

Data Structures and Algorithms

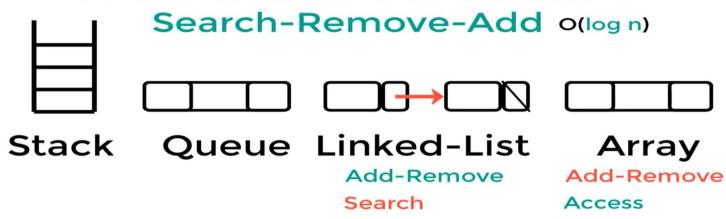
Lecture 7-8



WHAT ARE TREES?



Tree Data Structure



Why Trees?

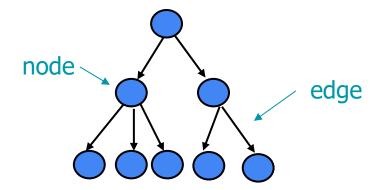
- Efficient for searching, insertion, and deletion (e.g., BST).
- Represent hierarchical data (e.g., file systems).
- Useful in:
- Priority queues (binary heaps).
- Machine learning (decision trees).
- Compilers (Abstract Syntax Trees).

Applications of Trees

- File systems (hierarchical structure).
- Database indexing (B-Trees, B+ Trees).
- Compilers (expression parsing).
- Networking (optimal routing).
- Machine learning (decision-making structures).

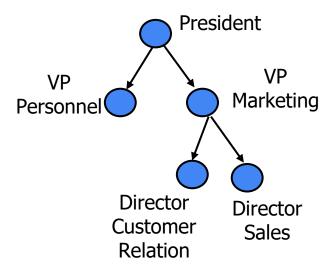
What is a tree?

- Trees are structures used to represent hierarchical relationship
- Each tree consists of nodes and edges
- Each node represents an object
- Each edge represents the relationship between two nodes.

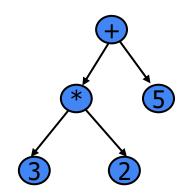


Some applications of Trees

Organization Chart

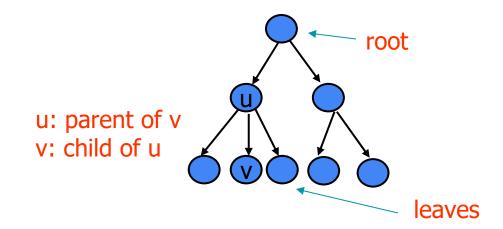


Expression Tree



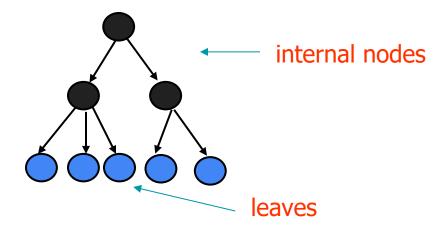
Terminology I

- For any two nodes u and v, if there is an edge pointing from u to v, u is called the parent of v while v is called the child of u. Such edge is denoted as (u, v).
- In a tree, there is exactly one node without parent, which is called the root. The nodes without children are called leaves.



Terminology II

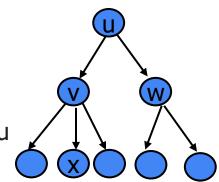
• In a tree, the nodes without children are called leaves. Otherwise, they are called internal nodes.



Terminology III

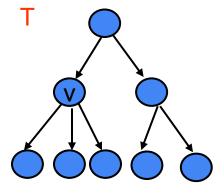
- If two nodes have the same parent, they are siblings.
- A node u is an ancestor of v if u is parent of v or parent of parent of v or ...
- A node v is a descendent of u if v is child of v or child of child of v or ...

v and w are siblingsu and v are ancestors of xv and x are descendents of u

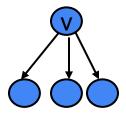


Terminology IV

• A subtree is any node together with all its descendants.

