

- All problems are worth 15 points.
- Please write down your name and student ID on every page.

## Problem 1

Given  $n$  positive integers  $\{h_i\}_{1 \leq i \leq n}$ , you are tasked to find  $\max_{1 \leq l \leq r \leq n} [(r-l+1) \times \min\{h_l, h_{l+1}, \dots, h_r\}]$  in  $O(n)$  time complexity.

- (a) (2 points) If  $\{h_i\}_{i=1, \dots, 6}$  is given by  $\{2, 1, 4, 7, 5, 3\}$ , what is the maximum value?  
 $12 = 3 \times \min\{4, 7, 5\} = 4 \times \min\{4, 7, 5, 3\}$ .
- (b) (3 points) Let  $[l_i, r_i]$  be the most wide range such that  $h_i \leq h_k$  for all  $l_i \leq k \leq r_i$ . Prove  $\max_{1 \leq l \leq r \leq n} [(r-l+1) \times \min\{h_l, h_{l+1}, \dots, h_r\}] = \max_{1 \leq i \leq n} [(r_i - l_i + 1) \times h_i]$ .  
 For arbitrary  $l$  and  $r$ , if  $h_i = \min\{h_l, h_{l+1}, \dots, h_r\}$ , then  $r - l + 1 \leq r_i - l_i + 1$ .  
 Thus,  $(r - l + 1) \times \min\{h_l, h_{l+1}, \dots, h_r\} = (r - l + 1) \times h_i \leq (r_i - l_i + 1) \times h_i$ .  
 Also,  $(r_i - l_i + 1) \times h_i \in \{(r - l + 1) \times \min\{h_l, h_{l+1}, \dots, h_r\} \mid 1 \leq l \leq r \leq n\}$ .
- (c) (10 points) Design an algorithm  $O(n)$  and justify the time complexity. Write the pseudocode.

---

### Algorithm 1 Problem 1-(c)

---

```

function MAXVAL( $n, \{h_i\}_{1 \leq i \leq n}$ )
   $answer \leftarrow 0$ 
   $stack$  ▷ Define an empty stack
  for  $i = 1, \dots, n$  do
    while  $stack$  is not empty and  $h_i < h_{stack.top()}$  do
       $j \leftarrow stack.pop()$ 
       $l_j \leftarrow 1$  if  $stack$  is empty else  $stack.top() + 1$ 
       $r_j \leftarrow i - 1$ 
       $answer \leftarrow \max(answer, (r_j - l_j + 1) \times h_j)$ 
     $stack.push(i)$ 
  while  $stack$  is not empty do
     $j \leftarrow stack.pop()$ 
     $l_j \leftarrow 1$  if  $stack$  is empty else  $stack.top() + 1$ 
     $r_j \leftarrow n$ 
     $answer \leftarrow \max(answer, (r_j - l_j + 1) \times h_j)$ 
  return  $answer$ 

```

---

It pushes the element  $n$  times, and one element is popped out for each while loop. Therefore, it is  $O(n)$  time complexity.

### Grading Criteria:

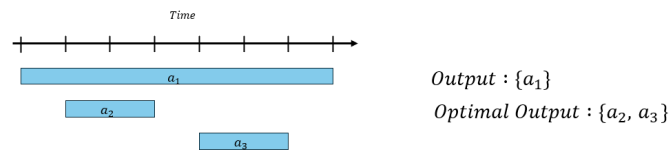
- (+2 points) Correct answer for (a).
- (+3 points) Correct answer for (b).
- (+4 points) Correct calculation for  $l_j$  and  $r_j$ .
- (+3 points)  $O(n)$  with plausible  $l_j$  and  $r_j$ .
- (+3 points) No minor error for (c).
- (-8 points) Incorrect time complexity in (c).

## Problem 2

The **scheduling problem** is the problem of finding a maximal conflict-free schedule with several activities given the start and finish times. Prove/disprove the correctness of three different greedy approaches. If the approach is correct, then justify it **using inductive exchange argument**. If not, provide a **counter-example**.

