

CS542

Chapter 2

Application Layer

← → C https://my.iit.edu/cp/home/displaylogin

ILLINOIS INSTITUTE OF TECHNOLOGY

my iit

Login

Username:

Password:

Login **Clear**

[Forgot Password?](#)

Support Information

Trouble logging in?
[here's help »](#)

Which browsers are supported?
[here's help »](#)

Comments and suggestions?
contact us (DO NOT use for tech support) »

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The Community Support Team a members of the IIT community they are concerned about, or by, the behavior of a student, fa member, or staff member. The can help direct you to the approp person, office or resources. See Community Support channel on

Chapter 2: outline

2.1 principles of network
applications

2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

2.6 video streaming and
content distribution
networks

2.7 socket programming
with UDP and TCP

Chapter 2: application layer

our goals:

- ❖ conceptual, implementation aspects of network application protocols
 - transport-layer service models
 - client-server paradigm
 - peer-to-peer paradigm
- ❖ learn about protocols by examining popular application-level protocols
 - HTTP
 - FTP
 - SMTP / POP3 / IMAP
 - DNS
- ❖ creating network applications
 - socket API

Some network apps

- ❖ e-mail
- ❖ web
- ❖ text messaging
- ❖ remote login
- ❖ P2P file sharing
- ❖ multi-user network games
- ❖ streaming stored video (YouTube, Hulu, Netflix)
- ❖ voice over IP (e.g., Skype)
- ❖ real-time video conferencing
- ❖ social networking
- ❖ search
- ❖ ...
- ❖ ...

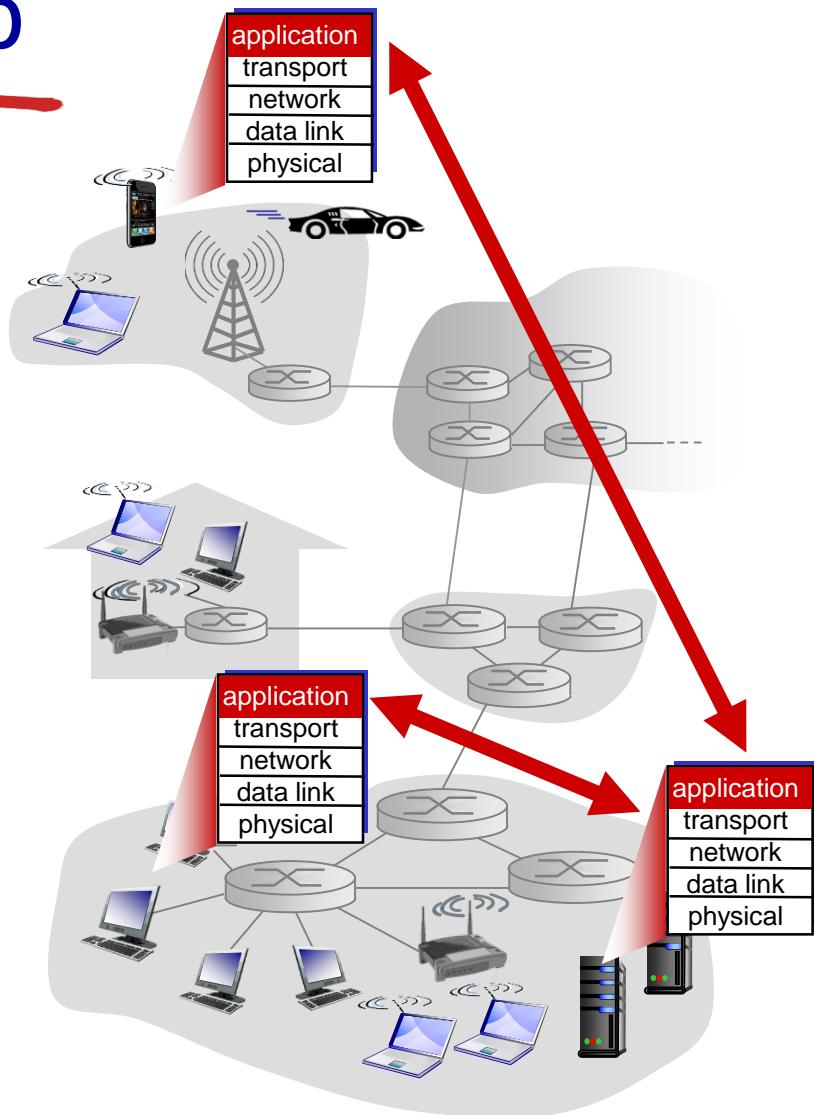
Creating a network app

write programs that:

- ❖ run on (different) *end systems*
- ❖ communicate over network
- ❖ e.g., web server software communicates with browser software

no need to write software for network-core devices

- ❖ network-core devices do not run user applications
- ❖ applications on end systems allows for rapid app development, propagation

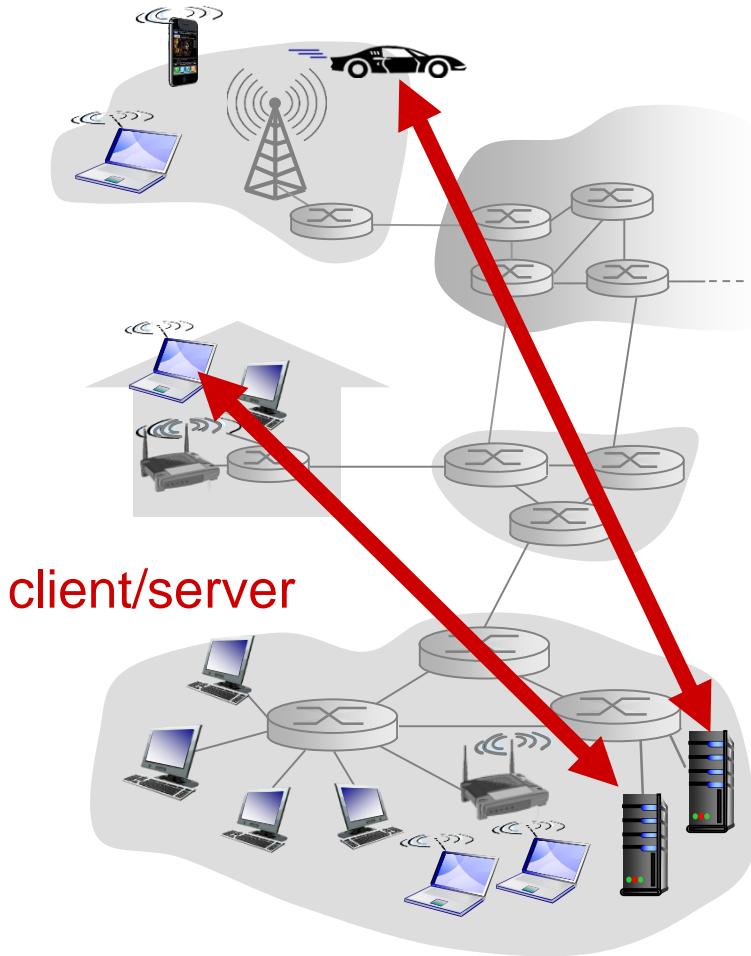


Application architectures

possible structure of applications:

- ❖ client-server
- ❖ peer-to-peer (P2P)

Client-server architecture



server:

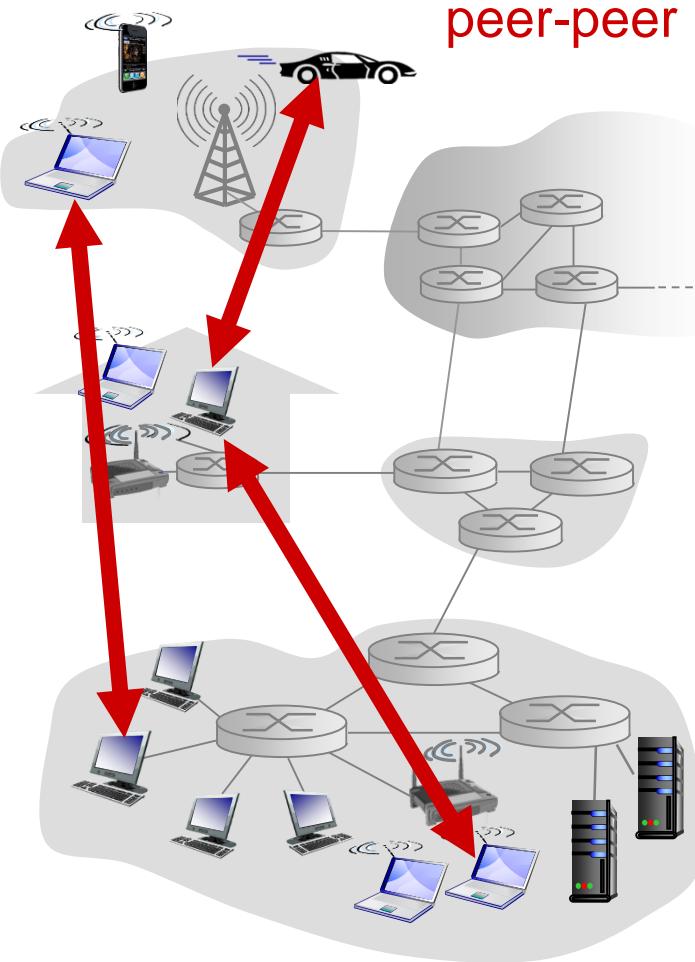
- ❖ always-on host
- ❖ permanent IP address
- ❖ data centers for scaling

clients:

- ❖ communicate with server
- ❖ may be intermittently connected
- ❖ may have dynamic IP addresses
- ❖ do not communicate directly with each other

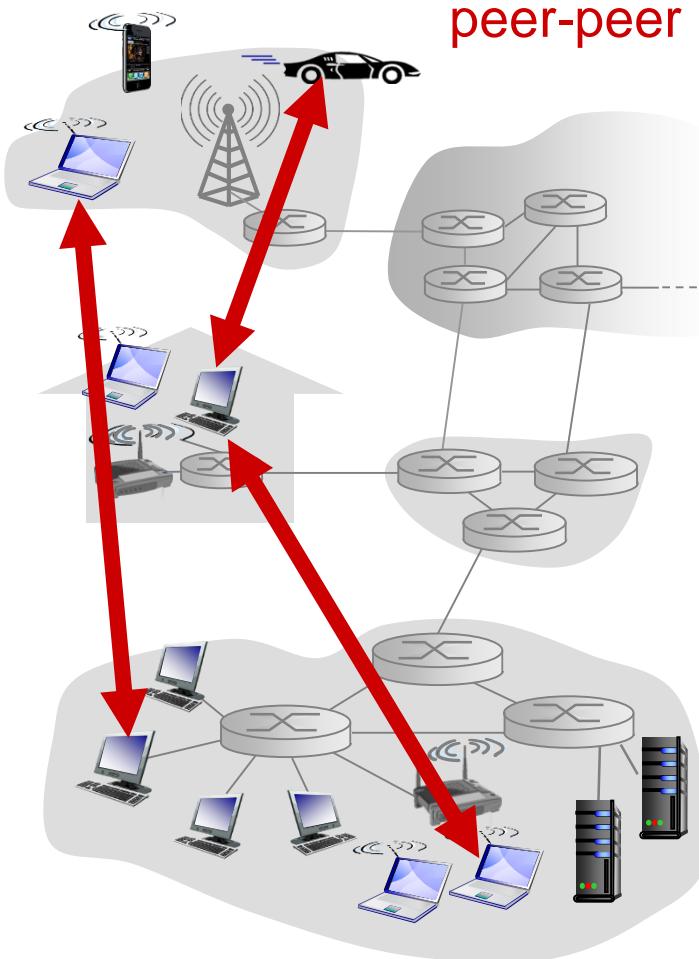
P2P architecture

- ❖ no always-on server
- ❖ arbitrary end systems directly communicate
- ❖ peers request service from other peers, provide service in return to other peers
 - *self scalability* – new peers bring new service capacity, as well as new service demands
- ❖ peers are intermittently connected and change IP addresses
 - complex management



P2P Challenges

- ❖ ISP friendly
 - *most ISPs provides asymmetric BWs*
- ❖ Security
 - highly distributed and open nature
- ❖ Incentives
 - users to volunteer BW, storage and computation resources to app



Processes communicating

process: program running within a host

- ❖ within same host, two processes communicate using **inter-process communication** (defined by OS)
- ❖ processes in different hosts communicate by exchanging **messages**

clients, servers

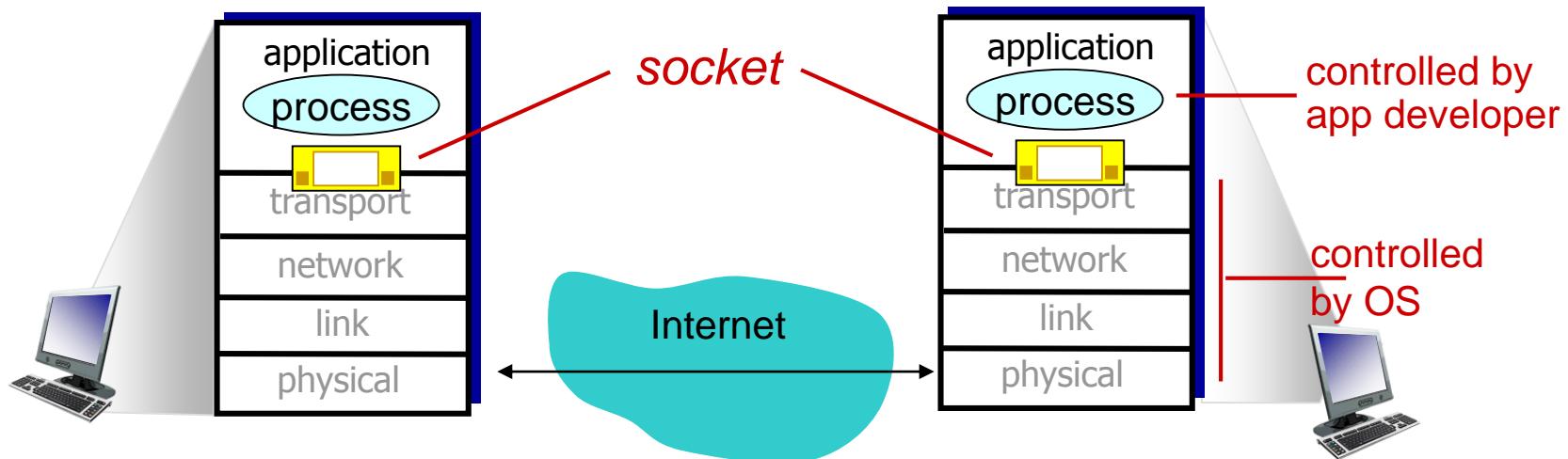
client process: process that initiates communication

server process: process that waits to be contacted

- ❖ aside: applications with P2P architectures have client processes & server processes

Sockets

- ❖ process sends/receives messages to/from its **socket**
- ❖ socket analogous to door
 - sending process shoves message out door
 - sending process relies on transport infrastructure on other side of door to deliver message to socket at receiving process



Addressing processes

- ❖ to receive messages, process must have *identifier*
- ❖ host device has unique 32-bit IP address
- ❖ Q: does IP address of host on which process runs suffice for identifying the process?
 - A: no, *many* processes can be running on same host
- ❖ *identifier* includes both **IP address** and **port numbers** associated with process on host.
- ❖ example port numbers:
 - HTTP server: 80
 - mail server: 25
- ❖ to send HTTP message to gaia.cs.umass.edu web server:
 - **IP address:** 128.119.245.12
 - **port number:** 80
- ❖ more shortly...

App-layer protocol defines

- ❖ types of messages exchanged,
 - e.g., request, response
- ❖ message syntax:
 - what fields in messages & how fields are delineated
- ❖ message semantics
 - meaning of information in fields
- ❖ rules for when and how processes send & respond to messages

open protocols:

- ❖ defined in RFCs
- ❖ allows for interoperability
- ❖ e.g., HTTP, SMTP

proprietary protocols:

- ❖ e.g., Skype

What transport service does an app need?

data integrity

- ❖ some apps (e.g., file transfer, web transactions) require 100% reliable data transfer
- ❖ other apps (e.g., audio) can tolerate some loss

throughput

- ❖ some apps (e.g., multimedia) require minimum amount of throughput to be “effective”
- ❖ other apps (“elastic apps”) make use of whatever throughput they get

timing

- ❖ some apps (e.g., Internet telephony, interactive games) require low delay to be “effective”

security

- ❖ encryption, data integrity,

...

Transport service requirements: common apps

application	data loss	throughput	time sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video:10kbps-5Mbps	yes, 100' s msec
stored audio/video	loss-tolerant	same as above	
interactive games	loss-tolerant	few kbps up	yes, few secs
text messaging	no loss	elastic	yes, 100' s msec yes and no

Internet transport protocols services

TCP service:

- ❖ *reliable transport* between sending and receiving process
- ❖ *flow control*: sender won't overwhelm receiver
- ❖ *congestion control*: throttle sender when network overloaded
- ❖ *does not provide*: timing, minimum throughput guarantee, security
- ❖ *connection-oriented*: setup required between client and server processes

UDP service:

- ❖ *unreliable data transfer* between sending and receiving process
- ❖ *does not provide*: reliability, flow control, congestion control, timing, throughput guarantee, security, or connection setup,

Q: why bother? Why is there a UDP?

Internet apps: application, transport protocols

application	application layer protocol	underlying transport protocol
e-mail	SMTP [RFC 2821]	TCP
remote terminal access	Telnet [RFC 854]	TCP
Web	HTTP [RFC 2616]	TCP
file transfer	FTP [RFC 959]	TCP
streaming multimedia	HTTP (e.g., YouTube), RTP [RFC 1889]	TCP or UDP
Internet telephony	SIP, RTP, proprietary (e.g., Skype)	TCP or UDP

Securing TCP

* Cleartext – unencrypted text

TCP & UDP

- ❖ no encryption
- ❖ cleartext passwords sent into socket traverse Internet in cleartext

SSL Secure Socket Layer

- ❖ provides encrypted TCP connection
- ❖ data integrity
- ❖ end-point authentication

SSL is at app layer

- ❖ Apps use SSL libraries, which “talk” to TCP

SSL socket API

- ❖ cleartext passwords sent into socket traverse Internet encrypted
- ❖ See Chapter 8

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Web and HTTP

First, a review...

- ❖ *web page* consists of *objects*
- ❖ object can be HTML file, JPEG image, Java applet, audio file,...
- ❖ web page consists of *base HTML-file* which includes *several referenced objects*
- ❖ each object is addressable by a *URL*, e.g.,

www.someschool.edu/someDept/pic.gif

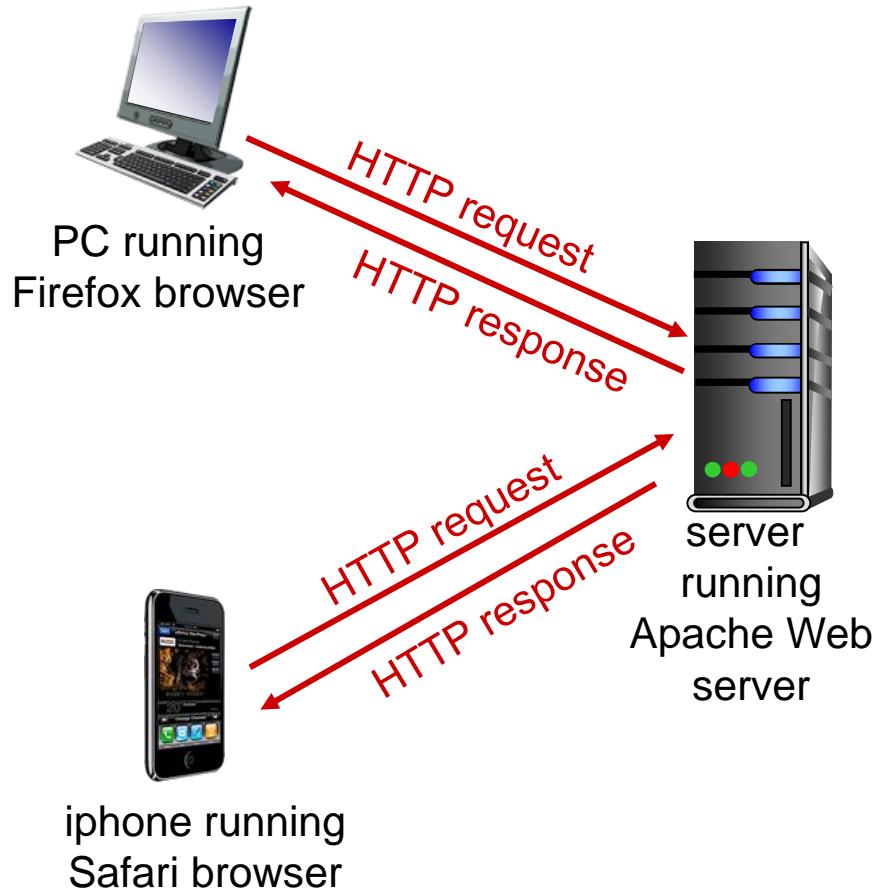
host name

path name

HTTP overview

HTTP: hypertext transfer protocol

- ❖ Web's application layer protocol
- ❖ client/server model
 - *client*: browser that requests, receives, (using HTTP protocol) and “displays” Web objects
 - *server*: Web server sends (using HTTP protocol) objects in response to requests



HTTP overview (continued)

uses TCP:

- ❖ client initiates TCP connection (creates socket) to server, port 80
- ❖ server accepts TCP connection from client
- ❖ HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- ❖ TCP connection closed

HTTP is “stateless”

- ❖ server maintains no information about past client requests

protocols that maintain “state” are complex!

