

II. The Evaluation process

Submission and evaluation of
proposals

CONTENTS

- The Evaluation process
 - Submission (strict deadlines)
 - Elegibility (1 month approx)
 - Step 1 evaluation (4 months)
 - Step 2 evaluation (& interviews) (3-4 months)
- Some figures for panels

The participant portal, ECAS account, A forms and ANNEX

SUBMISSION

Submission of proposals

PART A – online forms

A1 Proposal and PI info

A2 Host Institution info

A3 Budget

PART B1 – submitted as .pdf

- Extended Synopsis 5 p.
- CV 2 p.
- Track Record 2 p.

Annexes – submitted as .pdf

- Statement of support of HI
- If applicable: explanatory information on ethical issues; copy of PhD (StG, CoG); document for extension of eligibility window (StG, CoG)

PART B2 – submitted as .pdf

- Scientific Proposal 15 p.

Submission of proposals

PART A – online forms

A1 Proposal and PI info

A2 Host Institution info

A3 Budget

Annexes – submitted as .pdf

- Statement of support of HI
- If applicable: explanatory information on ethical issues; copy of PhD (StG, CoG); document for extension of eligibility window (StG, CoG)

PART B1 – submitted as .pdf

- Extended Synopsis 5 p.
- CV 2 p.
-

- One single deadline (strictly enforced)
- Proposal complete (A+B1+B2) + HI letter and other docs: readable
- **Panel identification and keywords**



RESEARCH & INNOVATION

Participant Portal

European Commission > Research & Innovation > Participant Portal > Home

HOME

FUNDING OPPORTUNITIES

HOW TO PARTICIPATE

EXPERTS

SUPPORT ▼



LOGIN



REGISTER



On this site you can find and secure **funding** for research & innovation projects under the following EU programmes:

- **2014-2020** Horizon 2020 - research and innovation framework programme
- **2007-2013** 7th research framework programme (FP7) and Competitiveness & Innovation Programme (CIP)

Non-registered users

- search for funding
- read the funding guide & download the legal documents
- check if an organisation is already registered
- contact our support services or check our FAQs

Registered users

- submit your proposal
- sign the grant
- manage your project throughout its lifecycle





EUROPEAN COMMISSION AUTHENTICATION SERVICE (ECAS)

External

EUROPA > Authentication Service > Login

Login

New password

Sign Up

Help



(authenticates your identity on European Commission websites)

Participants Portal
requires you to authenticate

Login [Not registered yet](#)

Is the selected domain correct?
External [Change it](#)

Username or e-mail address *

Password *

► [More options...](#)

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* Required fields

Or log in with your



Token



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FUNDING OPPORTUNITIES

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ESTHER RODRIGUEZ BLANCO ▼

My Organisation(s)

My Proposal(s)

My Project(s)

My Notification(s)

My Expert Area



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My Organisation(s)

My Proposal(s)

My Project(s)

My Notification(s)

My Expert Area

My Proposals

H2020 ONLINE MANUAL

This page provides a list of all proposals relating to you as a participant, as follows:

- proposals you have initiated or submitted as a Coordinator/Principal Investigator, or
- proposals you have contributed to as a project participant

You can view, edit or download your draft or submitted proposals, depending on the proposal status and the deadline of the relevant call.

To start preparing a new proposal, go to [Funding Opportunities](#), to the page of the call or topic that you want to apply for, and enter the **electronic submission system**.

LEGEND

ED

Edit Draft

VD

View Draft

VS

View Submitted

DE

Delete Proposal

Show 10 ▾ entries

Search

PROGRAM	CALL	FUNDING SCHEME	PROPOSAL ID	ACRONYM	STATUS	REMAININ G TIME	ACTIONS
H2020	ERC-2014-STG	ERC-STG	SEP-210135607	test	Draft	45	ED DE

Showing 1 to 1 of 1 entries.

← PREVIOUS

1

NEXT →

Step 5

Edit Proposal

ERC-2014-STG

Esther Rodriguez blanco

ERC-StG-2014

ERC-STG

TUE

25

March 2014 17:00:00
Brussels Local Time

42

days left until closure

Acronym

ID

PIC

Contact

Acronym

test

Configuration unconfirmed!

You're using Chrome 32 on Windows. **Adobe Reader is not installed or not enabled.** Under these conditions, all PDF forms will have to be downloaded on your computer in order to be edited.

For more information, please consult the User Guide.

Edit Proposals' Forms

In this step you can edit the administrative forms and upload the proposal itself. ?

WARNING: This proposal contains changes that have not yet been submitted...

Administrative Forms

Edit will open the forms in Adobe Reader. ?

edit forms

view history

print preview

Part B and Annexes

In this section you may upload the technical annex of the proposal (in PDF format only) and any other requested attachments. ?

download templates

Part B1

upload

Part B2

upload

Host Support Letter

upload

PhD certificate

upload

Extra Annex 1

upload

Extra Annex 2

upload

Extra Annex 3

upload

Extra Annex 4

upload

Administrative information

- A1, A2 forms, **ethics check list**
- A3 budget : Total budget must be equal than the one stated in B2. **In case of discrepancy, A3 prevails.**
- HI support letter: template given*, duly signed and stamped with date.
- PhD Diploma
- Extension of eligibility: official docs.
- If applicable: Ethical Issues Annex → *“How to complete your ethics self assessment”*

Exclusion of reviewers

- Up to three names, not reason needed
- Usually respected, but the panel chair has the last word.

