

Optimizing Information Values in Smart Mobility

Fatima Afifah

Major advisor: Zhaomiao Guo

Committee members:

Naveen Eluru, Shaurya Agarwal, and Qifeng Li

PhD dissertation defense
University of Central Florida

Summer 2023

Outline



Chapter 1: Introduction



Chapter 2: System-level impacts of en-route information sharing considering adaptive routing



Chapter 3: Optimal speed limit control for network mobility and safety: A twin-delayed deep deterministic policy gradient approach



Chapter 4: Spatial pricing of ride-sourcing services in a congested transportation network

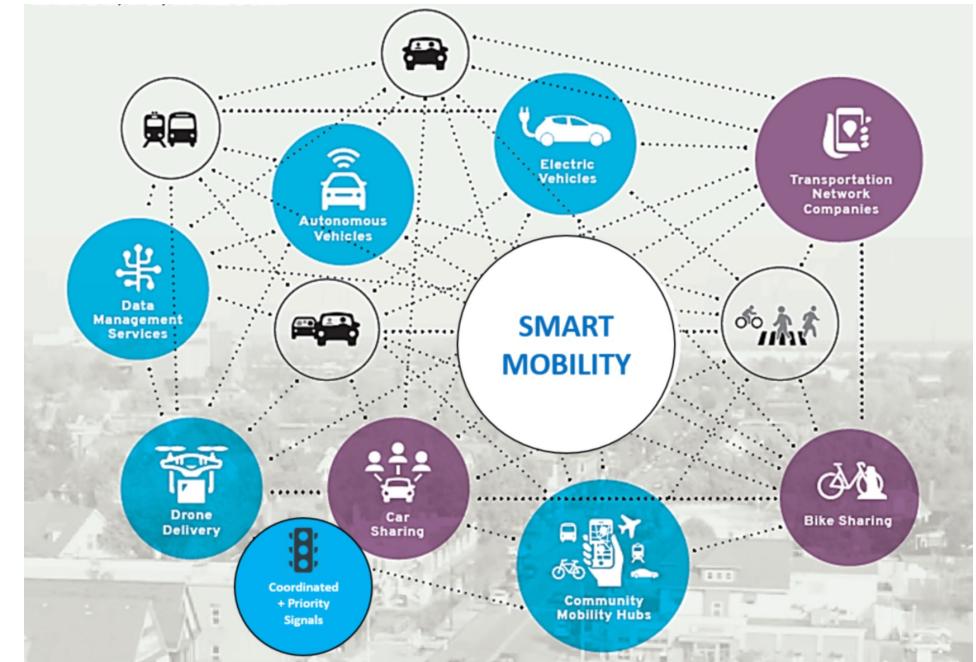


Chapter 5: Conclusions

Chapter 1 : Introduction

Background

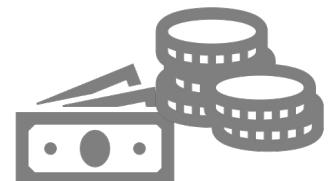
- Smart mobility integrates advanced technologies, data analytics, and innovative solutions to revolutionize transportation systems.
- Smart mobility addresses the challenges of enhancing mobility and safety in transportation systems.



54 hours of traffic
delay yearly per
commuter



Fatalities: 36,500
Injuries: 4.5 million



Congestion cost: \$210 billion
Accident cost: \$340 billion

Source: [1],[2]

Background

- Real-time information sharing plays crucial role in smart mobility
- Real-time information sharing
 - Collection, analysis, and dissemination of up-to-date information
 - Example: Traffic updates, road closures, parking availability



Background

.

- Benefits of information sharing:
 - Improved decision-making and routing behavior [3],[4].
 - Increased road capacity and travel-time savings [5-8].
- Information sharing may **not** always be **beneficial** for transportation network
 - "**information paradox**" : sharing additional information may worsen system performance [9],[10].
 - Impact of additional information on network performance varies based on **network characteristics** and specific conditions of **information provision** [11].

Challenges

Lack of Unified Modeling Frameworks

- Difficulty in capturing decentralized multi-agent interaction in transportation Systems
- Heterogeneity in diverse decision-makers

Computational Challenges

- Non-convexity and high dimensionality of problems
- Need for scalable and efficient computational techniques

Research Gap:

1. Limited research on **unified modeling frameworks** incorporating decentralized multi-agent interaction.
2. Lack of **scalable solution approaches** to address the **computational challenges**

Research Gap:

1. Limited research on **unified modeling frameworks** incorporating decentralized multi-agent interaction.
2. Lack of **scalable solution approaches** to address the **computational challenges**



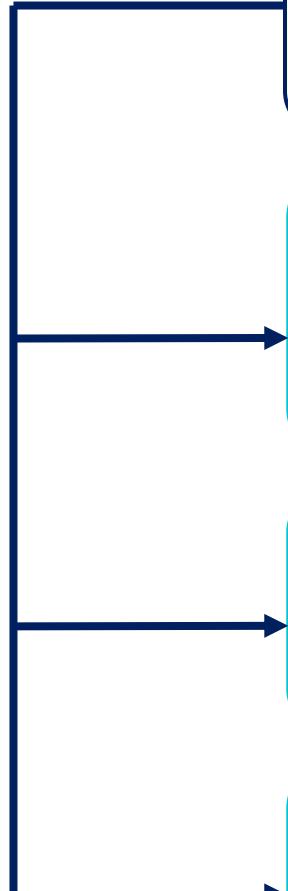
Goal: Develop **modeling frameworks** and **computational solutions** to investigate the impact of information shared by cutting edge smart **mobility applications** on transportation network

Research Gap:

1. Limited research on **unified modeling frameworks** incorporating decentralized multi-agent interaction.
2. Lack of **scalable solution approaches** to address the **computational challenges**



Goal: Develop **modeling frameworks** and **computational solutions** to investigate the impact of information shared by cutting edge smart **mobility applications** on transportation network



1. Develop a computationally tractable transportation **network model** considering **adaptive routing** of CAVs with **en-route information updates** from **I2Vs** to investigate the impact on transportation network mobility and safety.

2. Develop a **deep reinforcement learning model** for optimal VSLC implementation and assess its impact on transportation network performance

3. Develop a **Stackelberg framework** for spatial pricing of ride-sourcing services to analyze the impact of private entity information sharing on transportation congestion

Chapter 2: System-level impacts of en-route information sharing considering adaptive routing

Afifah, F., Guo, Z., & Abdel-Aty, M. (2023). System-level impacts of en-route information sharing considering adaptive routing. *Transportation Research Part C: Emerging Technologies*, 149, 104075.

Problem Statement

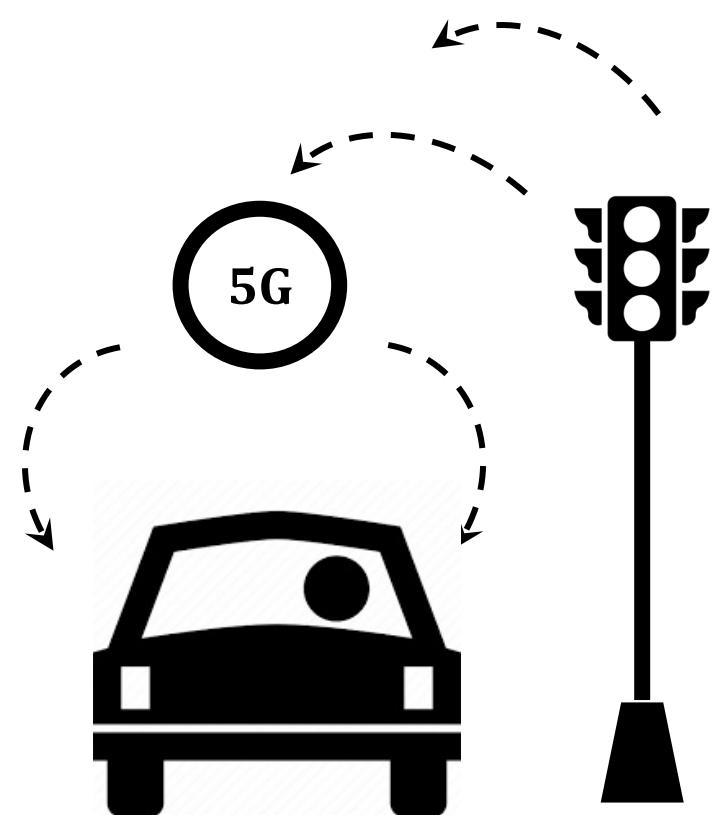
- Infrastructure-to-vehicle (I2V) technologies enable connected and automated vehicles (CAVs) to make informed decisions, adapt behavior, and optimize routes.

- **Motivation**

- The **influence** of **information sharing** on network mobility and safety remains uncertain and requires further investigation.

- **Research Questions**

- Does **local-level traffic change** due to en-route information impacts the system-level performance?
 - Is **more** information beneficial for improving network performance?



- **Research gap**

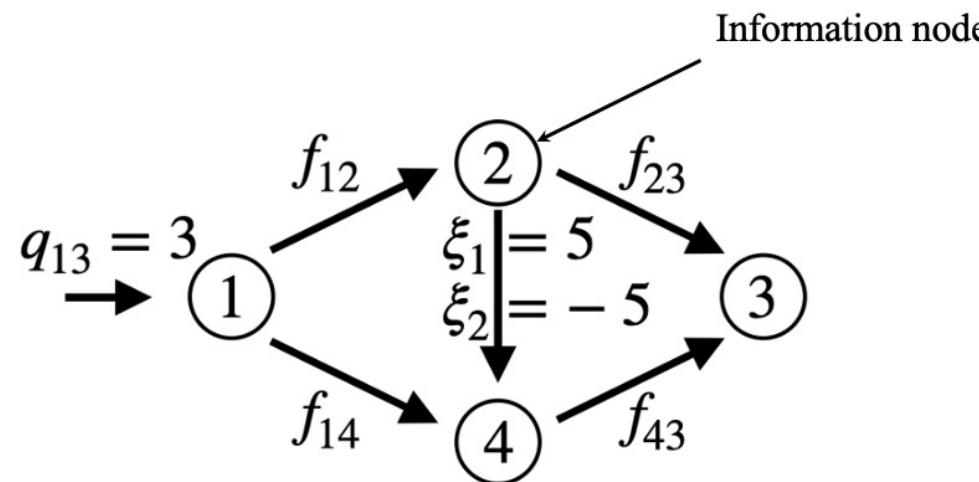
- **Research on adaptive decision making with information updates**

- Roundabout/signalized intersection/link/zone safety [13-18].
 - Simulation-based dynamic traffic assignment (DTA) [19,20,21]. However, **calibration** for large network is difficult.
 - Equilibrium routing decision [22] and user equilibrium with recourse [23]. These studies consider information implicitly in **routing policies** and the number of routing policies grows **exponentially**.

- **Objective of this study**

- Propose a novel and computationally tractable **transportation network model** to describe the traffic equilibrium patterns considering the **adaptive routing** of CAVs with **en-route information updates**.

Network Modeling



(a) Four-node network with stochastic link cost

Path	Flow		Travel Costs			
	ξ_1	ξ_2	ξ_1	ξ_2	Exp.	Var.
p_1	7/3	0	14/3	-	2.5	9.4
p_2	0	7/3	-	1/3	2.5	9.4
p_3	2/3	2/3	4/3	11/3	2.5	2.7

(b) Equilibrium solution with risk-neutral adaptive behaviors

Definition: Two-stage Stochastic User Equilibrium (two-stage SUE)

- The expected travel time on all Hyperpaths used in the first stage are equal and less than any unused path.
- The expected travel time on all paths used in the second stage are equal and less than any unused path.

- Path: $p_1 = \{1,2,3\}$; $p_2 = \{1,2,3,4\}$; $p_3 = \{1,4,3\}$
- Hyperpath: $\mathcal{P}_1^{rs} = \{p_1, p_2\}$ and $\mathcal{P}_2^{rs} = \{p_3\}$

Two-stage Stochastic Network Model

- Objective:

$$\min_{x_p(\xi) \geq 0, \forall p, \xi} \mathbb{E} \left(\sum_{a \in A} \int_0^{v_a(\xi)} t_a(u, \xi) du \right)$$

- Constraints:

- Flow conservation constraints

$$v_a(\xi) = \sum_{rs \in \mathcal{RS}} \sum_{p \in \mathcal{P}^{rs}} \delta_{ap} x_p(\xi), \forall a \in \mathcal{A}, \xi \in \Xi$$

$$(\gamma^{rs}(\xi)) \sum_{p \in \mathcal{P}^{rs}} x_p(\xi) = q^{rs}$$

- Non-anticipativity constraint

$$(\lambda_{a,k}^{rs}(\xi)) \sum_{p \in \mathcal{P}_k^{rs}} \delta_{ap}^+ x_p(\xi) = x_{a,k}^{rs} \quad \forall rs \in RS, a \in \mathcal{A}, \xi \in \Xi$$

Theorem

The traffic flow pattern is following two-stage-SUE principles if and only if it is the optimal solution to the two-stage stochastic network model

Proof.

Strategy: KKT conditions of the two-stage stochastic network model and the two-stage SUE principles are identical.

Orlando Network

■ Data Collection

- Traffic Data: Regional Integrated Transportation Information System (RITIS)
- Crash Data: Florida Highway Safety and Motor Vehicles (FLHSMV)

■ Base Case

- Incident links: 14-17, 17-14, 3-5, and 5-3
- Information shared at node 10

■ Scenarios

- Normal Scenario
- Incident Scenario

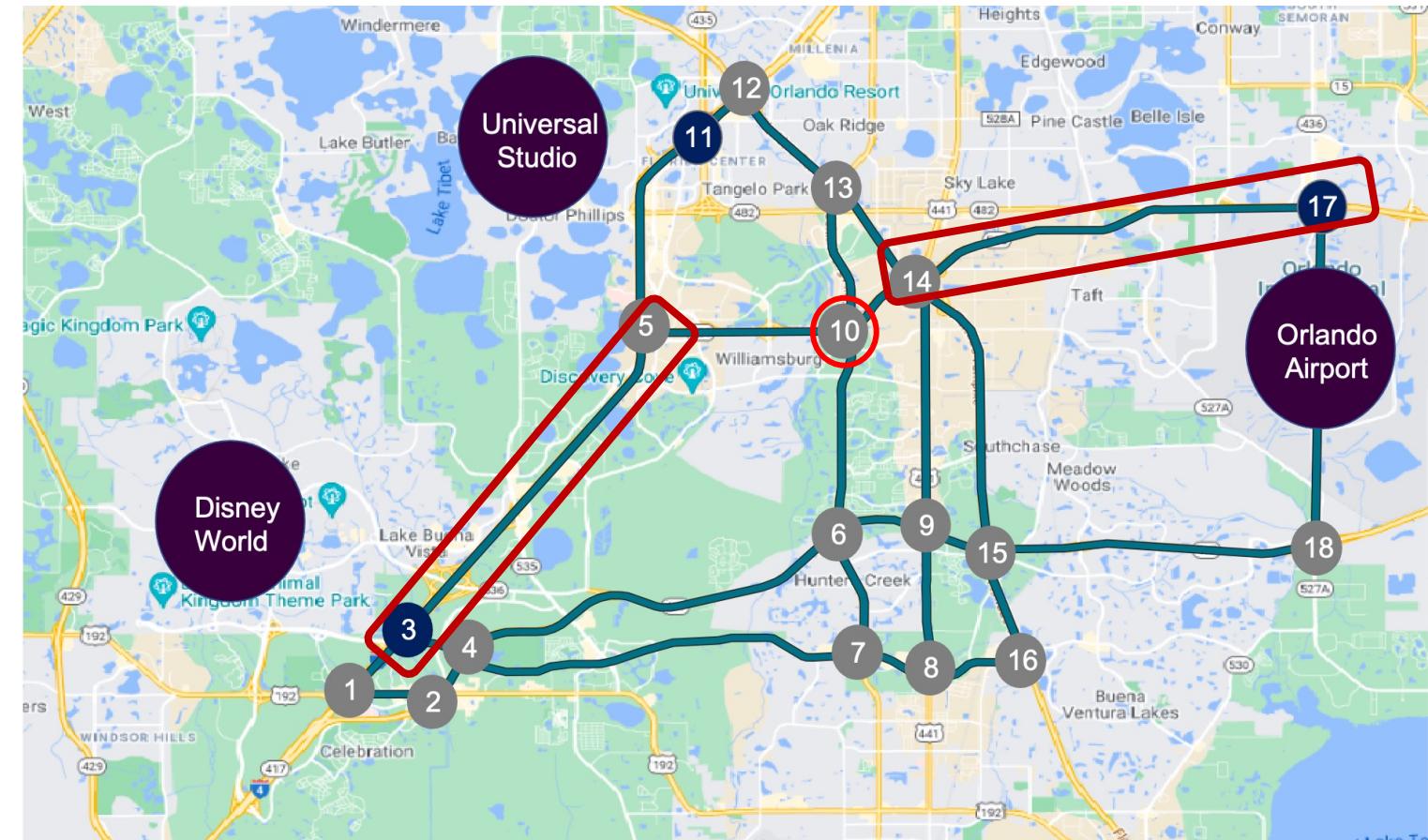


Figure: Orlando Transportation Network

Sensitivity of Information Sharing Strategies

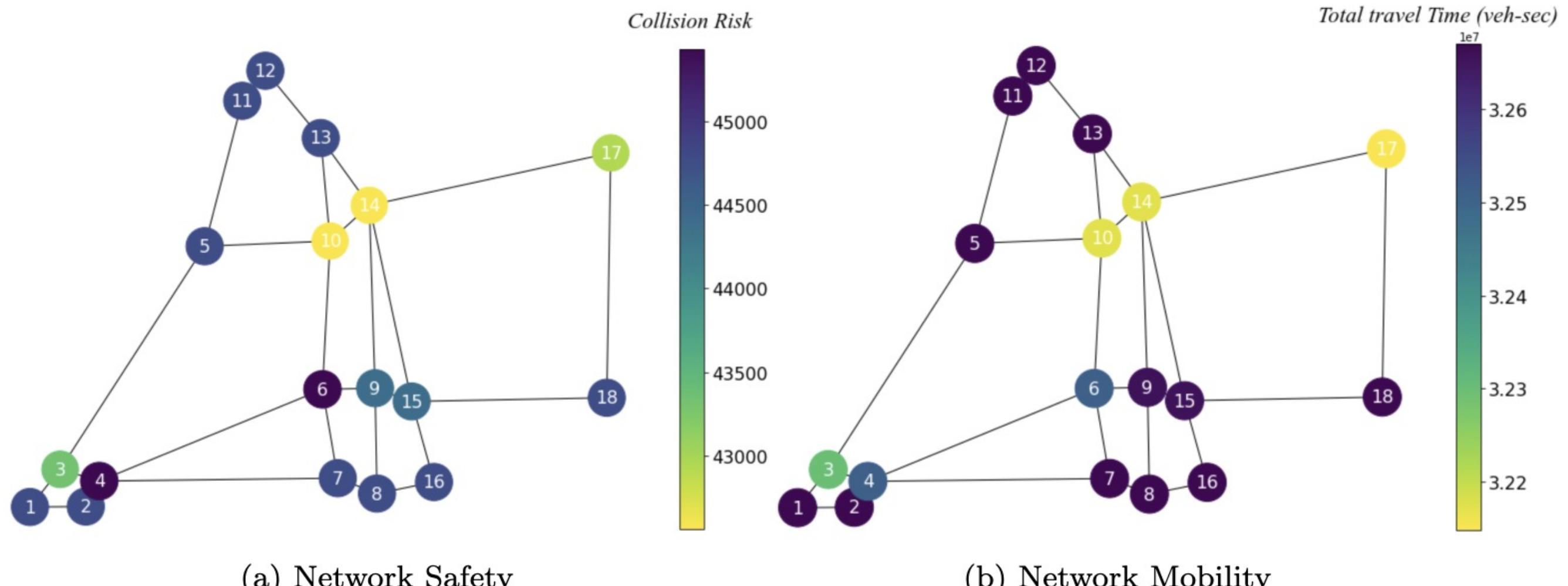
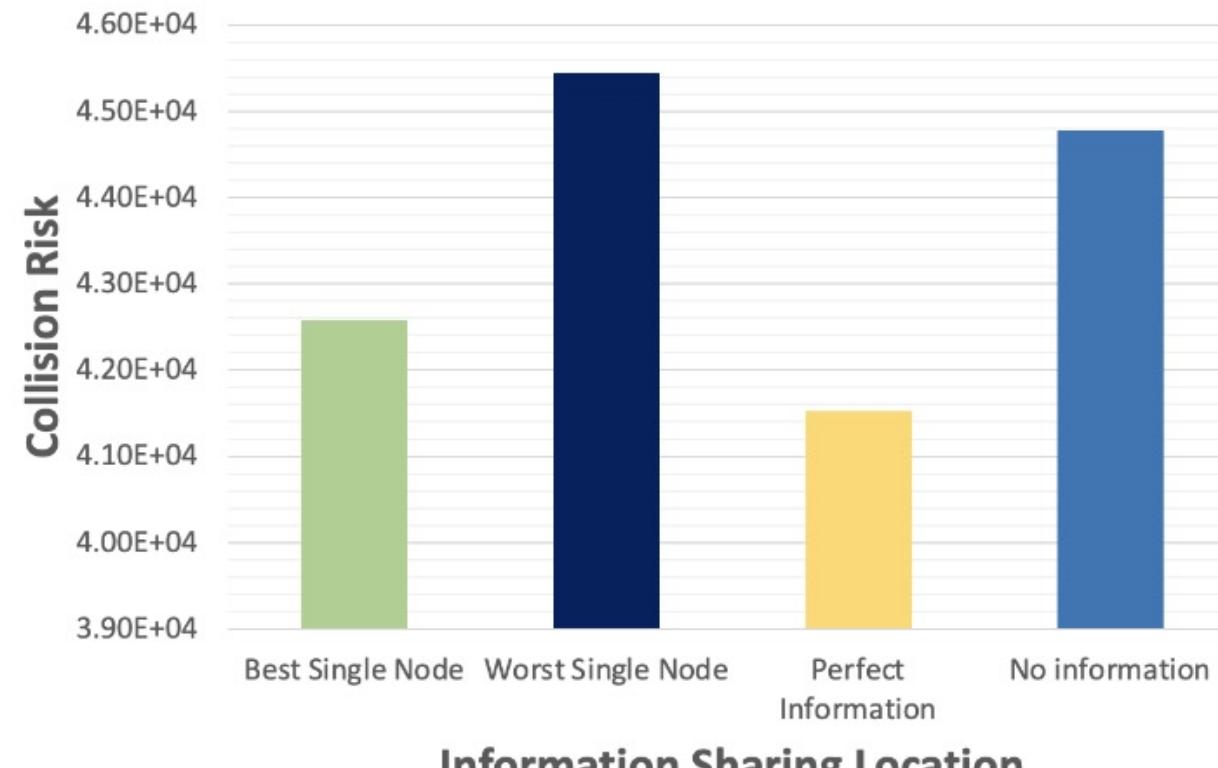
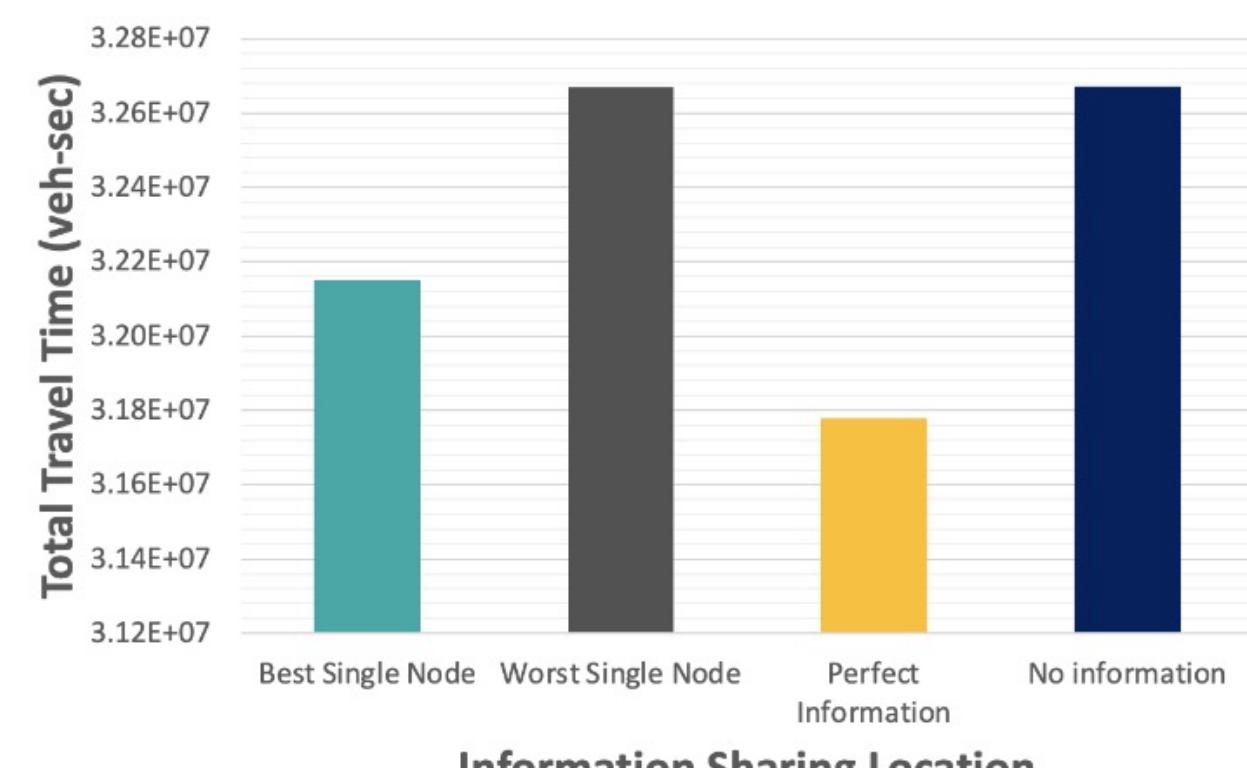


