



SIGHTSPAN®

Risk Management and Security Solutions

SightSpan Financial Investigation Unit Business Process Improvement

SightSpan Inc. provides business process improvement services for financial service Financial Investigation Units (FIUs) that focus on program efficiencies, look-back services, and overall operational improvements for clients. SightSpan combines operational and technical experience, with subject matter expertise to deliver on programs needs and issues on a global scale. Information is the key to making decisions for the FIU, and SightSpan provides value add services to enable FIU programs to function effectively and meet the regulatory and strategic needs in scope.

A Firm You Know, People You Trust and The Expertise You Can Count On.

SINGAPORE

NEW YORK

MOORESVILLE

MIAMI

DUBAI

FIU Program Efficiency

- Subject Matter Expertise in Compliance, AML and Terrorist Financing operations
- Design Strategic Risk-based Roadmaps for FIU Programs with goals of gaining efficiencies
- Organizational expertise in people, process and technology program structures
- Proven risk-based models to support complex program input and outputs
- Provide Investigation Process Improvement Expertise: *Six Sigma and Lean Processing Strategy Specialists*
- Current State Technology Review analysis and mapping experts; basis for establishing and enhancing output and reporting processes for FIU
- Product knowledge expertise in banking, mortgage, insurance, auto, MSB, Prepaid, and brokerage product suites to execute enhancements across market sectors
- Data specialists enabling improvements in oversight and management of complex inputs and outputs between back-office and systems

Operational Improvement

- Program workflow modeling and analysis based on metrics
- Recommendations on operations processing efficiencies based on proven analytical models
- Throughput measurement and evaluation of program effectiveness
- Incorporate Best Practice Methodologies Focused on Quality Control and Program Maintenance
- Provide Assessment and Reviews Based on Risk for Private Sector and Government Agencies
- Establish a systematic framework for capturing risk factors and relationships

Look-back Services

- Subject matter expertise in Know Your Customer investigations for financial service firms
- Transaction Monitoring analyst specialists to assist in remediation efforts
- KYC analyst specialists to assist in remediation efforts
- Technical expertise capable of handling work-load requirements for look-back engagements
- Expertise in application of client regulatory drivers and application of controls across the disposition process

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