Keywords

- Each panel has its own descriptors/keywords
- Free text keywords also
- Keywords determine who will read your proposal as evaluator and/or external referee. Check them carefully
- Keywords ~ panel members
- **Some new keywords for panels SH, LS9, PE7**

Panel Structure

Life Sciences

- LS1 Molecular & Structural Biology & Biochemistry
- LS2 Genetics, Genomics, Bioinformatics & Systems Biology
- LS3 Cellular and Developmental Biology
- LS4 Physiology, Pathophysiology & Endocrinology
- LS5 Neurosciences & Neural Disorders
- LS6 Immunity & Infection
- LS7 Diagnostic tools, Therapies & Public Health
- LS8 Evolutionary, Population & Environmental Biology
- LS9 Applied Life Sciences & Biotechnology

Social Sciences and Humanities

- SH1 Individuals, Institutions & Markets
- SH2 The Social World, Diversity and Common Ground
- SH3 Environment, Space and Population
- SH4 The Human Mind and its Complexity
- SH5 Cultures & Cultural Production
- SH6 The Study of the Human Past

Physical Sciences & Engineering

- PE1 Mathematics
- PE2 Fundamental Constituents of Matter
- PE3 Condensed Matter Physics
- PE4 Physical & Analytical Chemical Sciences
- PE5 Materials & Synthesis
- PE6 Computer Science & Informatics
- PE7 Systems & Communication Engineering
- PE8 Products & Process Engineering
- PE9 Universe Sciences
- PE10 Earth System Science

Keyword structure

SH1 Markets, Individuals and Institutions: Economics, finance and management

- SH1_1 Macroeconomics; monetary economics
- SH1_2 International trade, international business, development, economic growth
- SH1_3 Econometrics, game theory, experimental design, operations research
- SH1_4 Labour economics; institutional economics
- SH1_5 Political economy, public economics
- SH1_6 Microeconomics, behavioural economics
- SH1_7 Industrial organisation
- SH1_8 Strategy; entrepreneurship
- SH1_9 Technological change, innovation, research & development
- SH1_10 Financial markets, asset prices, international finance
- SH1_11 Banking, corporate finance, accounting, auditing, insurance
- SH1_12 Marketing
- SH1_13 Management; operations management
- SH1_14 Organisational behaviour; human resource management
- SH1_15 History of economic thought, quantitative economic history

LS7 Diagnostic Tools, Therapies and Public Health: Aetiology, diagnosis and treatment of disease, public health, epidemiology, pharmacology, clinical medicine, regenerative medicine, medical ethics

- LS7_1 Medical engineering and technology
- LS7_2 Diagnostic tools (e.g. genetic, imaging)
- LS7_3 Pharmacology, pharmacogenomics, drug discovery and design, drug therapy
- LS7_4 Analgesia and Surgery
- LS7_5 Toxicology
- LS7_6 Gene therapy, cell therapy, regenerative medicine
- LS7_7 Radiation therapy
- LS7_8 Health services, health care research
- LS7_9 Public health and epidemiology
- LS7_10 Environment and health risks, occupational medicine
- LS7_11 Medical ethics

