

# Information Retrieval

Natural Language Processing

University of Maryland

Evaluation

Example Adapted from Ethen Liu

# Collection

```
docs = {0: "The sky is blue",  
        1: "The sun is bright today",  
        2: "The sun in the sky is bright",  
        3: "We can see the shining sun the bright sun"}
```

## Build the Vocab ( $V = 5$ )

```
original_frequency = Counter()
for doc in docs:
    for word in docs[doc].split():
        word_frequency[word.lower()] += 1
vocab = [x for x, y in word_frequency.most_common(5)]
```

## Build the Vocab ( $V = 5$ )

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original_frequency = Counter()
for doc in docs:
    for word in docs[doc].split():
        word_frequency[word.lower()] += 1
vocab = [x for x, y in word_frequency.most_common(5)]
[('the', 6), ('sun', 4), ('is', 3), ('bright', 3),
 ('sky', 2)]
```

## Censor the Vocab

```
['The sky is UNK',  
 'The sun is bright UNK',  
 'The sun UNK the sky is bright',  
 'UNK UNK UNK the UNK sun the bright sun']
```

## Doc Frequency

How many docs did each term appear in?

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Word	Document Frequency
UNK	4
bright	3
is	3
sky	2
sun	3
the	2

## Term Frequency

Original Salton paper uses absolute frequency and makes vectors unit length later; let's use raw frequency immediately.

	the	sun	is	bright	sky	UNK
0						The sky is UNK
1						The sun is bright UNK
2						The sun UNK the sky is bright
3						UNK UNK UNK the UNK sun the bright sun



## Term Frequency

Original Salton paper uses absolute frequency and makes vectors unit length later; let's use raw frequency immediately.

	the	sun	is	bright	sky	UNK	
0	0.00	0.00	0.25	0.00	0.25	0.25	The sky is UNK
1							The sun is bright UNK
2							The sun UNK the sky is bright
3							UNK UNK UNK the UNK sun the bright sun

## Term Frequency

Original Salton paper uses absolute frequency and makes vectors unit length later; let's use raw frequency immediately.

	the	sun	is	bright	sky	UNK	
0	0.00	0.00	0.25	0.00	0.25	0.25	The sky is UNK
1	0.00	0.20	0.20	0.20	0.00	0.20	The sun is bright UNK
2							The sun UNK the sky is bright
3							UNK UNK UNK the UNK sun the bright sun

## Term Frequency

Original Salton paper uses absolute frequency and makes vectors unit length later; let's use raw frequency immediately.

	the	sun	is	bright	sky	UNK	
0	0.00	0.00	0.25	0.00	0.25	0.25	The sky is UNK
1	0.00	0.20	0.20	0.20	0.00	0.20	The sun is bright UNK
2	0.14	0.14	0.14	0.14	0.14	0.14	The sun UNK the sky is bright
3							UNK UNK UNK the UNK sun the bright sun

## Term Frequency

Original Salton paper uses absolute frequency and makes vectors unit length later; let's use raw frequency immediately.

	the	sun	is	bright	sky	UNK	
0	0.00	0.00	0.25	0.00	0.25	0.25	The sky is UNK
1	0.00	0.20	0.20	0.20	0.00	0.20	The sun is bright UNK
2	0.14	0.14	0.14	0.14	0.14	0.14	The sun UNK the sky is bright
