

# 732A61/TDDD41 Data Mining - Clustering and Association Analysis

## Lecture 7: FP Grow Algorithm

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# Outline

- Content

- Frequent Pattern (FP) Grow Algorithm
- Exercise
- Summary

- Literature

- Course book. Second edition: 5.2.4. Third edition: 6.2.4.
- Han, J., Pei, J. and Yin, Y. [Mining Frequent Patterns without Candidate Generation](#). In Proc. of the 2000 ACM SIGMOD Int. Conf. on Management of Data, 2000.

## FP Grow Algorithm

- Assume that we have access to some transactional data, e.g.

Transaction id	Items bought
1	F, A, C, D, G, I, M, P
2	A, B, C, F, L, M, O
3	B, F, H, J, O, W
4	B, C, K, S, P
5	A, F, C, E, L, P, M, N

- The FP grow algorithm returns all the frequent itemsets without candidate generation and, thus, it may save time and space.
- First, it finds frequent 1-itemsets and **sorts the frequent items within each transaction in support descending order**, e.g. with  $minsup = 3$

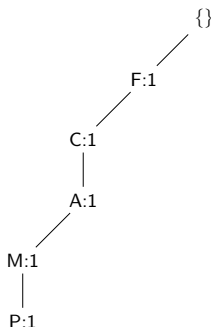
Transaction id	Items bought
1	F, C, A, M, P
2	F, C, A, B, M
3	F, B
4	C, B, P
5	F, C, A, M, P

- Then, it outputs the frequent 1-itemsets, i.e. F, C, A, B, M, and P.

## FP Grow Algorithm

- Then, it constructs a so-called FP tree.

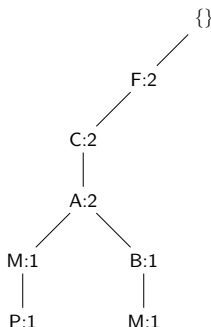
Transaction id	Items bought
1	F, C, A, M, P
2	F, C, A, B, M
3	F, B
4	C, B, P
5	F, C, A, M, P



## FP Grow Algorithm

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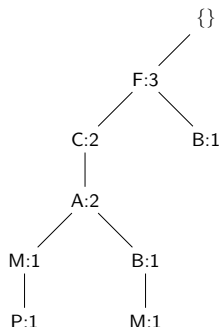
Transaction id	Items bought
1	F, C, A, M, P
2	F, C, A, B, M
3	F, B
4	C, B, P
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## FP Grow Algorithm

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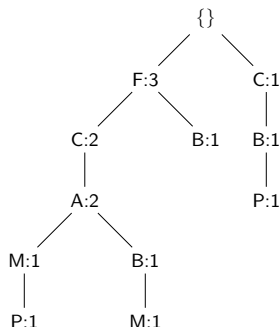
Transaction id	Items bought
1	F, C, A, M, P
2	F, C, A, B, M
3	F, B
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## FP Grow Algorithm

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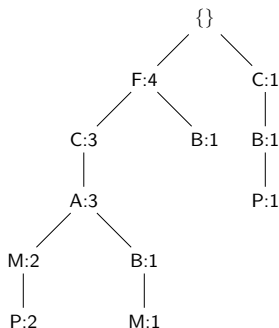
Transaction id	Items bought
1	F, C, A, M, P
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## FP Grow Algorithm

- Then, it constructs a so-called FP tree.

Transaction id	Items bought
1	F, C, A, M, P
2	F, C, A, B, M
3	F, B
4	C, B, P
5	F, C, A, M, P



- Finally, it mines the FP tree for frequent itemsets instead of the original database, since the former is typically much smaller.



## FP Grow Algorithm

**Algorithm:** FP-tree( $D$ ,  $minsup$ )

**Input:** A transactional database  $D$ , and the minimum support  $minsup$ .

**Output:** The FP tree for  $D$  and  $minsup$ .

- 1 Count support for each item in  $D$
- 2 Remove the infrequent items from the transactions in  $D$
- 3 Sort the items in each transaction in  $D$  in support descending order
- 4 Create a FP tree with a single node  $T$  with  $T.name = NULL$
- 5 for each transaction  $I \in D$  do
- 6     insert-tree( $I$ ,  $T$ )

**Algorithm:** insert-tree( $l_1, \dots, l_m, T$ )

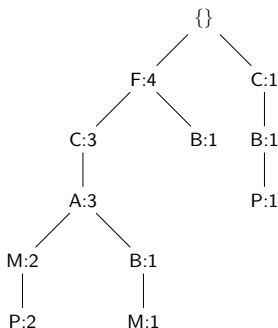
**Input:** An itemset  $l_1, \dots, l_m$ , and a node  $T$  in the FP tree.

**Output:** Modified FP tree.

- 1 if  $T$  has a child  $N$  such that  $N.name = l_1.name$  then
- 2      $N.count++$
- 3 else
- 4     create a new child  $N$  of  $T$  with  $N.name = l_1.name$  and  $N.count = 1$
- 5 if  $m > 1$  then
- 6     insert-tree( $l_2, \dots, l_m, N$ )

## FP Grow Algorithm

- Given an item X, the X-conditional database consists of all the prefix paths leading to X in the FP tree.



