

A Unified View on the Functorial Nerve Theorem and its Variations

Fabian Roll (TUM)

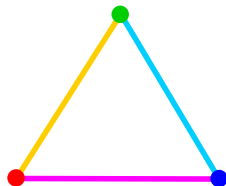
Seminar - Applied CATS
April 26, 2022

joint work with Ulrich Bauer, Michael Kerber, and Alexander Rolle

The Alexandrov nerve (1928)

Definition. Let X be a topological space, and let $\mathcal{U} = (U_i)_{i \in I}$ be a cover of X . The *nerve* of \mathcal{U} is the simplicial complex

$$\mathrm{Nrv}(\mathcal{U}) = \{J \subseteq I \mid |J| < \infty \text{ and } U_J := \bigcap_{i \in J} U_i \neq \emptyset\}$$

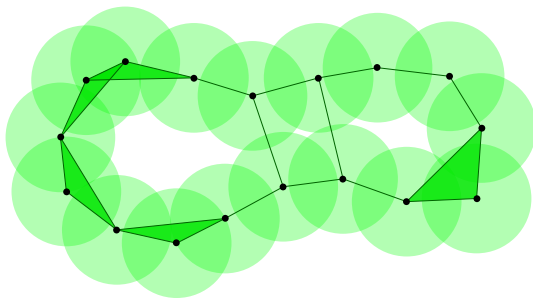


The Alexandrov nerve (1928)

Čech complex of a point cloud

Definition. The Čech complex of a subset $X \subseteq \mathbb{R}^d$ is the nerve of the cover by closed balls of radius r centered at points in X

$$\check{\text{Cech}}_r(X) = \text{Nrv}((D_r(X))_{x \in X})$$



The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

Here *nice* can mean different things:

The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

Here *nice* can mean different things:

- ▶ open, numerable cover, contractible intersections

The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

Here *nice* can mean different things:

- ▶ open, numerable cover, contractible intersections \rightarrow many references

The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

Here *nice* can mean different things:

- ▶ open, numerable cover, contractible intersections \rightarrow many references
- ▶ finite, closed, convex cover

The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

Here *nice* can mean different things:

- ▶ open, numerable cover, contractible intersections \rightarrow many references
- ▶ finite, closed, convex cover \rightarrow few references, mostly using outdated language and tools

The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

Here *nice* can mean different things:

- ▶ open, numerable cover, contractible intersections \rightarrow many references
- ▶ finite, closed, convex cover \rightarrow few references, mostly using outdated language and tools

Prior results?

The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

Here *nice* can mean different things:

- ▶ open, numerable cover, contractible intersections \rightarrow many references
- ▶ finite, closed, convex cover \rightarrow few references, mostly using outdated language and tools

Prior results?

- ▶ Alexandrov 1928: Every compact metric space is the inverse limit of a sequence of nerves of “arbitrarily fine” closed covers.

The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let \mathcal{U} be a nice cover of X . Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X .

Here *nice* can mean different things:

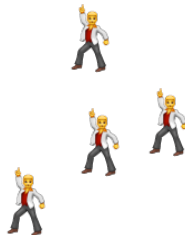
- ▶ open, numerable cover, contractible intersections \rightarrow many references
- ▶ finite, closed, convex cover \rightarrow few references, mostly using outdated language and tools

Prior results?

- ▶ Alexandrov 1928: Every compact metric space is the inverse limit of a sequence of nerves of “arbitrarily fine” closed covers.
- ▶ Čech 1932: Extends Alexandrov’s ideas \rightarrow Čech (co)homology

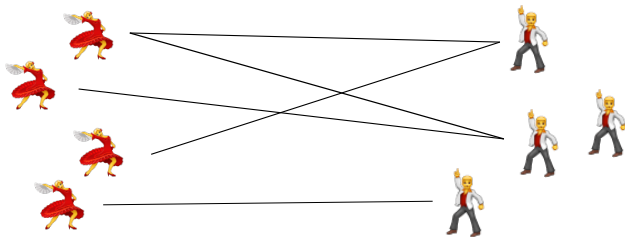
Applications to combinatorics

A puzzle from Gavin Wraith



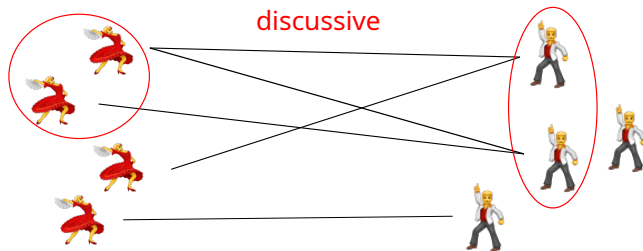
Applications to combinatorics

A puzzle from Gavin Wraith



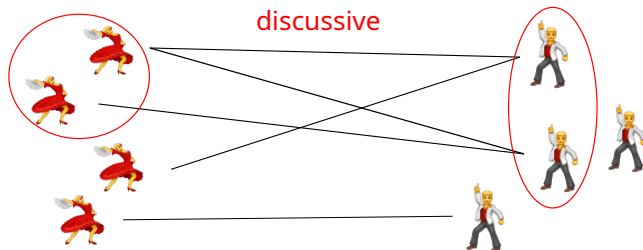
Applications to combinatorics

A puzzle from Gavin Wraith



Applications to combinatorics

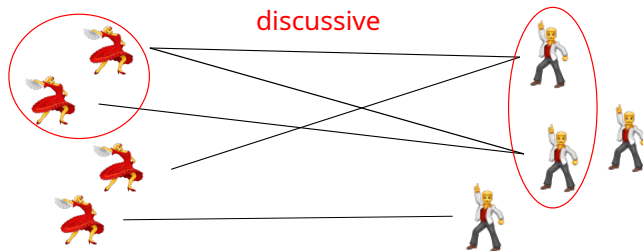
A puzzle from Gavin Wraith



- ▶ $W_n = \#\{\text{discussive groups of } n \text{ women}\}$
- ▶ $M_n = \#\{\text{discussive groups of } n \text{ men}\}$

Applications to combinatorics

A puzzle from Gavin Wraith



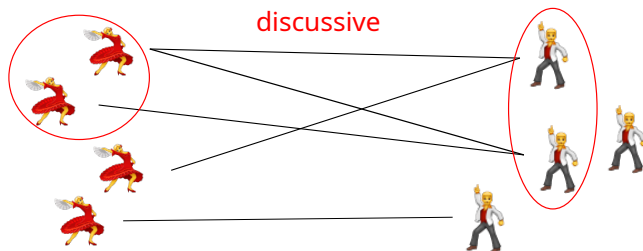
- ▶ $W_n = \#\{\text{discussive groups of } n \text{ women}\}$
- ▶ $M_n = \#\{\text{discussive groups of } n \text{ men}\}$