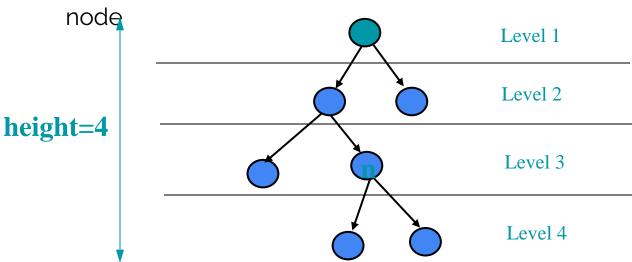


A subtree of T

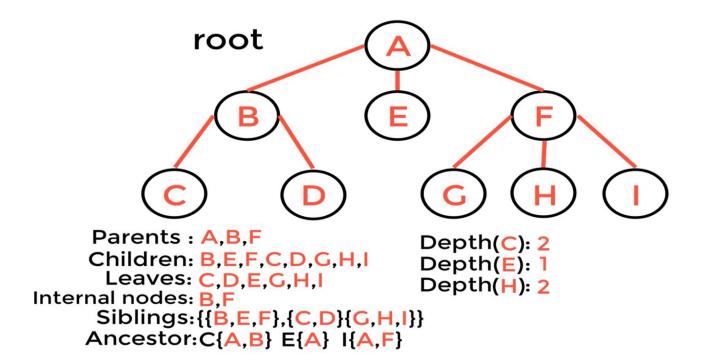


Terminology V

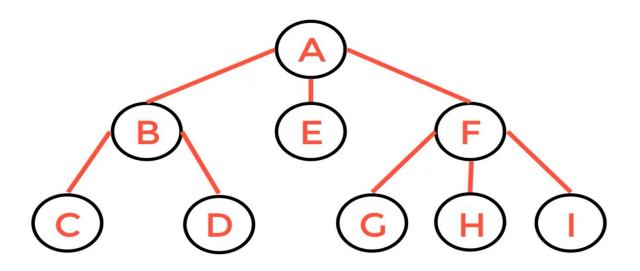
- Level of a node n: number of nodes on the path from root to node n
- Height of a tree: maximum level among all of its



Example



important



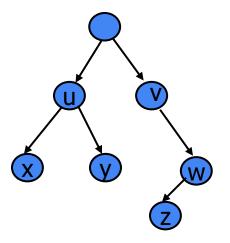
Parenthetical notation: A(B(C D)E F(G H I))

Tree types

- Binary tree Binary-search tree AVL tree Red-black tree.....
- B tree
- Heaps
- Trees
- Multiway tree
- Application specific tree

Binary Tree

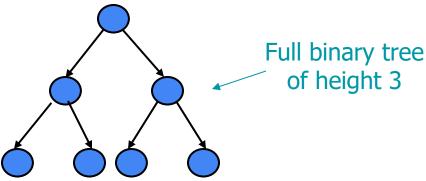
- Binary Tree: Tree in which every node has at most 2 children
- Left child of u: the child on the left of u
- Right child of u: the child on the right of u



x: left child of u y: right child of u w: right child of v z: left child of w

Full binary tree

- If T is empty, T is a full binary tree of height 0.
- If T is not empty and of height h >0, T is a full binary tree if both subtrees of the root of T are full binary trees of height h-1.

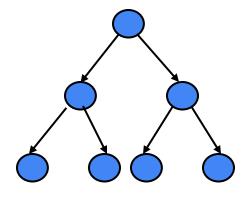


Property of binary tree (I)

A full binary tree of height h has 2^h-1 nodes

No. of nodes =
$$2^0 + 2^1 + ... + 2^{(h-1)}$$

= $2^h - 1$



Level 1: 20 nodes

Level 2: 2¹ nodes

Level 3: 2² nodes

Property of binary tree (II)

• Consider a binary tree T of height h. The number of nodes of $T \le 2^h-1$

Reason: you cannot have more nodes than a full binary tree of height h.

The minimum height of a binary tree with n nodes is log(n+1)

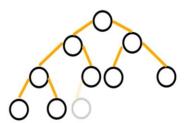
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By property (II), n \le 2^h-1
Thus, 2^h \ge n+1
That is, h \ge \log_2 (n+1)
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Other binary tree types

Complete Binary Tree

1.All levels is comletely filled except the last level.

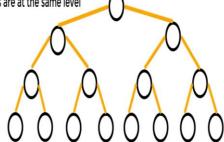
2.All Nodes as left as possible in last level



Perfect Binary Tree (All levels in completely filled)

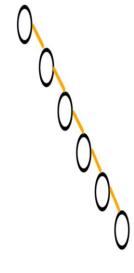
1. Every nodes has two children.

2.All leaves are at the same level



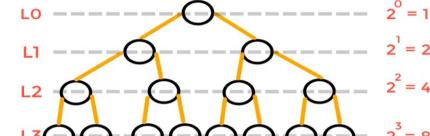
A degenerate (or pathological) Tree

- Every parent node has only one child either left or right.
- Such trees are performance-wise same as linked list.



