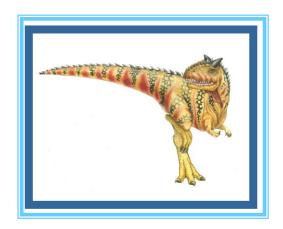
# Chapter 9: Virtual-Memory Management





### **Chapter 9: Virtual-Memory Management**

- Background
- Demand Paging
- Copy-on-Write
- Page Replacement
- Allocation of Frames
- Thrashing
- Memory-Mapped Files
- Allocating Kernel Memory
- Other Considerations
- Operating-System Examples





## **Objectives**

- To describe the benefits of a virtual memory system
- To explain the concepts of demand paging, page-replacement algorithms, and allocation of page frames
- To discuss the principle of the working-set model





## **Background**

- Virtual memory separation of user logical memory from physical memory.
  - Only part of the program needs to be in memory for execution
  - Logical address space can therefore be much larger than physical address space
  - Allows address spaces to be shared by several processes
  - Allows for more efficient process creation
- Virtual memory can be implemented via:
  - Demand paging
  - Demand segmentation



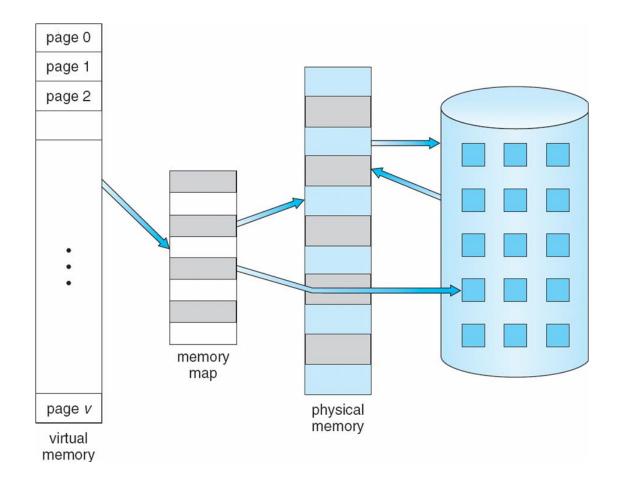


#### **Virtual Memory That is Larger Than Physical Memory**

```
linux1:~
(linux1:~) ysw% cat /proc/self/maps
00400000-0040b000 r-xp 00000000 09:03 100663537
                                                                          /bin/cat
0060a000-0060b000 r--p 0000a000 09:03 100663537
                                                                          /bin/cat
                                                                          /bin/cat
0060b000-0060c000 rw-p 0000b000 09:03 100663537
011b4000-011d5000 rw-p 00000000 00:00 0
                                                                          [heap]
7f015911f000-7f015f486000 r--p 00000000 09:03 627058
                                                                          /usr/lib64/locale/locale-archive
7f015f486000-7f015f61c000 r-xp 00000000 09:03 37223144
                                                                          /lib64/libc-2.15.so
7f015f61c000-/t015t81b000 -p 00196000 09:03 37223144
                                                                          /lib64/libc-2.15.so
7f015f81b000-7f015f81f000 r-\p_00195000_09:03 37223144
                                                                          /lib64/libc-2.15.so
7f015f81f000-7f015f821000 rw-p <del>001</del>99000 09:03 37223144
                                                                          /lib64/libc-2.15.so
7f015f821000-7f015f825000 rw-p 00000000 00:00 0
                                                                          /lib64/ld-2.15.so
7f015f825000-7f015f846000 r-xp 00000000 09:03 37223145
7f015fa29000-7f015fa2c000 rw-p 00000000 00:00 0
7f015fa44000-7f015fa45000 rw-p 00000000 00:00 0
7f015fa45000-7f015fa46000 r--p 00020000 09:03 37223145
                                                                          /lib64/ld-2.15.so
7f015fa46000-7f015fa47000 rw-p 00021000 09:03 37223145
                                                                          /lib64/ld-2.15.so
7f015fa47000-7f015fa48000 rw-p 00000000 00:00 0
7fffd9108000-7fffd9129000 rw-p 00000000 00:00 0
                                                                          [stack]
7fffd91ff000-7fffd9200000 r-xn 00000000 00:00 0
                                                                          [vdso]
fffffffff600000 ffffffffff601000 ^-xp 00000000 00:00 0
                                                                          [vsyscall]
(linux1:~) ysw%
                                       16777216 TB
```



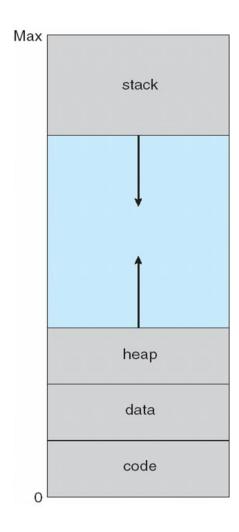
## Virtual Memory That is Larger Than Physical Memory





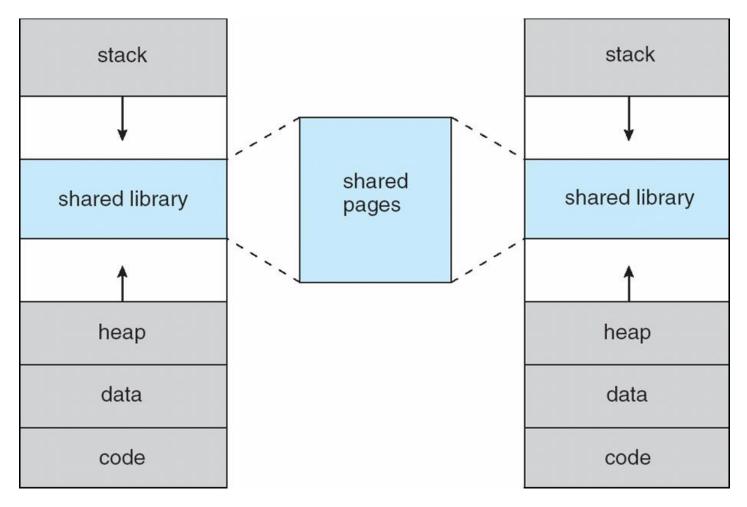


## **Virtual-address Space**





# **Shared Library Using Virtual Memory**





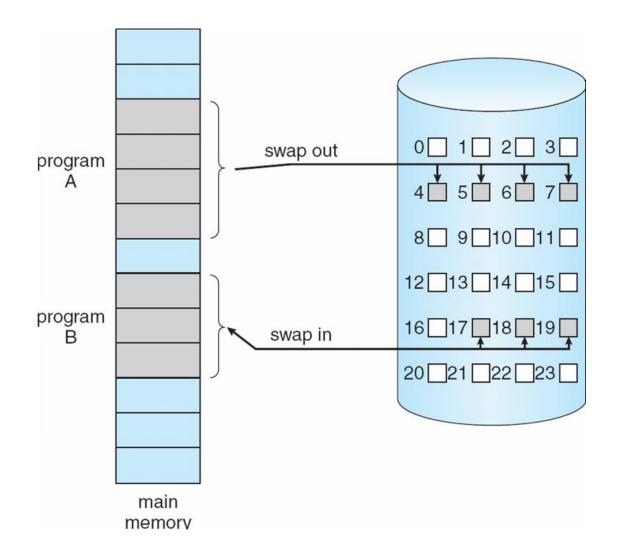


## **Demand Paging**

- Bring a page into memory only when it is needed
  - Less I/O needed
  - Less memory needed
  - Faster response
  - More users
- Page is needed ⇒ reference to it
  - invalid reference ⇒ abort
  - not-in-memory ⇒ bring to memory
- Lazy swapper never swaps a page into memory unless page will be needed
  - Swapper that deals with pages is a pager



