```
begin
using Pkg
Pkg.activate("..")
using Symbolics, LinearAlgebra, Plots
end
```

```
Activating project at `~/projects/AMAT5996`
```

?

## Problem 1

In this problem we will see how to implement the algorithm mentioned in the paper: <u>A Tutorial on Matrix Perturbation Theory</u>. Notations will follow the paper. We use Symbolics.jl to help us document solving process. Let's first define the variables we will use.

```
1 begin
2 @variables E<sub>1</sub> E<sub>2</sub> a<sub>r</sub> a<sub>i</sub> b<sub>r</sub> b<sub>i</sub> λ;
3 a = a<sub>r</sub> + im * a<sub>i</sub>;
4 b = b<sub>r</sub> + im * b<sub>i</sub>;
5 nothing
6 end
```

Our Hamiltonian could be divided into two parts.  $A_0$  represents the Hamiltonian before perturbation.  $A_1$  represents the perturbation.

$$egin{bmatrix} E_1 & 0 & a_r + a_i i \ 0 & E_1 & b_r + b_i i \ a_r + (-a_i) i & b_r + (-b_i) i & E_2 \end{bmatrix}$$

```
1 begin
2 A<sub>0</sub> = Matrix([E<sub>1</sub> 0 0; 0 E<sub>1</sub> 0; 0 0 E<sub>2</sub>])
3 A<sub>1</sub> = Matrix([0 0 a; 0 0 b; conj(a) conj(b) 0])
4 A<sub>e</sub> = A<sub>0</sub> + A<sub>1</sub>
5 end
6
```

Let's first try to solve the eigenvalues exactly. We will use det to get the characteristic equation. Then we notice that  $E_1$  is a root of this equation. We then divide  $\lambda-E_1$  from the equation to get a lower order polynomial which could be solved by quadratic formula.

chara\_eqn =

$$\left(E_{1}-\lambda
ight)\left(\left(E_{1}-\lambda
ight)\left(E_{2}-\lambda
ight)-b_{i}^{2}-b_{r}^{2}
ight)-a_{i}^{2}\left(E_{1}-\lambda
ight)-a_{r}^{2}\left(E_{1}-\lambda
ight)$$

```
1 chara_eqn = det(\underline{A_e} - \underline{\lambda} .* Matrix(I, 3, 3))
```

0

substitute(chara\_eqn, Dict( $\lambda \Rightarrow E_1$ ))

reduced\_chara\_eqn =

$$E_1E_2 - E_1\lambda - E_2\lambda - a_i^2 - a_r^2 - b_i^2 - b_r^2 + \lambda^2$$

1 reduced\_chara\_eqn = -1 \* simplify(chara\_eqn /  $(\lambda - E_1)$ )

```
begin
const_term = substitute(reduced_chara_eqn, Dict(λ => 0))
linear_term = substitute(simplify((reduced_chara_eqn - const_term) / λ), Dict(λ => 0))
quadratic_term = simplify((reduced_chara_eqn - const_term - linear_term * λ) / λ^2)
nothing
end
```

```
b2m4ac = real(simplify(linear_term^2 - 4 * quadratic_term * const_term))
```

In summary, we have the following three eigenvalues of the perturbed Hamiltonian.

1. 
$$\lambda_1=E_1$$

2. 
$$\lambda_2 = rac{1}{2}igg(E_1 + E_2 + \sqrt{\left(E_1 - E_2
ight)^2 + 4\left(a_i^2 + a_r^2 + b_i^2 + b_r^2
ight)}igg)$$

3. 
$$\lambda_3=rac{1}{2}igg(E_1+E_2-\sqrt{\left(E_1-E_2
ight)^2+4\left(a_i^2+a_r^2+b_i^2+b_r^2
ight)}igg)$$

Note, we could apply the approximation  $\sqrt{1+x} pprox 1+x/2$  when  $x \ll 1$ . And get

1. 
$$\lambda_1=E_1$$

2. 
$$\lambda_2pprox \left(E_1-rac{\left(a_i^2+a_r^2+b_i^2+b_r^2
ight)}{\left(E_2-E_1
ight)}
ight)$$

3. 
$$\lambda_3pprox \left(E_2+rac{\left(a_i^2+a_r^2+b_i^2+b_r^2
ight)}{\left(E_2-E_1
ight)}
ight)$$

Let's try to solve for the eigenvalues using perturbation approach. Firstly, let's blindly apply the non-degenerate perturbation method to second order. Let's do first order perturbation. We know the Hamiltonian before perturbation has eigenvalues  $E_1$ ,  $E_1$ ,  $E_2$  and eigenvectors [1, 0, 0], [0, 1, 0], [0, 0, 1] respectively.

1 A<sub>0</sub>

We can define  $V_0$  and  $\Lambda_0$  accordingly.

$$1 \Lambda_{\theta} = diagm([\underline{E_1}, \underline{E_1}, \underline{E_2}])$$

We could use eqn (11) from Bamieh to get first order perturbation to eigenvalues.

$$\Lambda_1 = dg(V_0^* A_1 V_0)$$

$$\begin{array}{lll} 1 & \Lambda_1 = Diagonal(\underline{V_0}' * \underline{A_1} * \underline{V_0}) \\ 2 & \end{array}$$

Unfortunately, we get nothing from the first order perturbation. Let's go to the next level where we also allow the eigenstate to vary a little.

