

## Segment - 04

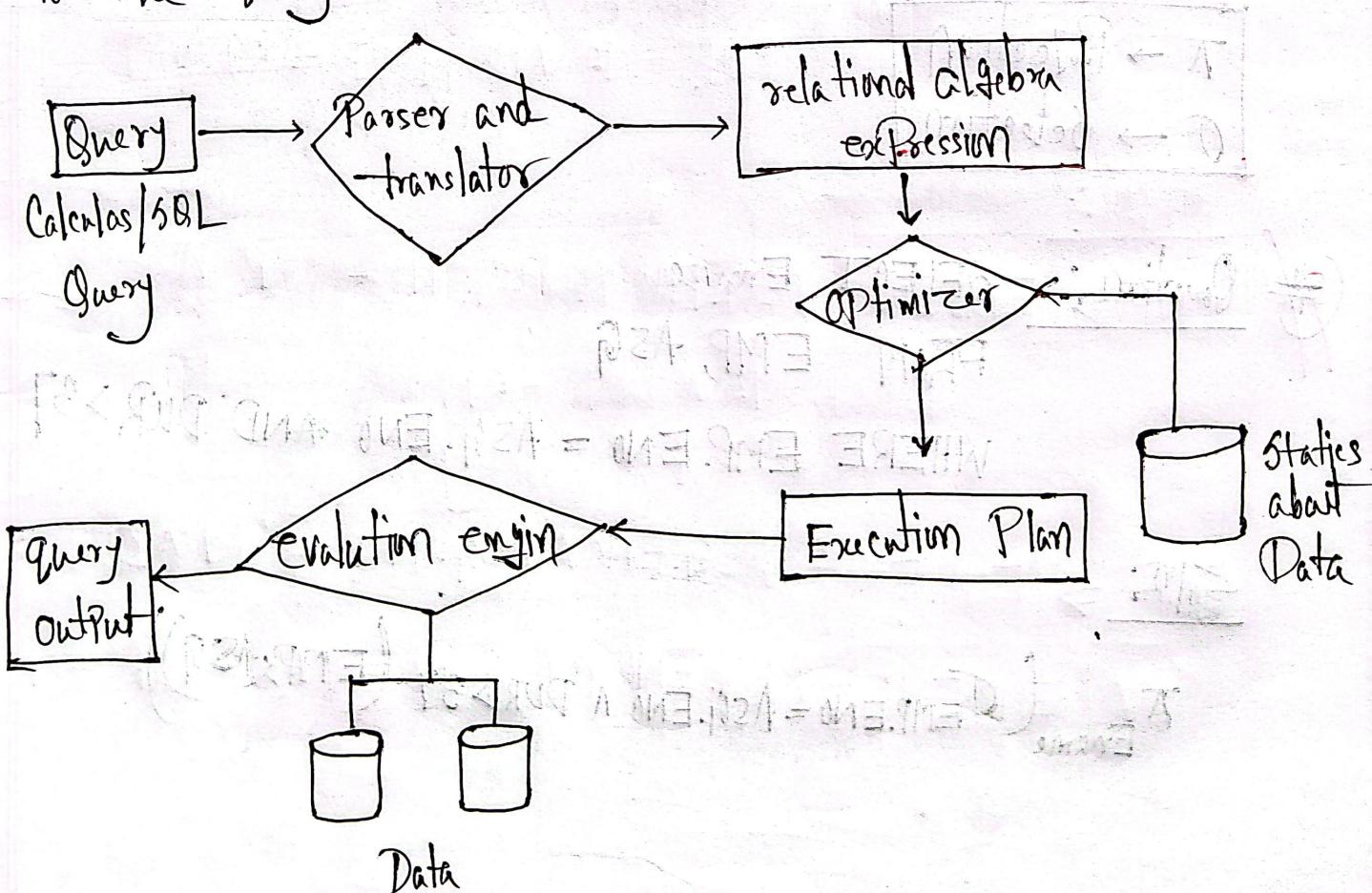
### ~~#~~ Query Processing :

3 step process that transforms a high level query (relational calculus/SQL) into an equivalent and more efficient lower-level query (relational algebra).

i) Parsing and translation

ii) Optimizer

iii) Evaluation : The query evaluation engine takes an optimal evaluation plan, executes that plan and returns the answer to the query.



~~(#)~~ Question: Retrieve the birth date and address of the employees whose name is "John Smith".

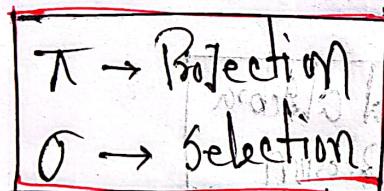
SELECT Bdate, Address

FROM EMPLOYEE

WHERE Fname = 'John' AND Lname = 'Smith'

Soln:

$\pi_{Bdate, Address} (\sigma_{Fname = 'John' \wedge Lname = 'Smith'} (EMPLOYEE))$ .



