

# Curvature Flow for Hyperbolic 3-Manifolds with Geodesic Boundaries

David Gu

Computer Science Department  
Stony Brook University

*gu@cs.stonybrook.edu*

August 27, 2024

# Hyperbolic 3-manifold

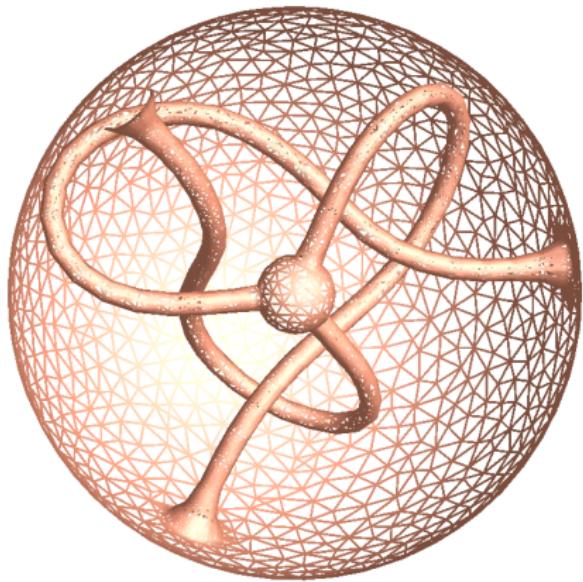
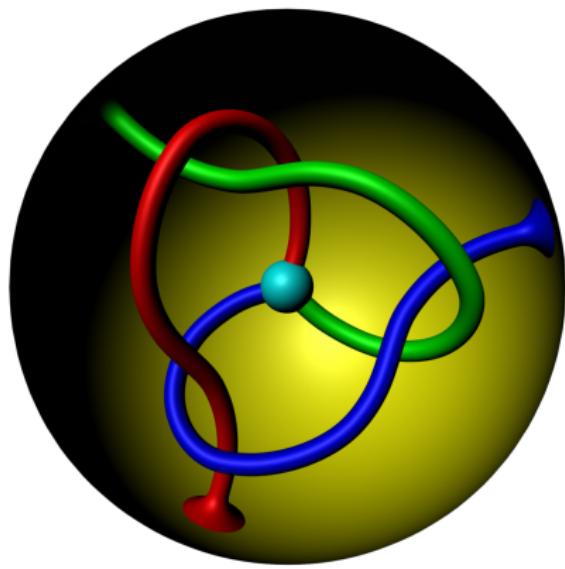


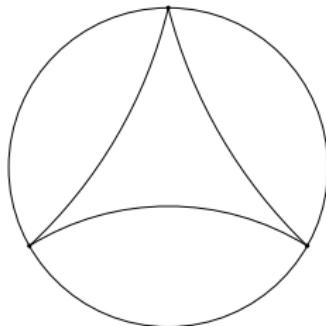
Figure: Thurston Knotted Y-shape.

## Definition (Hyperbolic 3-manifold with Geodesic Boundaries)

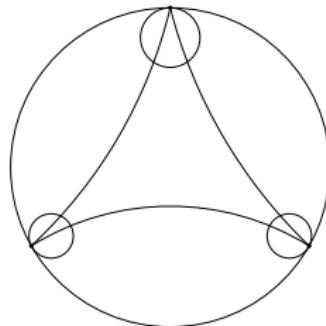
A hyperbolic 3-manifolds with geodesic boundaries have the following topological properties:

- ① The genus of boundary surfaces are greater than one.
- ② For any closed curve on the boundary surface, if it cannot shrink to a point on the boundary, then it cannot shrink to a point inside the volume.

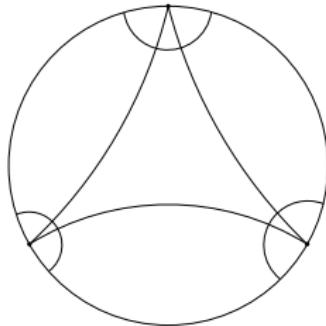
# Hyperbolic Ideal Triangles



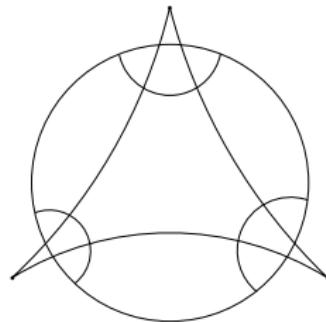
a. ideal triangle



b. decorated ideal triangle



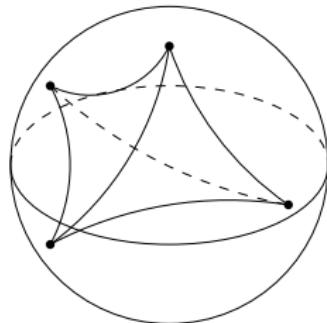
c. hyperideal triangle



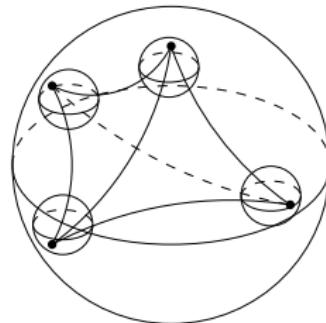
d. strictly hyperideal triangle

Figure: Ideal hyperbolic triangles.