Show

$$W_1 - W_2 + W_3 - \dots = M_1 - M_2 + M_3 - \dots$$

Applications to combinatorics

A puzzle from Gavin Wraith



- ▶ $W_n = \#\{\text{discussive groups of } n \text{ women}\}$
- ▶ $M_n = \#\{\text{discussive groups of } n \text{ men}\}$

Show

$$W_1 - W_2 + W_3 - \dots = M_1 - M_2 + M_3 - \dots$$
$$4 - 2 + 0 = 3 - 1 + 0$$

Applications to combinatorics

Definition. Let $S \subseteq A \times B$ be a relation. Define two simplicial complexes

$$L = \{\sigma \subseteq A \mid \exists b \in B : \sigma \times \{b\} \subseteq S\}$$

$$R = \{\tau \subseteq B \mid \exists a \in A : \{a\} \times \tau \subseteq S\}$$

Applications to combinatorics

Definition. Let $S \subseteq A \times B$ be a relation. Define two simplicial complexes

$$L = \{\sigma \subseteq A \mid \exists b \in B : \sigma \times \{b\} \subseteq S\}$$

$$R = \{\tau \subseteq B \mid \exists a \in A : \{a\} \times \tau \subseteq S\}$$

Theorem (Dowker 1951). These are homotopy equivalent $L \simeq R$.

Applications to combinatorics

Definition. Let $S \subseteq A \times B$ be a relation. Define two simplicial complexes

$$L = \{\sigma \subseteq A \mid \exists b \in B : \sigma \times \{b\} \subseteq S\}$$

$$R = \{\tau \subseteq B \mid \exists a \in A : \{a\} \times \tau \subseteq S\}$$

Theorem (Dowker 1951). These are homotopy equivalent $L \simeq R$.

Proof. Consider the good cover $\mathcal{U} = (L_b)_{b \in B}$ of L , where $L_b = \{a \in A \mid (a, b) \in S\}$.

Applications to combinatorics

Definition. Let $S \subseteq A \times B$ be a relation. Define two simplicial complexes

$$L = \{\sigma \subseteq A \mid \exists b \in B : \sigma \times \{b\} \subseteq S\}$$

$$R = \{\tau \subseteq B \mid \exists a \in A : \{a\} \times \tau \subseteq S\}$$

Theorem (Dowker 1951). These are homotopy equivalent $L \simeq R$.

Proof. Consider the good cover $\mathcal{U} = (L_b)_{b \in B}$ of L , where $L_b = \{a \in A \mid (a, b) \in S\}$. By the nerve theorem, $L \simeq \text{Nrv}(\mathcal{U}) \cong R$.

Applications to combinatorics

Definition. Let $S \subseteq A \times B$ be a relation. Define two simplicial complexes

$$L = \{\sigma \subseteq A \mid \exists b \in B : \sigma \times \{b\} \subseteq S\}$$

$$R = \{\tau \subseteq B \mid \exists a \in A : \{a\} \times \tau \subseteq S\}$$

Theorem (Dowker 1951). These are homotopy equivalent $L \simeq R$.

Proof. Consider the good cover $\mathcal{U} = (L_b)_{b \in B}$ of L , where $L_b = \{a \in A \mid (a, b) \in S\}$. By the nerve theorem, $L \simeq \text{Nrv}(\mathcal{U}) \cong R$.

More applications?

Applications to combinatorics

Definition. Let $S \subseteq A \times B$ be a relation. Define two simplicial complexes

$$L = \{\sigma \subseteq A \mid \exists b \in B : \sigma \times \{b\} \subseteq S\}$$

$$R = \{\tau \subseteq B \mid \exists a \in A : \{a\} \times \tau \subseteq S\}$$

Theorem (Dowker 1951). These are homotopy equivalent $L \simeq R$.

Proof. Consider the good cover $\mathcal{U} = (L_b)_{b \in B}$ of L , where $L_b = \{a \in A \mid (a, b) \in S\}$. By the nerve theorem, $L \simeq \text{Nrv}(\mathcal{U}) \cong R$.

More applications?

- Nerve theorem based proof of Rota's crosscut theorem

Applications to combinatorics

Definition. Let $S \subseteq A \times B$ be a relation. Define two simplicial complexes

$$L = \{\sigma \subseteq A \mid \exists b \in B : \sigma \times \{b\} \subseteq S\}$$

$$R = \{\tau \subseteq B \mid \exists a \in A : \{a\} \times \tau \subseteq S\}$$

Theorem (Dowker 1951). These are homotopy equivalent $L \simeq R$.

Proof. Consider the good cover $\mathcal{U} = (L_b)_{b \in B}$ of L , where $L_b = \{a \in A \mid (a, b) \in S\}$. By the nerve theorem, $L \simeq \text{Nrv}(\mathcal{U}) \cong R$.

More applications?

- ▶ Nerve theorem based proof of Rota's crosscut theorem
- ▶ Nerves appear in Lovász' proof (1978) of Kneser's conjecture (1955)
→ emergence of topological combinatorics

Nerve theorem for closed convex sets

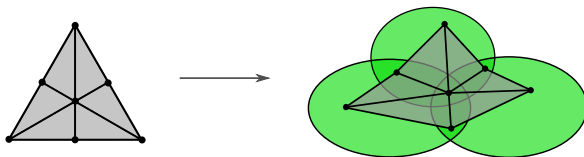
Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then $\text{Nrv}(\mathcal{A})$ is homotopy equivalent to X .

Nerve theorem for closed convex sets

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then $\text{Nrv}(\mathcal{A})$ is homotopy equivalent to X .

Proof strategy:

- Construct piecewise linear $\Gamma: \text{Sd Nrv}(\mathcal{A}) \rightarrow X$

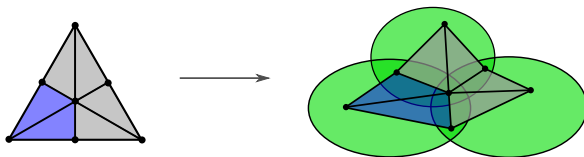


Nerve theorem for closed convex sets

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then $\text{Nrv}(\mathcal{A})$ is homotopy equivalent to X .

Proof strategy:

- Construct piecewise linear $\Gamma: \text{Sd Nrv}(\mathcal{A}) \rightarrow X$ with $\Gamma(\text{bst } v_i) \subseteq C_i$.

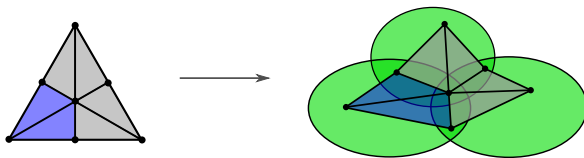


Nerve theorem for closed convex sets

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then $\text{Nrv}(\mathcal{A})$ is homotopy equivalent to X .

Proof strategy:

- Construct piecewise linear $\Gamma: \text{Sd Nrv}(\mathcal{A}) \rightarrow X$ with $\Gamma(\text{bst } v_i) \subseteq C_i$.