~~(#)~~ Question: SELECT Ename

FROM EMP, ASG

WHERE EMP.ENO = ASG.ENO AND DUR > 37

Soln:

$\pi_{Ename} (\sigma_{EMP.ENO = ASG.ENO \wedge DUR > 37} (EMP \bowtie ASG))$

## ~~#~~ Query Processing Steps / Data Localization :

Calculates Query on Distributed Relation

Query Decomposition

Global Schema

Algebraic Query

Data Localization

Fragment schema

Fragment Query

Stats on Fragments

Global Optimization

Optimized Fragment Query

Local Schemas

Local Optimization

Optimized Local Query

Control Sites

Local Sites

## ~~#~~ 4-Steps of Query Decomposition :

- i) Normalization (CNF, DNF)
- ii) Analysis (Lexical & Syntactic analysis)
- iii) Elimination of redundancy
- iv) Rewriting

~~#~~ Normalization : ~~(2)~~ steps

i) Lexical and ~~synan~~ syntactic analysis

ii) Put into normal form

↳ Conjunctive normal form  $(P_1 \vee P_2) \wedge (P_m \vee P_{m+1})$

↳ Disjunctive normal form  $(P_1 \wedge P_2) \vee (P_{m+1} \wedge P_{m+2})$

~~#~~ Example: SELECT Ename

FROM EMP, ASG

WHERE EMP.ENO = ASG.ENO AND

ASG.PNO = "P<sub>1</sub>" AND

DUR = 12 AND DUR = 24

Soln:

CNF  $\Rightarrow$  EMP.ENO = ASG.ENO  $\wedge$  ASG.PNO = "P<sub>1</sub>"  $\wedge$

(DUR = 12  $\wedge$  DUR = 24)

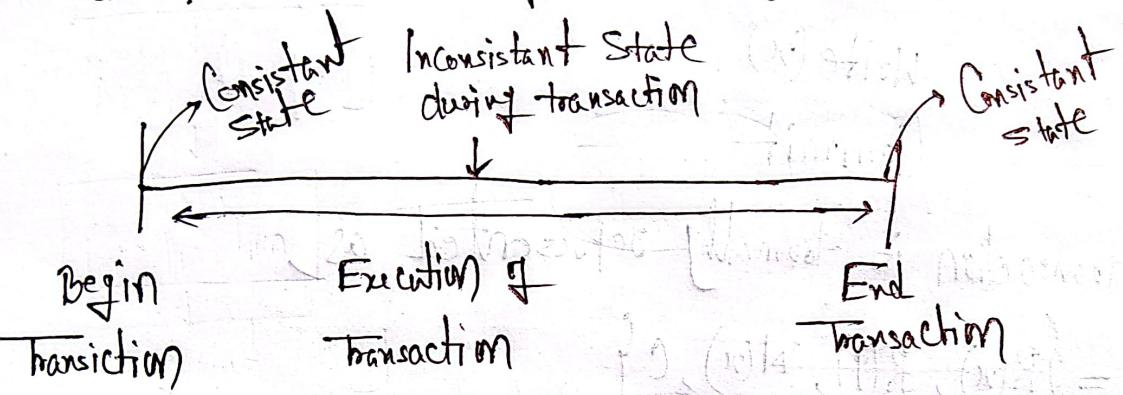
DNF  $\Rightarrow$  (EMP.ENO = ASG.ENO  $\wedge$  ASG.PNO = "P<sub>1</sub>")  $\wedge$  DUR = 12

$\vee$  (EMP.ENO = ASG.ENO  $\wedge$  ASG.PNO = "P<sub>1</sub>")  $\wedge$  DUR = 24

## Segment - 05

### ~~# Transaction Management:~~

A Collection of actions, that transform the DB from one Consistent state into another Consistent state; during the execution the DB might be inconsistent.



### ~~# States of Transaction:~~

- i) Active → Initial state / during the execution.
- ii) Partially Committed → After the final statement has been executed.
- iii) Committed → After successful completion.
- iv) Failed → After the discovery that normal execution can no longer proceed.
- v) Aborted → After the transaction has been rolled back and the DB restored to its state prior the start of the transaction. Restart it again or kill it.

## ~~#~~ Formalization of Transaction:

Example : Read(x)

Read(y)

$x \leftarrow x + y$

Write(x)

Commit

The transaction is formally represented as,

$$\Sigma = \{R(x), R(y), W(x), C\}$$

$$\alpha = \{(R(x), W(x)), (R(y), W(x)), (W(x), C), (R(x), C), (R(y), C)\}$$

## ~~#~~ ACID Properties:

i) Atomicity: Either all or None.

