## Math for the human - robot multilevel POMDP

## 1 Human (PO)MDP

This is a MDP that the human runs in their mind. It can be formalized as a POMDP, but right now we design it to be an MDP with a a belief distribution over the robot's distribution of objects. We repeatedly look at what the nested POMDP would look like to model our MDP.

- 1.  $S = \langle \iota, \mathcal{I}, d, H \rangle$ 
  - (a) Here  $\mathcal{I}$  is the set of items the robot can pass.
  - (b) Item  $\iota$  is the object the human wants, and this is hidden information for the robot.
  - (c) d is the dialogue state what question was asked previously by the robot.
  - (d) Here  $B(i) = P(i == \iota), \forall \in \mathcal{I}$  is the belief distribution of the robot for human's needed item for all the items in  $\mathcal{I}$ . In the POMDP formulation B would be part of the state that is hidden from the human. Instead we model a distribution that tracks B allowing the human to solve an MDP instead of nested POMDPs. We will go back to the nested POMDP model repeatedly to make sure our MDP model is equivalent.
  - \*2. Human's hunch of the robot's belief  $H = P(\widehat{B}|\eta)$ , where  $\widehat{B}$  is an estimate of the distribution of B, it is over the set of items  $\mathcal{I}$ .  $\eta$  is a set of priors that defines the distribution  $\widehat{B}$ , hence H is over the space of all possible priors values. We propose to use the Dirichlet distribution to model H. A set of values for possible priors need to be listed. These can be sampled so we have a fixed set of priors instead of infinite possible priors.
- 3.  $A_h = \langle l, g \rangle$ , where  $A_h$  is the human action set and l and g are language and gesture actions respectively.
- 4. If this were a POMDP we would need observation functions and a observation set.
  - (a)  $\Omega_h = \langle A_r \rangle$ , where  $A_r$  is the set of robot actions and  $\Omega_h$  is the set of human observations.

- (b)  $O = P(A_r|\iota, \mathcal{I}, d, H, B)$  is the observation function and it is hand coded by us so we know it, since we know the robots response to all the belief states.
- (c)  $T = P(\iota', \mathcal{I}', d', H', B'|\iota, \mathcal{I}, d, H, B, a_h, a_r) = P(\iota', \mathcal{I}', d'|\iota, \mathcal{I}, d, H, B, a_h, a_r) \times P(H'|\iota, \mathcal{I}, d, H, B, a_h, a_r) \times P(B'|\iota, \mathcal{I}, d, H, B, a_h, a_r)$  is the transition function.
- \*5. MDP formulation of this problem would not need the observation set or the observation functions, instead H would get updated based on  $A_r$  and  $A_h$ . This just has a transition function now defined as

$$T = P(\iota', \mathcal{I}', d', H' | \iota, \mathcal{I}, d, H, a_h, a_r)$$
 (1)

$$= P(\iota', \mathcal{I}', d'|\iota, \mathcal{I}, d, H, a_h, a_r) \times P(H'|\iota, \mathcal{I}, d, H, B, a_h, a_r)$$
 (2)

. The conditional independence of the human's hunch H from the distribution over the required item, or set of items left over or the last question asked comes from visible robot actions.  $P(H'|\iota,\mathcal{I},d,H,B,a_h,a_r)$  is being designed by us as an approximation and we need to think of data intensive methods of measuring this transition. If  $a_r$  is a pick action and i is the object picked:

$$P(\iota', \mathcal{I}', d'|\iota, \mathcal{I}, d, H, a_h, a_r) = \begin{cases} 1/|\mathcal{I}_1| & \text{if } i! = \iota \\ 0 & \text{otherwise} \end{cases}$$
 (3)

If  $a_r$  is an ask question

$$P(\iota', \mathcal{I}', d' | \iota, \mathcal{I}, d, H, a_h, a_r) = \begin{cases} 1 \text{ if } d' = \text{a.ask} \\ 0 & \text{otherwise} \end{cases}$$
 (4)

6. The reward for the human subject is not well defined, but we can assume that the net reward is for both human and the robot, since this is a cooperative domain.

## 2 Robot POMDP

- 1.  $S = \langle \iota, \mathcal{I}, d, Mo(H), B \rangle$ . Here Mo(H) is the mode of the distribution H which is unknown and B is the known belief over items. The belief state over items is part of the second level state.
- 2.  $A_r = \text{wait}$ , pick(object), ask(property), point(property)
- 3.  $\Omega_r = \langle l, g \rangle$
- \*4.  $O = P(o|\iota, \mathcal{I}, a_r, a_h, Mo(H))$ : this is a unigram based model, if we assume Mo(H) to be a countable set then we can count these observation probabilities, for different  $a_r$ ,  $\iota$  and Mo(H). We assume that there is a data intensive way of computing H repeated and calculate its mode Mo(H).

- \*5. T The transition is the same as the MDP transition function, except here we need to track only the Mo(H) instead of all of H. This approximation needs to be relaxed for correct modeling.
- 6. R: Reward function can be a combination of regular rewards like large penalties for a wrong pick and low for an ask, point, and wait. Small positive rewards for the correct pick. We have to figure out if a reward hack of KL(B||Mo(H)) is worth pursuing. We are not sure this can be called reward shaping as we don't know if the optimal policy will remain the same under this shaping function.