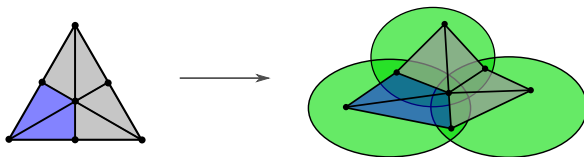
- Construct $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ using a partition of unity subordinate to an open thickening of the C_i with $\Phi(C_i) \subseteq \text{bst } v_i$.

Nerve theorem for closed convex sets

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then $\text{Nrv}(\mathcal{A})$ is homotopy equivalent to X .

Proof strategy:

- Construct piecewise linear $\Gamma: \text{Sd Nrv}(\mathcal{A}) \rightarrow X$ with $\Gamma(\text{bst } v_i) \subseteq C_i$.



- Construct $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ using a partition of unity subordinate to an open thickening of the C_i with $\Phi(C_i) \subseteq \text{bst } v_i$.
- Show that Φ is a homotopy inverse to Γ .

Nerve theorem for closed convex sets

Some proof details

- ▶ Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.

Nerve theorem for closed convex sets

Some proof details

- ▶ Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.
- ▶ Take a partition of unity $(\psi_i)_{i \in [n]}$ on X subordinate to the cover $(U_i \cap X)_{i \in [n]}$ of X with $\psi_i|_{C_i} \equiv 1$.

Nerve theorem for closed convex sets

Some proof details

- ▶ Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.
- ▶ Take a partition of unity $(\psi_i)_{i \in [n]}$ on X subordinate to the cover $(U_i \cap X)_{i \in [n]}$ of X with $\psi_i|_{C_i} \equiv 1$.
- ▶ Define the map $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ by

$$\Phi: x \mapsto \sum_{i=0}^n \psi_i(x) \cdot v_i$$

Nerve theorem for closed convex sets

Some proof details

- ▶ Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.
- ▶ Take a partition of unity $(\psi_i)_{i \in [n]}$ on X subordinate to the cover $(U_i \cap X)_{i \in [n]}$ of X with $\psi_i|_{C_i} \equiv 1$.
- ▶ Define the map $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ by

$$\Phi: x \mapsto \sum_{i=0}^n \psi_i(x) \cdot v_i$$

- ▶ Then $\Gamma \circ \Phi(C_i) \subseteq C_i$

Nerve theorem for closed convex sets

Some proof details

- ▶ Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.
- ▶ Take a partition of unity $(\psi_i)_{i \in [n]}$ on X subordinate to the cover $(U_i \cap X)_{i \in [n]}$ of X with $\psi_i|_{C_i} \equiv 1$.
- ▶ Define the map $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ by

$$\Phi: x \mapsto \sum_{i=0}^n \psi_i(x) \cdot v_i$$

- ▶ Then $\Gamma \circ \Phi(C_i) \subseteq C_i$ and $\Gamma \circ \Phi \simeq \text{id}_X$ by a straight line homotopy.

Nerve theorem for closed convex sets

Some proof details

- ▶ Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.
- ▶ Take a partition of unity $(\psi_i)_{i \in [n]}$ on X subordinate to the cover $(U_i \cap X)_{i \in [n]}$ of X with $\psi_i|_{C_i} \equiv 1$.
- ▶ Define the map $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ by

$$\Phi: x \mapsto \sum_{i=0}^n \psi_i(x) \cdot v_i$$

- ▶ Then $\Gamma \circ \Phi(C_i) \subseteq C_i$ and $\Gamma \circ \Phi \simeq \text{id}_X$ by a straight line homotopy.
- ▶ Then $\Phi \circ \Gamma(\text{bst } v_i) \subseteq \text{bst } v_i$

Nerve theorem for closed convex sets

Some proof details

- ▶ Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.
- ▶ Take a partition of unity $(\psi_i)_{i \in [n]}$ on X subordinate to the cover $(U_i \cap X)_{i \in [n]}$ of X with $\psi_i|_{C_i} \equiv 1$.
- ▶ Define the map $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ by

$$\Phi: x \mapsto \sum_{i=0}^n \psi_i(x) \cdot v_i$$

- ▶ Then $\Gamma \circ \Phi(C_i) \subseteq C_i$ and $\Gamma \circ \Phi \simeq \text{id}_X$ by a straight line homotopy.
- ▶ Then $\Phi \circ \Gamma(\text{bst } v_i) \subseteq \text{bst } v_i$ and $\Phi \circ \Gamma \simeq \text{id}_{\text{Sd Nrv}(\mathcal{A})}$ by induction over the skeleton of $\text{Sd Nrv}(\mathcal{A})$;

Nerve theorem for closed convex sets

Some proof details

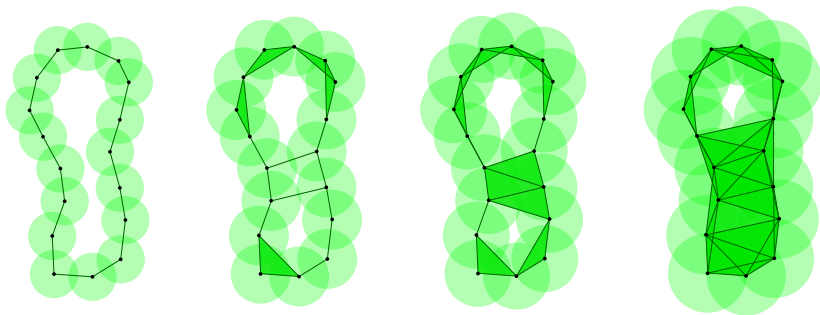
- ▶ Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\text{Nrv}(\mathcal{A}) = \text{Nrv}(\mathcal{G}_\varepsilon)$.
- ▶ Take a partition of unity $(\psi_i)_{i \in [n]}$ on X subordinate to the cover $(U_i \cap X)_{i \in [n]}$ of X with $\psi_i|_{C_i} \equiv 1$.
- ▶ Define the map $\Phi: X \rightarrow \text{Nrv}(\mathcal{A})$ by

$$\Phi: x \mapsto \sum_{i=0}^n \psi_i(x) \cdot v_i$$

- ▶ Then $\Gamma \circ \Phi(C_i) \subseteq C_i$ and $\Gamma \circ \Phi \simeq \text{id}_X$ by a straight line homotopy.
- ▶ Then $\Phi \circ \Gamma(\text{bst } v_i) \subseteq \text{bst } v_i$ and $\Phi \circ \Gamma \simeq \text{id}_{\text{Sd Nrv}(\mathcal{A})}$ by induction over the skeleton of $\text{Sd Nrv}(\mathcal{A})$; use that $(\text{bst } v)_{v \in \text{Vert Nrv}(\mathcal{A})}$ is a good cover of $\text{Sd Nrv}(\mathcal{A})$.