Match

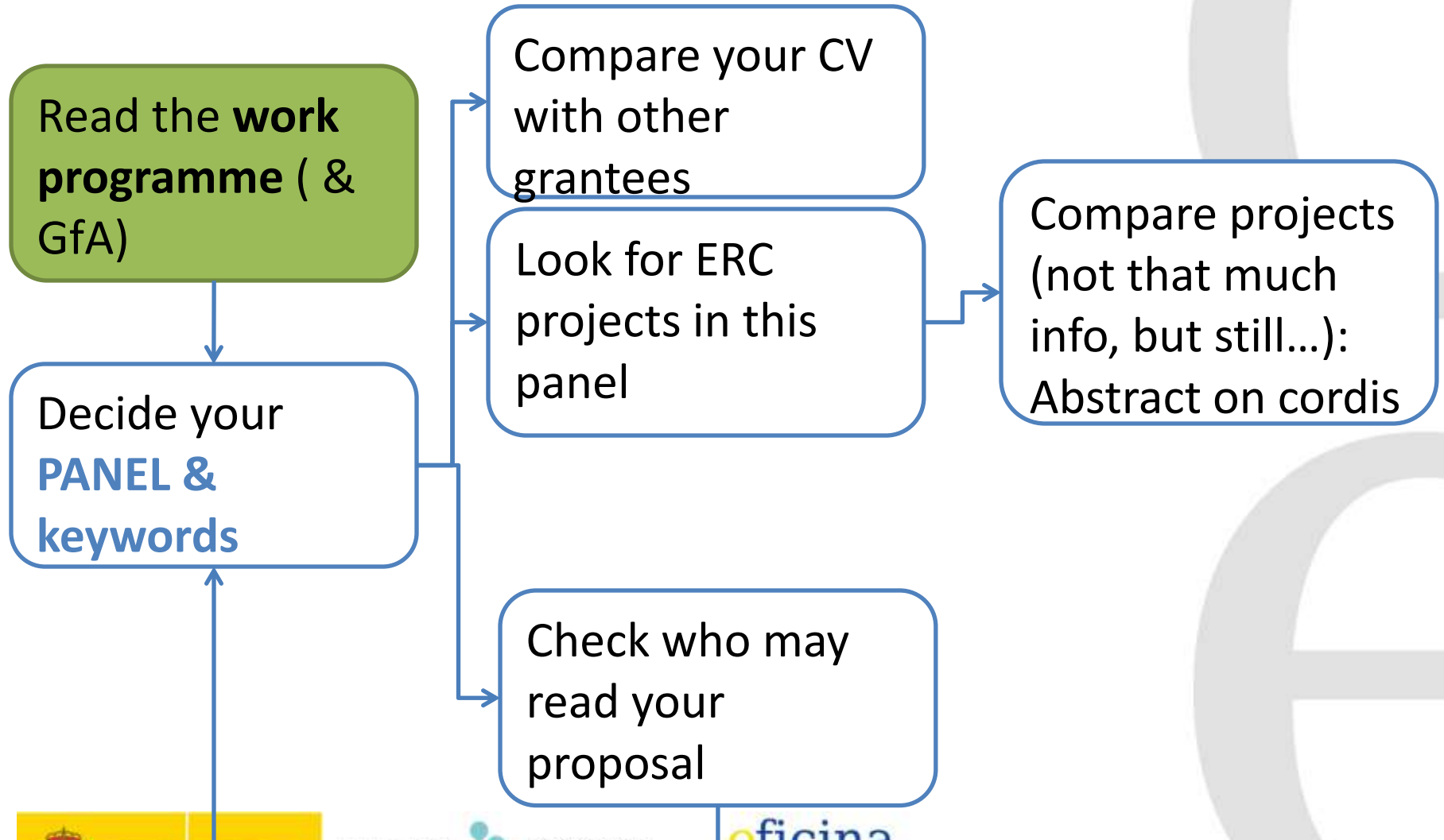
PE9 (StG 2013)

1. Georges Meylan (Panel Chair)
2. João Manuel Alves
3. Luciana Bianchi
4. Robert H. Brandenberger
5. Marc Chaussidon
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8. Luigi Guzzo
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10. Carole Mundell
11. Hagai Netzer
12. Guy Perrin
13. Peter Schneider
14. José-María Torrelles

PE9 keywords

- PE9_1 Solar and interplanetary physics
- PE9_2 Planetary systems sciences
- PE9_3 Interstellar medium
- PE9_4 Formation of stars and planets
- PE9_5 Astrobiology
- PE9_6 Stars and stellar systems
- PE9_7 The Galaxy
- PE9_8 Formation and evolution of galaxies
- PE9_9 Clusters of galaxies and large scale structures
- PE9_10 High energy and particles astronomy
– X-rays, cosmic rays, gamma rays, neutrinos
- PE9_11 Relativistic astrophysics
- PE9_12 Dark matter, dark energy
- PE9_13 Gravitational astronomy
- PE9_14 Cosmology
- PE9_15 Space Sciences

Panel choice, golden rule: your CV (?)



Secondary panel: Yes or no?

European Research Council Executive Agency		Table Of Contents	Validate Form	Save And Close
Proposal ID	SEP-210230591	Acronym	Spanish NCP	Go to <input type="text"/>
1 - General information ?				
Topic	ERC-StG-2015		Type of action	ERC-STG
Call identifier	ERC-2015-STG		Acronym*	<input type="text" value="Spanish NCP"/>
Proposal title*	<input type="text" value="Test proposal on how to fill in templates"/>			
<i>Note that for technical reasons, the following characters are not accepted in the Proposal Title and will be removed: < > " &</i>				
Duration in months*	<input type="text" value="60"/>			
Primary ERC Review Panel*	<input type="text" value="PE3 - Condensed Matter Physics"/>		?	
Secondary ERC Review Panel	<input type="text" value="LS2 - Genetics, Genomics, Bioinformatics and Systems Biology"/>		(if applicable)	
ERC Keyword 1*	<input type="text" value="Statistical physics: phase transitions, noise and fluctuations, models of complex systems, etc."/>			? Enter fixed keywords
<i>Please select, if applicable, the ERC keyword(s) that best characterise the subject of your proposal in order of priority.</i>				
ERC Keyword 2	<input type="text" value="Biostatistics"/>			
ERC Keyword 3	<input type="text" value="DNA synthesis, modification, repair, recombination and degradation"/>			
ERC Keyword 4	<input type="text" value="Not applicable"/>			
Free keywords	<input type="text" value="In addition, please enter free text keywords that you consider best characterise the scope of your research proposal. The choice of keywords should take into account any multi-disciplinary aspects of the proposal."/>			?

You can also use keywords from other specific classification systems, provided that the actual describing text is included. For example, applicants to the 'PE1 - Mathematics' panel may want to use the Mathematics Subject Classification system, and can then enter a text like 'MSC2010: 51Hxx Topological geometry'. There is a limit of 200 characters.



