\ &\equiv 0 \pmod{3}. \end{aligned}$$

- $7^{202320232023} \pmod{6}$ .

$$\begin{aligned} 7^{202320232023} &= 7 \cdot 7 \cdot \dots \cdot 7 \\ &\equiv 1 \cdot 1 \cdot \dots \cdot 1 \\ &= 1 \pmod{6}. \end{aligned}$$

(Exercise.) Fix positive integers  $k$  and  $n$ . Suppose  $a$  and  $a'$  are integers such that  $a \equiv a' \pmod{n}$ . It is not true in general that  $k^a \equiv k^{a'} \pmod{n}$ . Show this by example: in other words, find  $k$ ,  $n$ ,  $a$ , and  $a'$  such that  $a \equiv a' \pmod{n}$  but  $k^a \not\equiv k^{a'} \pmod{n}$ .

Let  $k = 2$ ,  $n = 5$ ,  $a = 6$ , and  $a' = 1$  so that

$$6 \equiv 1 \pmod{5}.$$

Then, we note that

$$k^a = 2^6 = 64$$

and

$$k^{a'} = 2^1 = 2.$$

From this, it's clear that

$$64 \not\equiv 2 \pmod{5}.$$

(Exercise.) Suppose that the number  $273x49y5$ , where  $x$  and  $y$  are unknown digits, is divisible by 495. Find  $x$  and  $y$ .

We are asked to solve

$$273x49y5 \equiv 0 \pmod{495}.$$

We can write  $273x49y5$  as

$$20000000 + 7000000 + 300000 + 10000x + 4000 + 900 + 10000y + 5.$$

With this in mind, we have

$$\begin{aligned} 20000000 + 7000000 + 300000 + 10000x + 4000 + 900 + 10y + 5 \\ \equiv 20 + 205 + 30 + 100x + 40 + 405 + 10y + 5 \\ = 705 + 100x + 10y \\ \equiv 210 + 100x + 10y \pmod{495}. \end{aligned}$$

We note that the next multiple of 495 is 990. So, effectively, we want to find some  $x$  and  $y$  such that  $0 \leq x < 10$  and  $0 \leq y < 10$  and

$$210 + 100x + 10y = 990.$$

This gives us

$$100x + 10y = 780.$$

One obvious solution is  $x = 7$  and  $y = 8$ .

### 1.1.3 Revisiting the Caesar Cipher

Suppose we identify the letters  $A$  through  $Z$  with the numbers 0 through 25. In other words, we have  $A \mapsto 0$ ,  $B \mapsto 1$ , and so on. Suppose we want to apply the Caesar cipher with a shift of 5 to encrypt the letter  $Y$ . Consider the following

$$E(x) = (x + 5) \pmod{26}.$$

We note that  $Y$  corresponds to the number 24. Then, it follows that

$$E(24) = (24 + 5) \pmod{26} = 29 \pmod{26} = 3.$$

The number 3 corresponds to the letter  $D$ , the desired result. In other words, if we can identify the letters with numbers, the function  $E$  is the encryption function of the Caesar cipher with a shift of 5.

The decryption function is given by

$$D(y) = (y - 5) \pmod{26}.$$

So, if we wanted to decrypt the letter  $D$ , which corresponds to the number 3, then

$$D(3) = (3 - 5) \pmod{26} = -2 \pmod{26} = 24,$$

which corresponds to  $Y$ .

What we just did is actually a consequence of the Modular Arithmetic Theorem; for a quick little “proof,” notice how

$$\begin{aligned} D(E(x)) &= D(y) \\ &\equiv (y - 5) \pmod{26} \\ &\equiv ((x + 5) - 5) \pmod{26} \\ &= x. \end{aligned}$$