**If you solve the problem to find the maximum time, you only get a maximum of 2 points for each subproblem.**

- (a) (5 points) (1) Sort activities by **start times** (2) Scan activities in the sorted order and select activities with **the earliest start time** that does not conflict with selected activities so far.



(+5 points) Correct example.

- (b) (5 points) (1) Sort activities by **start times** (2) Scan activities in the sorted order and select activities with **the latest start time** that does not conflict with selected activities so far.

Correct. Let  $f$  be the last activity to start. Suppose we have a maximal conflict-free schedule  $X$  that does not include  $f$  (+2 points). Let  $g$  be the last activity in  $X$  to start. Since  $f$  starts after  $g$  does,  $f$  cannot conflict with any class in the set  $X - \{g\}$ . Thus, the schedule  $X' = X \cup \{f\} - \{g\}$  is also conflict-free. Since  $X'$  has the same size as  $X$ , it is also maximal. Therefore, the best schedule that contains  $f$  is an optimal schedule (+2 points). The best schedule that includes  $f$  must contain an optimal schedule for  $L$  which is the subset of activities that finish before  $f$  starts. By the inductive hypothesis, computes an optimal schedule of  $L$  (+1 points).

### Grading Criteria:

(+1 points) If you proved the equivalent of a greedy approach to select activities with the earliest finish time.

- (c) (5 points) (1) Sort activities by **duration (finish – start)** (2) Scan activities in the sorted order and select activities with **the shortest duration** that does not conflict with selected activities so far.



(+5 points) Correct example.

### Problem 3

You are a clerk at a mom-and-pop shop, and your task is to provide the correct change using coins. When given a target amount  $C \in \mathbb{N}^+$  and coin values  $\{v_1, v_2, \dots, v_n \mid v_i \geq v_j \text{ if } i < j\}$ , your goal is to determine the minimum number of coins required to make that amount. More formally, the problem can be expressed as the following optimization:

$$\min_{\{a_1, a_2, \dots, a_n\}} \sum_{i=1}^n a_i \quad \text{s.t.} \quad \sum_{i=1}^n a_i v_i = C, \quad a_i \geq 0 \quad \forall i \in \{1, 2, \dots, n\}.$$

Consider coin values  $\{v_1 = 50, v_2 = 10, v_3 = 5, v_4 = 1\}$ .

- (a) (2 points) Say  $C=78$ . What is the minimum number of coins to reach  $C$ , and what is the coin combinations  $\{a_1, a_2, a_3, a_4\}$  for such a value?

The minimum number of coins is 7, which is when  $\{a_1, a_2, a_3, a_4\} = \{1, 2, 1, 3\}$ . **Grading Criteria:**

- (+1 points) Got the minimum number of coins correct.
- (+1 points) A correct coin combination to reach  $C$  is given.

- (b) (3 points) Create an algorithm with a **greedy approach** that will correctly return the **minimum number of coins** needed for the above case in (a). You may use pseudocode if needed.

**Algorithm:**

- Sort the coin denominations in descending order.
- Initialize  $\text{coins} = 0$  and  $\text{remaining} = C$ .
- For each coin value  $v_i$  in the coin list sorted by decreasing value:
  - Take as many coins as possible:  $\text{count} = \lfloor \text{remaining} / v_i \rfloor$ .
  - Update remaining:  $\text{remaining} = \text{remaining} - (\text{count} \times v_i)$ .
  - Increment coins by count.
- Repeat until  $\text{remaining} = 0$ .
- Return coins.

**Pseudocode:**

```
function greedyCoinChange(C, coins={50,10,5,1}):
    total_coins = 0
    remaining = C
    for coin in coins:
        count = remaining // coin
        remaining -= count * coin
        total_coins += count

    return total_coins
```

**Grading Criteria:**

- (+1 points) Algorithm correctly outputs the number of coins for  $C=78$ , and coin values  $\{v_1 = 50, v_2 = 10, v_3 = 5, v_4 = 1\}$ .