#### Transfer of a Paged Memory to Contiguous Disk Space





#### Valid-Invalid Bit

- With each page table entry a valid-invalid bit is associated  $(\mathbf{v} \Rightarrow \text{in-memory}, \mathbf{i} \Rightarrow \text{not-in-memory})$
- Initially valid-invalid bit is set to i on all entries
- Example of a page table snapshot:

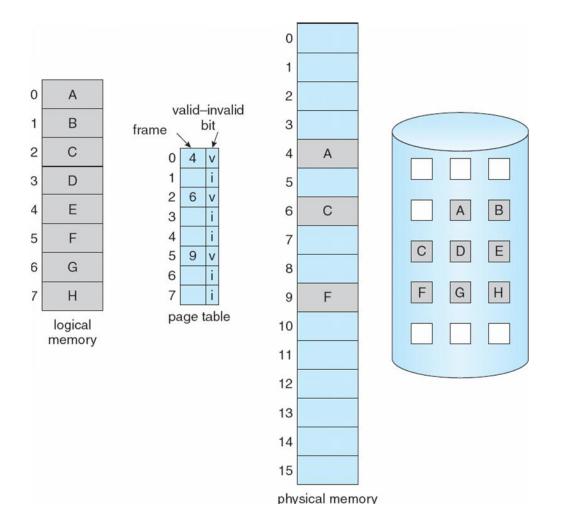
Frame #	valid	l-invalid bit
	V	
	V	
	V	
	V	
	i	
	i	
	i	
nage table	,	

page table

During address translation, if valid—invalid bit in page table entry is  $\mathbf{i} \Rightarrow \mathsf{page} \mathsf{ fault}$ 



## Page Table When Some Pages Are Not in Main Memory







## Page Fault

If there is a reference to a page, first reference to that page will trap to operating system:

#### page fault

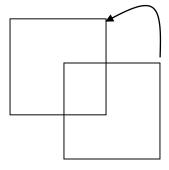
- 1. Operating system looks at another table to decide:
  - Invalid reference ⇒ abort
  - Just not in memory
- 2. Get empty frame
- 3. Swap page into frame
- 4. Reset tables
- 5. Set validation bit = v
- 6. Restart the instruction that caused the page fault





## Page Fault (Cont.)

- Restart instruction
  - block move

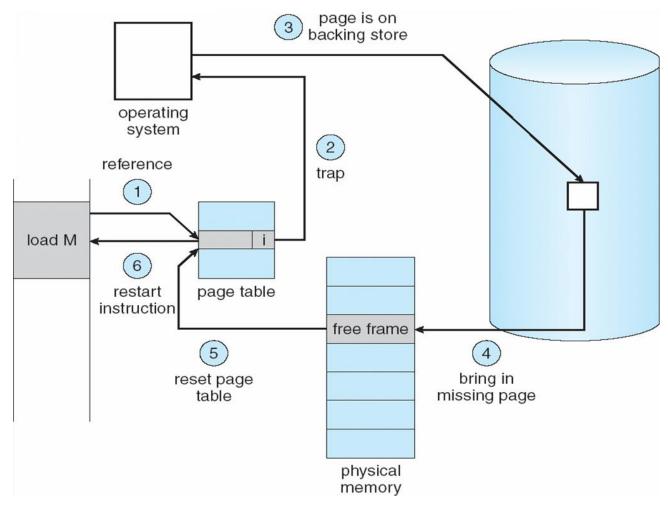


auto increment/decrement location





## **Steps in Handling a Page Fault**



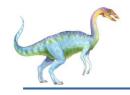


# **Performance of Demand Paging**

- Page Fault Rate  $0 \le p \le 1.0$ 
  - if p = 0 no page faults
  - if p = 1, every reference is a fault
- Effective Access Time (EAT)

```
EAT = (1 - p) x memory access
+ p (page fault overhead
+ swap page out
+ swap page in
+ restart overhead
```





## **Demand Paging Example**

- Memory access time = 200 nanoseconds
- Average page-fault service time = 8 milliseconds

■ EAT = 
$$(1 - p) \times 200 + p$$
 (8 milliseconds)  
=  $(1 - p \times 200 + p \times 8,000,000$   
=  $200 + p \times 7,999,800$ 

If one access out of 1,000 causes a page fault, then EAT = 8.2 microseconds.

This is a slowdown by a factor of 40!!





#### **Process Creation**

- Virtual memory allows other benefits during process creation:
  - Copy-on-Write
  - Memory-Mapped Files (later)





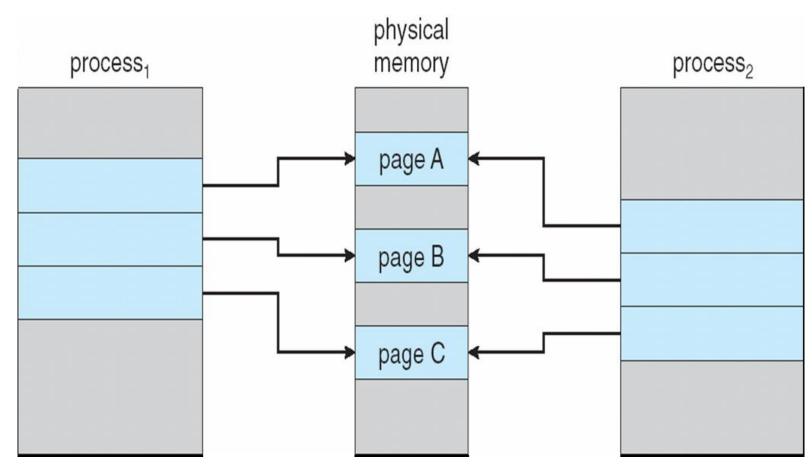
## **Copy-on-Write**

- Copy-on-Write (COW) allows both parent and child processes to initially share the same pages in memory
  - If either process modifies a shared page, only then is the page copied
- COW allows more efficient process creation as only modified pages are copied
- Free pages are allocated from a pool of zeroed-out pages