- ❖ past history (state) must be maintained
- ❖ if server/client crashes, their views of “state” may be inconsistent, must be reconciled

aside

HTTP connections

non-persistent HTTP

- ❖ at most one object sent over TCP connection
 - connection then closed
- ❖ downloading multiple objects required multiple connections

persistent HTTP

- ❖ multiple objects can be sent over single TCP connection between client, server

Non-persistent HTTP

suppose user enters URL:

`www.someSchool.edu/someDepartment/home.index`

(contains text,
references to 10
jpeg images)

1a. HTTP client initiates TCP connection to HTTP server (process) at `www.someSchool.edu` on port 80

1b. HTTP server at host `www.someSchool.edu` waiting for TCP connection at port 80. “accepts” connection, notifying client

2. HTTP client sends HTTP *request message* (containing URL) into TCP connection socket. Message indicates that client wants object `someDepartment/home.index`

3. HTTP server receives request message, forms *response message* containing requested object, and sends message into its socket

time ↓

Non-persistent HTTP (cont.)

time
↓

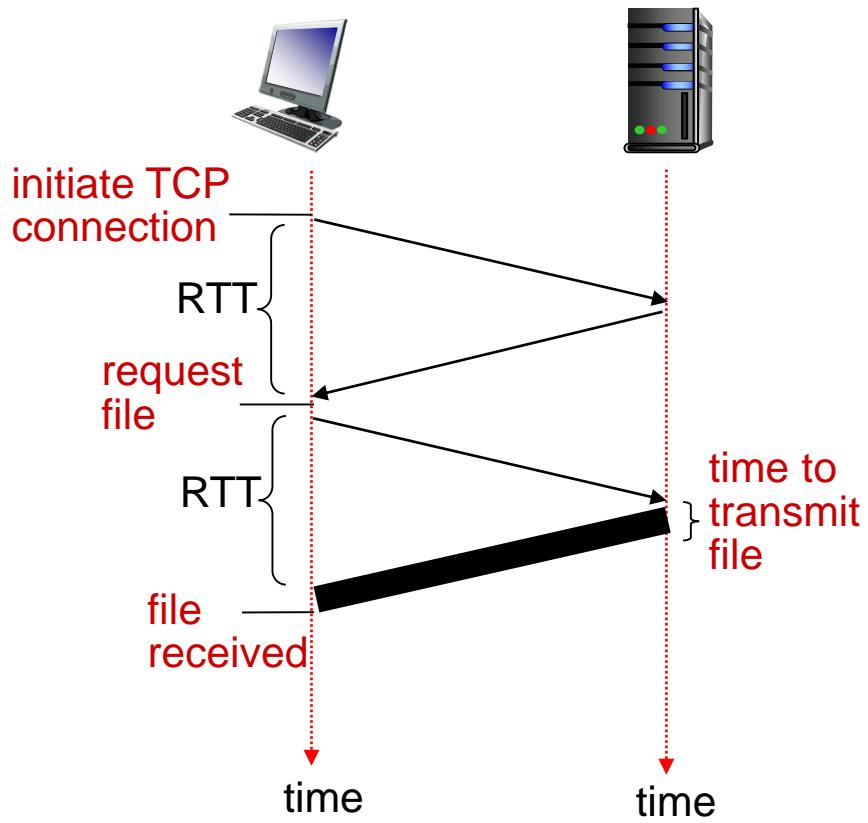
4. HTTP server closes TCP connection.
5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects
6. Steps 1-5 repeated for each of 10 jpeg objects

Non-persistent HTTP: response time

RTT (definition): time for a small packet to travel from client to server and back

HTTP response time:

- ❖ one RTT to initiate TCP connection
- ❖ one RTT for HTTP request and first few bytes of HTTP response to return
- ❖ file transmission time
- ❖ non-persistent HTTP response time =
$$2\text{RTT} + \text{file transmission time}$$



Persistent HTTP

non-persistent HTTP issues:

- ❖ requires 2 RTTs per object
- ❖ OS overhead for *each* TCP connection
- ❖ browsers often open parallel TCP connections to fetch referenced objects

persistent HTTP:

- ❖ server leaves connection open after sending response
- ❖ subsequent HTTP messages between same client/server sent over open connection
- ❖ client sends requests as soon as it encounters a referenced object
- ❖ as little as one RTT for all the referenced objects

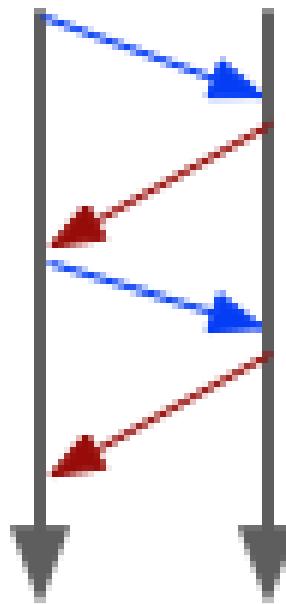
Keep-alive vs. Pipelining

Web server Configuration

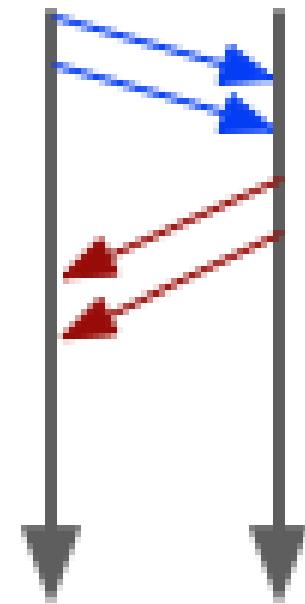
- ❖ keep TCP connection open and continuous request/response within timeout period
- ❖ Server: keep-Alive enabled
- ❖ Client: send timeout period in a request msg
- ❖ Available since HTTP/1.0

Pipelining: default of HTTP/1.1

keep-alive



pipelining



client server client server

HTTP request message

- ❖ two types of HTTP messages: *request, response*
- ❖ **HTTP request message:**
 - ASCII (human-readable format)

request line
(GET, POST,
HEAD commands)

header
lines

carriage return,
line feed at start
of line indicates
end of header lines

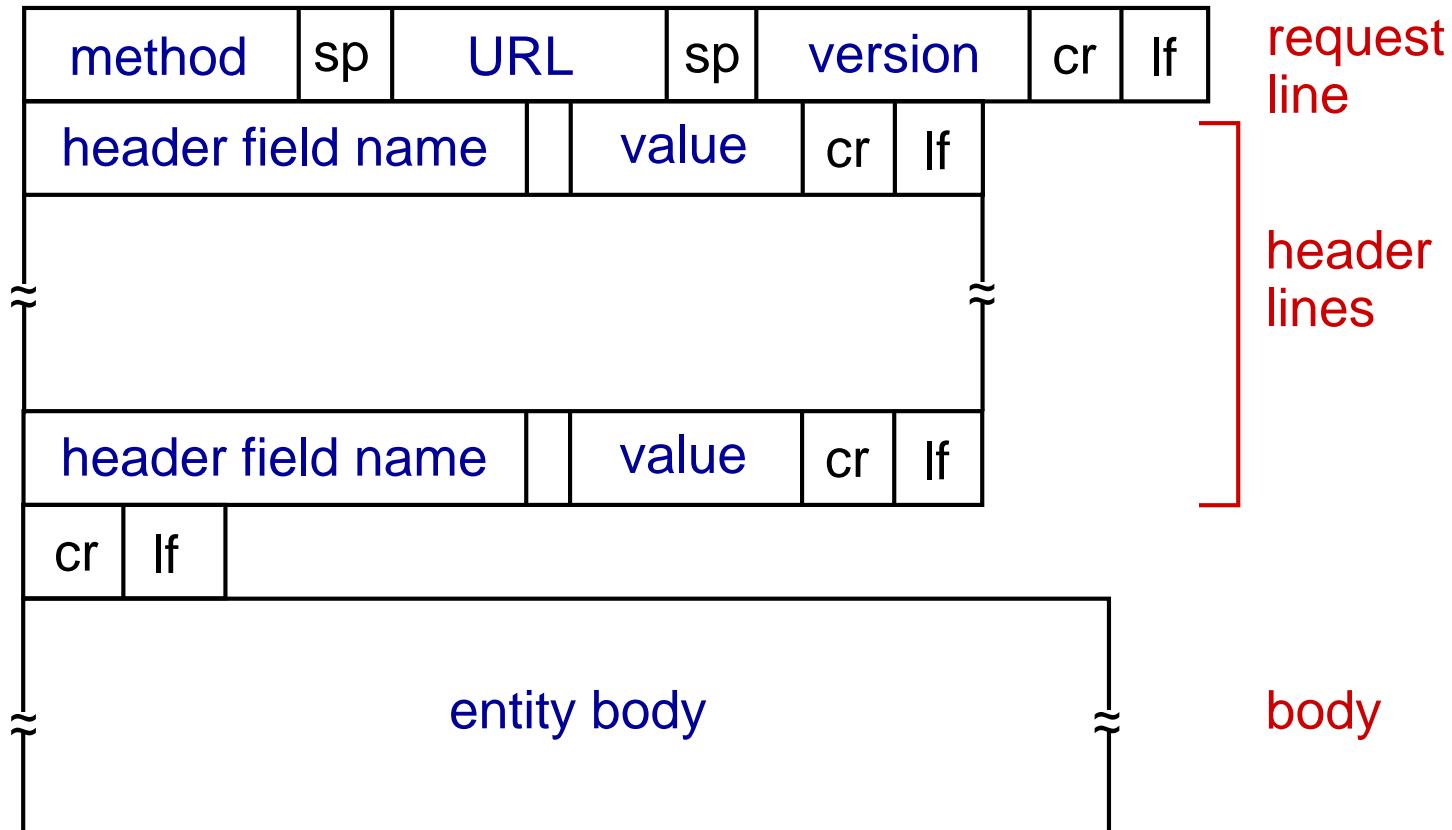
```
GET /index.html HTTP/1.1\r\n
Host: www-net.cs.umass.edu\r\n
User-Agent: Firefox/3.6.10\r\n
Accept: text/html,application/xhtml+xml\r\n
Accept-Language: en-us,en;q=0.5\r\n
Accept-Encoding: gzip,deflate\r\n
Accept-Charset: ISO-8859-1,utf-8;q=0.7\r\n
Keep-Alive: 115\r\n
Connection: keep-alive\r\n
\r\n
```

carriage return character
line-feed character

Stateless HTTP header

GET /index.html HTTP/1.1
Host: www.iit.edu
User-Agent: Mozilla/5.0
Connection: close
Accept-language: fr

HTTP request message: general format



Uploading form input

POST method:

- ❖ web page often includes form input
- ❖ input is uploaded to server in entity body

URL method:

- ❖ uses GET method
- ❖ input is uploaded in URL field of request line:

www.somesite.com/animalsearch?monkeys&banana

Method types

HTTP/1.0:

- ❖ GET
- ❖ POST
- ❖ HEAD
 - asks server to leave requested object out of response

HTTP/1.1:

- ❖ GET, POST, HEAD
- ❖ PUT
 - uploads file in entity body to path specified in URL field
- ❖ DELETE
 - deletes file specified in the URL field

HTTP response message

status line

(protocol

status code

status phrase)

header
lines

data, e.g.,
requested
HTML file

```
HTTP/1.1 200 OK\r\nDate: Sun, 26 Sep 2010 20:09:20 GMT\r\nServer: Apache/2.0.52 (CentOS)\r\nLast-Modified: Tue, 30 Oct 2007 17:00:02  
GMT\r\nETag: "17dc6-a5c-bf716880"\r\nAccept-Ranges: bytes\r\nContent-Length: 2652\r\nKeep-Alive: timeout=10, max=100\r\nConnection: Keep-Alive\r\nContent-Type: text/html; charset=ISO-8859-  
1\r\n\r\n
```

```
data data data data data ...
```

HTTP response status codes

- ❖ status code appears in 1st line in server-to-client response message.
- ❖ some sample codes:

200 OK

- request succeeded, requested object later in this msg

301 Moved Permanently

- requested object moved, new location specified later in this msg (Location:)

400 Bad Request

- request msg not understood by server

404 Not Found

- requested document not found on this server

505 HTTP Version Not Supported

Trying out HTTP (client side) for yourself

1. Telnet to your favorite Web server:

`telnet gaia.cs.umass.edu 80`

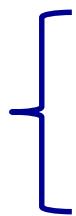


opens TCP connection to port 80
(default HTTP server port)
at gaia.cs.umass.edu.
anything typed in will be sent
to port 80 at gaia.cs.umass.edu

2. type in a GET HTTP request:

`GET /kurose_ross/interactive/index.php HTTP/1.1`

`Host: gaia.cs.umass.edu`



by typing this in (hit carriage return twice), you send this minimal (but complete) GET request to HTTP server

3. look at response message sent by HTTP server!

(or use Wireshark to look at captured HTTP request/response)

User-server state: cookies

many Web sites use cookies

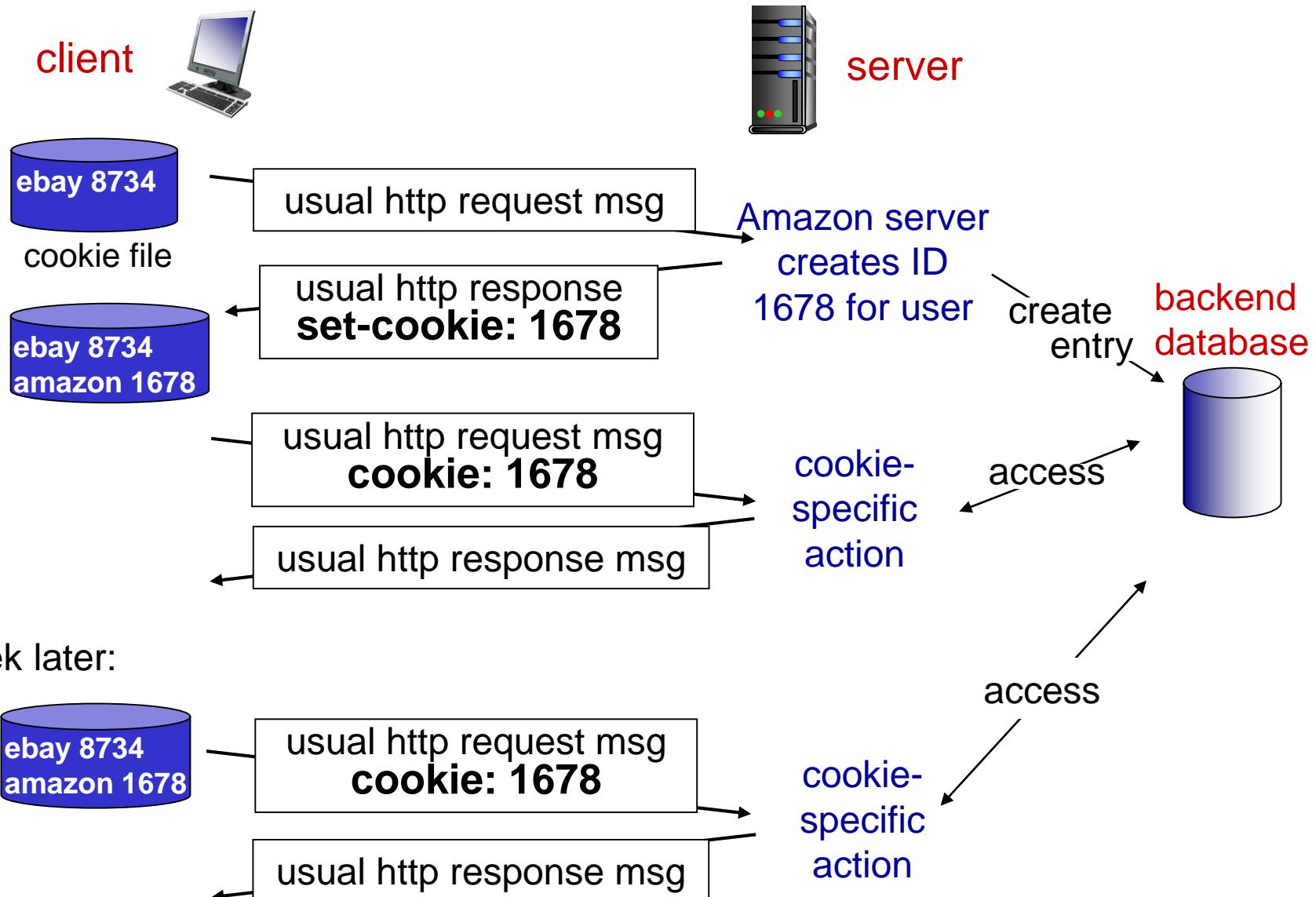
four components:

- 1) cookie header line of HTTP *response* message
- 2) cookie header line in next HTTP *request* message
- 3) cookie file kept on user's host, managed by user's browser
- 4) back-end database at Web site

example:

- ❖ Susan always access Internet from PC
- ❖ visits specific e-commerce site for first time
- ❖ when initial HTTP requests arrives at site, site creates:
 - unique ID
 - entry in backend database for ID

Cookies: keeping “state” (cont.)



Cookies (continued)

what cookies can be used for:

- ❖ authorization
- ❖ shopping carts
- ❖ recommendations
- ❖ user session state (Web e-mail)

*aside
cookies and privacy:*

- ❖ cookies permit sites to learn a lot about you
- ❖ you may supply name and e-mail to sites

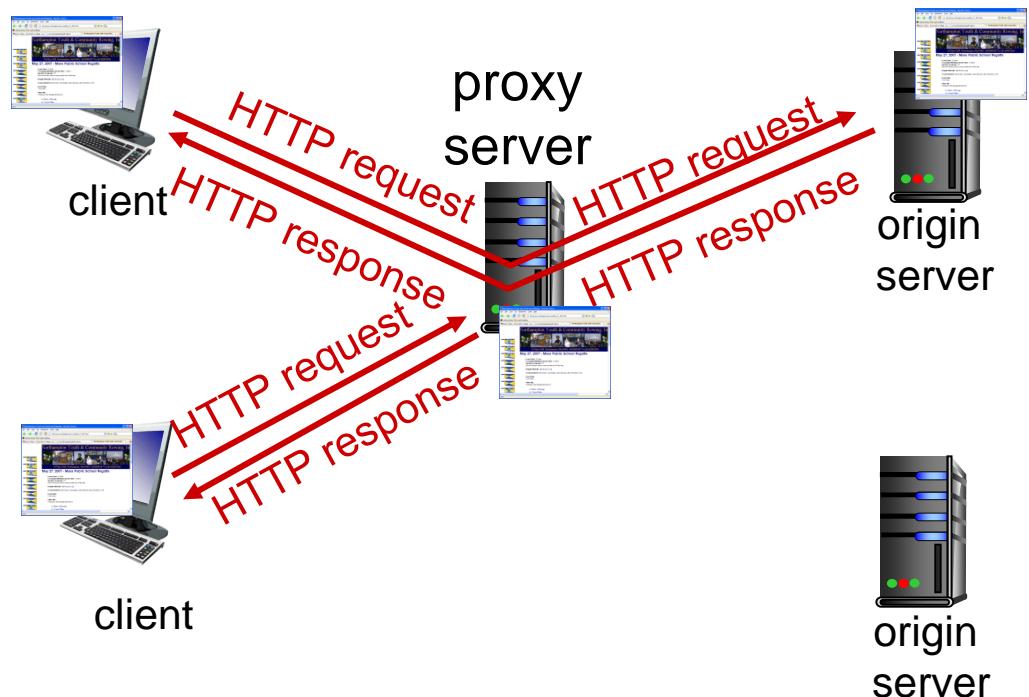
how to keep “state”:

- ❖ protocol endpoints: maintain state at sender/receiver over multiple transactions
- ❖ cookies: http messages carry state

Web caches (proxy server)

goal: satisfy client request without involving origin server

- ❖ user sets browser: Web accesses via cache
- ❖ browser sends all HTTP requests to cache
 - object in cache: cache returns object
 - else cache requests object from origin server, then returns object to client



More about Web caching

- ❖ cache acts as both client and server
 - server for original requesting client
 - client to origin server
- ❖ typically cache is installed by ISP (university, company, residential ISP)

why Web caching?

- ❖ reduce response time for client request
- ❖ reduce traffic on an institution's access link
- ❖ Internet dense with caches: enables “poor” content providers to effectively deliver content (so too does P2P file sharing)

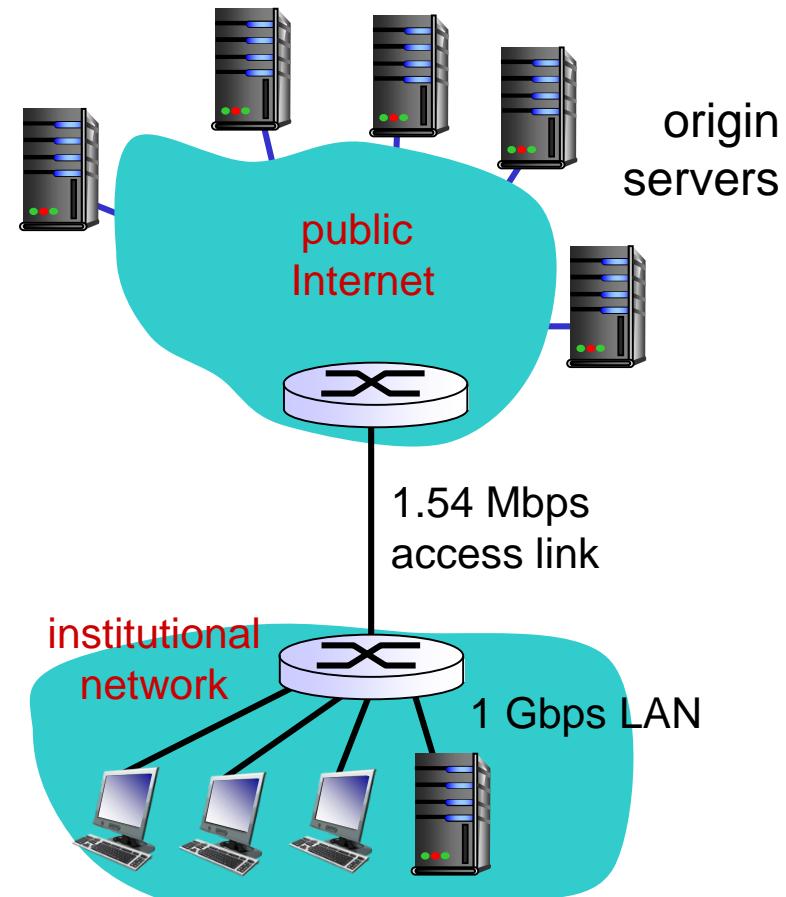
Caching example:

assumptions:

- ❖ avg object size: 100K bits
- ❖ avg request rate from browsers to origin servers: 15/sec
- ❖ avg data rate to browsers: 1.50 Mbps
- ❖ RTT from institutional router to any origin server: 2 sec
- ❖ access link rate: 1.54 Mbps

consequences:

- ❖ LAN utilization: 1 **0.15%**
- ❖ access link utilization = **99%**
- ❖ total delay = Internet delay + access delay + LAN delay
= 2 sec + **minutes** + usecs



Why?