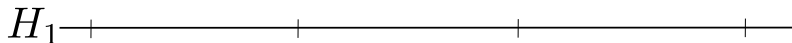
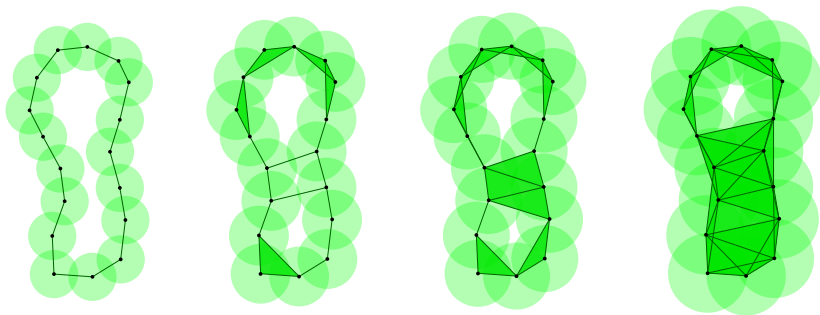
Functoriality

Persistent homology



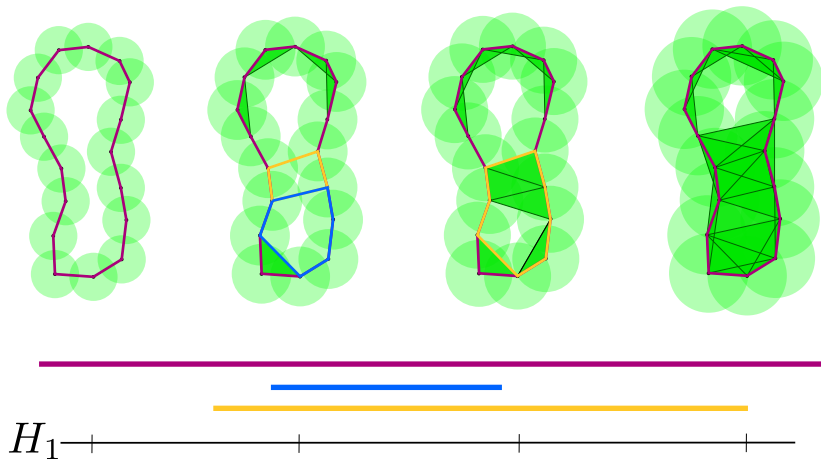
Functoriality

Persistent homology



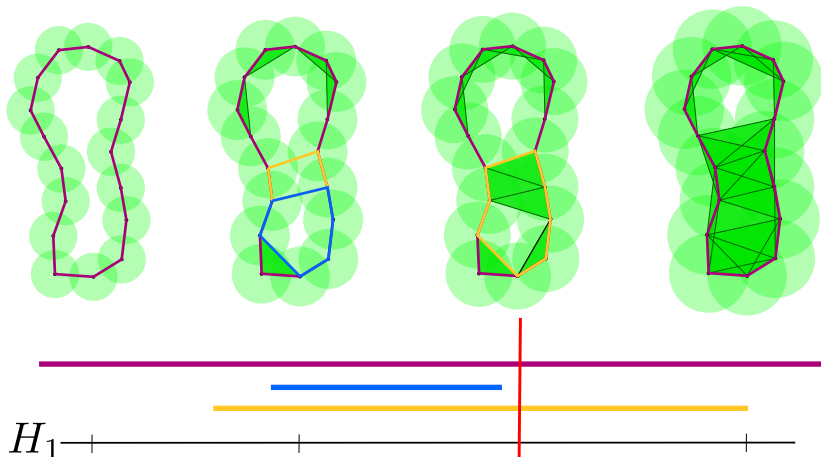
Functoriality

Persistent homology



Functoriality

Persistent homology



Functoriality

Let $X \subseteq \mathbb{R}^d$, $\mathcal{U}_r = \{D_r(x)\}_{x \in X}$, and $X_r = \bigcup \mathcal{U}_r$. The nerve theorem guarantees for $r \leq l$ the homotopy equivalences

$$\begin{array}{ccc} \mathrm{Nrv}(\mathcal{U}_r) & & \mathrm{Nrv}(\mathcal{U}_l) \\ \simeq \uparrow & & \uparrow \simeq \\ X_r & & X_l \end{array}$$

Functoriality

Let $X \subseteq \mathbb{R}^d$, $\mathcal{U}_r = \{D_r(x)\}_{x \in X}$, and $X_r = \bigcup \mathcal{U}_r$. The nerve theorem guarantees for $r \leq l$ the homotopy equivalences

$$\begin{array}{ccc} \mathrm{Nrv}(\mathcal{U}_r) & \longrightarrow & \mathrm{Nrv}(\mathcal{U}_l) \\ \simeq \uparrow & \circlearrowleft ? & \uparrow \simeq \\ X_r & \longrightarrow & X_l \end{array}$$

Functoriality

Let $X \subseteq \mathbb{R}^d$, $\mathcal{U}_r = \{D_r(x)\}_{x \in X}$, and $X_r = \bigcup \mathcal{U}_r$. The nerve theorem guarantees for $r \leq l$ the homotopy equivalences

$$\begin{array}{ccc} \mathrm{Nrv}(\mathcal{U}_r) & \longrightarrow & \mathrm{Nrv}(\mathcal{U}_l) \\ \simeq \uparrow & \circlearrowleft ? & \uparrow \simeq \\ X_r & \longrightarrow & X_l \end{array}$$

Theorem (Chazal–Oudot 2008). For open covers, this diagram commutes up to homotopy. Hence, it commutes after applying homology.

Functoriality

Let $X \subseteq \mathbb{R}^d$, $\mathcal{U}_r = \{D_r(x)\}_{x \in X}$, and $X_r = \bigcup \mathcal{U}_r$. The nerve theorem guarantees for $r \leq l$ the homotopy equivalences

$$\begin{array}{ccc} \mathrm{Nrv}(\mathcal{U}_r) & \longrightarrow & \mathrm{Nrv}(\mathcal{U}_l) \\ \simeq \uparrow & \circlearrowleft? & \uparrow \simeq \\ X_r & \longrightarrow & X_l \end{array}$$

Theorem (Chazal–Oudot 2008). For **open covers**, this diagram commutes **up to homotopy**. Hence, it commutes after applying homology.

We address two issues:

1. Closed covers were not well-treated in the literature
2. No “proper” functoriality

Functoriality

Let $X \subseteq \mathbb{R}^d$, $\mathcal{U}_r = \{D_r(x)\}_{x \in X}$, and $X_r = \bigcup \mathcal{U}_r$. The nerve theorem guarantees for $r \leq l$ the homotopy equivalences

$$\begin{array}{ccc} \mathrm{Nrv}(\mathcal{U}_r) & \longrightarrow & \mathrm{Nrv}(\mathcal{U}_l) \\ \simeq \uparrow & \circlearrowleft ? & \uparrow \simeq \\ X_r & \longrightarrow & X_l \end{array}$$

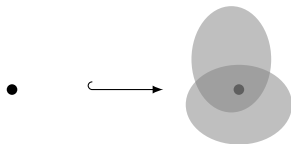
Theorem (Chazal–Oudot 2008). For **open covers**, this diagram commutes **up to homotopy**. Hence, it commutes after applying homology.

