

All-optical machine learning using diffractive deep neural networks

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Deep learning has been transforming our ability to execute advanced inference tasks using computers. We introduce a physical mechanism to perform machine learning by demonstrating an all-optical Diffractive Deep Neural Network (D²NN) architecture that can implement various functions following the deep learning-based design of passive diffractive layers that work collectively. We create 3D-printed D²NNs that implement classification of images of handwritten digits and fashion products as well as the function of an imaging lens at terahertz spectrum. Our all-optical deep learning framework can perform, at the speed of light, various complex functions that computer-based neural networks can implement, and will find applications in all-optical image analysis, feature detection and object classification, also enabling new camera designs and optical components that perform unique tasks using D²NNs.

Deep learning is one of the fastest-growing machine learning methods (1), and it uses multi-layered artificial neural networks implemented in a computer to digitally learn data representation and abstraction, and perform advanced tasks, comparable to or even superior than the performance of human experts. Recent examples where deep learning has made major advances in machine learning include medical image analysis (2), speech recognition (3), language translation (4), image classification (5), among others (1, 6). Beyond some of these mainstream applications, deep learning methods are also being used for solving inverse imaging problems (7–13).

We introduce an all-optical deep learning framework, where the neural network is physically formed by multiple layers of diffractive surfaces that work in collaboration to optically perform an arbitrary function that the network can statistically learn. While the inference/prediction of the physical network is all-optical, the learning part that leads to its design is done through a computer. We term this framework as Diffractive Deep Neural Network (D²NN) and demonstrate its inference capabilities through both simulations and experiments. Our D²NN can be physically created by using several transmissive and/or reflective layers (14), where each point on a given layer either transmits or reflects the incoming wave, representing an artificial neuron that is connected to other neurons of the following layers through optical diffraction (Fig. 1A). Following Huygens' Principle, our terminology is based on each point on a given layer acting as a secondary source of a wave, the amplitude and phase of which are determined by the product of the input wave and the complex-

valued transmission or reflection coefficient at that point; see (14) for an analysis of the waves within a D²NN. Therefore, an artificial neuron in a D²NN is connected to other neurons of the following layer through a secondary wave that is modulated in amplitude and phase by both the input interference pattern created by the earlier layers and the local transmission/reflection coefficient at that point. As an analogy to standard deep neural networks (Fig. 1D), one can consider the transmission/reflection coefficient of each point/neuron as a multiplicative “bias” term, which is a learnable network parameter that is iteratively adjusted during the training process of the diffractive network, using an error back-propagation method. After this numerical training phase, the D²NN design is fixed and the transmission/reflection coefficients of the neurons of all the layers are determined. This D²NN design, once physically fabricated using e.g., 3D-printing, lithography, etc., can then perform, at the speed of light, the specific task that it is trained for, using only optical diffraction and passive optical components/layers that do not need power, creating an efficient and fast way of implementing machine learning tasks.

In general, phase and amplitude of each neuron can be a learnable parameter, providing a complex-valued modulation at each layer, which improves the inference performance of the diffractive network (fig. S1) (14). For coherent transmissive networks with phase-only modulation, each layer can be approximated as a thin optical element (Fig. 1). Through deep learning, the phase values of the neurons of each layer of the diffractive network are iteratively adjusted (trained) to

perform a specific function by feeding training data at the input layer and then computing the network's output through optical diffraction. Based on the calculated error with respect to the target output, determined by the desired function, the network structure and its neuron phase values are optimized using an error back-propagation algorithm, which is based on the stochastic gradient descent approach used in conventional deep learning (14).

To demonstrate the performance of D²NN framework, we first trained it as a digit classifier to perform automated classification of handwritten digits, from zero to nine (Figs. 1B and 2A). For this task, phase-only transmission masks were designed by training a 5-layer D²NN with 55,000 images (5,000 validation images) from MNIST (Modified National Institute of Standards and Technology) handwritten digit database (15). Input digits were encoded into the amplitude of the input field to the D²NN, and the diffractive network was trained to map input digits into ten detector regions, one for each digit. The classification criterion was to find the detector that has the maximum optical signal and this was also used as a loss function during the network training (14).