ELEGIBILITY



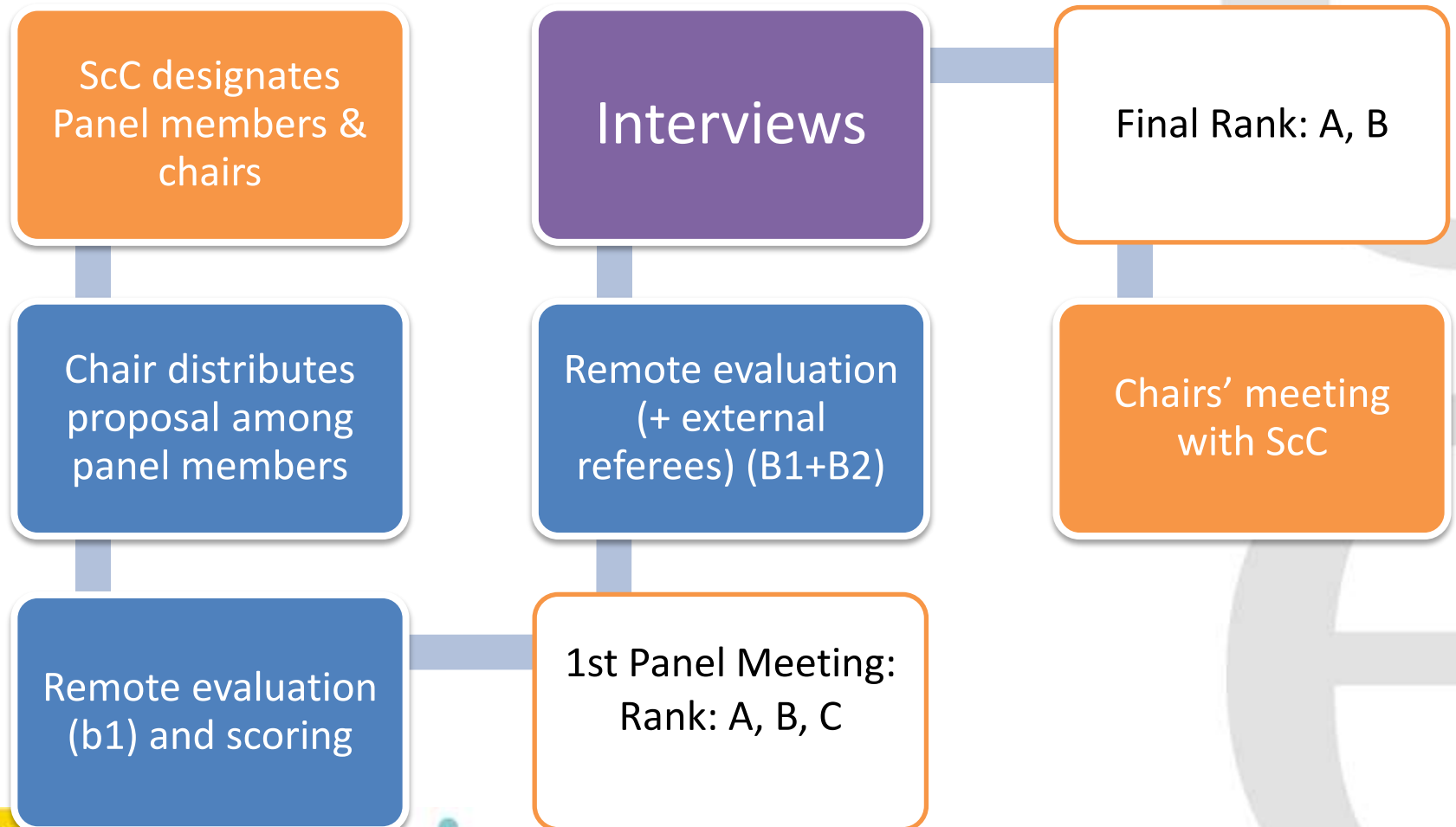
ELEGIBILITY

- All documents readable
- PhD award date
- MD cases
- Maternity leave: certificate of birth / libro de familia
- Paternity leave: official leave taken (SS or employer certificate)
- Sick leave