Figure: Network impacts of information sharing at individual nodes

Sensitivity of Information Sharing Strategies



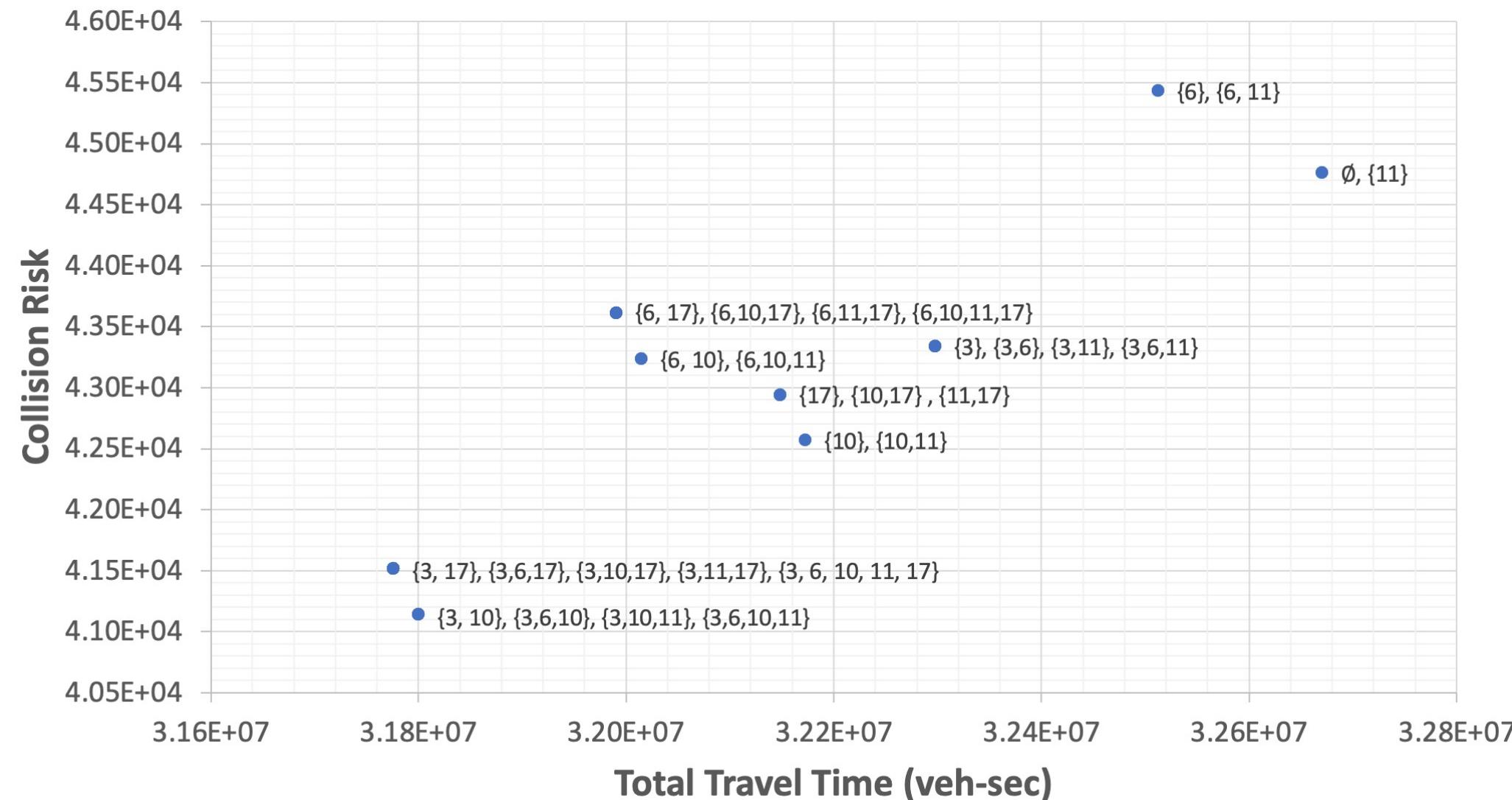
(a) Network Safety



(b) Network Mobility

Figure: Comparison of different information sharing strategies

Sensitivity of Information Sharing Strategies



Contributions:

- We proposed a transportation **network modeling framework** to model the **adaptive routing behavior** of CAVs with **information updates**. The proposed model is structured as a **convex stochastic optimization problem**, thereby facilitating scalability by leveraging efficient stochastic programming algorithms.
- We **explored strategies to share information** to improve network mobility and safety.

Findings:

- The optimal information sharing strategies depend on **specific network configuration**.
- More/less information is not always better/worse for the network mobility and safety.
- Locational information sharing encourages the traffic on **travel through information nodes** to make informed rerouting decision.
- Potential extension: heterogeneous information? Mixed traffic?

Chapter 3: Optimal speed limit control for network mobility and safety: A twin-delayed deep deterministic policy gradient approach

Problem Statement

- **Variable speed limit control(VSLC)** dynamically adjusts speed limits in response to traffic conditions and regulate traffic flow and reduce congestion.

- **Motivation**

- **Optimal control** over the speed limit of a **route** using VSLC and its impact on network mobility and safety has hardly been explored.

- **Research Questions**

- How does VSLC implementation affect the **traffic behavior**?
 - What **rewards** can be provided to VSLC system to incentivize the provision of speed limits that enhance network mobility and safety?



Figure: Variable speed limit

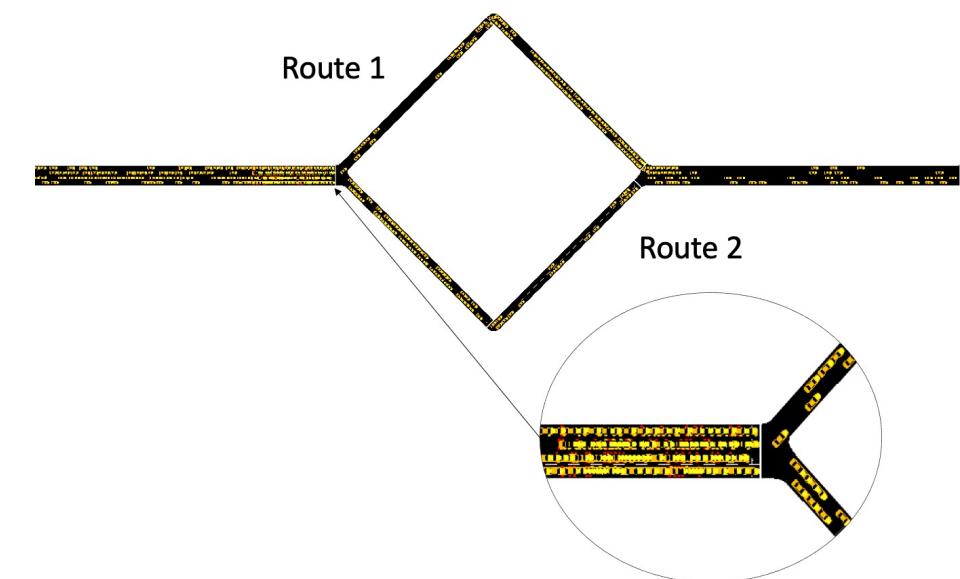


Figure: Network-level impact of VSLC

■ Research Gaps

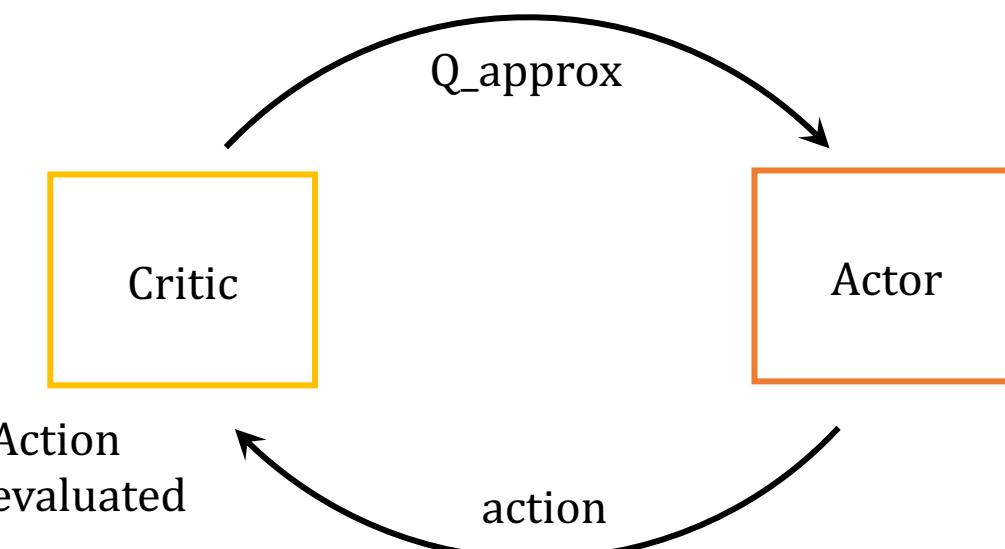
- Physical-model based approach [24,25,26]. However, it requires **accurate model of the system** and faces **computational difficulties**.
- Reinforcement-learning based approach freeway bottleneck [27], ramp metering [28], work zone operation [29]. However, mostly used for **small-scale problems**.

■ Objective of the study

- Propose a **deep reinforcement learning (DRL)** model to implement VSLC in a network to improve overall network mobility and safety considering **rerouting behavior of vehicles**.
- Propose suitable **rewards** for the DRL model to improve mobility and safety of a network.

Proposed Algorithm

- We propose using **Twin-delayed deep deterministic policy gradient (TD3)**
- Deep deterministic policy gradient (DDPG) suffers from [30]:
 - Overestimation Bias



- Accumulation Error

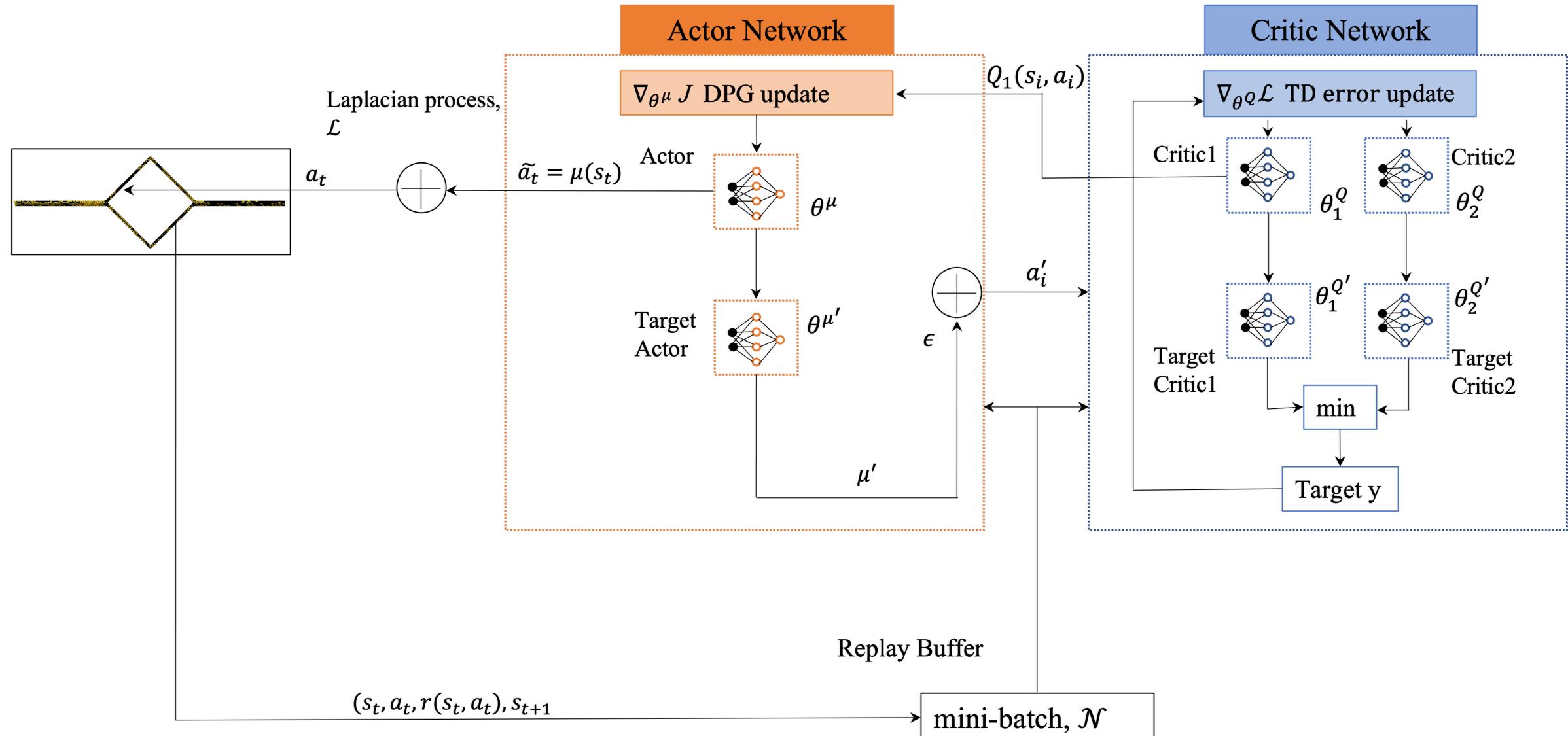
$$\delta = r_t + \gamma Q(s_{\{t+1\}}, a_{\{t+1\}}) - Q(s_t, a_t)$$

TD error

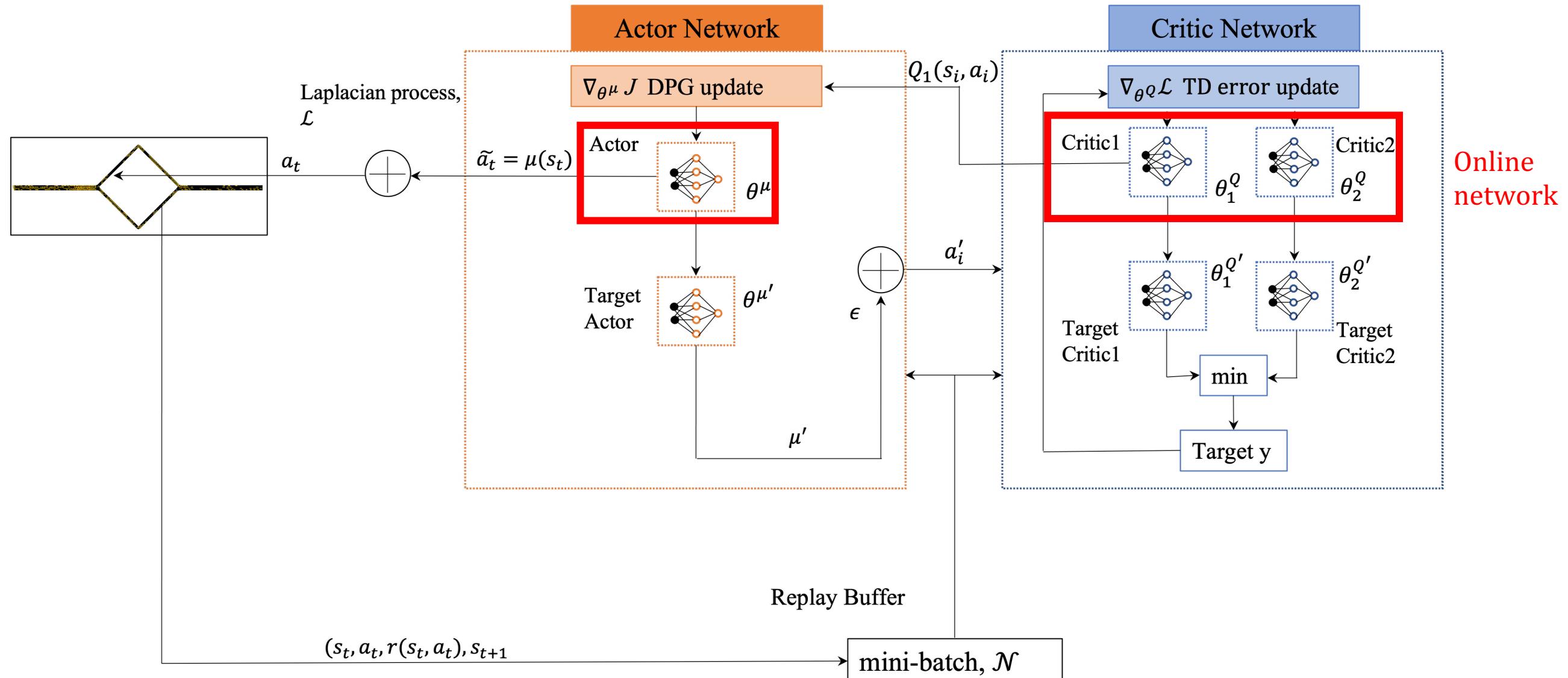
$$Q(s_t, a_t) = Q(s_t, a_t) + \alpha * \delta$$

Q-value estimate at the current step

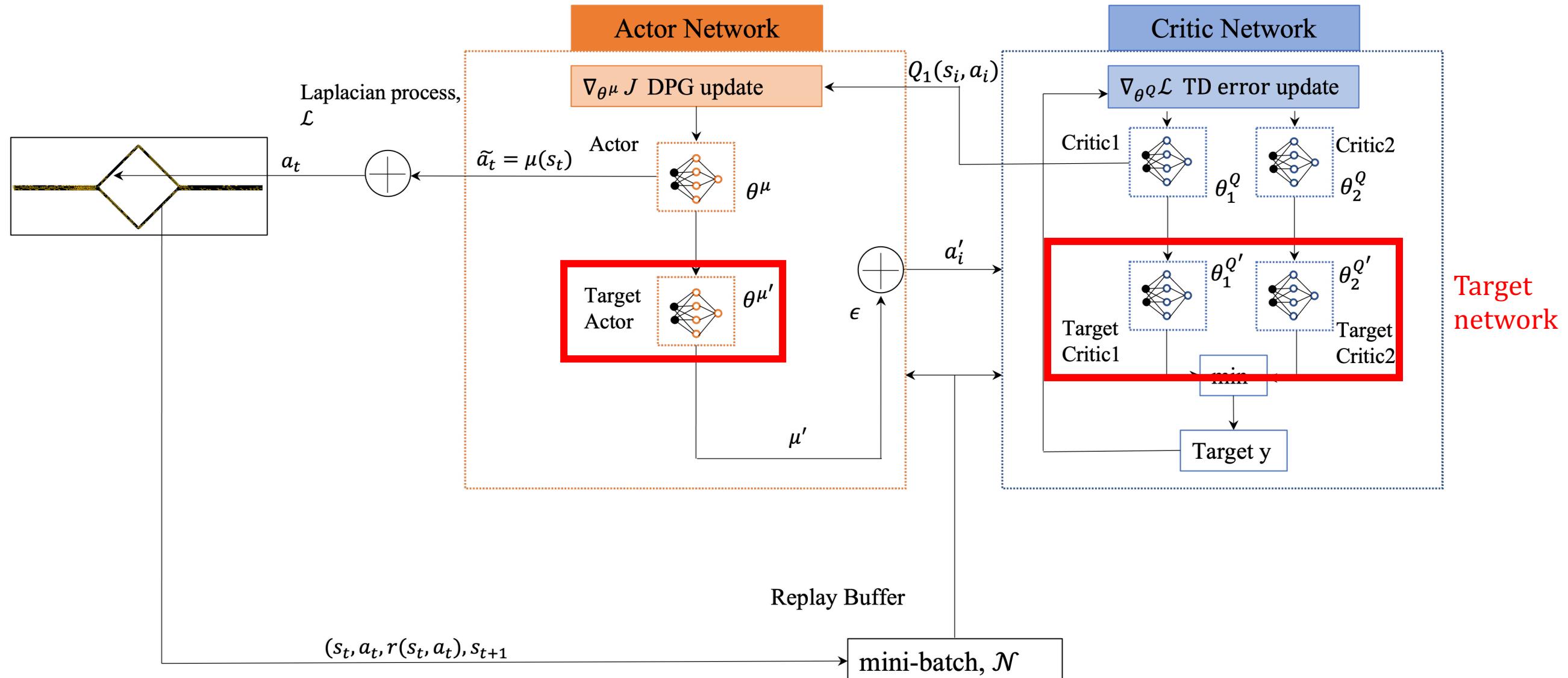
Twin-delayed deep deterministic policy gradient (TD3)



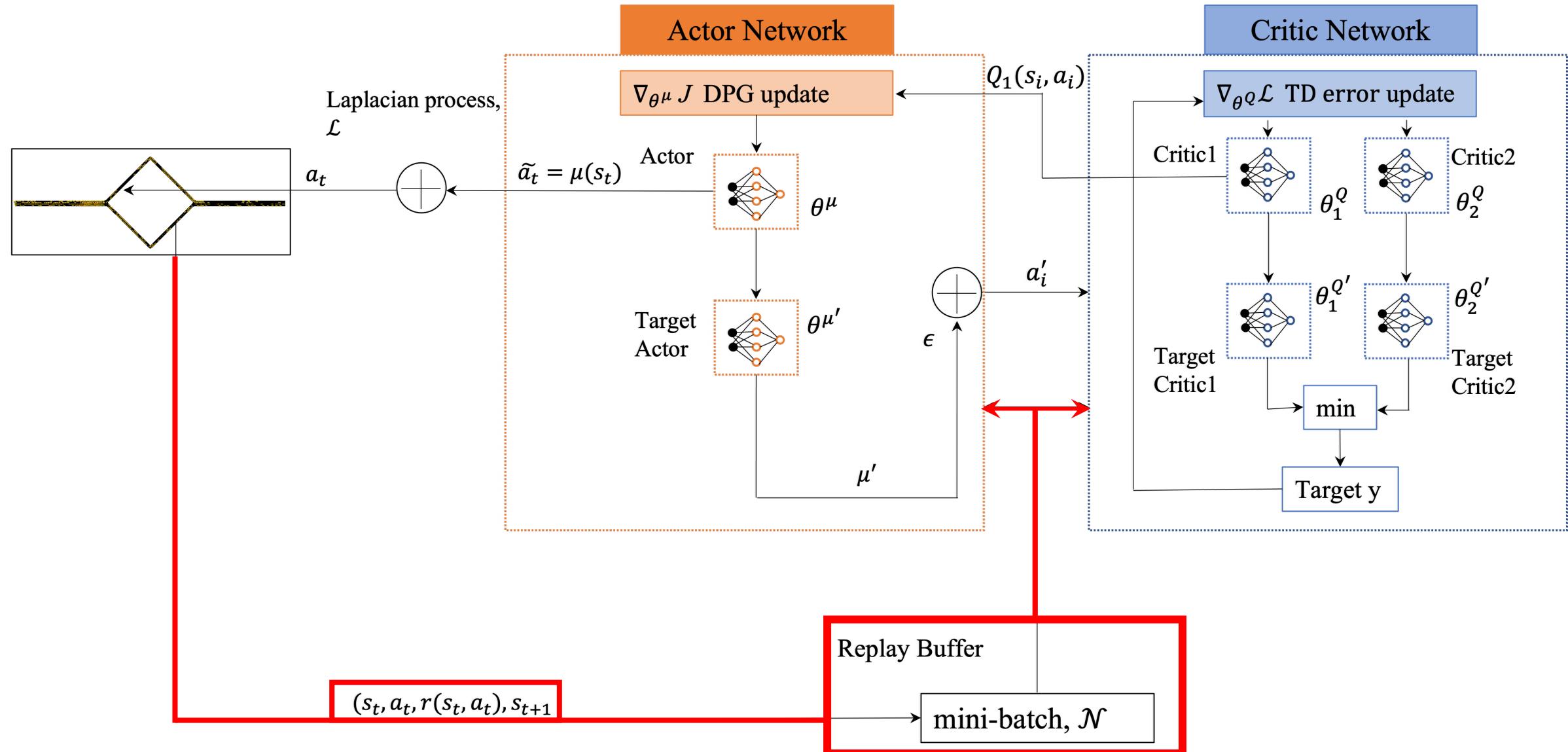
Twin-delayed deep deterministic policy gradient (TD3)