After its training, the design of the D²NN digit classifier was numerically tested using 10,000 images from MNIST test dataset (which were not used as part of the training or validation image sets) and achieved a classification accuracy of 91.75% (Fig. 3C and fig. S1). In addition to the classification performance of the diffractive network, Fig. 3C also reports the energy distribution observed at the network output plane for the same 10,000 test digits, the results of which clearly demonstrate that the diffractive network learned to focus the input energy of each handwritten digit into the correct (i.e., the target) detector region that it was trained for. As reported in figs. S1 and S2, by using complex-valued modulation and increasing the number of layers, neurons and connections in the diffractive network, our classification accuracy can be further improved; for example, figure S2 demonstrates a Lego-like physical transfer learning behavior for D²NN framework, where the inference performance of an already existing D²NN can be further improved by adding new diffractive layers to it or in some cases by peeling off (i.e., discarding) some of the existing layers, where the new layers to be added are trained for improved inference (coming from the entire diffractive network: old and new layers). Using a patch of 2 layers added to an existing and fixed D²NN design ($N = 5$), we improved our MNIST classification accuracy to 93.39% (fig. S2) (14); the state-of-the-art convolutional neural network performance has been reported as 99.60%-99.77% (16-18). More discussion on reconfiguring D²NN designs is provided in (14).

Following these numerical results, we 3D-printed our 5-layer D²NN design (Fig. 2A), with each layer having an area of 8×8 cm, followed by ten detector regions defined at the output plane of the diffractive network (Figs. 1B and 3A), and

tested its inference performance using continuous wave illumination at 0.4 THz (Figs. 2, C and D). Phase values of each layer's neurons were physically encoded using the relative thickness of each 3D-printed neuron. Numerical testing of this 5-layer D²NN design achieved a classification accuracy of 91.75% over ~10,000 test images (Fig. 3C), and to quantify the match between these numerical testing results and our experiments, we 3D-printed 50 handwritten digits i.e., 5 different inputs per digit, selected among the same 91.75% of the test images that numerical testing was successful. For each input object that is uniformly illuminated with the THz source, we imaged the output plane of the D²NN to map the intensity distribution for each detector region that is assigned to a digit. The results (Fig. 3B) demonstrate the success of the 3D-printed diffractive neural network and its inference capability: the average intensity distribution at the output plane of the network for each input digit clearly reveals that the 3D-printed D²NN was able to focus the input energy of the beam and achieve a maximum signal at the corresponding detector region that was assigned for that digit. Despite 3D-printing errors, possible alignment issues and other experimental error sources in our set-up (see (14)), the match between the experimental and numerical testing of our 5-layer D²NN design was found to be 88% (Fig. 3B). This relatively small reduction in the performance of the experimental network compared to our numerical testing is especially more pronounced for the digit "0" since it is challenging to 3D-print the large void region at the center of the digit; similar printing challenges were also observed for other digits that have void regions, e.g., "6", "8", "9" (Fig. 3B).

Next, we tested the classification performance of D²NN framework with a more complicated image dataset, i.e., the Fashion MNIST (19), which includes ten classes, each representing a fashion product (t-shirts, trousers, pullovers, dresses, coats, sandals, shirts, sneakers, bags, and ankle boots; see fig. S3 for sample images). In general, for a coherently illuminated D²NN we can use the amplitude and/or phase channels of the input plane to represent data to be classified. In our digit classification results reported earlier, input objects were encoded using the amplitude channel, and to demonstrate the utility of the phase channel of the network input, we encoded each input image corresponding to a fashion product as a phase-only object modulation (14). Our D²NN inference results (as a function of the number of layers, neurons and connections) for classification of fashion products are summarized in figs. S4 and S5. To provide an example of its performance, a phase-only and a complex-valued modulation D²NN with $N = 5$ diffractive layers (sharing the same physical network dimensions as the digit classification D²NN shown in Fig. 2A) reached an accuracy of 81.13% and 86.33%, respectively (fig. S4). By increasing the number of diffractive layers to $N = 10$ and the total number of neurons

