

# Hyperbolic Three Manifold

David Gu

Computer Science Department  
Stony Brook University

*gu@cs.stonybrook.edu*

August 21, 2024

# Discrete Isometry Group

# Hyperbolic Geometry

- $M$  is hyperbolic if it admits a metric with sectional curvature  $-1$ .
- Equivalently,  $M \cong \mathbb{H}^3/\Gamma$ , where  $\mathbb{H}^3$  is the hyperbolic space,  $\Gamma$  is a discrete group of isometry.

$$\mathbb{H}^3 = \{(x + iy, t) \in \mathbb{C} \times \mathbb{R}^+ | t > 0\}$$

$$ds^2 = \frac{dx^2 + dy^2 + dt^2}{t^2}$$

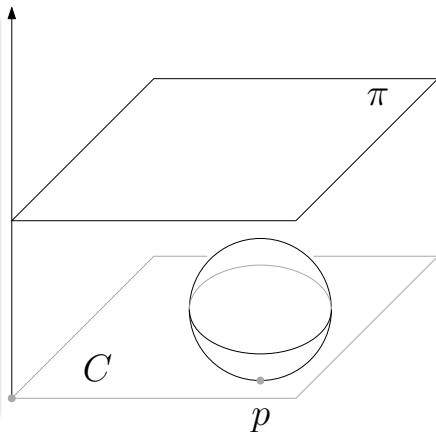
# Hyperbolic horosphere

## Definition (Horosphere)

A *horosphere* about  $\infty$  in  $\partial\mathbb{H}^3$  is a plane parallel to  $\mathbb{C}$ , consisting of points  $\{(x + iy, c) \in \mathbb{C} \times \mathbb{R}\}$  where  $c > 0$  is a constant.

When an isometry is applied that takes  $\infty$  to some  $p \in \mathbb{C}$ , a horosphere is taken to a Euclidean sphere tangent to  $p$ , which is a horosphere about  $p$ . A *horoball* is the region interior to a horosphere.

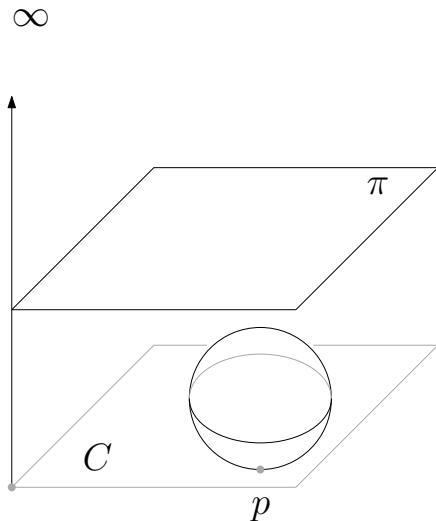
$\infty$



# Hyperbolic horosphere

The induced metric on a horosphere is Euclidean:

$$ds^2 = \frac{dx^2 + dy^2 + dz^2}{z^2} = \frac{dx^2 + dy^2}{c^2}.$$



# Discrete Isometry Group

Suppose an orientable 3-manifold  $M$  has a complete hyperbolic structure. Then the developing map  $D : \tilde{M} \rightarrow \mathbb{H}^3$  is an isometric covering map,  $\mathbb{H}^3$  is the universal cover of  $M$ . The deck transformations are then the elements of the holonomy group  $\rho(\pi_1(M)) = \Gamma \leq PSL(2, \mathbb{C})$ .  $M$  is homeomorphic to  $M \cong \mathbb{H}^3/\Gamma$ .

# Discrete Isometry Group

## Definition (Hyperbolic Isometry)

The isometry group of  $\mathbb{H}^3$  is  $PSL(2, \mathbb{C})$ ,

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad a, b, c, d \in \mathbb{C}, ad - bc = 1.$$

the matrix is well-defined up to multiplication by  $\pm Id$ .

## Definition (Conjugacy)

We say  $A \in PSL(2, \mathbb{C})$  is *conjugate* to  $B \in PSL(2, \mathbb{C})$  if there exists  $U \in PSL(2, \mathbb{C})$  such that

$$A = UBU^{-1}.$$

The *trace* of  $A$  is the trace of its normalized matrix:

$$\text{tr} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = a + d.$$

## Theorem

*Apart from the identity, any element of  $PSL(2, \mathbb{C})$  is exactly one of the following:*

- ① *elliptic which has two fixed point on  $\partial\mathbb{H}^3$  and rotates about the geodesic axis between them in  $\mathbb{H}^3$ , fixing the axis pointwisely.*
- ② *parabollic, which has a single fixed point on  $\partial\mathbb{H}^3$ .*
- ③ *loxodromic, which has two fixed points on  $\partial\mathbb{H}^3$ , which dilates and rotates about the axis between them.*

Parabolics and loxodromics have fixed points on  $\partial\mathbb{H}^3$ ; elliptics have fixed points in the interior of  $\mathbb{H}^3$ .