3	0.22	0.22	0.00	0.11	0.00	0.44	UNK UNK UNK the UNK sun the bright sun

## tf-idf

$$w_{i,j} = f_{i,j} \log\left(\frac{D}{d_i}\right) \quad (1)$$

Use log base 10

	the	sun	is	bright	sky	UNK
0	The sky is UNK					
1	The sun is bright UNK					
2	The sun UNK the sky is bright					
3	UNK UNK UNK the UNK sun the bright sun					

## tf-idf

$$w_{i,j} = f_{i,j} \log\left(\frac{D}{d_i}\right) \quad (1)$$

Use log base 10

	the	sun	is	bright	sky	UNK	
0	0.00	0.00	0.12	0.00	0.30	0.00	The sky is UNK
1							The sun is bright UNK
2							The sun UNK the sky is bright
3							UNK UNK UNK the UNK sun the bright sun

## tf-idf

$$w_{i,j} = f_{i,j} \log\left(\frac{D}{d_i}\right) \quad (1)$$

Use log base 10

	the	sun	is	bright	sky	UNK	
0	0.00	0.00	0.12	0.00	0.30	0.00	The sky is UNK
1	0.00	0.12	0.12	0.12	0.00	0.00	The sun is bright UNK
2							The sun UNK the sky is bright
3							UNK UNK UNK the UNK sun the bright sun

## tf-idf

$$w_{i,j} = f_{i,j} \log \left( \frac{D}{d_i} \right) \quad (1)$$

Use log base 10

	the	sun	is	bright	sky	UNK	
0	0.00	0.00	0.12	0.00	0.30	0.00	The sky is UNK
1	0.00	0.12	0.12	0.12	0.00	0.00	The sun is bright UNK
2	0.30	0.12	0.12	0.12	0.30	0.00	The sun UNK the sky is bright
3							UNK UNK UNK the UNK sun the bright sun



## tf-idf

$$w_{i,j} = f_{i,j} \log\left(\frac{D}{d_i}\right) \quad (1)$$

Use log base 10

	the	sun	is	bright	sky	UNK	
0	0.00	0.00	0.12	0.00	0.30	0.00	The sky is UNK
1	0.00	0.12	0.12	0.12	0.00	0.00	The sun is bright UNK
2	0.30	0.12	0.12	0.12	0.30	0.00	The sun UNK the sky is bright
3	0.60	0.25	0.00	0.12	0.00	0.00	UNK UNK UNK the UNK sun the bright sun

## Query Document

The shining sky ball

Don't use UNK token—just make unknown zero (but will in HW)

## Working out vector:

1. term frequency
2. document frequency
3. vector

## Working out vector:

### 1. term frequency

$$tf^{UNK} = 0.50 \quad (2)$$

$$tf^{the} = 0.25 \quad (3)$$

$$tf^{sky} = 0.25 \quad (4)$$

(5)

### 2. document frequency

### 3. vector

## Working out vector:

### 1. term frequency

$$tf^{UNK} = 0.50 \quad (2)$$

$$tf^{the} = 0.25 \quad (3)$$

$$tf^{sky} = 0.25 \quad (4)$$

(5)

### 2. document frequency

$$df^{UNK} = 4 \quad (6)$$

$$df^{the} = 2 \quad (7)$$

$$df^{sky} = 2 \quad (8)$$

(9)

### 3. vector

## Working out vector:

1. term frequency
2. document frequency

$$df^{\text{UNK}} = 4 \quad (2)$$

$$df^{\text{the}} = 2 \quad (3)$$

$$df^{\text{sky}} = 2 \quad (4)$$

(5)

3. vector

$$\text{tf-idf}^{\text{UNK}} = \frac{2}{4} \log_{10} \left( \frac{4}{4} \right) = 0.00 \quad (6)$$

$$\text{tf-idf}^{\text{the}} = \frac{1}{4} \log_{10} \left( \frac{4}{2} \right) = 0.30 \quad (7)$$

$$\text{tf-idf}^{\text{sky}} = \frac{1}{4} \log_{10} \left( \frac{4}{2} \right) = 0.30 \quad (8)$$

(9)

## Most similar document?

Use dot product  $\sum_i f_i \cdot g_i$

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Use dot product  $\sum_i f_i \cdot g_i$

