

# 143A: Principles of Operating Systems

## Lecture 3: OS Interfaces

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# 20 Socks

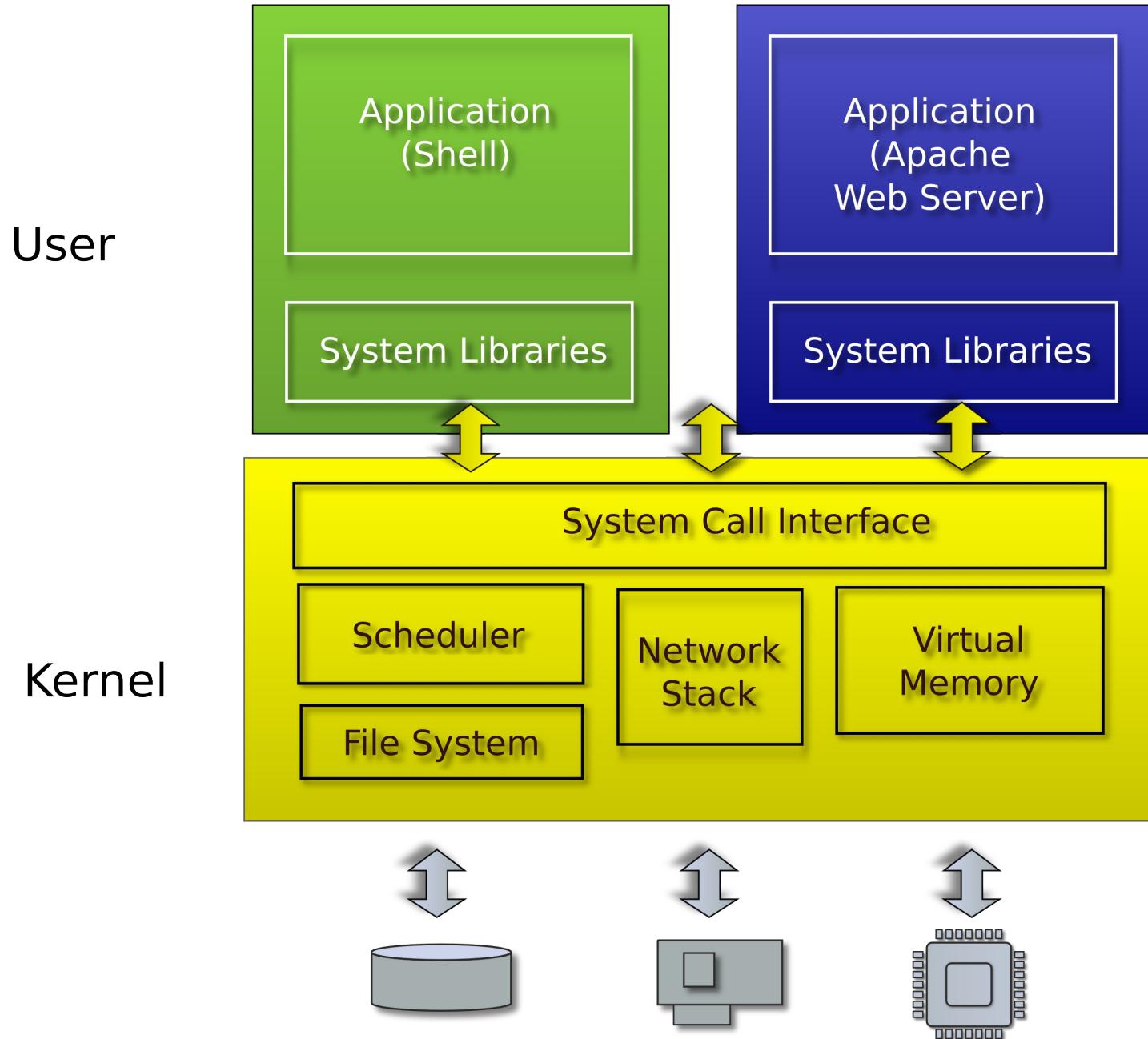
Ten red socks and ten blue socks are all mixed up in a dresser drawer. The 20 socks are exactly alike except for their color. The room is in pitch darkness and you want two matching socks.

What is the smallest number of socks you must take out of the drawer in order to be certain that you have a pair that match?