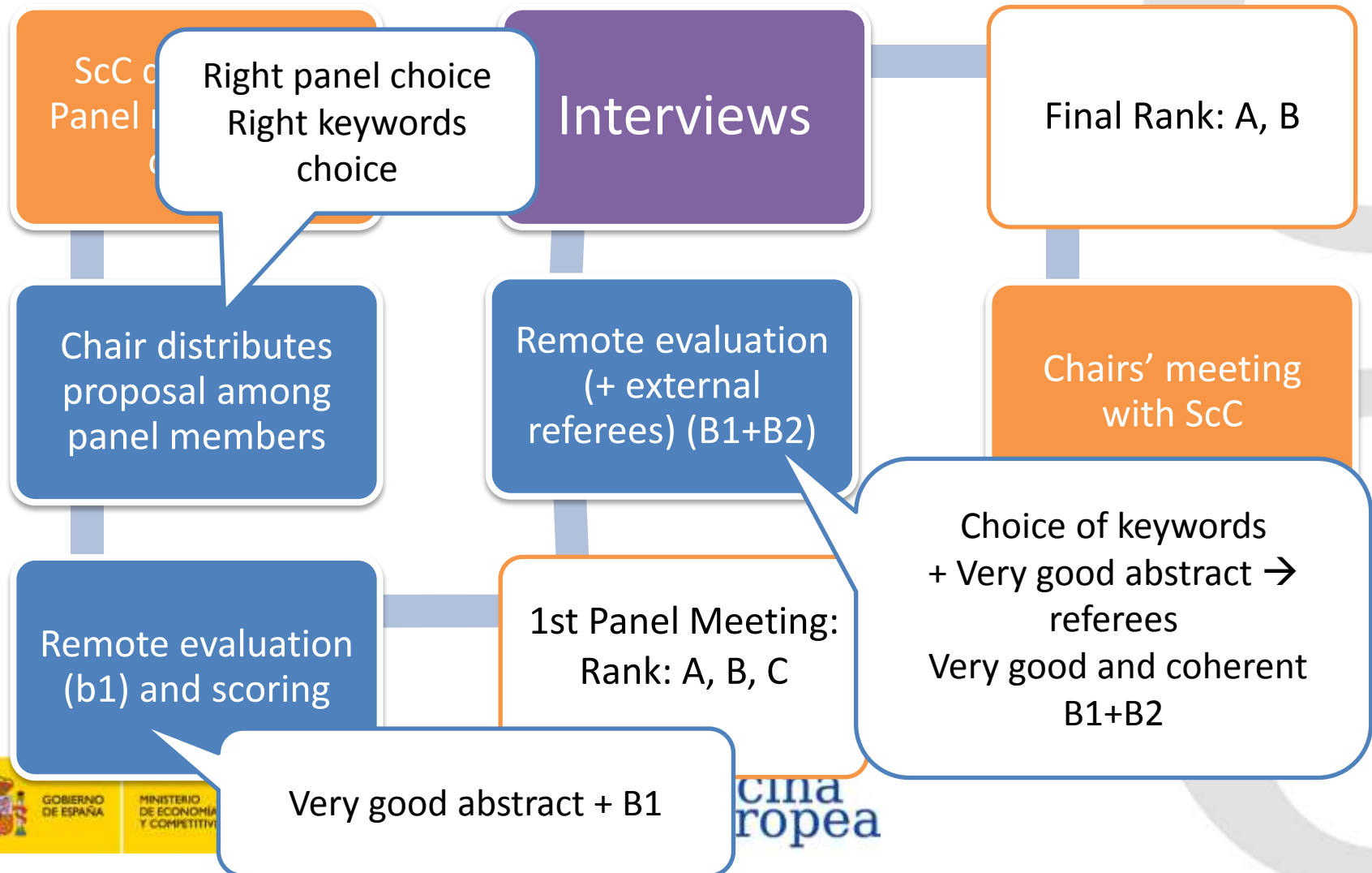
B1+B1+B2

2 STEP EVALUATION (2 STEPS!)

The whole process



The whole process (what can we do)



ERC Evaluation system

- 375 evaluators from the 25 panels (3 domain): ~ 5000 proposals
- Recruited by the ERC Scientific Council
- Assigned to one panel according to CV and expertise
- % of confidence in 20 specific fields (descriptors) within the area of the panel
- Identify 10 free keywords defining my expertise
- Establish own current interest

Panel Composition

- Each panel is composed of 12-15 panel members (PM)
- One acts as Panel Chair (PC)
- 1 Panel Coordinator from Scientific Council
- Good balance between different fields of panel area
- Panel composition has maximum two members from one country
- PM composition is decided half a year before proposals submission. PM changes in consecutive years
- Conflict of interest (Col) strictly attained for each individual proposal
- Non-PM can submit a proposal at the same call

Panel Members

SH1

- Jordi Galí (Panel Chair)
- Jean-Marie Baland
- Alberto Bisin
- Estelle Cantillon
- Marco Da Rin
- Alfonso Gambardella
- Hubert Gatignon
- Bronwyn Hall
- Aikaterini Kyriazidou
- Philippe Martin
- Stephanie Schmitt-Grohe
- Naufel Vilcassim
- Josef Zechner
- Ekaterina Zhuravskaya

LS7

- Seppo Ylä-Herttuala (Panel Chair)
- Marina Cavazzana-Calvo
- Jozef Dulak
- Hermann Einsele
- Kari Hemminki
- Klea Katsouyanni
- Irene May Leigh
- Olle Lindvall
- Chrit Moonen
- Laurent Pierre Nicod
- Søren Nielsen
- Abhay Pandit
- Markus Schwaiger
- Marcel Verbeek
- Maria Zankl