to 0.4 million, our classification accuracy increased to 86.60% (fig. S5). For convolutional neural net based standard deep learning, the state-of-the-art performance for Fashion MNIST classification accuracy has been reported as 96.7%, using ~8.9 million learnable parameters and ~2.5 million neurons (20).

To experimentally demonstrate the performance of fashion product classification using a physical D²NN, we 3D-printed our phase-only 5-layer design and 50 fashion products used as test objects (i.e., 5 per class) based on the same procedures employed for digit classification diffractive network (Figs. 2A and 3), except that each input object information was encoded in the phase channel. Our results are summarized in Fig. 4, revealing a 90% match between the experimental and numerical testing of our 5-layer D²NN design, with 5 errors out of 50 fashion products. Compared to digit classification (6 errors out of 50 digits, Fig. 3), here we got a slightly better match between the experimental and numerical testing results (despite the more challenging nature of Fashion MNIST dataset), which might be related to the fact that we used the phase channel to encode input image information for fashion products, which does not suffer from the challenges associated with 3D-printing of void regions, such as in digits “0”, “6”, “8”, and “9” (Fig. 3).

Next, we tested the performance of a phase-only D²NN, composed of five 3D-printed transmission layers (Fig. 2B), which was trained using ImageNet database (21) to create a unit-magnification image of the input optical field amplitude at its output plane (~9 × 9 cm), i.e., the output image has the same physical size as the input object (14). As illustrated in fig. S6, A and C, the trained network initially connects every single amplitude point at the input plane to various neurons and features of the following layers, which then focus the light back to a point at the output (i.e., image) plane, which is, as expected, quite different than the case of free-space diffraction (i.e., without the presence of the diffractive network), illustrated in fig. S6, B and D.

After its training and blind testing, numerically proving the imaging capability of the network as shown in figs. S6 and S7, next we 3D-printed this designed D²NN. Using the same experimental set-up shown in Fig. 2, C and D, we imaged the output plane of the 3D-printed D²NN for various input objects that were uniformly illuminated by continuous wave radiation at 0.4 THz. Fig. S8 summarizes our experimental results achieved with this 3D-printed D²NN, which successfully projected unit-magnification images of the input patterns at the output plane of the network, learning the function of an imaging lens, or a physical auto-encoder. To evaluate the point spread function of this D²NN, we imaged pinholes with different diameters (1 mm, 2 mm and 3 mm), which resulted in output images, each with a full-width-at-half-maximum (FWHM) of 1.5 mm, 1.4 mm and 2.5 mm, respectively (fig. S8B). Our results also revealed that the printed

network can resolve a line-width of 1.8 mm at 0.4 THz (corresponding to a wavelength of 0.75 mm in air), which is slightly worse in resolution compared to the numerical testing of our D²NN design, where the network could resolve a line-width of ~1.2 mm (fig. S7C). This experimental degradation in the performance of the diffractive network can be due to e.g., 3D-printing errors, potential misalignments and absorption related losses in the 3D-printed network (also see (14)).