- (+2 points) Correct greedy policy.

- (c) (7 points) Does the algorithm in (b) return the correct value for every target amount  $C \in \mathbb{N}^+$  under the given coin values  $\{v_1 = 50, v_2 = 10, v_3 = 5, v_4 = 1\}$ ? Either prove its correctness, or present a counterexample.

Since  $v_4 = 1$ , it is obvious that the greedy approach stops to return a valid solution. Now, we use **induction** to prove the greedy algorithm's correctness. Suppose the greedy algorithm returns the optimal solution for  $C < k$ , and consider  $k + 1$ .

Let  $v_j := \max\{v_i | v_i \leq k + 1\}$ . Recall that the greedy policy will pick the  $v_j$  coin in this case.

Consider the optimal solution that has the coin combinations  $\{a_1, a_2, a_3, a_4\}$ . Let's assume the optimal solution does not contain  $v_j$ . Here,  $a_i \leq \frac{v_{i+1}}{v_i} - 1$  for  $i < j$ , as if  $a_i = \frac{v_{i+1}}{v_i}$ , we can just substitute the  $\frac{v_{i+1}}{v_i}$  coins with  $v_i$  value with the one with  $v_{i+1}$  value.

(However, this is **not enough** to prove the optimality of the greedy solution, as we need to disprove the possibility of there being more optimal solution that doesn't pick  $v_j$ , but still abides by the above rule. )

Thus, the total value of the optimal solution is  $\sum_{i=1}^n a_i v_i = a_1 * v_1 + \dots a_{j-1} * v_{j-1} \leq (\frac{v_2}{v_1} - 1) * v_1 + \dots + (\frac{v_j}{v_{j-1}} - 1) * v_{j-1} = v_j - v_1 = v_j - 1 < v_j < k + 1$ . This is a contradiction as  $\sum_{i=1}^n a_i v_i = C$  by definition. In other words, a solution that chooses not to select  $v_j$  cannot reach C, unless  $a_i > \frac{v_{i+1}}{v_i} - 1$  for some  $i < j$ , which is suboptimal.

Thus, the optimal solution must choose  $v_j$  coin, identical to the greedy policy. Since the remaining target amount is  $k + 1 - v_j < k$ , by induction, the greedy solution is optimal for  $k+1$ , and evidently for any  $C \in \mathbb{N}^+$ .

#### Grading Criteria:

- (+1 points) Correct answer.
- (+3 points) Show  $a_i \leq \frac{v_{i+1}}{v_i} - 1$  must be satisfied using the exchange argument.
- (-2 points) Flawed exchange argument.
- (+3 points) Show that the optimal solution for C that abides the above rule must follow the greedy solution (i.e. unique) or else you cannot reach C.
- (-2 points) Did not generalize or sufficiently prove above statement.

- (d) (3 points) Now let us consider a different coin system. Does the above algorithm in (b) return the correct value for every target amount  $C \in \mathbb{N}^+$  under the given coin values of coin values  $\{v_1 = 500, v_2 = 200, v_3 = 50, v_4 = 10, v_5 = 1\}$ .? Either prove its correctness, or present a counterexample.

Yes. We follow a similar logic with (c), but since we've already shown that a coin system with  $v_2, v_3, v_4, v_5$  outputs an optimal value as  $v_i$  is divisible by  $v_{i+1}$ , we only need to show that for every optimal solution for  $C > 500$  that doesn't pick the 500 coin can be exchanged with one that does while not increasing the coin count.

Consider the optimal solution for a given target  $C > 500$  has  $\{0, a_2, a_3, a_4, a_5\}$ .

If  $a_2 \geq 3$ , three 200 coins can be exchanged with one 500 coin and two 50 coins.

If  $a_2 = 2$ , then to achieve  $C > 500$ ,  $a_3 > 2$ . At which point, we can exchange two 200 coins and two 50 coins with one 500 coin.

If  $a_2 < 2$ , then this contradicts  $C > 500$ , as the maximum value for this optimal solution is  $C < 200 * 1 + 50 * 3 + 10 * 4 + 1 * 9 = 399$ .

