

# Life Cycle Assessment of Distributed Plastic Recycling via Additive Manufacturing.

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## Abstract

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## 1. Introduction

Since the early 20th century, the invention of plastic, or synthetic organic polymers, changed the landscape of different industrial sectors. The production growth increased at compound annual growth rate of 8.4%, passing from 2Mt in 1950 to 368Mt in 2019 [? ]; ]. This versatile material stands out as thanks to its easy processing and handling in shape, color, texture, thermal and barrier properties (making it ideal for food packaging) and its mechanical and chemical resistance [? ? , ]. In consequence, 39.6% of the demand is used for packaging industry followed by construction and automotive industry with of 20.4%, 9.6% respectively of the production share [? ]. Unfortunately, the main problematic is associated with multiple environmental damages throughout its life cycle. Terrestrial, aquatic and atmospheric ecosystems are not exempt from the externalities of this innovation and represents a major issue [? ]. Micro-, meso- and nano-plastics pollution contribute to detriment of ecosystem services such as ability to sequester carbon [? ], soil productivity [? ] and eutrophication [? ].

Indeed, plastic pollution in the aquatic ecosystems such as standing waters can act as vector of toxic chemicals that affects the biogeochemical cycles. For example, every year 13 million tons of plastic end up in the oceans, which is equivalent to an entire garbage truck full of plastic being dumped into the sea every minute. A total of 150 million tons of plastic dumped into the sea to date [? ]. The presence of these solid plastic wastes has become a threat to marine ecosystems [? ]. Additionally, the transfer of plastic into the food chain is a clear danger to animal and, certainly, to humans as well. Therefore, the degrowth of plastics is one of great importance in the long term.

The adequate use and disposal of plastics is a wicked problem characterized by high complexity and multifaceted feedback loops. A systemic view is needed of the entire plastics value chain including petrochemical companies [? ? ], converters [? ], brand owners or manufacturers [? ? ], retailers and consumers [? ? ? ], and recycling operators [? ? ], as well as the influences of policy-makers in wider economic and societal changes [? ]. The European Union (EU) intends to develop a circular economy (CE) based on a production and consumption model with key activities such as: “share”, “reduce”, “reuse”, “repair”, “renew” and “recycle” the existing materials and products as many times as possible, in order to create added value by extending the life cycle of products (European Commission 2018). Several critics have been raised given the thermodynamic constraints based on biodiversity and thermodynamics arguments for a fully circular [? ? ]. Nevertheless, as part of the European Green Pact presented on March 20, 2020, it is planned to establish an action plan involving the circular economy, mainly promoting the development of sustainable products, waste reduction and empowering citizens as a key player [? ]. Considering the French context, French government has set a target that by 2025 all plastic waste should be recycled but so up to today, recycling in France reaches levels close to 25%. Despite these ambitious objectives, plastics recycling has been historically an expensive

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process due to the inherent separate collection, transportation, processing and remanufacturing [? ? ]. The economies of scale have been leveraged to reduce these costs With centralized and global recycling chains [? ? ]. Nevertheless, in order to carry out this recycling system, multiple steps need to be accomplished that integrate the sorting phase, long-distance transport, waste treatment and remanufacturing. The high costs of these processes and the low selling price (mainly due to the dependence of recycled plastic price on the petroleum and virgin prices) seldom generate benefits and often requires costly public subsidies (Hamilton et al., 2019). In addition, these centralized plastic manufacturing and recycling lines lead to soil, water and air pollution [? ]; ] (Arena et al., 2003; Reich, 2005). Added to the current problem into the plastic recycling network, we can highlight that generally supply chains are under increasing pressure from various stakeholders to make decisions from a sustainable perspective, that is to say, based on economic, environmental and social objectives (Hassini et al., 2012).

The additive manufacturing technology (also known as 3D printing) enables the potential of distributed manufacturing (DM) towards high value-added products (Kreiger et al., 2014). Nowadays, the accessibility of freely available designs has increased significantly, together with the development of open-source technologies and the supply of raw materials (virgin and recycled filaments) for 3D printing (Hunt et al., 2015). Distributed manufacturing is defined as the decentralization of production through the installation of multiple production factories with similar technology distributed geographically (Bonnín Roca et al., 2019). It is characterized by local production that thrives on the synergy of the emerging capabilities of digital manufacturing, information and communication technologies, and the peer-to-peer production approach (Pavlo et al., 2018). Indeed, DM offers the possibility to decentralize production structures, the flexibility to reflect local customer needs, lower logistics costs, shorter lead times and lower environmental impacts (Kreiger and Pearce, 2013). Based on the DM paradigm, a new possibility of plastic recycling supported by additive manufacturing, called distributed recycling by additive manufacturing (DRAM), has emerged in the literature. Promoted by the development of 3D printing in an open-source context, DRAM is proposed to provide recycled plastic feedstock to the different 3D printers in a DM context. This recycled plastic can be in the form of filament, and recent works are dealing with the validation of granular form (Alexandre et al., 2020; Justino Netto et al., 2021). The 3D printing feedstock is then obtained via plastic recycling at local scale using open-source machines, such as shredders and extruders (Zhong and Pearce, 2018).