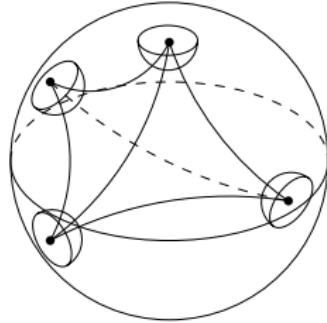
# Hyperbolic Ideal Tetrahedra



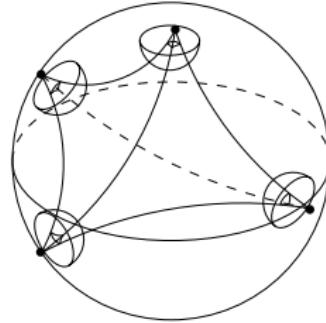
a. ideal tetrahedron



b. decorated ideal tetrahedron



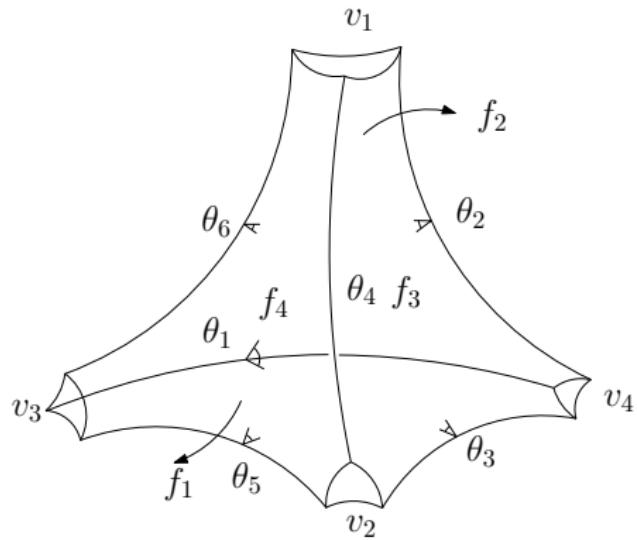
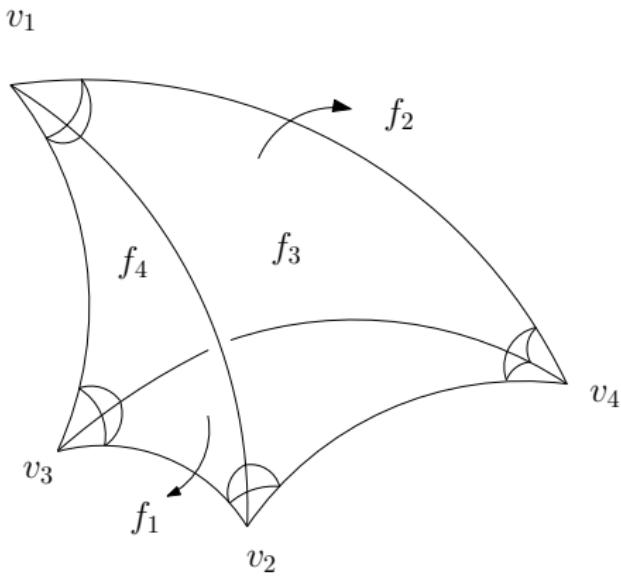
c. hyperideal tetrahedron



d. strictly hyperideal tetrahedron

Figure: Ideal hyperbolic tetrahedra.

# Strictly Hyperideal Tetrahedron



**Figure:** Strictly hyperideal tetrahedron  $[v_1, v_2, v_3, v_4]$ , each face  $f_i$  is a hyperbolic plane, each edge  $e_{ij}$  is a hyperbolic line segment.

# Strictly Hyperideal Tetrahedron

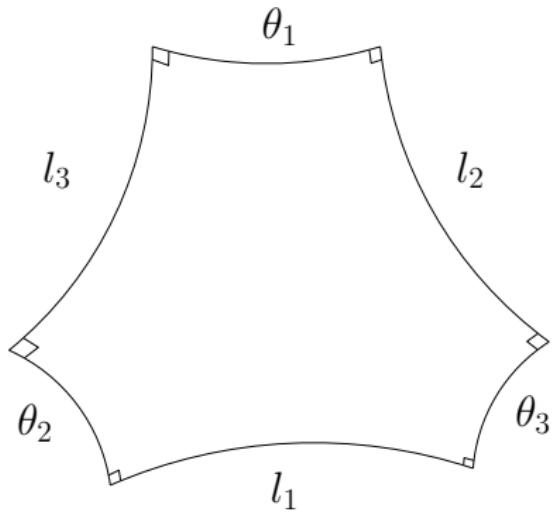


Figure: Hyperbolic hexagon.

The four vertices are truncated by hyperbolic planes,

- the cutting plane at vertex  $v_i$  is perpendicular to the edges  $e_{ij}, e_{ik}, e_{il}$ .
- Each face is a right-angled hyperbolic hexagon;
- Each cutting section at the vertex is a hyperbolic triangle.

# Strictly Hyperideal Tetrahedron

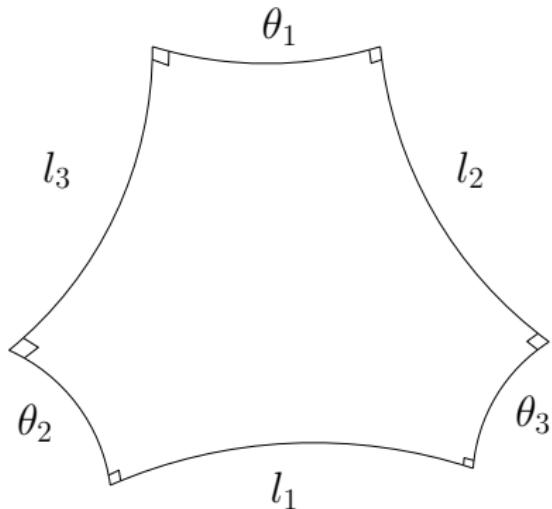


Figure: Hyperbolic hexagon.

Hyperbolic cosine law

$$\cosh l_i = \frac{\cosh \theta_i + \cosh \theta_j \cosh \theta_k}{\sinh \theta_j \sinh \theta_k}$$

$$\cosh \theta_i = \frac{\cosh l_i + \cosh l_j \cosh l_k}{\sinh l_j \sinh l_k}$$

# Strictly Hyperideal Triangle

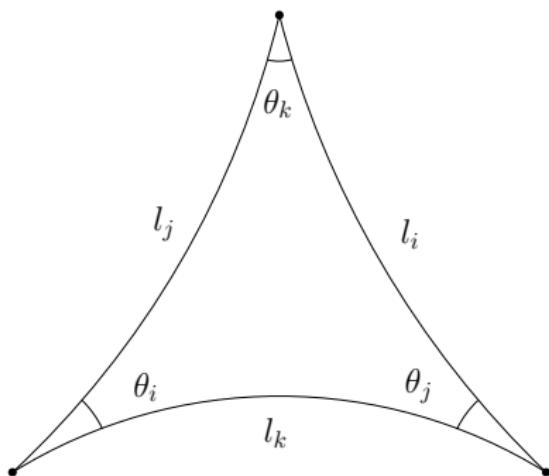


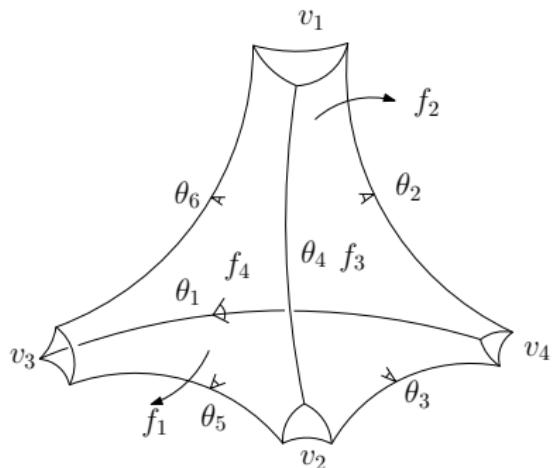
Figure: Hyperbolic triangle.

Hyperbolic cosine law

$$\cosh l_i = \frac{\cos \theta_i + \cos \theta_j \cosh \theta_k}{\sin \theta_i \sin \theta_k}$$

$$\cos \theta_i = \frac{\cosh l_i - \cosh l_j \cosh l_k}{\sinh l_j \sinh l_k}$$

# Strictly Hyperideal Tetrahedron



## Theorem (Schlenker)

*The volume of a strictly hyperideal tetrahedron is a strictly concave function of its dihedral angles.*

$$\left( \frac{\partial^2 V}{\partial \theta_i \partial \theta_j} \right) (\theta_1, \theta_2, \theta_3, \theta_4, \theta_5, \theta_6)$$

Figure: Strictly hyperbolic tetrahedron. is negative definite.

# Volume Formula

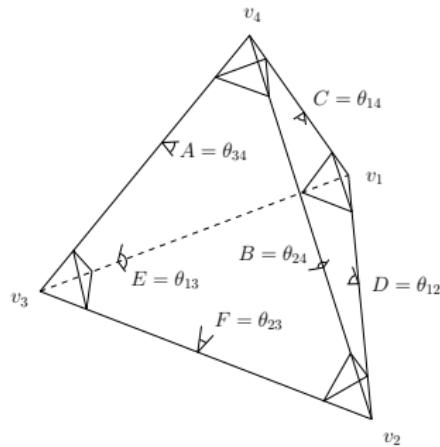


Figure: Volume formula.