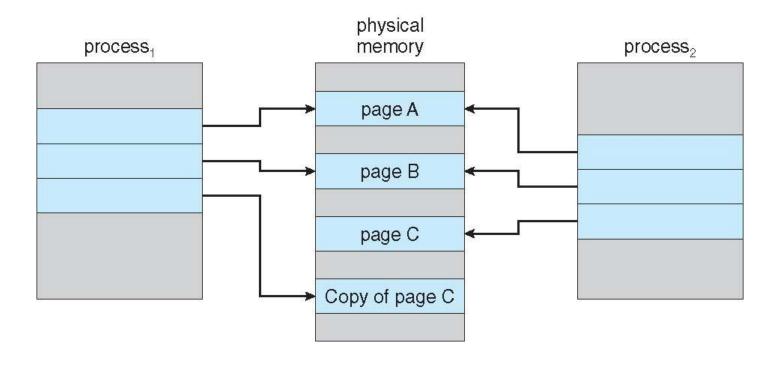


# **Before Process 1 Modifies Page C**





## After Process 1 Modifies Page C







#### What happens if there is no free frame?

- Page replacement find some page in memory, but not really in use, swap it out
  - algorithm
  - performance want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times





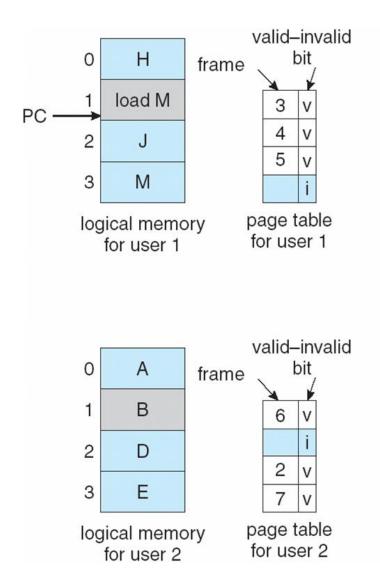
## Page Replacement

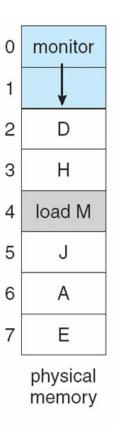
- Prevent over-allocation of memory by modifying page-fault service routine to include page replacement
- Use modify (dirty) bit to reduce overhead of page transfers only modified pages are written to disk
- Page replacement completes separation between logical memory and physical memory – large virtual memory can be provided on a smaller physical memory

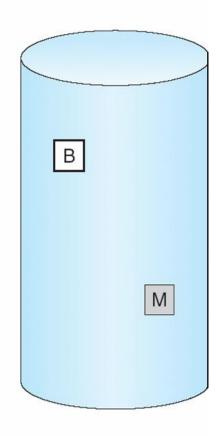




## **Need For Page Replacement**











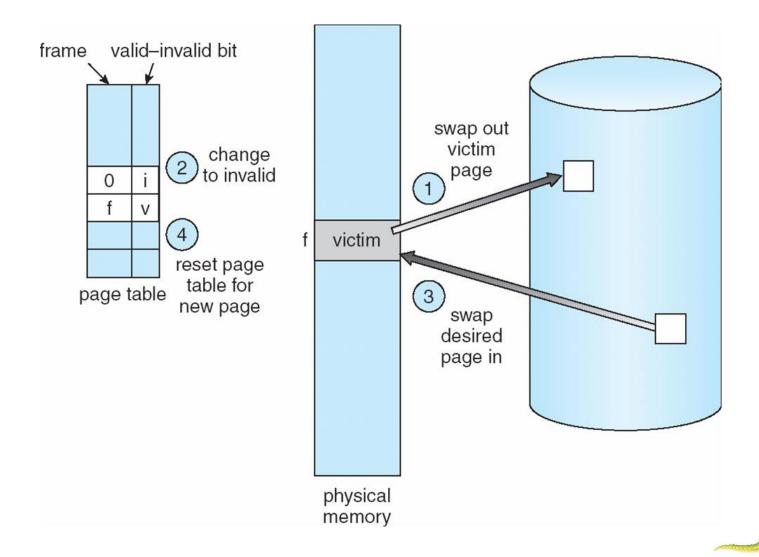
## **Basic Page Replacement**

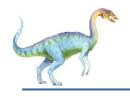
- 1. Find the location of the desired page on disk
- Find a free frame:
  - If there is a free frame, use it
  - If there is no free frame, use a page replacement algorithm to select a **victim** frame
- 3. Bring the desired page into the (newly) free frame; update the page and frame tables
- 4. Restart the process





## Page Replacement



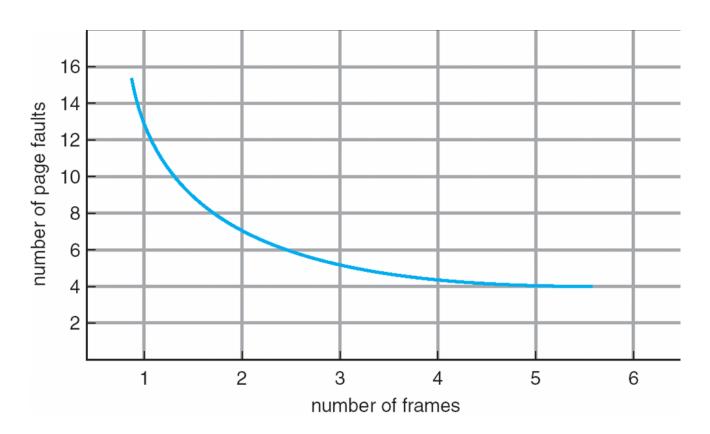


## Page Replacement Algorithms

- Want lowest page-fault rate
- Evaluate algorithm by running it on a particular string of memory references (reference string) and computing the number of page faults on that string
- In all our examples, the reference string is



#### Graph of Page Faults Versus The Number of Frames



- □ One desirable property: When you add memory, # of page faults goes down
  - Does this always happen?
  - · Seems like it should, right?