Max no. of nodes at level \longrightarrow 2^L

Max no. of nodes in a binary tree



....

$$n = 2^{h+1} - 1$$

$$2^{h+1} = (n+1)$$

$$h = \log_{2}(n+1) - 1$$

$$\log_{2}(16) - 1$$

Reference Based Representation

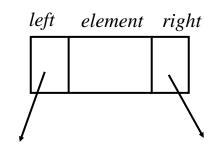
NULL: empty tree

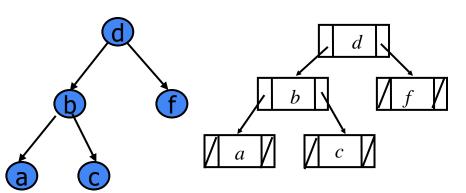
You can code this with a class of three fields:

Object element;

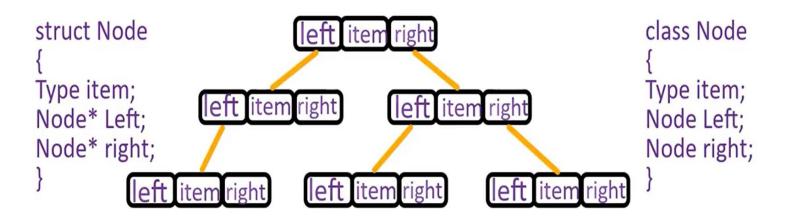
BinaryNode left;

BinaryNode right;





Coding



Tree Traversal

- Given a binary tree, we may like to do some operations on all nodes in a binary tree. For example, we may want to double the value in every node in a binary tree.
- To do this, we need a traversal algorithm which visits every node in the binary tree.

Ways to traverse a tree

- There are three main ways to traverse a tree:
 - Pre-order:
 - (1) visit node, (2) recursively visit left subtree, (3) recursively visit right subtree
 - In-order:
 - (1) recursively visit left subtree, (2) visit node, (3) recursively right subtree
 - Post-order:
 - (1) recursively visit left subtree, (2) recursively visit right subtree, (3) visit node
 - Level-order:
 - Traverse the nodes level by level
- In different situations, we use different traversal algorithm.