Let  $T = T(A, B, C, D, E, F)$  be a strictly hyperideal tetrahedron in  $\mathbb{H}^3$  whose dihedral angles are  $A, B, C, D, E, F$ . Let  $G$  be the *Gram matrix* of  $T$ :

$$G = \begin{pmatrix} 1 & -\cos A & -\cos B & -\cos F \\ -\cos A & 1 & -\cos C & -\cos E \\ -\cos B & -\cos C & 1 & -\cos D \\ -\cos F & -\cos E & -\cos D & 1 \end{pmatrix}$$

# Volume Formula

Let  $a = \exp(\sqrt{-1}A), b = \exp(\sqrt{-1}B), \dots, f = \exp(\sqrt{-1}F)$ , and let  $U(z, T)$  be the complex-valued function defined as:

$$U(z, T) := \frac{1}{2} \{ Li_2(z) + Li_2(abcdez) + Li_2(acdfz) + Li_2(bcefz) \\ - Li_2(-abcz) - Li_2(-aefz) - Li_2(-bdfz) - Li_2(-cdez) \}$$

where  $Li_2(z)$  is the dilogarithm function defined by the analytic continuation of the integral

$$Li_2(z) := - \int_0^z \frac{\log(1-t)}{t} dt = \sum_{k=1}^{\infty} \frac{z^k}{k^2}, \quad z \in \mathbb{C}.$$

# Volume Formula

We denote by  $z_1$  and  $z_2$  the two complex numbers defined as follows:

$$z_1 := -2 \frac{\sin A \sin D + \sin B \sin E + \sin C \sin F - \sqrt{\det G}}{ad + be + cf + abf + ace + bcd + def + abcdef},$$
$$z_2 := -2 \frac{\sin A \sin D + \sin B \sin E + \sin C \sin F + \sqrt{\det G}}{ad + be + cf + abf + ace + bcd + def + abcdef}.$$

## Theorem

The volume  $V(T)$  of a strictly hyperideal tetrahedron  $T$  is given as

$$V(T) = \frac{1}{2} \Im(U(z_1, T) - U(z_2, T)),$$

where  $\Im$  means the imaginary part.

# Strictly Hyperideal Tetrahedron

By Schläfli formula

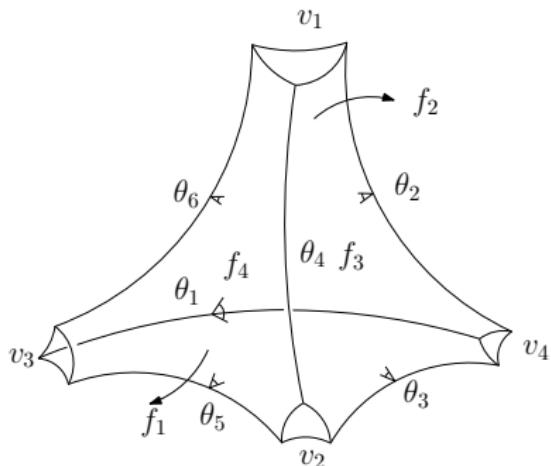


Figure: Strictly hyperbolic tetrahedron.

$$dV = -\frac{1}{2} \sum_i x_i d\theta_i,$$

we obtain

$$\frac{\partial V}{\partial \theta_i} = -\frac{1}{2} x_i.$$

and the Hessian is negative definite:

$$\left( \frac{\partial^2 V}{\partial \theta_i \partial \theta_j} \right) = -\frac{1}{2} \left( \frac{\partial x_i}{\partial \theta_j} \right) < 0.$$

# Strictly Hyperideal Tetrahedron

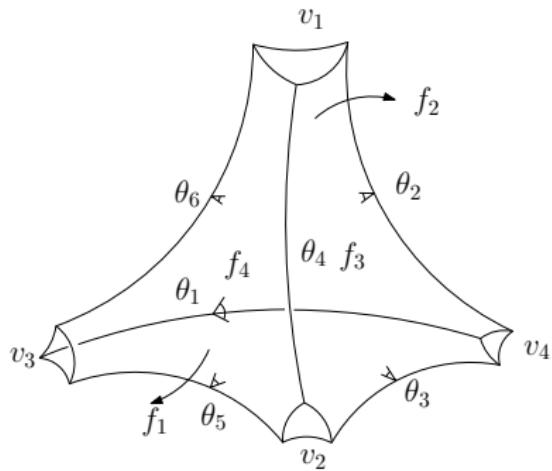


Figure: Strictly hyperideal tetrahedron.

## Lemma

The energy form defined on the strictly hyperbolic tetrahedron  $\Delta$

$$H_{\Delta}(x) = 2V_{\Delta}(x) + \sum_{i=1}^6 x_i \theta_i$$

is strictly convex.

# Strictly Hyperideal Tetrahedron

Proof.

By Schläfli

$$\begin{aligned} dH_{\Delta} &= 2dV_{\Delta} + \sum_i (dx_i \theta_i + x_i d\theta_i) \\ &= -\sum_i x_i d\theta_i + \sum_i dx_i \theta_i + \sum_i x_i d\theta_i \\ &= \sum_i \theta_i dx_i. \end{aligned}$$

$$\left( \frac{\partial^2 H}{\partial x_i \partial x_j} \right) = \left( \frac{\partial x_i}{\partial \theta_j} \right) = \left( \frac{\partial \theta_i}{\partial x_j} \right)^{-1} > 0.$$

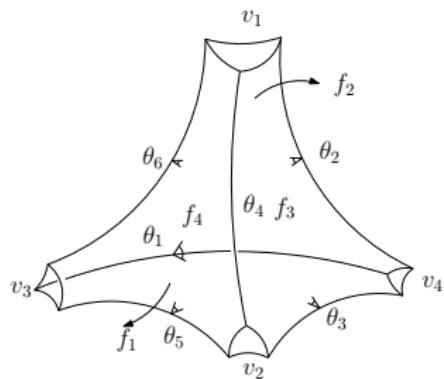
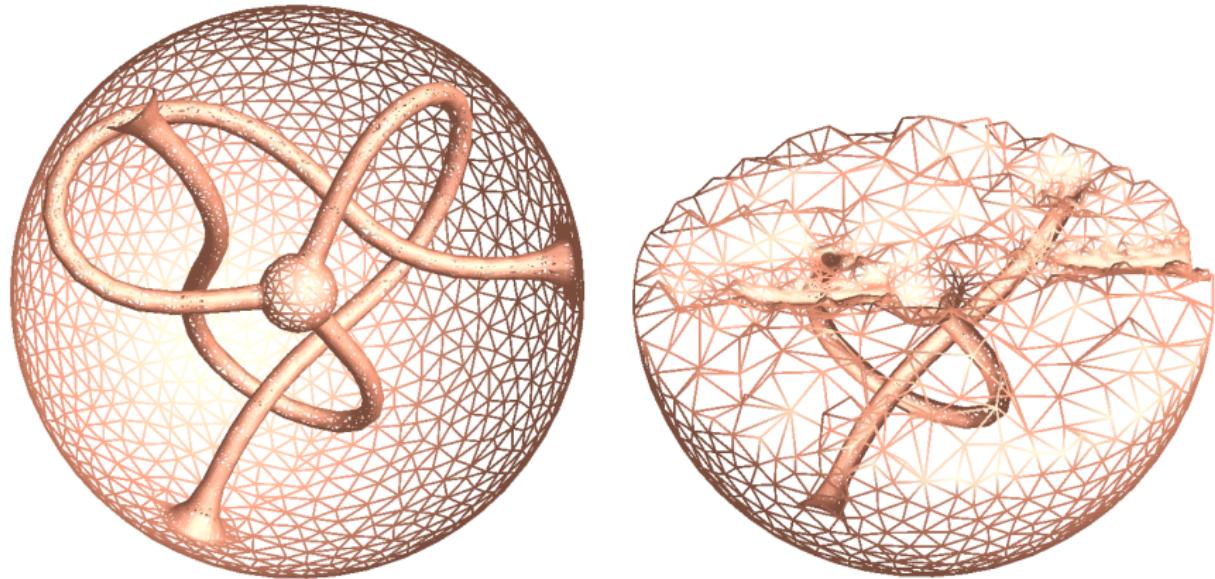