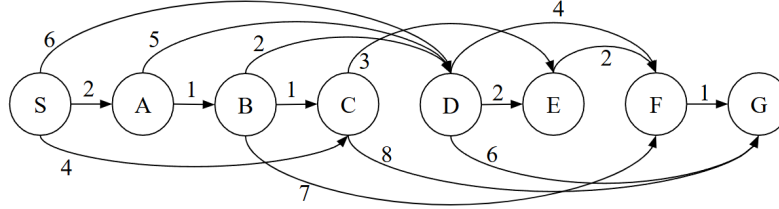
Thus, we've shown that there exists an optimal solution that contains a 500 coin given  $C > 500$ , and by induction (as done in (c)), the greedy algorithm produces the optimal value for every target.

### Grading Criteria:

- (+1 points) Correct answer
- (+2 points) Correct proof on the algorithm's correctness.
- (-1 points) Misses handling some cases.

## Problem 4

Consider the linearized graph  $G$ .




---

### Algorithm 2 Minimum Cost

---

```

1: Input:  $G = (V, E)$ ;  $v \in V$ ,  $(m, n) \in E$ 
2: Initialize:
3:  $H[v] = \infty$ ;  $v \in V$  ▷  $C, H$  is a hashtable
4:  $H[S] = 0$ 
5:  $C[(m, n)] = cost$ ;  $(m, n) \in E$ 
6: Run:
7: for each  $n \in V$  except  $S$ , in linearized order do
8:    $H[n] = \underline{\hspace{2cm}}$ 
9: return  $H[G]$ 

```

---

- (a) (3pts) Fill in the blank to find the minimum cost from  $S$  to  $G$ .

$$H[n] = \underline{\min_{(m,n) \in E} (H[m] + C[(m,n)])}$$

(+3 pts) Correct answer.

(-0.5 pts) Missing iteration of edges.

- (b) (2pts) Apply the algorithm and fill in the chart to get a minimum cost from  $S$  to  $v \in V$ .

$S$	$A$	$B$	$C$	$D$	$E$	$F$	$G$
0	2	3	4	5	7	9	10

(+0.25 pts) Correct answer per blank.

- (c) (4pts) Write down the asymptotic complexity of the ‘Minimum Cost’ algorithm (no need to include the cost for initialization) and briefly explain it in one sentence.

$$O(V + E)$$

The algorithm iterates through all vertices  $n \in V$  and all  $(m, n) \in E$  without duplicate, with  $n \in V$  as the destination node for each vertex during iteration.

(+1 pts) Correct complexity ( $O(|V| + |E|)$ ,  $O(V^2)$ ,  $O(n^2)$ ).

(+3 pts) Correct explanation. Partial(1 to 2) points (major to minor) error in explanation.

- (d) (2pts) Which condition of the graph makes the above algorithm work? Write in one word.

acyclic or linearity

(+2 pts) Correct answer. I gave full credit if the meaning is right.

- (e) (4pts) Convert a few lines of pseudocode to change the ‘Minimum Cost’ algorithm to the ‘Maximum Cost’ algorithm. The ‘Maximum Cost’ algorithm should compute the maximum cost of a graph.

line 3:  $H[v] = 0; v \in V$

line 8:  $H[n] = \max_{(m,n) \in E} (H[m] + C[(m,n)])$

(+2 pts) Each line.

Other allowed answer for L8: converting into negative weight and return  $-H[G]$ .

## Problem 5

Solve the following problems using dynamic programming.

- (a) (5 points) Assume there is a 1D array  $A$  of integers with a length  $L$ . For any  $s, e$  satisfying  $0 \leq s \leq e \leq L - 1$ , the goal is to compute the sum  $A[s] + A[s + 1] + \dots + A[e]$ . There are  $K$  such arbitrary pairs of  $(s, e)$ . Explain a method to compute all these sums with a time complexity of  $O(L + K)$ , assuming that both  $L$  and  $K$  are very large integers.