# First-In-First-Out (FIFO) Algorithm

- Reference string: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5
- 3 frames (3 pages can be in memory at a time per process)

4 frames

1	1	5	4	
2	2	1	5	10 page faults
3	3	2		
4	4	3		

■ Belady's Anomaly: more frames ⇒ more page faults

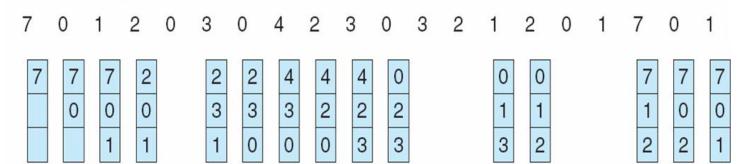
With FIFO, contents can be completely different after adding memory





## **FIFO Page Replacement**



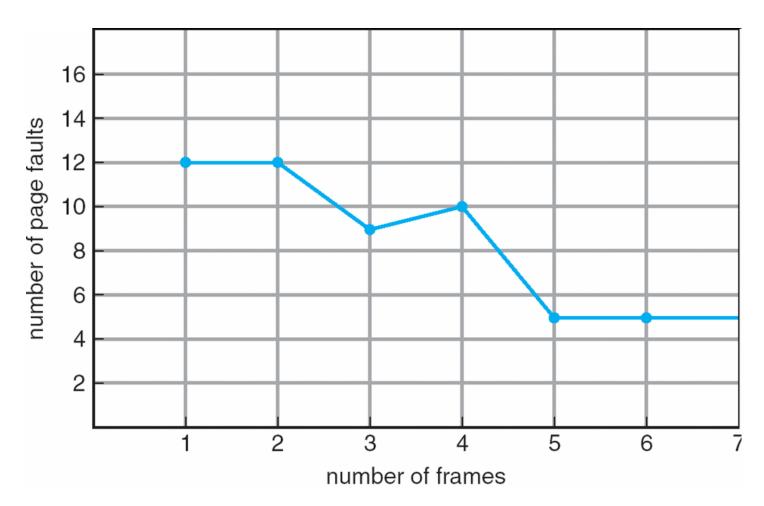


page frames





# FIFO Illustrating Belady's Anomaly







## **Optimal Algorithm**

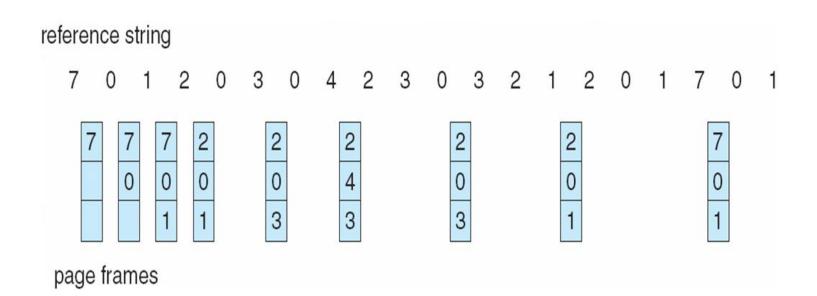
- Replace page that will not be used for longest period of time
- 4 frames example

1	4	
2		6 page faults
3		
4	5	

- How do you know this?
- Used for measuring how well your algorithm performs



## **Optimal Page Replacement**



With OPTIMAL, contents of memory with X pages are a subset of contents with X+1 pages after adding memory



# Least Recently Used (LRU) Algorithm

- Assumption: A page that has not been referenced for the longest time would wait for the longest time to be accessed again
  - Use of known history to predict unknown future
  - Does the intuition really work?
- Reference string: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

1	1	1	1	5
2	2	2	2	2
3	5	5	4	4
4	4	3	3	3

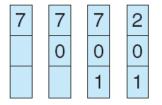
- Counter implementation
  - Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter
  - When a page needs to be changed, look at the counters to determine which are to change

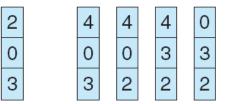


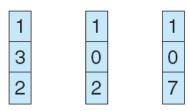
## LRU Page Replacement











page frames

With LRU, contents of memory with X pages are a subset of contents with X+1 pages after adding memory





## LRU Algorithm (Cont.)

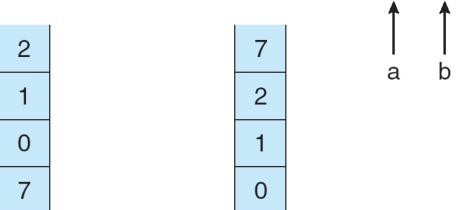
- Stack implementation keep a stack of page numbers in a double link form:
  - Page referenced:
    - move it to the top
    - requires 6 pointers to be changed
  - No search for replacement



## Use Of A Stack to Record The Most Recent Page References

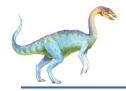
reference string





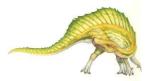
4



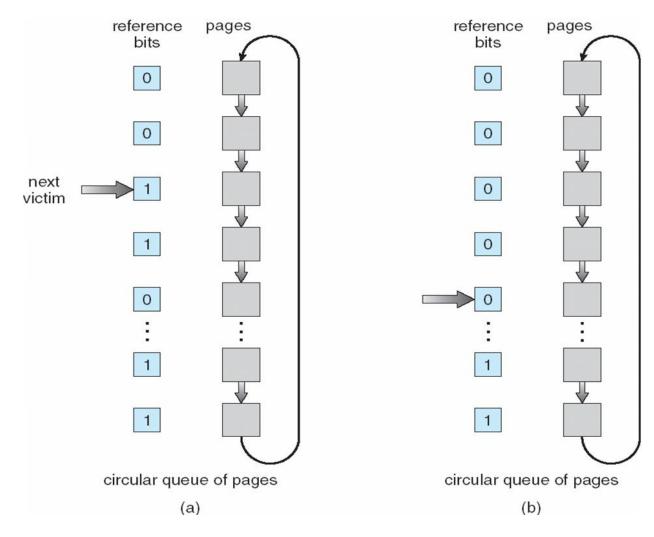


### **LRU Approximation Algorithms**

- Both timestamp and stack implementations are too expensive to implement in practice
- Reference bit
  - With each page associate a bit, initially = 0
  - When page is referenced bit set to 1
  - Replace the one which is 0 (if one exists)
    - We do not know the order, however
- Second chance
  - Need reference bit
  - Clock replacement
  - If page to be replaced (in clock order) has reference bit = 1 then:
    - set reference bit 0
    - leave page in memory
    - replace next page (in clock order), subject to same rules



### Second-Chance (clock) Page-Replacement Algorithm



### Second-Chance (clock) Page-Replacement Algorithm

- What if all reference bits are set?
  - The original victim will be selected after looping around
  - The clock algorithm degenerates into FIFO
- The clock algorithm replaces an old page, not the oldest page
- What if hand moves slowly?
  - Not many page faults
  - Or, victim page can be found quickly
- What if hand moves quickly?
  - Lots of page faults
  - Or, lots of reference bits set
- One way to view clock algorithm
  - Crude partitioning of pages into two groups: young and old
  - Why not partition into more than 2 groups?