Example

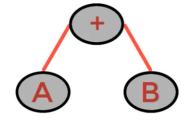
Binary Tree Traversal

Pre-order: root left right

+ A B

In-order: left root right

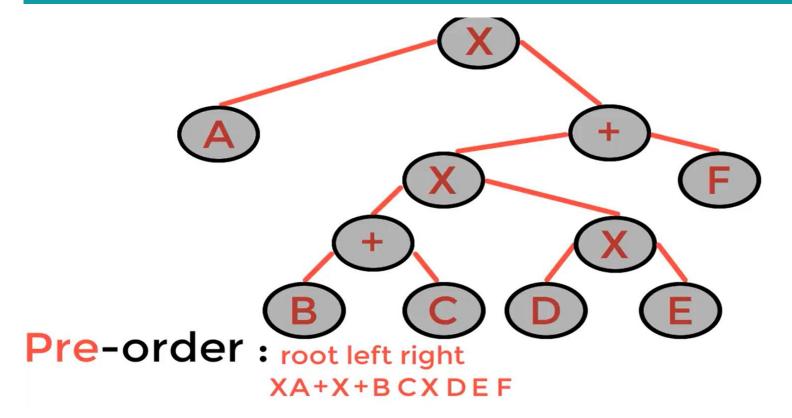
A + B



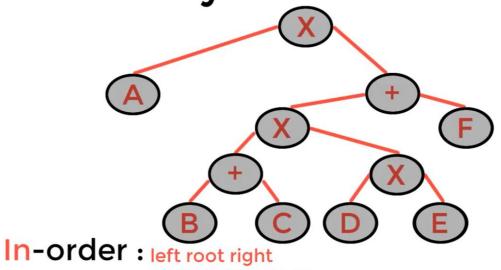
Post-order: left right root

AB+

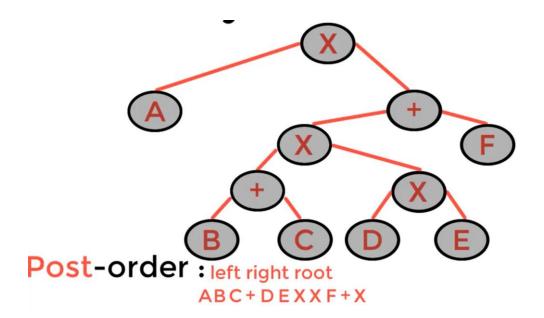
Examples for expression tree



Binary Tree Traversal

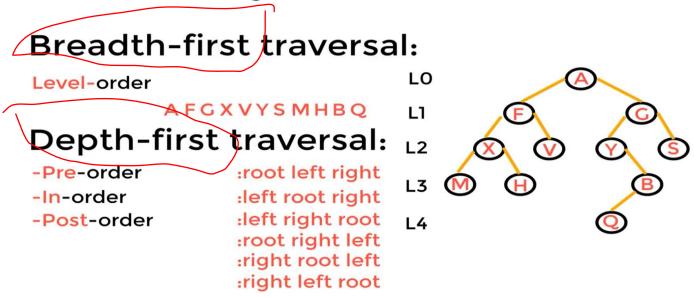


AXB+CXDXE+F



Traversal method types

Binary Tree Traversal



WHAT ARE GRAPHS?



Why Graphs?

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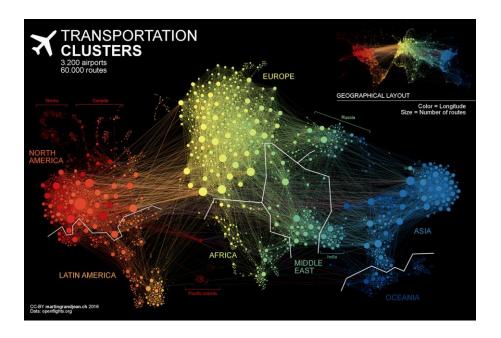
- Represent pairwise relationships flexibly.
- Useful in modeling real-world networks:
- Social relationships.
- Transportation systems.
- Communication networks.
- Enable powerful algorithms (e.g., Dijkstra, A*).

Applications of Graphs

- Social networks (e.g., Facebook, LinkedIn).
- GPS routing and internet protocols.
- Search engines (e.g., Google's PageRank).
- Electrical circuits representation.
- - Artificial Intelligence (state-space problems).

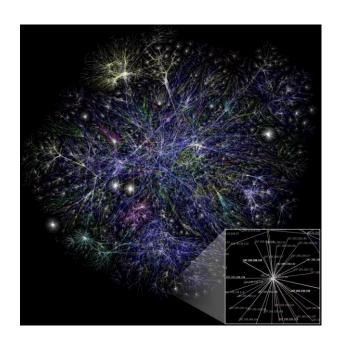
GRAPH EXAMPLES

Each "node" is an airport, and flight routes are represented by the "edge" in between them





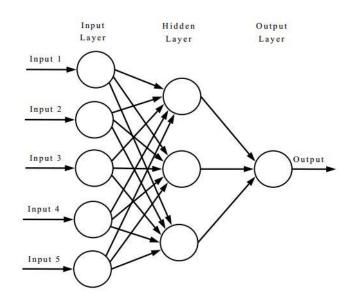
Partial graph of the Internet (in 2005), where each "node" is an IP address, and the "edges" between them reveal connectivity delays (shorter lines = closer IP addresses)





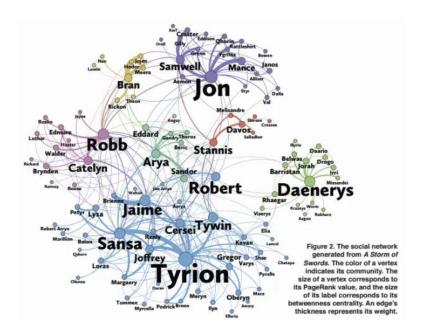
GRAPH EXAMPLES

Neural networks! Each "node" represents a module of the neural network, and "edge" represent output/input relationships

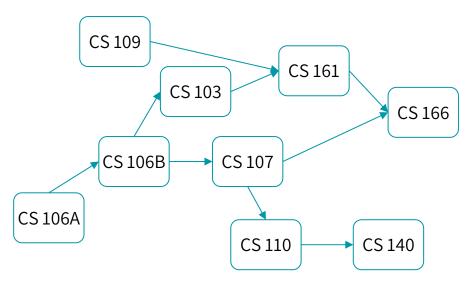


GRAPH EXAMPLES

Graph of characters in the third book of Game of Thrones, where each "node" is a character, and "edge" reveal frequency of interaction (i.e. 2 names appearing within 15 words of one another).