We address two issues:

1. Closed covers were not well-treated in the literature
2. No “proper” functoriality \rightarrow needed in some homotopy-theoretic approaches to TDA (e.g. Blumberg–Lesnick)

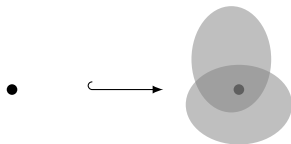
Functoriality

Category of covered spaces



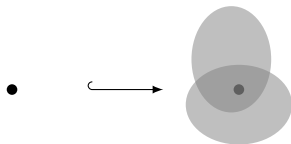
Functoriality

Category of covered spaces



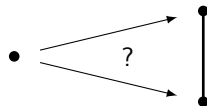
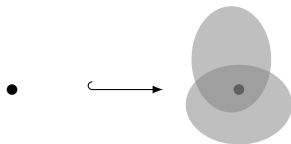
Functoriality

Category of covered spaces



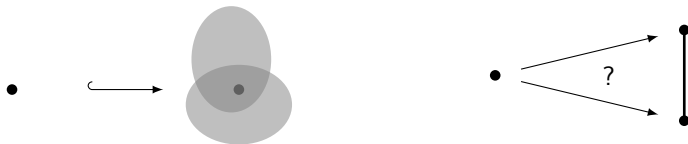
Functoriality

Category of covered spaces



Functoriality

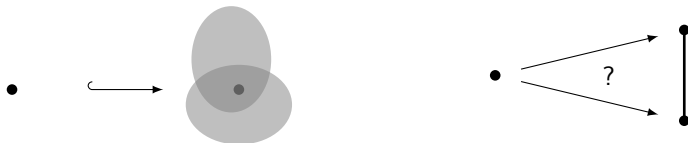
Category of covered spaces



Definition. $(U_i)_{i \in I}$ a cover of X , and $(V_\ell)_{\ell \in L}$ a cover of Y . A *map of indexed covers* $\varphi: (U_i)_{i \in I} \rightarrow (V_\ell)_{\ell \in L}$ is formally a map $\varphi: I \rightarrow L$. A continuous map $f: X \rightarrow Y$ is *carried by* φ if $f(U_i) \subseteq V_{\varphi(i)}$.

Functoriality

Category of covered spaces



Definition. $(U_i)_{i \in I}$ a cover of X , and $(V_\ell)_{\ell \in L}$ a cover of Y . A *map of indexed covers* $\varphi: (U_i)_{i \in I} \rightarrow (V_\ell)_{\ell \in L}$ is formally a map $\varphi: I \rightarrow L$. A continuous map $f: X \rightarrow Y$ is *carried by* φ if $f(U_i) \subseteq V_{\varphi(i)}$.

Definition. The *category of covered spaces* Cov has

- ▶ Obj: pairs of the form $(X, (U_i))$, with (U_i) a cover of X
- ▶ Mor: $(f, \varphi): (X, (U_i)) \rightarrow (Y, (V_\ell))$, continuous map $f: X \rightarrow Y$ carried by $\varphi: (U_i) \rightarrow (V_\ell)$

Functoriality

Category of covered spaces

Two functors

- ▶ Forgetting the cover: $\text{Spc}: \text{Cov} \rightarrow \text{Top}, (X, \mathcal{U}) \mapsto X$
- ▶ The nerve: $\text{Nrv}: \text{Cov} \rightarrow \text{Top}, (X, \mathcal{U}) \mapsto \text{Nrv}(\mathcal{U})$

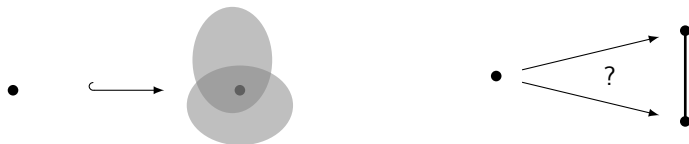
Functoriality

Category of covered spaces

Two functors

- Forgetting the cover: $\text{Spc}: \text{Cov} \rightarrow \text{Top}, (X, \mathcal{U}) \mapsto X$
- The nerve: $\text{Nrv}: \text{Cov} \rightarrow \text{Top}, (X, \mathcal{U}) \mapsto \text{Nrv}(\mathcal{U})$

Remark. There are no natural transformations $\text{Spc} \Rightarrow \text{Nrv}$



and similarly no natural transformations $\text{Nrv} \Rightarrow \text{Spc}$.

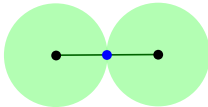
Functoriality

Pointed covers



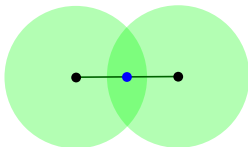
Functoriality

Pointed covers



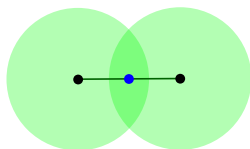
Functoriality

Pointed covers



Functoriality

Pointed covers

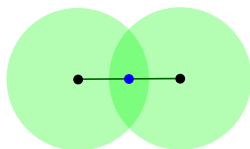


Definition. Only consider $X \subseteq \mathbb{R}^d$. The category ClConv_\bullet has

- $\text{Obj}: (X, \mathcal{A}_\bullet)$, \mathcal{A}_\bullet a finite closed, convex, and *pointed* cover of X

Functoriality

Pointed covers

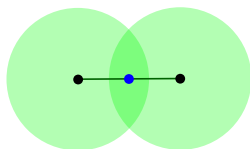


Definition. Only consider $X \subseteq \mathbb{R}^d$. The category ClConv_\bullet has

- ▶ Obj: (X, \mathcal{A}_\bullet) , \mathcal{A}_\bullet a finite closed, convex, and *pointed* cover of X
- ▶ Mor: $(f, \varphi): (X, \mathcal{A}_\bullet) \rightarrow (Y, \mathcal{B}_\bullet)$, $f: X \rightarrow Y$ carried by $\varphi: \mathcal{A} \rightarrow \mathcal{B}$:
 - ▶ f preserves the basepoints
 - ▶ f is affine linear on each cover element

Functoriality

Pointed covers



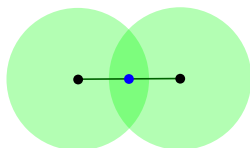
Definition. Only consider $X \subseteq \mathbb{R}^d$. The category ClConv_\bullet has

- ▶ Obj: (X, \mathcal{A}_\bullet) , \mathcal{A}_\bullet a finite closed, convex, and *pointed* cover of X
- ▶ Mor: $(f, \varphi): (X, \mathcal{A}_\bullet) \rightarrow (Y, \mathcal{B}_\bullet)$, $f: X \rightarrow Y$ carried by $\varphi: \mathcal{A} \rightarrow \mathcal{B}$:
 - ▶ f preserves the basepoints
 - ▶ f is affine linear on each cover element

The map $\Gamma: \text{Sd Nrv}(\mathcal{A}) \xrightarrow{\cong} X$ is natural w.r.t morphisms in ClConv_\bullet .

