

Geometric complexes and their topological properties

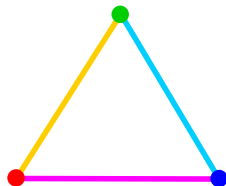
Fabian Roll (TUM)

TopMath–Talk
May 05, 2022

The Alexandroff nerve (1928)

Definition. Let X be a topological space, and let $\mathcal{U} = (U_i)_{i \in I}$ be a cover of X . The *nerve* of \mathcal{U} is the simplicial complex

$$\text{Nrv}(\mathcal{U}) = \{J \subseteq I \mid |J| < \infty \text{ and } U_J := \bigcap_{i \in J} U_i \neq \emptyset\}$$

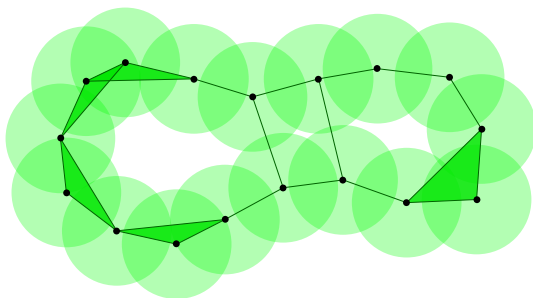


The Alexandroff nerve (1928)

Čech complex of a point cloud

Definition. The Čech complex of a subset $X \subseteq \mathbb{R}^d$ is the nerve of the cover by closed balls of radius r centered at points in X

$$\check{\text{Cech}}_r(X) = \text{Nrv}((D_r(X))_{x \in X})$$



The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

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- Alexandroff 1928: Every compact metric space is the inverse limit of a sequence of nerves of “arbitrarily fine” closed covers.
- Čech 1932: Extends Alexandroff’s ideas \rightarrow Čech (co)homology

Nerve theorem for closed convex sets

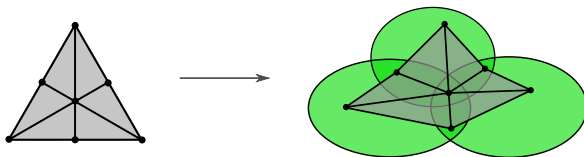
Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then $\text{Nrv}(\mathcal{A})$ is homotopy equivalent to X .

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Proof strategy (R):

- Construct piecewise linear $\Gamma: \text{Sd Nrv}(\mathcal{A}) \rightarrow X$

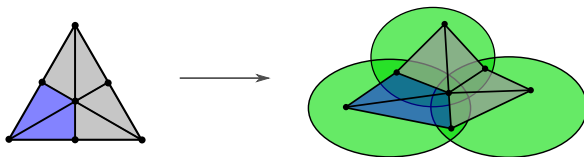


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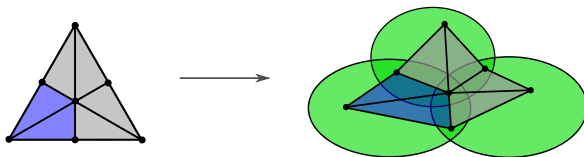


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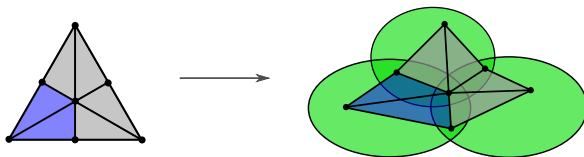
- Construct $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ using a partition of unity subordinate to an open thickening of the C_i with $\Phi(C_i) \subseteq \text{bst } v_i$.

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- Show that Φ is a homotopy inverse to Γ .

Nerve theorem for closed convex sets

Some proof details

- Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.

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- Then $\Phi \circ \Gamma(\text{bst } v_i) \subseteq \text{bst } v_i$ and $\Phi \circ \Gamma \simeq \text{id}_{\text{Sd Nrv}(\mathcal{A})}$ by induction over the skeleton of $\text{Sd Nrv}(\mathcal{A})$;