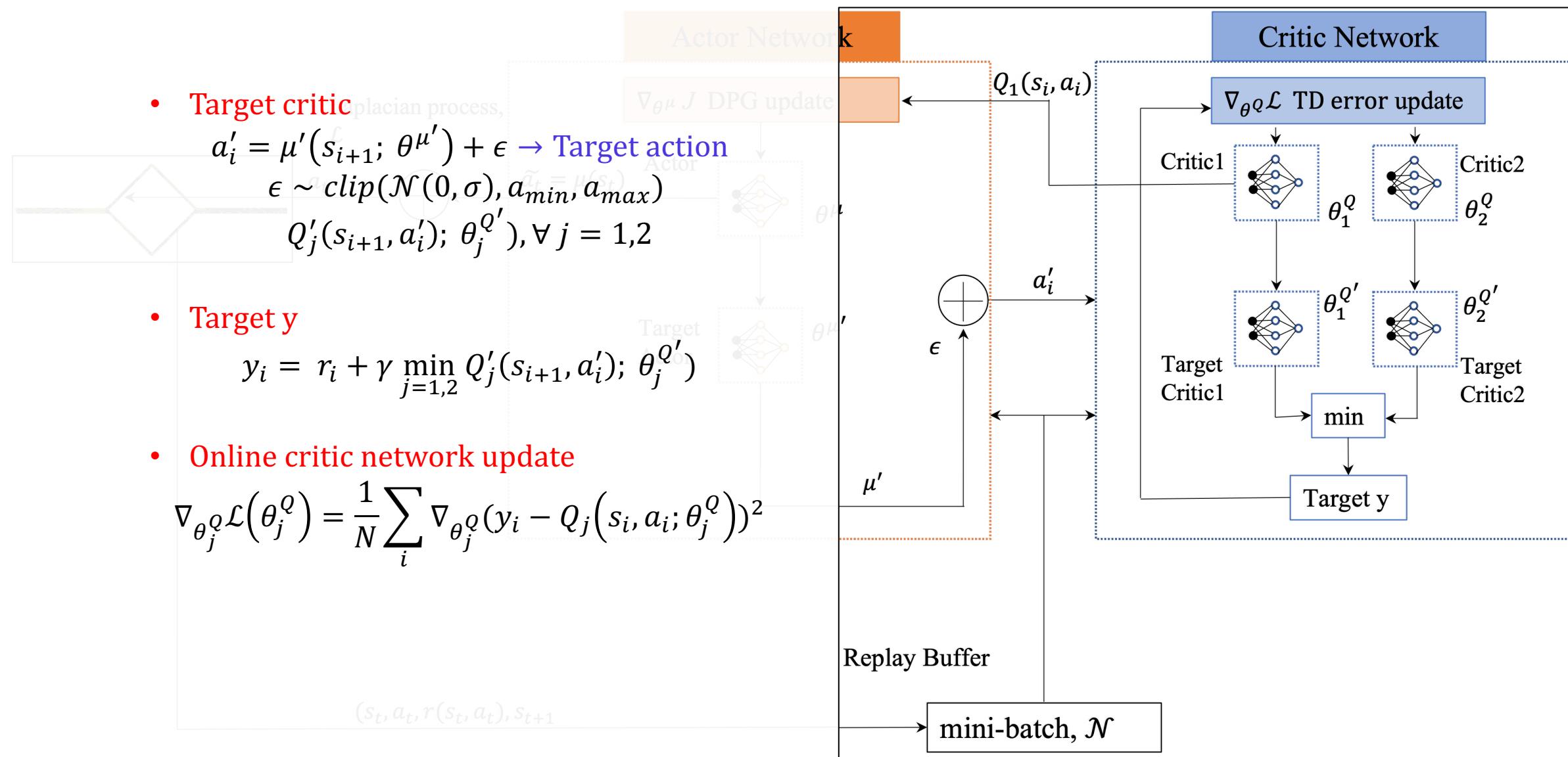
Twin-delayed deep deterministic policy gradient (TD3)



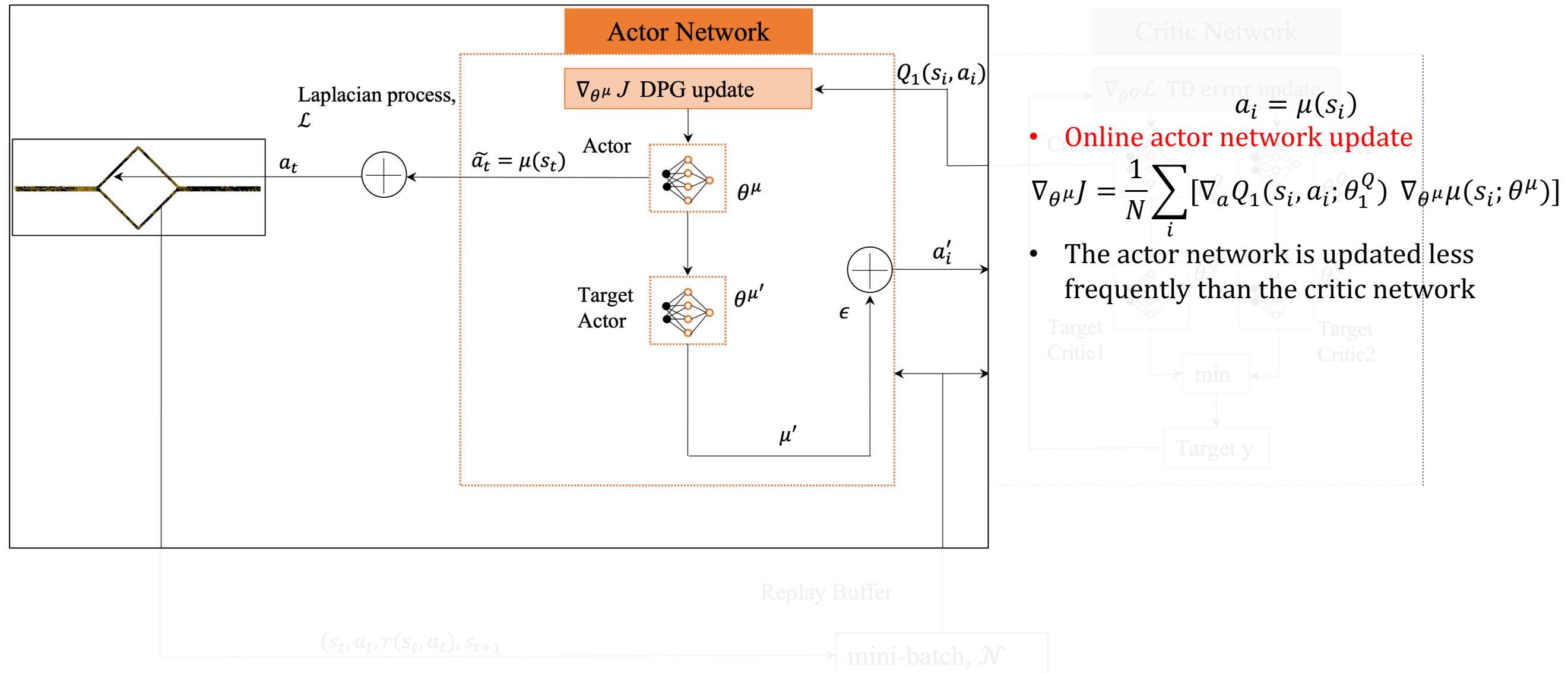
Twin-delayed deep deterministic policy gradient (TD3)



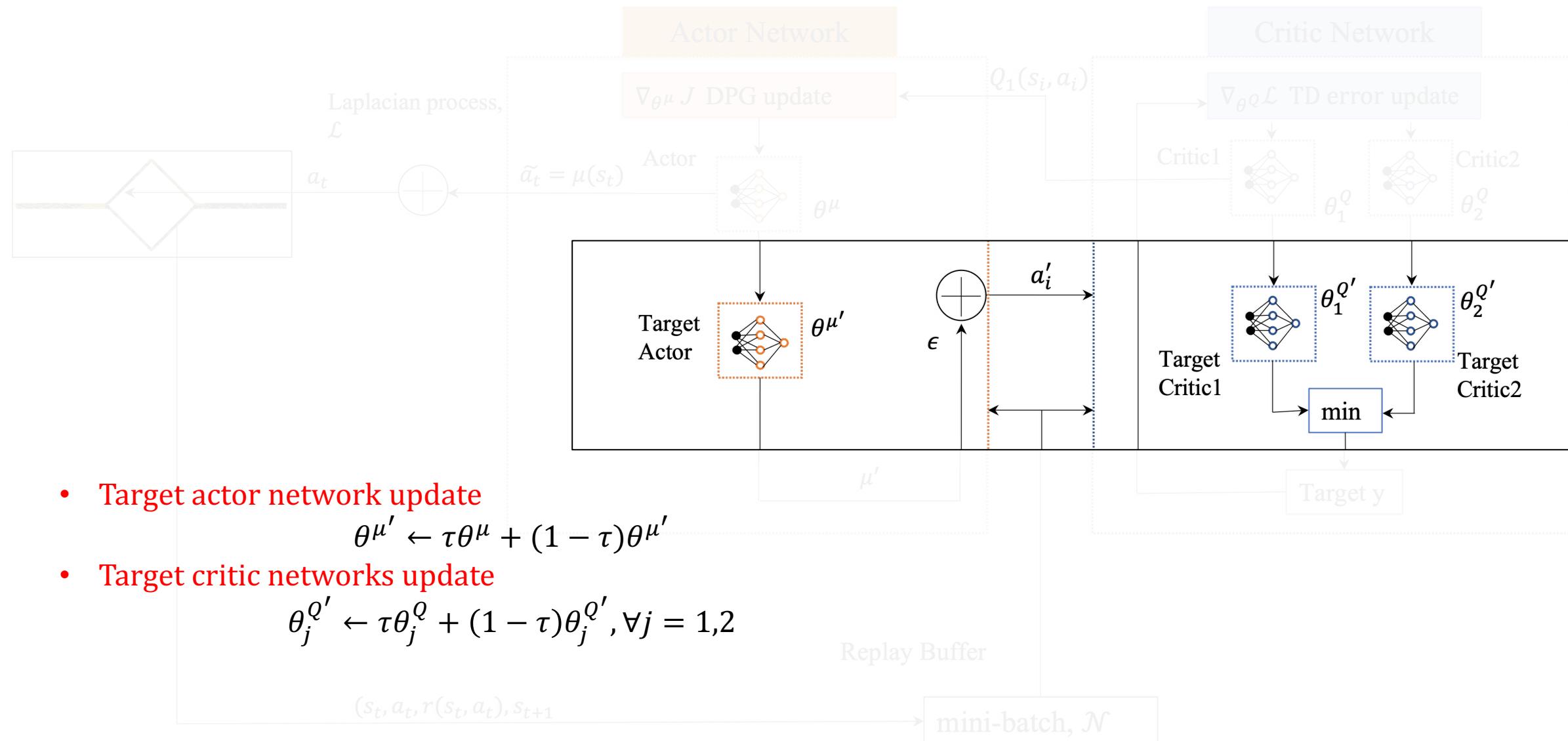
Twin-delayed deep deterministic policy gradient (TD3)



Twin-delayed deep deterministic policy gradient (TD3)



Twin-delayed deep deterministic policy gradient (TD3)



- Target actor network update

$$\theta^{\mu'} \leftarrow \tau\theta^\mu + (1 - \tau)\theta^{\mu'}$$

- Target critic networks update

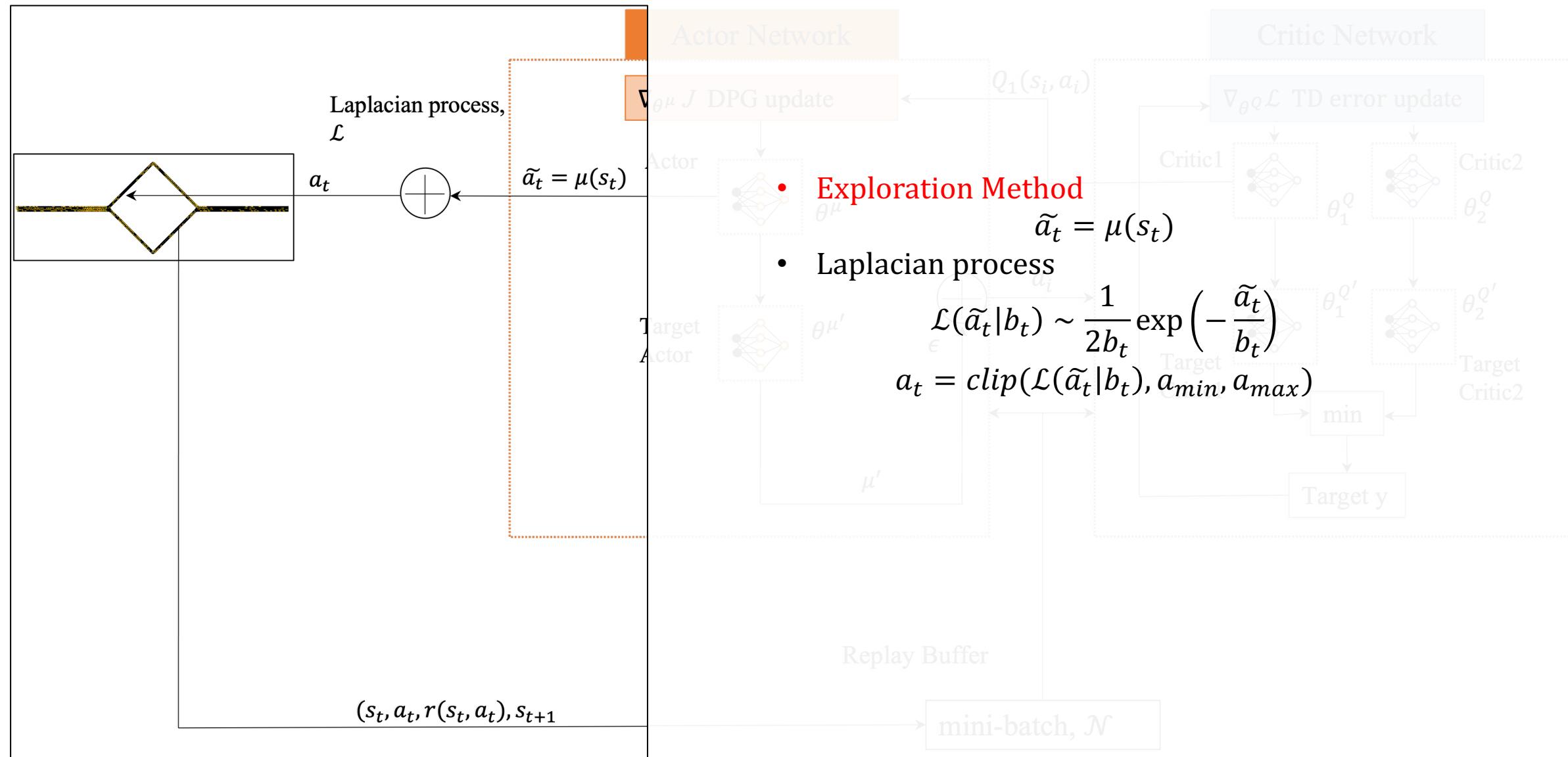
$$\theta_j^{Q'} \leftarrow \tau\theta_j^Q + (1 - \tau)\theta_j^{Q'}, \forall j = 1, 2$$

Replay Buffer

$(s_t, a_t, r(s_t, a_t), s_{t+1})$

mini-batch, \mathcal{N}

Twin-delayed deep deterministic policy gradient (TD3)



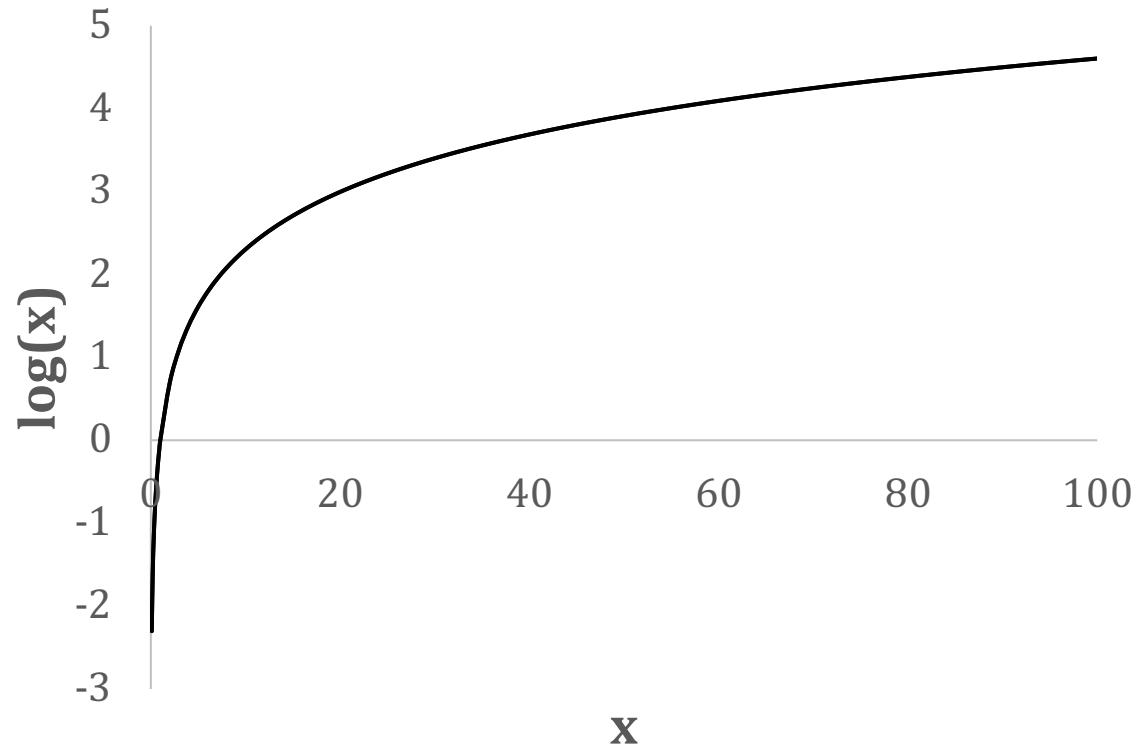
States, action, reward

- State : Occupancy
- Action: {30,35,40,45,50,55,60,65}mph
- Reward
 - Improving safety:

$$\text{Safety Measure} = \log(\text{TTC})$$

where

TTC: time-to-collision. It is defined as the estimated time it will take for two vehicles to collide if they maintain their current trajectory and speed



States, action, reward

- Reward
 - Improving mobility:

$$TTT = \sum_{a \in A} Current_{TTT_a} \times N_a$$

where

TTT : total travel time at a step

$Current_{TTT_a}$: current travel time on an edge a

N_a : number of vehicles on the edge a

- Traffic oscillation dampening:

$$\Delta NumVeh = (NumVeh_{route_1} - NumVeh_{route_2})$$

where

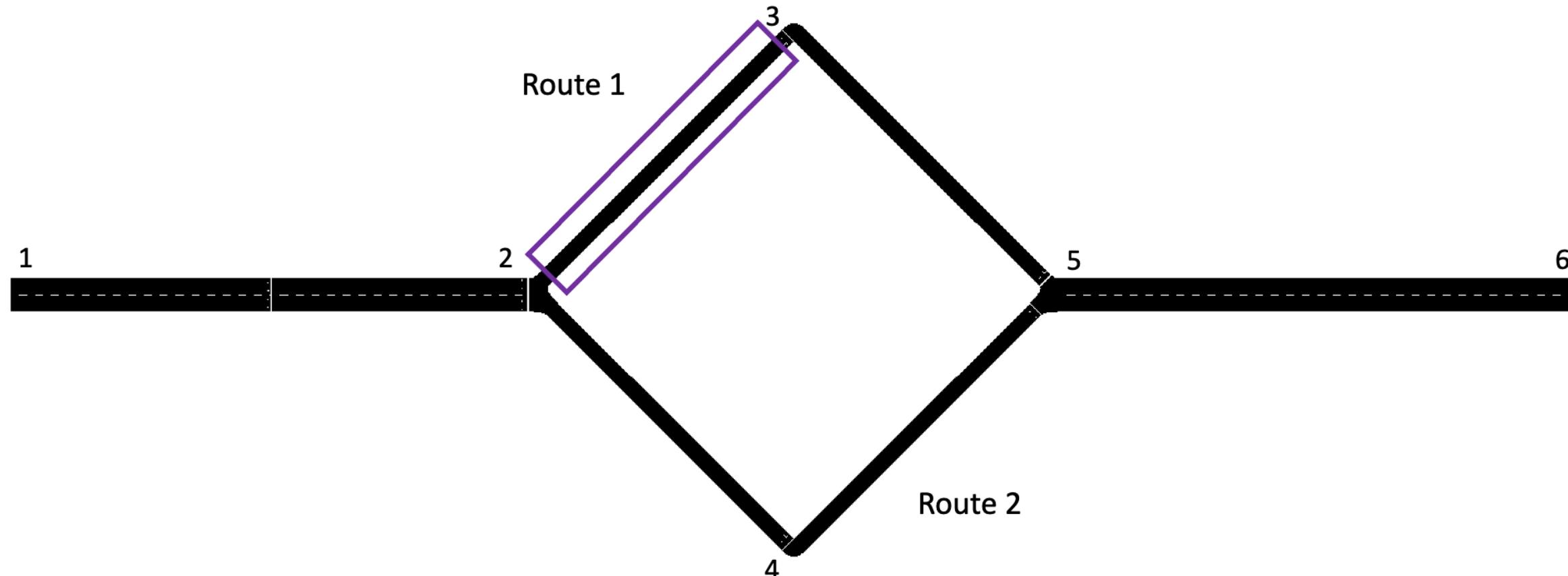
$\Delta NumVeh$: relative difference in the number of vehicles in different routes.

SUMO Configuration

.