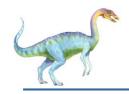


# Nth

## h Chance version of Clock Algorithm

- Nth chance algorithm: Give page N chances
  - OS keeps counter per page: # sweeps
  - On page fault, OS checks reference bit:
    - ▶ 1⇒clear reference bit and also clear counter (used in last sweep)
    - ▶ 0⇒increment counter; if count=N, replace page
  - Means that clock hand has to sweep by N times without page being used before page is replaced
- How do we pick N?
  - Why pick large N? Better approximation to LRU
    - If N ~ 1K, really good approximation
  - Why pick small N? More efficient
    - Otherwise might have to look a long way to find free page
- What about dirty pages?
  - Takes extra overhead to replace a dirty page, so give dirty pages an extra chance before replacing?
  - Common approach:
    - ▶ Clean pages, use N=1
    - Dirty pages, use N=2





### **Counting Algorithms**

- Keep a counter of the number of references that have been made to each page
- LFU Algorithm: replaces page with smallest count
- MFU Algorithm: based on the argument that the page with the smallest count was probably just brought in and has yet to be used





#### **Allocation of Frames**

- Each process needs minimum number of pages
- Example: IBM 370 6 pages to handle SS MOVE instruction:
  - instruction is 6 bytes, might span 2 pages
  - 2 pages to handle from
  - 2 pages to handle to
- Two major allocation schemes
  - fixed allocation
  - priority allocation





### **Fixed Allocation**

- Equal allocation For example, if there are 100 frames and 5 processes, give each process 20 frames.
- Proportional allocation Allocate according to the size of process

$$-s_i = \text{size of process } p_i$$

$$-S = \sum S_i$$

$$-m = total number of frames$$

$$-a_i =$$
allocation for  $p_i = \frac{s_i}{S} \times m$ 

$$m = 64$$

$$s_i = 10$$

$$s_2 = 127$$

$$a_1 = \frac{10}{137} \times 64 \approx 5$$

$$a_2 = \frac{127}{137} \times 64 \approx 59$$





### **Priority Allocation**

- Use a proportional allocation scheme using priorities rather than size
- If process P<sub>i</sub> generates a page fault,
  - select for replacement one of its frames
  - select for replacement a frame from a process with lower priority number





#### Global vs. Local Allocation

- Global replacement process selects a replacement frame from the set of all frames; one process can take a frame from another
- Local replacement each process selects from only its own set of allocated frames





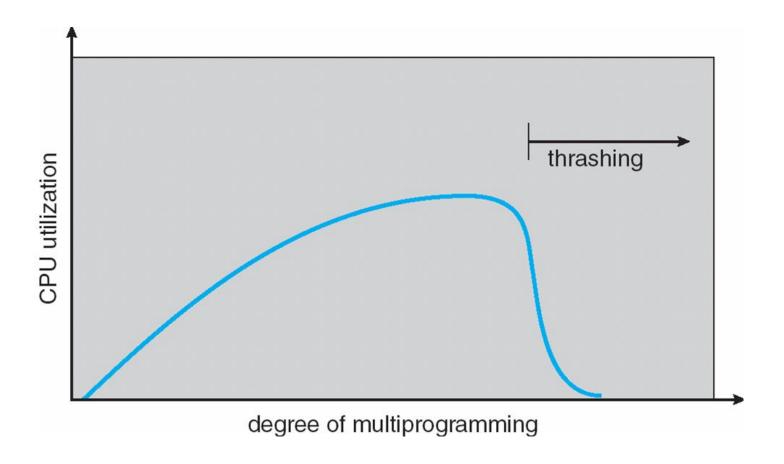
### **Thrashing**

- If a process does not have "enough" pages, the page-fault rate is very high. This leads to:
  - low CPU utilization
  - operating system thinks that it needs to increase the degree of multiprogramming
  - another process added to the system
  - Even worse thrashing
- Thrashing = a system experiences a high degree of paging activity
  - Each process is busy swapping pages in and out





## **Thrashing (Cont.)**







### **Demand Paging and Thrashing**

- Pages should only be brought into memory if the executing process demands them
  - As opposed to anticipatory paging
  - Many page faults will occur until most of a process's working set of pages is located in physical memory
- Why does demand paging work? Locality model
  - Process migrates from one locality to another
  - Localities may overlap





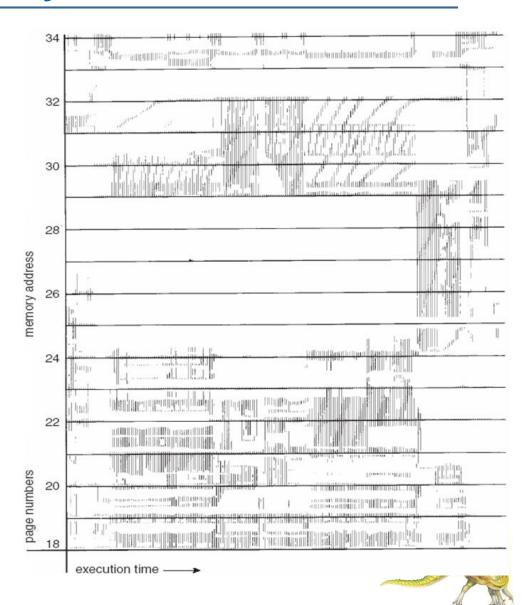
### **Locality In A Memory-Reference Pattern**

## why does paging work? locality model

- a locality is a set of pages that are actively used together
- program is composed of several different localities, which may overlap
- process migrates from one locality to another.
- if we allocate enough frames to accommodate the locality, faults will only occur when transitioning

#### why does thrashing occur?

 $\Sigma$  locality sizes > total memory size





## **Demand Paging and Thrashing**

- Why does thrashing occur? size of locality > total memory size
  - Could be a process is too large for memory
    - There is nothing the OS can do
  - Could also be the sum of several processes is too large
    - Figure out how much memory each process needs and schedule them accordingly
- Thrashing occurs because the system doesn't know when it has taken on more work than it can handle
- LRU-type mechanism, such as clock, order pages in terms of last access, but don't give absolute numbers indicating pages that mustn't be thrown out





### **Working-Set Model**

- A conceptual model by Peter Denning to prevent thrashing
- Informal definition of working set
  - The collection of pages that a process is working with, and which must thus be resident if the process is to avoid thrashing
- $\Delta$  = working-set window = a fixed number of page references Example: 10,000 instruction
- $WSS_i$  (working set of Process  $P_i$ ) = total number of pages referenced in the most recent  $\Delta$  (varies in time)
  - if Δ too small will not encompass entire locality
  - if Δ too large will encompass several localities
  - if  $\Delta = \infty \Rightarrow$  will encompass entire program





