UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

VIOLATOR: Monterey Bay Aquarium 93-R-0476 / 3620

ADDRESS (Street, City, State, ZIP Code): 886 Cannery Row Monterey, CA 93940

The U.S. Department of Agriculture has evidence that on or about April 16, 2012, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

3.101(a)(1) Facilities, General....repeated failure to maintain indoor and outdoor facilities for marine mammal in good repair to protect the animals from injury

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Robert M. Gibbens, Director

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DATE ISSUED: TELEPHONE NO: 10/29/2012

CERTIFIED MAIL RECEIPT NO: 7011 2970 0003 1302 3740

APHIS FORM 7060

Previous editions may be used

Rev. September 2011