- Simulation of Urban MObility (SUMO)
- Traffic Control Interface (TraCI)
- Rerouting of vehicles:
 - *traci.vehicle.rerouteTraveltime(vehID, currentTravelTimes = True)*
- "speedFactor" : *norm(1,0.1,.2,2)*
- "lcspeedGain": 1

Experimental Results



Comparison with other methods

Method	Network safety (units: seconds)	Network mobility (unit: seconds)
No VSLC	9.5262e+05	9.1878e+07
VSLC-DQL	8.8534e+05	1.1302e+08
VSLC-SAC	9.2121e+05	8.4903e+07
VSLC-DDPG	9.9546e+05	7.2324e+07
VSLC-TD3	9.8919e+05	6.1384e+07

Comparison with other methods

Method	Network safety (units: seconds)	Network mobility (unit: seconds)
No VSLC	9.5262e+05	9.1878e+07
VSLC-DQL	8.8534e+05	1.1302e+08
VSLC-SAC	9.2121e+05	8.4903e+07
VSLC-DDPG	9.9546e+05	4.50% higher 7.2324e+07
VSLC-TD3	9.8919e+05	6.1384e+07

Comparison with other methods

Method	Network safety (units: seconds)	Network mobility (unit: seconds)
No VSLC	9.5262e+05	9.1878e+07
VSLC-DQL	8.8534e+05	1.1302e+08
VSLC-SAC	9.2121e+05	8.4903e+07
VSLC-DDPG	9.9546e+05	7.2324e+07
VSLC-TD3	9.8919e+05	6.1384e+07 <small>3.84% higher</small>

Comparison with other methods

Method	Network safety (units: seconds)	Network mobility (unit: seconds)
No VSLC	9.5262e+05	9.1878e+07
VSLC-DQL	8.8534e+05	1.1302e+08
VSLC-SAC	9.2121e+05	8.4903e+07
VSLC-DDPG	9.9546e+05	7.2324e+07
VSLC-TD3	9.8919e+05	6.1384e+07 33.19% lower

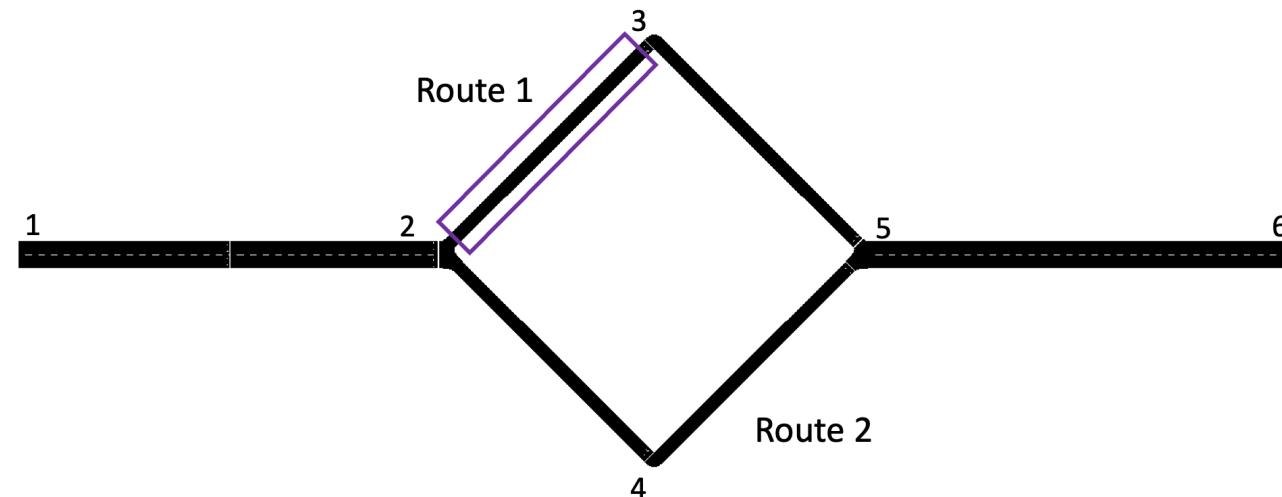
Comparison with other methods

Method	Network safety (units: seconds)	Network mobility (unit: seconds)
No VSLC	9.5262e+05	9.1878e+07
VSLC-DQL	8.8534e+05	1.1302e+08
VSLC-SAC	9.2121e+05	8.4903e+07
VSLC-DDPG	9.9546e+05	7.2324e+07
VSLC-TD3	9.8919e+05	6.1384e+07

Assessment of Different Traffic Control Strategies

Different traffic control strategies

- No VSL
- Traffic engineering practice
- VSLC implementation to improve network mobility
- VSLC implementation to improve network safety



Routing: No VSL control

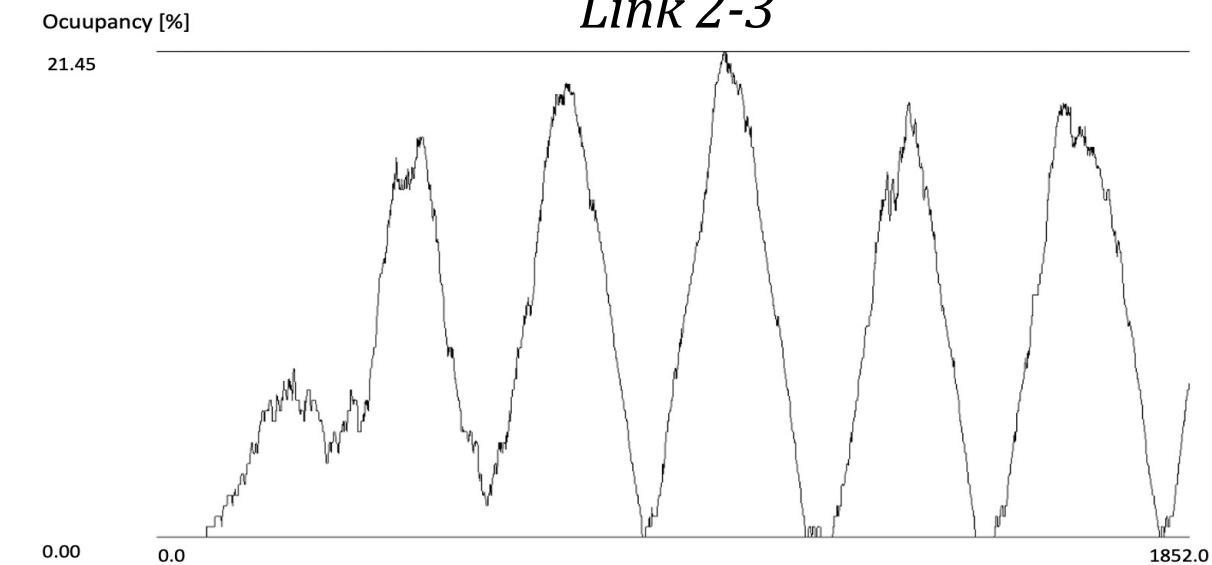
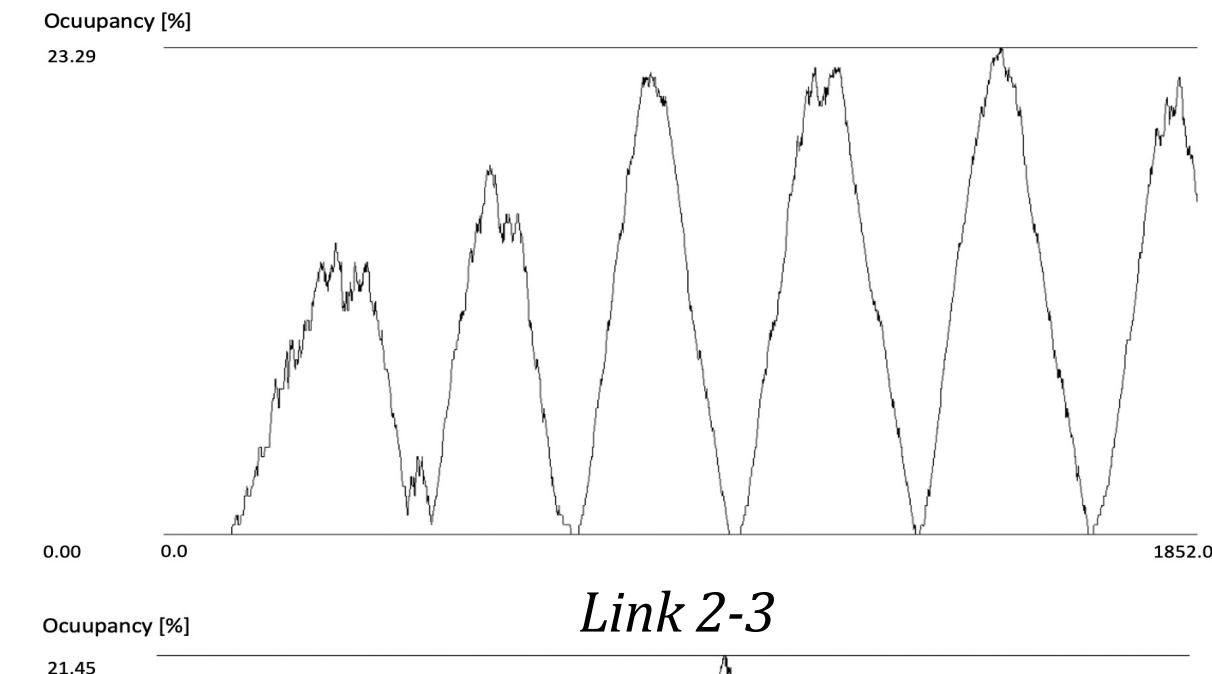
•



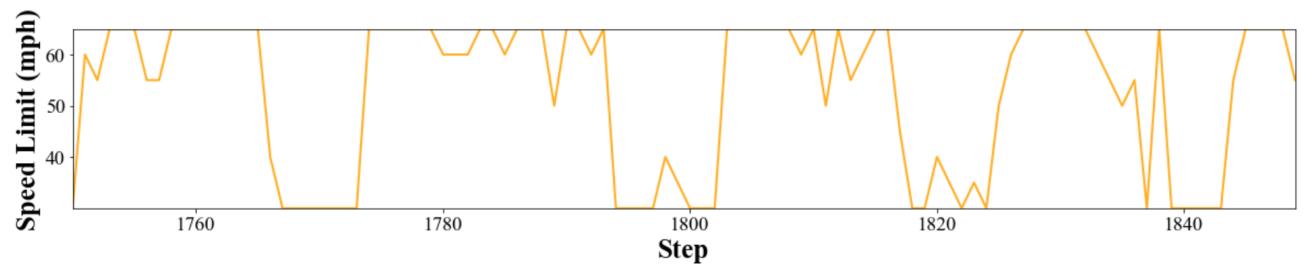
Routing

49.41 %

50.59%



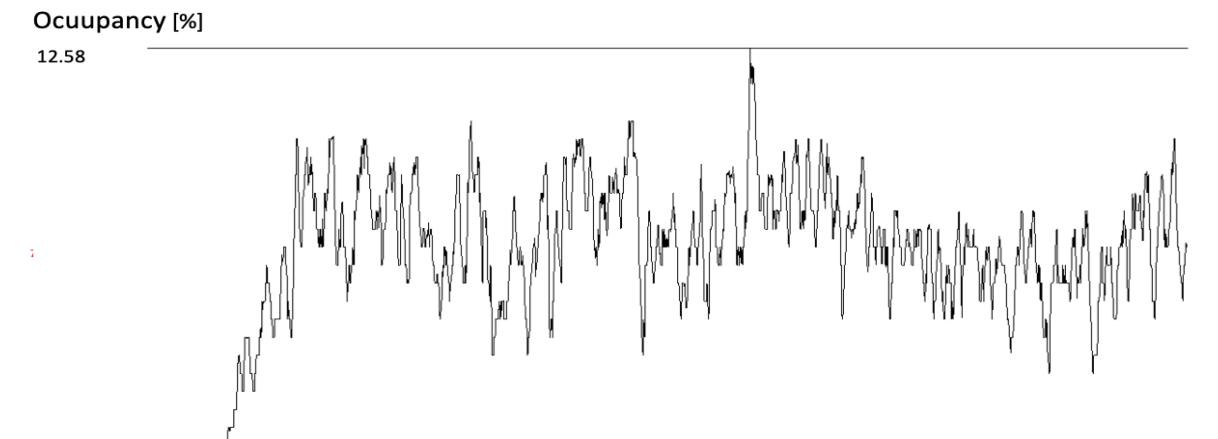
Routing: Traffic engineering practice



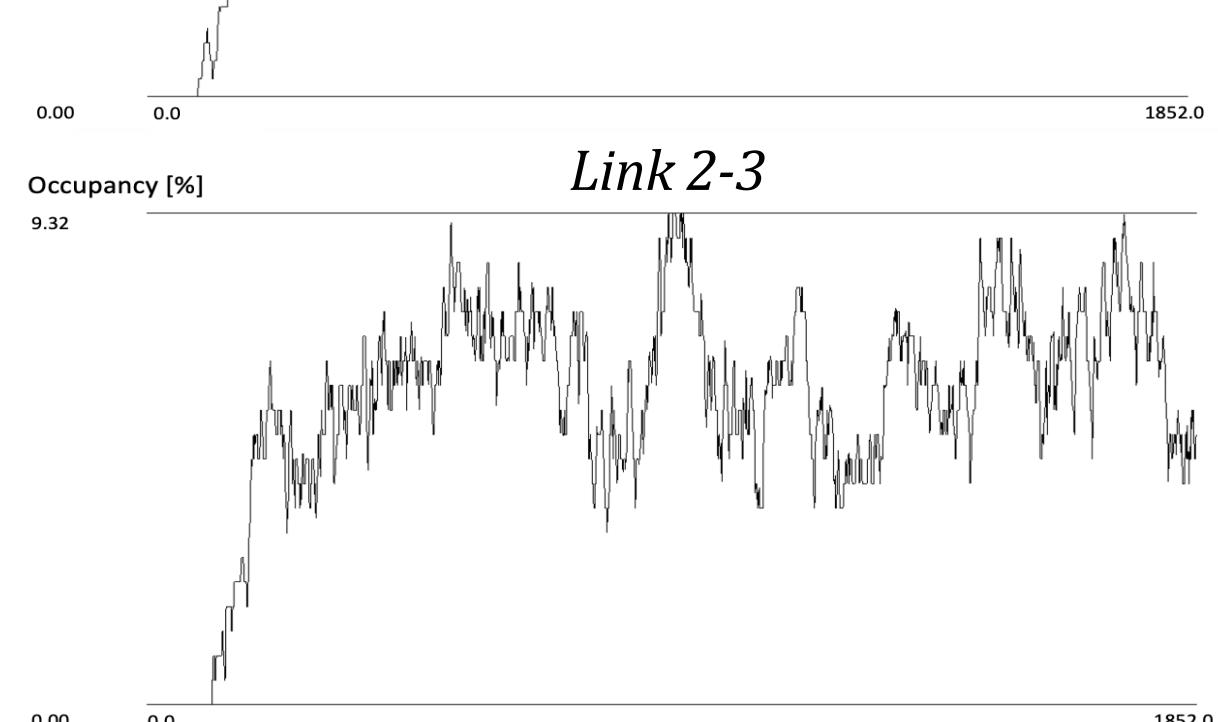
Routing

50.32%

49.68%

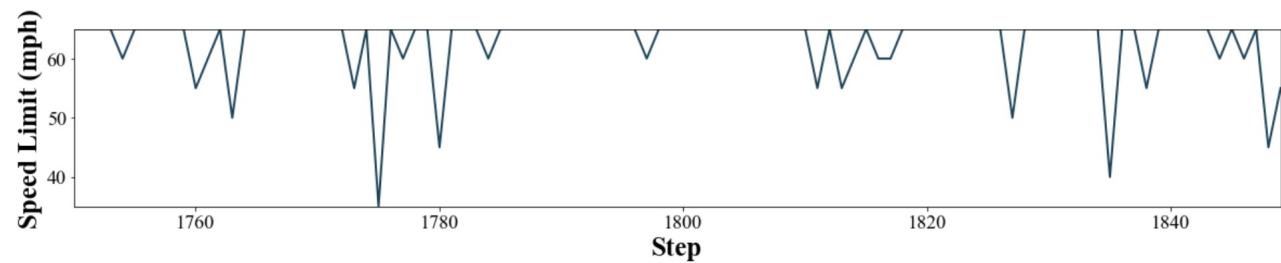


Link 2-3



Link 2-4

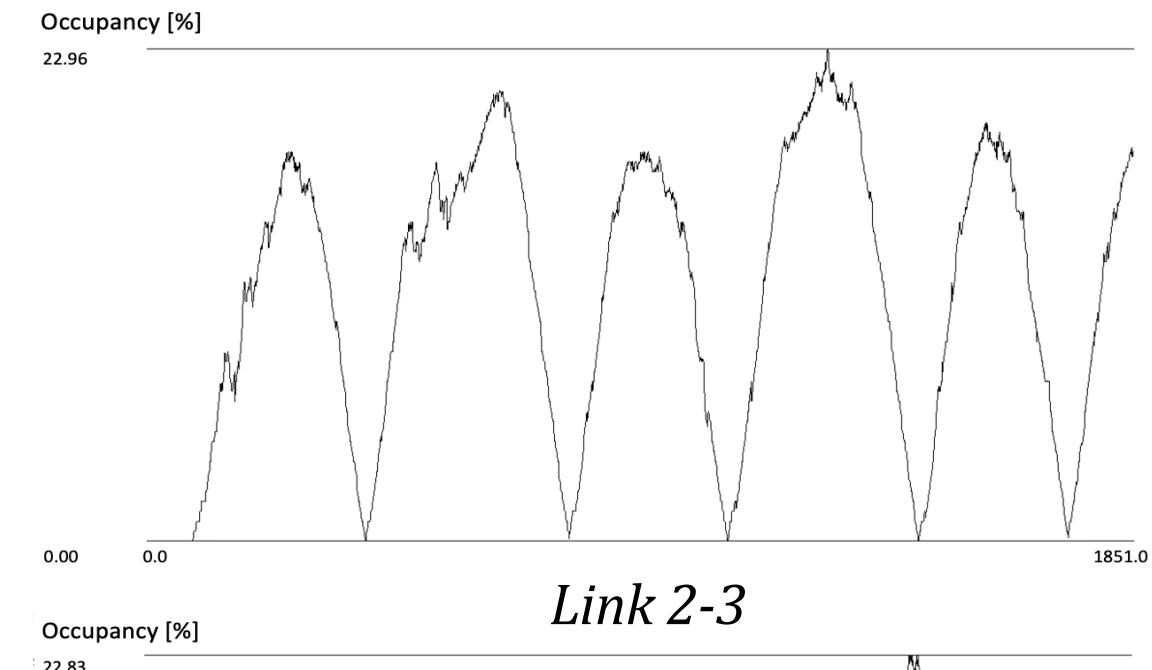
Routing: VSLC implementation (mobility)



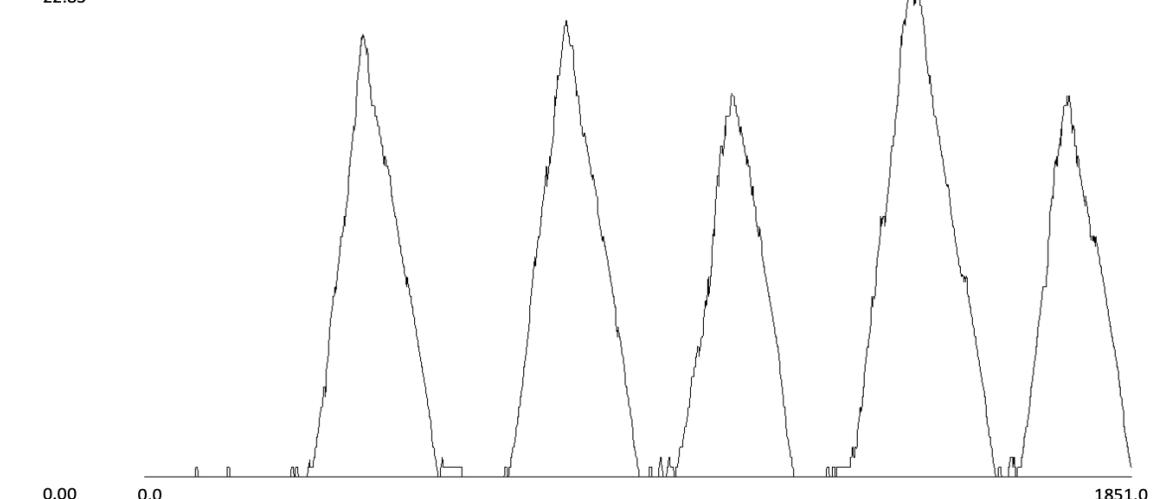
Routing

64.35%

35.65%

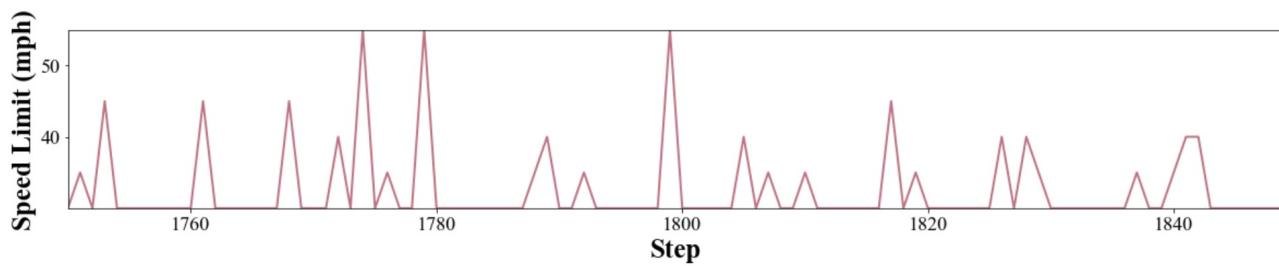


Link 2-3



Link 2-4

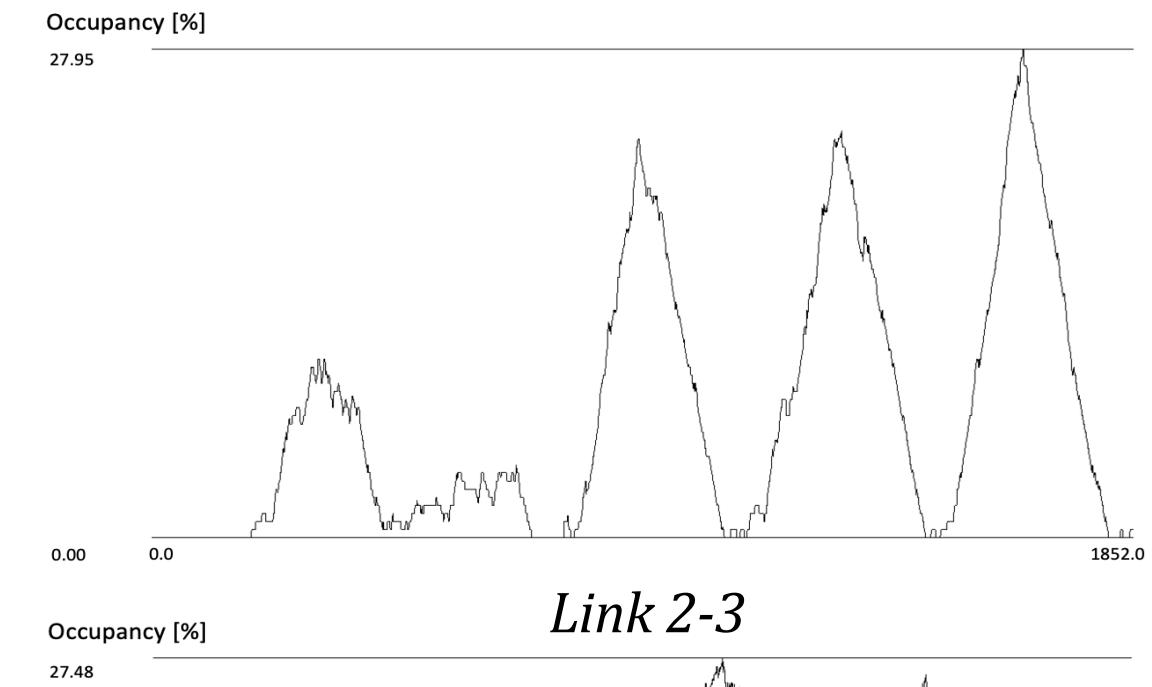
Routing: VSLC implementation (safety)



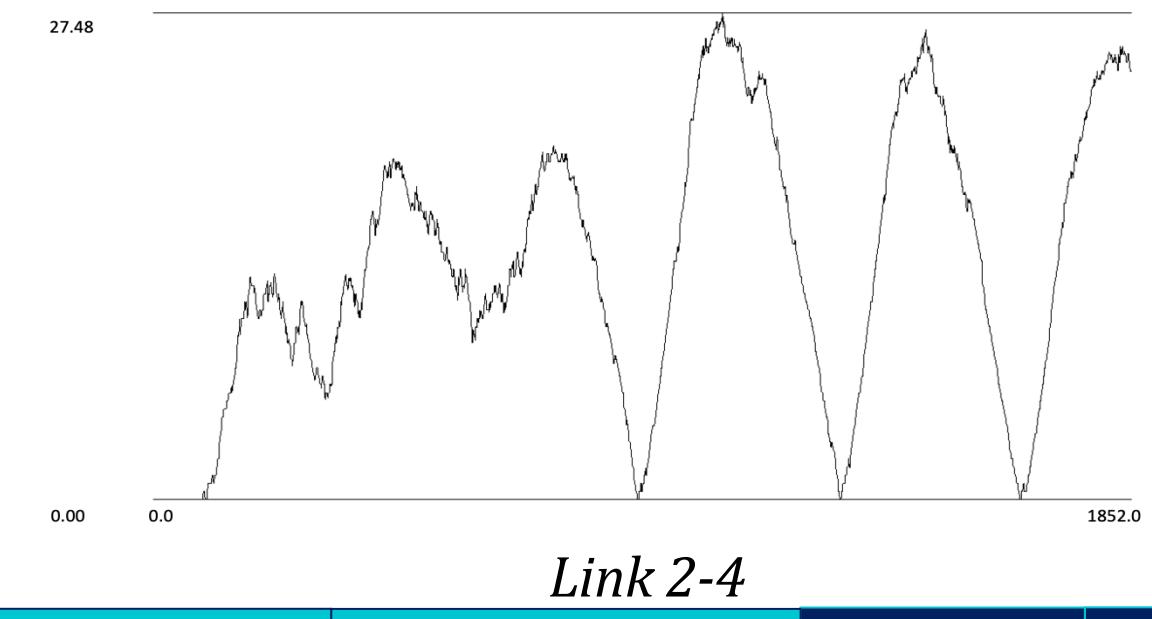
Routing

26.38%

73.62%



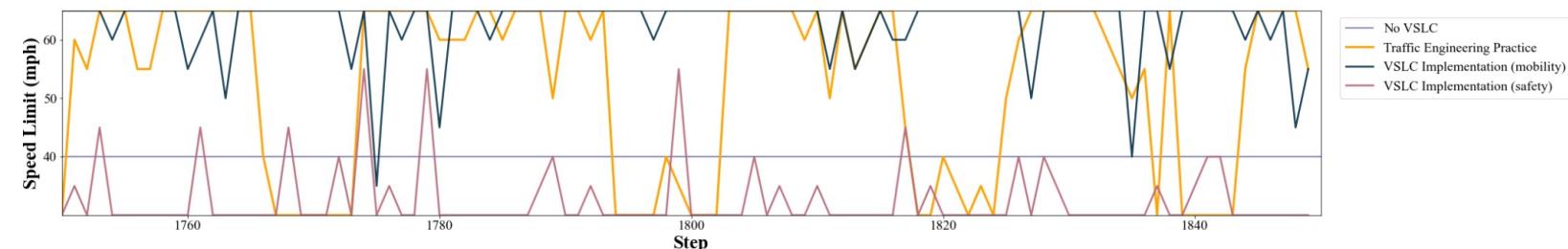
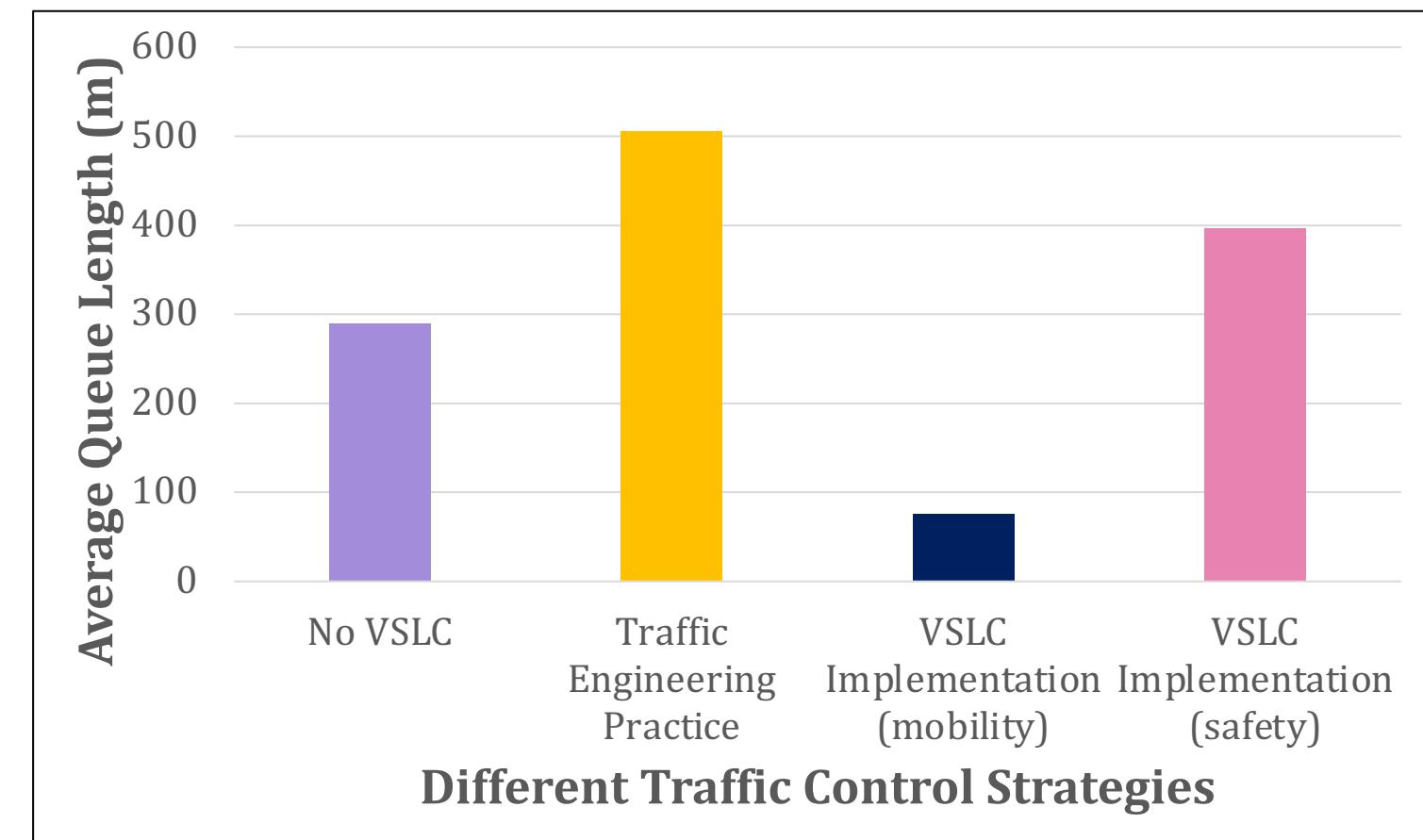
Link 2-3