A transaction is treated as a single unit of operation and is either executed completely or not at all.

ii) Consistency: Before transaction start and after transaction completed the total amount of data should be same.

iii) Isolation: A transaction is executed as if it would be the only one.

④ Durability: The updates of a committed transaction are permanent in DB.

### Problems in transactions:

- ① Dirty read:  $T_1$  modifies  $x$  which is then read by  $T_2$  before  $T_1$  terminates; if  $T_1$  aborts  $T_2$  has read value which never exists in DB.
- ② Non-repeatable (fuzzy) read:  $T_1$  read  $x$ ;  $T_2$  then modifies or deletes  $x$  and commits;  $T_1$  tries to read  $x$  again but reads a different value or can't find it.
- ③ Phantom:  $T_1$  searches the database according to a predicate  $P$  while  $T_2$  inserts new tuples that satisfy  $P$ .

### Transaction Process issues:

- ① Transaction structure
- ② Internal database Consistency
- ③ Reliability Protocols
- ④ Concurrency Control algorithms
- ⑤ Replica Control Protocols.
- ① Concurrency Control
- ② Isolation
- ③ Durability
- ④ Performance

## Segment - ⑥

\* # Concurrency Control: It's the Problem of synchronizing concurrent transaction such that the following two properties are achieved -

- ① The consistency of DB is maintained.
- ② The maximum degree of Concurrency of operation is achieved.

\* # Conflicting Operation:

-  $O_{ij} = \text{read}(x)$  and  $O_{ki} = \text{Write}(x)$

-  $O_{ij} = \text{Write}(x)$  and  $O_{ki} = \text{read}(x)$

-  $O_{ij} = \text{Write}(x)$  and  $O_{kj} = \text{Write}(x)$

\* # Locking Based Algorithm:

2 Types of lock -

① read lock (rl) / Shared lock

② Write lock (wl) / exclusive lock

## Compatibility Matrix:

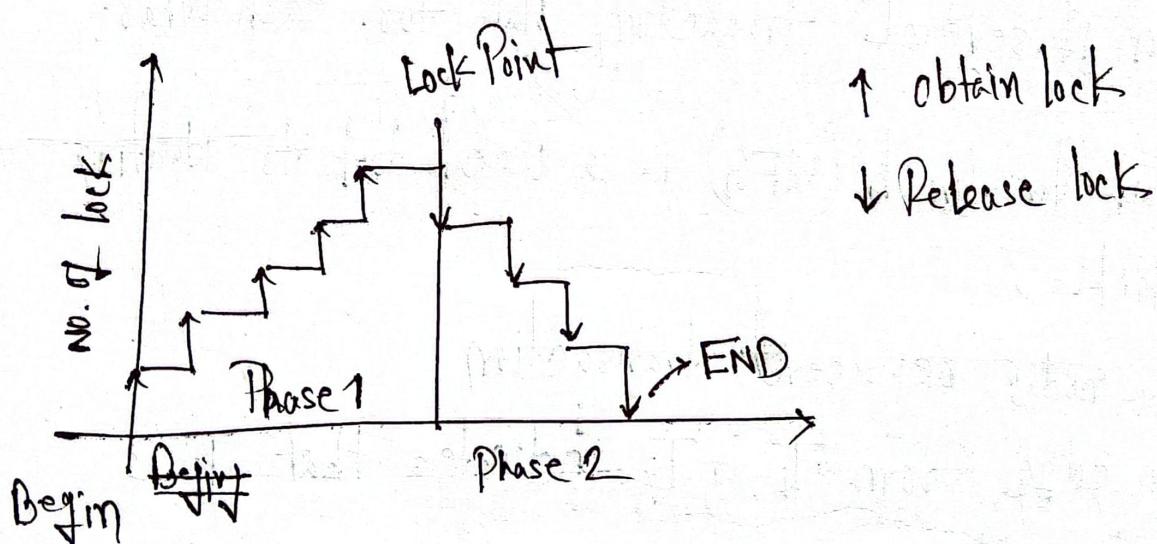
	$o_i(x)$	$w_i(x)$
$o_i(x)$	Compatible	Not Compatible
$w_i(x)$	Not Compatible	Not Compatible

## Two Phase Locking (2PL):

Each transaction is executed in two Phase

i) Growing Phase → obtain lock

ii) Shrinking Phase → Releases lock



Serializability, Conflict-Serializability, Deadlock Freedom, Recoverability

## ~~#~~ Properties of 2PL Protocol :

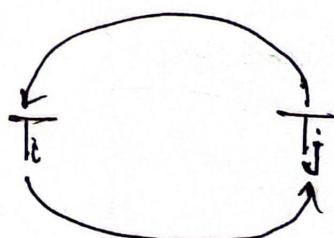
- Generates Conflict-Serializable schedule
- But schedules may cause Cascading aborts —
  - \* If a transaction aborts after it releases a lock it may cause other transactions that have accessed the unlocked data item to abort as well.