Traffic intensity approaches 1

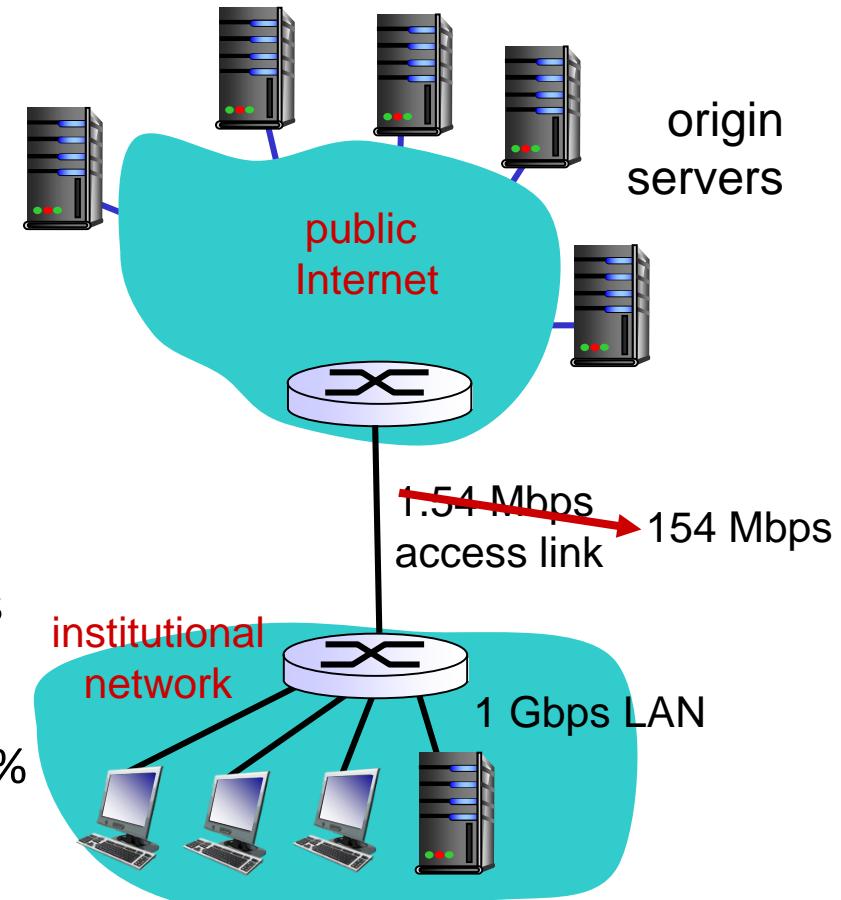
Caching example: fatter access link

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- ❖ avg data rate to browsers: 1.50 Mbps
- ❖ RTT from institutional router to any origin server: 2 sec
- ❖ access link rate: 1.54 Mbps

consequences:

- ❖ LAN utilization: 15%
- ❖ access link utilization = ~~99%~~ 154Mbps
- ❖ total delay = Internet delay + access delay + LAN delay
= 2 sec + minutes + usecs



Cost: increased access link speed (not cheap!) ^{msecs}

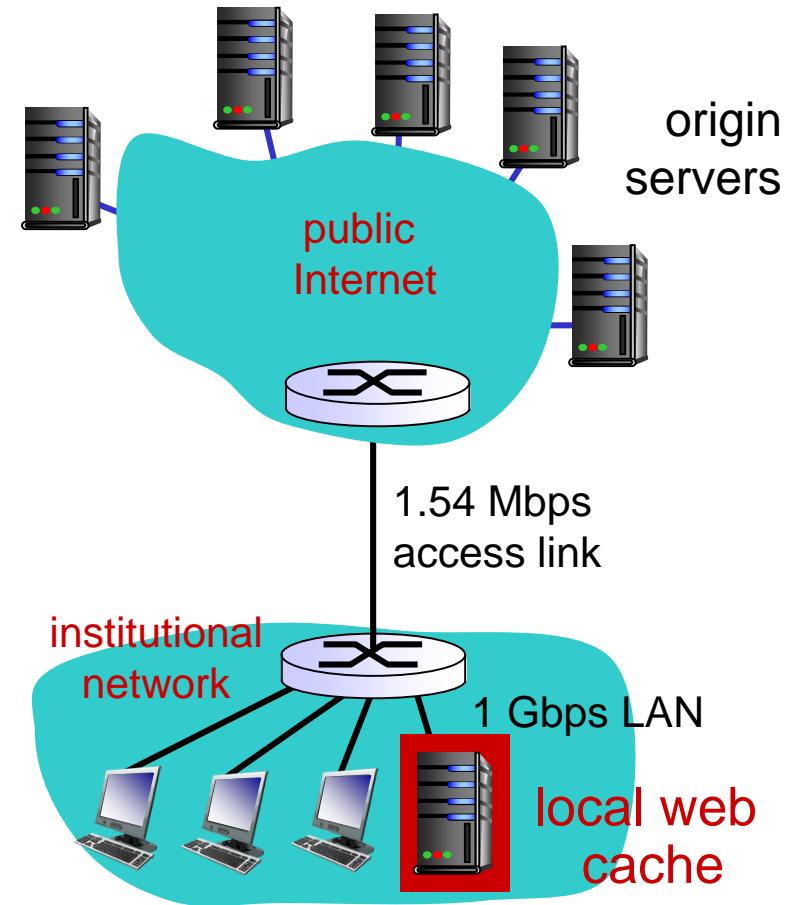
Caching example: install local cache

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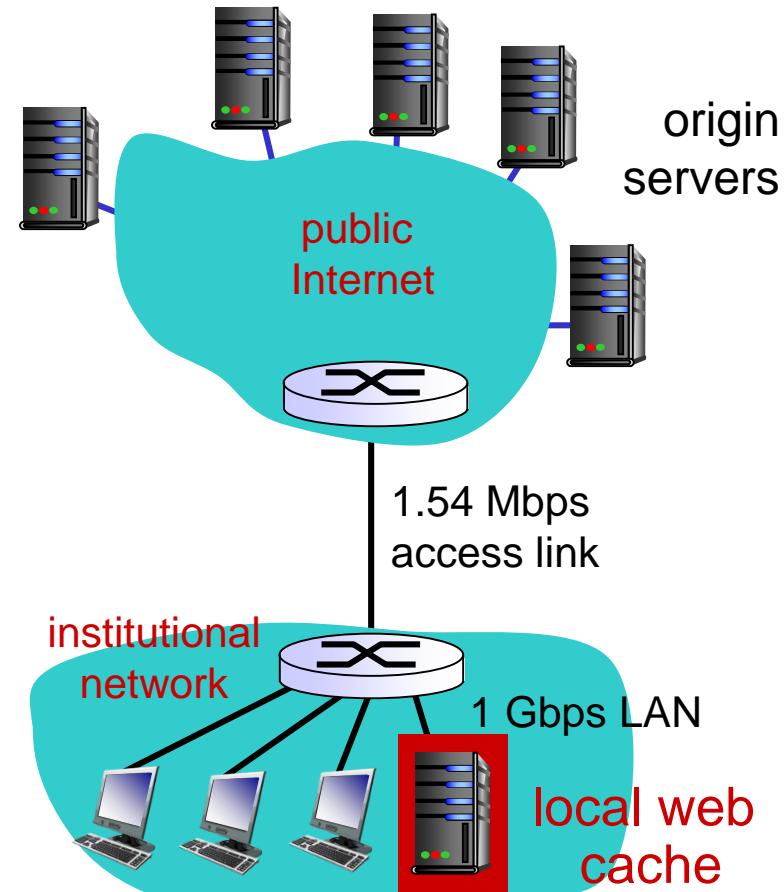
How to compute link utilization, delay?

Cost: web cache (cheap!)

Caching example: install local cache

Calculating access link utilization, delay with cache:

- ❖ suppose cache hit rate is 0.4
 - 40% requests satisfied at cache,
60% requests satisfied at origin
- ❖ access link utilization:
 - 60% of requests use access link
- ❖ data rate to browsers over access link
 $= 0.6 * 1.50 \text{ Mbps} = .9 \text{ Mbps}$
 - utilization = $0.9 / 1.54 = .58$
- ❖ total delay
 - $= 0.6 * (\text{delay from origin servers}) + 0.4 * (\text{delay when satisfied at cache})$
 - $= 0.6 (2.01) + 0.4 (\sim \text{msecs})$
 - $= \sim 1.2 \text{ secs}$
 - less than with 154 Mbps link (and cheaper too!)



Conditional GET

- ❖ **Goal:** don't send object if cache has up-to-date cached version

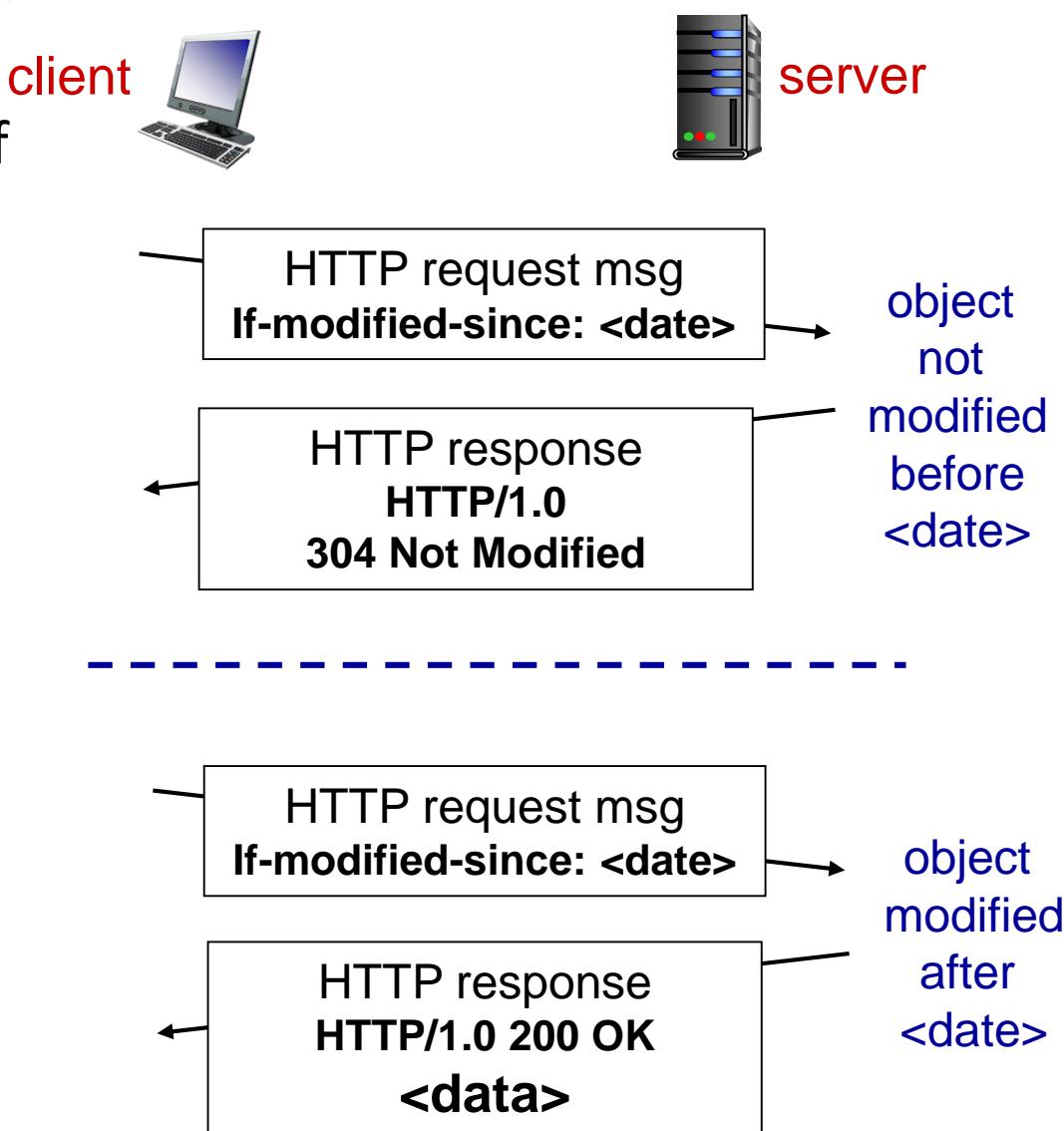
- no object transmission delay
- lower link utilization

- ❖ **cache:** specify date of cached copy in HTTP request

If-modified-since :
<date>

- ❖ **server:** response contains no object if cached copy is up-to-date:

HTTP/1.0 304 Not Modified



Web server statistics by Netcraft

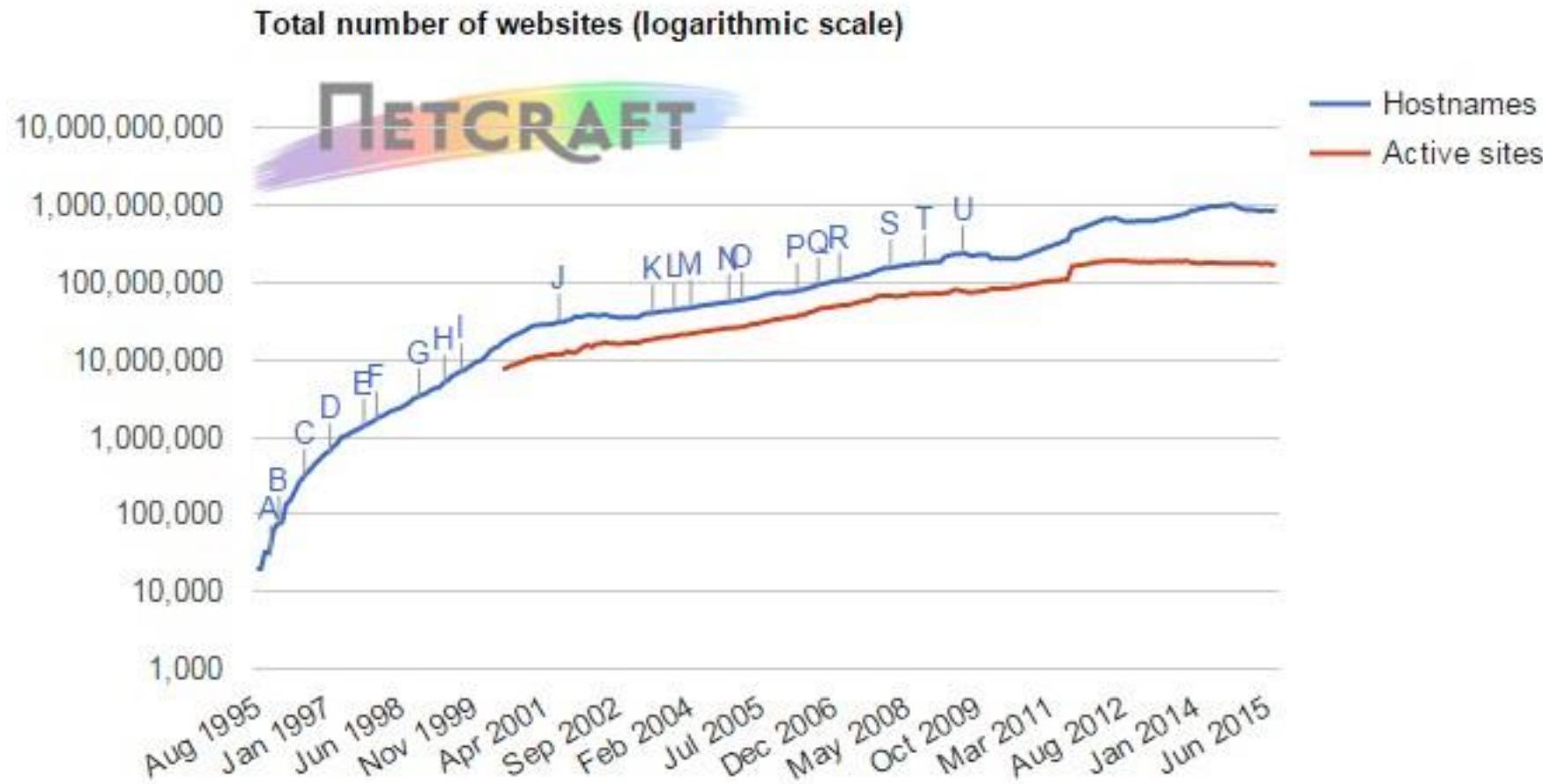
June 2015 Web Server Survey

In the **June 2015** survey we received responses from **863,105,652** sites and **5,346,650** web-facing computers, representing an increase of 5.2 million websites and 65,000 additional computers.

August 2015 Web Server Survey

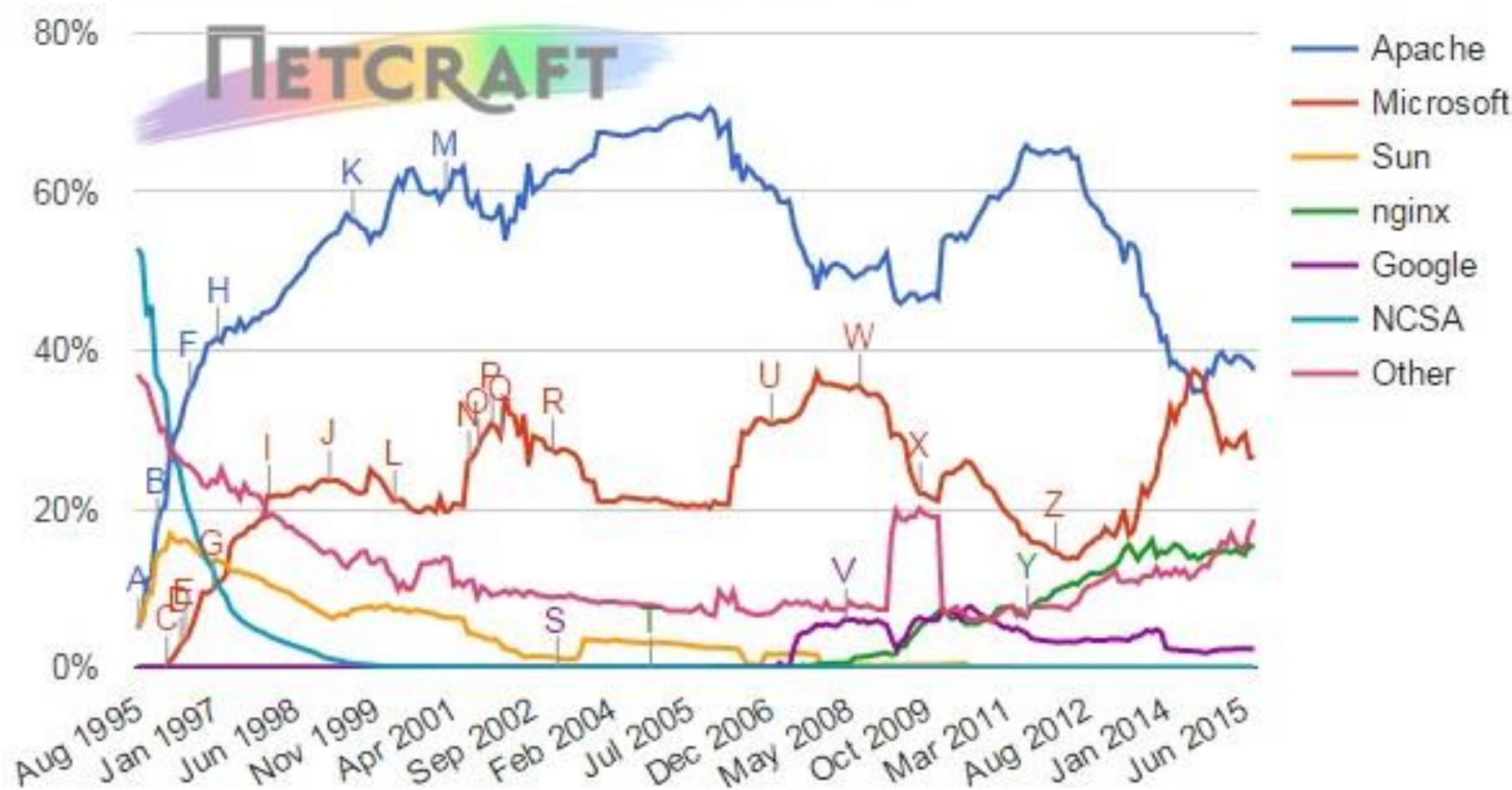
In the **August 2015** survey we received responses from **874,408,576** sites and **5,391,301** web-facing computers, representing a net gain of 25 million sites and 40,978 web-facing computers since last month.

