**Thomas McHugh**

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New York, NY

**EDUCATION**

**Iona College** New Rochelle, NY

Major: Bachelor of Business Administration in Finance Graduated May 2015

GPA: 3.6

**PROFILE**

Highly self motivated emerging professional with experience in multiple facets of the financial industry. Experience preparing stock and credit analysis, supporting brokers on various fixed income trading desks, identifying new business opportunities and onboarding new clients. Assisted in onboarding 20 new clients totaling over $10 million for 10-15 Associates. Recently passed both the SIE and Series 7 Exams.

**CERTIFICATIONS**

Securities Industry Essentials (SIE) Exam February 2019

General Securities Representative Exam (Series 7) June 2019

**EXPERIENCE**

**LPS Partners** New York City, NY

*Trade Support* March 2019- Present

* Support the trading area by overseeing input, comparison and settlement process of all trades
* Monitor a variety of markets while sitting with Directors of the High Yield, Investment Grade and Mortgage Backed Securities Team
* Attend client facing events and identify new business opportunities

**10-15 Associates** Goshen, NY

*Wealth Plan Specialist* March 2018- January 2019

* Handled communication with the client base, including phone calls, emails and meetings daily
* Assisted in onboarding 20 new clients totaling over $10 million in new assets
* Kept CRM system up-to-date with client information for 232 clients
* Performed stock analysis and gave buy, sell or hold recommendations to CEO, CIO and advisors
* Prepared marketing related material on a monthly and quarterly basis

**National Public Finance Guarantee-Subsidiary to MBIA Inc.** Purchase, NY

*Associate* (August 2016- June 2017) July 2015-June 2017

* Conducted due diligence of new business credit requests brought forth by Managing Directors
* Formulated credit opinions and rating recommendations by completing detailed credit analysis
* Monitored changes in pricing trends of National’s competitors in sectors across the United States
* Communicated daily with issuers, financial advisers, clients, investment bankers and traders
* Analyzed financial statements of state and local governmental entities

*Credit Analyst* (July 2015- August 2016)

* Prepared detailed credit reviews on existing credits within National’s $200 billion portfolio
* Assisted in creating and updating financial models that forecasted revenues for municipalities
* Responsible for underwriting $5 million worth of new business
* Researched economic, demographic, and political trends that affected the quality of credits within National’s portfolio

**INVOLVEMENT**

**Iona College Golf Team (Co-Captain)** August 2011-May 2015

**SKILLS**

* Microsoft Office (Excel, PowerPoint)
* Bloomberg Terminal
* Strong Team Player
* Excellent written and verbal communication