## Deadlock Management

~~#~~ Deadlock : A set of transaction is in a deadlock situation if several transaction wait for each other.

A Wait-for-Graph (WFG) is a useful tool to identify deadlocks,

- The nodes represent transaction
- An edge from  $T_i$  to  $T_j$  indicates that  $T_i$  is waiting for  $T_j$ .
- If the WFG has a cycle, we have a deadlock situation.



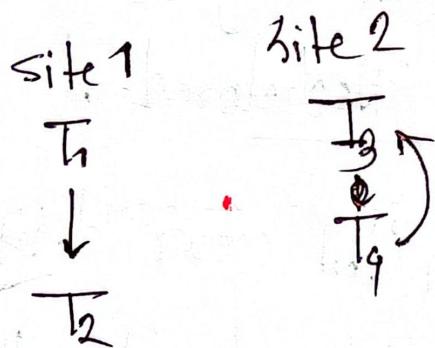
## (Deadlock Prevention, Deadlock detection and recovery)

### Deadlock Management:

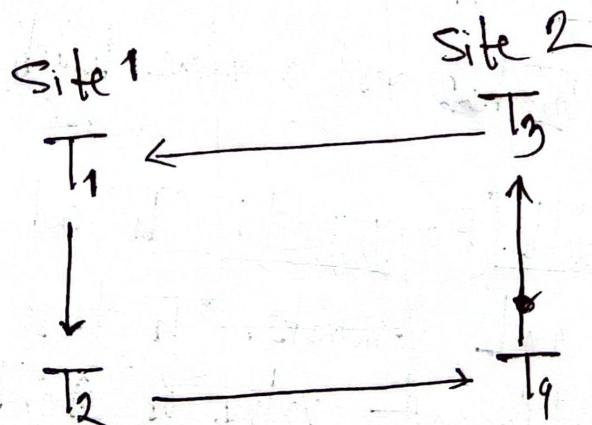
Assume  $T_1$  and  $T_2$  run at site 1,  $T_3$  and  $T_4$  run at site 2, and the following wait-for-relationships between them:

$T_1 \rightarrow T_2 \rightarrow T_3 \rightarrow T_4 \rightarrow T_1$ . This Deadlock Cannot be detected by the LWF<sub>G</sub>, but, by the GWF<sub>G</sub> which shows intersite waiting.

### Local WF<sub>G</sub>:



### Global WF<sub>G</sub>:



~~Deadlock Prevention~~: Guarantee that deadlock never occurs:

- ① Check transaction when it's initiated.
- ② Start it only if all required resources are available.
- ③ All resources which may be needed by a transaction must be Predeclared.

~~3 phenomena/situation that occur during transaction —~~

- ① Dirty read:  $T_1$  modifies  $x$  which is then read by  $T_2$ . Then  $T_1$  aborts,  $T_2$  has read a value which never exist in the DB.
- ② Non-repeatable (Fuzzy) read:  $T_1$  read  $x$ ;  $T_2$  then modifies & deletes  $x$  and commits.  $T_1$  tries to read  $x$  again but read a different value or can't find it.
- ③ Phantom:  $T_1$  searches the database according to a Predicate  $P$  while  $T_2$  inserts new tuples that satisfy  $P$ .

## Segment - 7

~~#~~ Reliability: A reliable PPDBMS is one that can continue to process user requests even when the underlying system is unreliable, i.e. failure occurs.