## Lemma

*Apart from the identity, any element of  $PSL(2, \mathbb{C})$  is exactly one of the following:*

- ①  *$A$  is parabolic if and only if  $\text{tr}(A) = \pm 2$ , and if and only if  $A$  is conjugate to*

$$z \mapsto z + 1.$$

- ②  *$A$  is elliptic if and only if  $\text{tr}(A) \in (-2, 2) \subset \mathbb{R} \subset \mathbb{C}$ , and if and only if  $A$  is conjugate to*

$$z \mapsto e^{2i\theta} z, \quad 2\theta \neq 2n\pi, \forall n \in \mathbb{Z}.$$

- ③  *$A$  is loxodromic if and only if  $\text{tr}(A) \in \mathbb{C} - [-2, 2]$ , and if and only if  $A$  is conjugate to*

$$z \mapsto \zeta^2 z \quad |\zeta| > 1.$$

## Definition (Kleinian Group)

A subgroup of  $PSL(2, \mathbb{C})$  is said to be discrete if it contains no sequence of distinct elements converging to the identity element. A discrete subgroup of  $PSL(2, \mathbb{C})$  is often called a *Kleinian group*.

# Kleinian Group

## Definition (Properly Discontinuous)

The action of a group  $G \leq PSL(2, \mathbb{C})$  on  $\mathbb{H}^3$  is *properly discontinuous* if for every closed ball  $B \subset \mathbb{H}^3$ , the set  $\{\gamma \in G \mid \gamma(B) \cap B \neq \emptyset\}$  is a finite set.

## Definition (Free)

The action of a group  $G \leq PSL(2, \mathbb{C})$  on  $\mathbb{H}^3$  is *free* if the identity element of  $G$  is the only element to have a fixed point in  $\mathbb{H}^3$ .

The action of  $G$  is free if and only if  $G$  contains no elliptics.

## Theorem

*The action of a group  $G \leq PSL(2, \mathbb{C})$  on  $\mathbb{H}^3$  is free and properly discontinuous if and only if  $\mathbb{H}^3/G$  is a 3-manifold with a complete hyperbolic structure and with covering projection  $\mathbb{H}^3 \rightarrow \mathbb{H}^3/G$ .*

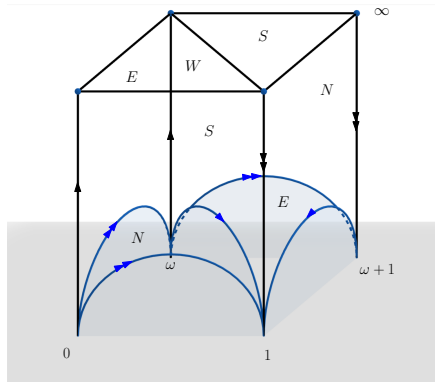
# Kleinian Group

face-pairing correspond to holonomy isometries:

$$T_E = \frac{i}{\sqrt{\omega}} \begin{pmatrix} 1 & 1 \\ 1 & -\omega^2 \end{pmatrix}$$

$$T_S = \begin{pmatrix} 1 & \omega \\ 0 & 1 \end{pmatrix}$$

$$T_N = \begin{pmatrix} 2 & -1 \\ 1 & 0 \end{pmatrix}$$



**Figure:** A fundamental domain of  $\mathbb{S}^3 - K$ , figure-8 knot  $K$ .

## Definition (Elementary Groups)

A subgroup  $G \leq PSL(2, \mathbb{C})$  is *elementary* if one of the following holds.

- 1 The union of all fixed points on  $\partial\mathbb{H}^3$  of all non-trivial elements of  $G$  is a single point on  $\partial\mathbb{H}^3$ .
- 2 The union of all fixed points on  $\partial\mathbb{H}^3$  of all non-trivial elements of  $G$  consists of exactly two points on  $\partial\mathbb{H}^3$ .
- 3 There exists  $x \in \mathbb{H}^3$  such that for all  $g \in G$ ,  $g(x) = x$ .

