

Introduction to Information Theory and Coding

Chapter 13: Universal source coding

Lecturer: Prof. Si-Hyeon Lee

Overview

Universal code

- If the source distribution is known, we can use Huffman algorithm to construct an optimal code for that distribution.
- However, in many practical systems, the source distribution may be unknown and we cannot apply the Huffman algorithm directly.
- Chapter 13 is about universal source coding, which does not require the knowledge of source distribution.

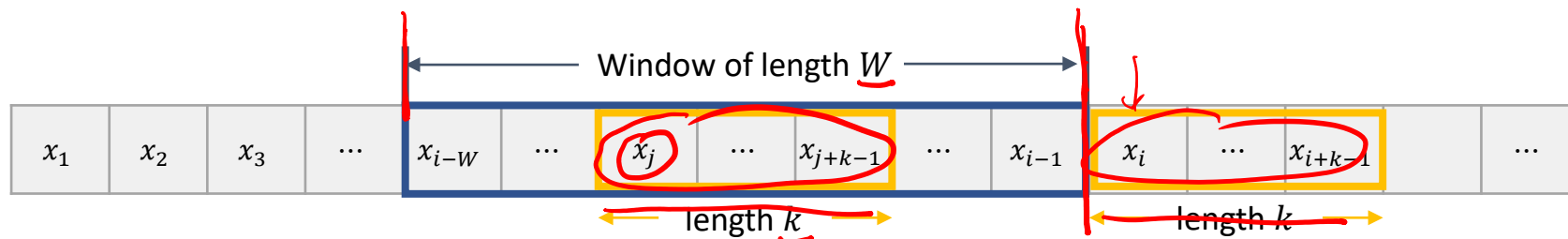
Lempel-Ziv coding

- Sliding window Lempel-Ziv (LZ77, LZ1)
 - Exploit repeated sequences in the previously encoded data through a sliding window mechanism.
 - Basis of ZIP, PNG compression
- Tree-structured Lempel-Ziv algorithm (LZ78, LZ2)
 - Construct a dictionary to encode newly encountered string patterns by assigning them unique indices.
 - Basis of GIF, TIFF compression
- Their asymptotic optimality can be proved (Chapter 13.5), but we will not cover the proof.

LZ77: Sliding-window Lempel-Ziv algorithm

Main idea: Encode a string by finding the longest match anywhere within a window of past symbols

- Represent the string by a pointer to location of the match within the window and the length of the match



Assume we have compressed up to x_{i-1} .

- Find the longest match in the window, i.e., find the largest k such that for some $j \in [i - W : i - 1]$, the string of length k starting from x_j is equal to the string of length k starting from x_i .
- If you can find such match, the string (x_i, \dots, x_{i+k-1}) is represented by $(1, i - j, k)$.
- Otherwise, i.e., there is no symbol x_i in the window, x_i is represented by $(0, x_i)$.

Hence, the encoded tuples are of two types: (F, P, L) or (F, C)

- F : Flag bit showing whether there is a match in the window, ($F = 1$: there is a match, $F = 0$: there is no match)
- P : Location of the beginning of the match
- L : Length of the match
- C : Uncompressed character

LZ77: Sliding-window Lempel-Ziv algorithm

Example) $W = 4$

1	0	0	1	0	0	1	0	0	0	1	1	0	1	0	1
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

Encoding: \rightarrow 1 0 0 100100, 01, 1, 01, 01

\rightarrow ~~(0,1)~~, ~~(0,0)~~, ~~(1,1,1)~~, ~~(1,3,6)~~, ~~(1,4,2)~~, ~~(1,1,1)~~, ~~(1,3,2)~~, (1,2,2)

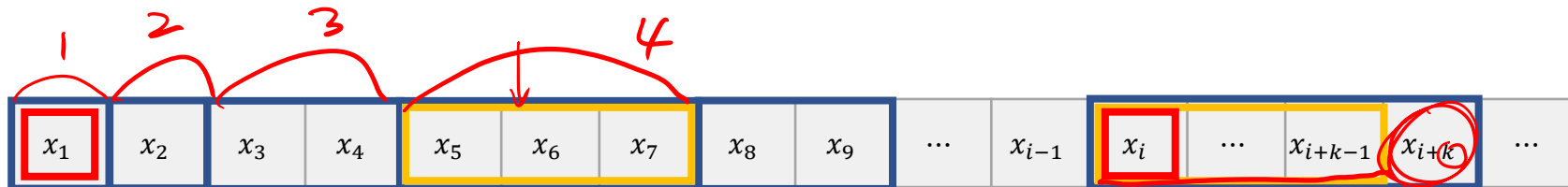
↓

Decoding: 1 00100100 01 01 01

LZ78: Tree-structured Lempel-Ziv algorithm

Main idea: Parse a string into phrases, where each phrase is the shortest phrase not seen earlier.