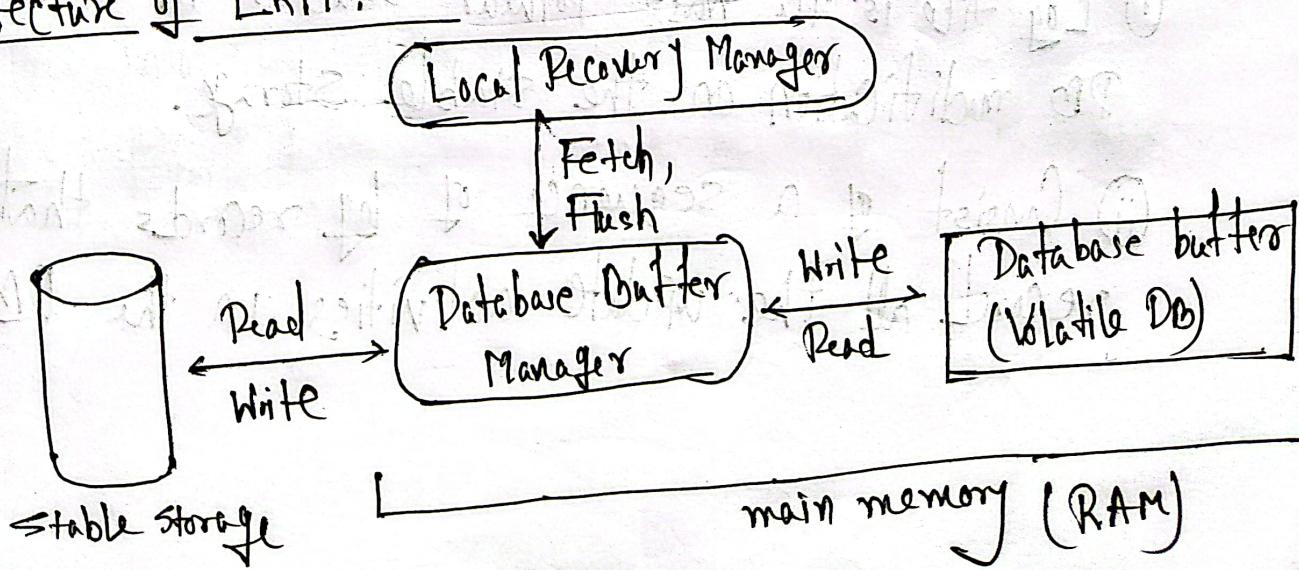
### ~~#~~ Types of Failure:

- i) Transaction failure,
- ii) System failures, e.g., system crash, power supply failure.
- iii) Media failures, e.g.: hard disk failure.
- iv) Communication failure, e.g.: lost/undeliverable messages.

### ~~#~~ Local Recovery Management:

+ local recovery manager (LRM) maintain the atomicity and durability properties of local transaction at each site.

### ~~#~~ Architecture of LRM:



~~Two ways for the LRM to deal with update operation:~~

i) In-Place Update:

- Physically changes the value of the data item in the stable database.
- As a result, Previous values are lost.
- Mostly used in databases.

ii) Out-of Place Update:

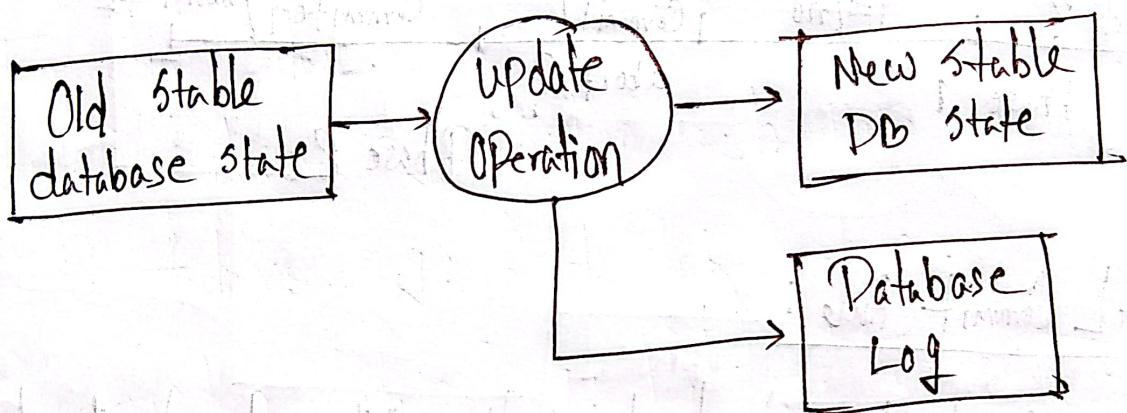
- The new values of updated data item are stored separately from the old values.
- Periodically, the updated values have to be integrated into the stable DB.

~~In-Place - Update:~~

i) Log file is the most popular structure for recording DB modification on the stable storage.

ii) Consist of a sequence of log records that record all the update activities in the DB.

- (iii) Each log record describes a ~~single~~ significant event during transaction Processing.
- (iv) With the information in the log file the recovery manager can restore the consistency of the DB in case of a failure.

