SightSpan Transaction Monitoring Solution
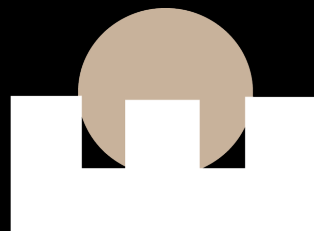
SIGHTSPAN®

Risk Management and Security Solutions

SightSpan® The Crosswinds of Innovation and Logic®

Transaction Monitoring Solution

SightSpan's Transaction Monitoring Solution (TMS) provides an industry standard, technology sound and cost effective solution to your firm's Compliance and AML/BSA regulatory needs. With seamless integration to your financial institution's current systems and processing, SightSpan's TMS delivers automation, efficiency and savings all in one.

The Problem	The SightSpan TMS Solution	
Manual monitoring of customer and account activity	Automated transaction and behavioral monitoring	Unlimited, customizable, and industry-standard scenarios produce alerts that can be investigated by analysts, escalated to management, tracked throughout it's lifecycle and filed to relevant regulatory agencies. TMS also allows you to manually create alerts for documentation, tracking and reporting purposes.
Manual report creation and distribution	Automated report generation	Out-of-the-box compliance reports and customized reports can be accessed by permitted users for review, printing, exporting and emailing.
Manual regulatory filing	Automated e-file creation	Pre-formatted e-file ready files can be created based on all customer, account and transaction information contained within any system generated or manually created alert. Follow up report reviews also available in TMS
Multiple, de-centralized information and data sources	Centralized and integrated customer, account and transaction data	TMS maps to and integrates all available customer, account and transaction data sources allowing for comprehensive review and alert investigation without the need to access multiple systems
Time and staffing resources	A time and cost efficient solution	TMS provides an incredibly cost effective solution to centralizing your AML/BSA needs. Accessible by any and all departments related to compliance, fraud prevention and risk management, TMS reduces redundancy, manual efforts and human error significantly.
Policy and monitoring updates slower than business growth	Integrated policy changes and business growth with ease	Policy changes, new lines of business, new products and services, and changes to any existing policy, products, services and LOBs can be updated in TMS through the user interface by the Administrator

Transaction Monitoring Solution

SOLUTION OVERVIEW

Scenarios

- Customized parameters
- Unlimited scenario variations
- Designed by AML, fraud investigation and risk management experts
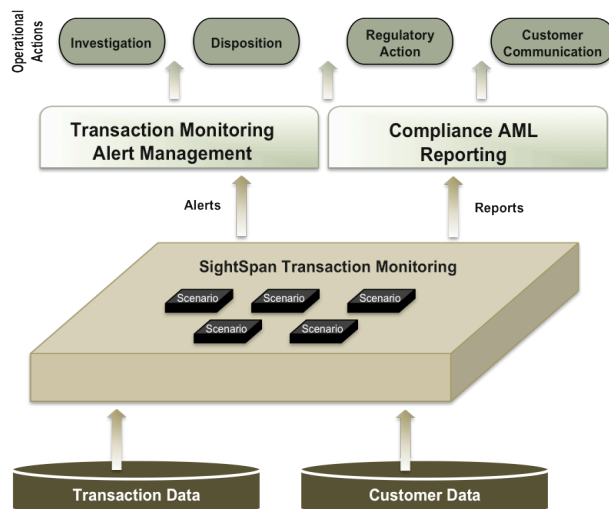
Alerts

- Inclusive of all customer, account, and relevant transaction information for review and dynamic investigation
- Dynamic alert management workflow utilized for communication, tracking and reporting

Reports

- Out of the box compliance reports
- Customized reporting
- Define timeframe, run, review, export, print and email all reports

System & Technology

- Efficient implementation process provides seamless integration with existing data sources and information systems
- Additions to or changes with AML/BSA policies, lines of business, products and services easy to maintain through user interface
- Scalable and flexible system fits any size institution operating globally

VALUE PROPOSITION

Improve Accuracy

- Monitor all customers, account and transactions with integrated data/information from multiple sources and systems
- Reduce human error by reducing manual efforts
- Complete coverage of AML/BSA policies

Increase Efficiency

- Maintain changes to customer, account and transaction information daily
- Streamline investigation and reporting efforts with the alert management workflow

Reduce Loss & Cost

- Detect unusual or suspicious activity, changes in transactional patterns and/or unusual customer/ account activity quickly
- Monitor employee activity using management reports
- TMS is cost effective for every institution as it is priced according to FI size

Familiar Technology

- Microsoft based system
- Flexible number of environments
- Choose from hosted or non-hosted options

Operational Actions: Investigation · Disposition · Regulatory Action · Customer Communication

Transaction Monitoring Alert Management · **Compliance AML Reporting**

Alerts · Reports

SightSpan Transaction Monitoring

Scenario · Scenario · Scenario · Scenario · Scenario

Transaction Data · Customer Data

For more information or a demo, contact us at:
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