## Proposition (Discrete Elementary Subgroup)

*Let  $G$  be a discrete nontrivial elementary subgroup of  $PSL(2, \mathbb{C})$  without elliptics. Then either*

- ① the union of fixed points of nontrivial elements of  $G$  is a single point on  $\partial\mathbb{H}^3$ ,  $G$  is isomorphic to  $\mathbb{Z}$  or  $\mathbb{Z} \times \mathbb{Z}$ , and  $G$  is generated by parabolics (fixing the same point on  $\partial\mathbb{H}^3$ ), or*
- ② the union of fixed points of nontrivial elements of  $G$  consists of two points on  $\partial\mathbb{H}^3$ ,  $G$  is isomorphic to  $\mathbb{Z}$ , and  $G$  is generated by a single loxodromic leaving invariant the line between fixed points.*

# Thick and Thin Parts

## Definition (rank-1, rank-2 cusps)

Suppose  $G$  is an infinite elementary discrete group in  $PSL(2, \mathbb{C})$  fixing a single point on  $\partial\mathbb{H}^3$ . Let  $H$  be the closed horoball of height 1:

$$H = \{(x, y, z) | z \geq 1\}$$

$G$  is isomorphic to  $\mathbb{Z}$  or  $\mathbb{Z} \times \mathbb{Z}$ .

- If  $G \cong \mathbb{Z}$ , the quotient of the horoball  $H/G$  is homeomorphic to the space  $A \times [1, \infty)$ , where  $A$  is an annulus, or cylinder. We say  $H/G$  is a *rank-1 cusp*.
- If  $G \cong \mathbb{Z} \times \mathbb{Z}$ ,  $H/G$  is homeomorphic to  $T \times [1, \infty)$ , where  $T$  is a Euclidean torus. We say  $H/G$  is a *rank-2 cusp*.

# Thick and Thin Parts

## Definition (Injective Radius)

Suppose  $M$  is a complete hyperbolic 3-manifold and  $x \in M$ . The *injective radius* of  $x$ , denoted  $\text{inrad}(x)$ , is defined to be the supremal radius  $r$  such that a metric  $r$ -ball around  $x$  is embedded.

## Definition ( $\varepsilon$ -thin part and $\varepsilon$ -thick part)

Let  $M$  be a complete hyperbolic 3-manifold, and let  $\varepsilon > 0$ . Define the  $\varepsilon$ -thin part of  $M$ , denoted as  $M^{<\varepsilon}$  to be

$$M^{<\varepsilon} = \{x \in M \mid \text{inrad}(x) < \varepsilon/2\}.$$

Similarly, the  $\varepsilon$ -thick part, denoted as  $M^{>\varepsilon}$  is defined to be

$$M^{>\varepsilon} = \{x \in M \mid \text{inrad}(x) > \varepsilon/2\}.$$



# Thick and Thin Parts

## Theorem (Structure of Thin Part)

*There exists a universal constant  $\varepsilon_3 > 0$  such that for  $0 < \varepsilon < \varepsilon_3$ , the  $\varepsilon$ -thin part of any complete, orientable, hyperbolic 3-manifold  $M$  consists of tubes around short geodesics, rank-1 cusps, and/or rank-2 cusps.*

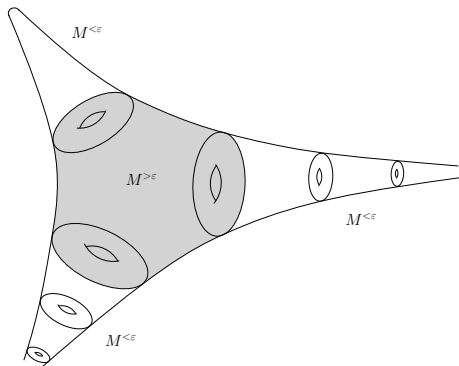


Figure:  $M^{<\varepsilon}$  is a collection of cusps and tubes.  $\sup \varepsilon_3$  is Margulis constant.

## Theorem

*A hyperbolic 3-manifold  $M$  has finite volume if and only if  $M$  is closed (compact without boundary), or  $M$  is homeomorphic to the interior of a compact manifold  $\overline{M}$  with torus boundary components.*

The complement of any knot or link in  $\mathbb{S}^3$  with a hyperbolic structure must have finite hyperbolic volume.

# Hyperbolic Ideal Tetrahedron

# Hyperbolic Ideal Tetrahedron

## Definition (Ideal Tetrahedron)

An *ideal tetrahedron* is a tetrahedron in  $\mathbb{H}^3$  with all four vertices on  $\partial\mathbb{H}^3$ .

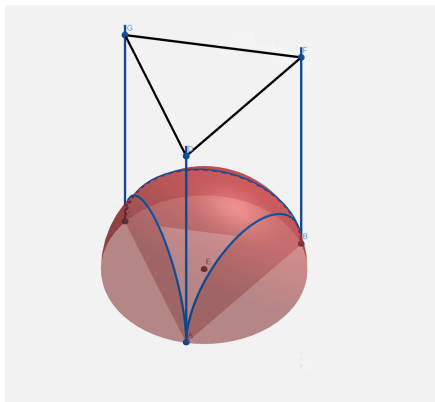


Figure: Hyperbolic ideal tetrahedron.