Let's define an array  $P$  of length  $L + 1$  as follows:

$$\begin{aligned} P[0] &= 0 \\ P[i] &= P[i - 1] + A[i - 1] \quad (1 \leq i \leq L) \end{aligned}$$

Using this definition, the array  $P$  can be constructed in time complexity of  $O(L)$ .

The value  $P[k]$  represents the cumulative sum  $A[0] + A[1] + \dots + A[k - 1]$ . For any arbitrary pair  $(s, e)$ , the sum of the elements  $A[s] + A[s + 1] + \dots + A[e]$  can be expressed as:

$$A[s] + A[s + 1] + \dots + A[e] = P[e + 1] - P[s]$$

Once the array  $P$  is pre-computed, the sum for any  $(s, e)$  can be calculated in  $O(1)$  time. Given  $K$  such pairs  $(s, e)$ , the total time complexity for computing all sums is  $O(K)$ .

Thus, the overall time complexity is:

$$O(L + K)$$

### Grading Criteria:

- (+5 points) Correct answer.
- (-1 points) Do not verify the time complexity when calculating the prefix sum.
- (-1 points) Wrong index in the formula for prefix sum.
- (-1 points) Wrong notation.
- (-4 points) Wrong time complexity.
- (-5 points) Do not solve using dynamic programming.
- (-5 points) Provide incomplete/unclear explanation or contain fatal error.



- (b) (10 points) Assume there is a 2D array  $B$  of integers with dimensions  $M \times N$ . For any indices  $(s_1, e_1)$  and  $(s_2, e_2)$  such that  $0 \leq s_1 \leq e_1 \leq M - 1$  and  $0 \leq s_2 \leq e_2 \leq N - 1$ , the goal is to compute the sum of all elements in the submatrix defined by these indices:

$$\text{Sum} = \sum_{i=s_1}^{e_1} \sum_{j=s_2}^{e_2} B[i][j].$$

Explain a method to compute all the sums with a time complexity of  $O(M \cdot N) + O(K)$ , where  $K$  is the number of submatrix queries. Assume that  $M$ ,  $N$ , and  $K$  are very large integers.

Let's define an array  $Q$  of size  $(M + 1) \times (N + 1)$  as follows:

$$Q[i][0] = 0 \quad (0 \leq i \leq M)$$

$$Q[0][j] = 0 \quad (0 \leq j \leq N)$$

$$Q[i][j] = B[i-1][j-1] + Q[i-1][j] + Q[i][j-1] - Q[i-1][j-1] \quad (1 \leq i \leq M, 1 \leq j \leq N)$$

Using this definition, the array  $Q$  can be constructed in time complexity of  $O(M \cdot N)$ .

For any arbitrary pair  $(s_1, e_1, s_2, e_2)$ , the sum of the submatrix can be expressed as:

$$\text{Sum} = Q[e_1 + 1][e_2 + 1] - Q[s_1][e_2 + 1] - Q[e_1 + 1][s_2] + Q[s_1][s_2]$$

Thus, once  $Q$  is constructed, the sum for any  $(s_1, e_1, s_2, e_2)$  can be computed in  $O(1)$ . Given that there are  $K$  such pairs, the total time complexity for computing all sums is  $O(K)$ .

Therefore, the overall time complexity is:

$$O(M \cdot N + K)$$

### Grading Criteria:

- (+10 points) Correct answer.
- (-1 points) Wrong notation.
- (-2 points) Wrong index in the formula for prefix sum.
- (-3 points) Contain error in the formula for the array  $Q$  / Do not provide enough explanation about the array  $Q$ .
- (-3 points) Contain error in the formula for prefix sum.
- (-4 points) Do not verify the time complexity when calculating the prefix sum.
- (-5 points) Include intermediate steps but do not calculate the prefix sum.
- (-8 points) Wrong time complexity.
- (-10 points) Do not solve using dynamic programming.
- (-10 points) Provide incomplete/unclear explanation or contain fatal error.

