

# Introduction to Information Theory and Coding

Chapter 13: Universal source coding

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# Overview

## Universal code

- If the source distribution is known, we can use Huffman algorithm to construct an optimal code for that distribution.
- However, in many practical systems, the source distribution may be unknown and we cannot apply the Huffman algorithm directly.
- Chapter 13 is about universal source coding, which does not require the knowledge of source distribution.

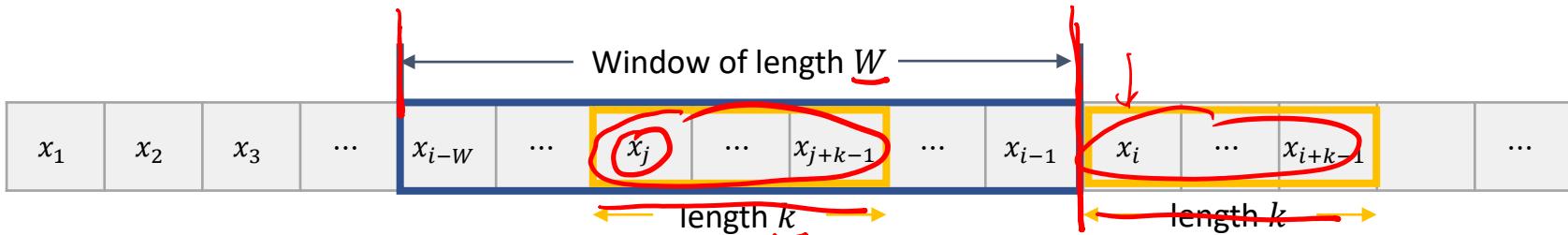
## Lempel-Ziv Coding

- Sliding window Lempel-Ziv (LZ77, LZ1)
  - Exploit repeated sequences in the previously encoded data through a sliding window mechanism.
  - Basis of ZIP, PNG compression
- Tree-structured Lempel-Ziv algorithm (LZ78, LZ2)
  - Construct a dictionary to encode newly encountered string patterns by assigning them unique indices.
  - Basis of GIF, TIFF compression
- Their asymptotic optimality can be proved (Chapter 13.5), but we will not cover the proof.

# LZ77: Sliding-window Lempel-Ziv algorithm

Main idea: Encode a string by finding the longest match anywhere within a window of past symbols

- Represent the string by a pointer to location of the match within the window and the length of the match



Assume we have compressed up to  $x_{i-1}$ .

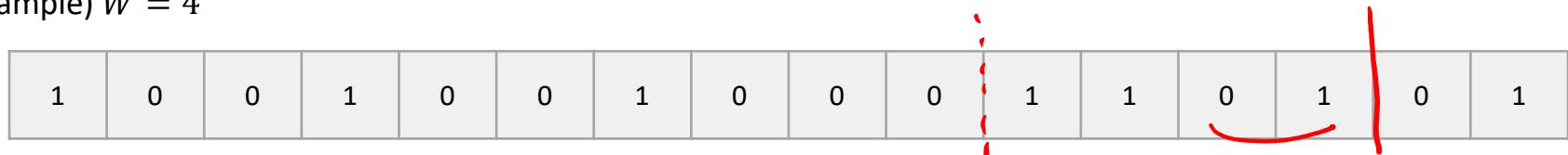
- Find the longest match in the window, i.e., find the largest  $k$  such that for some  $j \in [i - W : i - 1]$ , the string of length  $k$  starting from  $x_j$  is equal to the string of length  $k$  starting from  $x_i$ .
- If you can find such match, the string  $(x_i, \dots, x_{i+k-1})$  is represented by  $(1, i - j, k)$ .
- Otherwise, i.e., there is no symbol  $x_i$  in the window,  $x_i$  is represented by  $(0, x_i)$ .

Hence, the encoded tuples are of two types:  $(F, P, L)$  or  $(F, C)$

- $F$ : Flag bit showing whether there is a match in the window, ( $F = 1$ : there is a match,  $F = 0$ : there is no match)
- $P$ : Location of the beginning of the match
- $L$ : Length of the match
- $C$ : Uncompressed character

# LZ77: Sliding-window Lempel-Ziv algorithm

Example)  $W = 4$



Encoding:

$\rightarrow \underline{1}, \underline{0}, \underline{0}, \underline{1}, \underline{00100}, \underline{01}, \underline{1}, \underline{01}, \underline{01}$

$\rightarrow \cancel{(0,1)}, \cancel{(0,0)}, \cancel{(1,1)}, \cancel{(1,2,1)}, \cancel{(1,2,2)}, \cancel{(1,1,1)}, \cancel{(1,3,2)}, \cancel{(1,2,2)}$

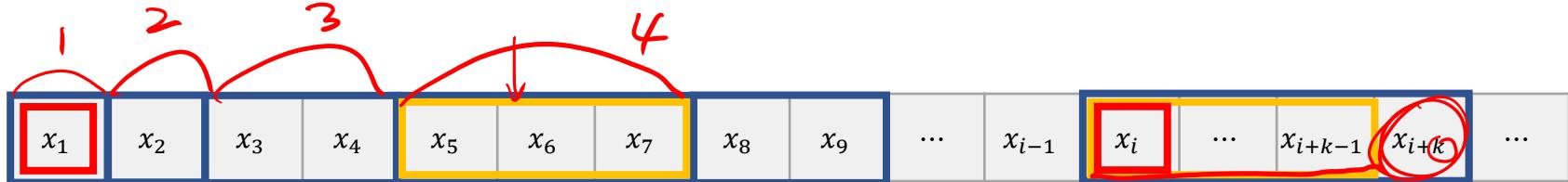
↓

Decoding:  $1\circled{0}01\circled{00}1\circled{00}\circled{01}1\circled{01}01$

# LZ78: Tree-structured Lempel-Ziv algorithm

Main idea: Parse a string into phrases, where each phrase is the shortest phrase not seen earlier.