Functoriality

Pointed covers



Definition. Only consider $X \subseteq \mathbb{R}^d$. The category ClConv_\bullet has

- ▶ Obj: (X, \mathcal{A}_\bullet) , \mathcal{A}_\bullet a finite closed, convex, and *pointed* cover of X
- ▶ Mor: $(f, \varphi): (X, \mathcal{A}_\bullet) \rightarrow (Y, \mathcal{B}_\bullet)$, $f: X \rightarrow Y$ carried by $\varphi: \mathcal{A} \rightarrow \mathcal{B}$:
 - ▶ f preserves the basepoints
 - ▶ f is affine linear on each cover element

The map $\Gamma: \text{Sd Nrv}(\mathcal{A}) \xrightarrow{\sim} X$ is natural w.r.t morphisms in ClConv_\bullet .

Theorem. On ClConv_\bullet there exists a pointwise homotopy equivalence

$$\text{Sd Nrv} \Rightarrow \text{Spc}$$

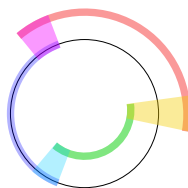
Functoriality

Blowup complex

Definition. For a finite cover $\mathcal{U} = (U_i)_{i \in [n]}$ of X , the *blowup complex* is

$$\text{Blowup}(\mathcal{U}) = \bigcup_{J \in \text{Nrv}(\mathcal{U})} U_J \times \Delta^{|J|-1} \subseteq X \times \Delta^n,$$

yielding a functor $\text{Blowup}: \text{Cov} \rightarrow \text{Top}$.



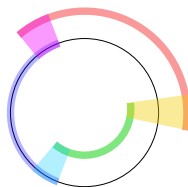
Functoriality

Blowup complex

Definition. For a finite cover $\mathcal{U} = (U_i)_{i \in [n]}$ of X , the *blowup complex* is

$$\text{Blowup}(\mathcal{U}) = \bigcup_{J \in \text{Nrv}(\mathcal{U})} U_J \times \Delta^{|J|-1} \subseteq X \times \Delta^n,$$

yielding a functor $\text{Blowup}: \text{Cov} \rightarrow \text{Top}$.



Remark. The blowup complex is (not naturally) homeomorphic to the *bar construction* of the nerve diagram

Functoriality

Blowup complex

Definition. For a finite cover $\mathcal{U} = (U_i)_{i \in [n]}$ of X , the *blowup complex* is

$$\text{Blowup}(\mathcal{U}) = \bigcup_{J \in \text{Nrv}(\mathcal{U})} U_J \times \Delta^{|J|-1} \subseteq X \times \Delta^n,$$

yielding a functor $\text{Blowup}: \text{Cov} \rightarrow \text{Top}$.



Remark. The blowup complex is (not naturally) homeomorphic to the *bar construction* of the nerve diagram \rightarrow can exploit its good homotopy theoretic properties to prove nerve theorems

Functoriality

Any $(f, \varphi): (X, \mathcal{U}) \rightarrow (Y, \mathcal{V})$ induces a commuting diagram

$$\begin{array}{ccccc} X & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{U}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{U}) \\ f \downarrow & & \downarrow & & \downarrow \varphi_* \\ Y & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{V}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{V}) \end{array}$$

Functoriality

Any $(f, \varphi): (X, \mathcal{U}) \rightarrow (Y, \mathcal{V})$ induces a commuting diagram

$$\begin{array}{ccccc} X & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{U}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{U}) \\ f \downarrow & & \downarrow & & \downarrow \varphi_* \\ Y & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{V}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{V}) \end{array}$$

Hence, there are natural transformations $\text{Spc} \xleftarrow{\rho_S} \text{Blowup} \xrightarrow{\rho_N} \text{Nrv}$.

Functoriality

Any $(f, \varphi): (X, \mathcal{U}) \rightarrow (Y, \mathcal{V})$ induces a commuting diagram

$$\begin{array}{ccccc} X & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{U}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{U}) \\ f \downarrow & & \downarrow & & \downarrow \varphi_* \\ Y & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{V}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{V}) \end{array}$$

Hence, there are natural transformations $\text{Spc} \xleftarrow{\rho_S} \text{Blowup} \xrightarrow{\rho_N} \text{Nrv}$.

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then the natural maps ρ_S and ρ_N are homotopy equivalences.

Functoriality

Any $(f, \varphi): (X, \mathcal{U}) \rightarrow (Y, \mathcal{V})$ induces a commuting diagram

$$\begin{array}{ccccc}
 X & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{U}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{U}) \\
 f \downarrow & & \downarrow & & \downarrow \varphi_* \\
 Y & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{V}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{V})
 \end{array}$$

Hence, there are natural transformations $\text{Spc} \xleftarrow{\rho_S} \text{Blowup} \xrightarrow{\rho_N} \text{Nrv}$.

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then the natural maps ρ_S and ρ_N are homotopy equivalences.

Proof. Use the following up to homotopy commutative diagram

$$\begin{array}{ccc}
 & \text{Blowup}(\mathcal{A}) & \\
 \swarrow & & \searrow \\
 X & \xrightarrow[\Gamma]{\simeq} & \text{Nrv}(\mathcal{A})
 \end{array}$$

Functoriality

Any $(f, \varphi): (X, \mathcal{U}) \rightarrow (Y, \mathcal{V})$ induces a commuting diagram

$$\begin{array}{ccccc}
 X & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{U}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{U}) \\
 f \downarrow & & \downarrow & & \downarrow \varphi_* \\
 Y & \xleftarrow{\rho_S} & \text{Blowup}(\mathcal{V}) & \xrightarrow{\rho_N} & \text{Nrv}(\mathcal{V})
 \end{array}$$

Hence, there are natural transformations $\text{Spc} \xleftarrow{\rho_S} \text{Blowup} \xrightarrow{\rho_N} \text{Nrv}$.

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then the natural maps ρ_S and ρ_N are homotopy equivalences.

Proof. Use the following up to homotopy commutative diagram

$$\begin{array}{ccc}
 & \text{Blowup}(\mathcal{A}) & \\
 \nearrow \simeq & & \searrow \simeq \\
 X & \xrightarrow[\Gamma]{\simeq} & \text{Nrv}(\mathcal{A})
 \end{array}$$

Unified nerve theorem

Theorem. Let X be a topological space and $\mathcal{A} = (A_i)_{i \in I}$ a cover of X .