Figure: Strictly hyperbolic tetrahedron.

# Initial Triangulation



**Figure:** Given the boundary surface triangulation, we use the volumetric Delaunay refinement algorithm to tessellate the interior volume with tetrahedra.

# Augmented Tetrahedral Mesh

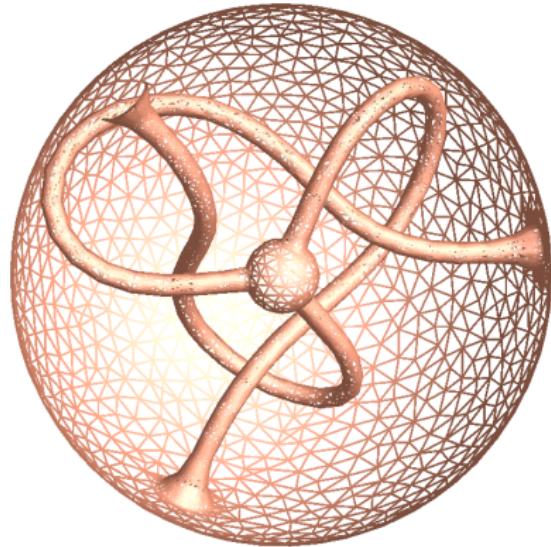
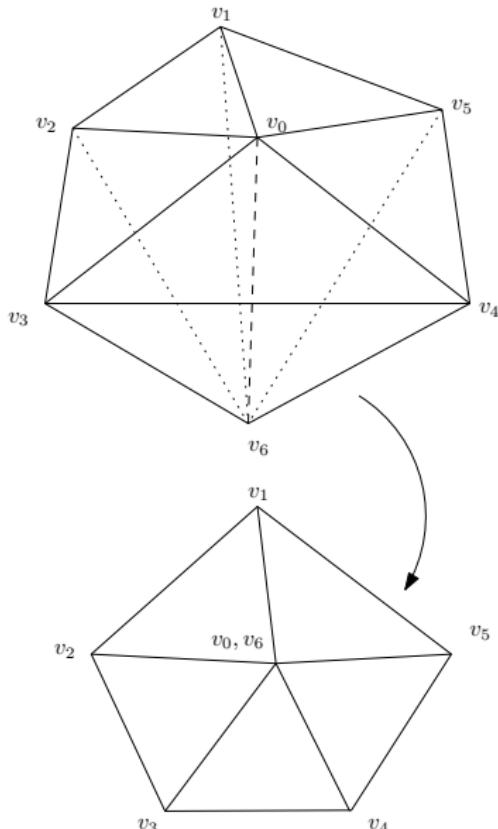


Figure: Thurston's knotty Y-shape.

Suppose the boundary components are  $\partial M = \bigcup_{i=1}^n S_i$ . Create a cone vertex  $v_i$  for each boundary component  $S_i$ ; for each triangle face  $f_j \in S_i$ , construct a tetrahedron  $T_j^i = (f_j, v_i)$ , whose vertex set consists of  $v_i$  and those of  $f_j$ .  $M$  is augmented with a set of cone vertices and a set of newly constructed tetrahedra.

# Volumetric Mesh Simplification



Take the *edge collapsing* operation iteratively, until all the vertices are removed except for those cone vertices  $\{v_1, v_2, \dots, v_n\}$  created in the previous step.

# Volumetric Mesh Simplification

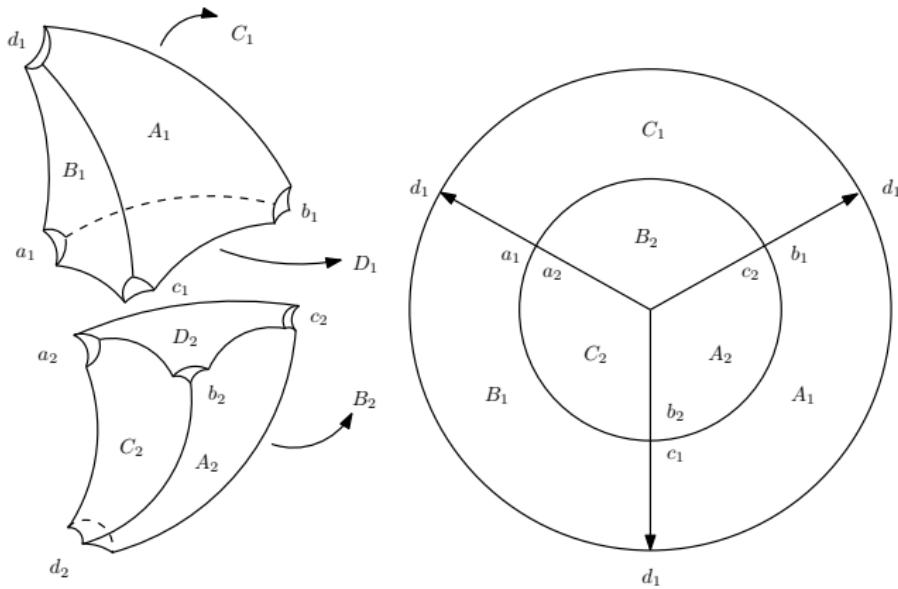


Figure: Simplified triangulation.

For each tetrahedron in the simplified triangulation, trim off its vertices by the original boundary surface, and make it a truncated tetrahedron (strictly hyperideal tetrahedron).