## Problem 6

Given a rectangular sheet of paper with integer side lengths  $n$  and  $m$ , you must cut it into **square pieces**, each with *integer* side length. You are allowed **only straight horizontal or vertical** cuts that **completely divide** the current piece into two distinct rectangles. (After all cuts are made, every resulting piece should be a perfect square.)

- (a) (8 points) Design a dynamic programming approach to determine the minimum number of square pieces. Let  $num[n, m]$  denote the minimum number of square pieces obtained from a sheet with side lengths  $n$  and  $m$ . Determine the base cases and formulate the recurrence relation.

$$num[n, m] = 1 \text{ if } n = m \text{ (Base case)}$$

$$num[n, m] = \min\left\{\min_{1 \leq k \leq \lfloor n/2 \rfloor} (num[k, m] + num[n - k, m]), \min_{1 \leq k \leq \lfloor m/2 \rfloor} (num[n, k] + num[n, m - k])\right\} \text{ (Recurrence relation)}$$

### Grading Criteria:

- (+3 points) Correct base case.
  - (+5 points) Correct recurrence relation.
- (b) (7 points) Develop a dynamic programming approach to determine the minimum total cutting length, where the cutting length is defined as the sum of all cut lengths made. Let  $len[n, m]$  denote the minimum cutting length obtained from a sheet with side lengths  $n$  and  $m$ . Determine the base cases and formulate the recurrence relation.

$$len[n, m] = 0 \text{ if } n = m \text{ (Base case)}$$

$$len[n, m] = \min\left\{\min_{1 \leq k \leq \lfloor n/2 \rfloor} (len[k, m] + len[n - k, m] + m), \min_{1 \leq k \leq \lfloor m/2 \rfloor} (len[n, k] + len[n, m - k] + n)\right\} \text{ (Recurrence relation)}$$

### Grading Criteria:

- (+3 points) Correct base case.
- (+4 points) Correct recurrence relation.

## Problem 7

The decision version of the MAX 2-SAT problem is defined as follows:

Given a 2-CNF formula  $F$  (a conjunction of clauses, each with at most 2 literals) and an integer  $k$ , decide whether there exists an assignment of truth values to the variables of  $F$  such that at least  $k$  clauses of  $F$  are satisfied.

- (a) (3 points) Prove that the decision version of MAX 2-SAT is in NP.

Given an assignment of variables which is known to make  $k$  clauses of  $F$  satisfied, plug the assignment to the CNF and check if there are  $k$  clauses which are satisfied.

**Grading Criteria:**

- (+3 points) Correct explanation.
  - (0 points) Incorrect or missing explanation.
- (b) (2 + 3 points) Given a clause  $c = (l_1 \vee l_2 \vee l_3)$ , consider the following 2-CNF formula with a new variable  $x$ .

$$F_c = (l_1) \wedge (l_2) \wedge (l_3) \wedge (x) \wedge (\neg l_1 \vee \neg l_2) \wedge (\neg l_2 \vee \neg l_3) \wedge (\neg l_3 \vee \neg l_1) \wedge (l_1 \vee \neg x) \wedge (l_2 \vee \neg x) \wedge (l_3 \vee \neg x)$$

1. (2 points) Prove that if  $c$  is not satisfied, then at most 6 clauses of  $F_c$  can be satisfied.  
The only case when  $c$  is not satisfied is when all  $l_i$ 's are false. If  $x$  is true, then there are 4 clauses which are satisfied. If  $x$  is false, then there are 6 clauses which are satisfied.

**Grading Criteria:**

- (+2 points) Correct explanation.
- (0 points) Incorrect or missing explanation.

Therefore, if  $c$  is false, then there are at most 6 clauses which are satisfied.

2. (3 points) Prove that if  $c$  is satisfied, then there is some assignment of variables so that exactly 7 clauses of  $F_c$  are satisfied. Also prove that no more than 7 clauses can be satisfied.

Consider the case when all  $l_i$ 's are true. Then by choosing  $x$  to be true, 7 clauses out of 10 clauses of  $F$  can be satisfied. If  $x$  is false, there are only 6 clauses of  $F$  which are satisfied.