1. Consider the natural map $\rho_S: \text{Blowup}(\mathcal{A}) \rightarrow X$.
2. Consider the natural map $\rho_N: \text{Blowup}(\mathcal{A}) \rightarrow \text{Nrv}(\mathcal{A})$.

Unified nerve theorem

Theorem. Let X be a topological space and $\mathcal{A} = (A_i)_{i \in I}$ a cover of X .

1. Consider the natural map $\rho_S: \text{Blowup}(\mathcal{A}) \rightarrow X$.
 - a) If \mathcal{A} is an open cover, then ρ_S is a weak homotopy equivalence. If furthermore X is paracompact, then ρ_S is a homotopy equivalence.

2. Consider the natural map $\rho_N: \text{Blowup}(\mathcal{A}) \rightarrow \text{Nrv}(\mathcal{A})$.

Unified nerve theorem

Theorem. Let X be a topological space and $\mathcal{A} = (A_i)_{i \in I}$ a cover of X .

1. Consider the natural map $\rho_S: \text{Blowup}(\mathcal{A}) \rightarrow X$.
 - a) If \mathcal{A} is an open cover, then ρ_S is a weak homotopy equivalence. If furthermore X is paracompact, then ρ_S is a homotopy equivalence.
 - b) Let X be compactly generated, and \mathcal{A} a closed cover that is locally finite and locally finite dimensional.
2. Consider the natural map $\rho_N: \text{Blowup}(\mathcal{A}) \rightarrow \text{Nrv}(\mathcal{A})$.

Unified nerve theorem

Theorem. Let X be a topological space and $\mathcal{A} = (A_i)_{i \in I}$ a cover of X .

1. Consider the natural map $\rho_S: \text{Blowup}(\mathcal{A}) \rightarrow X$.
 - a) If \mathcal{A} is an open cover, then ρ_S is a weak homotopy equivalence. If furthermore X is paracompact, then ρ_S is a homotopy equivalence.
 - b) Let X be compactly generated, and \mathcal{A} a closed cover that is locally finite and locally finite dimensional. If for any $T \in \text{Nrv}(\mathcal{A})$ the latching space $L(T) := \bigcup_{T \subsetneq J \subseteq I} A_J \subseteq A_T$ is closed and $(A_T, L(T))$ satisfies the homotopy ext. prop., then ρ_S is a homotopy equivalence.
2. Consider the natural map $\rho_N: \text{Blowup}(\mathcal{A}) \rightarrow \text{Nrv}(\mathcal{A})$.

Unified nerve theorem

Theorem. Let X be a topological space and $\mathcal{A} = (A_i)_{i \in I}$ a cover of X .

1. Consider the natural map $\rho_S: \text{Blowup}(\mathcal{A}) \rightarrow X$.
 - a) If \mathcal{A} is an open cover, then ρ_S is a weak homotopy equivalence. If furthermore X is paracompact, then ρ_S is a homotopy equivalence.
 - b) Let X be compactly generated, and \mathcal{A} a closed cover that is locally finite and locally finite dimensional. If for any $T \in \text{Nrv}(\mathcal{A})$ the latching space $L(T) := \bigcup_{T \subsetneq J \subseteq I} A_J \subseteq A_T$ is closed and $(A_T, L(T))$ satisfies the homotopy ext. prop., then ρ_S is a homotopy equivalence.
2. Consider the natural map $\rho_N: \text{Blowup}(\mathcal{A}) \rightarrow \text{Nrv}(\mathcal{A})$.
 - a) If \mathcal{A} is (weakly) good, then ρ_N is a (weak) homotopy equivalence.

Unified nerve theorem

Theorem. Let X be a topological space and $\mathcal{A} = (A_i)_{i \in I}$ a cover of X .

1. Consider the natural map $\rho_S: \text{Blowup}(\mathcal{A}) \rightarrow X$.
 - a) If \mathcal{A} is an open cover, then ρ_S is a weak homotopy equivalence. If furthermore X is paracompact, then ρ_S is a homotopy equivalence.
 - b) Let X be compactly generated, and \mathcal{A} a closed cover that is locally finite and locally finite dimensional. If for any $T \in \text{Nrv}(\mathcal{A})$ the latching space $L(T) := \bigcup_{T \subsetneq J \subseteq I} A_J \subseteq A_T$ is closed and $(A_T, L(T))$ satisfies the homotopy ext. prop., then ρ_S is a homotopy equivalence.
2. Consider the natural map $\rho_N: \text{Blowup}(\mathcal{A}) \rightarrow \text{Nrv}(\mathcal{A})$.
 - a) If \mathcal{A} is (weakly) good, then ρ_N is a (weak) homotopy equivalence.
 - b) If for all $J \in \text{Nrv}(\mathcal{A})$ the space A_J is compactly generated and \mathcal{A} is homologically good with respect to a coefficient ring R , then ρ_N is an R -homology isomorphism.

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence
2. For closed good covers some “finiteness” is needed

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence
2. For closed good covers some “finiteness” is needed
3. The “latching assumption” is not a proof artefact; even if we only care about the homologies

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence:

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence:
 - ▶ Consider the *long ray* L

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence:
 - ▶ Consider the *long ray* L
 - ▶ This is a standard example for a non-paracompact space that is also not contractible

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence:
 - ▶ Consider the *long ray* L
 - ▶ This is a standard example for a non-paracompact space that is also not contractible
 - ▶ L is weakly contractible and for any point $p \in L$ the open set $L_{<p} = \{t \in L \mid t < p\}$ is homeomorphic to the interval $[0, 1)$

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence:
 - ▶ Consider the *long ray* L
 - ▶ This is a standard example for a non-paracompact space that is also not contractible
 - ▶ L is weakly contractible and for any point $p \in L$ the open set $L_{<p} = \{t \in L \mid t < p\}$ is homeomorphic to the interval $[0, 1)$
 - ▶ $\mathcal{A} = (L_{<p})_{p \in \omega_1}$ is a good open cover and it follows from 1.a) and 2.a) that the nerve $\mathrm{Nrv} \mathcal{A}$ is weakly contractible and hence contractible by Whitehead's theorem

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence:
 - ▶ Consider the *long ray* L
 - ▶ This is a standard example for a non-paracompact space that is also not contractible
 - ▶ L is weakly contractible and for any point $p \in L$ the open set $L_{<p} = \{t \in L \mid t < p\}$ is homeomorphic to the interval $[0, 1)$
 - ▶ $\mathcal{A} = (L_{<p})_{p \in \omega_1}$ is a good open cover and it follows from 1.a) and 2.a) that the nerve $\mathrm{Nrv} \mathcal{A}$ is weakly contractible and hence contractible by Whitehead's theorem
 - ▶ Thus, L and $\mathrm{Nrv} \mathcal{A}$ are not homotopy equivalent