Web server statistics by Netcraft



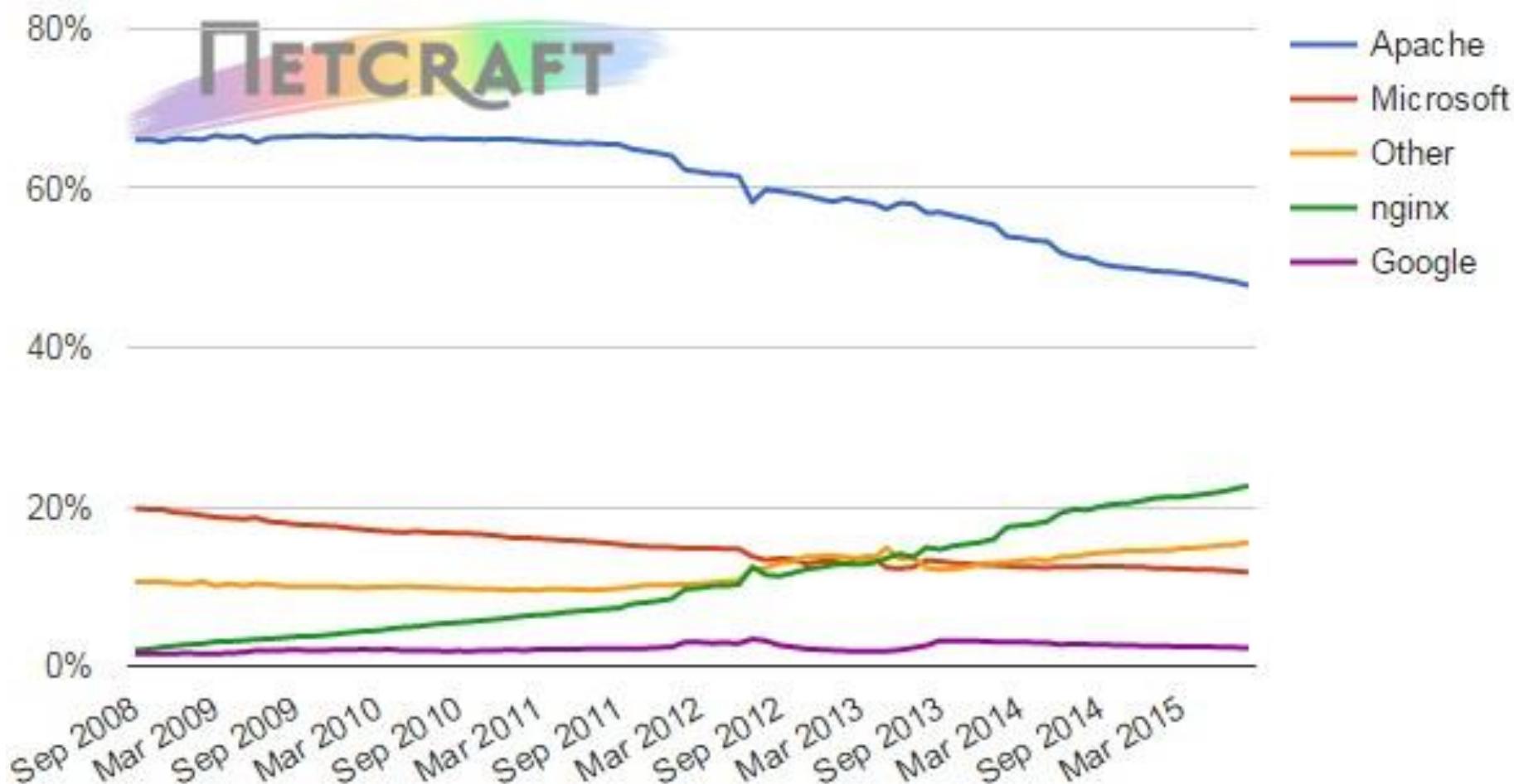
Web server statistics by Netcraft

Web server developers: Market share of all sites

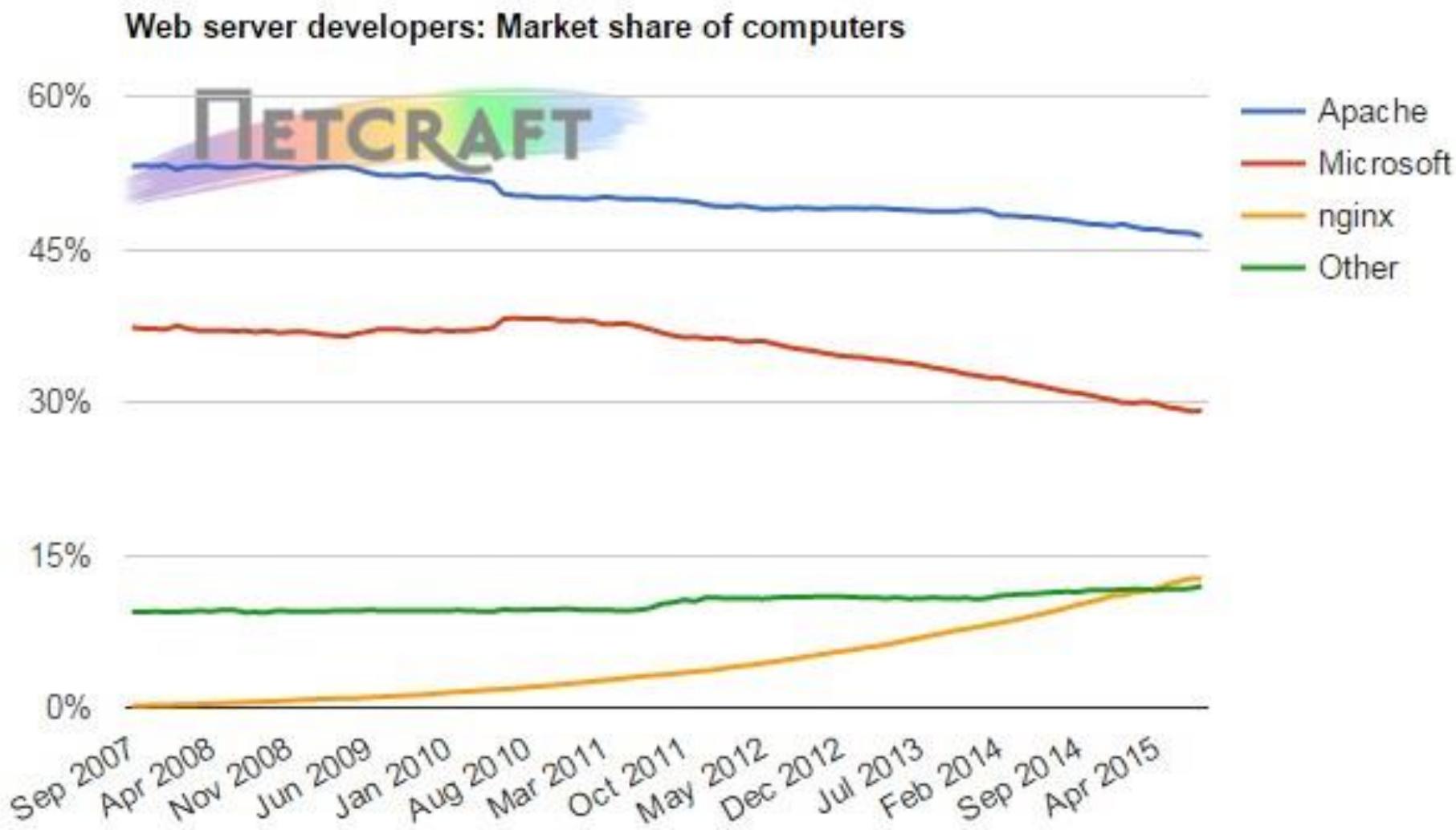


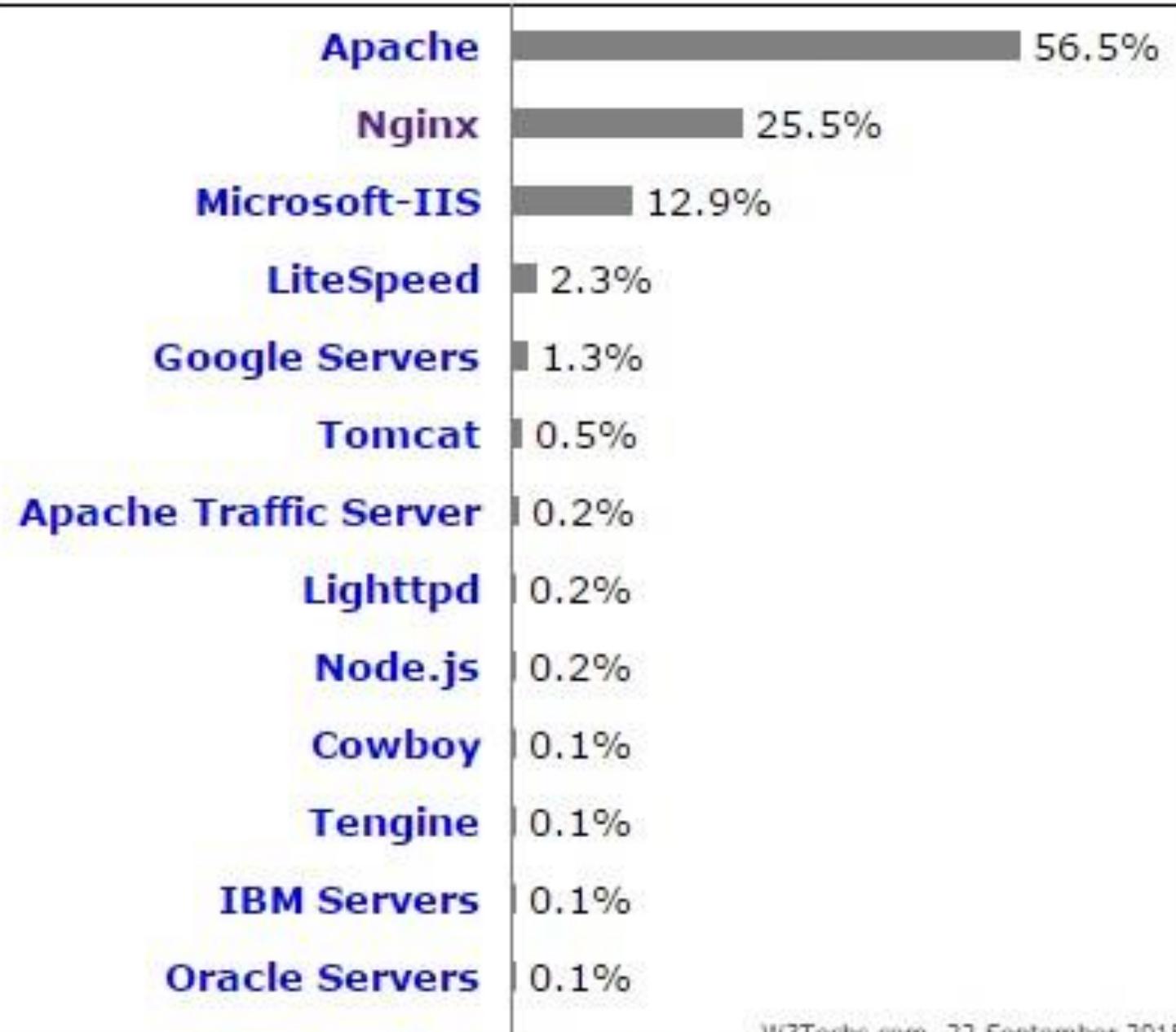
Web server statistics by Netcraft

Web server developers: Market share of the top million busiest sites



Web server statistics by Netcraft





W3Techs.com, 22 September 2015

Percentages of websites using various web servers

er 2-52

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- app architectures
- app requirements

2.2 Web and HTTP

2.x FTP

2.4 electronic mail

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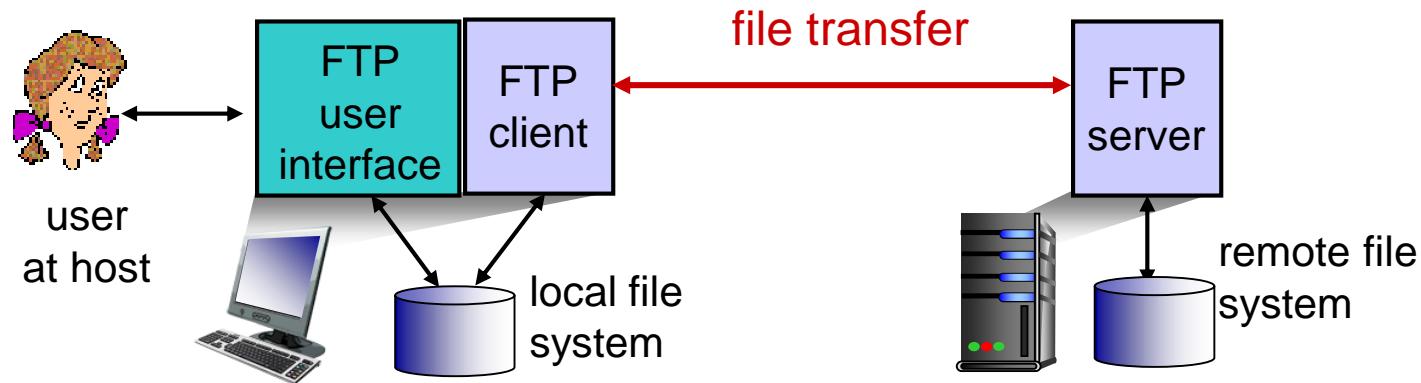
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2.6 video streaming and content distribution networks

2.7 socket programming with UDP and TCP

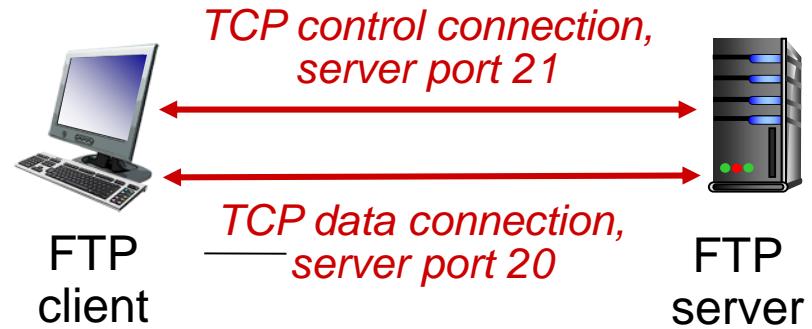
FTP: the file transfer protocol



- ❖ transfer file to/from remote host
- ❖ client/server model
 - *client*: side that initiates transfer (either to/from remote)
 - *server*: remote host
- ❖ ftp: RFC 959
- ❖ ftp server: port 21

FTP: separate control, data connections

- ❖ FTP client contacts FTP server at port 21, using TCP
- ❖ client authorized over control connection
- ❖ client browses remote directory, sends commands over control connection
- ❖ when server receives file transfer command, *server* opens 2nd TCP data connection (for file) *to client*
- ❖ after transferring one file, server closes data connection



- ❖ server opens another TCP data connection to transfer another file
- ❖ control connection: "*out of band*"
- ❖ FTP server maintains "state": current directory, earlier authentication

FTP commands, responses

sample commands:

- ❖ sent as ASCII text over control channel
- ❖ **USER *username***
- ❖ **PASS *password***
- ❖ **LIST** return list of file in current directory
- ❖ **RETR *filename*** retrieves (gets) file
- ❖ **STOR *filename*** stores (puts) file onto remote host

sample return codes

- ❖ status code and phrase (as in HTTP)
- ❖ **331 Username OK, password required**
- ❖ **125 data connection already open; transfer starting**
- ❖ **425 Can't open data connection**
- ❖ **452 Error writing file**

Chapter 2: outline

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2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

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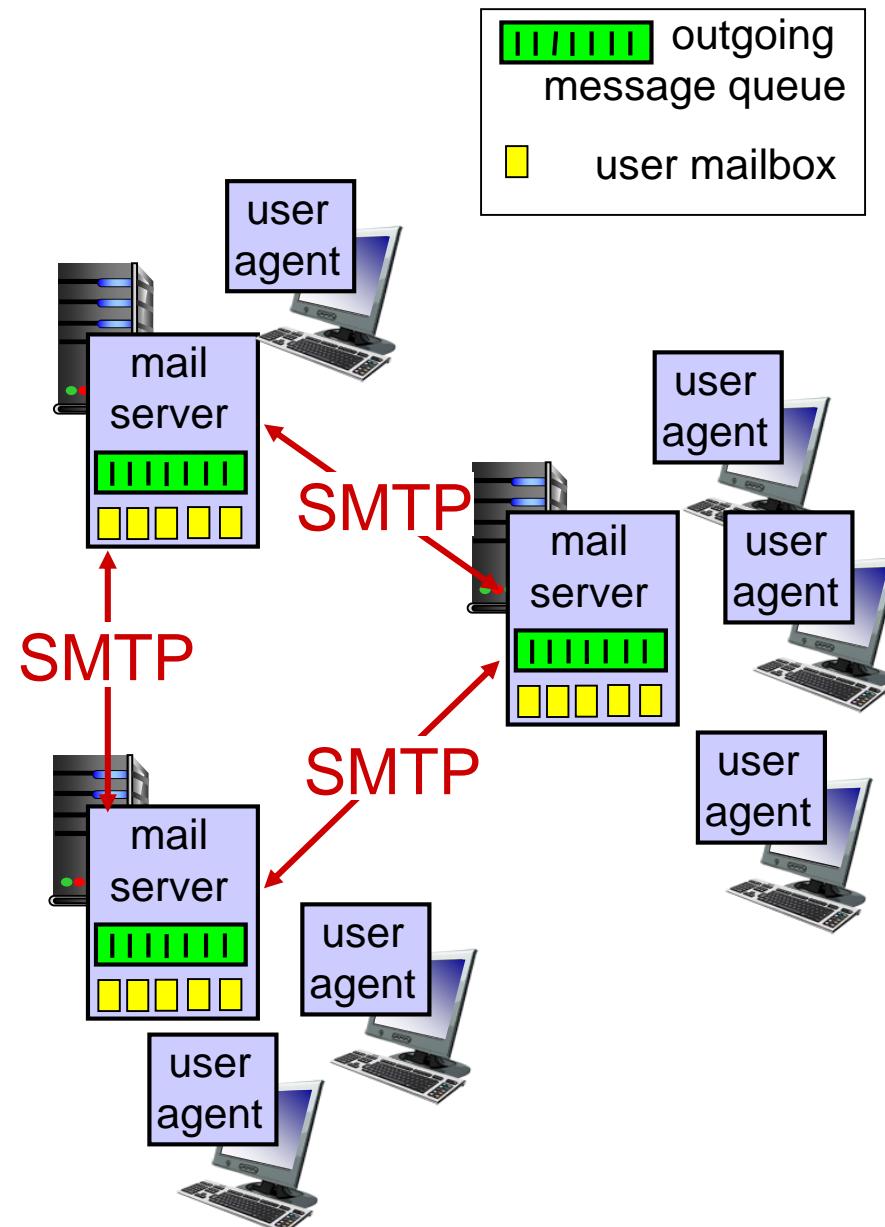
Electronic mail

Three major components:

- ❖ user agents
- ❖ mail servers
- ❖ simple mail transfer protocol:
SMTP

User Agent

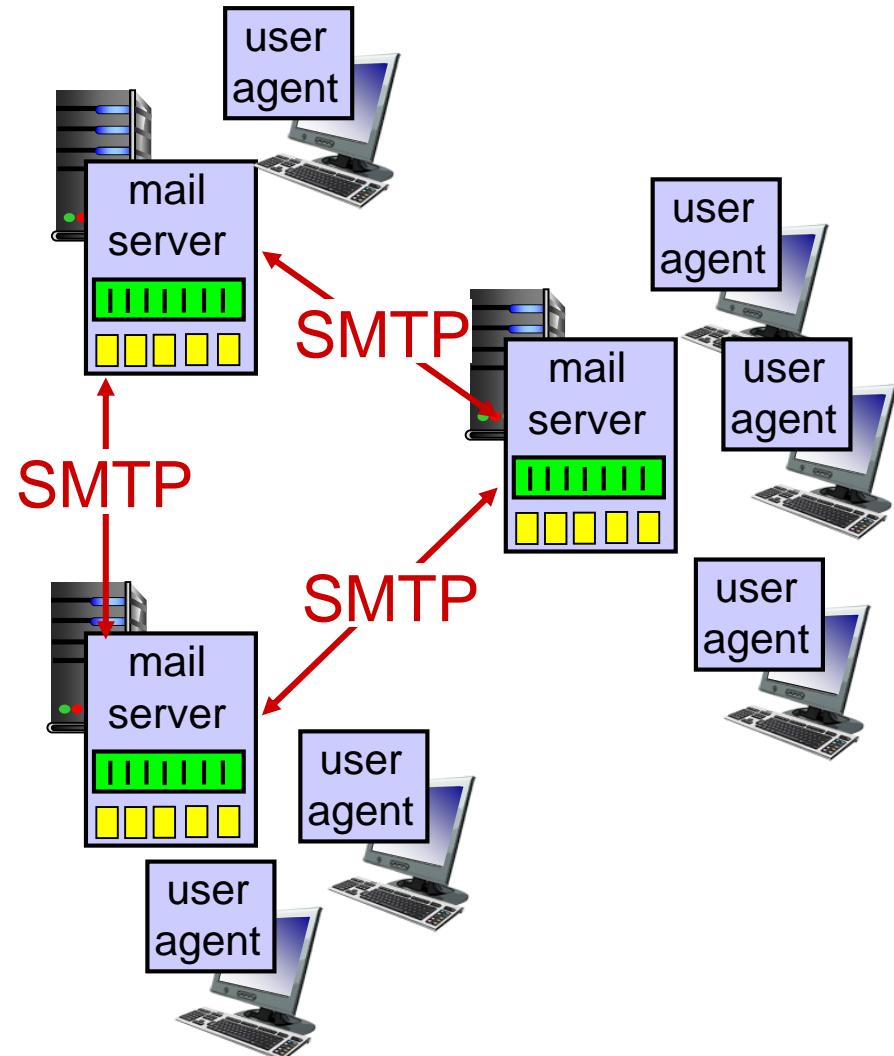
- ❖ a.k.a. “mail reader”
- ❖ composing, editing, reading
mail messages
- ❖ e.g., Outlook, Thunderbird,
iPhone mail client
- ❖ outgoing, incoming messages
stored on server



Electronic mail: mail servers

mail servers:

- ❖ *mailbox* contains incoming messages for user
- ❖ *message queue* of outgoing (to be sent) mail messages
- ❖ *SMTP protocol* between mail servers to send email messages
 - client: sending mail server
 - “server”: receiving mail server

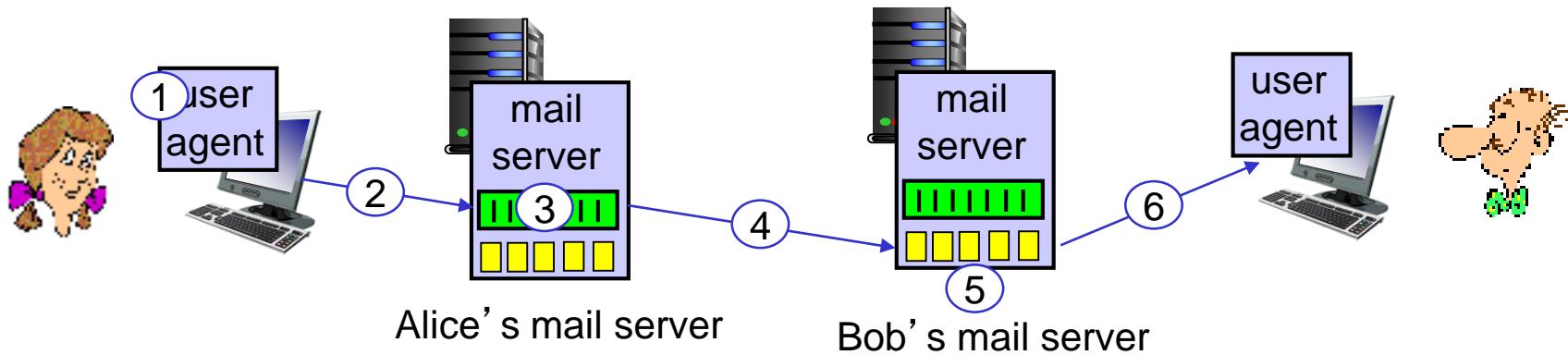


Electronic Mail: SMTP [RFC 2821]

- ❖ uses TCP to reliably transfer email message from client to server, port 25
- ❖ direct transfer: sending server to receiving server
- ❖ three phases of transfer
 - handshaking (greeting)
 - transfer of messages
 - closure
- ❖ command/response interaction (like HTTP, FTP)
 - commands: ASCII text
 - response: status code and phrase
- ❖ messages must be in 7-bit ASCII

Scenario: Alice sends message to Bob

- 1) Alice uses UA to compose message “to” bob@someschool.edu
- 2) Alice’s UA sends message to her mail server; message placed in message queue
- 3) client side of SMTP opens TCP connection with Bob’s mail server
- 4) SMTP client sends Alice’s message over the TCP connection
- 5) Bob’s mail server places the message in Bob’s mailbox
- 6) Bob invokes his user agent to read message



Sample SMTP interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

Try SMTP interaction for yourself:

- ❖ **telnet servername 25**
- ❖ see 220 reply from server
- ❖ enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands

above lets you send email without using email client (reader)

SMTP: final words

- ❖ SMTP uses persistent connections
- ❖ SMTP requires message (header & body) to be in 7-bit ASCII
- ❖ SMTP server uses CRLF.CRLF to determine end of message

comparison with HTTP:

- ❖ HTTP: pull
- ❖ SMTP: push
- ❖ both have ASCII command/response interaction, status codes
- ❖ HTTP: each object encapsulated in its own response msg
- ❖ SMTP: multiple objects sent in multipart msg

Mail message format

SMTP: protocol for
exchanging email msgs

RFC 822: standard for text
message format:

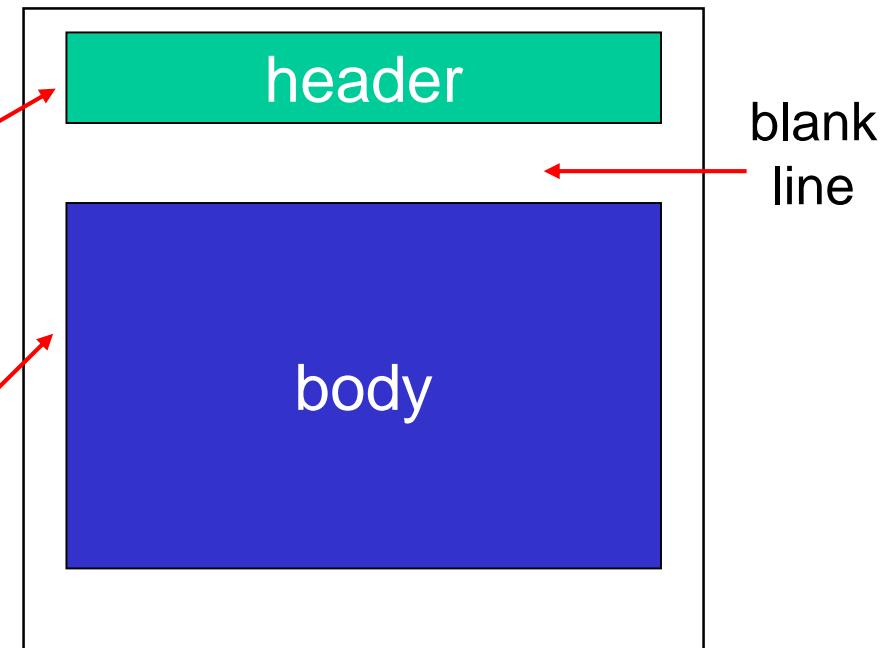
❖ header lines, e.g.,

- To:
- From:
- Subject:

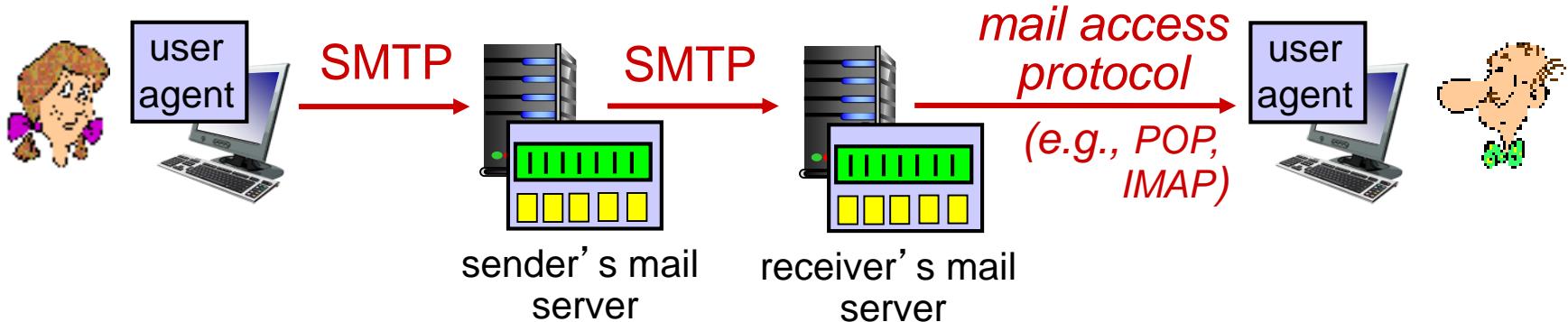
*different from SMTP MAIL
FROM, RCPT TO:
commands!*

❖ Body: the “message”

- ASCII characters only



Mail access protocols



- ❖ **SMTP:** delivery/storage to receiver's server
- ❖ mail access protocol: retrieval from server
 - **POP:** Post Office Protocol [RFC 1939]: authorization, download
 - **IMAP:** Internet Mail Access Protocol [RFC 1730]: more features, including manipulation of stored msgs on server
 - **HTTP:** gmail, Hotmail, Yahoo! Mail, etc.