Link 2-4

Network Mobility

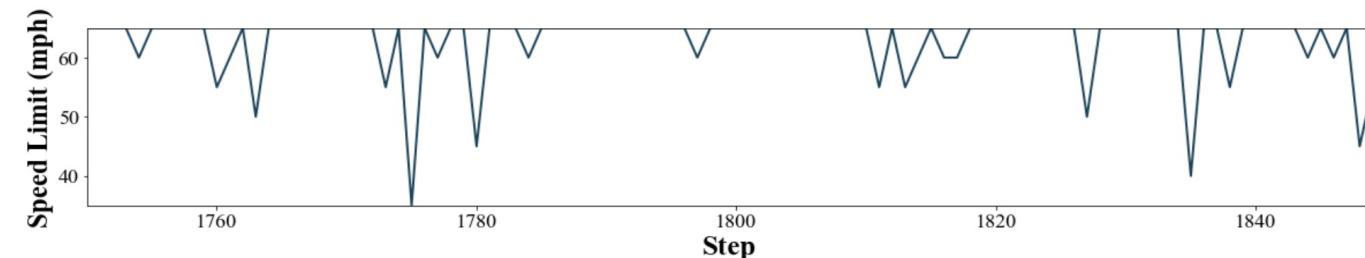
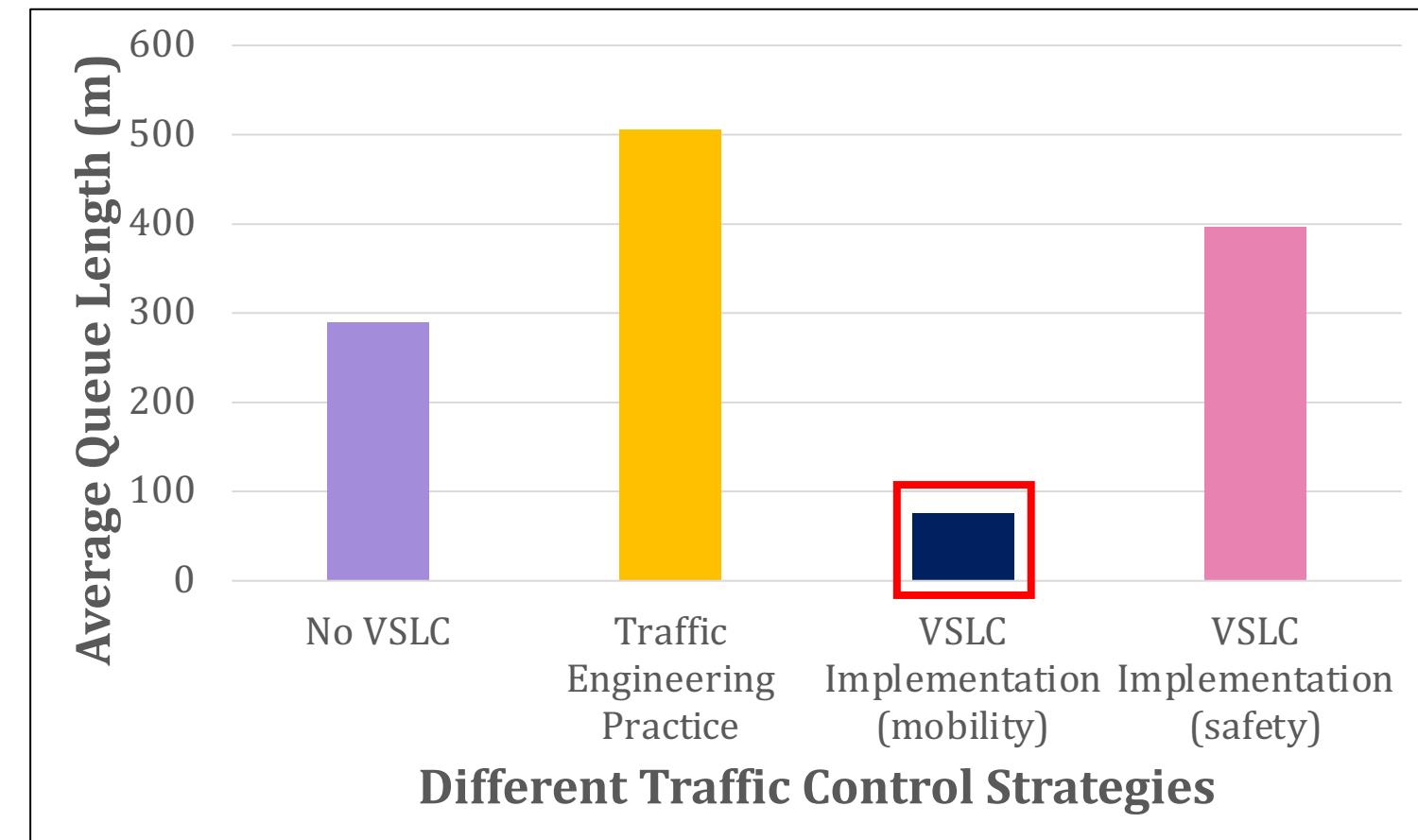
	Total travel time (sec)
No VSL	9.188e+07
Traffic engineering practice	1.534e+08
VSLC implementation (mobility)	6.576e+07
VSLC implementation (safety)	1.349e+08



Network Mobility

	Total travel time (sec)
No VSL	9.188e+07
Traffic engineering practice	1.534e+08
VSLC implementation (mobility)	6.576e+07
VSLC implementation (safety)	1.349e+08

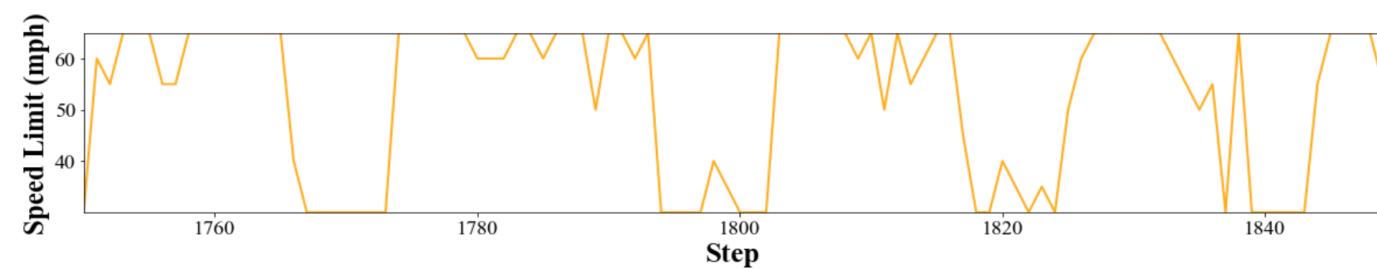
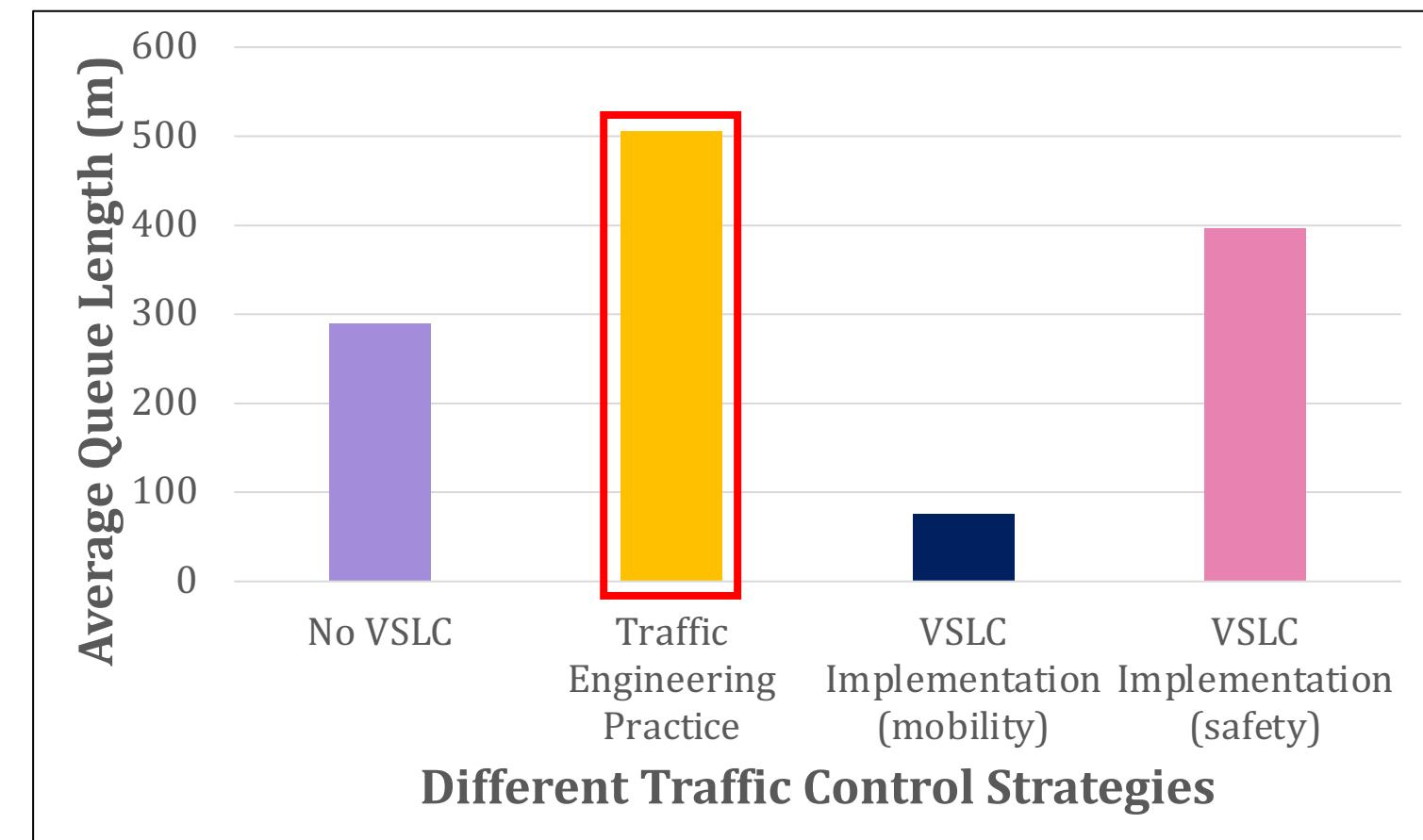
28.43% lower



Network Mobility

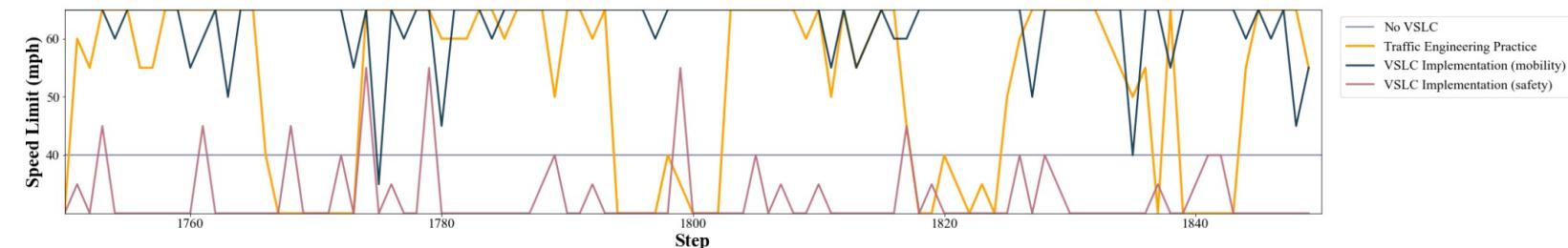
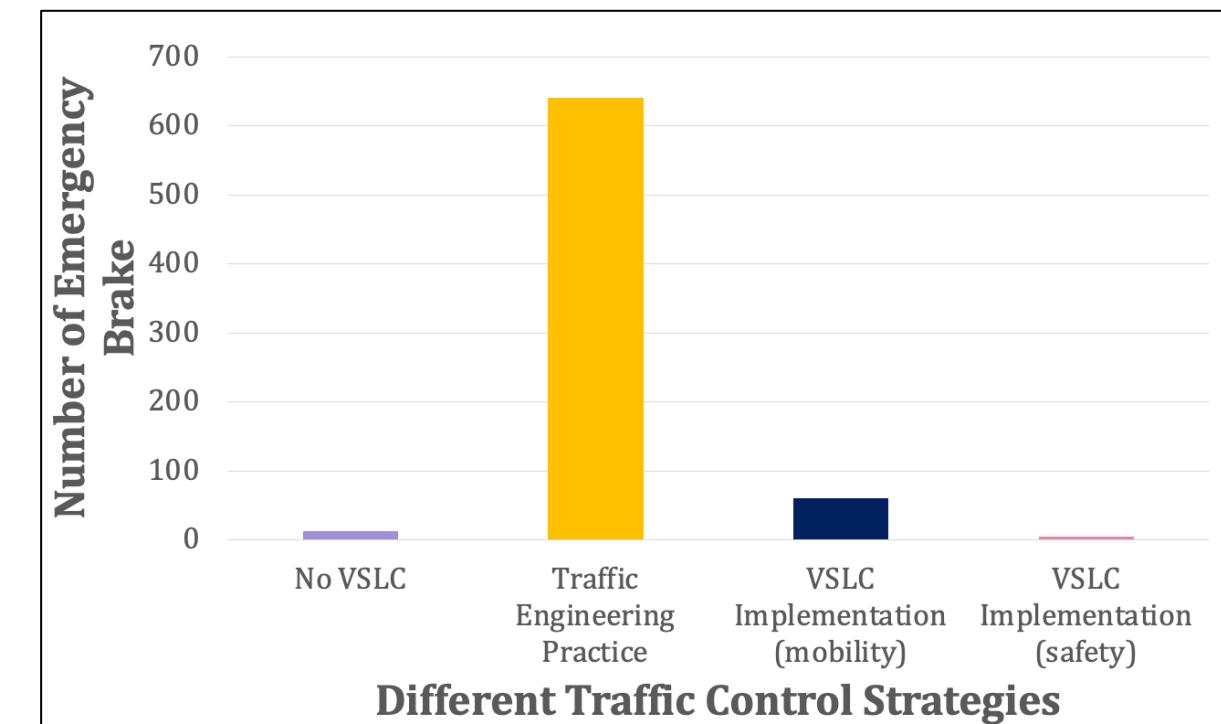
	Total travel time (sec)
No VSL	9.188e+07
Traffic engineering practice	1.534e+08
VSLC implementation (mobility)	6.576e+07
VSLC implementation (safety)	1.349e+08

67% higher



Network Safety

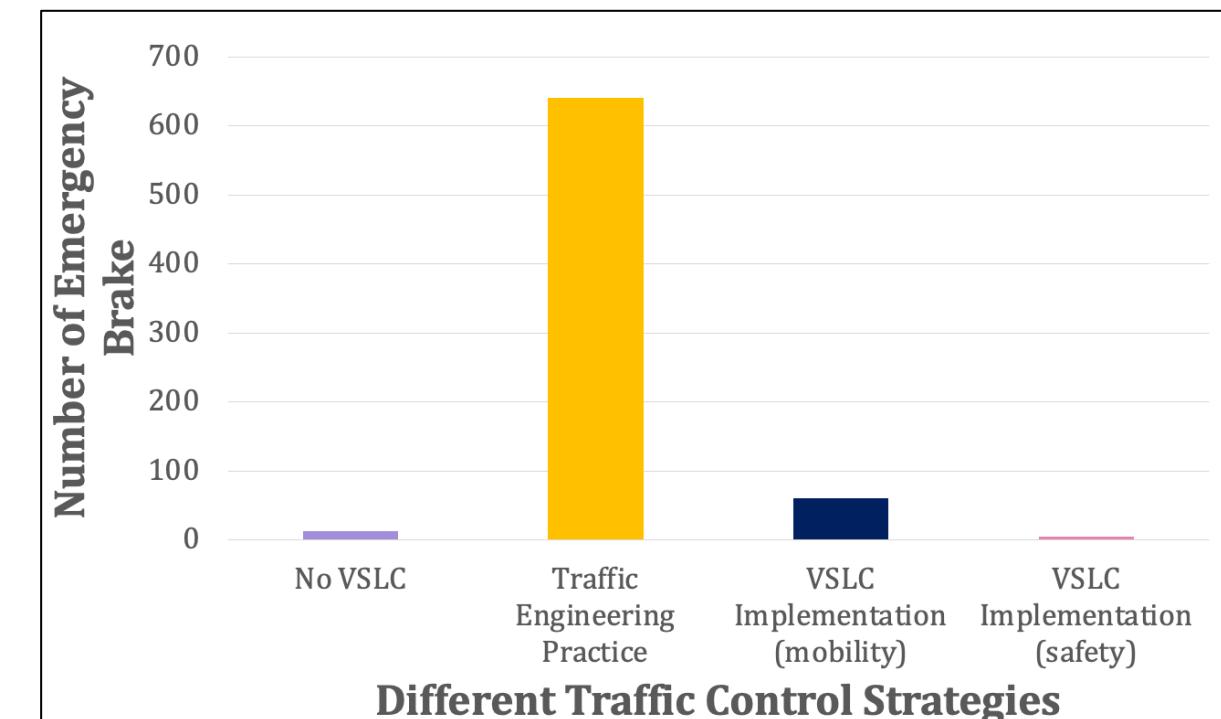
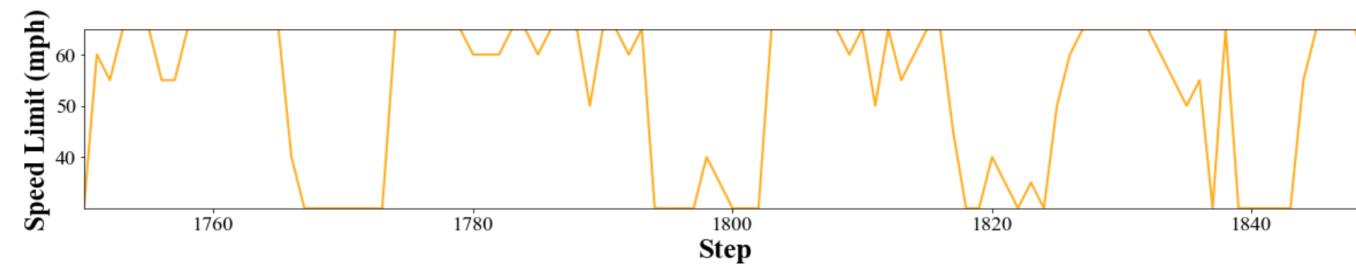
	Lane change frequency (%)	$\sum \log(TTC)$	$\log(TTC)$ due to lane change
No VSL	13.97	9.526e+05	6.27e+04
Traffic engineering practice	26.66	1.005e+06	9.81e+04
VSLC implementation (mobility)	10.13	9.149e+05	5.36e+04
VSLC implementation (safety)	14.25	1.002e+06	6.32e+04



Network Safety

	Lane change frequency (%)	$\sum \log(TTC)$	$\log(TTC)$ due to lane change
No VSL	13.97	9.526e+05	6.27e+04
Traffic engineering practice	26.66	1.005e+06	9.81e+04
VSLC implementation (mobility)	10.13	9.149e+05	5.36e+04
VSLC implementation (safety)	14.25	1.002e+06	6.32e+04

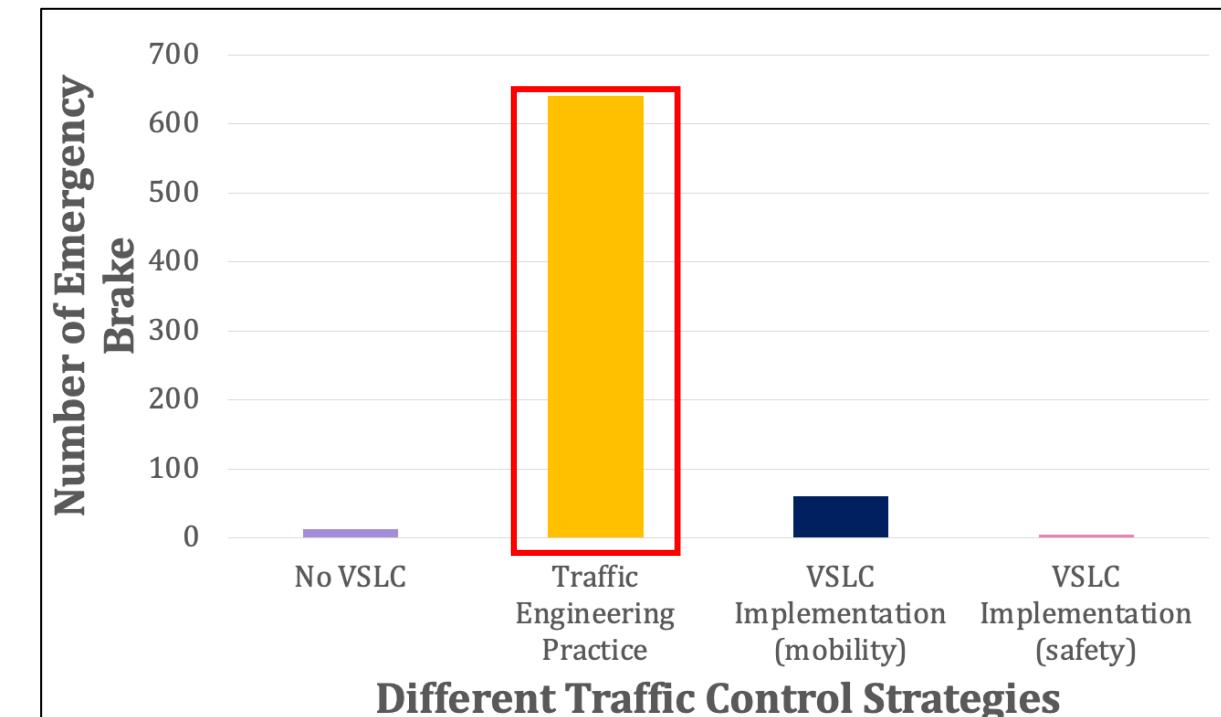
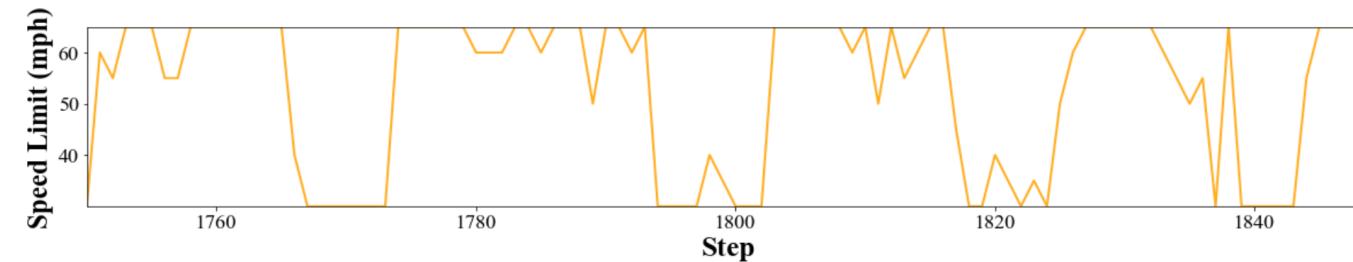
5.5% higher