# Hyperbolic Ideal Tetrahedron

Given an ideal tetrahedron,  $e$  is one edge, the two vertices are  $0$  and  $\infty$ , the 3rd vertex is  $1$ , then the 4-th vertex is at  $z(e)$ .  $z(e)$  is an invariant of the edge  $e$ .

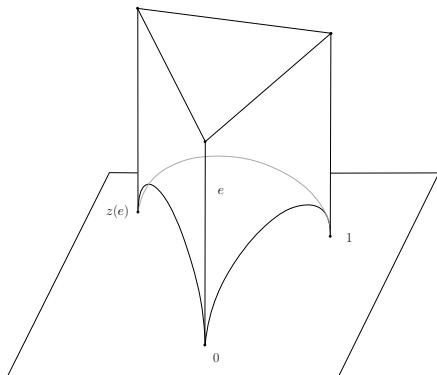


Figure: Hyperbolic ideal tetrahedron.

# Edge Invariance

Suppose edge invariance  $z(e_1) = z$ ,  
then for  $e_2$  edge  $(1, \infty)$ , apply  
Möbius transformation

$$w \mapsto \frac{w-1}{z-1}, \quad (0, 1, \infty, z) \mapsto \left( \frac{-1}{z-1}, 0, \infty, 1 \right)^{z(e_1)}$$

$$\text{thus } z(e_2) = \frac{1}{1-z}.$$

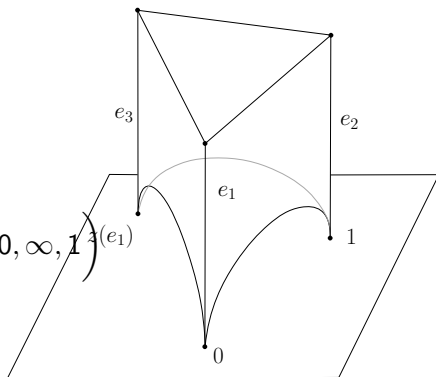


Figure: Hyperbolic ideal tetrahedron.

# Edge Invariance

Suppose edge invariance  $z(e_1) = z$ ,  
then for  $e_3$  edge  $(z, \infty)$ , apply  
Möbius transformation

$$w \mapsto \frac{w - z}{-z}, \quad (0, 1, \infty, z) \mapsto \left(1, \frac{1 - z}{-z}, \infty, 0\right)^{z(e_1)}$$

$$\text{thus } z(e_3) = \frac{z-1}{z}.$$

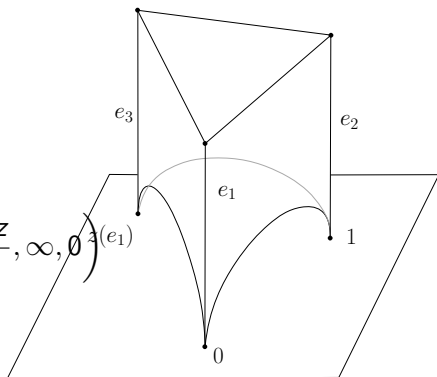


Figure: Hyperbolic ideal tetrahedron.

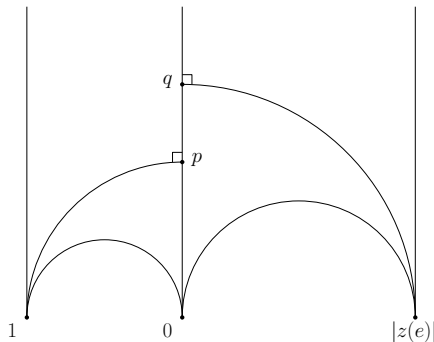
# Hyperbolic Ideal Tetrahedron

Draw altitudes from 1 and  $z(e)$  to  $e$ , the perpendicular feet are  $p$  and  $q$  respectively. The signed distance from  $p$  to  $q$  is

$$\int_p^q \frac{dy}{y} = \ln |z(e)| - \ln 1 = \ln |z(e)|.$$

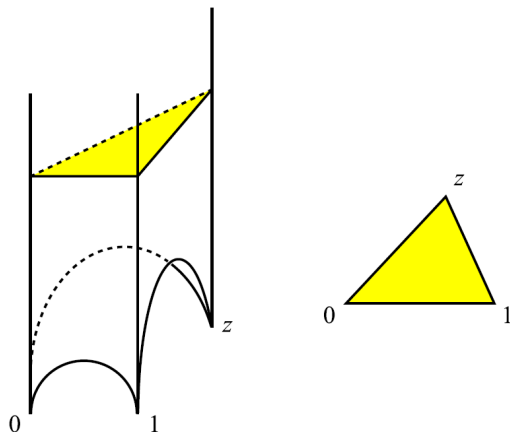
Hence

$\ln z(e) = (\text{signed distance between altitudes}) + i(\text{dihedral angle at } e)$