POP3 protocol

authorization phase

- ❖ client commands:
 - **user**: declare username
 - **pass**: password
- ❖ server responses
 - +OK
 - -ERR

transaction phase, client:

- ❖ **list**: list message numbers
- ❖ **retr**: retrieve message by number
- ❖ **dele**: delete
- ❖ **quit**

```
S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on

C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 1 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

POP3 (more) and IMAP

more about POP3

- ❖ previous example uses POP3 “download and delete” mode
 - Bob cannot re-read e-mail if he changes client
- ❖ POP3 “download-and-keep”: copies of messages on different clients
- ❖ POP3 is stateless across sessions

IMAP

- ❖ keeps all messages in one place: at server
- ❖ allows user to organize messages in folders
- ❖ keeps user state across sessions:
 - names of folders and mappings between message IDs and folder name

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DNS: domain name system

people: many identifiers:

- SSN, name, passport #

Internet hosts, routers:

- IP address (32 bit) - used for addressing datagrams
- “name”, e.g., www.yahoo.com - used by humans

Q: how to map between IP address and name, and vice versa ?

Domain Name System:

- ❖ *distributed database* implemented in hierarchy of many *name servers*
- ❖ *application-layer protocol:* hosts, name servers communicate to *resolve* names (address/name translation)
 - note: core Internet function, implemented as application-layer protocol
 - complexity at network’s “edge”

DNS: services, structure

DNS services

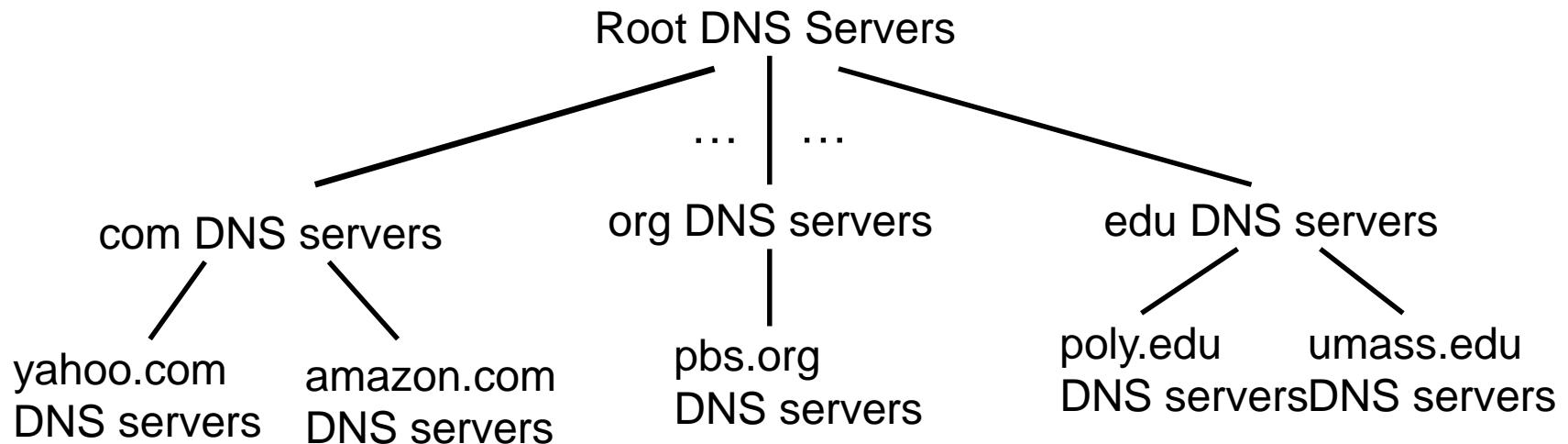
- ❖ hostname to IP address translation
- ❖ host aliasing
 - canonical, alias names
- ❖ mail server aliasing
- ❖ load distribution
 - replicated Web servers: many IP addresses correspond to one name

why not centralize DNS?

- ❖ single point of failure
- ❖ traffic volume
- ❖ distant centralized database
- ❖ maintenance

A: *doesn't scale!*

DNS: a distributed, hierarchical database

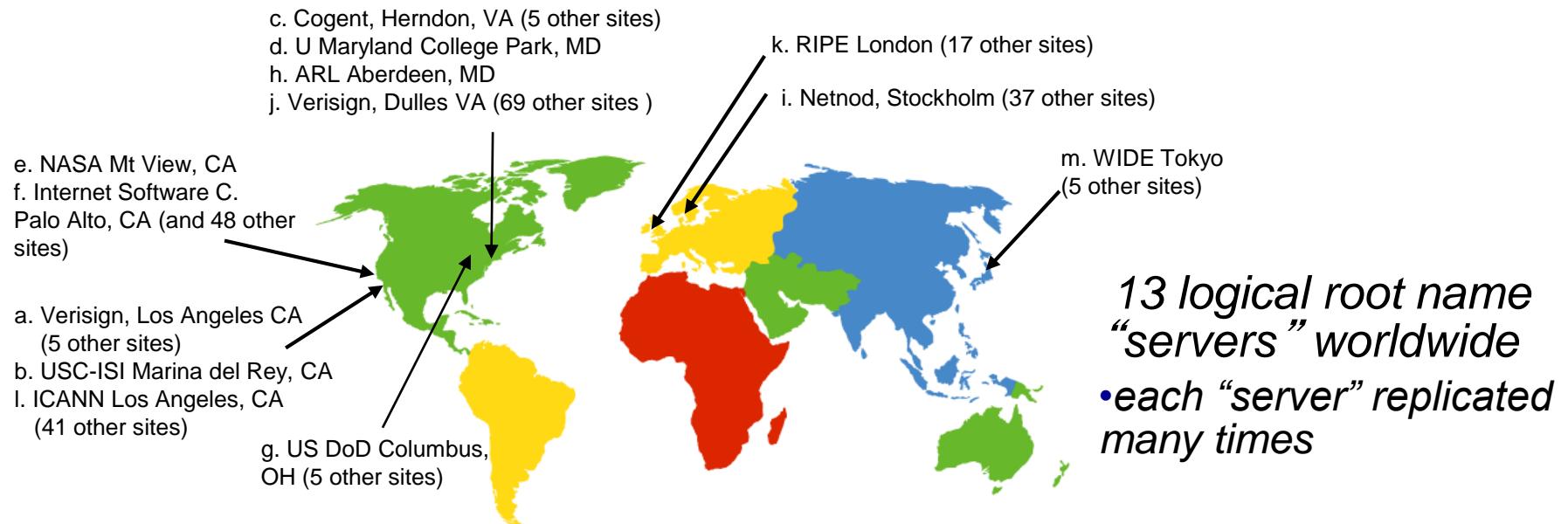


client wants IP for www.amazon.com; 1st approx:

- ❖ client queries root server to find com DNS server
- ❖ client queries .com DNS server to get amazon.com DNS server
- ❖ client queries amazon.com DNS server to get IP address for www.amazon.com

DNS: root name servers

- ❖ contacted by local name server that can not resolve name
- ❖ root name server:
 - contacts authoritative name server if name mapping not known
 - gets mapping
 - returns mapping to local name server



IANA — Root Servers X https://www.iana.org/domains/root/servers

Root name servers today

iana
Internet Assigned Numbers Authority

DOMAINS NUMBERS PROTOCOLS ABOUT IANA

Domain Names

Overview

Root Zone Management

Overview

Root Database

Hint and Zone Files

Change Requests

Instructions & Guides

Root Servers

.INT Registry

.ARPA Registry

IDN Practices Repository

Root Key Signing Key (DNSSEC)

Reserved Domains

Root Servers

The authoritative name servers that serve the DNS root zone, commonly known as the "root servers", are a network of hundreds of servers in many countries around the world. They are configured in the DNS root zone as 13 named authorities, as follows.

List of Root Servers

Hostname	IP Addresses	Manager
a.root-servers.net	198.41.0.4, 2001:503:ba3e::2:30	VeriSign, Inc.
b.root-servers.net	192.228.79.201, 2001:500:84::b	University of Southern California (ISI)
c.root-servers.net	192.33.4.12, 2001:500:2::c	Cogent Communications
d.root-servers.net	199.7.91.13, 2001:500:2d::d	University of Maryland
e.root-servers.net	192.203.230.10, 2001:500:a8::e	NASA (Ames Research Center)
f.root-servers.net	192.5.5.241, 2001:500:2f::f	Internet Systems Consortium, Inc.
g.root-servers.net	192.112.36.4	US Department of Defense (NIC)
h.root-servers.net	198.97.190.53, 2001:500:1::53	US Army (Research Lab)
i.root-servers.net	192.36.148.17, 2001:7fe::53	Netnod
j.root-servers.net	192.58.128.30, 2001:503:c27::2:30	VeriSign, Inc.
k.root-servers.net	193.0.14.129, 2001:7fd::1	RIPE NCC
l.root-servers.net	199.7.83.42, 2001:500:9f::42	ICANN
m.root-servers.net	202.12.27.33, 2001:dc3::35	WIDE Project

TLD, authoritative servers

top-level domain (TLD) servers:

- responsible for com, org, net, edu, aero, jobs, museums, and all top-level country domains, e.g.: uk, fr, ca, jp
- Network Solutions maintains servers for .com TLD
- Educause for .edu TLD

authoritative DNS servers:

- organization's own DNS server(s), providing authoritative hostname to IP mappings for organization's named hosts
- can be maintained by organization or service provider

Local DNS name server

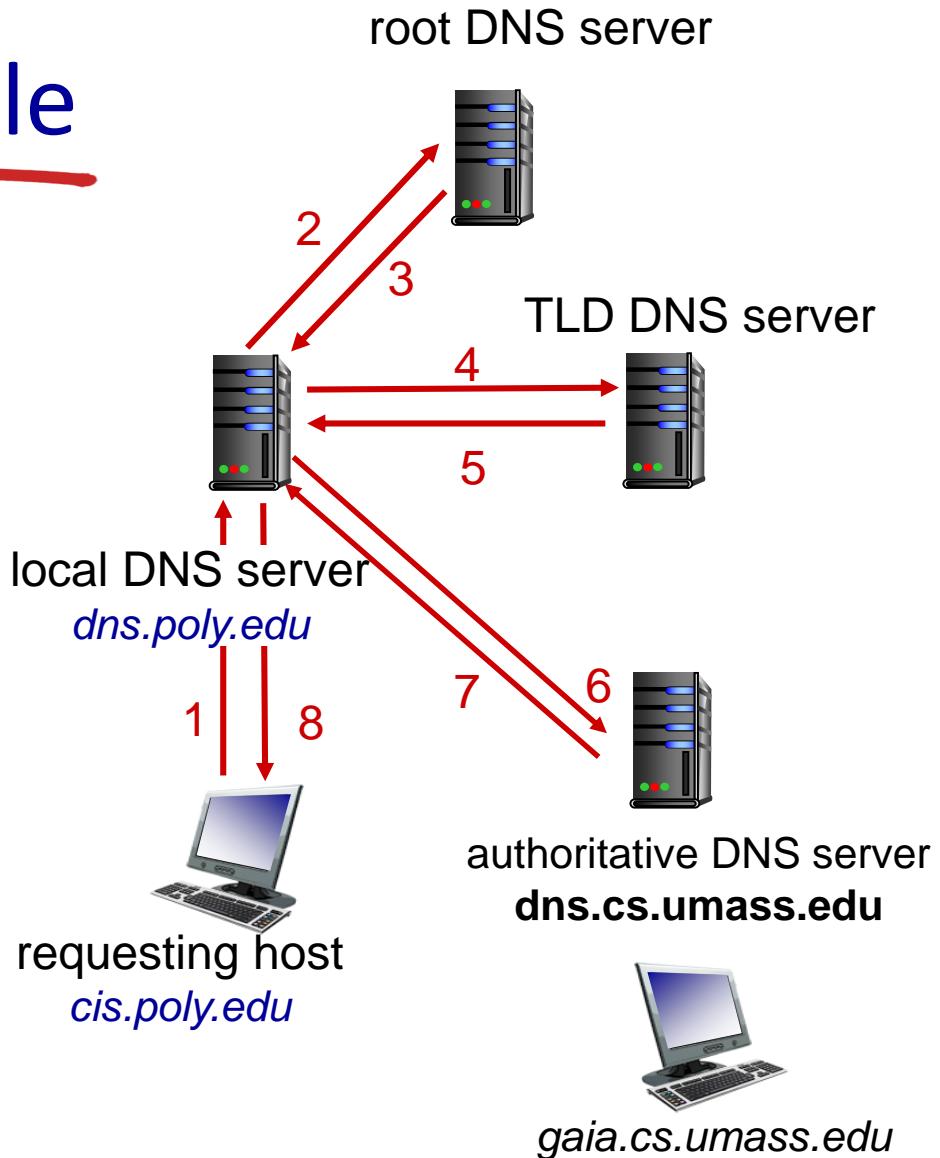
- ❖ does not strictly belong to hierarchy
- ❖ each ISP (residential ISP, company, university) has one
 - also called “default name server”
- ❖ when host makes DNS query, query is sent to its local DNS server
 - has local cache of recent name-to-address translation pairs (but may be out of date!)
 - acts as proxy, forwards query into hierarchy

DNS name resolution example

- ❖ host at cis.poly.edu wants IP address for gaia.cs.umass.edu

iterated query:

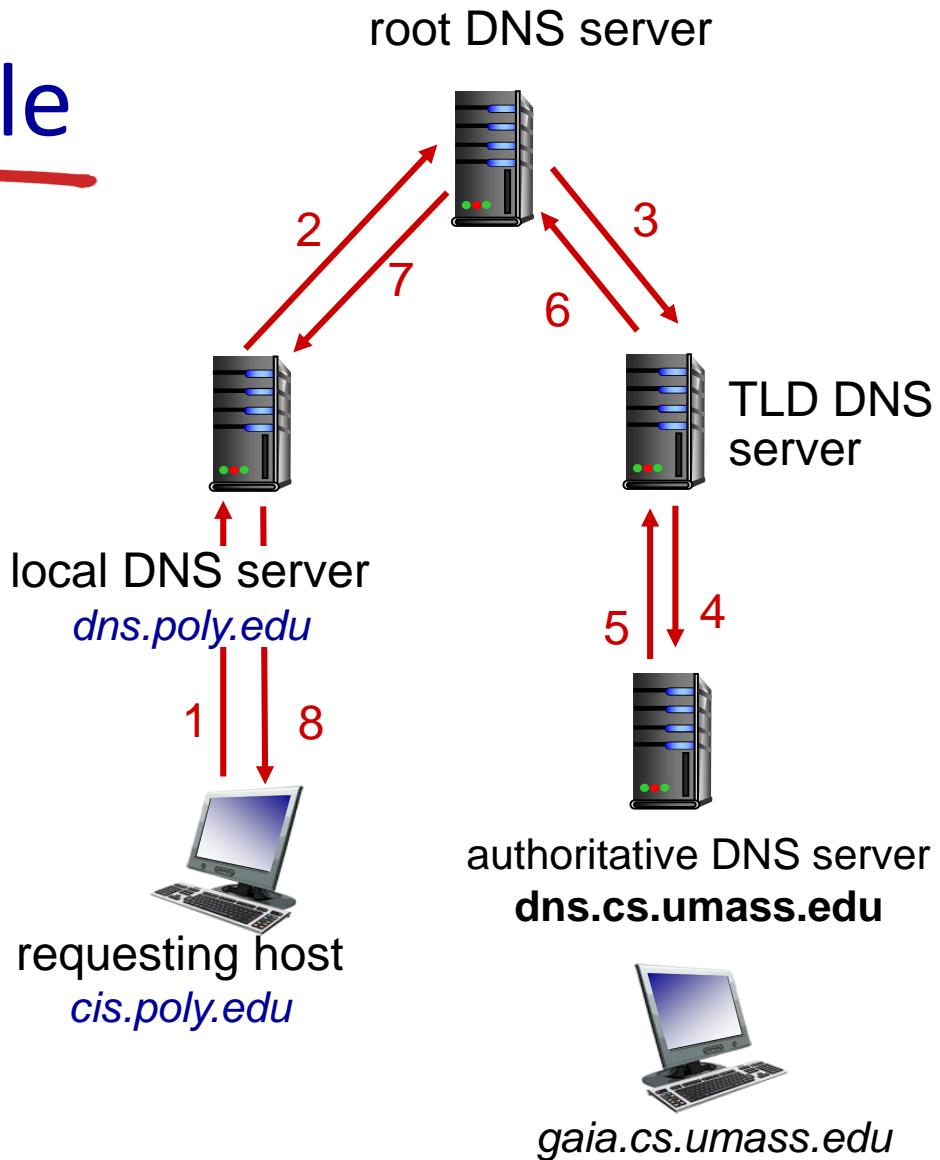
- ❖ contacted server replies with name of server to contact
- ❖ “I don’t know this name, but ask this server”



DNS name resolution example

recursive query:

- ❖ puts burden of name resolution on contacted name server
- ❖ heavy load at upper levels of hierarchy?



DNS: caching, updating records

- ❖ once (any) name server learns mapping, it *caches* mapping
 - cache entries timeout (disappear) after some time (TTL)
 - TLD servers typically cached in local name servers
 - thus root name servers not often visited
- ❖ cached entries may be *out-of-date* (best effort name-to-address translation!)
 - if name host changes IP address, may not be known Internet-wide until all TTLs expire
- ❖ update/notify mechanisms proposed IETF standard
 - RFC 2136

DNS records

DNS: distributed db storing resource records (**RR**)

RR format: `(name, value, type, ttl)`

type=A

- **name** is hostname
- **value** is IP address

type=NS

- **name** is domain (e.g., foo.com)
- **value** is hostname of authoritative name server for this domain

type=CNAME

- **name** is alias name for some “canonical” (the real) name
- `www.ibm.com` is really `servereast.backup2.ibm.com`
- **value** is canonical name

type=MX

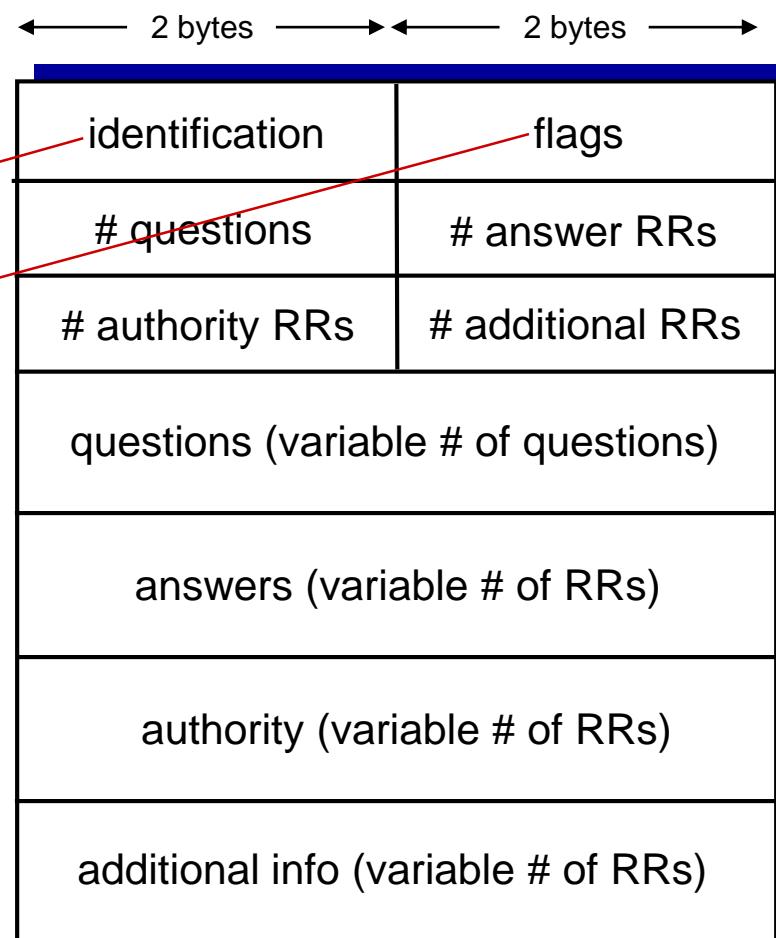
- **value** is name of mailserver associated with **name**

DNS protocol, messages

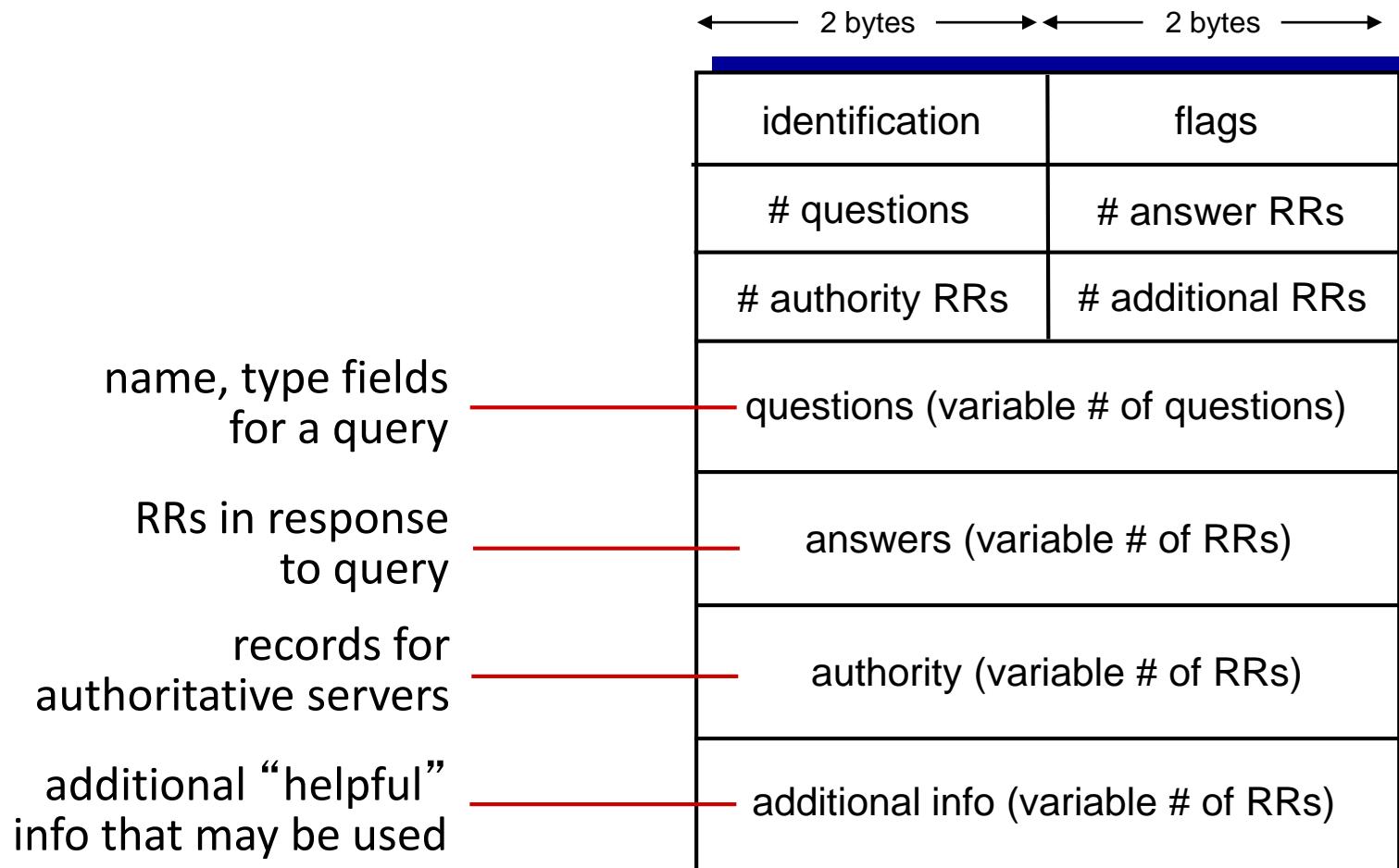
- ❖ *query* and *reply* messages, both with same message format