# Operating system interfaces

- Share hardware across multiple processes
  - Illusion of private CPU, private memory
- Abstract hardware
  - Hide details of specific hardware devices
- Provide services
  - Serve as a library for applications
- Security
  - Isolation of processes, users, namespaces
  - Controlled ways to communicate (in a secure manner)

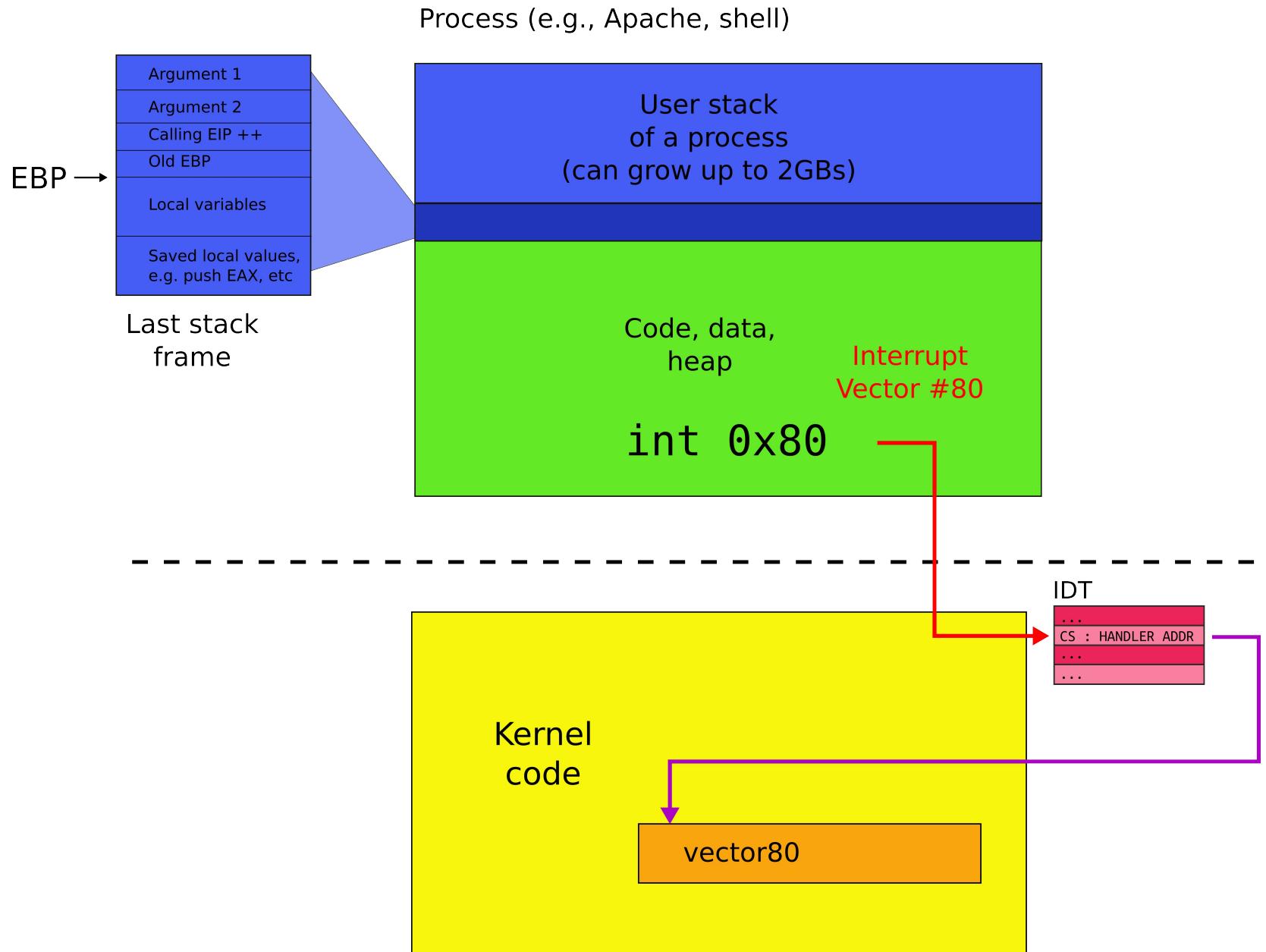
# Typical UNIX OS



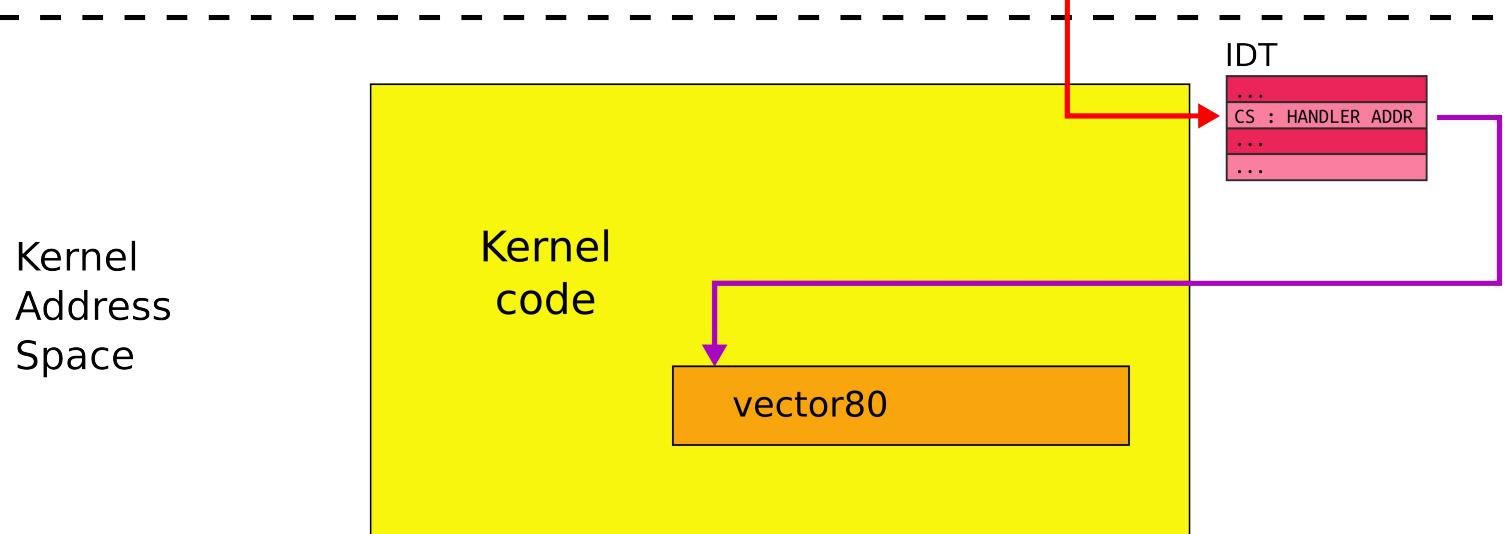
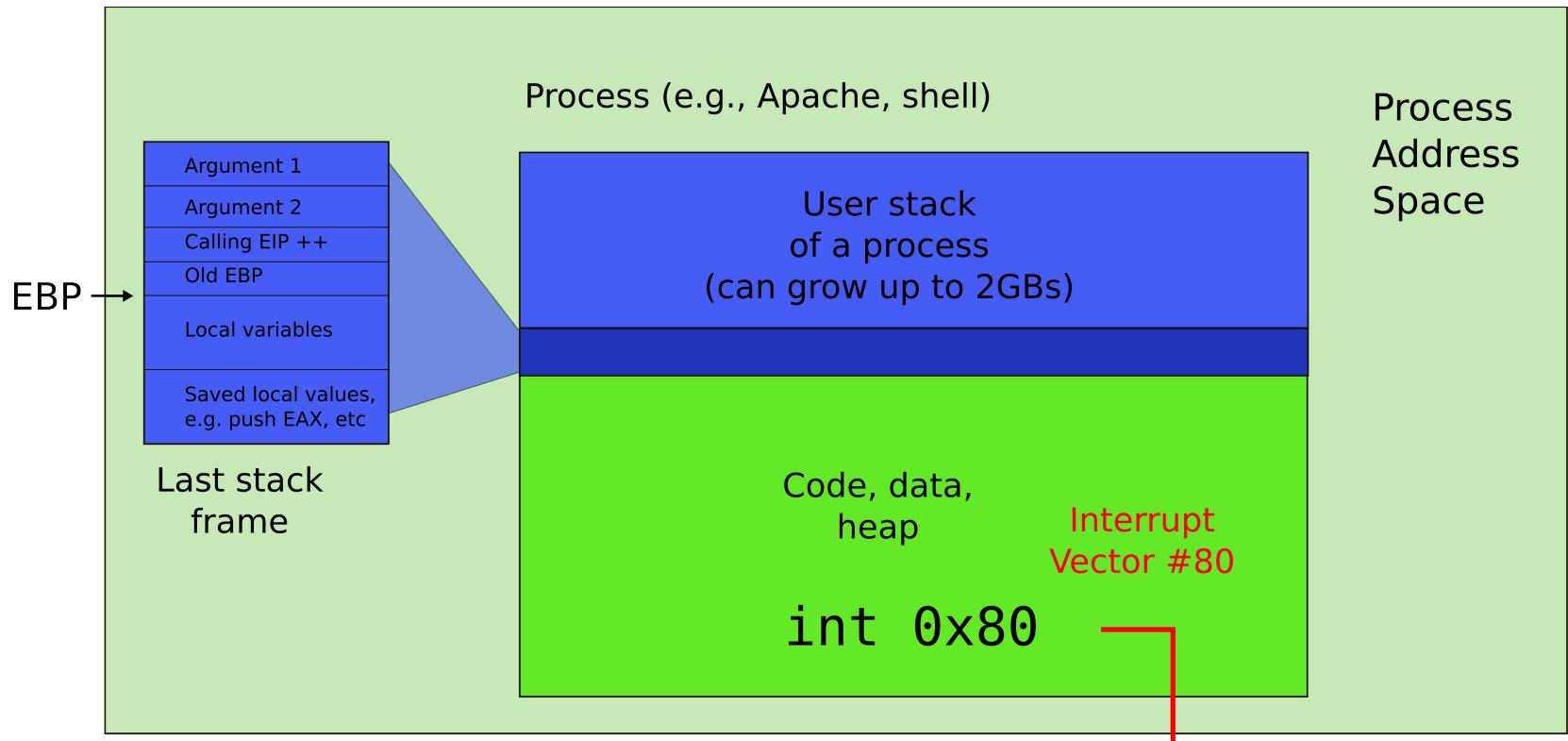
# System calls

