

**Pre-registration:**  
**Characterising the neural markers of occupational wellbeing.**

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### Abstract

Occupational factors can have numerous impacts on wellbeing and mental health, from under- or over-employment, to shift work, and commuting time. However, the neural mechanisms by which such occupational factors influence subjective wellbeing, and engender vulnerability to mental health symptoms, are less well understood. This project aims to characterize the neurophysiological processes through which occupational factors affect our health and wellbeing in an analysis of data from the UK Biobank: a large-scale epidemiological study of 500,000 individuals, ~40,000 of whom have completed an MRI session at time of writing. To characterise neural markers of occupational wellbeing in the Biobank, we will implement a multifactorial analysis strategy, beginning with an exploratory analysis of the non-imaging variables in our dataset. This will allow us to sufficiently characterise the association of occupational factors with sociodemographic, lifestyle, and health-related information in order to appropriately control for these in subsequent analyses focusing on neurophysiology. We intend to successively update our preregistration documentation as we proceed through each analysis step in this project. This document forms the first document in this series. Analysis workflows, together with time-stamped logs detailing data access events, if available, will be linked to subsequent preregistration documentation.

*Keywords:* UK Biobank; Occupational factors; Work; Employment; fMRI; rsMRI; Interoception; Inflammation; Cytokine; Immune function.

Word count: X

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## Background

Work takes up a huge chunk of our adult lives: the average Briton works approximately 42 hours per week,<sup>[1]</sup> with an additional ~4.9 hours spent on commuting,<sup>[2]</sup> and an estimate of ~10.1 hours in unpaid overtime.<sup>[3]</sup> These numbers have been growing in the past 30 years<sup>[1-3]</sup> without benefits to productivity. Importantly, a growing body of evidence suggests a strong negative impact on our health and wellbeing. Long working hours are associated with a higher risk of cardiovascular disease,<sup>[4]</sup> higher incidence of depressive,<sup>[5]</sup> and anxiety symptoms,<sup>[4]</sup> deficient cognitive function,<sup>[6]</sup> and adverse physiological changes.<sup>[7]</sup> Moreover, interventional studies show that a reduction in working hours benefits both health and productivity.<sup>[8,9]</sup> However, we do not yet understand the neurobiological implications of our modern, increasingly intense, working patterns. Three reasons motivate the need for such an understanding:

- The brain acts as an interface between the body and the environment, therefore, it is key for grasping the mechanism through which occupational factors are affecting our health and wellbeing.
  - Without it we cannot ascertain the true short-term impact of working patterns on our cognitive function and physiological health, let alone the long-term, potentially irreversible, effects on our mental health and wellbeing.
  - Scientific evidence is needed to inform public policy and industry standards surrounding healthy work patterns.
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## Aim and objectives

This project aims to characterize the neurophysiological processes through which work patterns and other occupational factors affect our health and wellbeing, with the following objectives:

- Identify occupational factors that have a meaningful impact on neuronal function and describe the mechanism of impact.
- Assess how physiological inflammatory responses are altered by occupational factors.
- Determine how the identified neuronal and inflammatory markers jointly affect our physical and mental health.

Progress against these objectives will help develop a holistic insight into why our wellbeing is affected by modern work patterns and other occupational factors.

## Rationale

The impact of working patterns on our neurophysiology is still not comprehensively understood; therefore our investigative plan does not build solely and directly on prior work in this specific arena. However, we have identified inadequate sleep as one principal means through which the influence of occupational factors on wellbeing is likely to manifest. First, relative to all other activities, work is the primary waking activity exchanged for sleep.<sup>[10]</sup> Second, it is increasingly common for workers to accumulate sleep debt throughout the working week and attempt to catch-up on the weekend, a countermeasure that has been shown to often be ineffective in combating the deleterious effects of weekday sleep debt.<sup>[11–14]</sup> Finally, working longer hours is associated with significantly reduced sleep duration and quality.<sup>[15]</sup> Therefore, we will use the known neuronal and physiological mechanisms of sleep, and in particular sleep restriction, to help guide the incipient stage of our investigation.