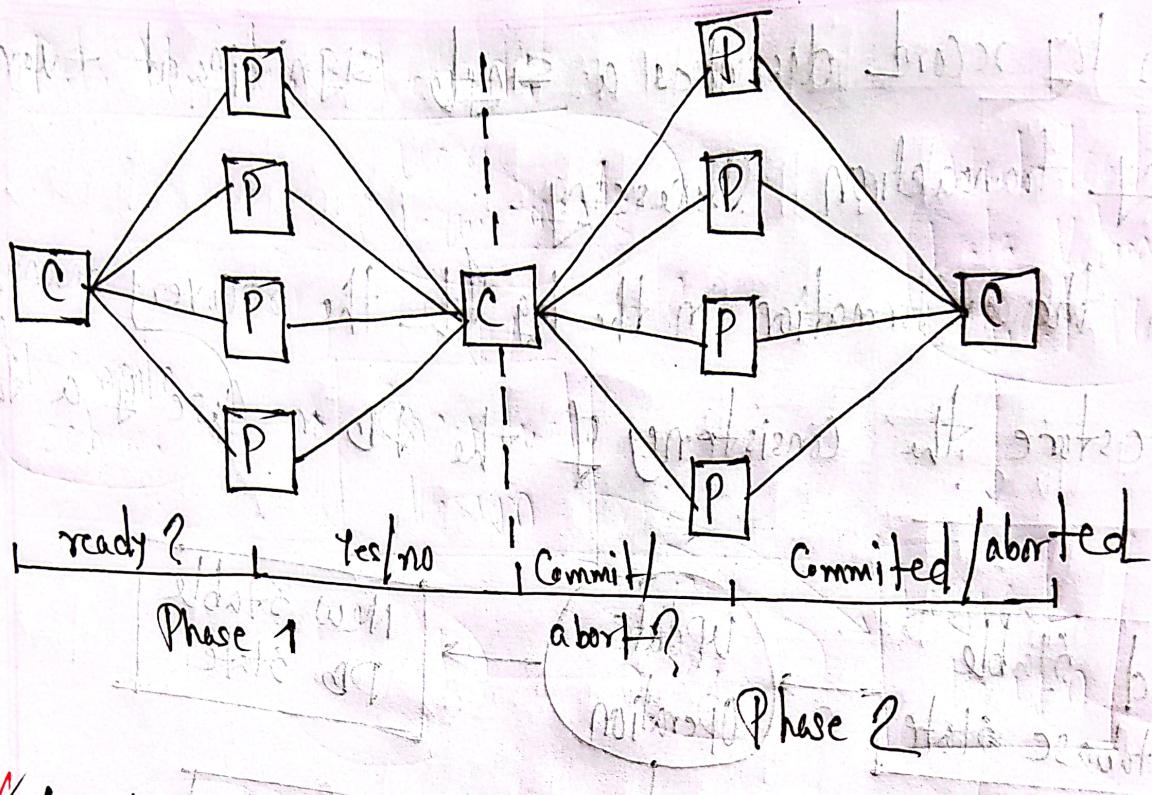


## ~~2~~ 2 Phase Commit (2PC) Protocol

Simple Protocol that ensures atomic commitment of distributed transactions.

Phase 1: The Coordinator gets the Participants ready to write the rule into the database.

Phase 2: Everybody writes the results into the database.



~~Global Commit Rule:~~

- i The Coordinator aborts transaction if and only if at least one Participant vote to abort it.
- ii The Coordinator Commits a transaction if and only if all the Participants vote to Commit it.

## Segment - 8

### ~~Q~~ Data Warehouse :

A Data Warehouse is a -

- subject oriented
- integrated
- time varying
- non-volatile

Characteristics

Collection of data that is used primarily in organizational decision making.