Optical implementation of learning in artificial neural networks is promising due to the parallel computing capability and power efficiency of optical systems (22–24). Compared to previous opto-electronics based learning approaches (22, 25–27), the D²NN framework provides a unique all-optical deep learning engine that efficiently operates at the speed of light using passive components and optical diffraction. An important advantage of D²NNs is that they can be easily scaled up using various high-throughput and large-area 3D fabrication methods (e.g., soft-lithography, additive manufacturing) and wide-field optical components and detection systems, to cost-effectively reach tens to hundreds of millions of neurons and hundreds of billions of connections in a scalable and power-efficient manner. For example, integration of D²NNs with lensfree on-chip imaging systems (28, 29) could provide extreme parallelism within a cost-effective and portable platform. Such large-scale D²NNs might be transformative for various applications, including image analysis, feature detection, object classification, and might also enable new microscope or camera designs that can perform unique imaging tasks using D²NNs. To achieve these, a monolithic D²NN design that combines all the layers of the network as part of a 3D fabrication method would be desirable. Among other techniques, laser lithography based on two-photon polymerization (30) can provide a desired solution for creating such monolithic D²NNs.

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SUPPLEMENTARY MATERIALS

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Materials and Methods

Figs. S1 to S16

References (31–46)

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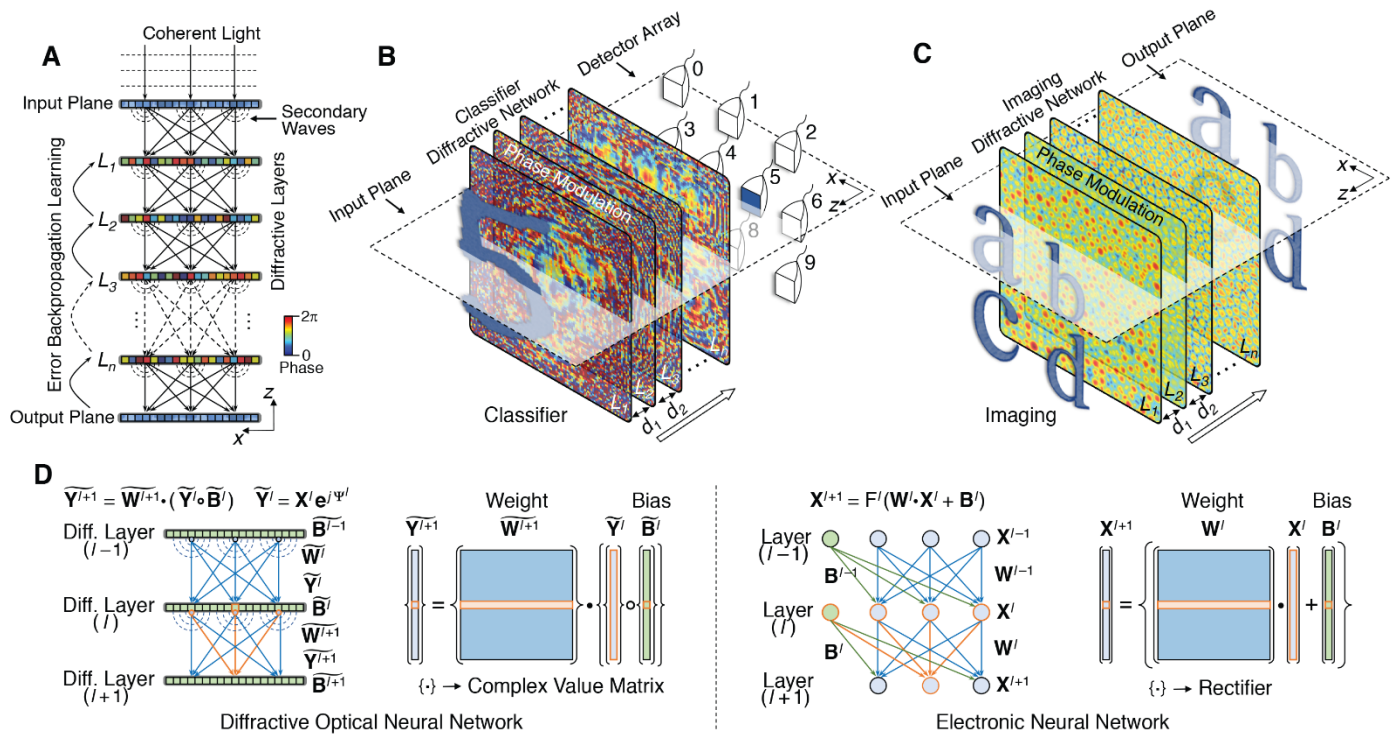