# Volumetric Mesh Simplification

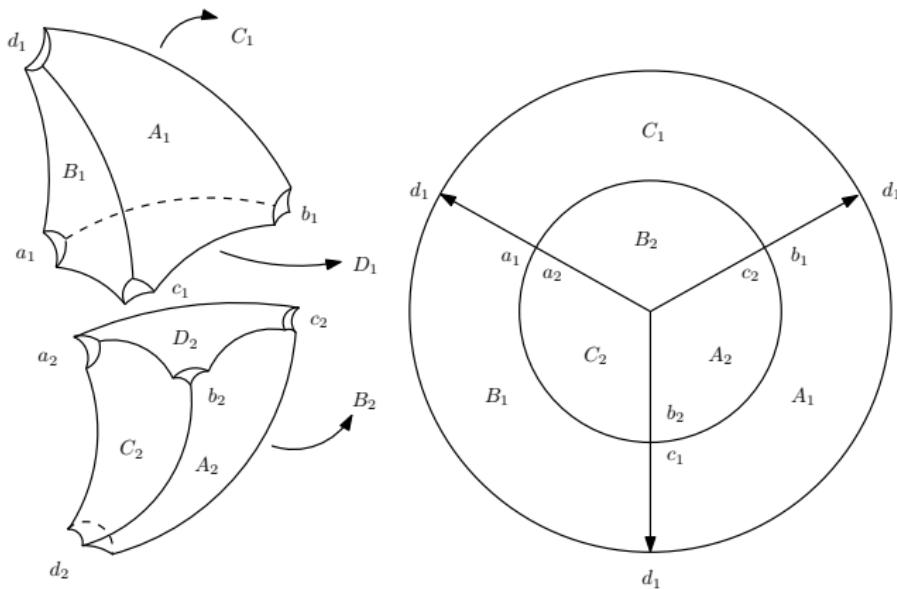


Figure: Simplified triangulation.

The edge collapsing operation doesn't change the fundamental group of the 3-manifold, by Mostow rigidity, the hyperbolic structure is unchanged.

# Volumetric Mesh Simplification

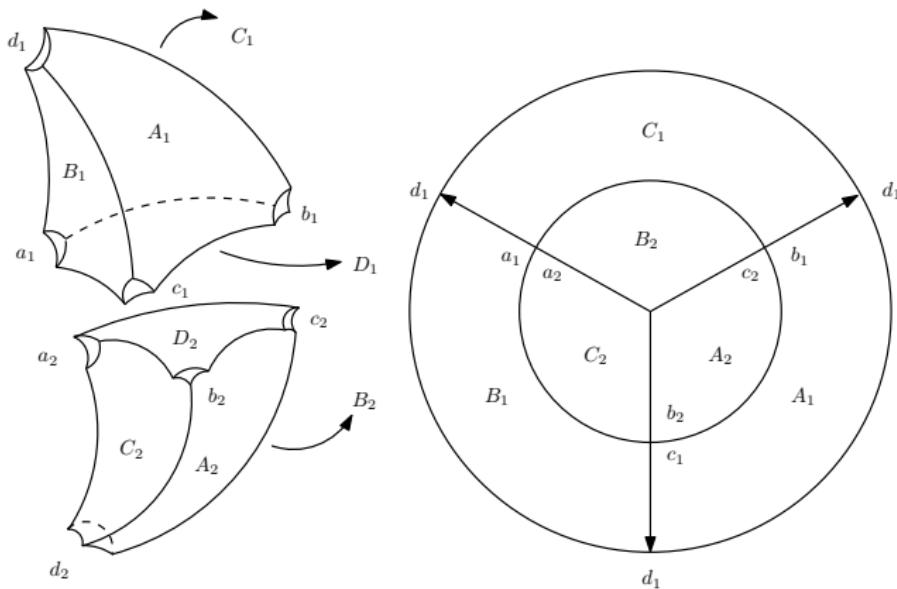
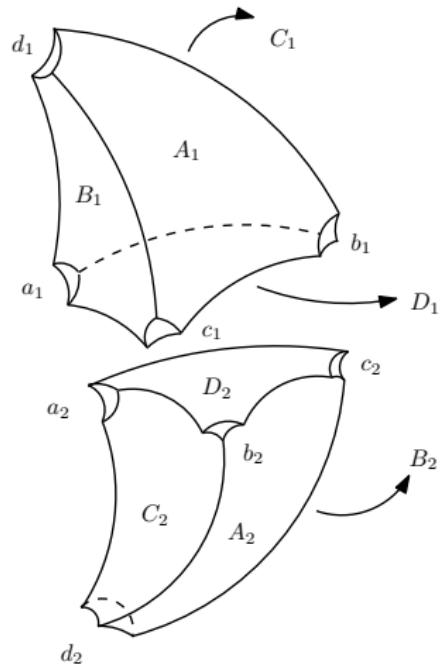


Figure: Simplified triangulation.

The edge collapsing operation doesn't change the fundamental group of the 3-manifold, by Mostow rigidity, the hyperbolic structure is unchanged.

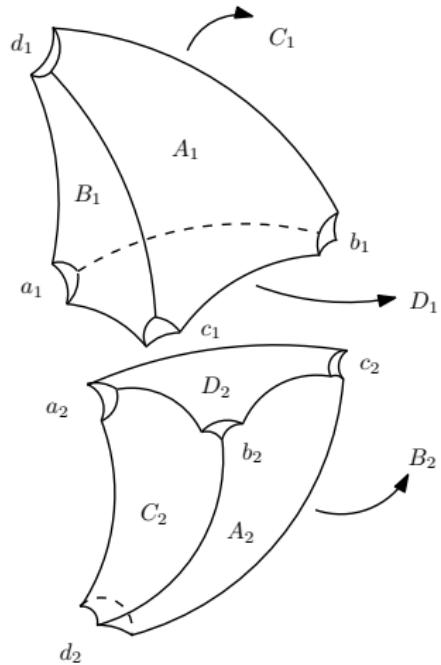
# Gluing Pattern



- $A_1 \leftrightarrow B_2 \{b_1 \leftrightarrow c_2, d_1 \leftrightarrow a_2, c_1 \leftrightarrow d_2\}$
- $B_1 \leftrightarrow A_2 \{c_1 \leftrightarrow b_2, d_1 \leftrightarrow c_2, a_1 \leftrightarrow d_2\}$
- $C_1 \leftrightarrow C_2 \{a_1 \leftrightarrow a_2, d_1 \leftrightarrow b_2, b_1 \leftrightarrow d_2\}$
- $D_1 \leftrightarrow D_2 \{a_1 \leftrightarrow a_2, b_1 \leftrightarrow c_2, c_1 \leftrightarrow b_2\}$

**Figure:** Strictly hyperideal triangulation.

# Hyperbolic Cone Metric



**Figure:** Strictly hyperideal triangulation.

## Definition (Hyperbolic Cone Metric)

Given an ideal triangulated 3-manifold  $(M, T)$ , let  $\mathcal{E}(T)$  be the set of edges in  $T$  and let  $n$  be the number of edges in  $\mathcal{E}(T)$ . An assignment  $x : \mathcal{E}(T) \rightarrow \mathbb{R}_{>0}$  is called a *hyperbolic cone metric associated to the triangulation  $T$*  if for each tetrahedron  $\Delta \in T$  with edges  $e_1, e_2, \dots, e_6$ , the numbers  $x_i = x(e_i)$ , are the edge lengths of a hyperideal tetrahedron in  $\mathbb{H}^3$ .