- Build a dictionary in the form of a tree, where the nodes correspond to phrases seen so far.



Assume we have parsed the string up to x_{i-1} into phrases.

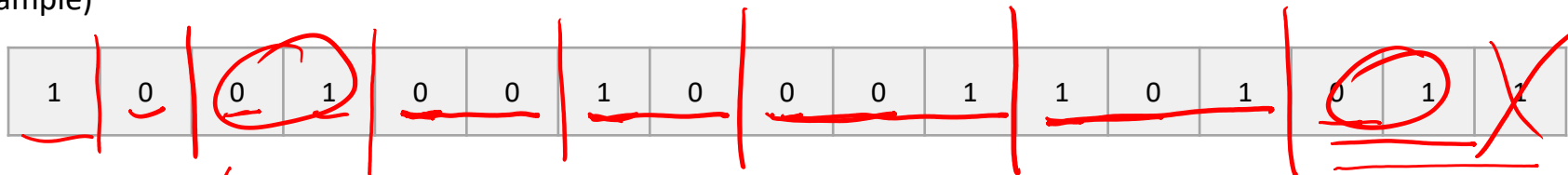
- Find the smallest k such that x_i, \dots, x_{i+k} does not correspond to one of the phrases before x_i .
- Note that x_i, \dots, x_{i+k-1} is one of the phrases that appeared before. Let's assume that it is the j th phrase.
- Then, the string (x_i, \dots, x_{i+k}) is represented by (j, x_{i+k}) .

Hence, the encoded tuples has the form of (D, C) .

- D : Location of the prefix
- C : Value of the last bit

LZ78: Tree-structured Lempel-Ziv algorithm

Example)



Encoding: ①, ⑥, ⑥①, ⑥⑥, ⑥⑥, 001, 101, 011

~~(0,1), (0,0), (2,1), (2,0), (1,0), (4,1), (5,1), (3,1)~~

Decoding: 100100110011 ←

Dictionary

order	word	7	101
1	1	8	011
2	0		
3	⑥①		
4	⑥⑥		
5	⑥⑥		
6	001		

Example

0	0	1	0	1	0	0	1	1	1	1	1
---	---	---	---	---	---	---	---	---	---	---	---

LZ77 with $W = 3$

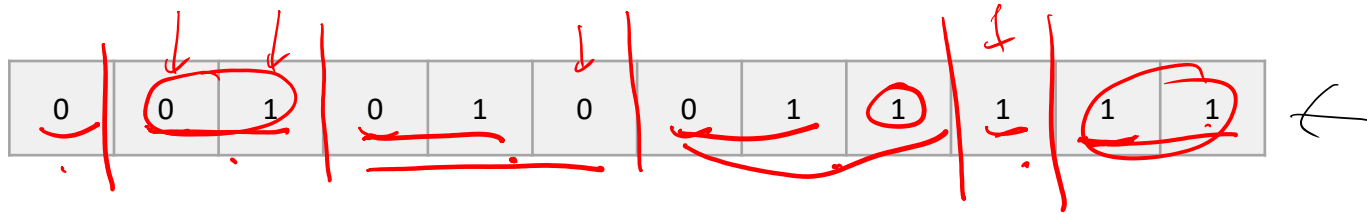
Encoding :

$\rightarrow \underline{(0,0)}$, ~~$(1,1,1)$~~ , ~~$(0,1)$~~ , ~~$(1,2,3)$~~ , ~~$(1,3,2)$~~ , $(1,1,4)$

Decoding :

00 | 0 | 00 | 1111

Example



LZ78

Encoding:

→ (0,0), (1,1), (2,0), (2,1), (0,1), (5,1) $C(n)$

Decoding: 00101001111

order	word
1	0
2	01
3	010
4	011
5	1
6	11

$$\underline{C(\log C + 1)}$$