### **Working-Set Model**

- Use the recent needs of a process to predict its future needs
  - At any given time, all pages referenced by a process in the last workingset window are considered to comprise its working set
- A process will never be executed unless its working set is resident in memory
- Pages outside the working set may be swapped out at any time
- $D = \Sigma WSS_i \equiv \text{total demand frames}$
- if  $D > m \Rightarrow$  Thrashing
- Policy if D > m, then suspend one of the processes (swap it out if needed)

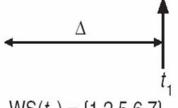




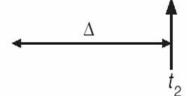
### Working-set model

#### page reference table

...2615777751623412344434344413234443444...



$$WS(t_1) = \{1,2,5,6,7\}$$



$$WS(t_2) = \{3,4\}$$





## **Keeping Track of the Working Set**

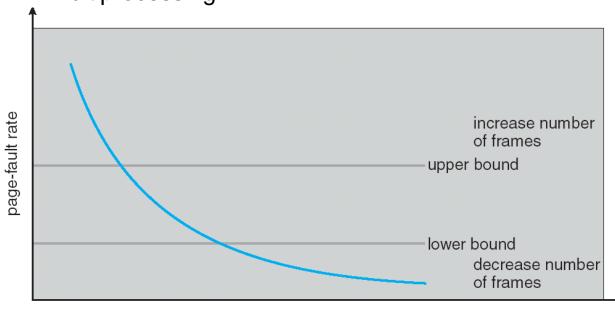
- How to determine when a page was last accessed?
- Approximate with interval timer + a reference bit
- Example:  $\Delta = 10,000$ 
  - Timer interrupts after every 5000 time units
  - Keep in memory 2 bits for each page
  - Whenever a timer interrupts copy and sets the values of all reference bits to 0
  - If one of the bits in memory =  $1 \Rightarrow$  page in working set
- Why is this not completely accurate?
- Improvement = 10 bits and interrupt every 1000 time units

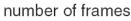




## Page-Fault Frequency Scheme

- Establish "acceptable" page-fault rate
  - If actual rate too low, process loses frame
    - Suspend processes to decrease degree of multiprocessing
  - If actual rate too high, process gains frame
    - Restart suspended processes to increase degree of multiprocessing

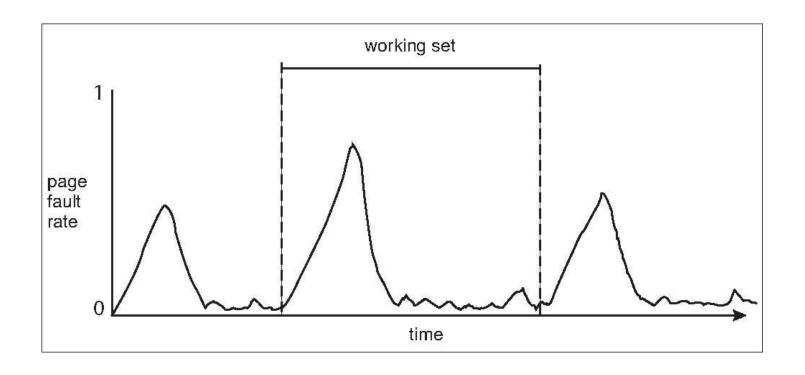






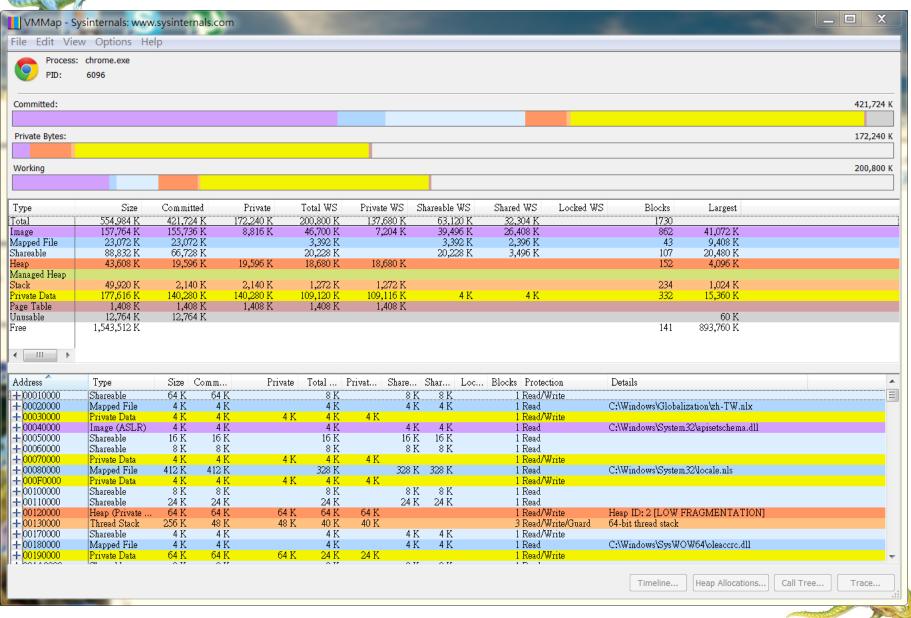


## **Working Sets and Page Fault Rates**











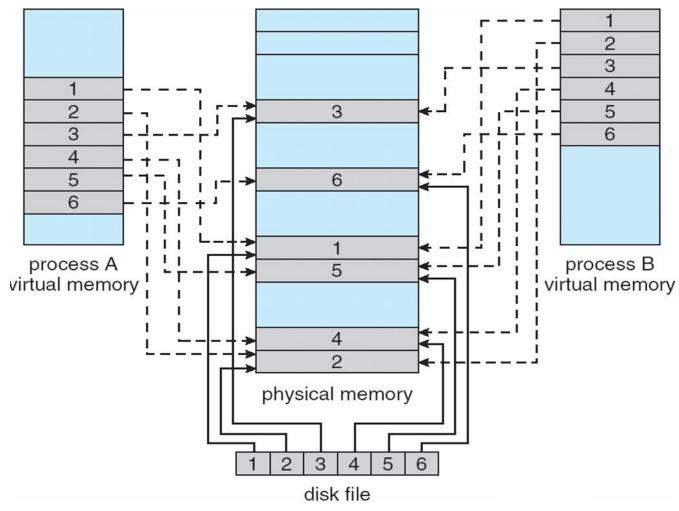
### **Memory-Mapped Files**

- Memory-mapped file I/O allows file I/O to be treated as routine memory access by mapping a disk block to a page in memory
- A file is initially read using demand paging. A page-sized portion of the file is read from the file system into a physical page. Subsequent reads/writes to/from the file are treated as ordinary memory accesses.
- Simplifies file access by treating file I/O through memory rather than read() write() system calls
- Also allows several processes to map the same file allowing the pages in memory to be shared