# Vertex and Edge Curvature

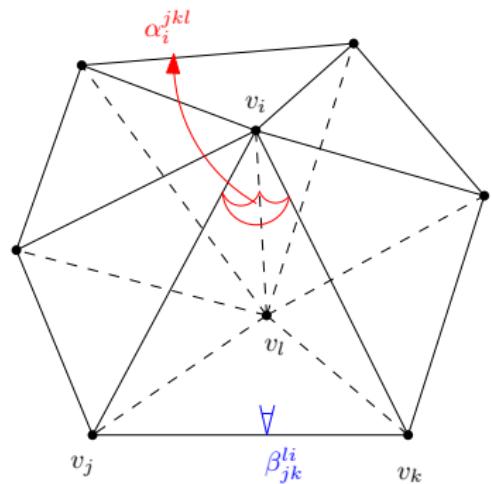


Figure: Discrete Curvatures.

## Definition (Curvatures)

Given a 3-manifold  $M$  represented as a tetrahedron mesh  $T$ , for a tetrahedron  $[v_i, v_j, v_k, v_l]$ , let  $\{\alpha_i^{jkl}, \alpha_j^{kli}, \alpha_k^{lij}, \alpha_l^{ijk}\}$  denote the solid angles at the vertices,  $\{\beta_{ij}^{kl}, \beta_{kl}^{ij}, \beta_{jk}^{li}, \beta_{li}^{jk}, \beta_{ki}^{lj}, \beta_{lj}^{ki}\}$ , edge curvature is defined as

$$K(e_{ij}) = \begin{cases} 2\pi - \sum_{kl} \beta_{ij}^{kl} & e_{ij} \notin \partial M \\ \pi - \sum_{kl} \beta_{ij}^{kl} & e_{ij} \in \partial M \end{cases}$$

vertex curvature

$$K(v_i) = \begin{cases} 4\pi - \sum_{jkl} \alpha_i^{jkl} & v_i \notin \partial M \\ 2\pi - \sum_{jkl} \alpha_i^{jkl} & v_i \in \partial M \end{cases}$$

# Hilber-Einstein Action

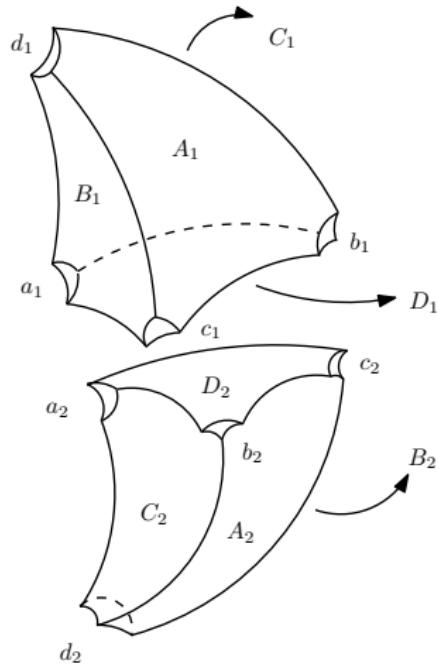


Figure: Strictly hyperideal triangulation.

## Definition (Hilbert-Einstein Action)

Given an ideal triangulated 3-manifold  $(M, T)$ , the set of all hyperbolic cone metrics associated to  $T$  is denoted by  $\Omega(M, T)$ . The Hilber-Einstein action on the metric space is defined as  $H : \Omega(M, T) \rightarrow \mathbb{R}$ :

$$H(x) := 2V_M(x) - \sum_{\{i,j\} \in \mathcal{E}(T)} x_{ij} K_{ij}$$

# Hilber-Einstein Action

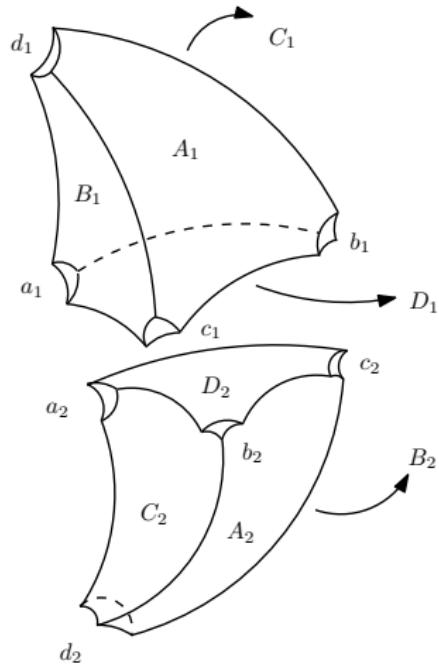


Figure: Strictly hyperideal triangulation.

## Lemma

The Hilbert-Einstein action  
 $H : \Omega(M, T) \rightarrow \mathbb{R}$  is strictly convex.

## Proof.

Because the action equals to the summation of the convex energies defined on all tetrahedra plus a linear term,

$$H(x) = \sum_{\Delta \in T} H_\Delta(x) + 2\pi \sum_{ij \in \mathcal{E}(T)} x_{ij}$$

then it is strictly convex. □

# Curvature Flow

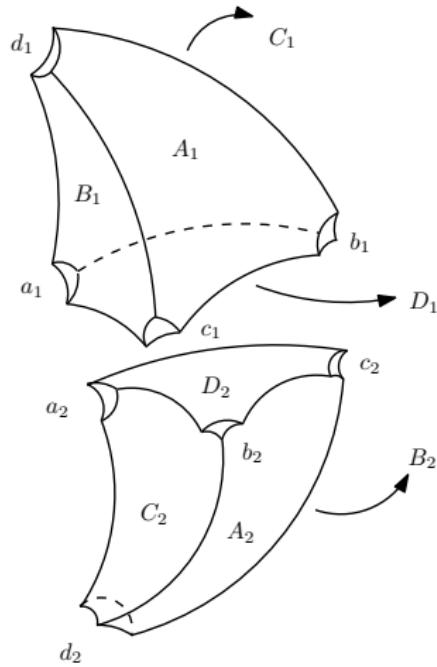


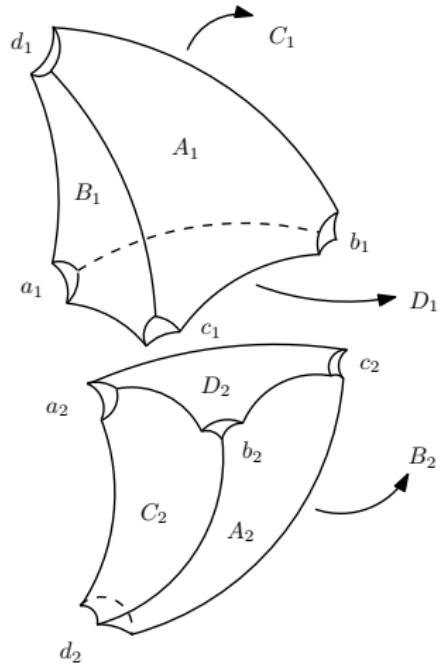
Figure: Strictly hyperideal triangulation.