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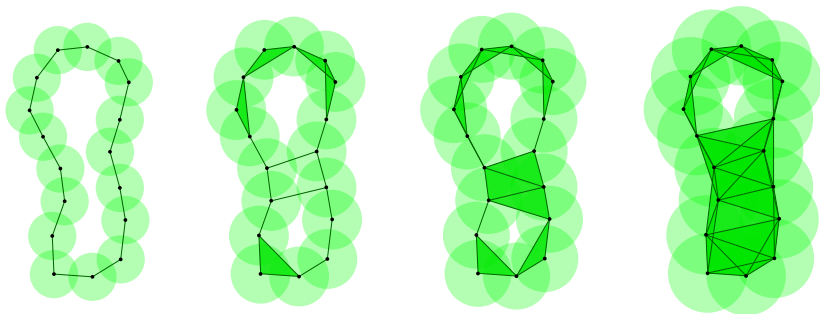
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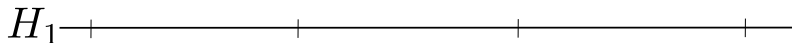
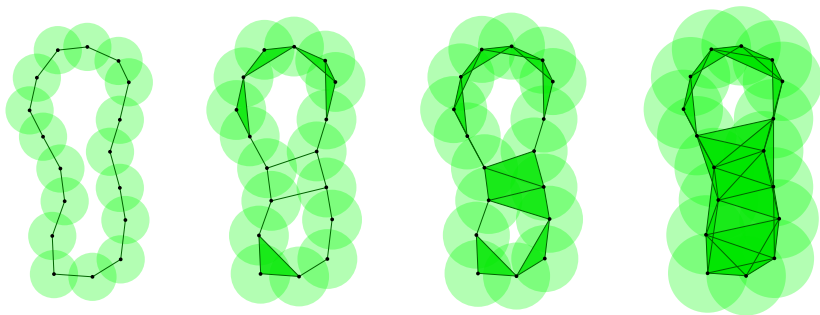
Functorial nerve theorem

Persistent homology



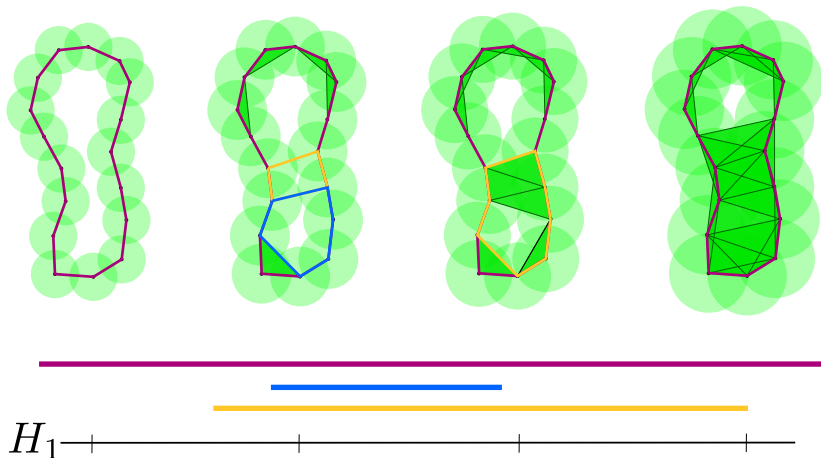
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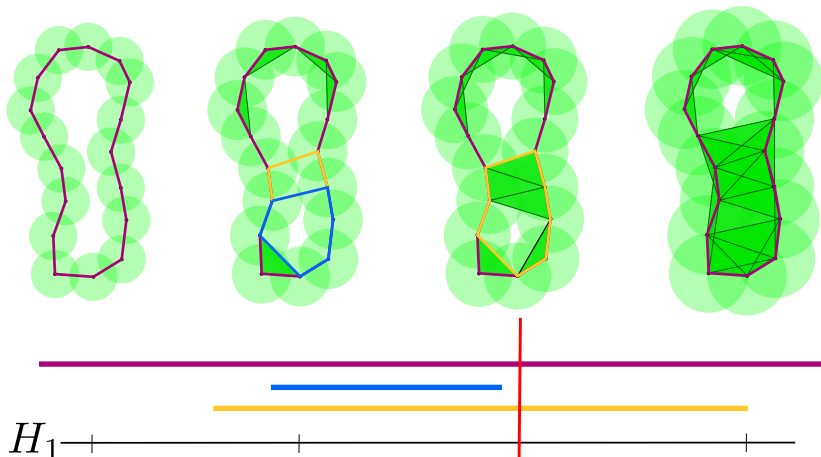
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Let $X \subseteq \mathbb{R}^d$, $\mathcal{U}_r = \{D_r(x)\}_{x \in X}$, and $X_r = \bigcup \mathcal{U}_r$. The nerve theorem guarantees for $r \leq l$ the homotopy equivalences

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For an extensive treatment of functorial nerve theorems dealing with open and closed covers see



U. Bauer, M. Kerber, F. Roll, and A. Rolle

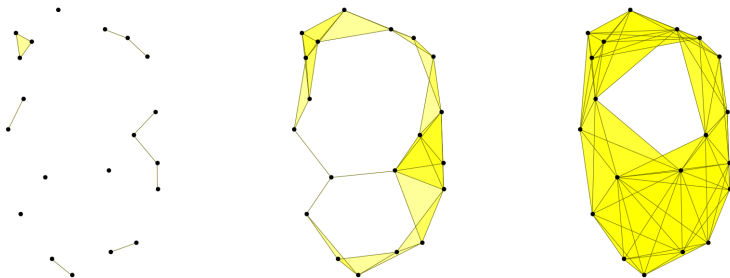
A Unified View on the Functorial Nerve Theorem and its Variations

Preprint, [arXiv:2203.03571](https://arxiv.org/abs/2203.03571), 2022.