Fig. 1. Diffractive Deep Neural Networks (D²NN). (A) D²NN comprises multiple transmissive (or reflective) layers, where each point on a given layer acts as a neuron, with a complex-valued transmission (or reflection) coefficient. These transmission/reflection coefficients of each layer can be trained using deep learning to perform a function between the input and output planes of the network. After this learning phase, the D²NN design is fixed, and once it is fabricated or 3D-printed, it performs the learned function at the speed of light. We trained and experimentally implemented different types of D²NNs: (B) classifier (for handwritten digits and fashion products) and (C) imaging lens. (D) A comparison between D²NN and a conventional neural network is presented (also see (14)). Based on coherent waves, D²NN operates on complex-valued inputs, with multiplicative bias terms. Weights in a D²NN are based on free-space diffraction and determine the coherent interference of the secondary waves that are phase and/or amplitude modulated by the previous layers. “ \odot ” refers to a Hadamard product operation. Electronic neural network refers to the conventional neural network virtually implemented in computer.

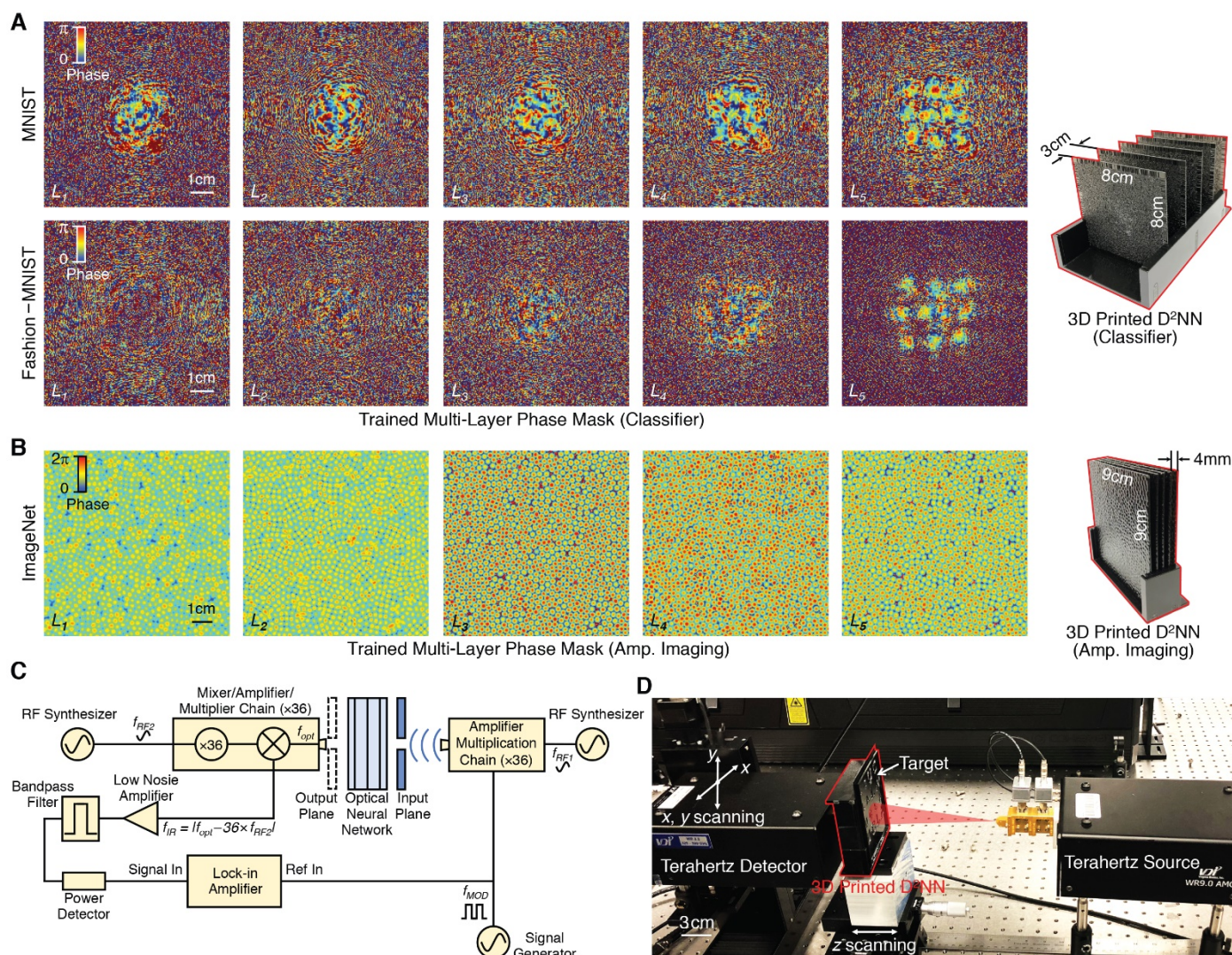


Fig. 2. Experimental testing of 3D-printed D²NNs. (A and B) After the training phase, the final designs of five different layers (L_1, L_2, \dots, L_5) of the handwritten digit classifier, fashion product classifier, and the imaging lens D²NNs are shown. To the right of the network layers, a picture of the corresponding 3D-printed D²NN is shown. A schematic (C) and a picture (D) of the experimental THz setup are shown. An amplifier/multiplier chain was used to generate continuous wave radiation at 0.4 THz and a mixer/amplifier/multiplier chain was used for the detection at the output plane of the network.