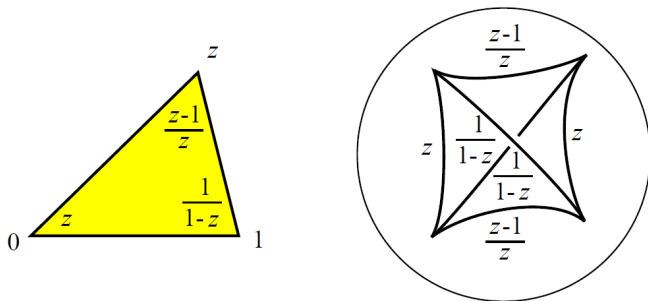


# Hyperbolic Ideal Tetrahedra



**Figure:** An ideal tetrahedron with three vertices  $0, 1, \infty$  in the half-space model is determined by the position  $z \in \mathbb{C} \cup \{\infty\}$  of the fourth vertex. A horosphere centered at the ideal vertex intersects the tetrahedron in a Euclidean triangle uniquely determined up to similarities.

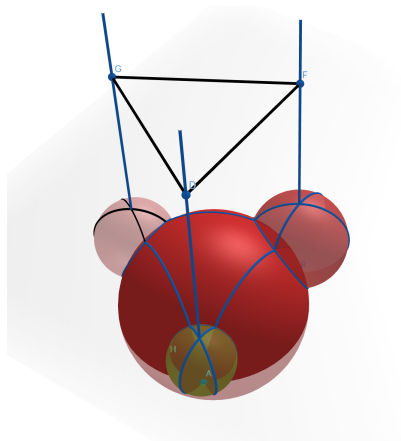
# Hyperbolic Ideal Tetrahedra



**Figure:** At each vertex we have a Euclidean triangle: each vertex of the triangle has a complex angle  $z$ . We can assign the complex angles to the edges through the vertex. The argument is the dihedral angle of the edge.

# Decorated Ideal Tetrahedron

Given an ideal tetrahedron, intersect the horospheres about  $0$ ,  $1$ ,  $\infty$  and  $z(e)$  with the ideal tetrahedron to obtain a decorated ideal tetrahedron. Each horosphere is orthogonal to three edges through the horosphere center. The spherical triangle corner angles equal to the corresponding dihedral angles.



# Decorated Ideal Tetrahedron

Given a decorated ideal tetrahedron,  
the dihedral angles are

$$(0, \infty) : \alpha \quad (1, z) : \alpha'$$

$$(1, \infty) : \beta \quad (0, z) : \beta'$$

$$(z, \infty) : \gamma \quad (0, 1) : \gamma'$$

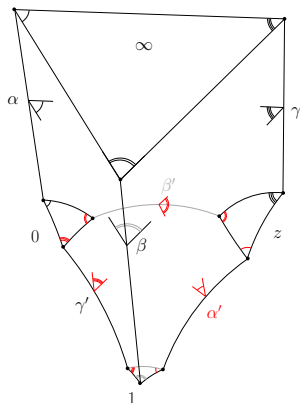
The intersection between the  
horoball with the ideal tetrahedron is  
a Euclidean  $\Delta$ :

$$\alpha + \beta + \gamma = \pi \quad \Delta_\infty$$

$$\alpha + \beta' + \gamma' = \pi \quad \Delta_0$$

$$\beta + \gamma' + \alpha' = \pi \quad \Delta_1$$

$$\gamma + \alpha' + \beta' = \pi \quad \Delta_z$$



$$\alpha' + \beta' + \gamma' = \pi,$$
$$(\alpha, \beta, \gamma) = (\alpha', \beta', \gamma').$$

# Edge Invariance

By the Möbius transformation  $w \mapsto 1/(w - 1)$ , vertex 1 is mapped to  $\infty$ ,

$$(0, 1, \infty, z) \mapsto (-1, \infty, 0, 1/(z - 1)),$$

composed with a planar rigid motion to align the original  $\Delta_1$  with the original  $\Delta_\infty$ ,  $w \mapsto (1 - z)w + 1$ ,