Network Safety

	Lane change frequency (%)	$\sum \log(TTC)$	$\log(TTC)$ due to lane change
No VSL	13.97	9.526e+05	6.27e+04
Traffic engineering practice	26.66	1.005e+06	9.81e+04
VSLC implementation (mobility)	10.13	9.149e+05	5.36e+04
VSLC implementation (safety)	14.25	1.002e+06	6.32e+04

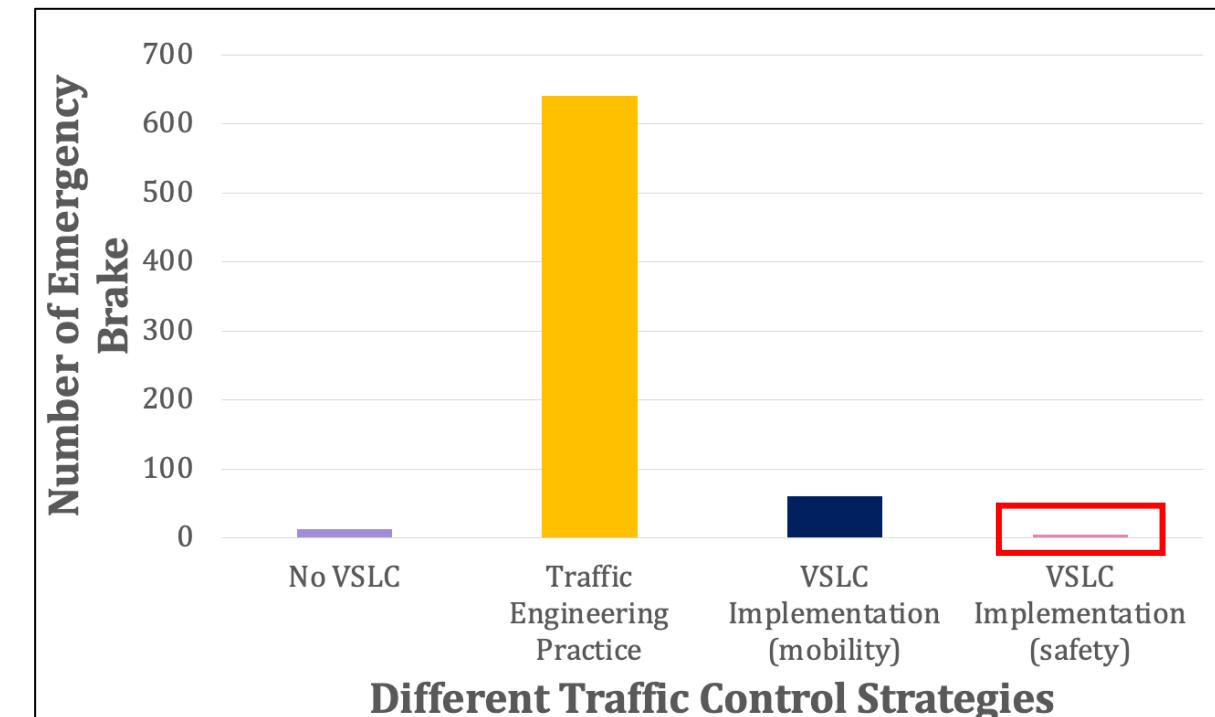
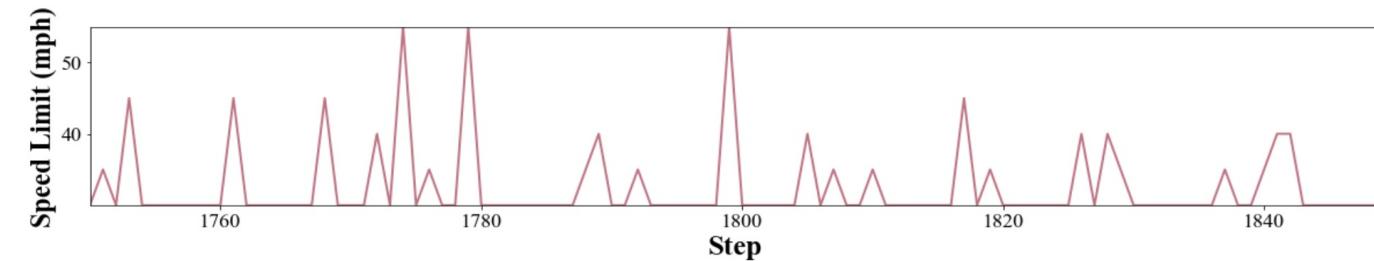
5.5% higher



Network Safety

	Lane change frequency (%)	$\sum \log(TTC)$	$\log(TTC)$ due to lane change
No VSL	13.97	9.526e+05	6.27e+04
Traffic engineering practice	26.66	1.005e+06	9.81e+04
VSLC implementation (mobility)	10.13	9.149e+05	5.36e+04
VSLC implementation (safety)	14.25	1.002e+06	6.32e+04

5.18% higher



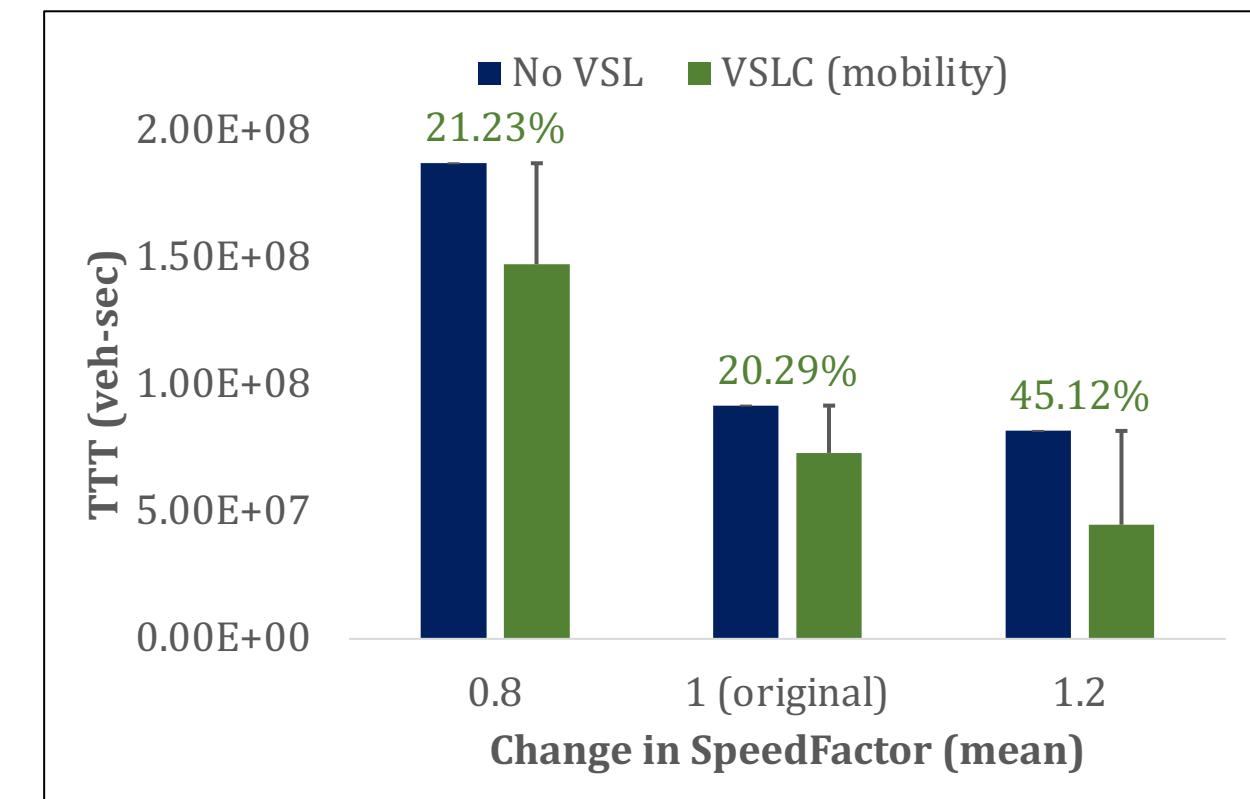
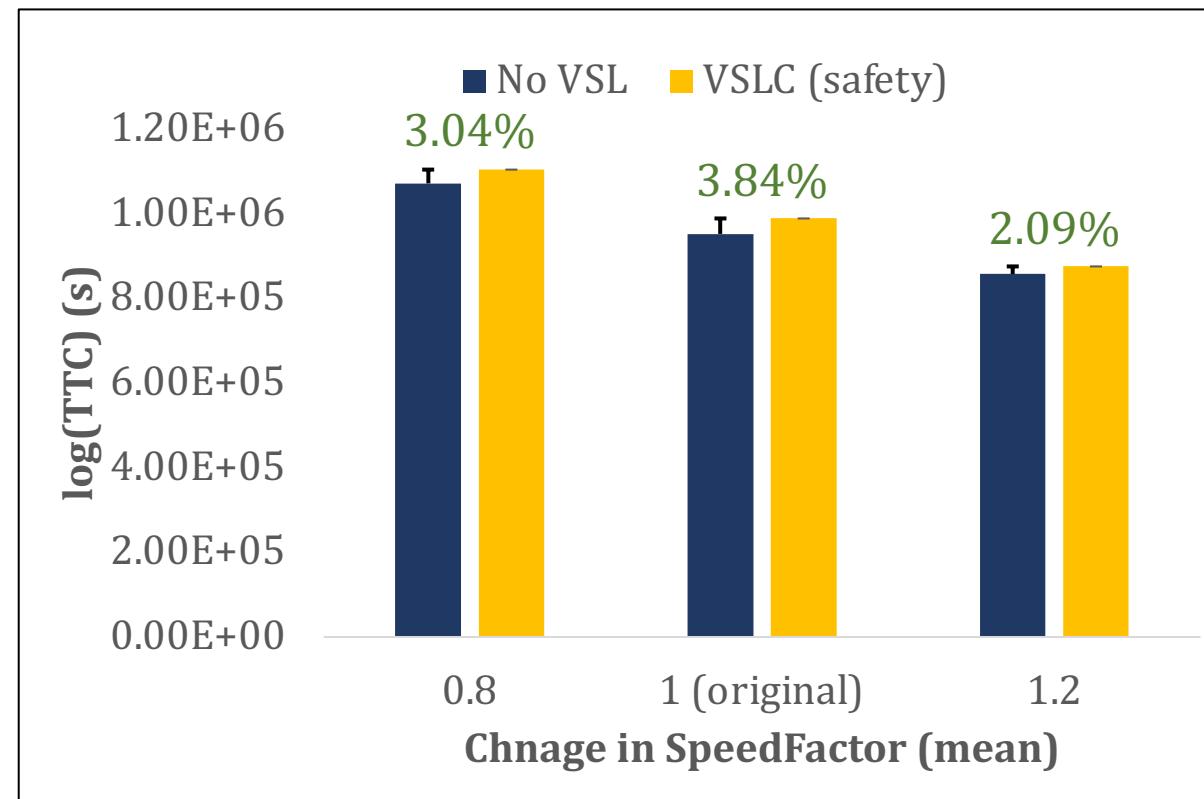
Assessment of Generalization of the Agent

Traffic & driving behavior attributes:

- O-D Demand
- “lcspeedGain”
- Mean values of “speedFactor”
- Deviation values of “speedFactor”

SpeedFactor

■ Mean



Sensitivity of VSLC placement in the Network

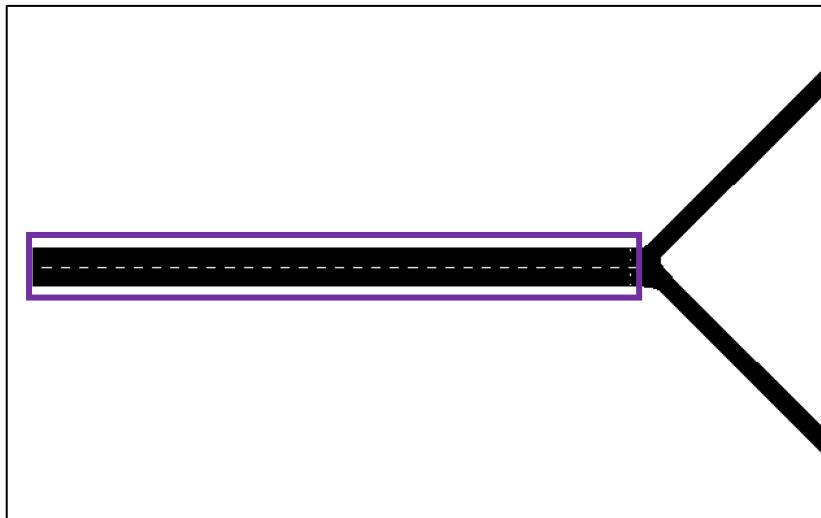


Figure: (a) One VSL in the Link 1-2

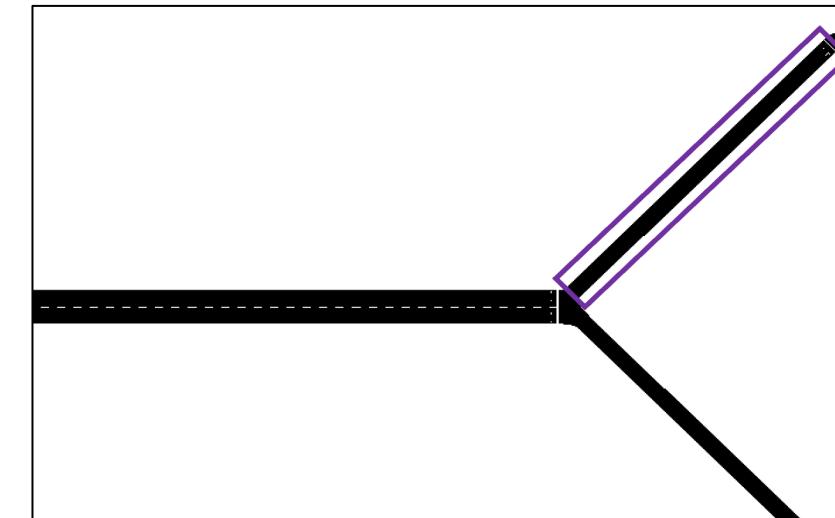


Figure: (b) One VSL in the Link 2-3

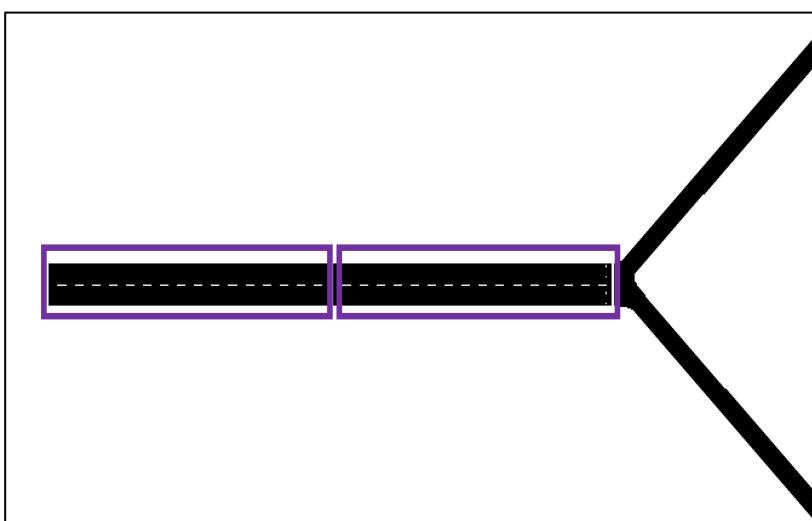


Figure: (c) Two VSLs in the Link 1-2

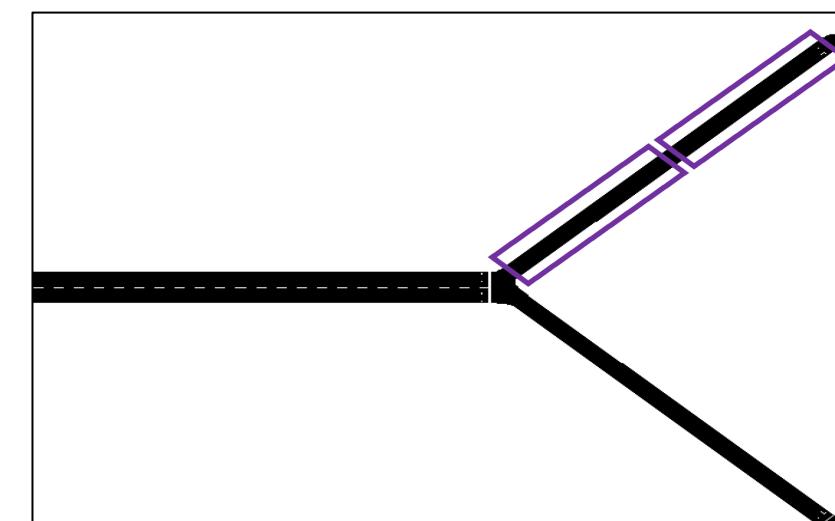
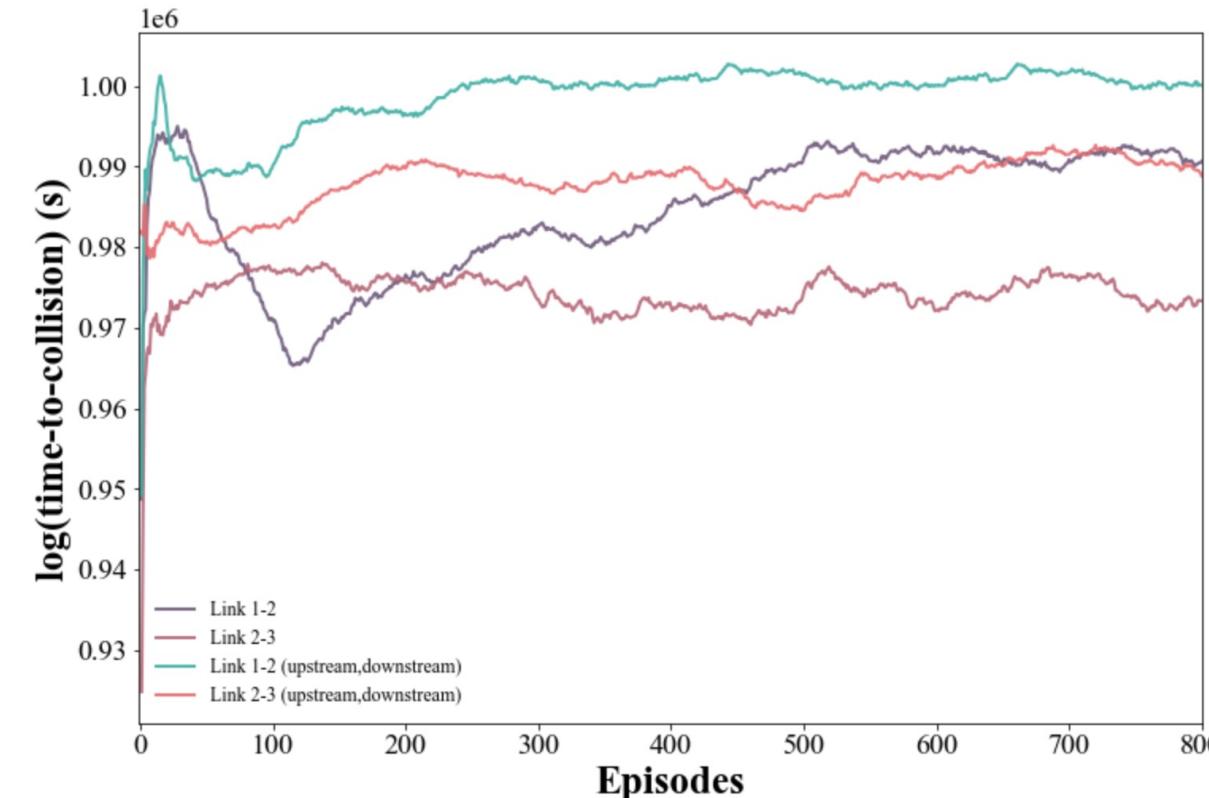


Figure: (c) Two VSLs in the Link 2-3

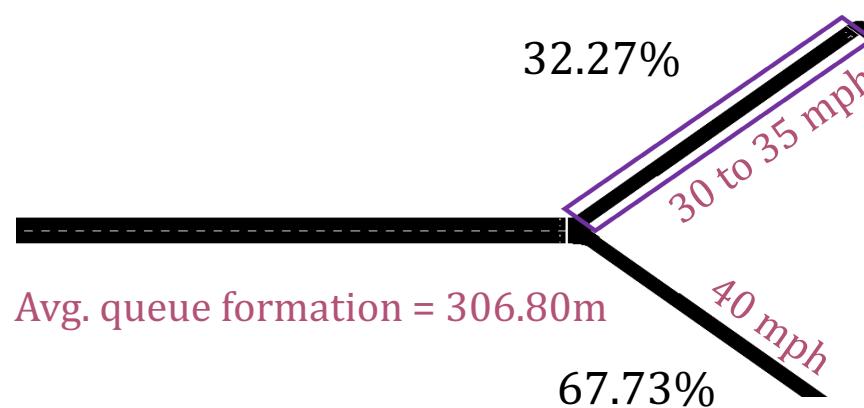
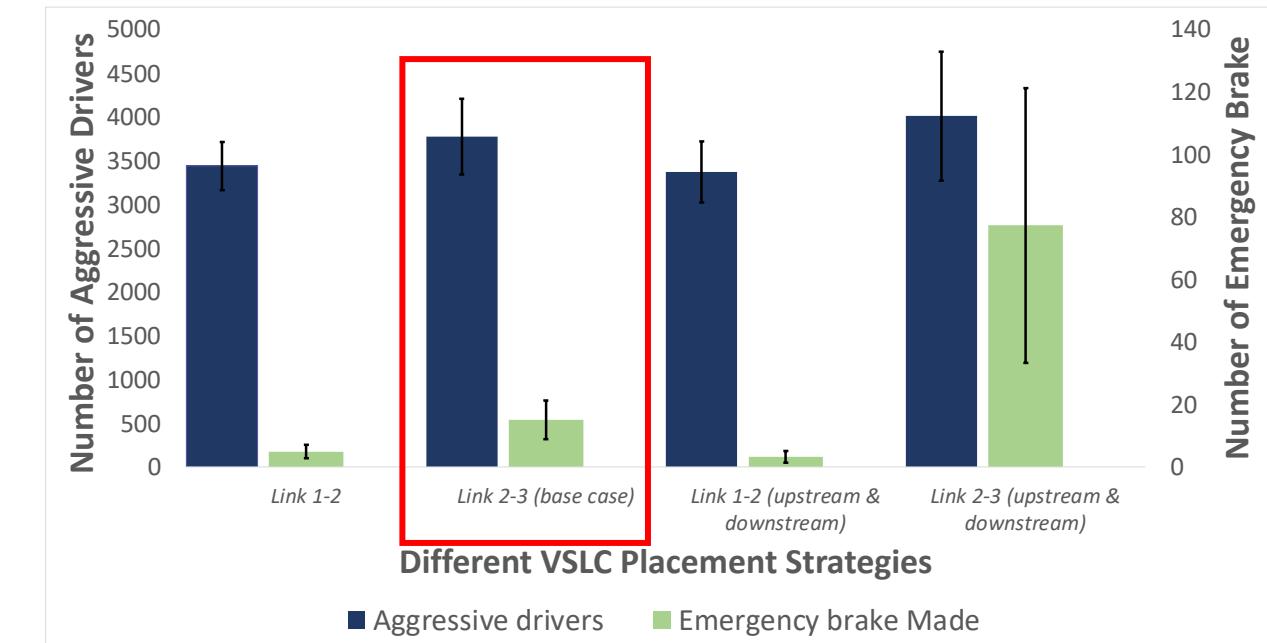
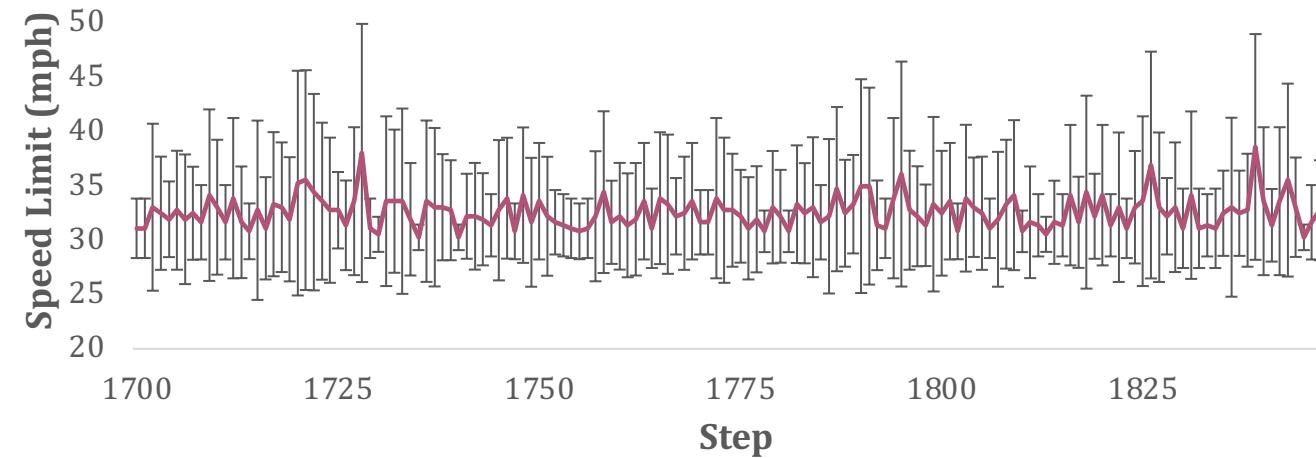
VSLC Placement for Safety Improvement