The main feature of DRAM is the reduction of the impact in the collection phase, favoring shorter and simpler supply chains (Despeisse et al., 2017; Garmulewicz et al., 2018). Several works have focused on the validation of DRAM approach from the technical, economic and environmental perspective (Cruz Sanchez et al., 2020). Cruz Sanchez et al., (2020) conducted a systematic literature review about the level of development of the different DRAM stages from the technical perspective (see Figure 1). Their results shown that significant progresses have been made in the stages of compounding, feedstock, printing and quality assessment. However, they also shown that on despite of that little work has been done for the preparation and recovery stages. In other, Santander et al., (2020) proposed an initial model to study the economic and environmental feasibility of DRAM from the logistic point of view. Their results have shown the feasibility of the system in economic terms and in CO2 emissions avoided implementing the system. From the environmental point of view, DRAM and DM approaches have been evaluated mainly using the Life Cycle Assessment (LCA) approach.

LCA is one of the most widely used environmental impact assessment methodologies. LCA corresponds to an ISO 14040 certified methodology, which has even been used for environmental regulations in different parts of the world. In the context of DM/DRAM-related research, LCA has been applied in different ways. For example, Kreiger & Pearce (2013) conducted a life cycle analysis (LCA), in terms of energy consumed and emissions involved, to compare centralized manufacturing and distributed manufacturing using RepRaps (3D printers) for the distributed production of goods. The results showed that the use of Poly Lactic Acid (PLA) in a distributed manufacturing context reduces energy demand and system emissions, which can be greatly diminished if a solar photovoltaic (PV) array is used. Later, Kreiger et al. (2014) explored the environmental benefit of distributed recycling using open-source extruders (RecycleBots), which have been used to obtain 3D printing filament from post-consumer goods. Focusing on High Density Poly Ethylene (HDPE) as material, they performed an LCA of energy consumption and CO2 emissions to compare distributed recycling to standard centralized recycling. Their results showed that distributed recycling of HDPE uses less energy than the best-case scenario investigated for centralized recycling, and it can achieve savings of over 80%. Kerdlap et al. (2021), through a simulation approach, quantified the plastic life-cycle environmental impact of small-scale sorting and recycling systems compared to traditional large-scale centralized systems in Singapore, with the aim of determining under what conditions distributed recycling can be environmentally beneficial. Their results showed that the environmental impacts in terms of climate change, water depletion and terrestrial

ecotoxicity were higher compared to the centralized systems. However, these results are mainly related to the means of transport considered for each system (commercial van for distributed recycling and large trucks for centralized recycling), since the use large trucks decrease the total impact.

In conclusion, even though different studies have been conducted aiming to validate the distributed recycling approach from the technical, economic, and environmental perspective, only the work of Kreiger et al. (2014) is focused on the environmental assessment of distributed recycling for 3D printing purposes. However, their research is limited to the consideration of energy and CO<sub>2</sub> emissions as environmental indicators. Therefore, major effort needs to be made in order to evaluate, in a holistic way, the environmental impacts of the global DRAM value chain. Therefore, the contribution of this research lies in the evaluation of environmental impacts from the implementation of distributed recycling via additive manufacturing approach in a territory. Specifically, an environmental evaluation using life cycle assessment (LCA) is conducted comparing a distributed plastic recycling system to produce 3D filament with a traditional production system of virgin plastic filament for 3D printing. From this evaluation, the environmental impacts (positives or negatives) of implementing DRAM have been analyzed and discussed.

This article is structured as follows.

Section 2 presents the system (case study) evaluated. Section 3 materials and methods, where life cycle analysis methodology is explained. Section 4 presents the life cycle analysis performed. Section 5 presents the discussion of results. Finally, Section 6 presents the main conclusions and recommendations for future works.

### *1.1. Methodology*

## **References**