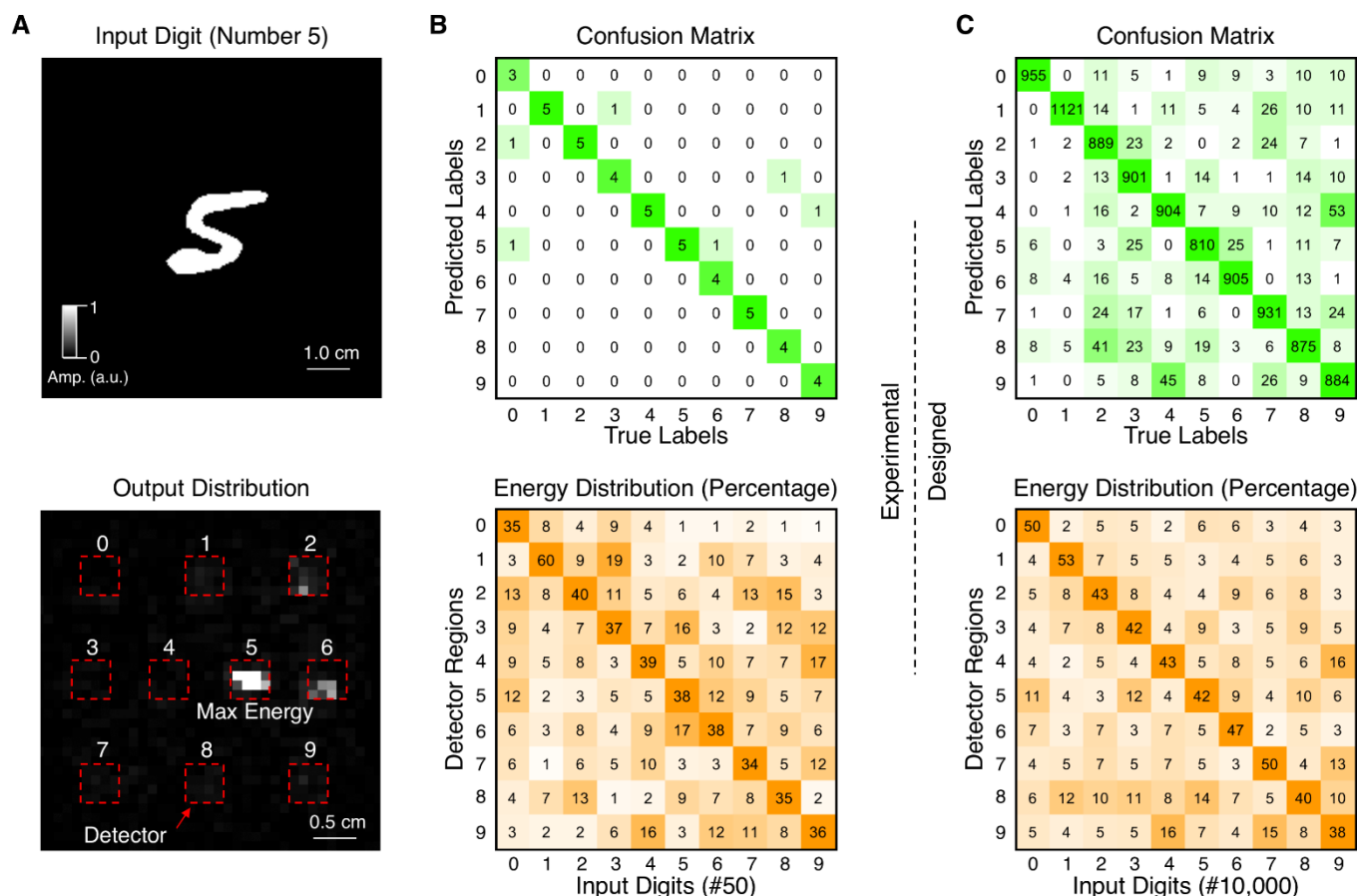


Fig. 3. Handwritten digit classifier D²NN. (A) A 3D-printed D²NN successfully classifies handwritten input digits (0, 1, ..., 9) based on 10 different detector regions at the output plane of the network, each corresponding to one digit. As an example, the output image of the 3D-printed D²NN for a handwritten input of “5” is demonstrated, where the red dotted squares represent the trained detector regions for each digit. Other examples of our experimental results are also shown in fig. S9. (B) shows the confusion matrix and the energy distribution percentage for our experimental results, using 50 different handwritten digits that were 3D-printed (i.e., 5 for each digit) selected among the images that numerical testing was successful. (C) is the same as (B), except it summarizes our numerical testing results for 10,000 different handwritten digits (~1,000 for each digit), achieving a classification accuracy of 91.75% using a 5-layer design. Our classification accuracy increased to 93.39% by increasing the number of diffractive layers to 7 using a patch of 2 additional diffractive layers to an existing and fixed D²NN (fig. S2).