Unified nerve theorem

Counterexamples

2. For closed good covers some “finiteness” is needed:

Unified nerve theorem

Counterexamples

2. For closed good covers some “finiteness” is needed:

► Consider the cover of S^1 by its points

Unified nerve theorem

Counterexamples

2. For closed good covers some “finiteness” is needed:
 - ▶ Consider the cover of S^1 by its points
 - ▶ As the nerve $\mathrm{Nrv} \mathcal{A}$ is a disjoint union of points, it is not homotopy equivalent to S^1

Unified nerve theorem

Counterexamples

2. For closed good covers some “finiteness” is needed:
- ▶ Consider the cover of S^1 by its points
 - ▶ As the nerve $\mathrm{Nrv} \mathcal{A}$ is a disjoint union of points, it is not homotopy equivalent to S^1
 - ▶ All conditions in 1.b) and 2.a) are satisfied except the locally finiteness assumption

Unified nerve theorem

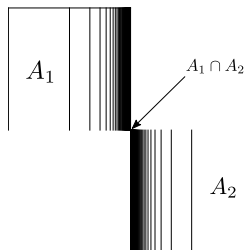
Counterexamples

3. The “latching assumption” is not a proof artefact:

Unified nerve theorem

Counterexamples

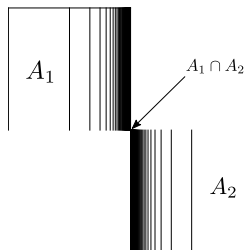
3. The “latching assumption” is not a proof artefact:
- Consider the *double comb space* C and denote the two combs by A_1 and A_2



Unified nerve theorem

Counterexamples

3. The “latching assumption” is not a proof artefact:
- Consider the *double comb space* C and denote the two combs by A_1 and A_2

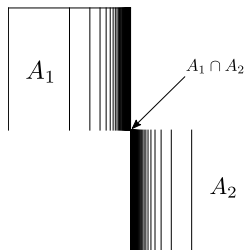


- The nerve $\text{Nrv } \mathcal{A}$ is contractible, but C is not

Unified nerve theorem

Counterexamples

3. The “latching assumption” is not a proof artefact:
- Consider the *double comb space* C and denote the two combs by A_1 and A_2



- The nerve $\text{Nrv } \mathcal{A}$ is contractible, but C is not
- The pairs $(A_1, A_1 \cap A_2)$ and $(A_2, A_1 \cap A_2)$ do not satisfy the homotopy extension property

Unified nerve theorem

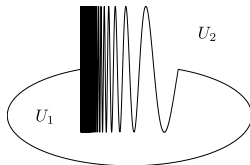
Counterexamples

3. The “latching assumption” is not a proof artefact; even if we only care about the homologies:

Unified nerve theorem

Counterexamples

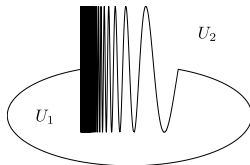
3. The “latching assumption” is not a proof artefact; even if we only care about the homologies:
- Consider the *Warsaw circle* $W \subseteq S^2$ that separates the sphere into two connected components U_1 and U_2



Unified nerve theorem

Counterexamples

3. The “latching assumption” is not a proof artefact; even if we only care about the homologies:
- ▶ Consider the *Warsaw circle* $W \subseteq S^2$ that separates the sphere into two connected components U_1 and U_2

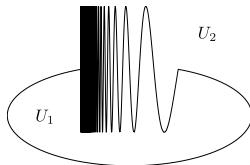


- ▶ The closed sets $A_1 = U_1 \cup W$ and $A_2 = U_2 \cup W$ cover the sphere and are contractible

Unified nerve theorem

Counterexamples

3. The “latching assumption” is not a proof artefact; even if we only care about the homologies:
- ▶ Consider the *Warsaw circle* $W \subseteq S^2$ that separates the sphere into two connected components U_1 and U_2

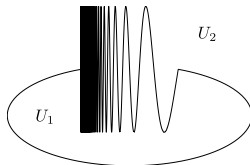


- ▶ The closed sets $A_1 = U_1 \cup W$ and $A_2 = U_2 \cup W$ cover the sphere and are contractible
- ▶ $A_1 \cap A_2 = W$ is acyclic and hence $\mathcal{A} = \{A_1, A_2\}$ is a homologically good closed cover of S^2

Unified nerve theorem

Counterexamples

3. The “latching assumption” is not a proof artefact; even if we only care about the homologies:
- ▶ Consider the *Warsaw circle* $W \subseteq S^2$ that separates the sphere into two connected components U_1 and U_2



- ▶ The closed sets $A_1 = U_1 \cup W$ and $A_2 = U_2 \cup W$ cover the sphere and are contractible
- ▶ $A_1 \cap A_2 = W$ is acyclic and hence $\mathcal{A} = \{A_1, A_2\}$ is a homologically good closed cover of S^2
- ▶ S^2 and $\text{Nrv } \mathcal{A}$ do not have isomorphic homology groups

Future work

Funding: DFG (SFB/TRR 109 *Discretization in Geometry and Dynamics*)

Future work

- ▶ Approximate nerve theorems

Funding: DFG (SFB/TRR 109 *Discretization in Geometry and Dynamics*)

Future work

- ▶ Approximate nerve theorems
- ▶ Discuss Latschev's result on the reconstruction of Riemannian manifolds and its functoriality by using *Vietoris–Rips good covers*

Funding: DFG (SFB/TRR 109 *Discretization in Geometry and Dynamics*)

Selected references

- [1] Paul Alexandroff. “Über den allgemeinen Dimensionsbegriff und seine Beziehungen zur elementaren geometrischen Anschauung”. In: *Mathematische Annalen* 98.1 (Mar. 1928), pp. 617–635. ISSN: 1432-1807. DOI: 10.1007/BF01451612.
- [2] A. Björner. “Topological Methods”. In: 1996. DOI: 10.1142/9789814415477_0019.
- [3] Andrew J. Blumberg and Michael Lesnick. “Universality of the Homotopy Interleaving Distance”. In: *arXiv:1705.01690 [cs, math]* (May 2017). arXiv: 1705.01690 [cs, math].
- [4] Karol Borsuk. “On the Imbedding of Systems of Compacta in Simplicial Complexes”. In: *Fundamenta Mathematicae* 35.1 (1948), pp. 217–234. ISSN: 0016-2736.
- [5] Frédéric Chazal and Steve Yann Oudot. “Towards Persistence-Based Reconstruction in Euclidean Spaces”. In: *Proceedings of the Twenty-Fourth Annual Symposium on Computational Geometry*. SCG '08. New York, NY, USA: Association for Computing Machinery, June 2008, pp. 232–241. ISBN: 978-1-60558-071-5. DOI: 10.1145/1377676.1377719.