See reverse side for additional information.

Interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(TYPE OR PRINT)

1. REGISTRATION NO. 93-R-0382 Laris FORM APPROVED OMB NO. 0579-0036 M S

ANNUAL REPORT OF RESEARCH FACILITY

2. HEADOUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA include Zip Code)

Phoenix Pharmaceuticals, Inc. 2438 Wyandotte Street Mountain View, CA 94043

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS (Siles)

## REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach adictional sheets if necessary or use APHIS FORM 7023A.) B. Number of Number of Number of animals upon which teaching, D. Number of animals upon animals being animals upon experiments, research, surgery or lests were which experiments, **Animals Covered** bred. which teaching, conducted involving accompanying pain or distress teaching, research, surgery, or tests were By The Animal conditioned, or to the animals and for which the use of appropriate research, TOTAL NO. held for use in Wellare Regulations experiments, or anesthetic, analgesic, or tranquilizing drugs would conducted involving OF ANIMALS teaching, testing, lesis were have adversely affected the procedures, results, or accompanying pain or distress to the animals experiments, conducted interpretation of the teaching, research, involving no research, or experiments, surgery, or tests. (An explanation of and for which appropriate (Cois. C + D + E) surgery but not pain, distress, or the procedures producing pain or distress in these anesthetic, analgesic, or yet used for such use of painanimals and the reasons such drugs were not used tranquilizing drugs were purposes. relieving drugs. must be attached to this report). used. 4. Dogs Cats Guinea Pigs Hamsters Rabbits 75 75 Non-human Primates Sheep 11. Pigs 12. Other Farm Animals 13. Other Animals 1300 AFFIS **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including approriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2). Each principal investigator has considered alternatives to painful procedures.
- 3). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTES RESEARCH FACILITY OFFICIAL  (Chief Executive Officer or Legally Responsible Institutional Official)  I certify that the above is true, correct, and complete (7 USC Section 2143)					
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	(b)(c) (b)(7)(c)	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED 10/19/99		

See reverse side for additional information Interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO.

FORM APPROVED OMB NO. 0579-0036

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA. include Zip Codel

Cortex Pharmaceuticals, Inc. 15241 Barranca Parkway 92618 Irvine, CA

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

**FACILITY LOCATIONS (Sites)** 

92618 15241 Barranca Pkwy, Irvine,

REPORT OF ANIMALS USED BY C	B. Number of	C Number of		E. Number of animals upon which teaching,	
Animals Covered By The Animal Welfare Regulations	animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research,	TOTAL NO. OF ANIMALS  (Cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters				Per telephone	
8. Rabbits		2		W	2
9. Non-human Primates	`			22 Dec 19 - 10	7
10. Sheep				(b)(6), (b)(7)(c)	ļ
11. Pigs					ļ
12. Other Farm Animals					
13. Other Animals				1	
	·		.,		
				DEREING	

SSURANCE STATEMENTS
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CERTIFICATION BY HEADQUARTES RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official) Licertify that the above is true, correct, and complete (7 U.S.C. Section 2143) NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

APHIS FORM 7023 (AUG 91)

(Replaces VS FORM 18-23 (OCT 88), which is obsolete )