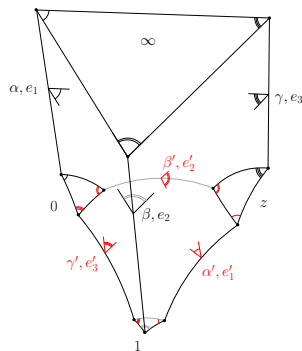
$$(-1, \infty, 0, 1/(z - 1)) \mapsto (z, \infty, 1, 0)$$

namely  $0 \leftrightarrow z$  and  $1 \leftrightarrow \infty$ , Then

$$e_1(0, \infty) \leftrightarrow e'_1(z, 1)$$

$$e_2(1, \infty) \leftrightarrow e_2(\infty, 1)$$

$$e_3(z, \infty) \leftrightarrow e'_3(0, 1)$$



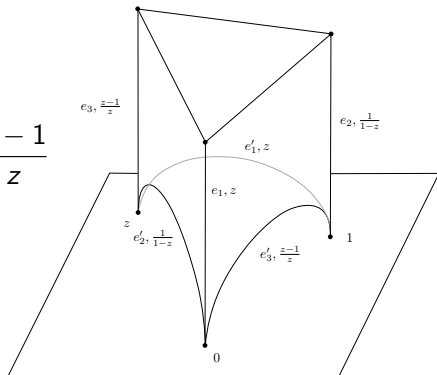
The Euclidean triangles  $\Delta_0$ ,  $\Delta_1$ ,  $\Delta_\infty$  and  $\Delta_z$  are all similar, one can be mapped to another by Möbius transformation, this implies  $z(e_k) = z(e'_k)$

# Edge Invariance

$$z(e_1) = z \quad z(e_2) = \frac{1}{1-z} \quad z(e_3) = \frac{z-1}{z} \quad (1)$$

$$z(e_1)z(e_2)z(e_3) = -1$$

$$1 - z(e_1) + z(e_1)z(e_3) = 0$$



# Edge Invariance

$$z(e_1) = z \quad z(e_2) = \frac{1}{1-z} \quad z(e_3) = \frac{z-1}{z} \quad (2)$$

$$z(e_1)z(e_2)z(e_3) = -1$$

$$1 - z(e_1) + z(e_1)z(e_3) = 0$$

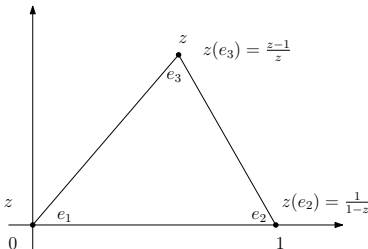


Figure: Edge invariances.

# Consistency & Completeness Equations



# Hyperbolic Ideal Triangulation

## Definition (Triangulation)

Let  $\Delta_1, \dots, \Delta_n$  be identical copies of the standard oriented 3-simplex. A *triangulation*  $\mathcal{T}$  is a partition of the  $4n$  faces of the tetrahedra into  $2n$  pairs, and for each pair a simplicial isometry between two faces. The triangulation is *oriented* if the simplicial isometries are orientation-reversing. If we glue the tetrahedra along the simplicial isometries we get a topological space  $X$ . Let  $M$  be  $X$  minus the vertices of the triangulation: we say that  $\tau$  is an *ideal triangulation* for  $M$ .

# Hyperbolic Ideal Triangulation

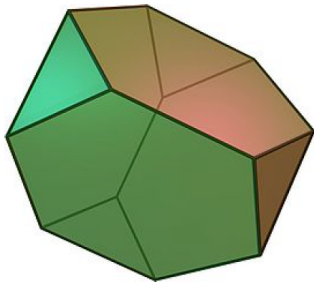


Figure: A truncated tetrahedron.

## Proposition

*If  $\mathcal{T}$  is oriented then  $M$  is a topological 3-manifold, homeomorphic to the interior of a compact oriented manifold with boundary.*

## Proof.

To prove  $M$  is a manifold, we only need to check that a point  $x \in e$  has a neighborhood homeomorphic to an open ball. A cycle of tetrahedra is attached to  $e$ , and since  $\mathcal{T}$  is oriented we are certain that a neighborhood of  $x$  is a cone over a 2-sphere and not over a projective plane.

# Hyperbolic Ideal Triangulation

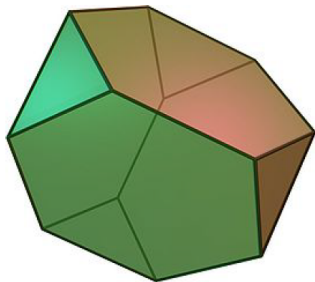


Figure: A truncated tetrahedron.

