UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

9330

1. REGISTRATION NO. 93- R- 0383

FORM APPROVED OMB NO 0579-0036

2. HEADOUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA include Zip Code)

Cortex Pharmaceuticals, Inc. 15241 Barranca Parkway Irvine, CA 92618

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS (Sites)

15241 Barranca Pkwy, Irvine, CA 92618

A	B. Number of	C Number of		Decessary or use APHIS FORM 7023A.)	·
Animals Covered By The Animal Welfare Regulations	animals being bred, conditioned, or held for use in teaching, lesting, experiments, research, or surgery but not yet used for such purposes.	animals upon which leaching, research, experiments, or lests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be affached to this report).	TOTAL NO. OF ANIMAL (Cols. C + D + E)
4. Dogs			,		
5. Cats					
6. Guinea Pigs					
7. Hamsters			1		
8. Rabbits		2		withe	2
9. Non-human Primates					·
10. Sheep				NOT A FOIA DELETION	
11. Pigs					
12. Other Farm Animals	· · · · · · · · · · · · · · · · · · ·			-	
13. Other Animals					
					
				m sesive n	

1). Professionally acceptable standards governing the care, treatment, and use of animals, including approriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.

2). Each principal investigator has considered alternatives to painful procedures.

3). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations to explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such effective is a factor of this angual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the standards of this provided in the summary includes a brief explanation of the exceptions, as well as the standards of this provided in the summary includes a brief explanation of the exceptions, as well as the standards and regulations by the principal investigator and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such effects the standards and regulations by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such effects the standards and regulations by the Institutional Animal Care and Use Committee (IACUC). A summary of all such effects the standards and regulations by the Institutional Animal Care and Use Committee (IACUC). A summary of all such effects the standards and regulations by the Institutional Animal Care and Use Committee (IACUC).

4). The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTES RESEARCH FACILITY OFFICIAL

(Chief Executive Officer or Legally Responsible Institutional Official)

1 certify that the above is true, correct, and complete (7 U.S.C. Section 2143).

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

11-22-99

APHIS FORM 7023 (AUG 91)

(Replaces VS FORM 18-23 (OCT 88), which is obsolete.)

(b)(b), (b)(7)(c)

PART 1 - HEADQUARTERS

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO.

FORM APPROVED OMB NO. 0579-0036 T

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA.

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

TURU 950 Dolan Rd Castroville, CA

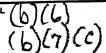
3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional

sneets it necessary)		EAC.	CILITY LOCATIONS (Siles)		
0.5.	2.1	FAC	JETT LOOKHONS (Snes)		
950 Dolan	Rd				
Castroville,	CA 95012				
ORT OF ANIMALS USED BY	OR UNDER CONTROL OF	RESEARCH FACILITY	(Attach adiditional sheets if ne	cessury or use APHIS FORM 7023A.)	,
Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, lesting, experiments, research, or surgery but not yet used for such purposes.	C Number of animals upon which leaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs			1		<u> </u>
7. Hamsters					11.00
8. Rabbits	Ø	450	Ø	Ø	450
9. Non-human Primates			•		
10. Sheep					
11. Piqs					
12. Other Farm Animals					
*					
13. Other Animals					ļ
				<u> </u>	<u> </u>
					ļ
ASSURANCE STATEMENTS	/			11.51	
Professionally acceptable and following actual reso	e standards governing the earch, teaching, testing, s	care, treatment, and urgery, or experimenta	use of animals, including appro- tion were followed by this resea	riate use of anesthetic, Nordesic and 1100012mg drings.	prior to, during,
2). Each principal investigal	or has considered alterna	tives to painful proced	ures		
principal investigator an addition to identifying th	d approved by the Institut he IACUC-approved except	ional Annnai Care and lions, this summary in	cludes a brief explanation of the	ons to the standards so requisitions he specified and experimentary of all such exceptions, as well as the species and number of summers	affected.
4) The attending veterinaria	an for this research facility	has appropriate auth	ority to ensure the provision of	adequate veterinary care and to oversee the adequacy of o	other aspects of

animal care and use.

	CERTIFICATION BY HEADQUARTES RESEARCH FACILITY OFFICIAL
. •	(Chief Executive Officer or Legally Responsible Institutional Official)
•	Licertify that the above is true, correct, and complete (7 U.S.C. Section 2143).

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL



NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print) DATE SIGNED