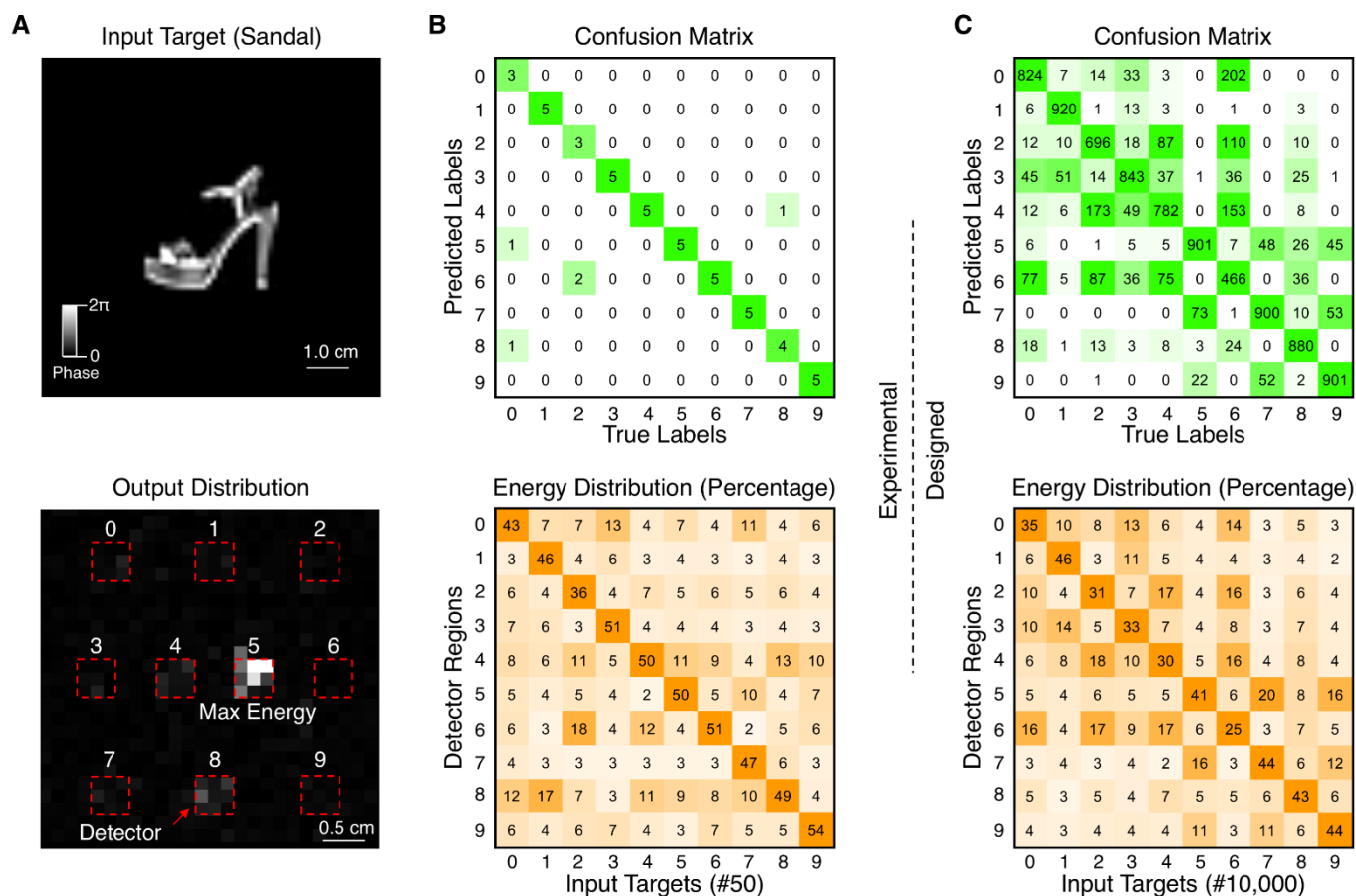


Fig. 4. Fashion product classifier D²NN. (A) As an example, the output image of the 3D-printed D²NN for a sandal input (Fashion MNIST class #5) is demonstrated, where the red dotted squares represent the trained detector regions for each fashion product. Other examples of our experimental results are also shown in fig. S10. (B) shows the confusion matrix and the energy distribution percentage for our experimental results, using 50 different fashion products that were 3D-printed (i.e., 5 per class) selected among the images that numerical testing was successful. (C) is the same as (B), except it summarizes our numerical testing results for 10,000 different fashion products (~1,000 per class), achieving a classification accuracy of 81.13% using a 5-layer design. By increasing the number of diffractive layers to 10, our classification accuracy increased to 86.60% (fig. S5).

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