	Routing		Lane change frequency (%)	Network mobility		Network safety	
	Route 1 (%)	Route 2 (%)		Total Travel Time of all vehicles (sec)	Delay due to Lane change (sec)	$\sum \log(TTC)$	$\log(TTC)$ due to Lane change
Link 1-2	50.34 (2.97)	49.66 (2.97)	16.22 (2.43)	1.220e+08 (1.651e+07)	3.193e+05 (7.9723e+04)	9.936e+05 (2.1186e+04)	7.065e+04 (5.875e+03)
Link 2-3	32.27 (7.17)	67.73 (7.17)	13.20 (2.51)	8.778e+07 (1.7735e+07)	2.626e+05 (8.4913e+04)	9.799e+05 (1.5826e+04)	6.696e+04 (5.225e+03)
Link 1-2 (upstream, downstream)	49.77 (2.77)	50.23 (2.77)	16.92 (3.31)	9.774e+07 (1.6262e+07)	3.182e+05 (1.0164e+05)	9.952e+05 (2.5877e+04)	6.709e+04 (7.341e+03)
Link 2-3 (upstream, downstream)	43.99 (5.84)	56.01 (5.84)	19.26 (6.71)	1.228e+08 (3.2419e+07)	3.585e+05 (1.6093e+05)	9.891e+05 (3.3130e+04)	8.455e+04 (1.8834e+04)

VSLC Placement for Safety Improvement

- Base case

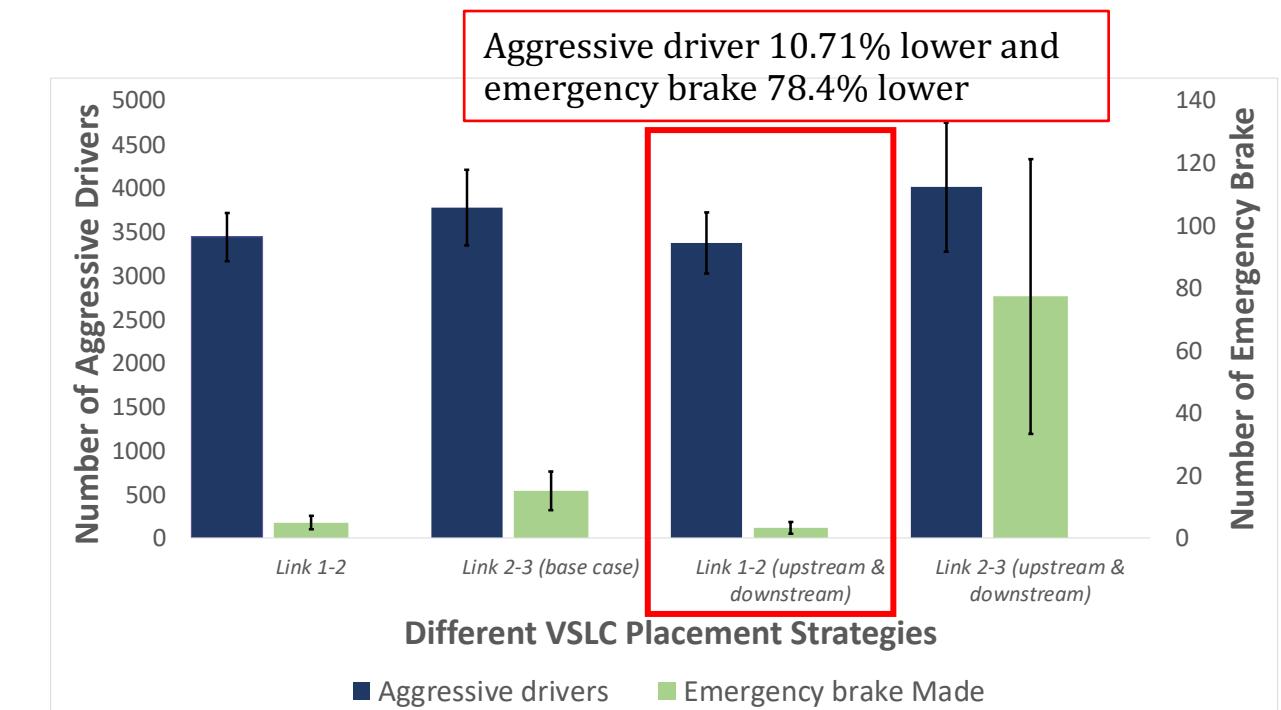
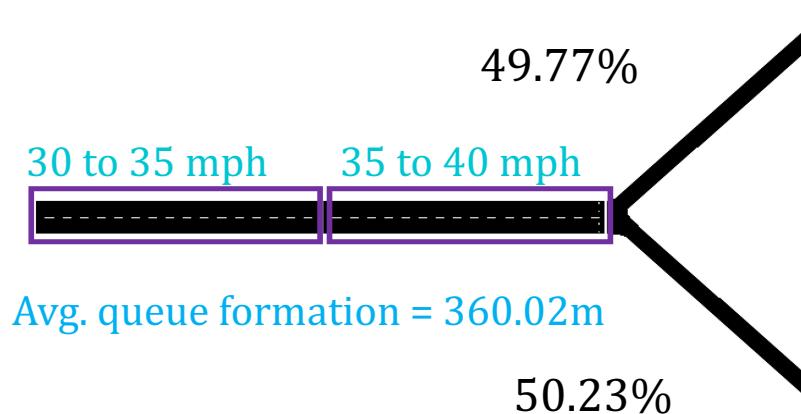
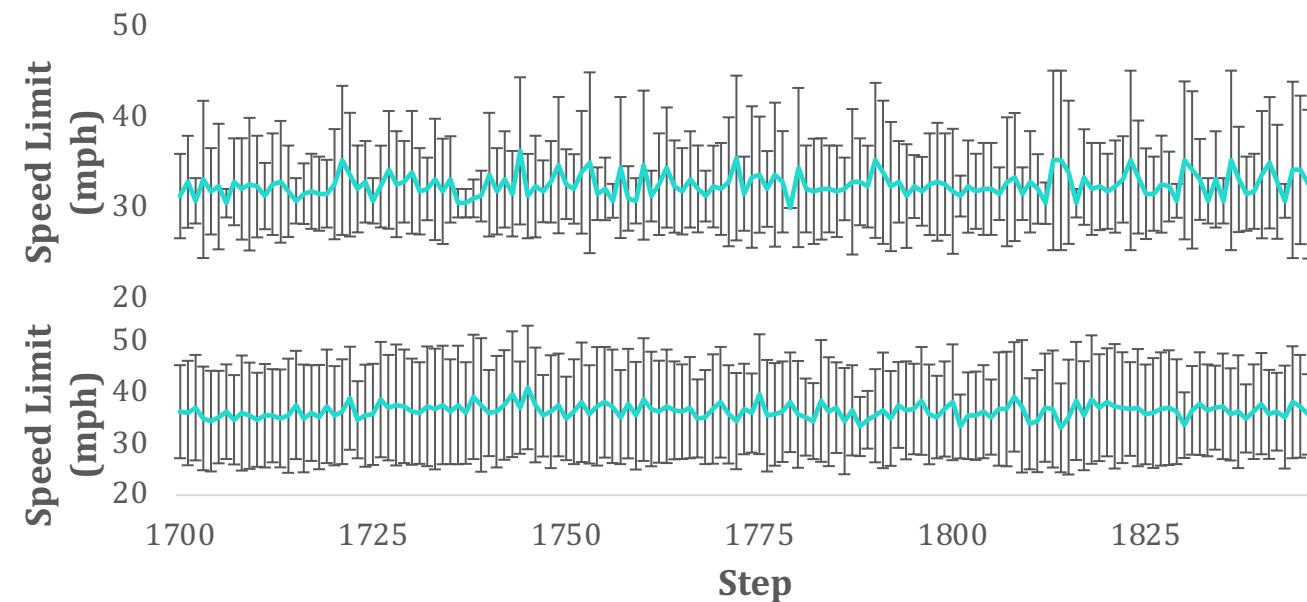


	Lane change frequency (%)	$\log(TTC)$ due to lane change	$\sum \log(TTC)$
Link 2-3 (base case)	13.20	6.696e+04	9.799e+05

Avg. value shown for queue, routing & the Table

VSLC Placement for Safety Improvement

- VSLC in the upstream & downstream of Link 1-2**



	Lane change frequency (%)	$\log(TTC)$ due to lane change	$\sum \log(TTC)$	
Link 2-3 (base case)	13.20	6.696e+04	9.799e+05	
Link 1-2 (upstream & downstream)	16.92	6.709e+04	9.952e+05	1.56%

Avg. value shown for queue, routing & the Table

Discussion

- We proposed a DRL model for optimal control of VSLCs to improve network mobility and safety considering **rerouting behavior of vehicles**.
- We demonstrated the **generalizability** of the control algorithm across different traffic and driving behavior attributes as well as the performance of the proposed model compared with state-of-the-art DRL models.
- We assessed the efficacy of various **traffic control algorithms** and analyze the **spatial distribution** of VSLC implementations, with a focus on improving overall network mobility and safety.
- Future studies: Implementation on a large-scale network for traffic mobility and safety improvement

Chapter 4: Spatial pricing of ride-sourcing services in a congested transportation network

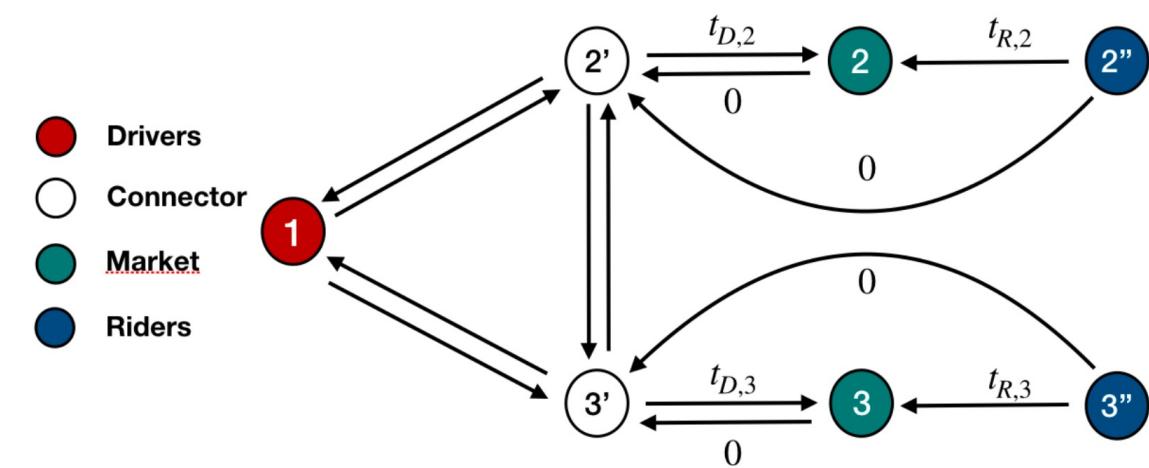
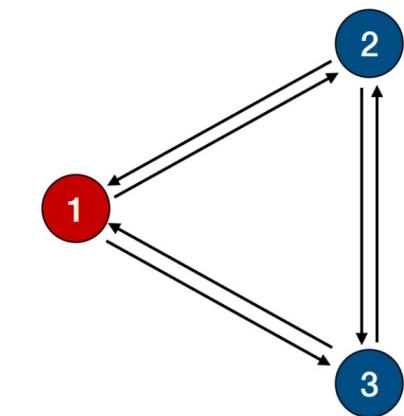
Afifah, F., & Guo, Z. (2022). Spatial pricing of ride-sourcing services in a congested transportation network. *Transportation Research Part C: Emerging Technologies*, 142, 103777.

Problem Statement

- In ride-sourcing services, **transportation network companies (TNCs)** provides **pricing information** to riders and drivers.

▪ Motivation

- The impact of pricing information by TNC on relocation of drivers, riders' mode choice and traffic congestion has not been fully explored.



Problem Statement

.

▪ Research Gap

- **Research on the potential value of dynamic pricing** [31,32,33]. Most of these studies focus on temporal aspects and do not consider traffic equilibrium and transportation congestion.

▪ Research on congestion effect.

- Vignon et al. [34]: The impact of both solo and pooling ride-sourcing services on traffic congestion. Nevertheless, it does not address the impact of **spatial/dynamic pricing** on **congested network conditions**.
- Li et al. [35]: The optimal spatial pricing to maximize profits under different congestion pricing policies. However, the model is **non-convex** and the **global optimal** is not guaranteed.

▪ Objective of the study

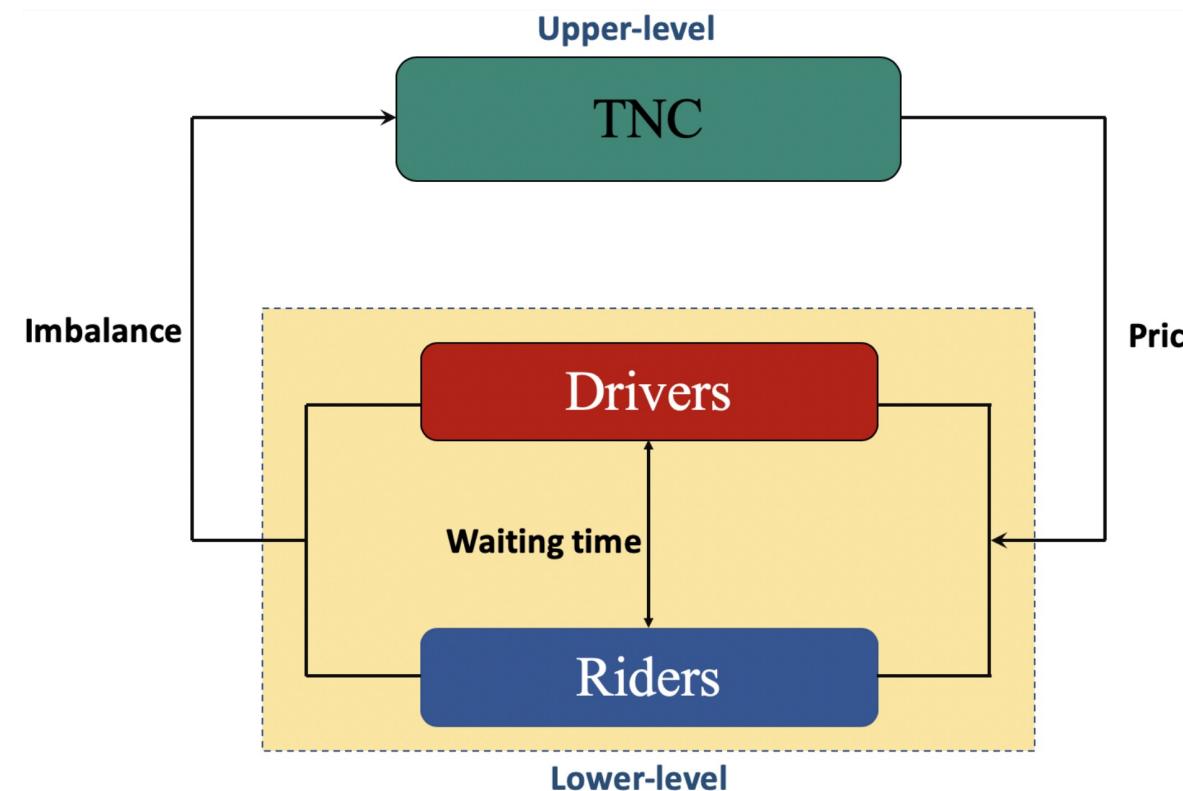
- Develop a **modeling framework** that captures the ride-sourcing behaviors more **accurately** to systematic analyze the impacts of spatial pricing on transportation efficiency.
- Develop a **scalable solution approach** to address the **computational challenges** posed by the bi-level nature of the problem.

Key Assumptions

- Only one TNC serves in the study area
- The travel demand not requesting TNC services shifts to car driving
- Waiting time = Matching time + Pick-up time

Modeling Strategies

- Bi-level Optimization
 - Lower-level problem: capture the interactions between drivers' relocation, riders' mode choice, and all travelers' routing decisions.
 - Upper-level problem: a TNC determines spatial pricing strategies to fulfill its own objective in a two-sided markets.



Modeling Strategies

•

Rider's demand

$$d_s = D_s - b_s \rho_s$$

2

Driver's utility function

$$U_{rs} = \beta_{0,s} - \beta_1 t_{rs} + \beta_2 \rho_s$$

3

- D_s, b_s : Coefficient of demand function
- ρ_s : Locational price at s
- β : Utility function parameter

Lower-level

Modeling Strategies

•

Rider's demand

$$d_s = D_s - b_s \rho_s$$

2

- D_s, b_s : Coefficient of demand function
- ρ_s : Locational price at s
- β : Utility function parameter

Driver's utility function

$$U_{rs} = \beta_{0,s} - \beta_1 t_{rs} + \beta_2 \rho_s$$

3

Driver-rider interaction: Combined Distribution and Assignment (CDA)

$$\text{Minimize}_{\check{v}, \hat{v}, q \in \mathbb{R}_+} \sum_{a \in A} \int_0^{v_a} t_a(v_a) dv + \frac{1}{\beta_1} \sum_{r \in \mathcal{R}} \sum_{s \in \mathcal{S}} q_{rs} (\ln q_{rs} - 1 - \beta_2 \rho_s - \beta_{0,s})$$

4a

Wardrop User Equilibrium

Entropy of trip distribution

Logit choice model

Traffic flow conservation constraints

4b

Lower-level

Modeling Strategies

TNC decision making behavior

$$\underset{\rho \in \mathbb{R}^S}{\text{minimize}} \sum_{s \in S} m_s \rightarrow \text{Total imbalance}$$

$$m_s = \left| \sum_{r \in R} q_{rs} - (D_s - b_s \rho_s) \right| \forall s$$

Driver Supply Rider Demand

4 → CDA model: driver relocation and route choices constraints

1a

1b

1c

Constraints

Upper-level

Rider's demand

$$d_s = D_s - b_s \rho_s$$

2

- D_s, b_s : Coefficient of demand function

Driver's utility function

$$U_{rs} = \beta_{0,s} - \beta_1 t_{rs} + \beta_2 \rho_s$$

3

- ρ_s : Locational price at s
- β : Utility function parameter

Lower-level

Driver-rider interaction: Combined Distribution and Assignment (CDA)

$$\underset{\check{v}, \hat{v}, q \in \mathbb{R}_+}{\text{Minimize}} \sum_{a \in A} \int_0^{v_a} t_a(v_a) dv + \frac{1}{\beta_1} \sum_{r \in R} \sum_{s \in S} q_{rs} (\ln q_{rs} - 1 - \beta_2 \rho_s - \beta_{0,s})$$

Wardrop User Equilibrium

Entropy of trip distribution

Logit choice model

Traffic flow conservation constraints

4a

4b

Solution Approach

.

Lemma (Balancing ride supply and demand)

ρ^* optimizes problem (1) if and only if ride supply and demand are balanced at each location given ρ^* . In addition, ρ^* is unique.

Decision making of TNC can be reformulated as

$$\sum_{r \in \mathcal{R}} q_{rs}^*(\rho) = d_s^*(\rho), \forall s \in \mathcal{S} \quad (5)$$

where $q_{rs}^*(\rho) \in_q (4)$, and $d_s^*(\rho) = D_s - b_s \rho_s$

Single-level Convex Reformulation

Theorem (Single-level convex reformulation)

ρ solves bi-level problem (1) if and only if ρ solve single-level problem (6).