## Definition (Discrete Curvature Flow)

Given a 3-manifold  $M$  with a hyperideal triangulation  $T$ , the *discrete curvature flow* is defined as

$$\frac{dx_{ij}}{dt} = K_{ij}(x).$$

# Curvature Flow



**Figure:** Strictly hyperideal triangulation.

## Theorem (Discrete Curvature Flow)

For any ideal triangulated 3-manifold, under the discrete curvature flow, the discrete edge curvature  $K_{ij}(t)$  evolves according to a discrete heat equation,

$$\frac{dK_{ij}(t)}{dt} = \sum_{\{\alpha, \beta\} \in \mathcal{E}(T)} h_{ij}^{\alpha\beta} K_{\alpha\beta}(t).$$

where the matrix  $[h_{ij}^{\alpha\beta}]_{n \times n}$  is symmetric negative definite. The total curvature

$$\sum_{\{i,j\} \in \mathcal{E}(T)} K_{ij}(t)^2$$

is strictly decreasing along the flow unless  $K_{ij}(t) = 0$  for all  $ij \in \mathcal{E}(T)$ .

# Curvature Flow

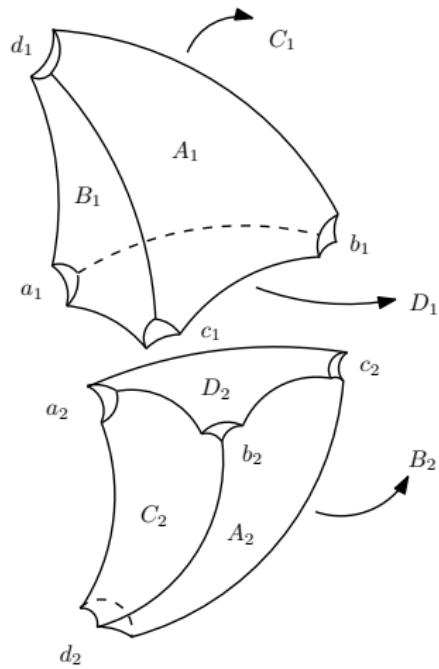


Figure: Strictly hyperideal triangulation.

Proof.

The gradient of the Hilber-Eistein action is  $\nabla H(x) = -K(x)$ , its Hessian  $D^2 H(x) = -(\partial K / \partial x) > 0$  is positive definite.

The curvature flow is  $dx/dt = K(x, t)$ , hence

$$\begin{aligned} dK(x, t)/dt &= (\partial K / \partial x) dx/dt \\ &= (-D^2 H)K(x, t) \end{aligned}$$

where  $-D^2 H < 0$ . Hence

$$\begin{aligned} \frac{d \sum K_{ij}^2}{dt} &= \frac{d \langle K, K \rangle}{dt} = 2K^T \frac{dK}{dt} \\ &= 2K^T (-D^2 H)K < 0. \end{aligned}$$

# Curvature Flow

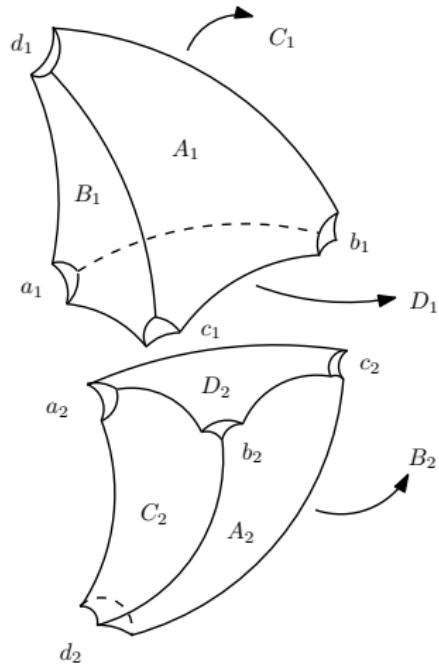


Figure: Strictly hyperideal triangulation.

Theorem (Equilibrium of Curvature Flow)

For any ideal triangulated 3-manifold  $(M, T)$ , the equilibrium points of the discrete curvature flow are the complete hyperbolic metric with totally geodesic boundary. Furthermore, each equilibrium point is a local attractor of the flow.

Proof.

Since  $\nabla H(x) = K(x)$ , at the equilibrium point  $x^*$  the curvature are zeros  $K(x^*) = 0$ . □

# Curvature Flow

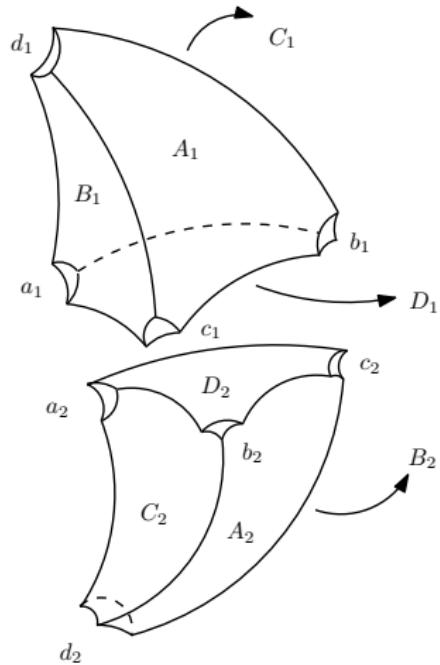


Figure: Strictly hyperideal triangulation.

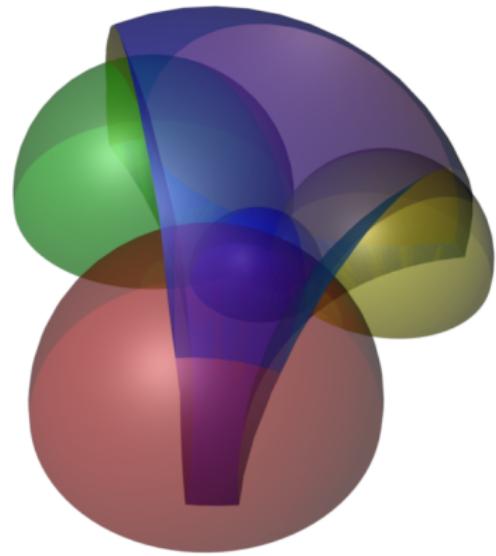
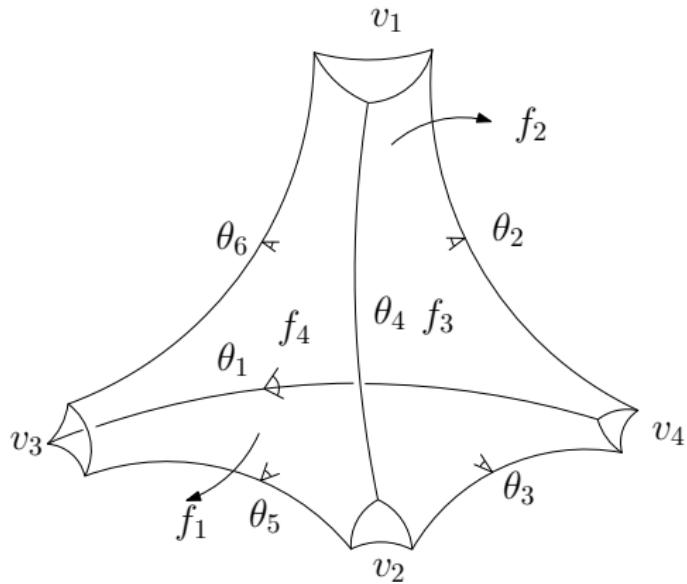
## Theorem (Curvature Map)

For any ideal triangulated 3-manifold  $(M, T)$ , the curvature map  $\Pi : \Omega(M, L) \rightarrow \mathbb{R}^n$ , sending a metric  $x$  to its curvature  $K(x)$  is a local diffeomorphism. In particular, a hyperbolic cone metric associated to an ideal triangulation is locally determined by its cone angles.