## Proof.

If we truncate the tetrahedron before gluing them, we get a compact manifold  $N \subset M$  with boundary such that

$$M \setminus N \cong \partial N \times [0, 1).$$

Therefore  $M$  is homeomorphic to  $\text{int}(N)$ . □

# Hyperbolic Structure - Consistency equation

Let  $\mathcal{T}$  be an oriented ideal triangulation with tetrahedra  $\Delta_1, \dots, \Delta_n$  of a 3-manifold  $M$ , where each  $\Delta_i$  is ideal hyperbolic tetrahedron. The bijection between two ideal triangles is realised by a unique hyperbolic isometry.

We glue  $h$  tetrahedra around each edge  $e$ . Let  $z_1, z_2, \dots, z_h$  be the complex moduli associated to the edges of the  $h$  tetrahedra incident to  $e$ . The sum of dihedral angles equals to  $2\pi$ , and the product of the complex moduli equals to 1.

# Hyperbolic Structure - Consistency Condition

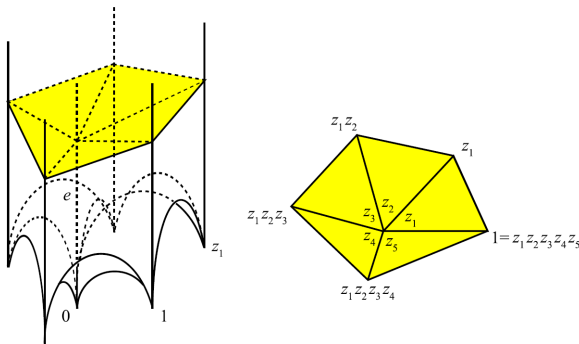


Figure: The consistency equation.

The consistency equations are (assuming  $\Im m(z_i) > 0$  for all  $i$ ),

$$z_1 z_2 \dots z_h = 1$$

$$\arg(z_1) + \arg(z_2) + \dots + \arg(z_h) = 2\pi$$

# Consistency Equation

Gluing of ideal tetrahedra. Fix an edge  $e$  of the gluing, and let  $T_1$  be a tetrahedron with vertices  $0, 1, \infty, z(e_1)$ , where  $e_1$  running from  $0$  to  $\infty$  is glued to  $e$ ; then glue  $T_2$  with  $0, 1, \infty, z(e_2)$  to  $T_1$   $e_2$  running from  $0$  to  $\infty$  is glued to  $e$ , the fourth vertex is at  $z(e_1)z(e_2)$ . Repeat this procedure. The fourth vertex of the final tetrahedron will be at

$$z(e_1)z(e_2) \cdots z(e_n).$$

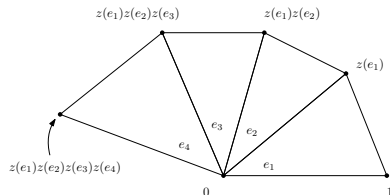


Figure: Gluing equation.

# Consistency Equation

## Theorem (Consistency Equation)

Let  $M^3$  admit a topological ideal triangulation such that each ideal tetrahedron has a hyperbolic structure. The hyperbolic structures on the ideal tetrahedra induce a hyperbolic structure on the gluing,  $M$ , if and only if for each edge  $e$ ,

$$\prod z(e_i) = 1 \quad \sum \arg(z(e_i)) = 2\pi,$$

where the product and sum are over edges that glue to  $e$ .

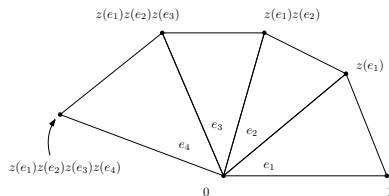


Figure: Gluing equation.

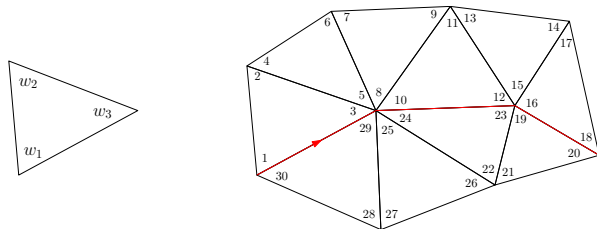
# Hyperbolic Structure - Completeness Equation

Our goal is to construct a *complete finite-volume* hyperbolic metric on  $M$ . If  $M$  has such a metric the link of every ideal vertex of  $\mathcal{T}$  is a triangulated torus and identifies a cusp of  $M$ . Namely,  $M$  is the interior of a compact 3-manifold  $N$  bounded by some tori.

Every boundary torus  $T \subset \partial N$  is triangulated by  $\mathcal{T}$ . Every triangle in  $T$  is the truncation triangle of some  $\Delta_i$  and hence inherits the complex moduli of the three adjacent edges of  $\Delta_i$ , thus it has a Euclidean structure well-defined up to similarities. The triangle Euclidean structure determines a Euclidean structure of the torus  $T$ .