Match

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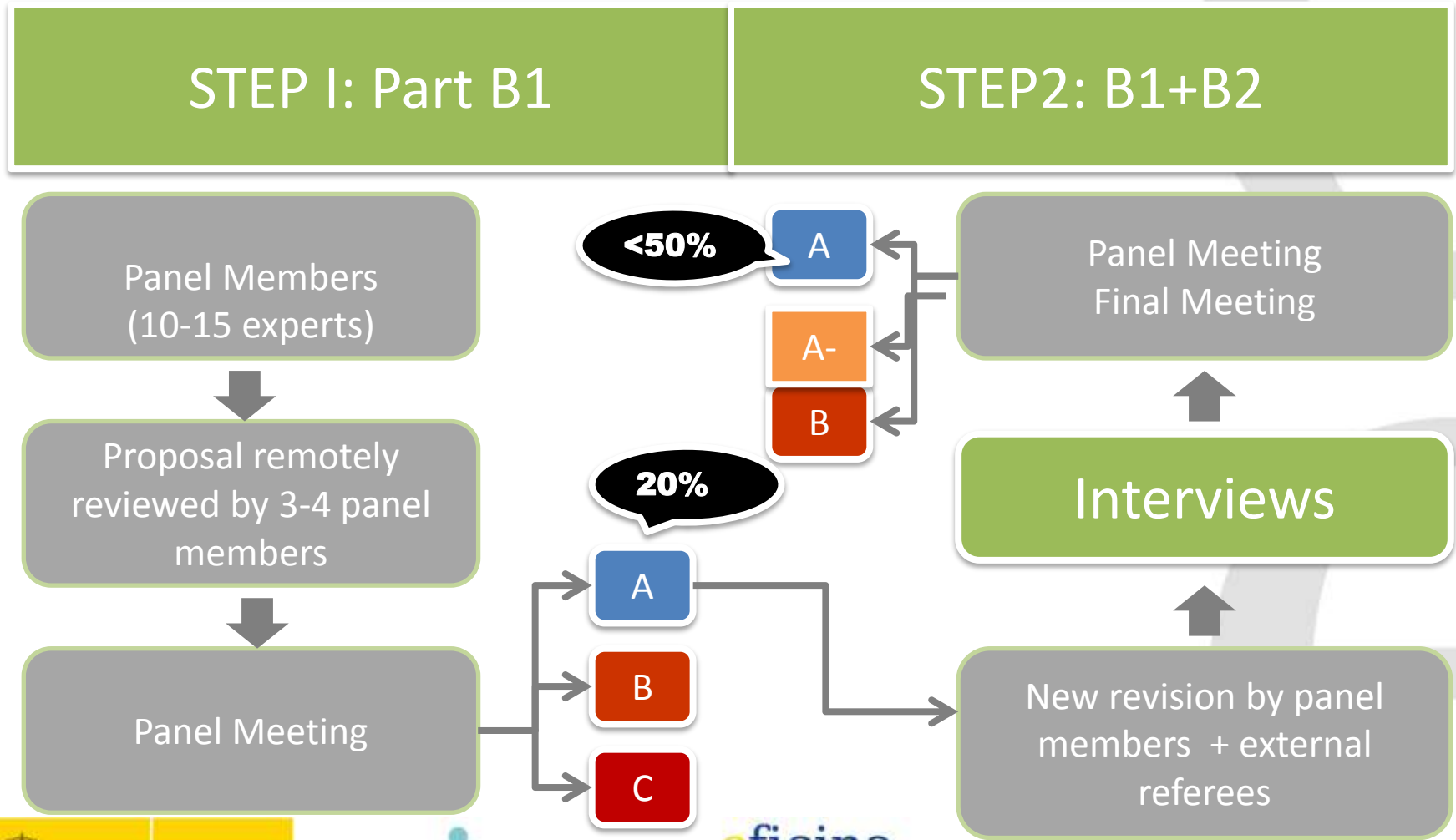
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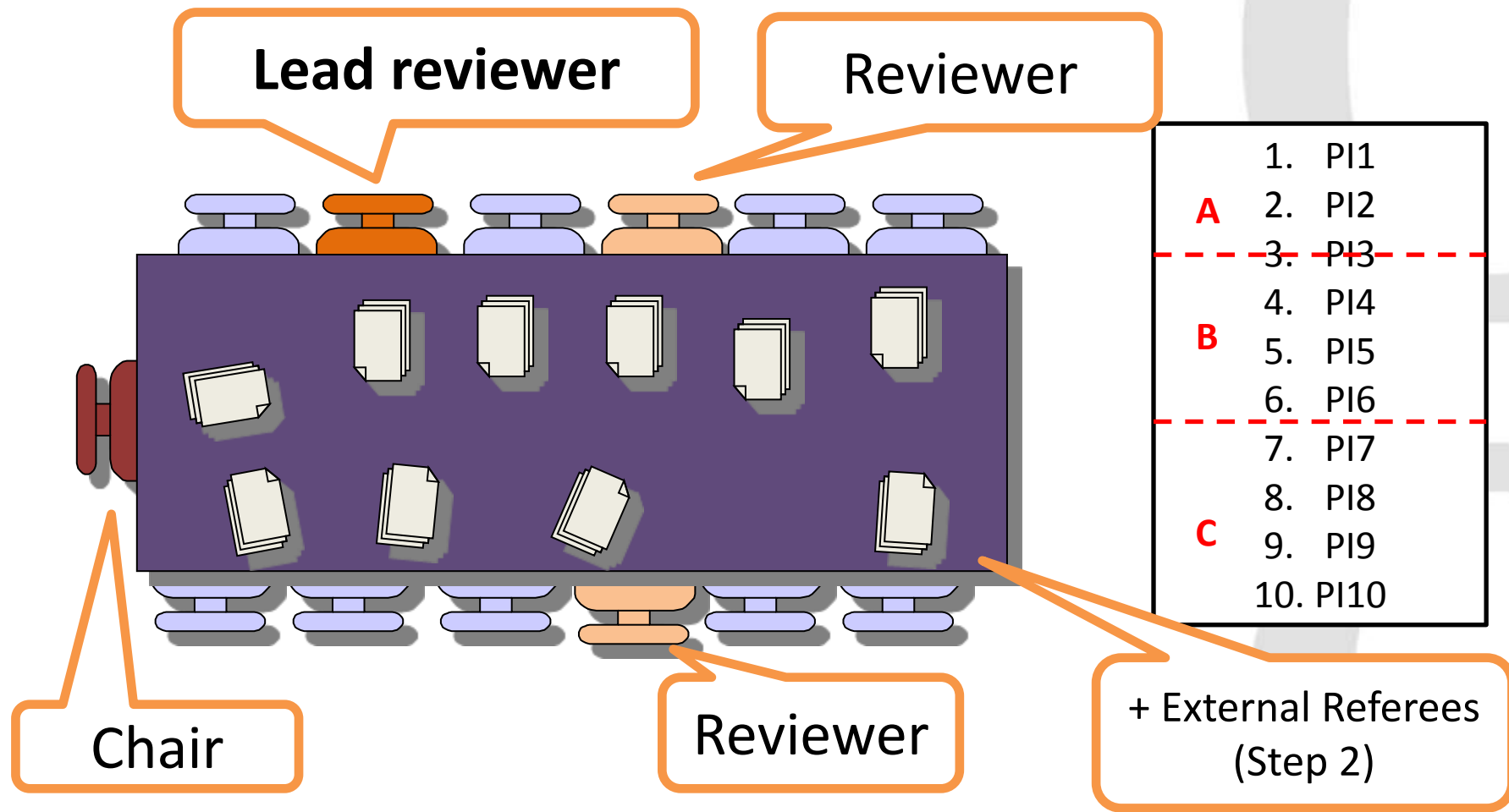
Evaluation process