A multitude of bodily systems react to and interact with sleep-loss, a key set being the body's inflammatory response, and in particular increased expression of proinflammatory cytokines. Sleep restriction studies have consistently found increased levels of interleukin-6<sup>[16]</sup> in response to restricted sleep,<sup>[13]</sup> an effect that is resilient to recovery sleep.<sup>[12]</sup> One mechanism by which this altered inflammatory response affects cognitive and affective processing is via the interoceptive system.<sup>[17]</sup> Afferent signals from peripheral nerves that embed visceral organs communicate to the brain what is happening physiologically in the body, including sensing inflammation. Interoception interacts with many other cognitive processes, such that our bodily feelings guide the way we behave.<sup>[18,19]</sup> Altogether, this suggests that inflammation, via interoception, can drive how we feel and ultimately how we act.

Neurally, the most consistent findings associated with inadequate sleep are: (i) amygdala hyper-reactivity to aversive stimuli;<sup>[20,21]</sup> (ii) disconnect between frontal regions and the amygdala, as well as the basal ganglia;<sup>[20,22–24]</sup> (iii) altered structure and function in

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the fronto-parietal network.<sup>[25,26]</sup> Furthermore, given its pivotal role in both interoception and the salience-detection network, the insular cortex is likely to be a key mediator of the neurophysiological changes that result from chronic sleep restriction.<sup>[17–19,27]</sup> However, few studies that directly investigate interactions between work patterns, inadequate sleep, and physiology, further assess neurobiological changes in the same context.

This project will address the resulting gaps in the literature using a combination of population neuroscience and epidemiological methods. We will identify neural markers of occupational wellbeing in the UK Biobank cohort: a population-based prospective study of ~500,000 individuals, a subset of which completed an imaging follow-up, including both task<sup>[28]</sup> and resting-state functional Magnetic Resonance Imaging (fMRI).<sup>[29]</sup> Following approval by the UK Biobank Access Committee (Project ref. no.: 62188), brain imaging data (i.e. task and resting functional brain MRI data) will be obtained for 35,501 participants, together with blood biochemistry assay results relevant to inflammation (i.e. C-reactive protein) and a curated selection of employment, sociodemographic, lifestyle and health-related information collected through questionnaires, verbal interviews and census data (e.g. Townsend Deprivation Scores). Details concerning the data analysis strategy can be found under the *Multifactorial analysis strategy* section below.

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## Hypotheses and predictions

***H: Working patterns will be associated with specific neural markers.***

Based on findings in the sleep literature,<sup>[20–26,30–34]</sup> we predict that:

- Working longer hours will be associated with amygdala hyper-reactivity in response to emotional faces in the task fMRI.
- Working longer hours will be associated with reduced functional connectivity between the prefrontal cortex and the amygdala in task and resting-state fMRI, an effect which may be mediated by the insular cortex.
- Working longer hours will be associated with reduced functional connectivity within the salience-detection network, executive control, fronto-parietal, and default mode (DMN) networks in resting-state fMRI.
- Working longer hours will be associated with attenuated anticorrelation between task-negative (i.e. DMN) and task-positive regions (i.e. executive control, fronto-parietal, and salience-detection networks) in resting-state fMRI.

***H: Occupational factors will affect physiological immune function, in part, through altering sleep patterns.*** Given the known neurophysiological consequences of sleep restriction,<sup>[12,13,35]</sup> and the effect of working patterns on sleep duration and quality,<sup>[10,11,15]</sup> we predict that:

- Working longer hours will be associated with higher concentrations of C-Reactive Protein (CRP) in the UK Biobank data.

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*H: Work patterns will impact cognitive function and workplace performance.* Based on work time reduction interventions,<sup>[8,36]</sup> we predict that:

- Working longer hours and other time-consuming occupational factors (such as commuting) will negatively correlate with cognitive measures in the UK Biobank.



## Multifactorial analysis strategy (UPDATED)

The initial phase of our analysis will focus on the discovery of neuroimaging, immunological, and cognitive markers of occupational factors that have a negative impact on health and wellbeing. In order to achieve this, we will isolate subjects that present potentially deleterious employment characteristics and match them with control cases (i.e. subjects with standard working patterns, not considered to be deleterious) from the same cohort (i.e. UK Biobank) at a 1:1 ratio. Matching will take into account all relevant sociodemographic, lifestyle and environment, and health-related characteristics, as determined by variable selection methods.