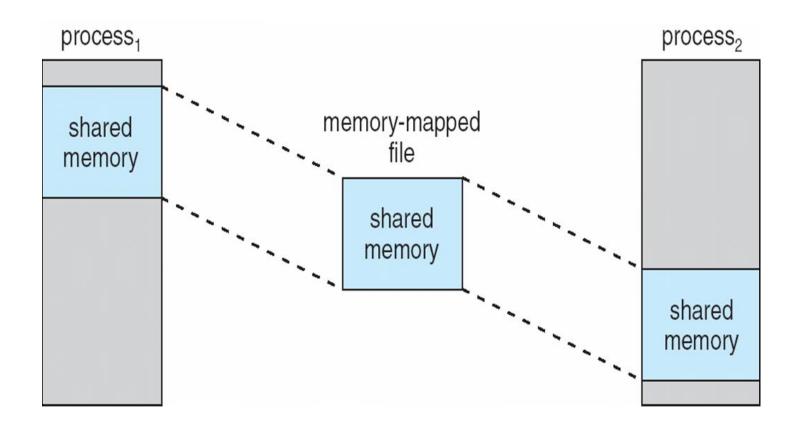




## **Memory Mapped Files**



## Memory-Mapped Shared Memory in Windows







### **Allocating Kernel Memory**

- Treated differently from user memory
- Often allocated from a free-memory pool
  - Kernel requests memory for structures of varying sizes
  - Some kernel memory needs to be contiguous
    - Memory buffer will be accessed by a DMA device on a physically addressed bus (like PCI)
    - Base kernel is placed on a contiguous block that can fit into one page
      - Reduce chance of TLB miss
    - Contiguous page frame allocation leaves kernel page tables unchanged, preserving TLB and reducing effective access time
  - kmalloc





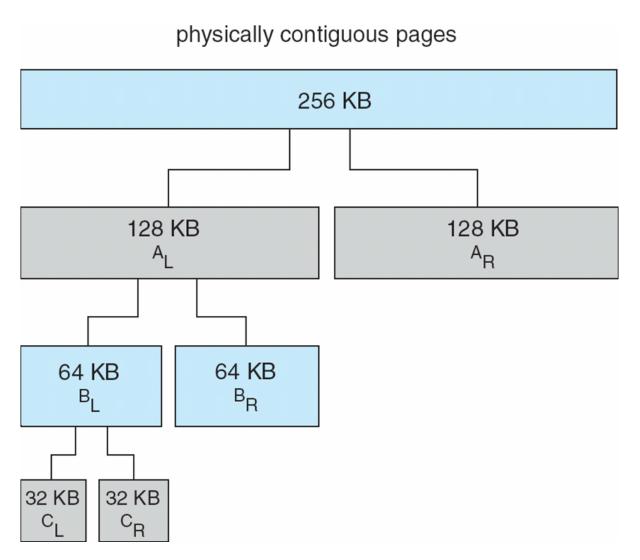
### **Buddy System**

- Allocates memory from fixed-size segment consisting of physicallycontiguous pages
- Memory allocated using power-of-2 allocator
  - Satisfies requests in units sized as power of 2
  - Request rounded up to next highest power of 2
  - When smaller allocation needed than is available, current chunk split into two buddies of next-lower power of 2
    - Continue until appropriate sized chunk available





## **Buddy System Allocator**







### **Buddy System Allocator**

- Buddy system addresses external fragmentation
- How about internal fragmentation?
  - i.e. when allocating a 65 bytes structure, buddy system returns a 128 bytes block
    - ▶ 128-65 = 63 bytes are wasted
- http://utcc.utoronto.ca/~cks/space/blog/linux/KernelMemoryZones

```
- 0
linux1:~
(linux1:∾) ysw% ls /proc/buddyinfo
/proc/buddyinfo
(linux1:∞) ysw% cat /proc/buddyinfo
Node 0, zone
                   DMA
                                           1
                                                                 1
Node 0, zone
                DMA32
                         1100
                                 1384
                                         709
                                                 358
                                                        161
                                                                89
                                                                        56
                                                                               55
                                                                                                     72
Node 0, zone
               Normal
                          401
                                                                 0
                                                                         0
                                                                                                      0
               Normal
Node 1, zone
                         1361
                                 1936
                                         755
                                                487
                                                        493
                                                               413
                                                                       381
                                                                              247
                                                                                      142
                                                                                             166
                                                                                                     758
 linux1:~) vsw%
```





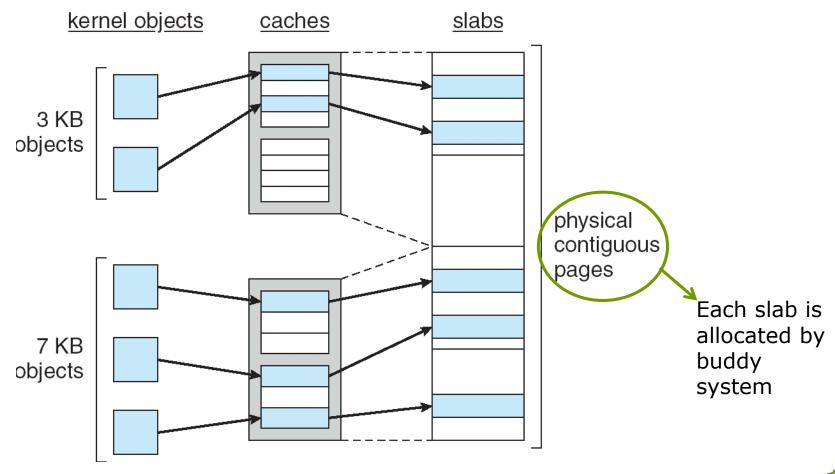
### **Slab Allocator**

- Introduced in Linux 2.2 kernel to deal with internal fragmentation
- Kernel functions often request small objects of the same type repeatedly
  - Process descriptors, file descriptors, etc.
- Slab is one or more physically contiguous pages
- Cache consists of one or more slabs
- Single cache for each unique kernel data structure
  - Each cache filled with objects instantiations of the data structure
- When cache created, filled with objects marked as free
- When structures stored, objects marked as used
- If slab is full of used objects, next object allocated from empty slab
  - If no empty slabs, new slab allocated
- Benefits include no fragmentation, fast memory request satisfaction