msg header

- ❖ identification: 16 bit # for query, reply to query uses same #
- ❖ flags:



DNS protocol, messages



Inserting records into DNS

- ❖ example: new startup “Network Utopia”
- ❖ register name networkuptopia.com at *DNS registrar* (e.g., Network Solutions)
 - provide names, IP addresses of authoritative name server (primary and secondary)
 - registrar inserts two RRs into .com TLD server:
(`networkutopia.com`, `dns1.networkutopia.com`, NS)
(`dns1.networkutopia.com`, `212.212.212.1`, A)
- ❖ create authoritative server type A record for `www.networkuptopia.com`; type MX record for `networkutopia.com`

Attacking DNS

Happened 10/21/2002

DDoS attacks

- ❖ Bombard root servers with traffic
 - Not successful to date
 - Traffic Filtering
 - Local DNS servers cache IPs of TLD servers, allowing root server bypass
- ❖ Bombard TLD servers
 - Potentially more dangerous

Redirect attacks

- ❖ Man-in-middle
 - Intercept queries
- ❖ DNS poisoning
 - Send bogus replies to DNS server, which caches

Exploit DNS for DDoS

- ❖ Send queries with spoofed source address: target IP
- ❖ Requires amplification

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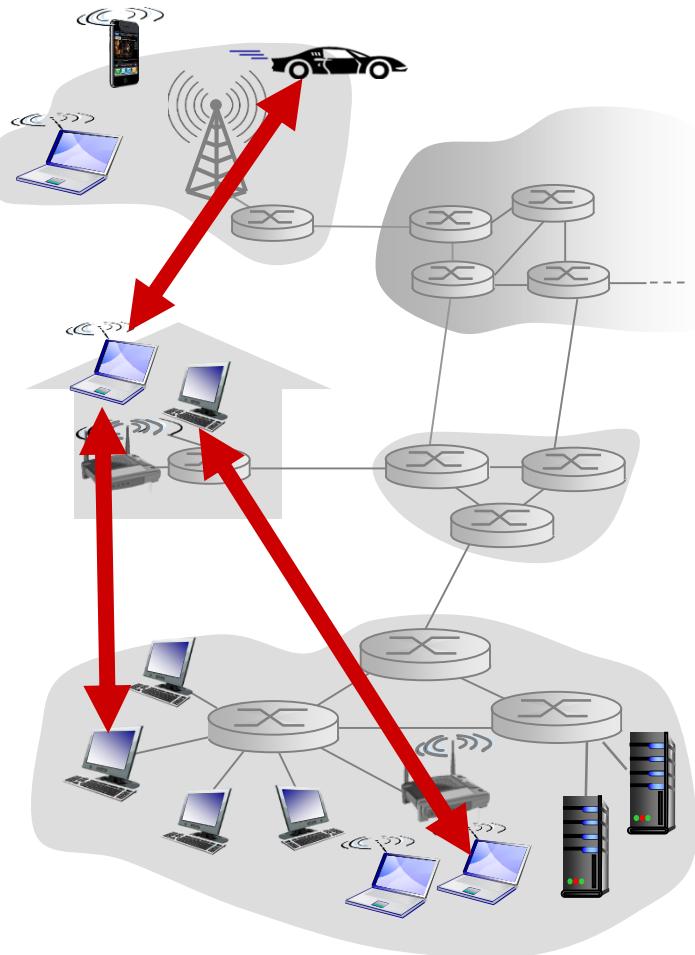
2.7 socket programming
with UDP and TCP

Pure P2P architecture

- ❖ no always-on server
- ❖ arbitrary end systems directly communicate
- ❖ peers are intermittently connected and change IP addresses

examples:

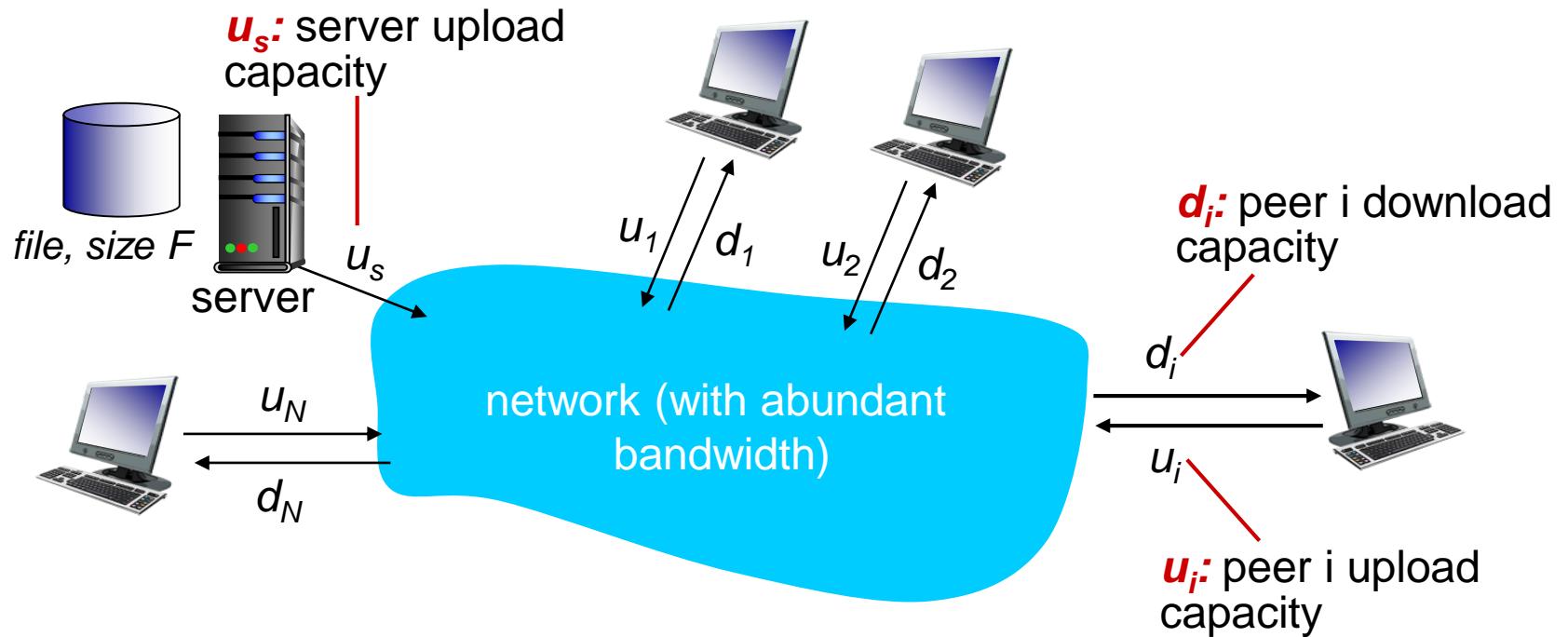
- file distribution (BitTorrent)
- Streaming (KanKan)
- VoIP (Skype)



File distribution: client-server vs P2P

Question: how much time to distribute file (size F) from one server to N peers?

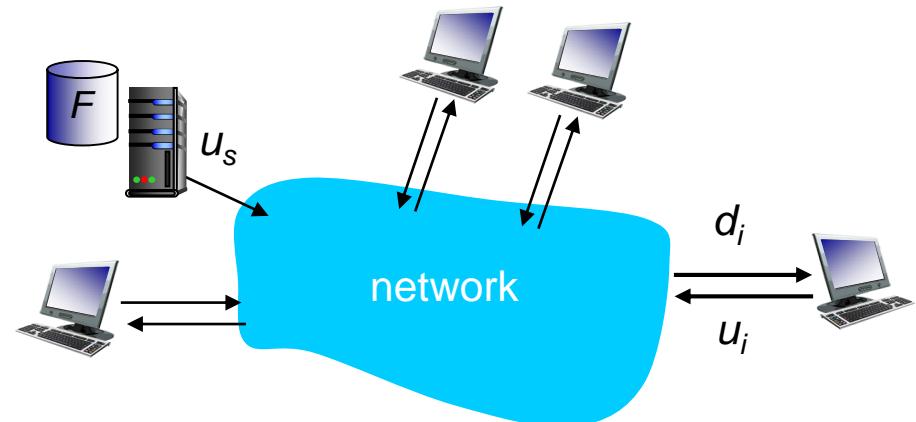
- peer upload/download capacity is limited resource



File distribution time: client-server

- ❖ *server transmission:* must sequentially send (upload) N file copies:

- time to send one copy: F/u_s
- time to send N copies: NF/u_s



- ❖ *client:* each client must download file copy
 - d_{\min} = min client download rate
 - min client download time: F/d_{\min}

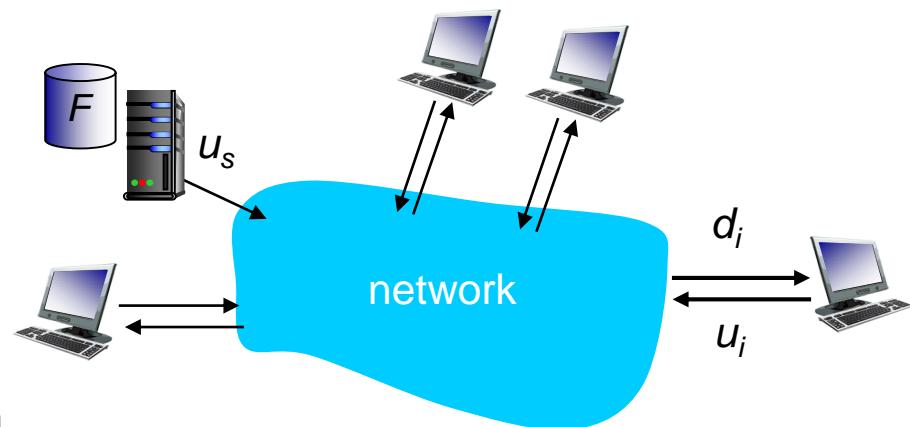
*time to distribute F
to N clients using
client-server approach*

$$D_{c-s} \geq \max\{NF/u_s, F/d_{\min}\}$$

~~increases linearly in N~~

File distribution time: P2P

- ❖ *server transmission:* must upload at least one copy
 - time to send one copy: F/u_s
- ❖ *client:* each client must download file copy
 - min client download time: F/d_{\min}
- ❖ *clients:* as aggregate must download NF bits
 - max upload rate (limiting max download rate) is $u_s + \sum u_i$



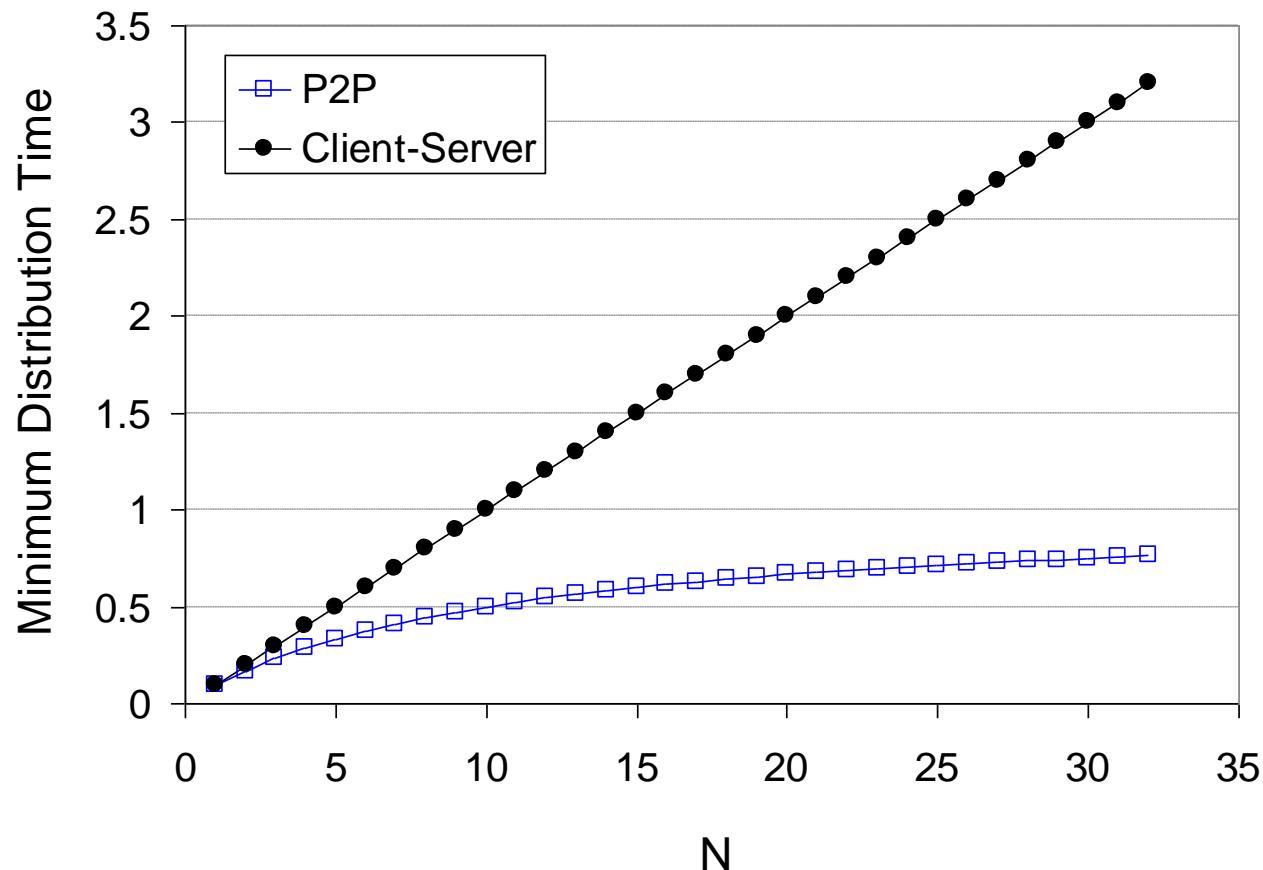
time to distribute F
to N clients using
P2P approach

$$D_{P2P} \geq \max\{F/u_s, F/d_{\min}, NF/(u_s + \sum u_i)\}$$

increases linearly in N ...
... but so does this, as each peer brings service capacity

Client-server vs. P2P: example

client upload rate = u , $F/u = 1$ hour, $u_s = 10u$, $d_{min} \geq u_s$

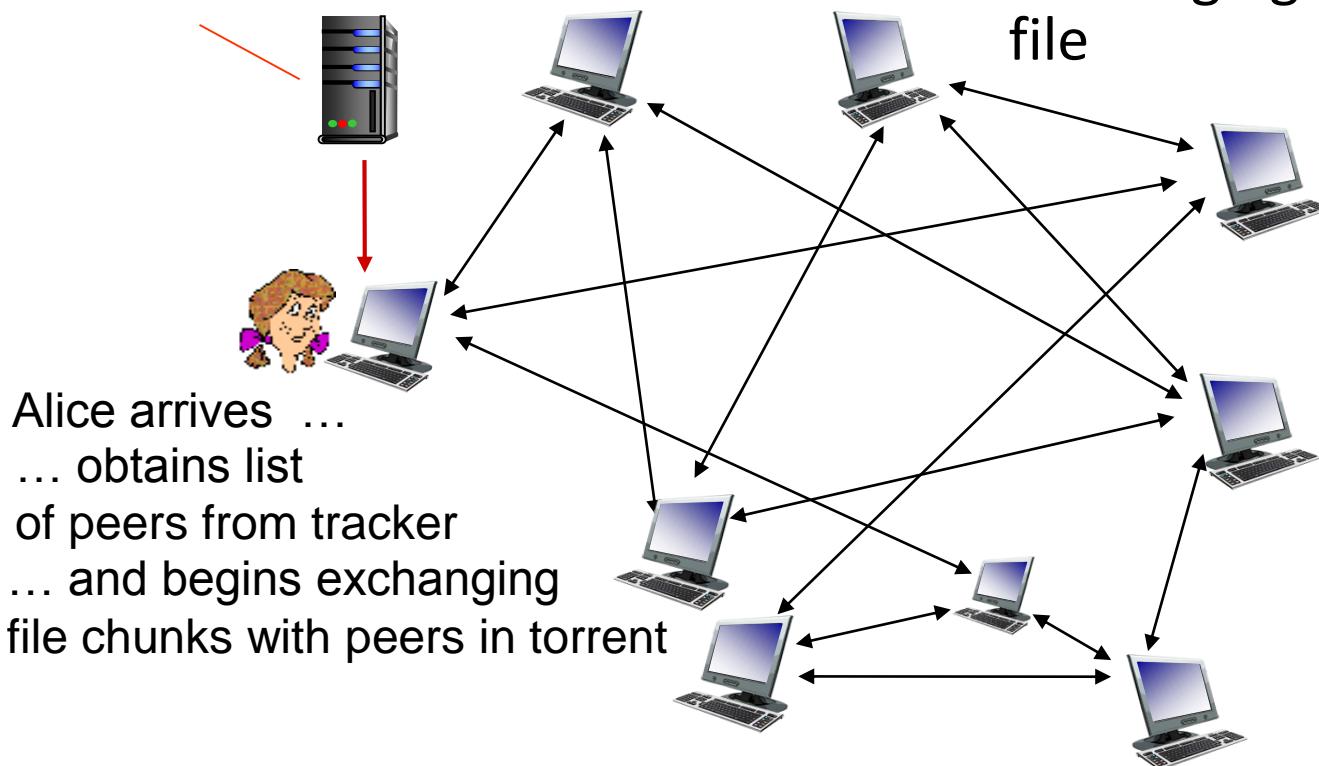


P2P file distribution: BitTorrent

- ❖ file divided into 256Kb chunks
- ❖ peers in torrent send/receive file chunks

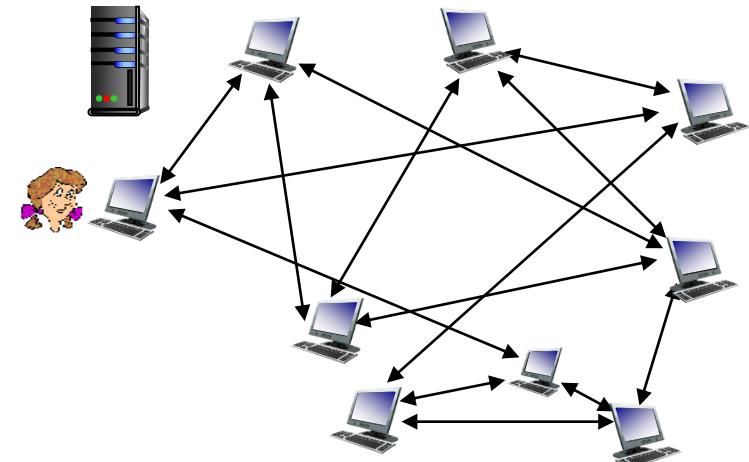
tracker: tracks peers
participating in torrent

torrent: group of peers
exchanging chunks of a
file



P2P file distribution: BitTorrent

- ❖ peer joining torrent:
 - has no chunks, but will accumulate them over time from other peers
 - registers with tracker to get list of peers, connects to subset of peers (“neighbors”)
- ❖ while downloading, peer uploads chunks to other peers
- ❖ peer may change peers with whom it exchanges chunks
- ❖ *churn*: peers may come and go
- ❖ once peer has entire file, it may (selfishly) leave or (altruistically) remain in torrent



BitTorrent: requesting, sending file chunks

requesting chunks:

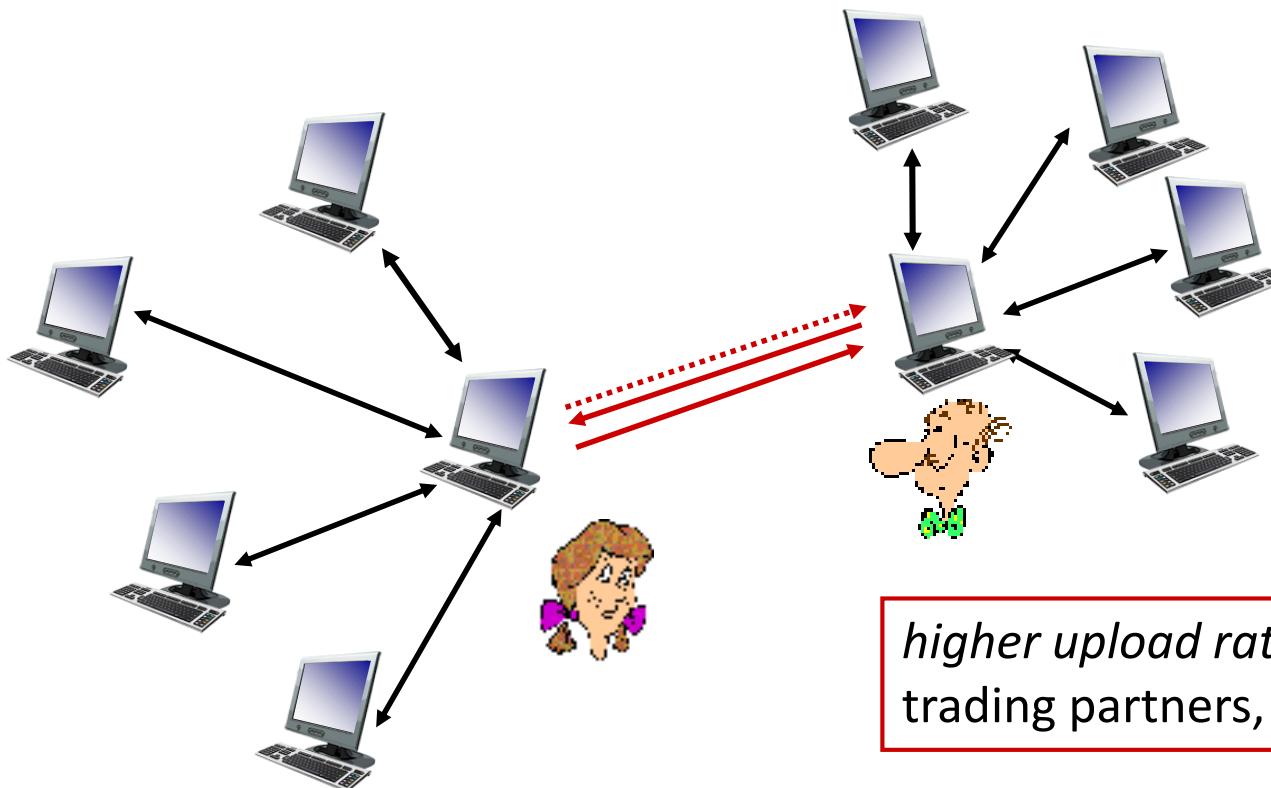
- ❖ at any given time, different peers have different subsets of file chunks
- ❖ periodically, Alice asks each peer for list of chunks that they have
- ❖ Alice requests missing chunks from peers, rarest first

sending chunks: tit-for-tat

- ❖ Alice sends chunks to those four peers currently sending her chunks *at highest rate*
 - other peers are choked by Alice (do not receive chunks from her)
 - re-evaluate top 4 every 10 secs
- ❖ every 30 secs: randomly select another peer, starts sending chunks
 - “optimistically unchoke” this peer
 - newly chosen peer may join top 4

BitTorrent: tit-for-tat

- (1) Alice “optimistically unchoke” Bob
- (2) Alice becomes one of Bob’s top-four providers; Bob reciprocates
- (3) Bob becomes one of Alice’s top-four providers



higher upload rate: find better trading partners, get file faster !

Distributed Hash Table (DHT) -- *Skip*

- ❖ DHT: a *distributed P2P database*
- ❖ database has **(key, value)** pairs; examples:
 - key: ss number; value: human name
 - key: movie title; value: IP address
- ❖ Distribute the **(key, value)** pairs over the (millions of peers)
- ❖ a peer **queries** DHT with key
 - DHT returns values that match the key
- ❖ peers can also **insert** **(key, value)** pairs

Q: how to assign keys to peers?

- ❖ central issue:
 - assigning (key, value) pairs to peers.
- ❖ basic idea:
 - convert each key to an integer
 - Assign integer to each peer
 - put (key,value) pair in the peer that is **closest** to the key

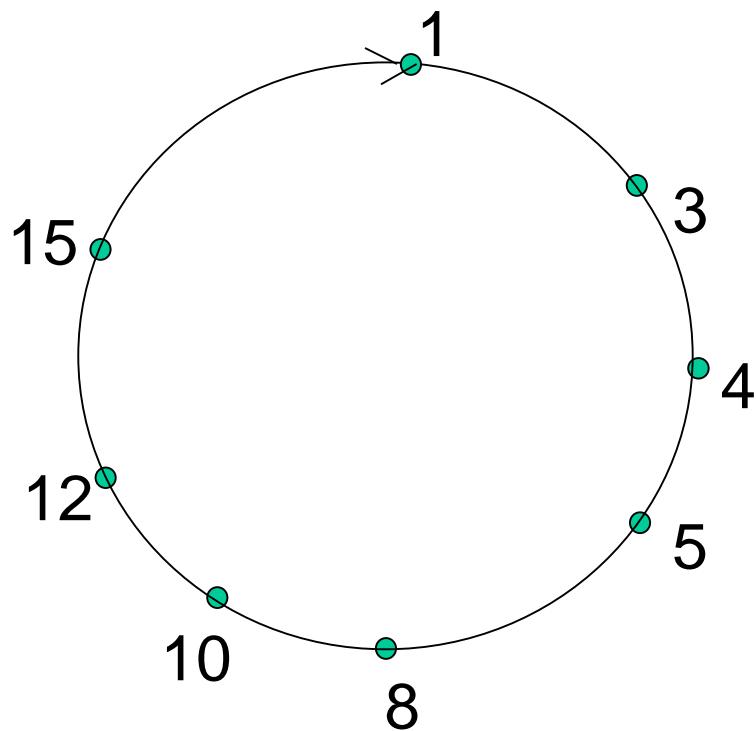
DHT identifiers

- ❖ assign integer identifier to each peer in range $[0, 2^n - 1]$ for some n .
 - each identifier represented by n bits.
- ❖ require each key to be an integer in same range
- ❖ to get integer key, hash original key
 - e.g., key = **hash**("Led Zeppelin IV")
 - this is why it's referred to as a *distributed "hash" table*

Assign keys to peers

- ❖ rule: assign key to the peer that has the *closest* ID.
- ❖ convention in lecture: closest is the *immediate successor* of the key.
- ❖ e.g., $n=4$; peers: 1,3,4,5,8,10,12,14;
 - key = 13, then successor peer = 14
 - key = 15, then successor peer = 1

Circular DHT (1)

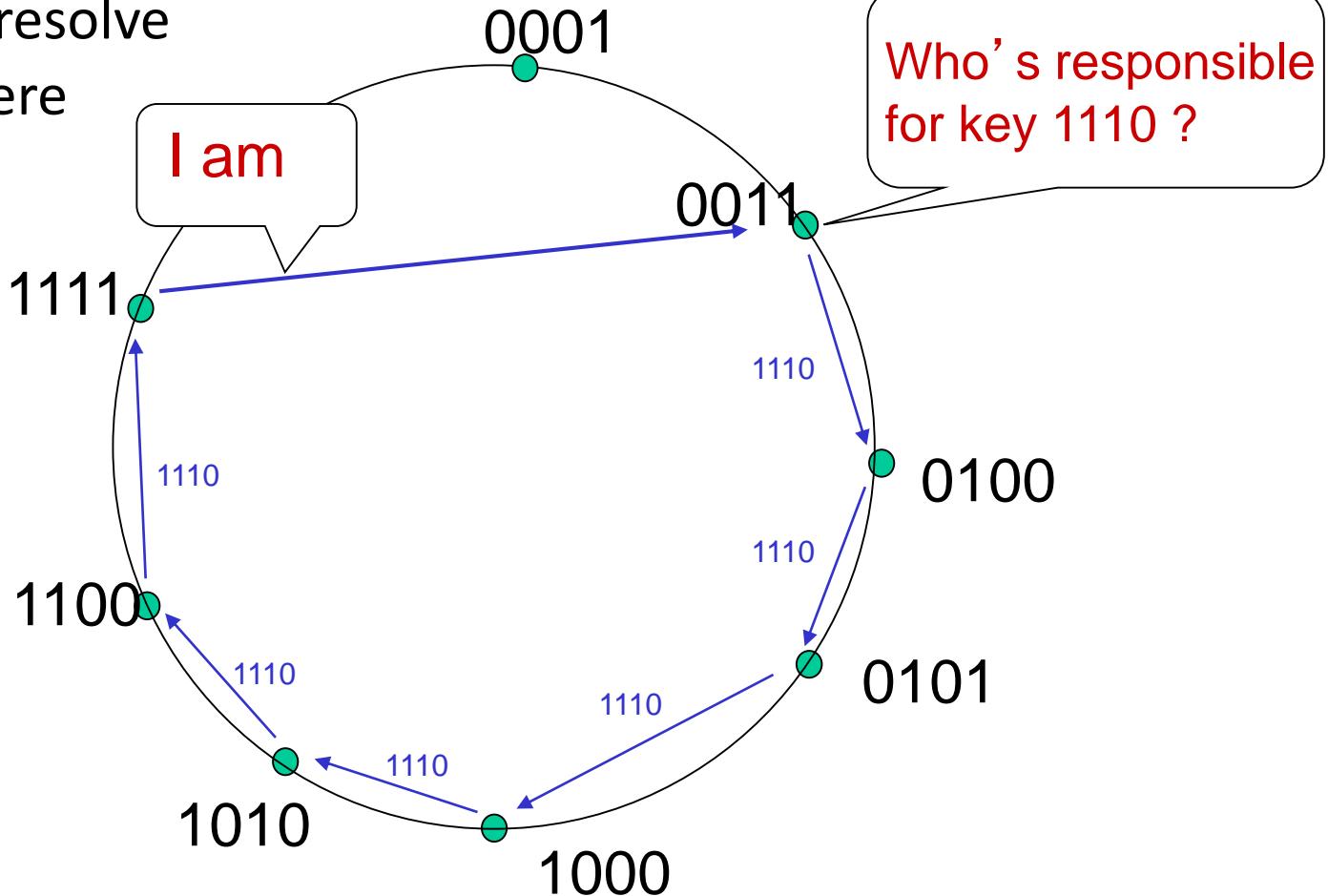


- ❖ each peer *only* aware of immediate successor and predecessor.
- ❖ “overlay network”

Circular DHT (1)

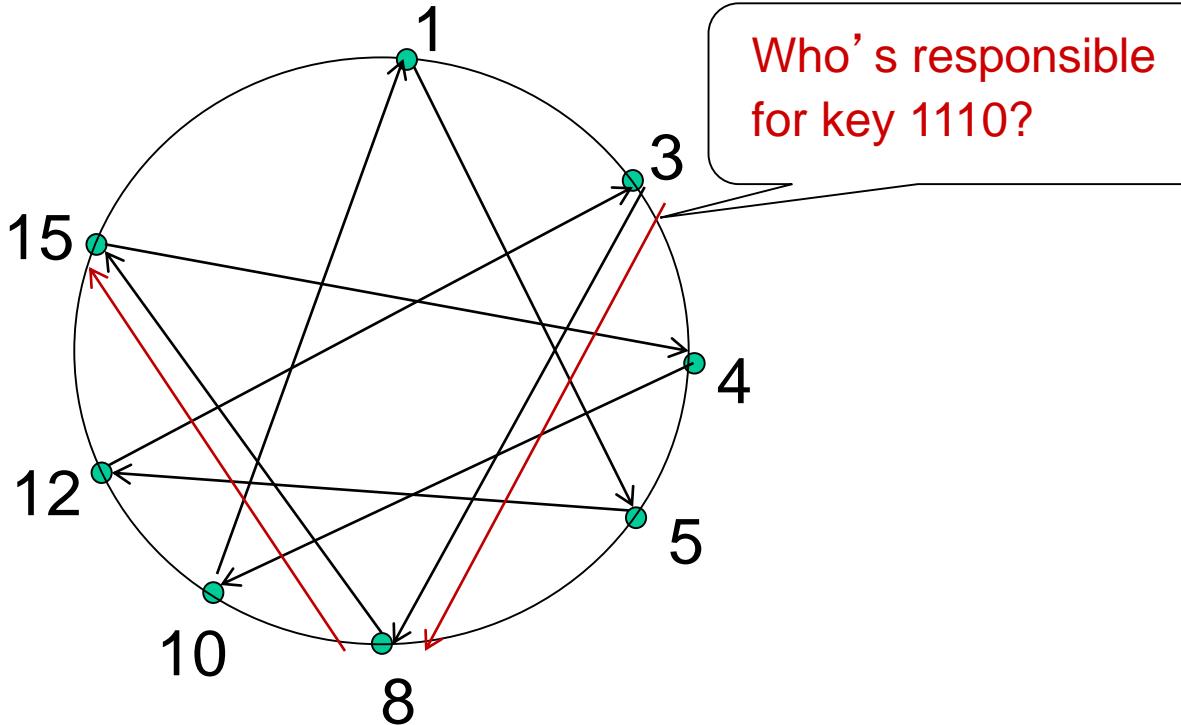
$O(N)$ messages

on average to resolve
query, when there
are N peers



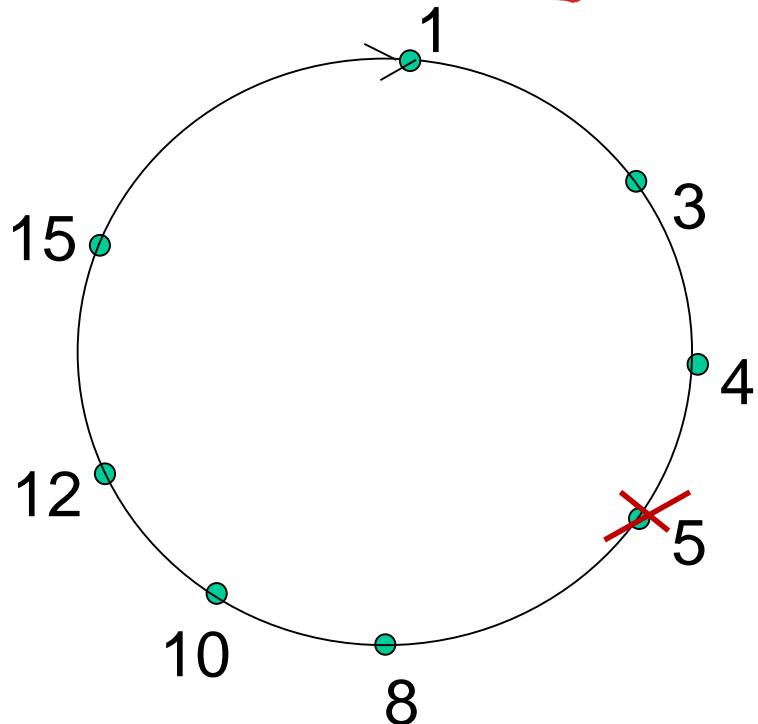
Define closest
as closest
successor

Circular DHT with shortcuts



- ❖ each peer keeps track of IP addresses of predecessor, successor, short cuts.
- ❖ reduced from 6 to 2 messages.
- ❖ possible to design shortcuts so $O(\log N)$ neighbors, $O(\log N)$ messages in query

Peer churn