ERC messages to panel members

- ERC funds frontier research in Europe
- **Excellent Ideas**
- All fields of science and humanities without thematic priorities
- Individual scientists
- No quotas, neither on PI nationality, HI nationality, gender
- One selection criteria: **EXCELLENCE**
- High risk/ High gain
- **Ground-breaking ideas and not good excellent research**

Evaluation panel: Ranking meeting



Different roles within a panel

Panel Members

- Individual remote review – by electronic means – of a subset of those proposals in preparation of the panel meeting.
- Participation in the panel meetings
- “Lead reviewer” role

Panel Chairs

- To chair the panel meetings.
- To (re-)allocate proposals to review panels.
- To assign proposals to Panel Members (and to remote Referees) .
- To attend the Panel Chairs’ meeting in order to assess the response to the call for proposals and plan the work of the panel accordingly

Remote referees

- They are scientists and scholars who bring in the necessary specialised expertise.
- Remote Referees work remotely and deliver their individual reviews by electronic means
- Typically 2000/call
- Evaluate a small number of proposals
- Answer the same evaluation questionnaire as PM
- Crucial in the second stage evaluation
- Recruited by PM upon acceptance of Scientific Council

Evaluation process

STEP I: Part B1

STEP2: B1+B2

Panel Members
(10-15 experts)

Proposal remotely
reviewed by 3-4 panel
members

Panel Meeting

<50%

A

A-

B

20%

A

B

C

Panel Meeting
Final Meeting

Interviews

New revision by panel
members + external
referees



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Stage 1

- **A- PC assigns proposals to panel members (3-4/RP)** (evaluations could be requested to other panels).
- **B- Remote assessments by panel members** (and external referees if assigned)

PI and Synopsis evaluation only : **Each PM evaluates 40-50 prop in 2 months**

- **C- Panel meeting Decision of proposals retained for step 2**
- **D- Feedback to applicants: Grade A, B, C (only A is retained)**

Panel meeting and ranking (1)

- Proposals are ranked in a list according to remote evaluation
- Discussed one by one
- Usually, ~20% ranked A (retained), ~50% ranked B, 30% ranked C
- Discrepancies among PM usually are in top B / bottom A proposals
- All B and C- proposals receive the PM comments (discussion) together with all remote evaluations (unchanged). *Read carefully PM comments*
- A- proposals are requested for interview in stage 2

Evaluation process

STEP I: Part B1

STEP2: B1+B2

Panel Members
(10-15 experts)

Proposal remotely
reviewed by 3-4 panel
members

Panel Meeting

<50%

A

A-

B

20%

A

B

C

Panel Meeting
Final Meeting

Interviews

New revision by panel
members + external
referees

Stage 2

- **E-** Assign external referees to retained proposals
- **F-** Remote assessments by PM and referees (6-7/RP)
Full proposal evaluation includes budget Each PM evaluates ~ 10 proposals. Also evaluations from external referees
- **G** - Panel meeting + interview Ranked list of proposals
- **H-** Feedback to applicants: Decision for proposals to be funded. All proposals receive panel comments
- **I-** Redress cases

Panel meeting & ranking (2)

- Proposals are ranked in a list according to remote evaluation. Discussed one by one
- **All PM evaluate all interviews (except Col)**
- Proposal's lead reviewer (PM) directs the interview
- Questions by external referees are raised at interview
- Give a copy of presentation to all PM (~15) *It helps to remember PI/RP during the final discussion*
- *Bring extra slides for possible questions*
- *If new preliminary results, show them (it's 6 month later)*
- *Be aware of recent publications of the field*
- **Panel discussion already starts after your interview *It has***

Some indicative figures

PRESSURE ON THE PANELS

Pressure on the panels

StG2013: 3255 proposals, ~300 grants,
9% Success Rate

PE

150 pp

PE6, PE8

LS

100 pp

LS7, LS5

SH

100 pp (200)

SH2 (changed in
2014), SH4



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Pressure on the panels

StG2013: 3255 proposals, ~300 grants,
9% Success Rate

PE
150 pp
PE6, PE8

LS

SH

100 pp (200)

Highest pressure usually means broad
panel.