- Provide user to kernel communication
  - Effectively an invocation of a kernel function
- *System calls are the interface of the OS*

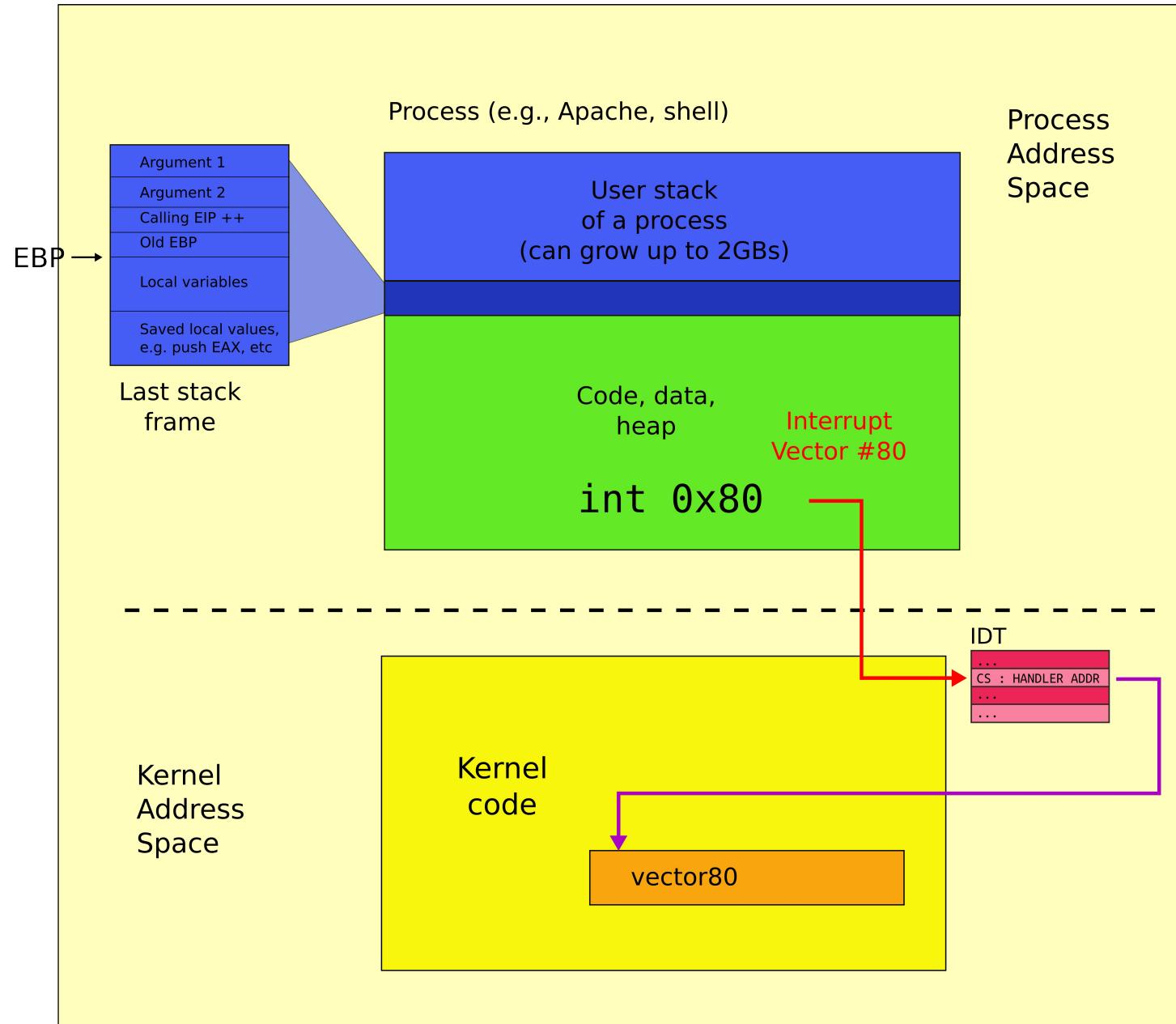
# System call



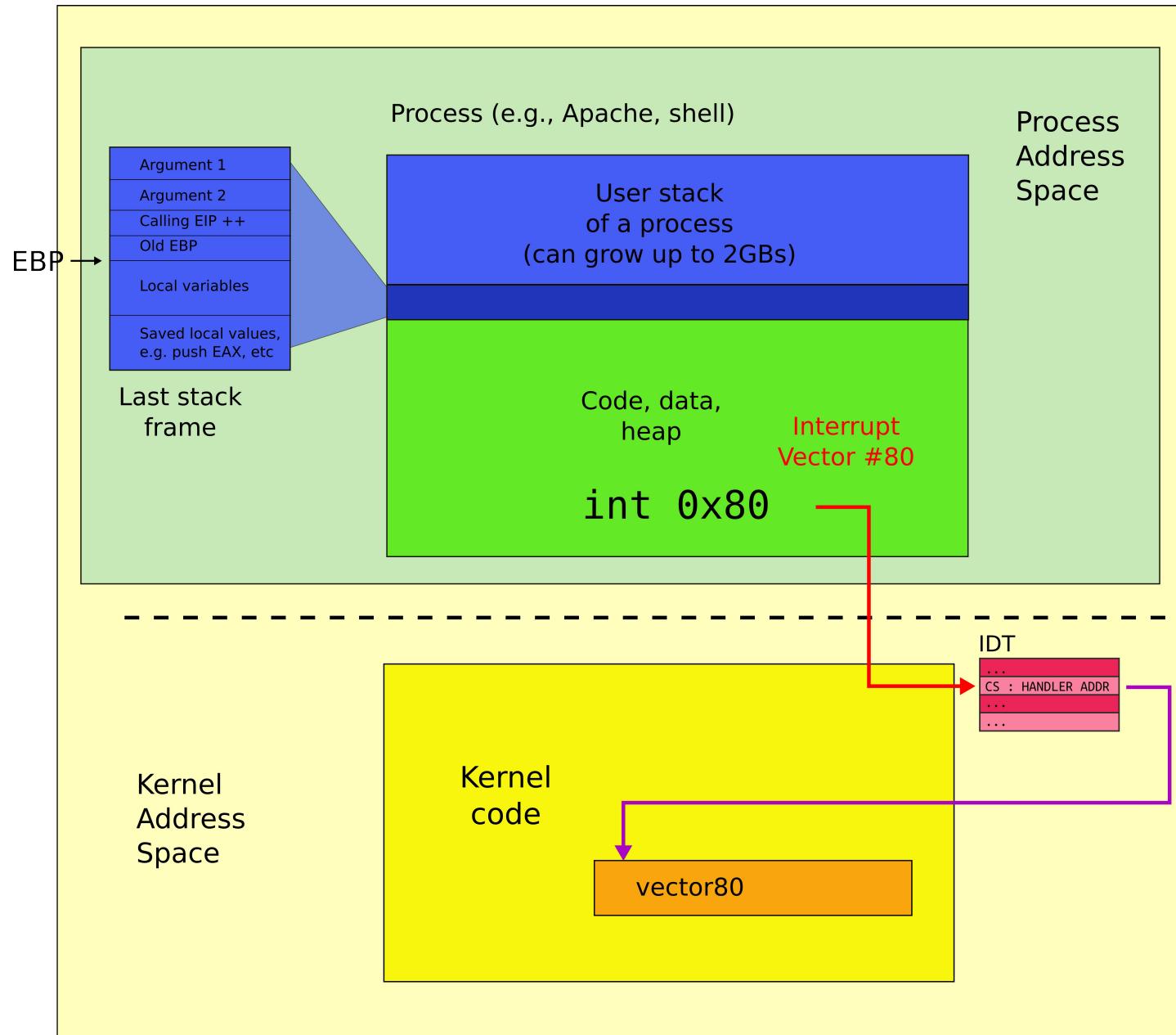
# User address space



# Kernel address space



# Kernel and user address spaces



# System calls, interface for...

- Processes
  - Creating, exiting, waiting, terminating
- Memory
  - Allocation
- Files and folders
  - Opening, reading, writing, closing
- Inter-process communication
  - Pipe

UNIX (xv6) system calls are designed  
around the shell



Ken Thompson (sitting) and Dennis Ritchie working together at a PDP-11



DEC LA36 DECwriter II Terminal



DEC VT100 terminal, 1980

# Shell

- Normal process
- Interacts with the kernel through system calls
  - Creates new processes