## Proof.

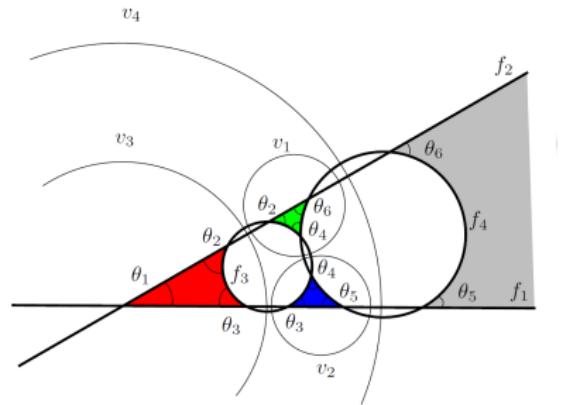
Since  $D^2 H(x) = -(\partial K / \partial x) > 0$ , it is the Jacobian matrix of the curvature map. □

# Realization of a hyperideal tetrahedron



**Figure:** Realization of a hyperideal tetrahedron, 4 faces are hyperbolic hexagons, 4 vertex sections are hyperbolic triangles.

# Realization of a hyperideal tetrahedron

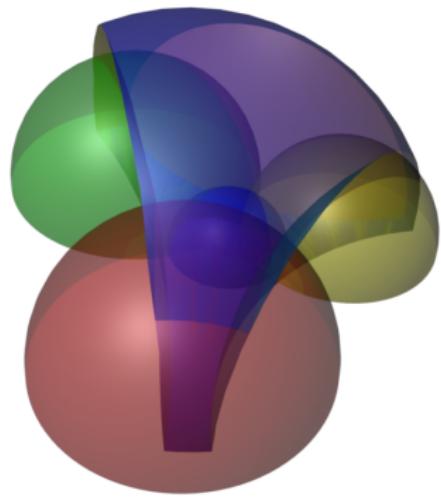
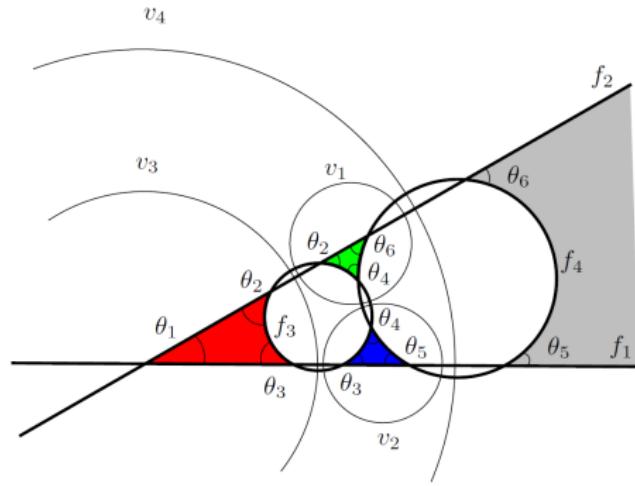


**Figure:** Realization of a hyperideal tetrahedron by circle pattern

Each hyperbolic plane intersect the  $\infty$ -plane at a circle.

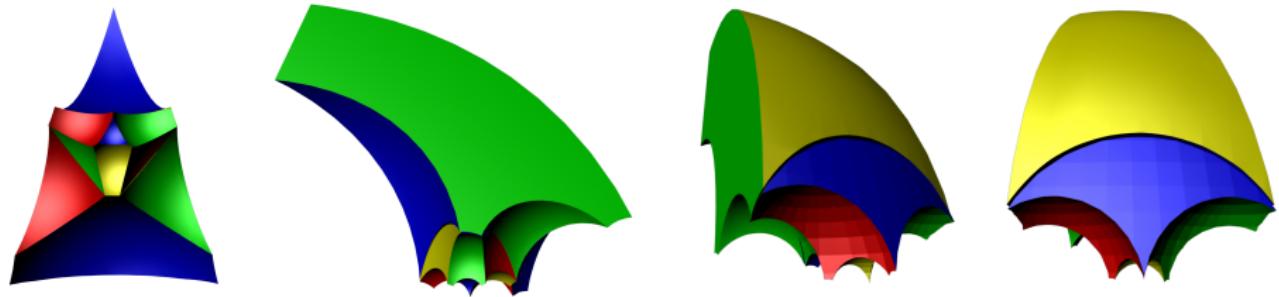
- ① Set the circle  $f_1$  to be the real axis;
- ② Set the circle  $f_2$  to be  $y = \tan \theta_1 x$ ;
- ③ Set the circle  $f_3$  to be the unit circle with center  $((\cos \theta_2 + \cos \theta_3) \cot \theta_1, \cos \theta_3)$ ,
- ④ circle  $v_i$  is orthogonal to circles  $f_j, f_k$  and  $f_l$ .

# Realization of a hyperideal tetrahedron



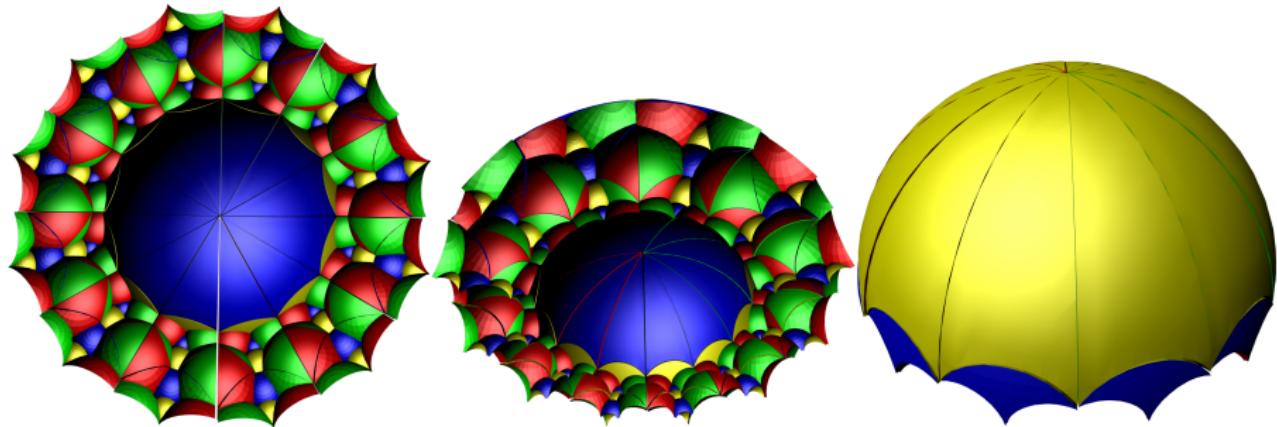
**Figure:** Replace each planar circle by a hemisphere, using CSG to build the hyperideal tetrahedron.

# Realization of a fundamental domain



**Figure:** Glue  $f_0 \in T_0$  with  $f_1 \in T_0$  by a Möbius transformation, such that the face circles and the vertex circles coincide.

# Realization of UCS



**Figure:** Glue the pair of hexagonal faces of different copies of the fundamental domains to construct a finite portion of the universal covering space.