CS prerequisites!
"nodes" are classes and
an "edge" from class A to
class B means "class B
depends on class A"





- To an algorithms person, a graph means a representation of the relationships between pairs of Objects.
- Road networks. When your smartphone's software computes driving directions, it searches through a graph that represents the road network, with vertices corresponding to intersections and edges corresponding to individual road segments.



- The World Wide Web. The Web can be modeled as a directed graph, with the vertices corresponding to individual Web pages, and the edges corresponding to hyperlinks, directed from the page containing the hyperlink to the destination page.
- Precedence constraints. Graphs are also useful in problems that lack an obvious network stucture. For example, imagine that you a first-year university student, planning which courses to take and in which order.

• Social networks. A social network can be represented as a graph whose vertices correspond to individuals and edges to some type of relationship. For example, an edge could indicate a friendship between its endpoints, or that one of its endpoints is a follower of the other. Among the currently popular social networks, which ones are most naturally modeled as an undirected graph, and which ones as a directed graph?

WHAT ARE GRAPHS USED FOR?

- There are a lot of diverse problems that can be represented as graphs, and we want to answer questions about them
- For example:
 - How do we most efficiently route packets across the internet?
 - Are there natural "clusters" or "communities" in a graph?
 - Which character(s) are least related with _____?
 - How should I sign up for classes without violating pre-req constraints?

But first off, some terminology!



Differences: Trees vs. Graphs

Comparison	Tree	Graph
Relationship of node	Only one root node. Parent-Child relationship exists.	No root node. No Parent- Child relationship exists.
Path	Only one path between two nodes	One or more paths exist between two nodes
Edge	N - 1 ($N = Number of nodes$)	Can not defined
Loop	Loop is not allowed	Loop is allowed
Traversal	Preorder, Inorder, Postorder	BFS, DFS
Model type	Hierarchical	Network

KINDS OF GRAPHS

TWO KINDS OF GRAPHS:

- 1. Undirected Graph
- 2. Directed Graph

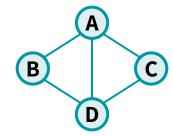
Two KINDS OF GRAPHS:

• In an undirected graph, each edge corresponds to an unordered pair {v,w} of vertices, which are called the endpoints of the edge. In an undirected graph, there is no difference between an edge (v.w) and an edge (w, v).

UNDIRECTED GRAPHS

An undirected graph has a set of vertices (V) & a set of edges (E)





$$V = \{A, B, C, D\}$$

 $E = \{ \{A, B\}, \{A, C\}, \{A, D\}, \{B, D\}, \{C, D\} \}$

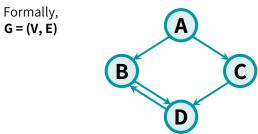


Two KINDS OF GRAPHS:

 In a directed graph, each edge (v,w) is an ordered pair, with the edge traveling from the first vertex v (called the tail) to the second w (the head);

DIRECTED GRAPHS

A directed graph has a set of vertices (V) & a set of **DIRECTED** edges (E)



The **in-degree** of vertex D is 2. The **out-degree** of vertex D is 1.

Vertex D's **incoming neighbors** are A, B, & C

Vertex D's **outgoing neighbor** is B





GRAPH REPRESENTATIONS OPTIONS



GRAPH REPRESENTATIONS OPTIONS:

OPTION 1: ADJACENCY MATRIX

OPTION 2: ADJACENCY LIST



OPTION 1: ADJACENCY MATRIX

 The adjacency matrix representation of G is a square n x n matrix A equivalently, a two-dimensional array—with only zeroes and ones as entries. Each entry A_{ii} is defined as:

$$A_{ij} = \begin{cases} 1 & \text{if edge } (i,j) \text{ belongs to } E \\ 0 & \text{otherwise.} \end{cases}$$

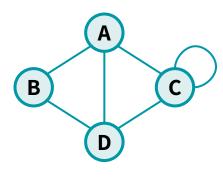


OPTION 2: ADJACENCY LIST

- Ingredients for Adjacency Lists
 - 1. An array containing the graph's vertices.
 - 2. An array containing the graph's edges.
 - 3. For each edge, a pointer to each of its two endpoints.
 - 4. For each vertex, a pointer to each of the incident edges.