The Vietoris–Rips complex (1927, 1987)

Definition. Let X be a metric space. The Vietoris–Rips complex at scale r is the simplicial complex

$$\text{Rips}_r(X) = \{S \subseteq X \text{ finite} \mid S \neq \emptyset, \text{diam } S \leq r\}.$$



The Vietoris–Rips complex (1927, 1987)

Applications

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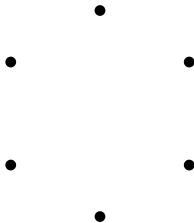
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Theorem (Latschev, 2001). Let X be a closed Riemannian manifold. For small enough $r, \delta > 0$ and any metric space Y with $d_{GH}(X, Y) < \delta$:

$$\text{Rips}_r(Y) \simeq X$$

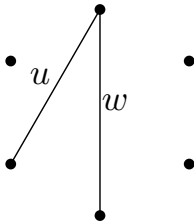
The Vietoris–Rips complex (1927, 1987)

The circle S^1



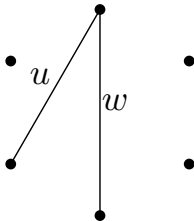
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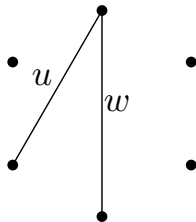
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For $u \leq r < w$:

The Vietoris–Rips complex (1927, 1987)

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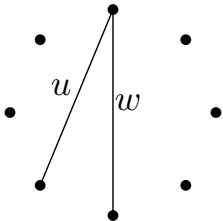


For $u \leq r < w$:

$$|\operatorname{Rips}_r(X)| \simeq S^2$$

The Vietoris–Rips complex (1927, 1987)

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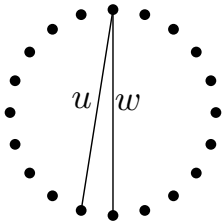


For $u \leq r < w$:

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The Vietoris–Rips complex (1927, 1987)

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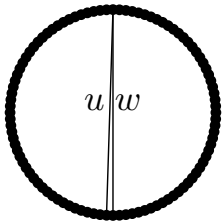


For $u \leq r < w$:

$$|\text{Rips}_r(X)| \simeq S^{\textcolor{red}{9}}$$

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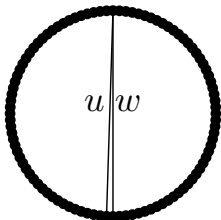


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$$|\operatorname{Rips}_r(X)| \simeq S^{49}$$

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$$|\operatorname{Rips}_r(X)| \simeq S^{49}$$

Theorem (Adamaszek, Adams 2015). For $l = 0, 1, \dots$ there are homotopy equivalences

$$\operatorname{Rips}_r(S^1) \simeq \begin{cases} S^{2l+1} & \text{if } \frac{l}{2l+1} < r < \frac{l+1}{2l+3}, \\ \bigvee^c S^{2l} & \text{if } r = \frac{l}{2l+1}. \end{cases}$$

Application of Vietoris–Rips persistent homology

COVID-19 genetic evolution data

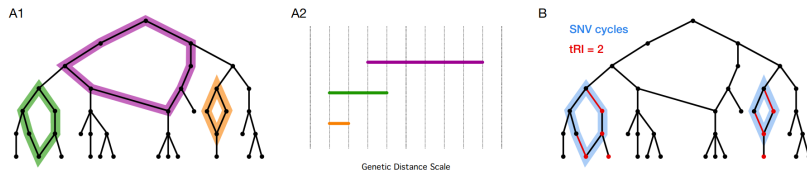


Figure 2. Topological data analysis quantifies convergent evolution. (A) Persistent homology detects reticulate events in viral evolution by means of a persistence barcode. Each bar in the barcode (A2) corresponds to a topological cycle in the reticulate phylogeny (A1). Bars at small genetic distance scales are expected to correspond mainly to homoplasies, while recombination events typically produce topological features at larger scales. (B) SNV cycles are topological cycles in the reticulate phylogeny for which adjacent sequences differ