$$\min_{\hat{v}, \check{v}, q, d \in +} \quad \frac{\beta_1}{\beta_2} \sum_{a \in \mathcal{A}} \int_0^{v_a} t_a(v_a) du + \frac{1}{\beta_2} \sum_{r \in \mathcal{R}} \sum_{s \in \mathcal{S}} q_{rs} (\ln q_{rs} - 1 - \beta_{0,s}) \dots \quad (6a)$$

$$\dots + \sum_{s \in \mathcal{S}} \frac{1}{b_s} \left(\frac{d_s^2}{2} - D_s d_s \right) \quad (6b)$$

subject to

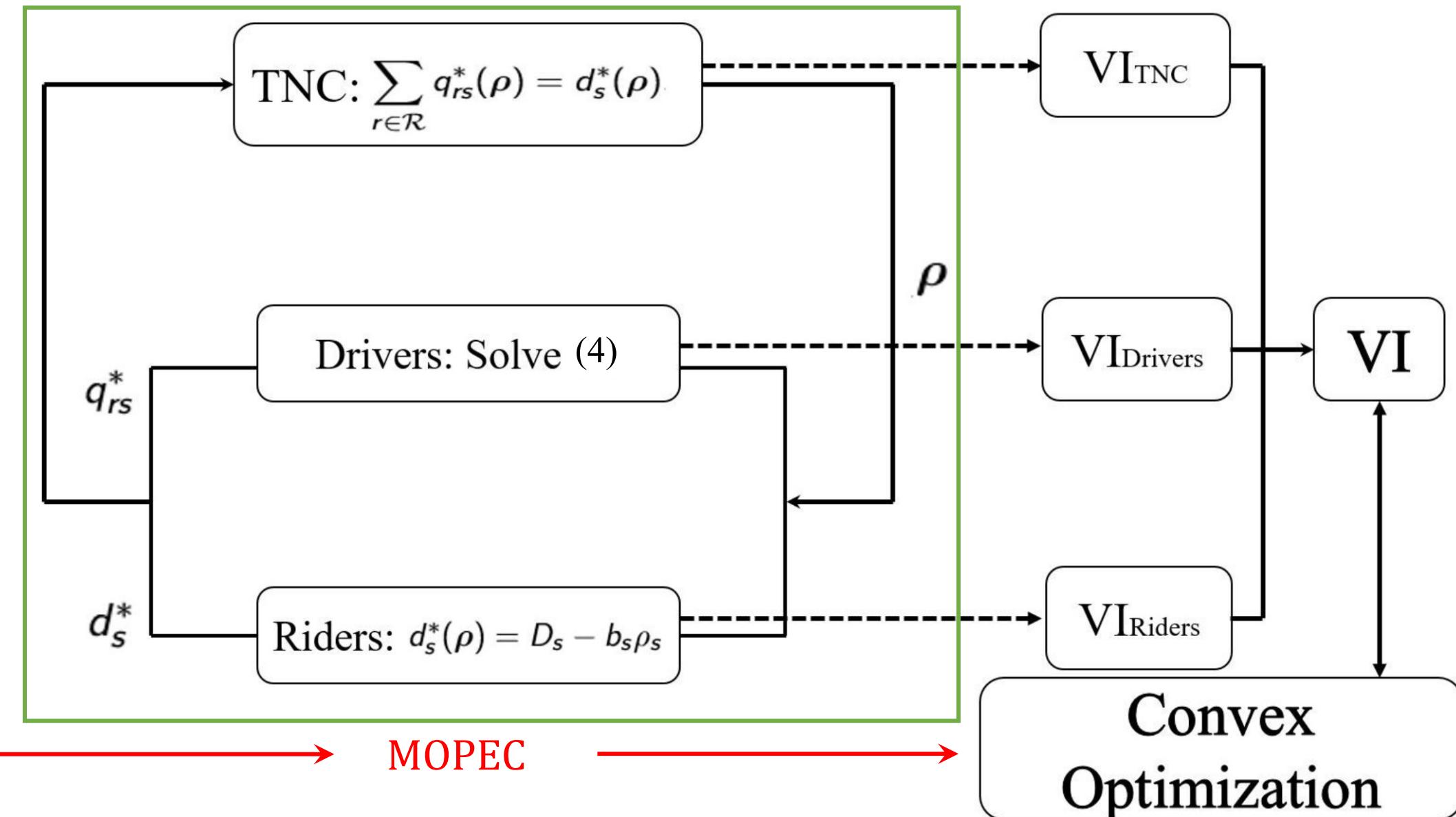
(4b)

$$(\rho_s) \quad \sum_{r \in \mathcal{R}} q_{rs} = d_s, \forall s \quad (6c)$$

Reformulation Strategies

Original Problem

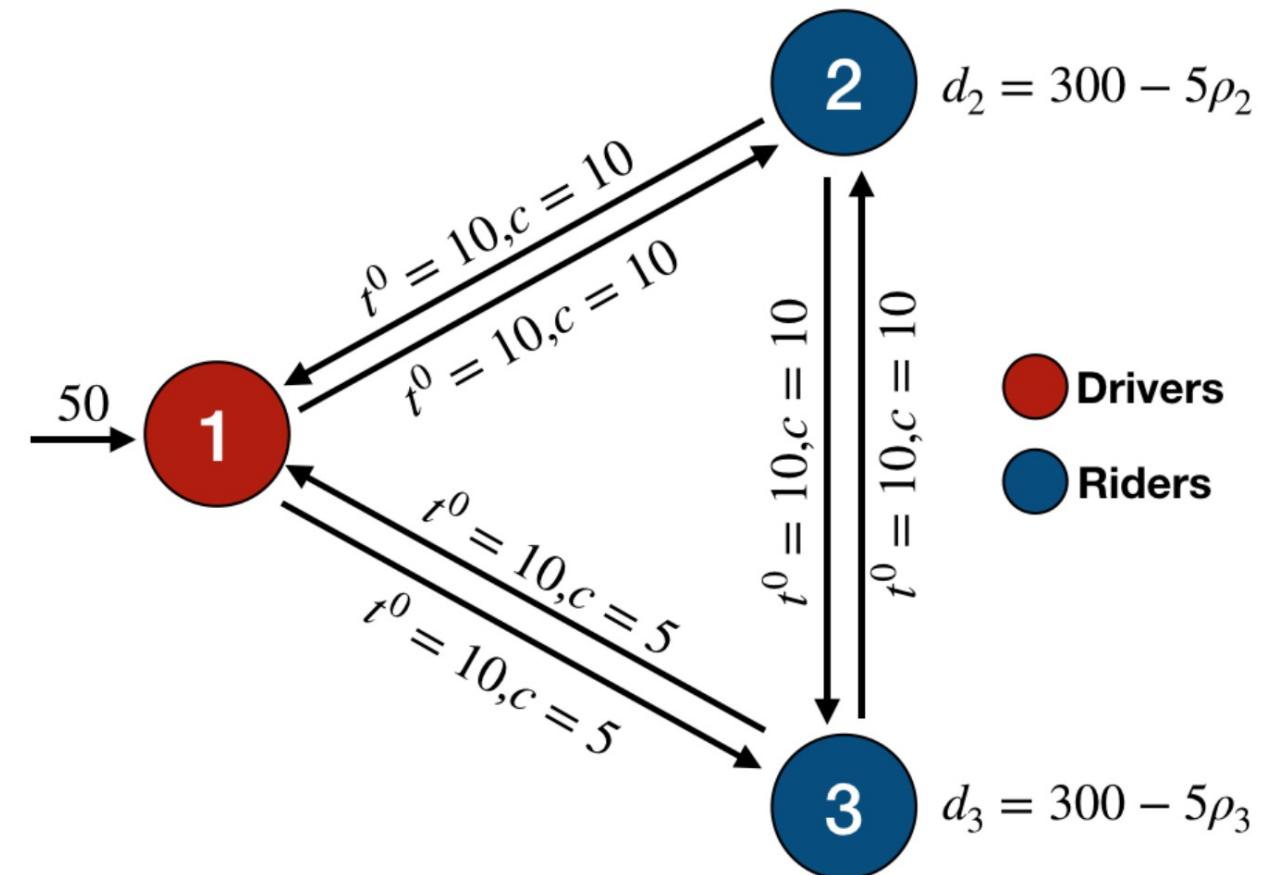
Bilevel Optimization Problem



Test Network - Three-nodes Example

- 3 nodes, 6 links
- Lower capacity between node 1 and 3
- Demand function $d_s = 300 - 5\rho_s$
- Link travel time

$$t_a = t_a^0 [1 + 0.15 * v_a / c_a^2]$$



Results - Equilibrium Prices and Total Travel Time

Figure: (a) Spatial Pricing

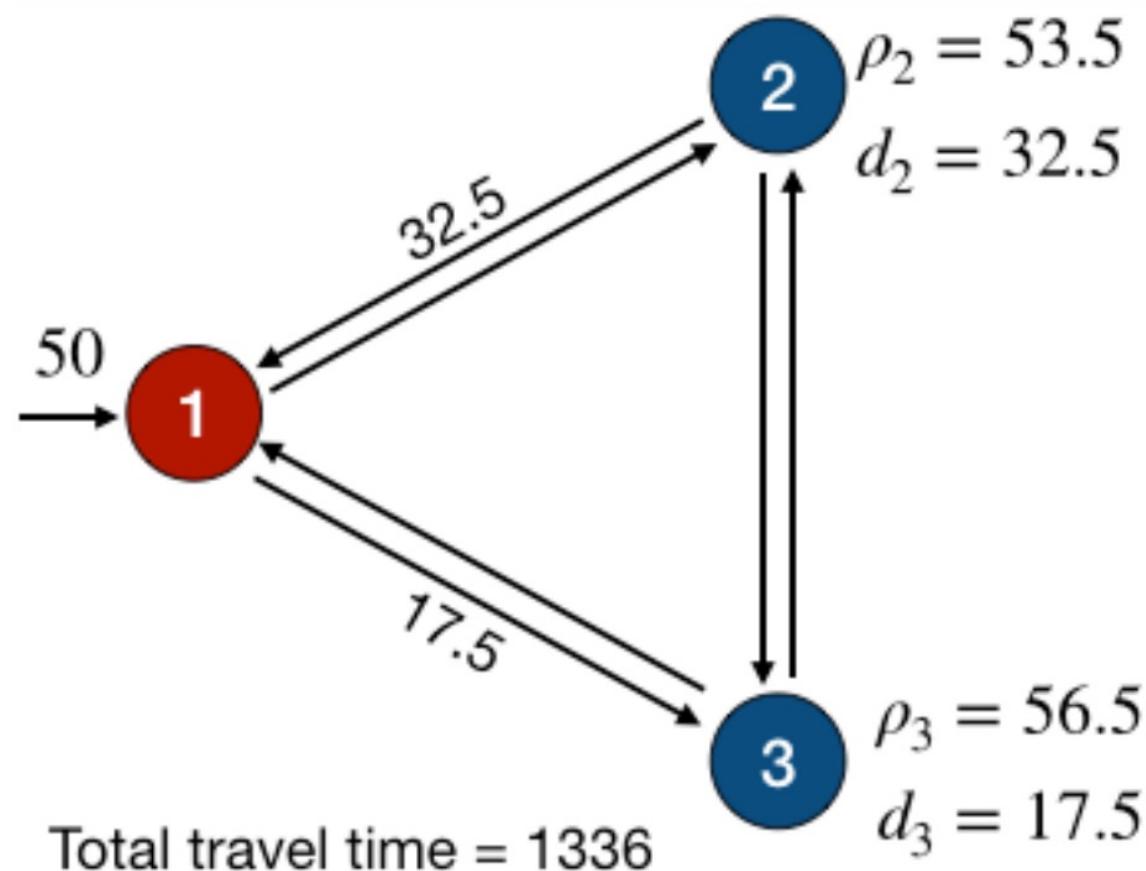
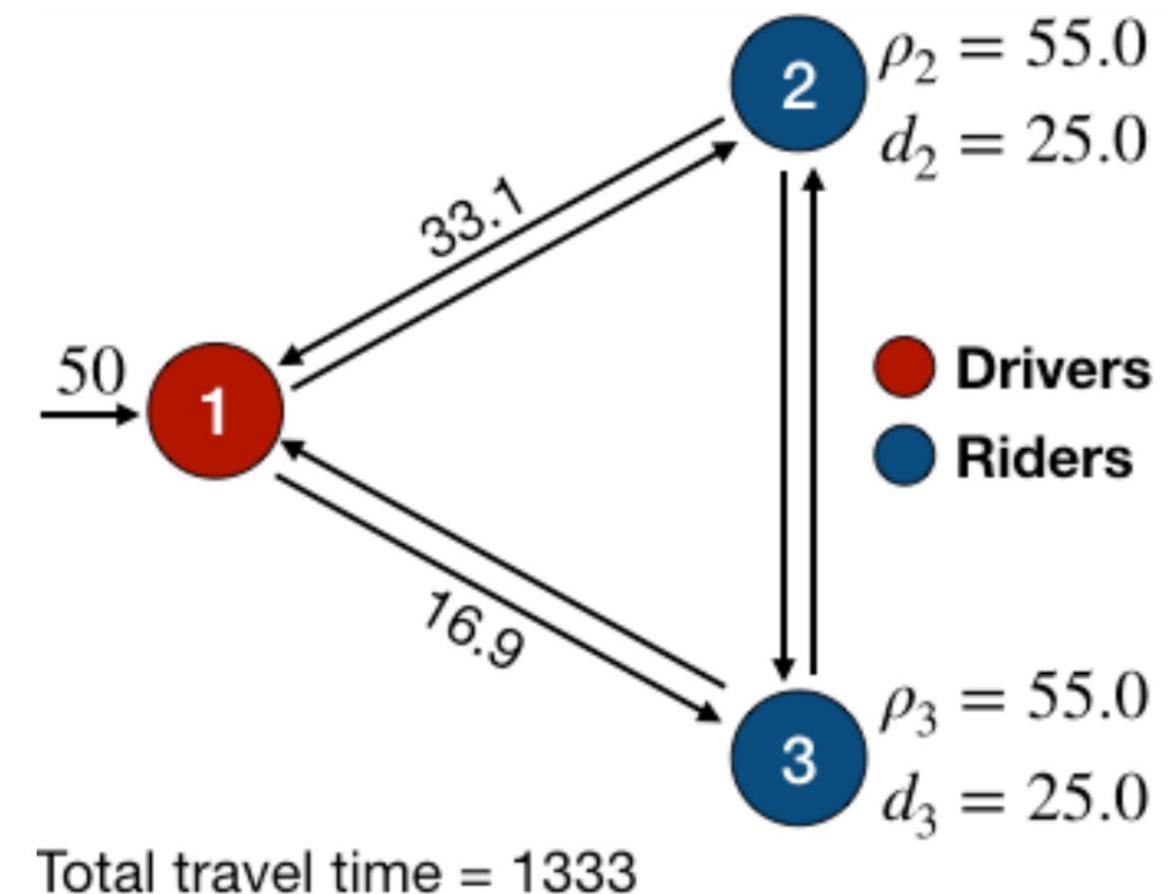


Figure: (b) Uniform Pricing



Discussion

- Modeling: formulated a new modeling framework for ride-sourcing spatial pricing problems, considering leader-follower structure of TNCs, riders, and drivers, and transportation network.
- Computation: under mild assumptions, the problem can be reformulated as a convex optimization problem, which can be efficiently solved by commercial nonlinear solver, such as IPOPT.
- Existence and uniqueness of optimal solutions are proved.
- Potential extension: temporal aspects? multiple TNCs?

Chapter 5: Conclusions

Contributions

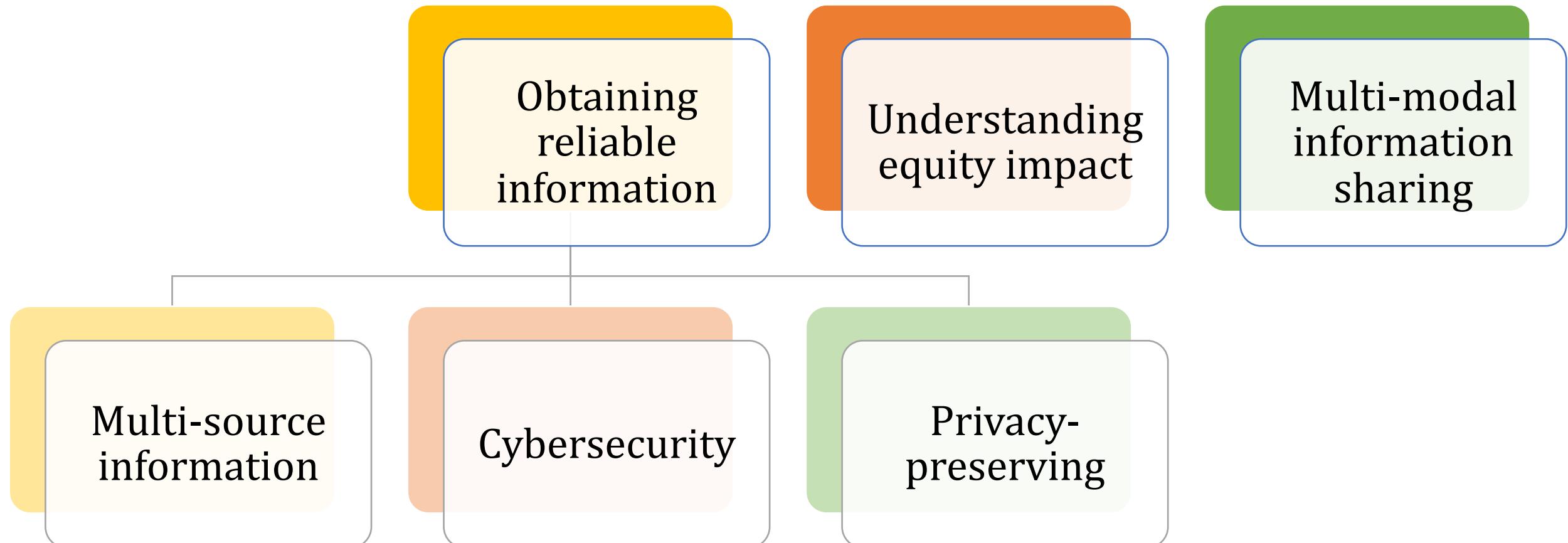
.

In Chapter 2 we proposed a **two-stage stochastic traffic equilibrium model** that captures **adaptive routing behavior** with **locational en-route traffic information** from I2V technologies. The model is formulated as **a convex stochastic optimization problem**, allowing for efficient scalability through the use of stochastic programming algorithms.

In Chapter 3, we proposed a **twin-delay deep deterministic policy gradient model** that allows for the **effective control** of VSLCs in a **network**, taking into account the **rerouting behavior of vehicles**.

In Chapter 4, we proposed a **Stackelberg framework** for spatial pricing of ride-sourcing services considering **traffic congestion** with **convex reformulation**.

Future Research Directions



Journals and Conference

.

■ Journal Publications

- **Afifah, F.**, Guo, Z. (2022). Spatial pricing of ride-sourcing services in a congested transportation network. *Transportation Research Part C: Emerging Technologies*, 142, 103777.
- **Afifah, F.**, Guo, Z., Abdel-Aty, M., 2023. System-level impacts of en-route information sharing considering adaptive routing. *Transportation Research Part C: Emerging Technologies* 149,104075.
- Guo, Z., **Afifah, F.**, Qi, J., Baghali, S. (2021). A Stochastic Multiagent Optimization Framework for Interdependent Transportation and Power System Analyses. *IEEE Transactions on Transportation Electrification*, 7(3), 1088-1098.
- Siddique, C., **Afifah, F.**, Guo, Z., Zhou, Y. (2022). Data mining of plug-in electric vehicles charging behavior using supply-side data. *Energy Policy*, 161, 112710.

■ Conference

- **Afifah, F.**, Guo, Z. Spatial Pricing of Ride-sourcing Services in Congested Transportation Network, TRB Annual Meeting 2020
- **Afifah, F.**, Guo, Z., Abdel-Aty, M., Impacts of I2V Information Sharing on Connected Vehicles Safety in an Interconnected Network, TRB Annual Meeting 2021
- Siddique, C., **Afifah, F.**, Guo, Z., Zhou, Y. Data mining of plug-in electric vehicles charging behavior using supply-side data,TRB Annual Meeting 2021
- Horrey, W. J., Benson, A., Guo, Z., **Afifah, F.**, Hamann, C. J., Santiago, K. R., Expectations and Understanding of Advanced Driver Assistance Systems Among Drivers, Pedestrians, Bicyclists, and Public Transit Riders.TRB Annual Meeting 2021
- **Afifah, F.**, Guo, Z., Abdel-Aty, M., Impacts of I2V Information Sharing on Connected Vehicles Safety in an Interconnected Network, IISE Annual Conference 2022

Awards

- ORC Doctoral Fellowship University of Central Florida 2019
- Second Place Winner for poster presentation on "Spatial Pricing Of Ride-sourcing Services In A Congested Transportation Network", 2021 INFORMS Annual Conference, October 24-27, 2021, Anaheim, California

Thank You

References:

- [1] TTI, 2021. 2021 urban mobility report. URL: <https://static.tti.tamu.edu/tti.tamu.edu/documents/mobility-report-2021.pdf>.
- [2] NHTSA, 2023. The Economic and Societal Impact of Motor Vehicle Crashes, 2019 (Revised). Technical Report DOT HS 813 003. National Center for Statistics and Analysis, U.S. Department of Transportation. URL: <https://crashstats.nhtsa.dot.gov/Api/Public/ViewPublication/813003>.
- [3] Bonsall, P., 1992. The influence of route guidance advice on route choice in urban networks. *Transportation* 19, 1–23.
- [4] Chorus, C.G., Molin, E.J., Van Wee, B., 2006. Use and effects of advanced traveller information services (atls): a review of the literature. *Transport Reviews* 26, 127–149.
- [5] Levinson, D., 2003. The value of advanced traveler information systems for route choice. *Transportation Research Part C: Emerging Technologies* 11, 75–87.
- [6] Lu, X., Gao, S., Ben-Elia, E., 2011. Information impacts on route choice and learning behavior in a congested network: experimental approach. *Transportation Research Record* 2243, 89–98.
- [7] Toledo, T., Beinhaker, R., 2006. Evaluation of the potential benefits of advanced traveler information systems. *Journal of intelligent transportation systems* 10, 173–183.
- [8] Tsirimpa, A., Polydoropoulou, A., Antoniou, C., 2007. Development of a mixed multi-nomial logit model to capture the impact of information systems on travelers' switching behavior. *Journal of Intelligent Transportation Systems* 11, 79–89.
- [9] Unnikrishnan, A., Waller, S.T., 2009. User equilibrium with recourse. *Networks and Spatial Economics* 9, 575.
- [10] Wijayaratna, K.P., Dixit, V.V., Denant-Boemont, L., Waller, S.T., 2017. An experimental study of the online information paradox: Does en-route information improve road network performance? *PLoS One* 12, e0184191.
- [11] Acemoglu, D., Makhdoomi, A., Malekian, A., Ozdaglar, A., 2018. Informational braess' paradox: The effect of information on traffic congestion. *Operations Research* 66, 893–917.
- [12] Liu, P., Liu, Y., 2018. Optimal information provision at bottleneck equilibrium with risk-averse travelers. *Transportation Research Record* 2672, 69–78.
- [13] M. S. Rahman, M. Abdel-Aty, J. Lee, and M. H. Rahman, "Safety benefits of arterials' crash risk under connected and automated vehicles," *Transportation Research Part C: Emerging Technologies*, vol. 100, pp. 354–371, 2019.
- [14] M. M. Morando, Q. Tian, L. T. Truong, and H. L. Vu, "Studying the safety impact of autonomous vehicles using simulation-based surrogate safety measures," *Journal of advanced transportation*, vol. 2018, 2018.
- [15] M. Fyfe and T. Sayed, "Safety evaluation of connected vehicles for a cumulative travel time adaptive signal control microsimulation using the surrogate safety assessment model," Tech. Rep., 2017.
- [16] M. Abdel-Aty, C. Siddiqui, H. Huang, and X. Wang, "Integrating trip and roadway characteristics to manage safety in traffic analysis zones," *Transportation Research Record*, vol. 2213, no. 1, pp. 20–28, 2011.
- [17] P. Xu, H. Huang, N. Dong, and S. Wong, "Revisiting crash spatial heterogeneity: a bayesian spatially varying coefficients approach," *Accident Analysis & Prevention*, vol. 98, pp. 330–337, 2017.

- [18] X. Wang, X. Wu, M. Abdel-Aty, and P. J. Tremont, "Investigation of road network features and safety performance," *Accident Analysis & Prevention*, vol. 56, pp. 22–31, 2013.
- [19] S. Gao, "Modeling strategic route choice and real-time information impacts in stochastic and time-dependent networks," *IEEE Transactions on Intelligent Transportation Systems*, vol. 13, no. 3, pp. 1298–1311, 2012.
- [20] J. Ma, B. L. Smith, and X. Zhou, "Personalized real-time traffic information provision: Agent-based optimization model and solution framework," *Transportation Research Part C: Emerging Technologies*, vol. 64, pp. 164–182, 2016.
- [21] M. Hasibur Rahman and M. Abdel-Aty, "Application of connected and automated vehicles in a large-scale network by considering vehicle-to-vehicle and vehicle-to-infrastructure technology," *Transportation Research Record: Journal of the Transportation Research Board*, vol. 2675, no. 1, 2021.
- [22] L. Du, L. Han, and S. Chen, "Coordinated online in-vehicle routing balancing user optimality and system optimality through information perturbation," *Transportation Research Part B: Methodological*, vol. 79, pp. 121–133, 2015.
- [23] T. Rambha, S. D. Boyles, A. Unnikrishnan, and P. Stone, "Marginal cost pricing for system optimal traffic assignment with recourse under supply-side uncertainty," *Transportation Research Part B: Methodological*, vol. 110, pp. 104–121, 2018.
- [24] A. Hegyi, B. De Schutter, and J. Hellendoorn, "Optimal coordination of variable speed limits to suppress shock waves," *IEEE Transactions on intelligent transportation systems*, vol. 6, no. 1, pp. 102–112, Mar. 2005.
- [25] G. van de Weg, A. Hegyi, H. Hellendoorn, and S. E. Shladover, "Cooperative systems based control for integrating ramp metering and variable speed limits," in *Transportation Research Board 93rd Annual Meeting*, no. 14-1432. Citeseer, Jan. 2014.
- [26] H.-Y. Jin and W.-L. Jin, "Control of a lane-drop bottleneck through variable speed limits," *Transportation Research Part C: Emerging Technologies*, vol. 58, pp. 568–584, Sep. 2015.
- [27] R. L. Bertini, S. Boice, and K. Bogenberger, "Dynamics of variable speed limit system surrounding bottleneck on german autobahn," *Transportation Research Record*, vol. 1978, no. 1, pp. 149–159, 2006.
- [28] I. Papamichail, K. Kampitaki, M. Papageorgiou, and A. Messmer, "Integrated ramp metering and variable speed limit control of motorway traffic flow," *IFAC Proceedings Volumes*, vol. 41, no. 2, pp. 14 084–14 089, 2008.
- [29] P.-W. Lin, K.-P. Kang, and G.-L. Chang, "Exploring the effectiveness of variable speed limit controls on highway work-zone operations," in *Intelligent transportation systems*, vol. 8, no. 3. Taylor & Francis, 2004, pp. 155–168.
- [30] Fujimoto, S., Hoof, H., Meger, D., 2018. Addressing function approximation error in actor-critic methods, in: International conference on machine learning, PMLR. pp. 1587–1596
- [31] S. Banerjee, R. Johari, and C. Riquelme, "Pricing in ride-sharing platforms: A queueing-theoretic approach," in *Proceedings of the Sixteenth ACM Conference on Economics and Computation*. ACM, 2015, pp. 639–639.
- [32] G. P. Cachon, K. M. Daniels, and R. Lobel, "The role of surge pricing on a service platform with self-scheduling capacity," *Manufacturing & Service Operations Management*, vol. 19, no. 3, pp. 368–384, 2017.
- [33] J. C. Castillo, D. Knoepfle, and G. Weyl, "Surge pricing solves the wild goose chase," in *Proceedings of the 2017 ACM Conference on Economics and Computation*. ACM, 2017, pp. 241–242.
- [34] D. A. Vignon, Y. Yin, and J. Ke, "Regulating ridesourcing services with product differentiation and congestion externality," *Transportation Research Part C: Emerging Technologies*, vol. 127, p. 103088, 2021.
- [35] S. Li, H. Yang, K. Poolla, and P. Varaiya, "Spatial pricing in ride-sourcing markets under a congestion charge," *Transportation Research Part B: Methodological*, vol. 152, pp. 18–45, 2021.