example: peer 5 abruptly leaves

- ❖ peer 4 detects peer 5 departure; makes 8 its immediate successor; asks 8 who its immediate successor is; makes 8's immediate successor its second successor.
- ❖ what if peer 13 wants to join?

handling peer churn:

- ❖ peers may come and go (churn)
- ❖ each peer knows address of its two successors
- ❖ each peer periodically pings its two successors to check aliveness
- ❖ if immediate successor leaves, choose next successor as new immediate successor

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Video Streaming and CDNs: context

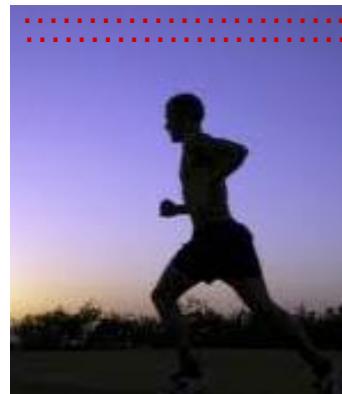
- video traffic: major consumer of Internet bandwidth
 - Netflix, YouTube: 37%, 16% of downstream residential ISP traffic
 - ~1B YouTube users, ~75M Netflix users
- challenge: scale - how to reach ~1B users?
 - single mega-video server won't work (why?)
- challenge: heterogeneity
 - different users have different capabilities (e.g., wired versus mobile; bandwidth rich versus bandwidth poor)
- *solution:* distributed, application-level infrastructure



Multimedia: video

- ❖ video: sequence of images displayed at constant rate
 - e.g., 24 images/sec
- ❖ digital image: array of pixels
 - each pixel represented by bits
- ❖ coding: use redundancy *within* and *between* images to decrease # bits used to encode image
 - spatial (within image)
 - temporal (from one image to next)

spatial coding example: instead of sending N values of same color (all purple), send only two values: color value (*purple*) and number of repeated values (N)



frame i

temporal coding example: instead of sending complete frame at $i+1$, send only differences from frame i

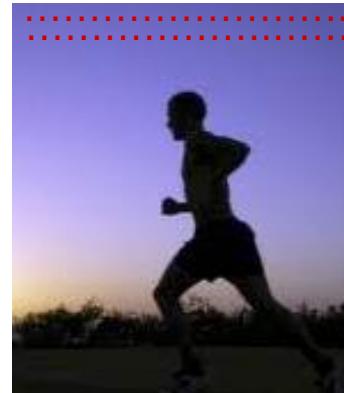


frame $i+1$

Multimedia: video

- CBR: (constant bit rate):
video encoding rate fixed
- VBR: (variable bit rate):
video encoding rate changes
as amount of spatial,
temporal coding changes
- examples:
 - MPEG 1 (CD-ROM) 1.5 Mbps
 - MPEG2 (DVD) 3-6 Mbps
 - MPEG4 (often used in Internet, < 1 Mbps)

spatial coding example: instead of sending N values of same color (all purple), send only two values: color value (*purple*) and number of repeated values (N)



frame i

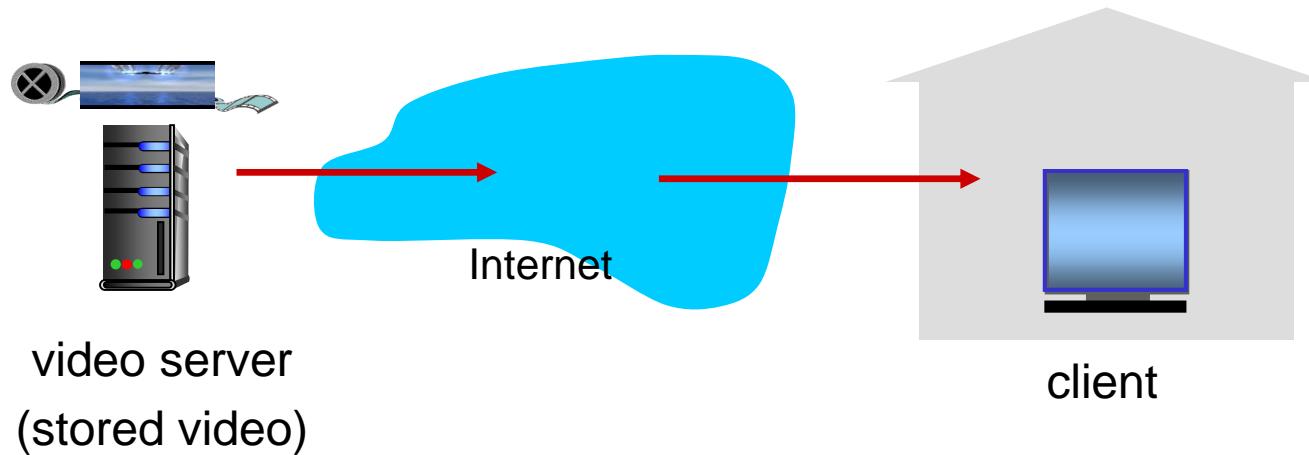
temporal coding example: instead of sending complete frame at $i+1$, send only differences from frame i



frame $i+1$

Streaming stored video:

simple scenario:



Streaming multimedia: DASH

- ❖ *DASH: Dynamic, Adaptive Streaming over HTTP*
- ❖ *server:*
 - divides video file into multiple chunks
 - each chunk stored, encoded at different rates
 - *manifest file:* provides URLs for different chunks
- ❖ *client:*
 - periodically measures server-to-client bandwidth
 - consulting manifest, requests one chunk at a time
 - chooses maximum coding rate sustainable given current bandwidth
 - can choose different coding rates at different points in time (depending on available bandwidth at time)

Streaming multimedia: DASH

- ❖ *DASH: Dynamic, Adaptive Streaming over HTTP*
- ❖ “*intelligence*” at client: client determines
 - *when* to request chunk (so that buffer starvation, or overflow does not occur)
 - *what encoding rate* to request (higher quality when more bandwidth available)
 - *where* to request chunk (can request from URL server that is “close” to client or has high available bandwidth)

Content distribution networks

- ❖ *challenge*: how to stream content (selected from millions of videos) to hundreds of thousands of *simultaneous* users?
- ❖ *option 1*: single, large “mega-server”
 - single point of failure
 - point of network congestion
 - long path to distant clients
 - multiple copies of video sent over outgoing link

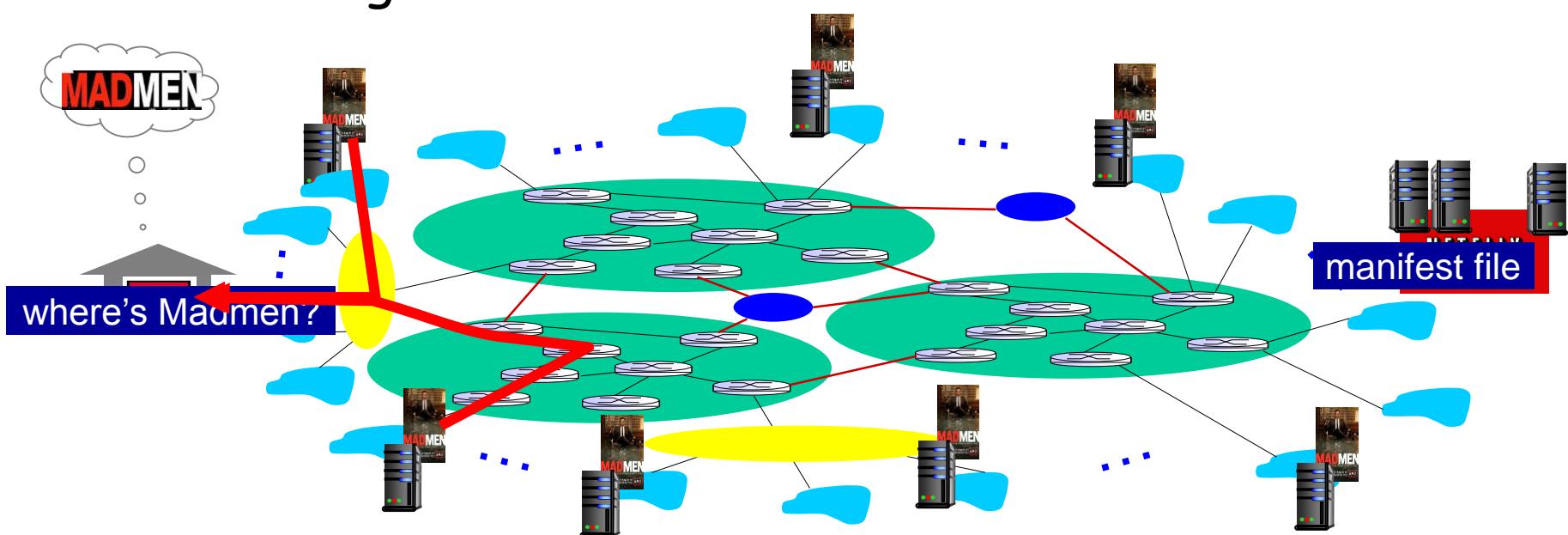
....quite simply: this solution *doesn't scale*

Content distribution networks

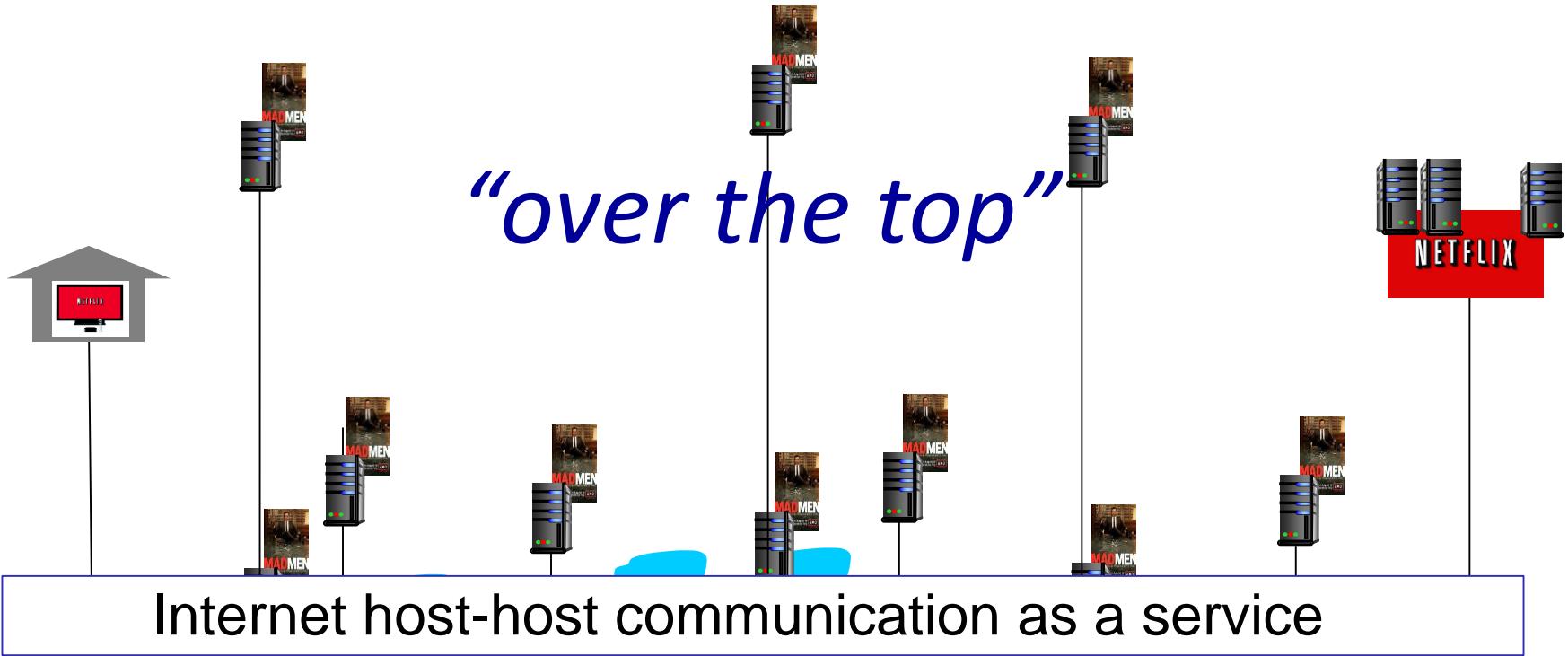
- ❖ *challenge*: how to stream content (selected from millions of videos) to hundreds of thousands of simultaneous users?
- ❖ *option 2*: store/serve multiple copies of videos at multiple geographically distributed sites (*CDN*)
 - *enter deep*: push CDN servers deep into many access networks
 - close to users
 - used by Akamai, 1700 locations
 - *bring home*: smaller number (10's) of larger clusters in POPs near (but not within) access networks
 - used by Limelight

Content Distribution Networks (CDNs)

- CDN: stores copies of content at CDN nodes
 - e.g. Netflix stores copies of MadMen
- subscriber requests content from CDN
 - directed to nearby copy, retrieves content
 - may choose different copy if network path congested



Content Distribution Networks (CDNs)



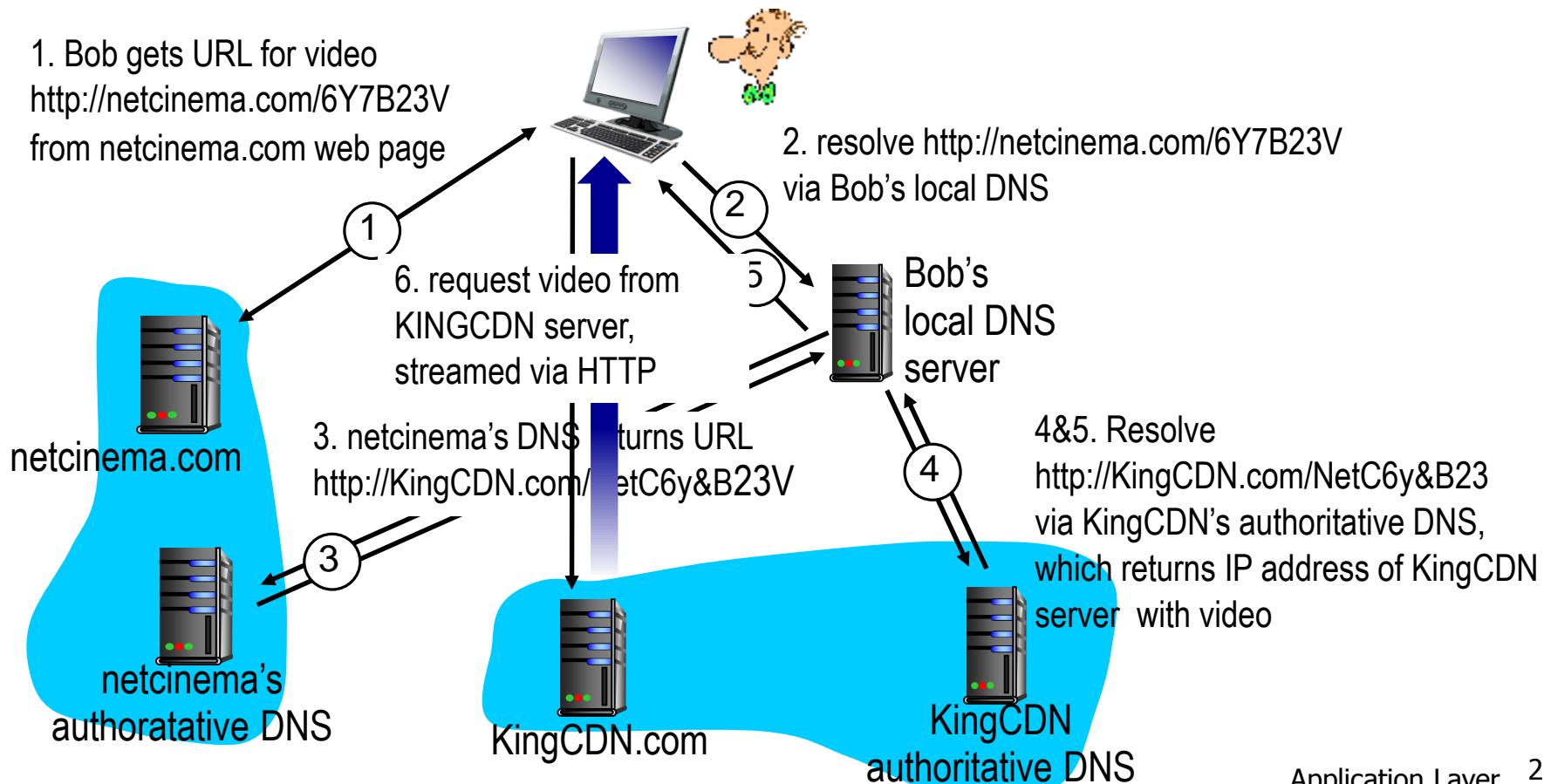
OTT challenges: coping with a congested Internet

- from which CDN node to retrieve content?
- viewer behavior in presence of congestion?
more .. in chapter 7
- what content to place in which CDN node?

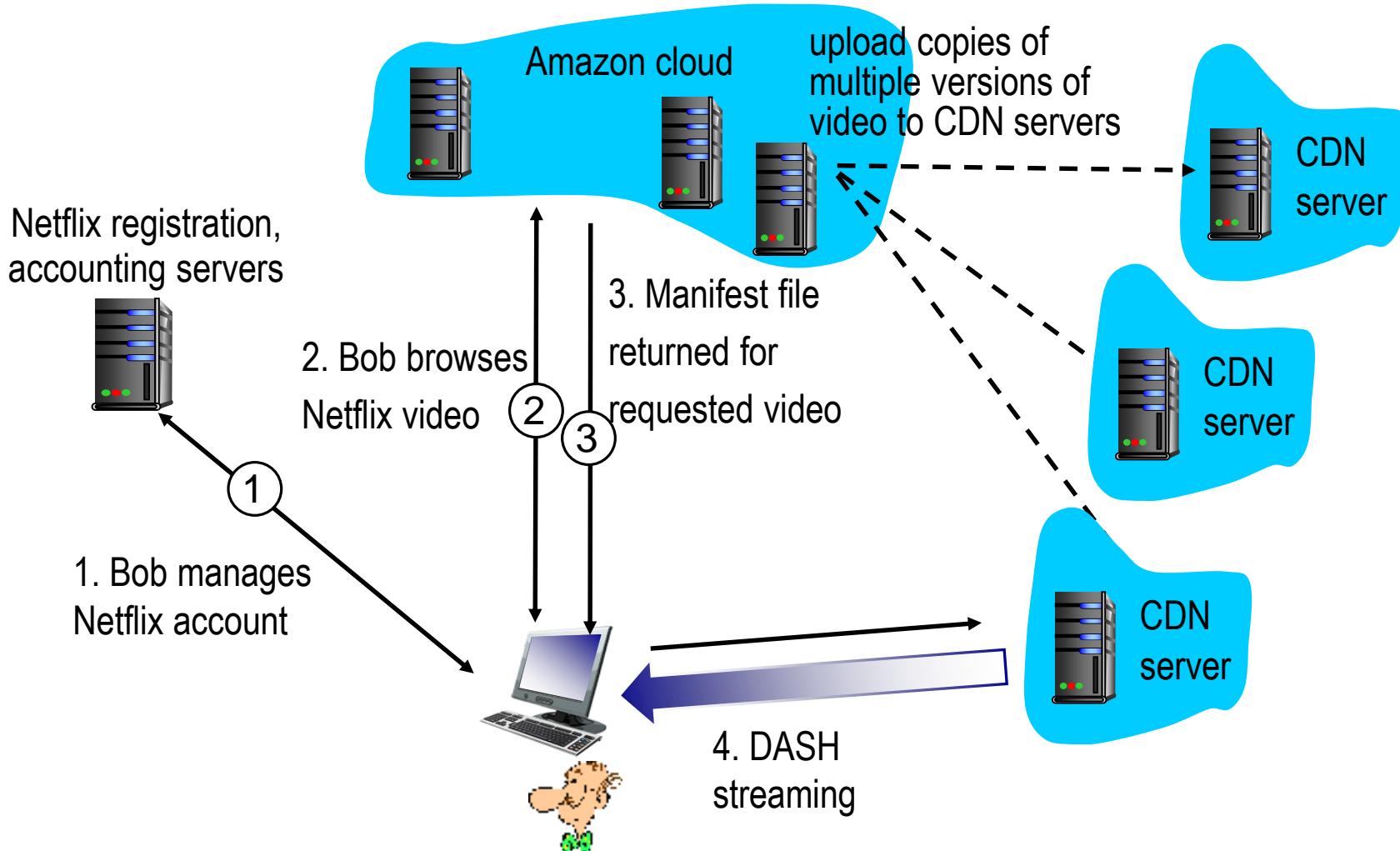
CDN content access: a closer look

Bob (client) requests video <http://netcinema.com/6Y7B23V>

- video stored in CDN at <http://KingCDN.com/NetC6y&B23V>



Case study: Netflix



Chapter 2: outline

2.1 principles of network
applications

2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

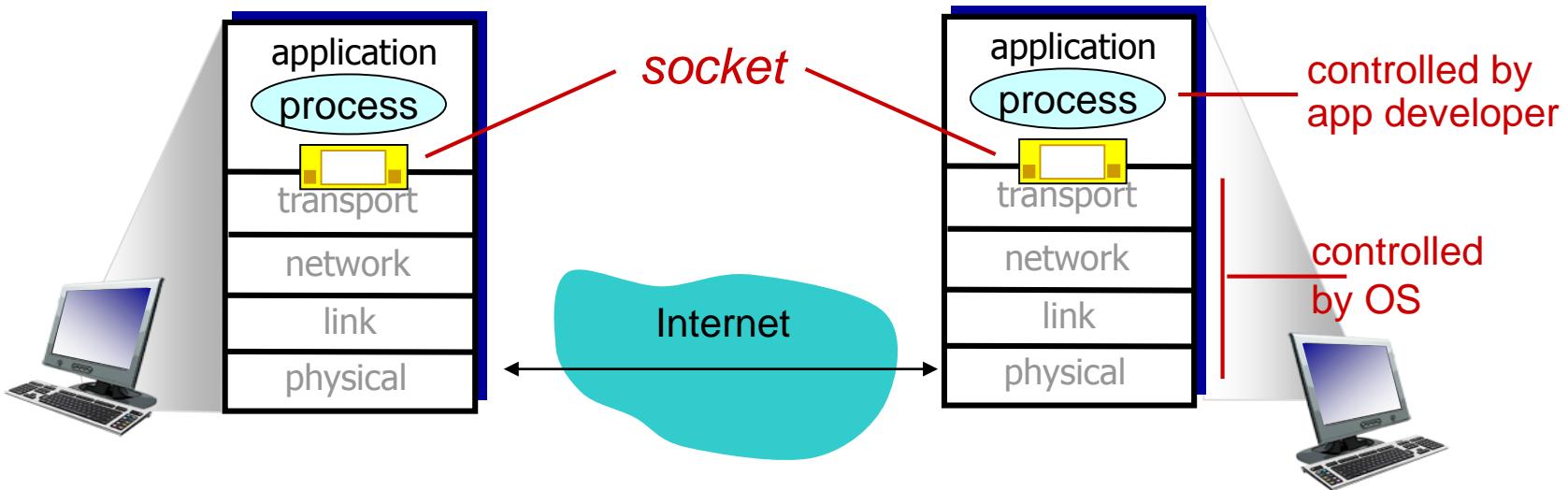
2.6 video streaming and
content distribution
networks

**2.7 socket programming
with UDP and TCP**

Socket programming

goal: learn how to build client/server applications that communicate using sockets

socket: door between application process and end-end-transport protocol



Socket programming

Two socket types for two transport services:

- **UDP:** unreliable datagram
- **TCP:** reliable, byte stream-oriented

Application Example:

1. Client reads a line of characters (data) from its keyboard and sends the data to the server.
2. The server receives the data and converts characters to uppercase.
3. The server sends the modified data to the client.
4. The client receives the modified data and displays the line on its screen.

Socket programming *with UDP*

UDP: no “connection” between client & server

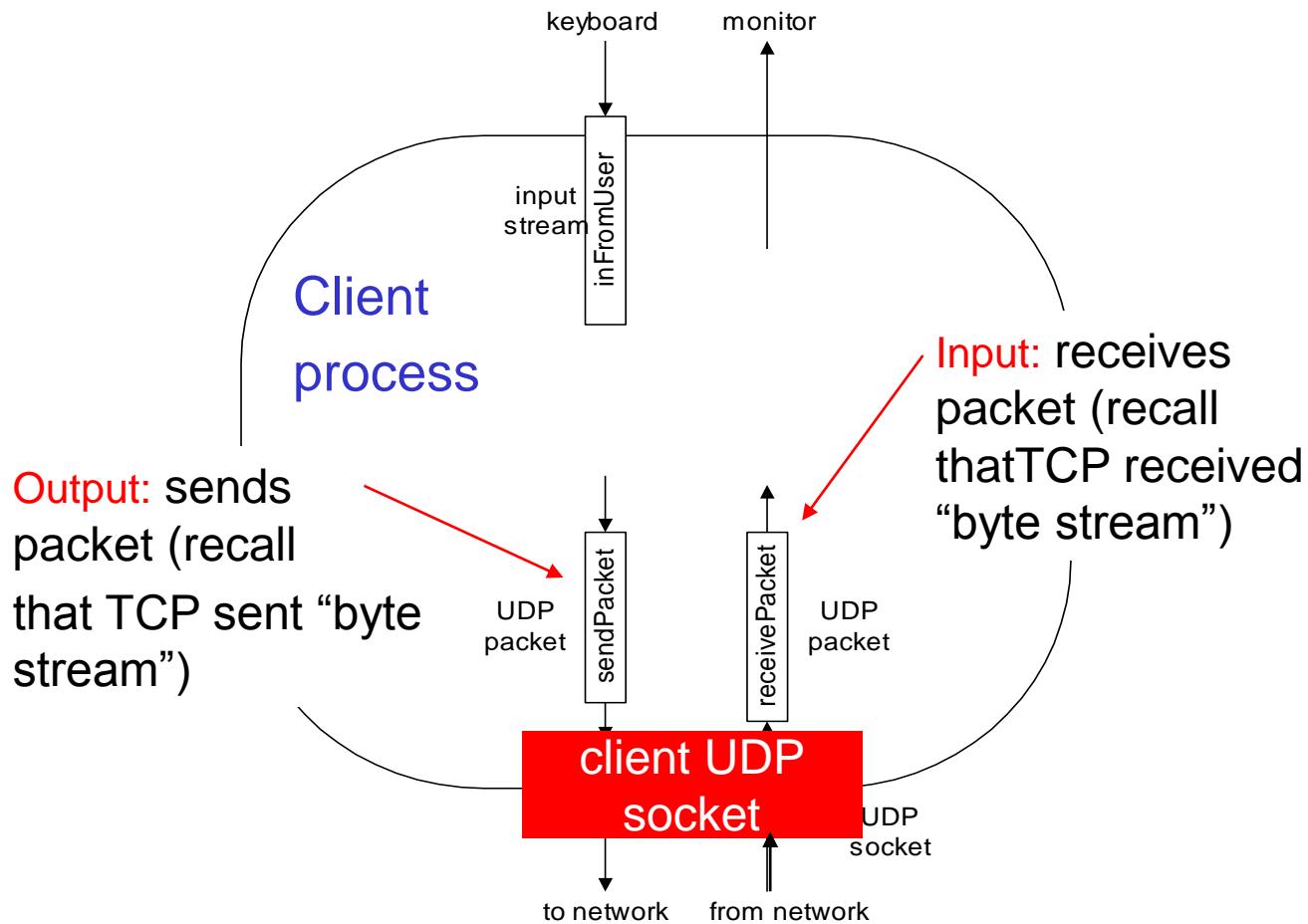
- ❖ no handshaking before sending data
- ❖ sender explicitly attaches IP destination address and port # to each packet
- ❖ rcvr extracts sender IP address and port# from received packet

UDP: transmitted data may be lost or received out-of-order

Application viewpoint:

- ❖ UDP provides *unreliable* transfer of groups of bytes (“datagrams”) between client and server

Example: Java client (UDP)



Client/server socket interaction: UDP

server (running on serverIP)

create socket, port= x:

```
serverSocket =  
socket(AF_INET,SOCK_DGRAM)
```

read datagram from
serverSocket

write reply to
serverSocket
specifying
client address,
port number

client

create socket:

```
clientSocket =  
socket(AF_INET,SOCK_DGRAM)
```

Create datagram with server IP and
port=x; send datagram via
clientSocket

read datagram from
clientSocket
close
clientSocket

Example app: UDP client

Python UDPCClient

```
include Python's socket  
library → from socket import *  
  
create UDP socket for  
server → clientSocket = socket(socket.AF_INET,  
                                socket.SOCK_DGRAM)  
  
get user keyboard  
input → message = raw_input('Input lowercase sentence:')  
  
Attach server name, port to  
message; send into socket → clientSocket.sendto(message,(serverName, serverPort))  
  
read reply characters from  
socket into string → modifiedMessage, serverAddress =  
clientSocket.recvfrom(2048)  
  
print out received string  
and close socket → print modifiedMessage  
clientSocket.close()
```

Example app: UDP server

Python UDPServer

```
from socket import *
serverPort = 12000
create UDP socket -----> serverSocket = socket(AF_INET, SOCK_DGRAM)
bind socket to local port
number 12000 -----> serverSocket.bind(("", serverPort))
print "The server is ready to receive"
loop forever -----> while 1:
Read from UDP socket into
message, getting client's
address (client IP and port) -----> message, clientAddress = serverSocket.recvfrom(2048)
                                            modifiedMessage = message.upper()
send upper case string -----> serverSocket.sendto(modifiedMessage, clientAddress)
back to this client
```

Socket programming with TCP

client must contact server

- ❖ server process must first be running
- ❖ server must have created socket (door) that welcomes client's contact

client contacts server by:

- ❖ Creating TCP socket, specifying IP address, port number of server process
- ❖ *when client creates socket:* client TCP establishes connection to server TCP

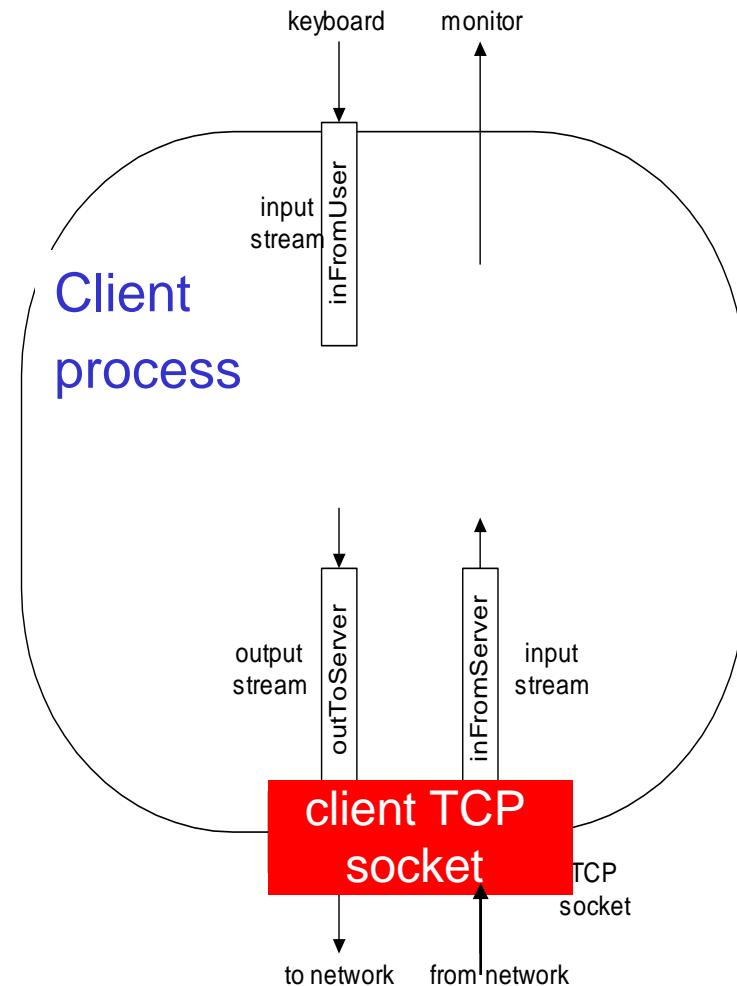
- ❖ when contacted by client, *server TCP creates new socket* for server process to communicate with that particular client
 - allows server to talk with multiple clients
 - source port numbers used to distinguish clients (more in Chap 3)

application viewpoint:

TCP provides reliable, in-order byte-stream transfer (“pipe”) between client and server

Stream jargon

- ❖ A **stream** is a sequence of characters that flow into or out of a process.
- ❖ An **input stream** is attached to some input source for the process, e.g., keyboard or socket.
- ❖ An **output stream** is attached to an output source, e.g., monitor or socket.



Socket programming with TCP

Example client-server app:

- 1) client reads line from standard input (**inFromUser** stream), sends to server via socket (**outToServer** stream)
- 2) server reads line from socket
- 3) server converts line to uppercase, sends back to client
- 4) client reads, prints modified line from socket (**inFromServer** stream)

Client/server socket interaction: TCP

server (running on hostid)

client

create socket,
port=x, for incoming
request:
`serverSocket = socket()`

wait for incoming
connection request
`connectionSocket = serverSocket.accept()`

read request from
`connectionSocket`

write reply to
`connectionSocket`

close
`connectionSocket`

TCP
connection setup

create socket,
connect to `hostid`, port=x
`clientSocket = socket()`

send request using
`clientSocket`

read reply from
`clientSocket`

close
`clientSocket`

Example app: TCP client

Python TCPClient

```
from socket import *
serverName = 'servername'
serverPort = 12000
clientSocket = socket(AF_INET, SOCK_STREAM)
clientSocket.connect((serverName, serverPort))
sentence = raw_input('Input lowercase sentence:')
clientSocket.send(sentence)
modifiedSentence = clientSocket.recv(1024)
print 'From Server:', modifiedSentence
clientSocket.close()
```

create TCP socket for
server, remote port 12000



SOCK_STREAM

No need to attach server
name, port

Example app: TCP server

Python TCPServer

```
from socket import *
serverPort = 12000
serverSocket = socket(AF_INET,SOCK_STREAM)
serverSocket.bind(("",serverPort))
serverSocket.listen(1)
print 'The server is ready to receive'
while 1:
    connectionSocket, addr = serverSocket.accept()
    sentence = connectionSocket.recv(1024)
    capitalizedSentence = sentence.upper()
    connectionSocket.send(capitalizedSentence)
    connectionSocket.close()
```

create TCP welcoming
socket → `serverSocket = socket(AF_INET,SOCK_STREAM)`

server begins listening for
incoming TCP requests → `serverSocket.bind(("",serverPort))`
→ `serverSocket.listen(1)`

loop forever → `while 1:`

server waits on accept()
for incoming requests, new
socket created on return → `connectionSocket, addr = serverSocket.accept()`

read bytes from socket (but
not address as in UDP) → `sentence = connectionSocket.recv(1024)`
→ `capitalizedSentence = sentence.upper()`

close connection to this
client (but *not* welcoming
socket) → `connectionSocket.send(capitalizedSentence)`
→ `connectionSocket.close()`

Chapter 2: summary

our study of network apps now complete!

- application architectures
 - client-server
 - P2P
- application service requirements:
 - reliability, bandwidth, delay
- Internet transport service model
 - connection-oriented, reliable: TCP
 - unreliable, datagrams: UDP
- specific protocols:
 - HTTP
 - SMTP, POP, IMAP
 - DNS
 - P2P: BitTorrent
- video streaming, CDNs
- socket programming:
TCP, UDP sockets

Chapter 2: summary

most importantly: learned about protocols!

- ❖ typical request/reply message exchange:
 - client requests info or service
 - server responds with data, status code
- ❖ message formats:
 - *headers*: fields giving info about data
 - *data*: info(payload) being communicated

important themes:

- control vs. messages
 - in-band, out-of-band
- centralized vs. decentralized
- stateless vs. stateful
- reliable vs. unreliable message transfer
- “complexity at network edge”