Now, without loss of generality, let  $l_1$  be true and  $l_3$  be false. If  $l_2$  is true, regardless of  $x$ , there are 7 clauses of  $F$  which are true. If  $l_2$  is false, there are 7 clauses of  $F$  which are satisfied when  $x$  is false, and 6 if  $x$  is true.

Therefore, we proved that there is an assignment which 7 out of 10 clauses of  $F_c$  becomes true when  $c$  is satisfied, and additionally no more clauses can be satisfied.

**Grading Criteria:**

- (+3 points) Correct explanation.
  - (1 point) Showed that 7 clauses can be satisfied in any case, but no explanation that 7 clauses are the maximum number of clauses that can be satisfied.
  - (0 points) No explanation that 7 clauses can be satisfied in any case.
  - (0 points) Incorrect or missing explanation.
  - (-1 point) per missing case.
- (c) (7 points) Using the fact that 3-SAT is NP-COMplete, prove that the decision version of MAX 2-SAT is also NP-COMplete.

Given a 3-CNF formula  $F$ , convert into a corresponding 2-CNF formula  $F'$  as stated in (b), so that  $F'$  contains  $m$  more variables than  $F$  and contains  $10m$  clauses, where  $m$  is the number of clauses of  $F$ . Then there is some assignment of variables which  $7m$  clauses of  $F'$

can be satisfied, if and only if  $F$  can be satisfied, by (b). Therefore there is a polynomial time reduction from 3-SAT to the decision version of MAX 2-SAT, which implies that the decision version of MAX 2-SAT is NP-HARD. As the decision version of MAX 2-SAT is also in NP by (a), we may conclude that the decision version of MAX 2-SAT is NP-COMPLETE.

**Grading Criteria:**

- (+1 point) Noted that MAX 2-SAT is in NP.
- (+4 points) Correctly reduced 3-SAT to MAX 2-SAT, with correct  $k$ .
- (+2 points) Along with the above, concluded that MAX 2-SAT is in NP-HARD.
- (0 points) Incorrect or missing explanation.
- (-1 point) per mistake.

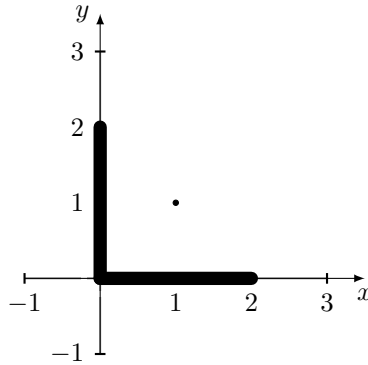
## Problem 8

In the MINIMUM STEINER TREE problem, you are given a finite complete graph  $G$ , with  $T \subseteq V(G)$  which is a set of terminal nodes, with a distance function  $d : V(G) \times V(G) \rightarrow \mathbb{R}_{\geq 0}$  which satisfies the triangle inequality. (In other words, it satisfies three rules stated below.) Also, let the weight of the subgraph  $H$  of  $G$  be the sum of distances of edges of  $H$ . The goal is to find a tree which is a subgraph of  $G$  with minimum edge weight (the sum of distances of edges) which passes through all vertices in  $T$ . This tree may or may not include vertices of  $V(G)$ .

1. For every  $u, v \in V(G)$ ,  $d(u, v) = 0$  if and only if  $u = v$ .
2. For every  $u, v \in V(G)$ ,  $d(u, v) = d(v, u)$ .
3. For every  $u, v, w \in V(G)$ ,  $d(u, w) \leq d(u, v) + d(v, w)$ .

For simplicity, let  $w(H)$  denote a weight of  $H$ , a subgraph of  $G$ .

- (a) (2 points) Let  $G$  be a graph which  $V(G) = \{(0, 0), (0, 2), (1, 1), (2, 0)\}$ ,  $T = \{(0, 0), (0, 2), (2, 0)\}$ , and  $d((x_1, y_1), (x_2, y_2)) = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}$ . Draw a minimum Steiner tree of  $G$  on the  $xy$ -plane, and compute its weight. You don't need an explanation for this problem.