Item	Conditional database
F	-
C	F:3
A	FC:3
B	FCA:1, F:1, C:1
M	FCA:2, FCAB:1
P	FCAM:2, CB:1

- The support of each prefix path in the X-conditional database is equal to the count of X for that prefix path.
- The X-conditional database contains all the itemsets in  $D$  that end with X.
- So, it suffices to mine the X-conditional database to find all the frequent itemsets in  $D$  that end with X.
- So, re-start the whole process for the X-conditional database, i.e. call the FP grow algorithm recursively.

## FP Grow Algorithm

- For instance, the M-conditional database is {FCA:2, FCAB:1}, or

Tid	Items bought
1	F, C, A
2	F, C, A
3	F, C, A, B

- After finding the frequent 1-itemsets and sorting the transactions accordingly, we have

Tid	Items bought
1	F, C, A
2	F, C, A
3	F, C, A

- Output the frequent 1-itemsets, adding M as suffix, i.e. FM, CM, and AM.
- Build the FP tree and the conditional databases.



Item	Conditional database
F	-
C	F:3
A	FC:3

- Re-start the process for the FM-, CM-, and AM-conditional databases.

## FP Grow Algorithm

- For instance, the AM-conditional database is {FC:3}, or

Tid	Items bought
1	F, C
2	F, C
3	F, C

- After finding the frequent 1-itemsets and sorting the transactions accordingly, we have

Tid	Items bought
1	F, C
2	F, C
3	F, C

- Output the frequent 1-itemsets, adding AM as suffix, i.e. FAM, and CAM.
- Build the FP tree and the conditional databases.

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F:3

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C:3

Item	Conditional database
F	-
C	F:3

- Re-start the process for the FAM-, and CAM-conditional databases.

## FP Grow Algorithm

- For instance, the CAM-conditional database is  $\{F:3\}$ , or

Tid	Items bought
1	F
2	F
3	F

- After finding the frequent 1-itemsets and sorting the transactions accordingly, we have

Tid	Items bought
1	F
2	F
3	F

- Output the frequent 1-itemsets, adding CAM as suffix, i.e. FCAM.
- Build the FP tree and the conditional databases.

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|  
F:3

Item	Conditional database
F	-

- Backtrack.

## FP Grow Algorithm

- ▶ To mine the FP tree  $Tree$ , call  $FP\text{-}grow(Tree, \text{NULL}, \text{minsup})$ .

**Algorithm:**  $FP\text{-}grow(Tree, \alpha, \text{minsup})$

**Input:** A FP tree  $Tree$ , an itemset  $\alpha$ , and the minimum support  $\text{minsup}$ .

**Output:** All the itemsets in  $Tree$  that end with  $\alpha$  and have  $\text{minsup}$ .

- 1 for each item  $X$  in  $Tree$  do
- 2     output the itemset  $\beta = X \cup \alpha$  with  $\text{support} = X.\text{count}$
- 3     build the  $\beta$  conditional database and the corresponding FP tree  $Tree_\beta$
- 4     if  $Tree_\beta$  is not empty then call  $FP\text{-}grow(Tree_\beta, \beta, \text{minsup})$

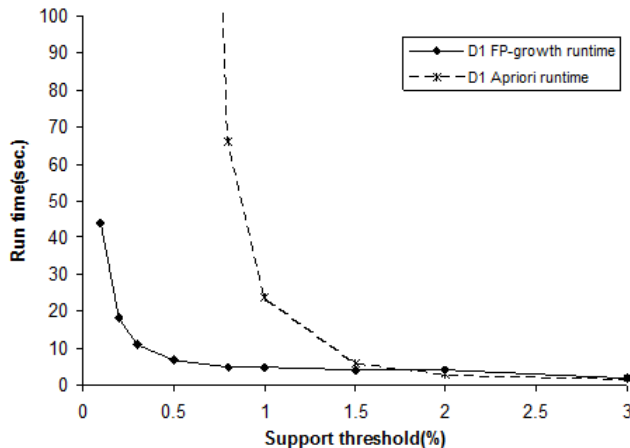
- ▶ The algorithm above can be made more efficient by adding the lines below.

- 0.1 if  $Tree$  has a single branch then
- 0.2     for each combination  $\beta$  of the nodes in the branch do
- 0.3         output the itemset  $\beta \cup \alpha$  with  $\text{support} = \min_{X \in \beta} X.\text{count}$
- 0.4 else

- ▶ The FP grow algorithm is correct, i.e. it misses no frequent itemset.

## FP Grow Algorithm

- With small values for *minsup*, there are many and long candidates, which implies long runtime due to expensive operations such as pattern matching, subset checking, storing, etc.



## Exercise

- ▶ Run the FP grow algorithm on the database below with minimum support equal to two transactions.

Tid	Items bought
1	A, B, E
2	B, D
3	B, C
4	A, B, D
5	A, C
6	B, C
7	A, C
8	A, B, C, E
9	A, B, C

- ▶ Show the execution details (i.e. FP tree construction, conditional databases, recursive calls), not just the frequent itemsets found.



## Summary

- ▶ Mining transactions to find rules of the form

$$Item_1, \dots, Item_m \rightarrow Item_{m+1}, \dots, Item_n$$

with user-defined minimum support and confidence.

- ▶ Two-step solution:
  1. Find all the large itemsets.
  2. Generate all the rules with minimum confidence from the large itemsets.
- ▶ We have seen one solution for step 2, and two solutions for step 1, i.e. with and without candidate generation: Apriori and FP grow algorithms.
- ▶ Their runtime can differ substantially for small values of *minsup*.