# Hyperbolic Structure - Completeness Equation



**Figure:** Completeness equation.  $\mu(\gamma) = -w_{30} w_{29} w_{25} w_{24} w_{23} w_{19} w_{20}$

## Definition ( $\mu$ homomorphism)

Pick  $\gamma \in \pi_1(T)$ , define  $\mu(\gamma) \in \mathbb{C}^*$  to be  $(-1)^{|\gamma|}$  times the product of all the complex moduli that  $\gamma$  encounters at its right side with  $|\gamma|$  being the number of edges of  $\gamma$ .

# Hyperbolic Structure - Completeness Equation

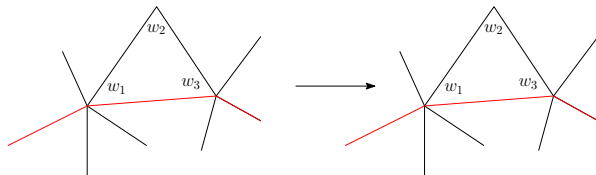


Figure: Completeness equation  $\mu(\gamma)$ .

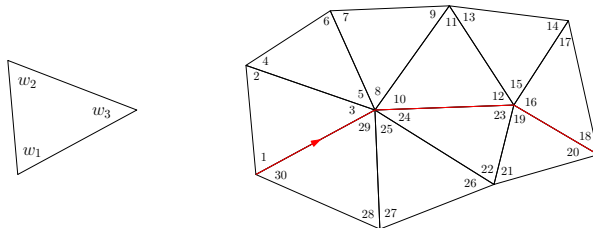
## Proposition

The element  $\mu(\gamma)$  is well-defined and  $\mu : \pi_1(T) \rightarrow \mathbb{C}^*$  is a homomorphism.

## Proof.

Two different paths for  $\gamma$  are related by moves in the figure. This move does not affect  $\mu(\gamma)$  since  $w_1 w_2 w_3 = -1$  and the product of the moduli around a vertex is  $+1$ . The map  $\mu$  is clearly a homomorphism. □

# Hyperbolic Structure - Completeness Equation



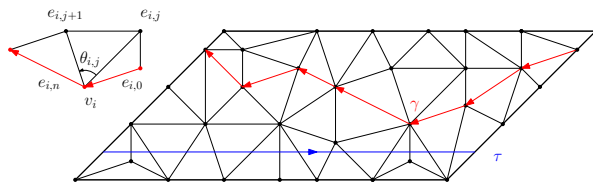
Let  $C(T) \subset M$  be a closed collar of the torus  $T$  in  $N$ , intersected with  $M$ , which is diffeomorphic to  $T \times [0, +\infty)$ .

## Proposition

*The following facts are equivalent:*

- ① *the homomorphism  $\mu$  is trivial,*
- ② *there is a Euclidean structure on  $T$  that induces all the moduli,*
- ③ *the manifold  $C(T)$  is complete and contains a truncated cusp.*

# Hyperbolic Structure - Completeness Equation



Let  $T$  be a flat torus,  $\gamma$  is a path.  $v_i \in \gamma$ , the incident edges on the right side are  $e_{i,0}, \dots, e_{i,n}$ , the complex angle between  $e_{i,j}$  and  $e_{i,j+1}$  is  $w_{i,j}$

$$w_{i,j} = \frac{|e_{i,j+1}|}{|e_{i,j}|} e^{\sqrt{-1}\theta_{i,j}}$$

therefore

$$\prod_{i,j} w_{i,j} = \prod_{i,j} \frac{|e_{i,j+1}|}{|e_{i,j}|} e^{\sqrt{-1}\theta_{i,j}} = e^{\sqrt{-1}\sum_{i,j} \theta_{i,j}} = e^{\sqrt{-1}(\sum_i \pi - k_i)} = (-1)^{|\gamma|}$$

Let  $\tau$  be a straight line on  $T$  homotopic to  $\gamma$ ,  $\gamma$  and  $\tau$  bound a flat annulus, by Gauss-Bonnet, we obtain  $\sum_i k_i = 0$ .

# Hyperbolic Structure - Completeness Equation

## Corollary

*The hyperbolic manifold  $M$  is complete if and only if  $\mu$  is trivial for every torus  $T \subset \partial N$ .*

## Proposition

*Let  $\mathcal{T}$  be an ideal triangulation of  $M = \text{int}(N)$  with  $n$  tetrahedra and  $\partial N$  consisting of  $c$  tori. If a point  $z = (z_1, \dots, z_n)$  with  $\text{Im}(z_i) > 0$  satisfies the  $n$  consistency equations and the  $2c$  completeness equations, then  $M$  admits a finite-volume complete hyperbolic metric.*