1 
$$\mathsf{Indagcirc} = \mathsf{Matrix}([0\ 0\ 1/(\mathsf{E_1}-\mathsf{E_2});\ 0\ 0\ 1/(\mathsf{E_1}-\mathsf{E_2});\ 1/(\mathsf{E_2}-\mathsf{E_1})\ 1/(\mathsf{E_2}-\mathsf{E_1})\ 0])$$

1 
$$V_1 = -V_0 * (\underline{\mathsf{Ndagcirc}} .* (\underline{V_0} * \underline{\mathsf{A_1}} * \underline{V_0}))$$

1 
$$\Lambda_2 = simplify.(Diagonal(V_0 * A_1 * V_1))$$

Comparing this to our exact result above, we can see something is wrong. For correction of first and second eigenstate, we got it wrong. The energy correction term should be

1. 
$$\delta \lambda_1 = 0$$

$$\delta \lambda_2 = -rac{\left(a_i^2 + a_r^2 + b_i^2 + b_r^2
ight)}{(E_2 - E_1)}$$

3. 
$$\delta \lambda_3 = rac{\left(a_i^2 + a_r^2 + b_i^2 + b_r^2
ight)}{\left(E_2 - E_1
ight)}$$

Let's try to apply degenerate energy perturbation theory. We notice for vector spanned by  $\{ [1 \ 0 \ 0] , [0 \ 1 \ 0] \}$ , we have energy degeneracy for  $A_0$ .

According to the paper, we need to find a special pair of linear combinations of the above mentioned basis vectors so that we diagonalize  $A_1$  in this subspace. However, we could not as  $A_1$  is already diagnoalized and degenerate.

1 
$$A_1[1:2,1:2]$$

In this case, the paper does not tell us what to do. I found <u>Gottfried Chapter 3.7</u> to be extremely helpful. Using (273) directly gives us the effective Hamiltonian of a lower dimension (the dimension of degenerate subspace). Reading off the (272) you could immediately see that the eigenvalue of this effective hamiltonian is the energy change from original Hamiltonian.

1 Heff = Matrix(simplify.([
$$A_1[i,3]*A_1[3,j]/(E_1 - E_2)$$
 for i in 1:2, j in 1:2]))

```
1 simplify(det(\frac{\text{Heff}}{} - \frac{\lambda}{} * Matrix(I,2,2)))
```

It's obvious that one solution is  $\lambda=0$  and the other is  $\lambda=\frac{|a|^2+|b|^2}{E_2-E_1}$  This matches our exact calculuation above.

## Problem 2: Scaling Laws for Hydrogen-like Atoms

I found the following <u>lecture</u> and <u>lecture note</u>. helpful when preparing this solution. We will largely follow it hence taking a semi-classical approach. This should be enough since we are only interested in the scaling laws.

Recall a hydrogenlike atom is defined as a cation or an atom with only one valence shell electron. Since we only have one valence electron, we have a nice spherical symmetry. Due to the spherical symmetry of the system, we could separate the wavefunction of the valence electron into a radial part and an angular part. The angular part will be no different from the angular part of a hydrogen atom. It's the radial part that we need to solve.

Firstly, we need to adjust the potential energy term to account for the nuclear charge and angular momentum of the valence electron (think about merry-go-around). This gives us the following potential

$$V_{eff}(r) = -rac{Ze^2}{4\pi\epsilon_0 r} + rac{l(l+1)\hbar^2}{2m_e r^2}$$

where Z is the nuclear charge, e is the elementary charge,  $\epsilon_0$  is the vacuum permittivity, l is the angular momentum quantum number,  $\hbar$  is the reduced Planck constant, and  $m_e$  is the mass of the electron.

Solving the Schrodinger Equation with this potential is a bit tedious. We will not do it here. It's largely similar to the process given in Griffiths' book. I have uploaded the relevant part in <u>zulip</u>.

For the sake of obtaining a scaling law, we resort back to the Bohr model. For details of derivation, please refer to <u>Libre Text</u>. I jump to conclusion directly.

```
1 @variables n;
```

```
function E_scaling(n::Num)
return -1/n^2
end
```

```
function ΔE_scaling(n::Num)
return simplify(E_scaling(n+1) - E_scaling(n))
end
```

```
1 function r_scaling(n::Num)
2   return n^2
3 end
```

```
1 # ~ 1/n^3
2 ΔE_scaling(n)
```

Let's pause for a moment and think about the physical meaning of the scaling laws we just derived. The energy scaling is helpful when we want to know the energy level which the electron in the atom is in given the ionization energy.

To estimate the rest of the quantities, we need to rely on the trick to estimate the integral of two radial part of the wavefunction mentioned in the video lecture.

For dipole polarizability, we use the formula provided in <u>paper</u> and our previous estimation of Transition dipole moment for adjacent states and energy splitting to get

$$lpha \sim rac{(n^2)^2}{n^{-3}} = n^7$$

van der Waal's interaction coefficient???