# fork() -- create new process

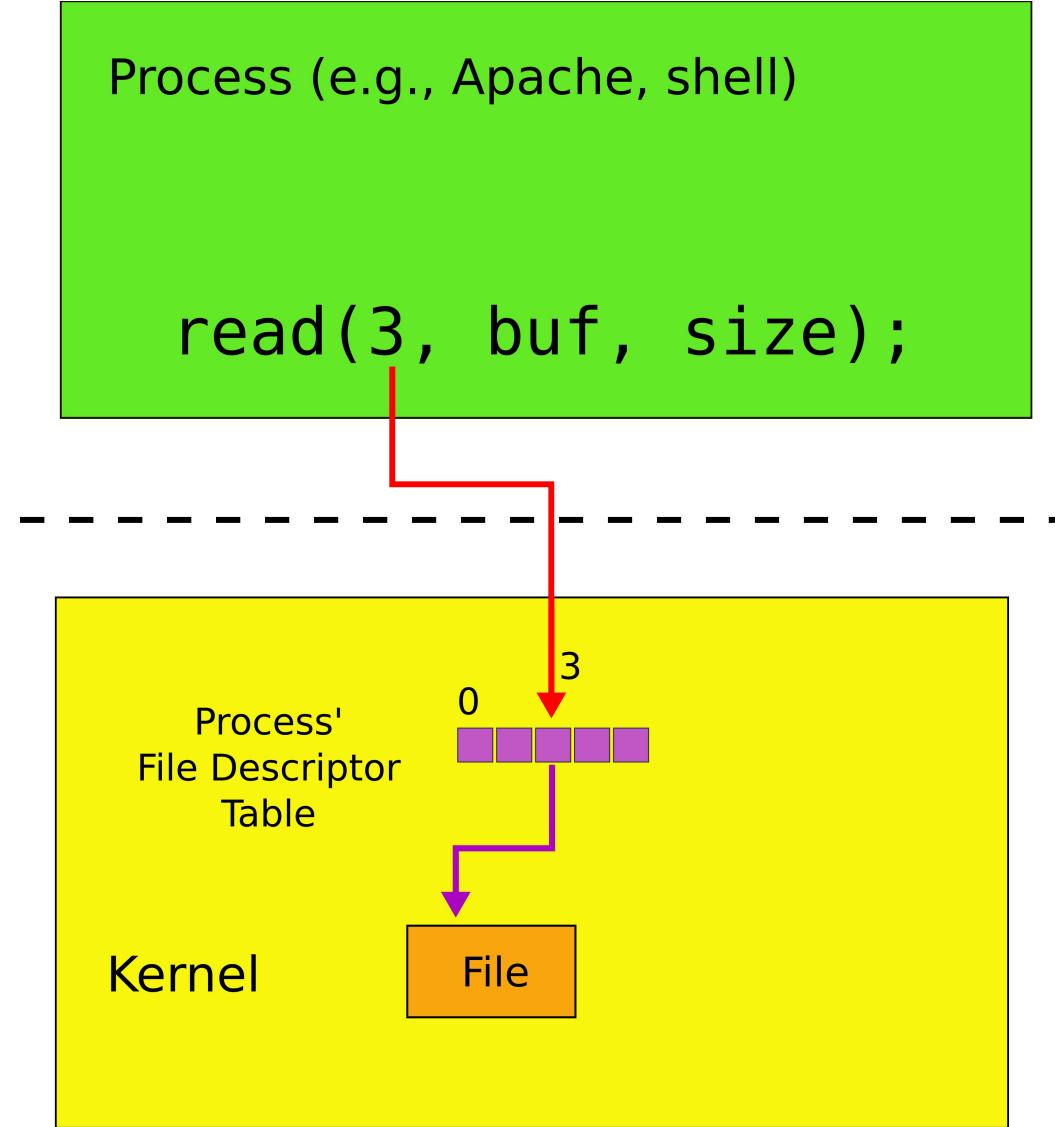
```
int pid;  
  
pid = fork();  
if(pid > 0){  
    printf("parent: child=%d\n", pid);  
    pid = wait();  
    printf("child %d is done\n", pid);  
} else if(pid == 0){  
    printf("child: exiting\n");  
    exit();  
} else {  
    printf("fork error\n");  
}
```

# More process management

- `exit()` -- terminate current processss
- `wait()` -- wait for the child to exit
- `exec()` -- replace memory of a current process with a memory image (of a program) loaded from a file

```
char *argv[3];  
argv[0] = "echo";  
argv[1] = "hello";  
argv[2] = 0;  
exec("/bin/echo", argv);  
printf("exec error\n");
```

# File descriptors



# File descriptors: two processes

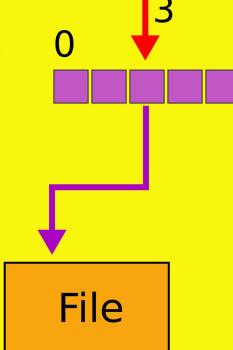
Process (e.g., Apache, shell)

```
read(3, buf, size);
```

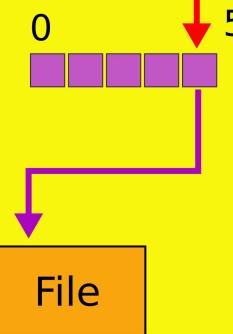
Process (e.g., Apache, shell)

```
read(5, buf, size);
```

Green Process' File Descriptor Table



Blue Process' File Descriptor Table



# Two file descriptors pointing to a pipe

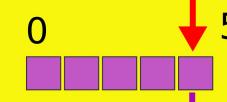
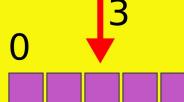
Process (e.g., Apache, shell)

```
read(3, buf, size);
```

Process (e.g., Apache, shell)

```
read(5, buf, size);
```

Green Process'  
File Descriptor  
Table



Kernel



# File descriptors

- An index into a table, i.e., just an integer
- The table maintains pointers to “file” objects
  - Abstracts files, devices, pipes
  - In UNIX everything is a pipe – all objects provide file interface
- Process may obtain file descriptors through
  - Opening a file, directory, device
  - By creating a pipe
  - Duplicating an existing descriptor