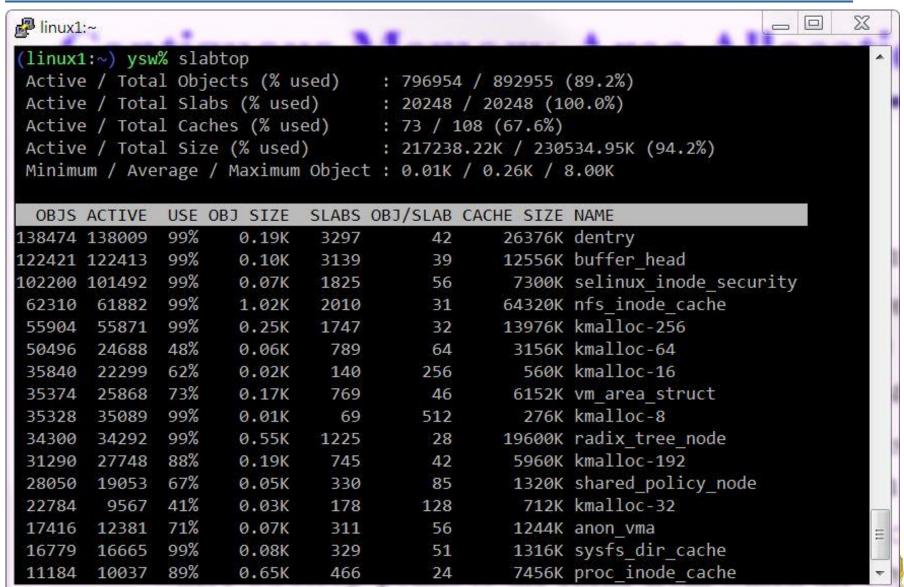


### **Slab Allocation**





#### **Slab Allocator**



## Moncontiguous Memory Area Allocation

- In the Linux kernel, we try to avoid allocating noncontiguous memory areas
- But there are occasions when we want to create a large buffer in the kernel that can't fit into a contiguous kernel memory area
  - We can use paging for the allocation
  - Linux uses most of the reserved addresses above PAGE\_OFFSET to map non-contiguous memory area
  - vmalloc





### **Other Issues -- Prepaging**

#### Prepaging

- To reduce the large number of page faults that occurs at process startup
- Prepage all or some of the pages a process will need, before they are referenced
- But if prepaged pages are unused, I/O and memory was wasted
- Assume s pages are prepaged and α of the pages is used
  - Is cost of s \* α save pages faults > or < than the cost of prepaging
    - $s * (1-\alpha)$  unnecessary pages?
  - α near zero ⇒ prepaging loses





## Other Issues – Page Size

- Page size selection must take into consideration:
  - fragmentation
  - table size
  - I/O overhead
  - locality





#### Other Issues - TLB Reach

- TLB Reach The amount of memory accessible from the TLB
- TLB Reach = (TLB Size) X (Page Size)
- Ideally, the working set of each process is stored in the TLB
  - Otherwise there is a high degree of page faults
- Increase the Page Size
  - This may lead to an increase in fragmentation as not all applications require a large page size
- Provide Multiple Page Sizes
  - This allows applications that require larger page sizes the opportunity to use them without an increase in fragmentation





## Other Issues - Program Structure

- Program structure
  - Int[128,128] data;
  - Each row is stored in one page
  - Program 1

for 
$$(j = 0; j < 128; j++)$$
  
for  $(i = 0; i < 128; i++)$   
data $[i,j] = 0;$ 

 $128 \times 128 = 16,384$  page faults

Program 2

128 page faults



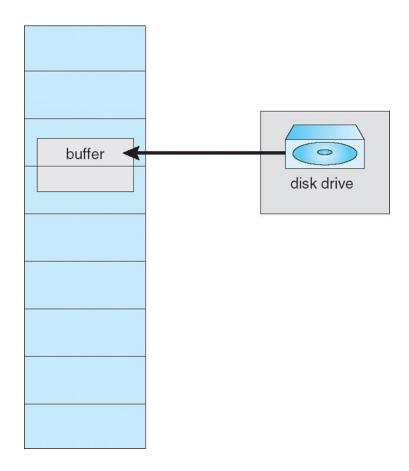


### Other Issues – I/O interlock

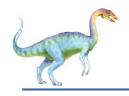
- I/O Interlock Pages must sometimes be locked into memory
- Consider I/O Pages that are used for copying a file from a device must be locked from being selected for eviction by a page replacement algorithm



### Reason Why Frames Used For I/O Must Be In Memory







### **Operating System Examples**

- Windows XP
- Solaris

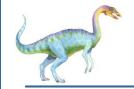




#### Windows XP

- ■uses demand paging with *clustering* 
  - clustering brings in pages surrounding the faulting page
- ■processes are assigned working set minimum and working set maximum
  - working set minimum is the minimum number of pages the process is guaranteed to have in memory (for most apps, 50...345)
  - a process may be assigned as many pages up to its working set maximum
  - when the amount of free memory in the system falls below a threshold, automatic working set trimming is performed to restore the amount of free memory
  - working set trimming removes pages from processes that have pages in excess of their working set minimum
- ■page replacement algorithm varies depending on processor
  - single processor Intel CPU: variation of the clock (timestamp) algorithm
  - multiprocessor or Alpha CPU: variation of FIFO

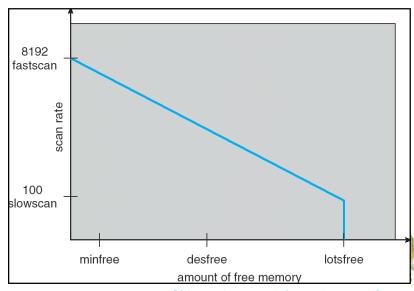




#### **Solaris**

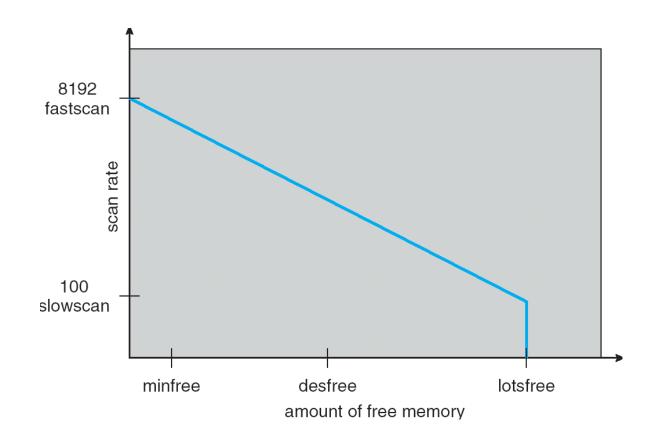
- system maintains a list of free pages
  - paging occurs when the number of free pages drops below a threshold (e.g., 1/64 size of physical memory)
- paging is performed by a pageout process
  - pageout scans pages using a modified clock algorithm
  - pages not referenced since last scan are returned to free list
  - the smaller the free list, the more frequent scanning occurs

lotsfree – threshold parameter to begin pagingdesfree – threshold parameter to increase pagingminfree – threshold parameter to begin swapping





### **Solaris 2 Page Scanner**





## **End of Chapter 9**