$$0.30 \cdot (\text{sky}) \cdot 0.30 = 0.09 \quad = 0.000.30 \cdot (\text{the}) \cdot 0.60 + 0.30 \cdot (\text{sky}) \cdot 0.30 = 0.270.60 \cdot (\text{t})$$

(10)



## Exam-Style Question

Consider the source document:

*One Fish Two Fish Red Fish Blue Fish*

If you have two queries:

- blue
- fish

that have the same similarity to the source document and that “blue” ( $b = 10$ ) and “fish” ( $f = 100$ ) appear in the given number of documents, how many total documents are there ( $N$ )?

## Solution

$$\frac{1}{8} \left[ \log \left( \frac{N}{b} \right) \right]^2 = \frac{1}{2} \left[ \log \left( \frac{N}{f} \right) \right]^2 \quad (11)$$

(12)

(13)

(14)

## Solution

Representation is term frequency times idf. Blue appears only once in the source document (with eight words), query only has one word, so  $1 \cdot \frac{1}{8}$ .

$$\frac{1}{8} \left[ \log \left( \frac{N}{b} \right) \right]^2 = \frac{1}{2} \left[ \log \left( \frac{N}{f} \right) \right]^2 \quad (11)$$

(12)

(13)

(14)

## Solution

Fish appears  $\frac{4}{8}$  times.

$$\frac{1}{8} \left[ \log \left( \frac{N}{b} \right) \right]^2 = \frac{1}{2} \left[ \log \left( \frac{N}{f} \right) \right]^2 \quad (11)$$

(12)

(13)

(14)

## Solution

The idf for both the query and the source are  $\log \frac{N}{\# \text{ docs with type}}$ , but it is in both the query and the source, so the idf is squared.

$$\frac{1}{8} \left[ \log \left( \frac{N}{b} \right) \right]^2 = \frac{1}{2} \left[ \log \left( \frac{N}{f} \right) \right]^2 \quad (11)$$

(12)

(13)

(14)

## Solution

Multiply both sides by 8 and take the square root.

$$\frac{1}{8} \left[ \log \left( \frac{N}{b} \right) \right]^2 = \frac{1}{2} \left[ \log \left( \frac{N}{f} \right) \right]^2 \quad (11)$$

$$\log \left( \frac{N}{b} \right) = 2 \log \left( \frac{N}{f} \right) \quad (12)$$

$$(13)$$

$$(14)$$

## Solution

Bring exponent inside

$$\frac{1}{8} \left[ \log \left( \frac{N}{b} \right) \right]^2 = \frac{1}{2} \left[ \log \left( \frac{N}{f} \right) \right]^2 \quad (11)$$

$$\log \left( \frac{N}{b} \right) = 2 \log \left( \frac{N}{f} \right) \quad (12)$$

$$\log \left( \frac{N}{b} \right) = \log \left( \frac{N^2}{f^2} \right) \quad (13)$$

$$(14)$$

## Solution

Exponentiate both sides, solve for  $N$

$$\frac{1}{8} \left[ \log \left( \frac{N}{b} \right) \right]^2 = \frac{1}{2} \left[ \log \left( \frac{N}{f} \right) \right]^2 \quad (11)$$

$$\log \left( \frac{N}{b} \right) = 2 \log \left( \frac{N}{f} \right) \quad (12)$$

$$\log \left( \frac{N}{b} \right) = \log \left( \frac{N^2}{f^2} \right) \quad (13)$$

$$N = \frac{f^2}{b} \quad (14)$$



## Solution

Put in values

$$\frac{1}{8} \left[ \log \left( \frac{N}{b} \right) \right]^2 = \frac{1}{2} \left[ \log \left( \frac{N}{f} \right) \right]^2 \quad (11)$$

$$\log \left( \frac{N}{b} \right) = 2 \log \left( \frac{N}{f} \right) \quad (12)$$

$$\log \left( \frac{N}{b} \right) = \log \left( \frac{N^2}{f^2} \right) \quad (13)$$

$$N = \frac{f^2}{b} = \frac{100 \cdot 100}{10} = 1000 \quad (14)$$