# Standard file descriptors

- Just a convention
  - 0 – standard input
  - 1 – standard output
  - 2 – standard error
- This convention is used by the shell to implement I/O redirection and pipes

# File I/O

- `read(fd, buf, n)` – read  $n$  bytes from  $fd$  into  $buf$
- `write(fd, buf, n)` – write  $n$  bytes from  $buf$  into  $fd$

# Example: cat

```
char buf[512]; int n;  
for(;;) {  
    n = read(0, buf, sizeof buf);  
    if(n == 0)  
        break;  
    if(n < 0) {  
        fprintf(2, "read error\n");  
        exit(); }  
    if(write(1, buf, n) != n) {  
        fprintf(2, "write error\n");  
        exit(); }  
}
```

# File I/O redirection

- `close(fd)` – closes file descriptor
  - **The next opened file descriptor will have the lowest number**
- `fork` replaces process memory, but
  - leaves its file table (table of the file descriptors untouched)

# Example: cat < input.txt

```
char *argv[2];
argv[0] = "cat";
argv[1] = 0;
if(fork() == 0) {
    close(0);
    open("input.txt", O_RDONLY);
    exec("cat", argv);
}
```

# pipe - interprocess communication

- Pipe is a kernel buffer exposed as a pair of file descriptors
  - One for reading, one for writing
- Pipes allow processes to communicate
  - Send messages to each other

```
int p[2];
char *argv[2]; argv[0] = "wc"; argv[1] = 0;
pipe(p);
if(fork() == 0) {
    close(0);
    dup(p[0]);
    close(p[0]);
    close(p[1]);
    exec("/bin/wc", argv);
} else {
    write(p[1], "hello world\n", 12);
    close(p[0]);
    close(p[1]);
}
```

wc on the  
read end of  
the pipe

# Pipes

- Shell composes simple utilities into more complex actions with pipes, e.g.

```
grep FORK sh.c | wc -l
```

- Create a pipe and connect ends

# Xv6 demo

# Files

- Files
  - Uninterpreted arrays of bytes
- Directories
  - Named references to other files and directories

# Creating files

- `mkdir()` – creates a directory
- `open(O_CREATE)` – creates a file
- `mknod()` – creates an empty files marked as device
  - Major and minor numbers uniquely identify the device in the kernel
- `fstat()` – retrieve information about a file
  - Named references to other files and directories

# Fstat

- fstat() – retrieve information about a file

```
#define T_DIR 1 // Directory
#define T_FILE 2 // File
#define T_DEV 3 // Device
struct stat {
    short type; // Type of file
    int dev; // File system's disk device
    uint ino; // Inode number
    short nlink; // Number of links to file
    uint size; // Size of file in bytes
};
```

# Links, inodes

- Same file can have multiple names – links
  - But unique inode number
- `link()` – create a link
- `unlink()` – delete file
- Example, create a temporary file

```
fd = open("/tmp/xyz", O_CREATE|O_RDWR);  
unlink("/tmp/xyz");
```

**fork()** Create a process  
**exit()** Terminate the current process  
**wait()** Wait for a child process to exit  
**kill(pid)** Terminate process pid  
**getpid()** Return the current process's pid  
**sleep(n)** Sleep for n clock ticks  
**exec(filename, \*argv)** Load a file and execute it  
**sbrk(n)** Grow process's memory by n bytes  
**open(filename, flags)** Open a file; the flags indicate read/write  
**read(fd, buf, n)** Read n bytes from an open file into buf  
**write(fd, buf, n)** Write n bytes to an open file  
**close(fd)** Release open file fd  
**dup(fd)** Duplicate fd  
**pipe(p)** Create a pipe and return fd's in p  
**chdir(dirname)** Change the current directory  
**mkdir(dirname)** Create a new directory  
**mknod(name, major, minor)** Create a device file  
**fstat(fd)** Return info about an open file  
**link(f1, f2)** Create another name (f2) for the file f1  
**unlink(filename)** Remove a file

# Xv6 system calls

# Xv6 demo

In many ways xv6 is an OS  
you run today



Speakers from the 1984 Summer Usenix Conference (Salt Lake City, UT)