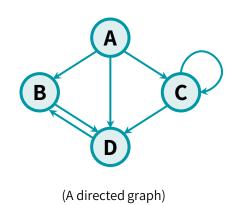
OPTION 1: ADJACENCY MATRIX



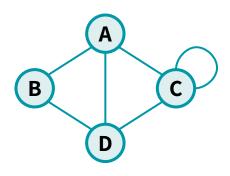
(An undirected graph)

(destination) A B C D A [0 1 1 1 1 1 0 0 1 1 1 1 D [1 1 1 0]

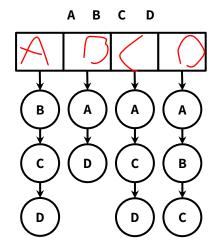
OPTION 1: ADJACENCY MATRIX



OPTION 2: ADJACENCY LISTS

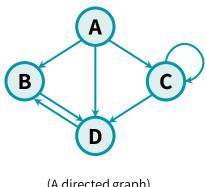


(An undirected graph)

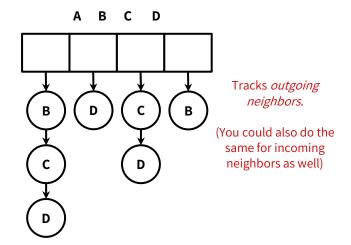


Each list stores a node's neighbors

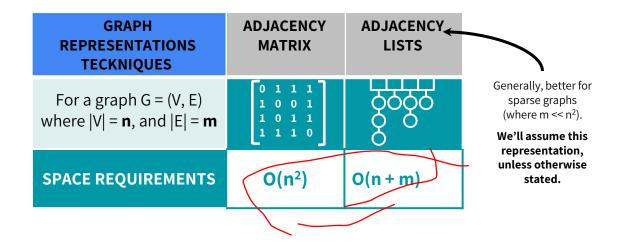
OPTION 2: ADJACENCY LISTS











Generally Adjacency Lists representation, better than Adjacency Matrix representation for sparse graphs (where $m < n^2$).

We'll assume Adjacency Lists representation, unless otherwise stated.



Sparse Graphs vs. Dense Graphs





Sparse Graphs vs. Dense Graphs

- A graph is sparse if the number of edges is relatively close to <u>linear</u> in the number of vertices.
- A graph is dense if <u>the number of edges</u> is closer to <u>quadratic</u> in the <u>number of vertices</u>.





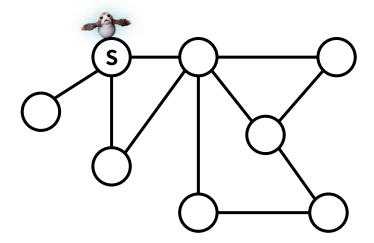
BREADTH-FIRST SEARCH (BFS)

One way to explore a graph!



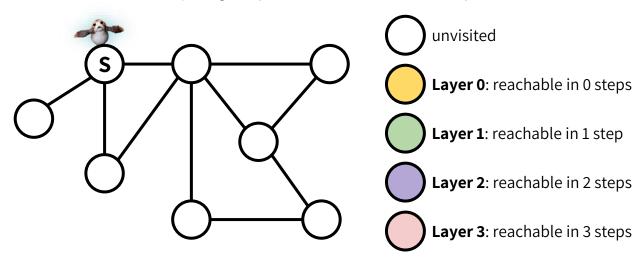
 Breadth-first search explores the vertices of a graph in layers, in order of increasing distance from the starting vertex.

An analogy:



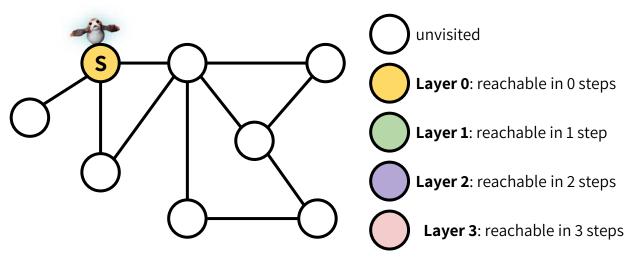


An analogy:



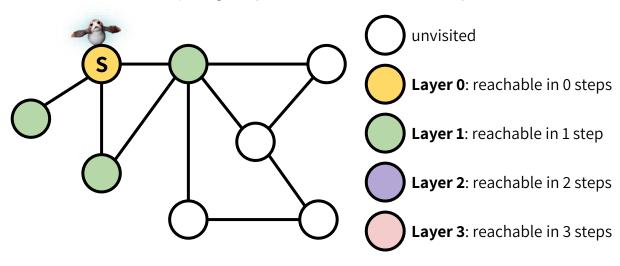


An analogy:



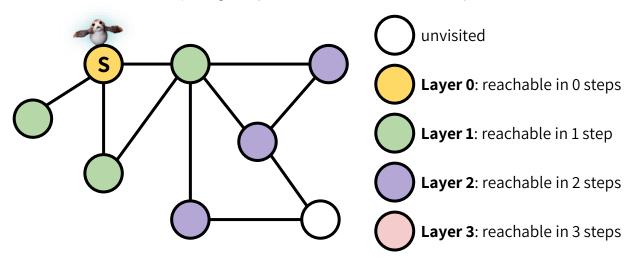


An analogy:



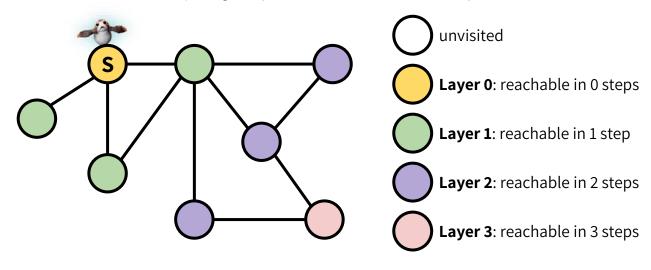


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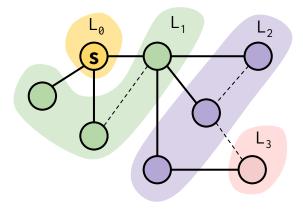




An analogy:





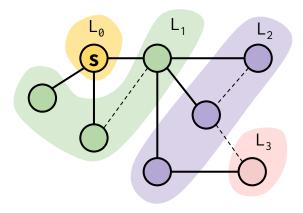


 $L_i^{}$ = The set of nodes we can reach in i steps from s

```
\begin{split} & \underline{\mathsf{BFS}(s)} \colon \\ & \mathsf{Set} \ \mathsf{L}_i = [] \ \mathsf{for} \ i = 0, \ \dots, \ \mathsf{n-1} \\ & \mathsf{L}_0 = s \\ & \mathsf{for} \ i = 0, \ \dots, \ \mathsf{n-1} \colon \\ & \mathsf{for} \ \mathbf{u} \ \mathsf{in} \ \mathsf{L}_i \colon \\ & \mathsf{for} \ \mathbf{v} \ \mathsf{in} \ \mathbf{u}.\mathsf{neighbors} \colon \\ & \mathsf{if} \ \mathbf{v} \ \mathsf{not} \ \mathsf{yet} \ \mathsf{visited} \colon \\ & \mathsf{mark} \ \mathbf{v} \ \mathsf{as} \ \mathsf{visited} \\ & \mathsf{add} \ \mathbf{v} \ \mathsf{to} \ \mathsf{L}_{i+1} \end{split}
```







 L_i = The set of nodes we can reach in i steps from s

```
\begin{split} & \underline{\mathsf{BFS}(s)} \colon \\ & \mathsf{Set} \ \mathsf{L}_i = [] \ \mathsf{for} \ i = 0, \ \dots, \ \mathsf{n-1} \\ & \mathsf{L}_0 = s \\ & \mathsf{for} \ i = 0, \ \dots, \ \mathsf{n-1} \colon \\ & \mathsf{for} \ \mathbf{u} \ \mathsf{in} \ \mathsf{L}_i \colon \\ & \mathsf{for} \ \mathbf{v} \ \mathsf{in} \ \mathbf{u}.\mathsf{neighbors} \colon \\ & \mathsf{if} \ \mathbf{v} \ \mathsf{not} \ \mathsf{yet} \ \mathsf{visited} \colon \\ & \mathsf{mark} \ \mathbf{v} \ \mathsf{as} \ \mathsf{visited} \\ & \mathsf{add} \ \mathbf{v} \ \mathsf{to} \ \mathsf{L}_{i+1} \end{split}
```

Go through all nodes in L_i and add their unvisited neighbors to L_{i+1}





Thank You

