



93-R 0026

EXECUTIVE OFFICES

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November 18, 1999

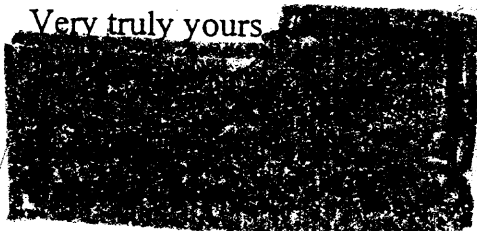
Mr. Robert M. Gibbens  
Director  
U.S. Department of Agriculture  
Animal and Plant Health Inspection Service  
Western Sector, Animal Care  
9580 Micron Avenue, Suite J.  
Sacramento CA 95827-2623

Registration No.: 93-R-026

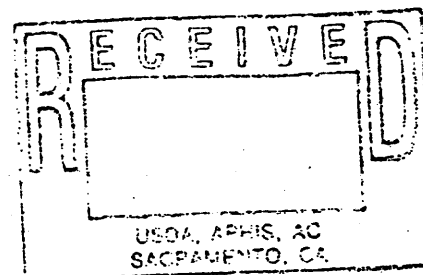
Dear Mr. Gibbens:

Enclosed is SRI International's "Annual Report of Research Facility" for the period of October 1998 through September 1999. Studies listed as Category E were conducted in accordance with FDA guidelines (CFR 21 § 312.23) and the 1993 FDA Toxicological principles. Animals classified in Category E were on toxicity studies done to determine pharmacokinetics, bioavailability or side effects of new drugs or chemicals. Usually none of these effects are known at the time of testing. In most instances, no side effects were noted, or only minor side effects, such as transient vomiting or diarrhea. However, because of the potential that animals may experience more than momentary pain or distress, animals on these types of studies are classified as E Category.

Very truly yours,



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**SRI International**

333 Ravenswood Ave. • Menlo Park, CA 94025 • (415) 326-6200 • TWX: 910-373-2046 • Telex: 334486 • Facsimile: (415) 326-5512

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UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO.

93R-0028

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)

California Institute of Technology  
Office of Laboratory Animal Resources 156-29  
1200 E. California Blvd.  
Pasadena, CA 91125

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.) Biology Dept.

FACILITY LOCATIONS (Sites)

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A.)

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	F. TOTAL NO. OF ANIMALS  (Cols. C + D + E)
4. Dogs					0
5. Cats					0
6. Guinea Pigs					0
7. Hamsters		47			47
8. Rabbits					0
9. Non-human Primates	24	3	19		22
10. Sheep					0
11. Pigs					0
12. Other Farm Animals					0
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional Official)

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

NOV 19 1999

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

USDA, APHIS, AC  
SACRAMENTO, CA  
11/16/99