- Build a dictionary in the form of a tree, where the nodes correspond to phrases seen so far.



Assume we have parsed the string up to  $x_{i-1}$  into phrases.

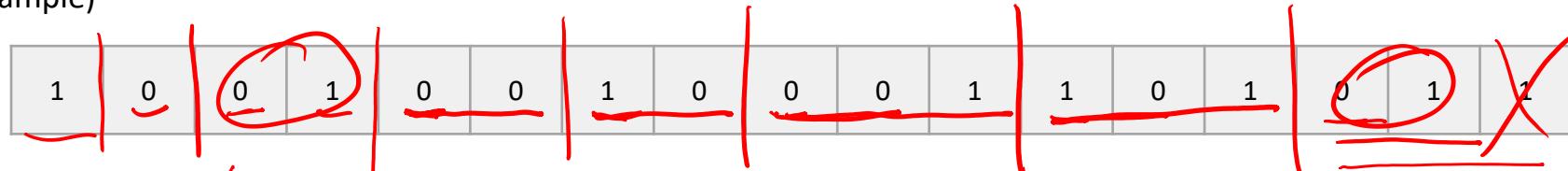
- Find the smallest  $k$  such that  $x_i, \dots, x_{i+k}$  does not correspond to one of the phrases before  $x_i$ .
- Note that  $x_i, \dots, x_{i+k-1}$  is one of the phrases that appeared before. Let's assume that it is the  $j$ th phrase.
- Then, the string  $(x_i, \dots, x_{i+k})$  is represented by  $(j, x_{i+k})$ .

Hence, the encoded tuples has the form of  $(D, C)$ .

- $D$ : Location of the prefix
- $C$ : Value of the last bit

## LZ78: Tree-structured Lempel-Ziv algorithm

Example)



Encoding: ①, ⑥, ⑤, ④, ③, ⑩, ⑨, ⑧, ⑦, ⑥, ⑤, ④, ③, ②, ①

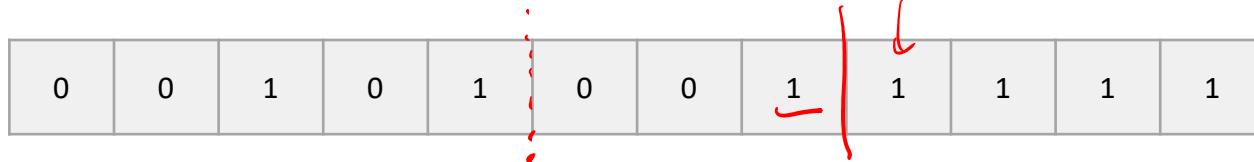
~~(0, 1), (00), (10), (01), (1, 0), (1, 1), (5, 1), (3, 1)~~

Decoding: 10010010001101011 ←

Dictionary

order	word	1	101
1	1	8	011
2	0		
3	⑥		
4	⑥⑥		
5	⑥⑥		
6	⑥⑥⑥		

## Example



LZ77 with  $W = 3$

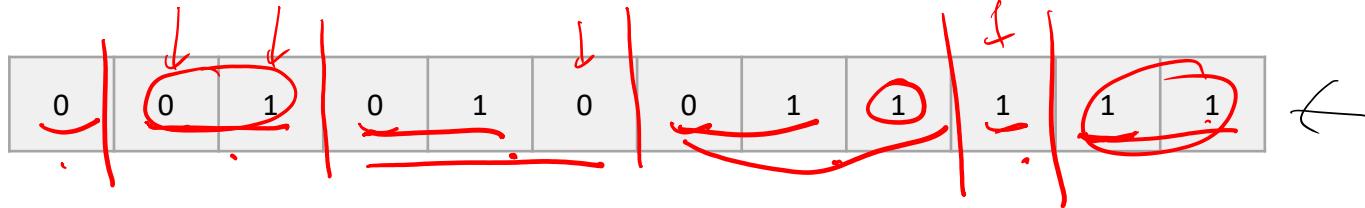
Encoding:

$\rightarrow \underline{(0,0)}, \underline{(1,1,1)}, \cancel{(0,1)}, \cancel{(1,2,3)}, \cancel{(1,3,2)}, \underline{(1,1,4)}$

Decodings:

00 | 0 | 0 0 | 1 1 1

## Example



LZ78

Encoding:

→ ~~(0,0), (1,1), (3,0), (2,1), (0,1), (5,1)~~  $\in \mathcal{C}(n)$

Decoding: 00101001111

order word

1 0

2 01

3 010

4 011

5 1

6 11

$$\mathcal{C}((\log c + 1))$$