150 proposals, 12-15 panel members
reviews per proposal



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Pressure on the panels

StG2014: 3272 proposals, ~370 grants,
11% Success Rate

PE
150 pp
PE6, PE8

LS
115 pp
LS7, LS5

SH
110 pp (200)
SH2 (changed in
2014), SH4



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StG 2013 PE: 120-200

		Evaluated		Mainlist		Success Rate %
		Total	%	Total	%	
ERC-SG-PE	ERC Starting Grant Physical Sciences and Engineering Domain	1.467	45,07 %	120	43,80 %	8,18 %
ERC-SG-PE1	ERC Starting Grant - Mathematical foundations	119	3,66 %	11	4,01 %	9,24 %
ERC-SG-PE10	ERC Starting Grant - Earth system science	127	3,90 %	10	3,65 %	7,87 %
ERC-SG-PE2	ERC Starting Grant - Fundamental constituents of matter	152	4,67 %	13	4,74 %	8,55 %
ERC-SG-PE3	ERC Starting Grant - Condensed matter physics	151	4,64 %	12	4,38 %	7,95 %
ERC-SG-PE4	ERC Starting Grant - Physical and Analytical Chemical sciences	132	4,06 %	11	4,01 %	8,33 %
ERC-SG-PE5	ERC Starting Grant - Materials and Synthesis	159	4,88 %	13	4,74 %	8,18 %
ERC-SG-PE6	ERC Starting Grant - Computer science and informatics	192	5,90 %	16	5,84 %	8,33 %
ERC-SG-PE7	ERC Starting Grant - Systems and communication engineering	116	3,56 %	9	3,28 %	7,76 %
ERC-SG-PE8	ERC Starting Grant - Products and process engineering	184	5,65 %	15	5,47 %	8,15 %
ERC-SG-PE9	ERC Starting Grant - Universe sciences	135	4,15 %	10	3,65 %	7,41 %



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StG 2013 LS: 100-120

		Evaluated		Mainlist		Success Rate %
		Total	%	Total	%	
ERC-SG-LS	ERC Starting Grant Life Sciences Domain	1.038	31,89 %	103	37,59 %	9,92 %
ERC-SG-LS1	ERC Starting Grant - Molecular and Structural Biology and Biochemistry	105	3,23 %	10	3,65 %	9,52 %
ERC-SG-LS2	ERC Starting Grant - Genetics, Genomics, Bioinformatics and Systems Biology	109	3,35 %	11	4,01 %	10,09 %
ERC-SG-LS3	ERC Starting Grant - Cellular and Developmental Biology	98	3,01 %	10	3,65 %	10,20 %
ERC-SG-LS4	ERC Starting Grant - Physiology, Pathophysiology and Endocrinology	124	3,81 %	13	4,74 %	10,48 %
ERC-SG-LS5	ERC Starting Grant - Neurosciences and neural disorders	153	4,70 %	15	5,47 %	9,80 %
ERC-SG-LS6	ERC Starting Grant - Immunity and infection	98	3,01 %	10	3,65 %	10,20 %
ERC-SG-LS7	ERC Starting Grant - Diagnostic tools, therapies and public health	177	5,44 %	18	6,57 %	10,17 %
ERC-SG-LS8	ERC Starting Grant - Evolutionary, population and environmental biology	95	2,92 %	9	3,28 %	9,47 %
ERC-SG-LS9	ERC Starting Grant - Applied life sciences and biotechnology	79	2,43 %	7	2,55 %	8,86 %

StG 2013 SH: 80-200 !!

		Evaluated		Mainlist		Success Rate %
		Total	%	Total	%	
ERC-SG-SH	ERC Starting Grant Social Sciences and Humanities Domain	750	23,04 %	51	18,61 %	6,80 %
ERC-SG-SH1	ERC Starting Grant - Individuals, institutions and markets	95	2,92 %	8	2,92 %	8,42 %
ERC-SG-SH2	ERC Starting Grant - Institutions, values, beliefs and behaviour	201	6,18 %	13	4,74 %	6,47 %
ERC-SG-SH3	ERC Starting Grant - Environment and society	79	2,43 %	5	1,82 %	6,33 %
ERC-SG-SH4	ERC Starting Grant - The Human Mind and its complexity	170	5,22 %	12	4,38 %	7,06 %
ERC-SG-SH5	ERC Starting Grant - Cultures and cultural production	88	2,70 %	6	2,19 %	6,82 %
ERC-SG-SH6	ERC Starting Grant - The study of the human past	117	3,59 %	7	2,55 %	5,98 %