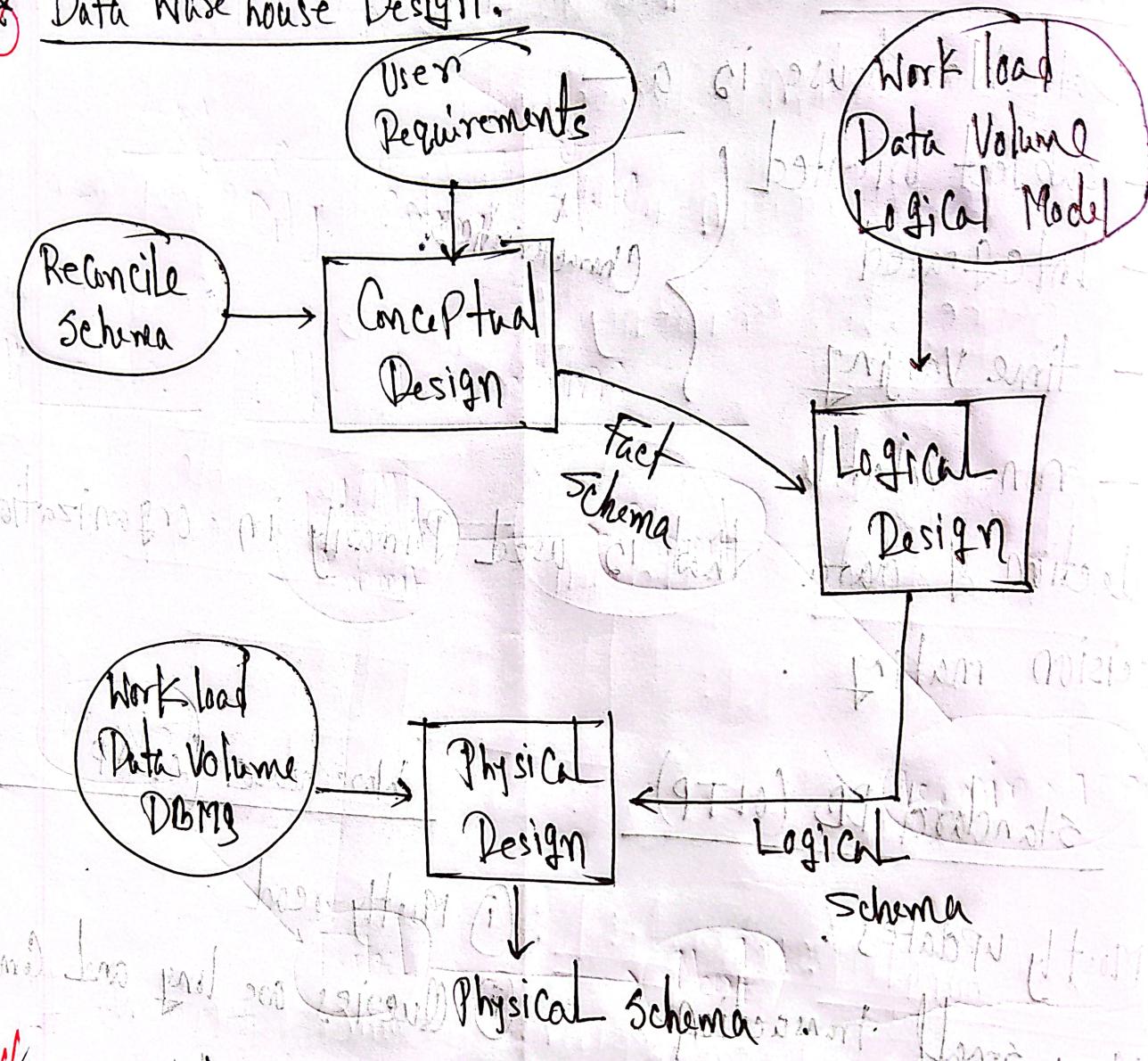
### ~~Q~~ standard DB (OLTP)

- i) Mostly updates.
- ii) Many small transaction
- iii) Mb-Gb of data
- iv) Current snapshot.
- v) Index / hash on P.K.
- vi) Raw data
- vii) Thousands of users.

### Warehouse (OLAP)

- i) Mostly read
- ii) Queries are long and complex
- iii) Gb-Tb of data
- iv) History.
- v) Lots of scan
- vi) Summarized, reconciled data
- vii) Hundreds of users.

## ~~\* Data Warehouse Design:~~



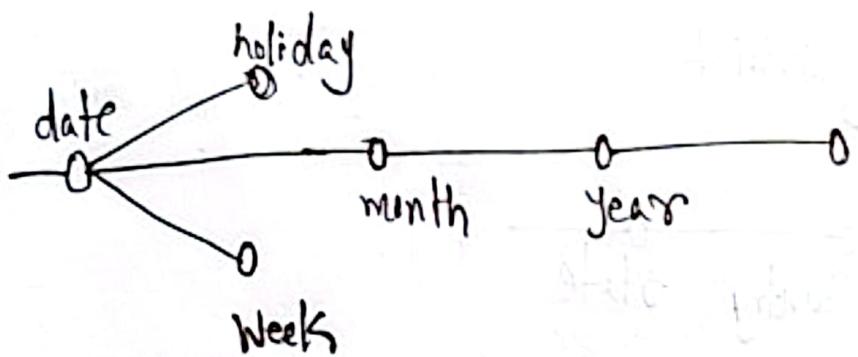
## ~~Hierarchy:~~

A hierarchy is a directional tree whose -

→ Nodes are dimensional attributes

→ Edges describe n:1 associations between Pairs of dimensional attribute

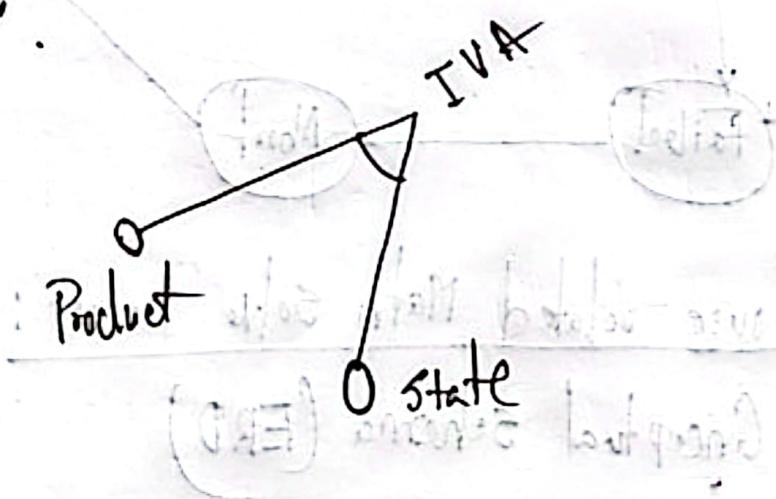
→ root is the Considered dimension



### ~~Cross-dimensional attributes:~~

A cross-dimensional attribute is a dimensional or descriptive attribute whose value is obtained by combining values of some dimensional attributes.

e.g.: 'IVA' is computed based on the "Product" and the "State".