The weight of the minimum Steiner tree of  $G$  is 4.

### Grading Criteria:

- (+2 points) Both the figure and the value are correct.
  - (0 points) Incorrect.
- (b) (4 points) Prove that, given an optimal Steiner tree  $G'$  of  $G$  and some terminal nodes  $u, v \in T$ , the weight of any path from  $u$  to  $v$  on  $G'$  is not smaller than the weight of the edge  $uv$ .

Recall that for any two distinct nodes  $u, v$  of a tree, there is a unique path from  $u$  to  $v$  on the tree.

The case when  $u = v$  is trivial, so we only consider the case when  $u \neq v$ . Let the path from  $u$  to  $v$  in the Steiner tree  $G'$  of  $G$  be  $ut_1 \dots t_n$  with  $t_n = v$ , and let  $u, v \in T$ . We prove that for any two distinct vertices  $u, v \in V(G')$ , the weight of the path  $ut_1 \dots t_n$  with  $t_n = v$  of  $G'$  from  $u$  to  $v$  is not less than the weight of the edge  $uv$  in  $G$ , by induction on  $n$ . If  $n = 1$ , then the weight of the path  $ut_1 = uv$  is equal to the weight of the edge  $uv$ , so the statement holds. If  $n > 1$ , then by the induction hypothesis, the weight  $w(ut_1 \dots t_{n-1})$  of the path from  $u$  to  $t_{n-1}$  is not less than the weight of the edge  $ut_{n-1}$ , which is  $w(ut_{n-1}) = d(u, t_{n-1})$ . By the triangle inequality,

$$\begin{aligned} w(ut_1 \dots t_{n-1}t_n) &= w(ut_1 \dots t_{n-1}) + d(t_{n-1}, t_n) \\ &\geq d(u, t_{n-1}) + d(t_{n-1}, t_n) \geq d(u, t_n) = d(u, v) \end{aligned}$$

Therefore, for any path from  $u$  to  $v$  of  $G'$ , its weight is not less than the weight of the edge  $uv$ . Choose  $u$  and  $v$  to be in  $T$ , and conclude that the problem statement holds.

**Grading Criteria:**

- (+4 points) Both the figure and the value are correct.
  - (0 points) Incorrect.
  - (-1 point) per mistake.
- (c) (7 points) Prove that the weight of a minimum spanning tree of  $T$  on  $G$  does not exceed twice the weight of the minimum Steiner tree of  $G$ .

Choose some vertex  $v \in T$  of  $G'$ , the minimum Steiner tree of  $G$ . Consider a DFS walk  $s_0 \dots s_n$  on  $G'$  starting from  $v = s_0$  and ending at  $v = s_n$ . Then each edge of  $G'$  is counted twice on the walk  $s$ , so  $w(s_0 \dots s_n) = 2w(G')$ . If we let  $t_i \in T$  for  $0 \leq i < |T|$  so that all  $t_i$  are distinct and  $t_0 \dots t_{|T|-1}$  is a subsequence of  $s_0 \dots s_n$ ,

$$w(S) \leq w(t_0 \dots t_{|T|-1}) \leq w(s_0 \dots s_n) = 2w(G')$$

where  $S$  is a minimum spanning tree of  $T$  with respect to  $G$ . The second inequality holds by (b) and the fact that the walk from  $u$  to  $v$  on  $G'$  passes through all edges of a path from  $u$  to  $v$ .

**Grading Criteria:**

- (7 points) Reasonable proof of the problem statement.
  - (0 points) Incorrect.
  - (-1 point) per mistake.
- (d) (2 points) Briefly explain a 2-approximation algorithm for the MINIMUM STEINER TREE problem.

Find a minimum spanning tree of  $T$  with respect to  $G$ . By (c), this is a 2-approximation of the minimum Steiner tree of  $G$ .