M. Bleher, L. Hahn, J. A. Patino-Galindo, M. Carriere, U. Bauer, R. Rabadan, and A. Ott

Topological data analysis identifies emerging adaptive mutations in SARS-CoV-2

Preprint, [arXiv:2106.07292](https://arxiv.org/abs/2106.07292), 2021

Application of Vietoris–Rips persistent homology

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| 5000 ordered points | time for H_0 & H_1 |
|--------------------------|------------------------|
| random in \mathbb{R}^3 | 1m 17s |
| random on S^2 | 5m 39s |
| graph (covid data) | 12s |



U. Bauer

Ripser: efficient computation of Vietoris–Rips persistence barcodes

[Journal of Applied and Computational Topology,](#)

[doi:10.1007/s41468-021-00071-5, 2021](#)

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| graph (covid data, reversed order) | 6m 19s |
| graph (covid data, random order) | 2m 52s |



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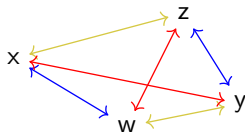
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Rips contractibility lemma

Gromov-hyperbolicity

Definition. A metric space X is (Gromov) δ -hyperbolic if for all four points $w, x, y, z \in X$

$$d(x, w) + d(y, z) \leq \max\{d(x, y) + d(z, w), d(x, z) + d(y, w)\} + 2\delta$$

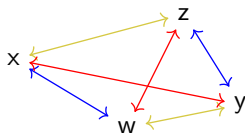


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Example. finite metric space, trees are 0-hyperbolic, hyperbolic plane, ...

Rips contractibility lemma

Theorem (Rips, Gromov 1987). Let X be a δ -hyperbolic geodesic metric space. Then $\text{Rips}_t(X)$ is contractible for all $t \geq 4\delta$.

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- is finitely generated and finitely presented.
- admits an Eilenberg–MacLane space $K(G, 1)$ with finitely many cells in each dimension.

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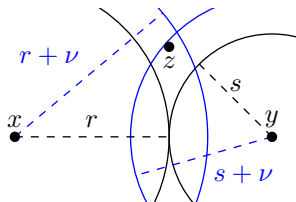
We address two questions:

1. What about non-geodesic spaces? Finite metric spaces?
2. Connection to Rips?

Generalized contractibility lemma

The geodesic defect

Definition (Bonk, Schramm 2000). The metric space X is ν -geodesic if for all $x, y \in X$ and $r, s \geq 0$ with $r + s = d(x, y)$ there exists $z \in X$:



Generalized contractibility lemma

Theorem (Bauer, R 2021). Let X be a finite δ -hyperbolic metric space. Then there exists a discrete gradient encoding the collapses

$$\text{Rips}_u(X) \searrow \text{Rips}_t(X) \searrow \{*\}$$

for all $u > t \geq 4\delta + 2\nu$, where ν is the geodesic defect of X

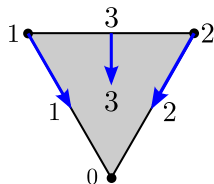


U. Bauer, F. Roll

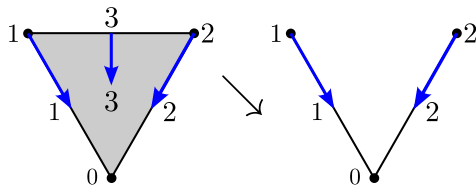
Gromov hyperbolicity, geodesic defect, and apparent pairs in Vietoris-Rips filtrations

Accepted to SoCG 2022, Extended version on arXiv:2112.06781, 2022

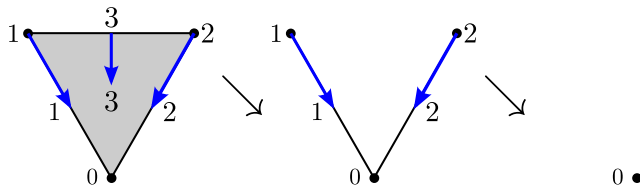
Discrete Morse theory



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Apparent Pairs

Ripser uses the following construction for a computational shortcut:

Definition. In a simplexwise filtration $(K_i = \{\sigma_1, \dots, \sigma_i\})_i$, a pair of simplices (σ, τ) is an apparent pair if

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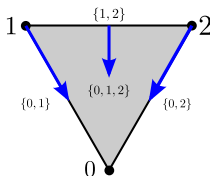
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Lemma. The apparent pairs form a discrete gradient.



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- Explains Ripser's outstanding performance on genetic distances.

Future work

Funding: DFG (SFB/TRR 109 *Discretization in Geometry and Dynamics*)

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- Further investigate spaces that are not tree-like with an eye towards the apparent pairs gradient.

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