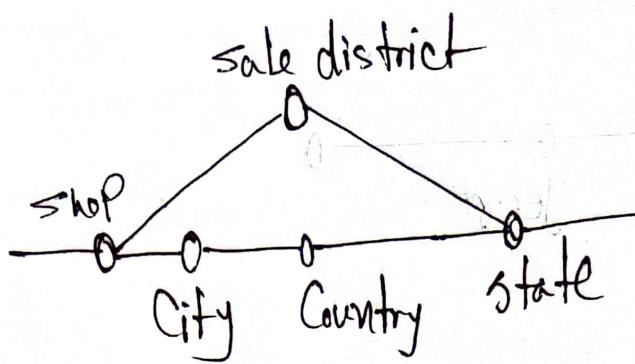


### ~~Convergence:~~ In Convergence —

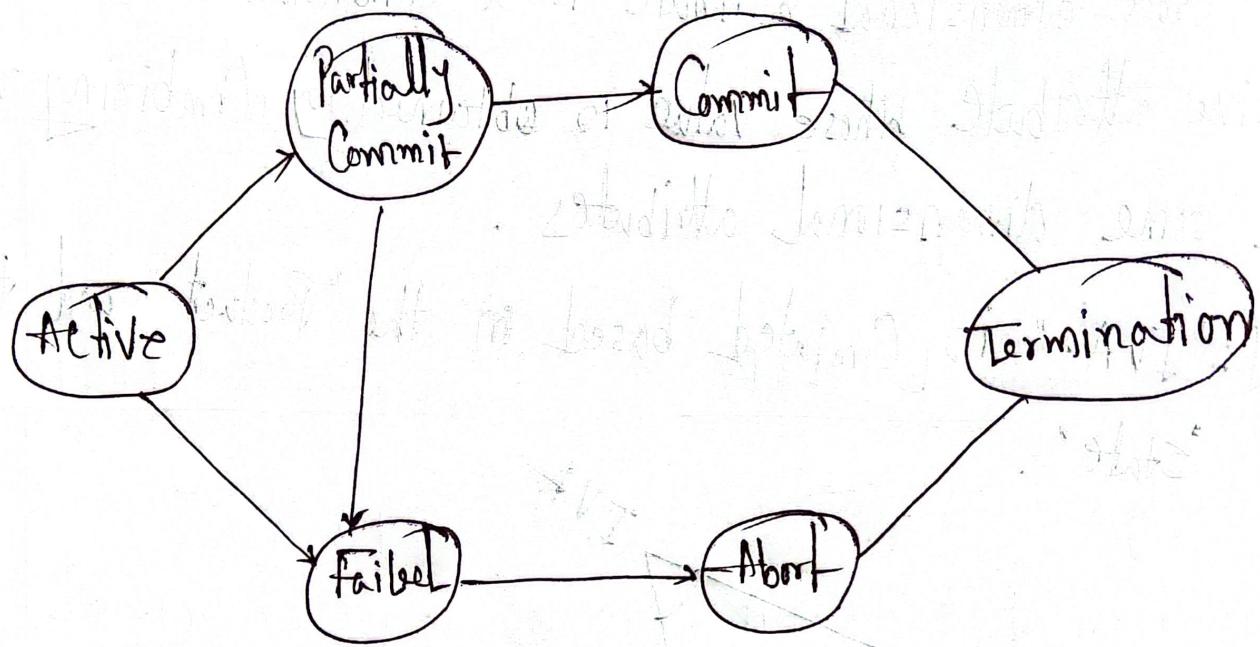
→ Two dimensional attributes can be connected by more than two distinct and oriented edges.

e.g.: shop → city → country → state.

or, shop → sale district → state.



~~①~~ States of the transaction:



~~②~~ Data warehouse related Math Solve Process :

~~i~~ Design Conceptual schema (ERD)



~~ii~~ Determine Fact, Measures and Dimension,  
and draw logical schema diagram (use grafting  
and pruning)



~~iii~~ Draw attribute tree and fact schema

④ Finally, Design a Snowflake or Star schema Diagram.

# Timestamp-based locking: An algorithm that assigns timestamps to transactions and uses timestamps to determine the order in which locks are granted. This ensure that transactions are serialized in a Consistant order.