In the first instance we will select a single, key, occupational factor as our dependent variable/outcome of interest, i.e. '*Length of working week for main job*' (Biobank Field ID: 767), and define our population of interest as subjects who fall within the top 5% of the distribution for this variable. After determining the variables to include in the matching process, appropriate distance measures will be determined for each variable (e.g. propensity scores), and a matching method implemented (e.g. nearest neighbour).

In order to determine the variables that need to be included the matching process, we are considering several methods:

- Test statistics (e.g. t-test, chi-square) comparing the population of interest with controls on all the variables. Variables with a Family Discovery Rate (FDR) adjusted p-value lower than a pre-defined number cut-off point (e.g. 0.05) would be selected for matching.
  - Random forests methods, used to estimate variable importance measures (e.g. permutation importance). All relevant variables, as determined by the selected importance scores, would be selected for matching.
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- Other means of achieving this selection could evolve as we proceed through the descriptive stages of the analysis, and will be recounted in successive updates to this present preregistration document.

## Multifactorial analysis strategy (ORIGINAL)

In order to determine how particular work patterns are associated with neural markers, immune function, and cognition, we need to first understand how numerous non-imaging/-physiological variables inter-relate in our Biobank dataset. This includes employment, sociodemographic, lifestyle, and health-related information. The complete list of data fields requested from the Biobank for this project can be found at [http://tiny.cc/UKBBMetaData\\_62188](http://tiny.cc/UKBBMetaData_62188) (N.B.:“Participants” attribute refers to total participants in the cohort with information in the selected “Field”, not the number of participants in the dataset linked to the current project).

It is plausible that, for example, number of hours worked (*‘Length of working week for main job’*) covaries in multiple, likely nonlinear, ways, with other employment variables (such as commute time, *‘distance between home and workplace’* & *‘frequency of travelling’*), and with numerous variables from other categories such as sociodemographic, lifestyle, and health-related information. This means that if we wish to test for a specific effect between hours worked and neural function, for example, it may be challenging to conclude that a neural characteristic is attributable to hours worked, without accounting in some manner for these related variables. Even in the imaging data alone, it is clear that numerous quality-related metrics such as head motion, acquisition date and time, and scanner configuration act as potential confounders.<sup>[37]</sup>

Therefore, prior to analysing the imaging and physiological data, we will undertake a comprehensive investigation of how employment variables (e.g. *‘Length of working week for main job’*; Biobank Field ID: 767) relate to sociodemographic, lifestyle, and health-related information, in our dataset of  $n = 35,501$  individuals. To do so, we plan to use multivariate analysis techniques, incorporating machine learning methods, potentially applying a Canonical Correlational Analysis (CCA) approach.

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A complete and full picture of these relationships is, although very much worthy of scientific merit, not our primary and only goal, and also a lengthier and more detailed process than is within our present remit. We aim to characterise sufficiently the strongest inter-relations of employment variables with sociodemographic, lifestyle, and health-related information, and the nature of their association (e.g. linear, U-shape function). This will be a standalone piece of work, but also form the preliminary stage to the investigation of brain, body and behavioural markers of occupational wellbeing, to which the above-stated hypotheses relate. For example, we may use variables that show a strong, linear relation to number of hours worked as covariates in mass univariate General Linear Models when analysing the Hariri task fMRI. Other ways in which we utilise the picture of employment and other non-imaging/-physiology variables in our analyses of the imaging and physiology data will evolve as we proceed through the project, and will be recounted in successive updates to this present preregistration document.

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## Multistage preregistration plan

We intend to successively update our preregistration documentation as we proceed through each analysis step in this project. This document (dated ##th October 2020) forms the second document in this series. As we complete data analysis stages, we will be able to prescribe increasingly specific hypotheses, and refine our original broad imaging and physiological analysis approaches set out in earlier preregistration documentation, to increasingly more precise plans. This will include an evolution of expected statistical approaches as the multifactorial complexities of our dataset reveal themselves in relation to our specific questions about brain, body and behavioural markers of occupational wellbeing.

We will seek to timestamp our data logs and analysis records, and provide updated preregistration documents in a public repository, such that the evolutionary timeline is indicative of a commitment to preregistration principles, (i.e. to guard against questionable research practices), while taking account of the multi-stage and complex nature of this project.

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