Lecture 8: Physical and Link Layers

## **Topics Today**

- Physical layer: chips versus bits
- Link layer and media access control (MAC)
- Ethernet
- Hubs and Switches
- MPLS

Physical layer: chips versus bits

# **Protocol Layering**

7	Application			
6	Presentation			
5	Session			
4	Transport			
3	Network			
2	Link			
1	Physical			

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## Physical Layer (Layer 1)

### • Responsible for specifying the physical medium

- Category 5 cable (Cat5): 8 wires, twisted pair, RJ45 jack
- WiFi wireless: 2.4GHz

### Responsible for specifying the signal

- 100BASE-T: 5-level pulse amplitude modulation (PAM-5)
- 802.11b: Binary and quadrature phase shift keying (BPSK/QPSK)

### Responsible for specifying the bits

- 100BASE-T: 4-to-6 bit-to-chip encoding, 3 chip symbols
- 802.11b: Barker code (1-2Mbps), complementary code keying (5.5-11Mbps)

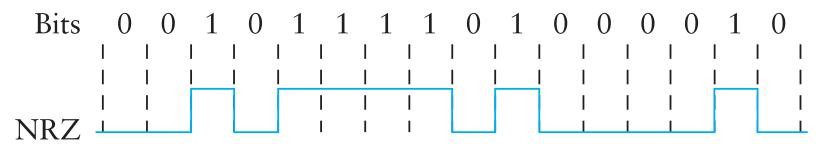
## Specifying the signal

- Chips versus bits
  - Chips: data (in bits) at the physical layer
  - Bits: data above the physical layer
- Physical layer specifies Analog signal ↔ chip mapping
  - On-off keying (OOK): voltage of 0 is 0, +V is 1
  - PAM-5: 000 is 0, 001 is +1, 010 is -1, 011 is -2, 100 is +2
  - Frequency shift keying (FSK)
  - Phase shift keying (PSK)
  - Don't worry about this too much now: we'll cover it in greater depth when we look at wireless

## How fast can you transmit information?

- Depends on bandwidth and Signal/Noise ration
- Shannon: Channel capacity  $C = B \log_2(1 + S/N)$ 
  - B is bandwidth of line
  - S and N are average signal & noise power
- For any transmission rate R < C, can have arbitrarily low error rate
- Example: Telephone line
  - 3 KHz b/w,  $30 \text{ db S/N} = 10^{30/10} = 1000$
  - $C \approx 30$  Kbps (so 56 Kbps modems need better S/N ratio)
- Crude intuition for Shannon
  - Sample rate  $\sim$  B
  - V voltage levels encode  $\log_2 V$  bits, so bits/sample  $\sim \log_2 (1 + S/N)$

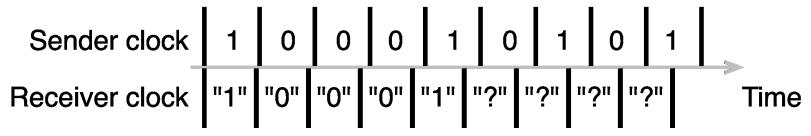
## Straw man: On-off keying



- To transmit 0 bit, sent 0 V, to transmit 1, sent +5 V
  - A bit is a chip in this scheme
- OOK a form of Amplitude Shift Keying (ASK)
  - Bits are encoded in amplitude of the signal
  - Can also have frequency shift keying (FSK)
  - And phase shift keying (PSK)
- Also an example of non-return to zero (NRZ)
  - E.g., four 1 bits transmitted by asserting +5 V for 4 clock ticks

#### NRZ drawbacks

- Consecutive 1s or 0s are problematic
- Non signal could be interpreted as 0s (or vice versa)
- "Baseline wander" problem
  - Where is threshold between low and high?
  - Could compare signal to average value, but avg. will drift
- Sender and receiver need synchronized clocks
  - Otherwise, can experience "bit slip"



### Non-return to Zero Inverted (NRZI)

- Encode 1 with transition from current signal
- Encode 0 by staying at same level
- At least solves problem of consecutive 1s

## **Encoding Goals**

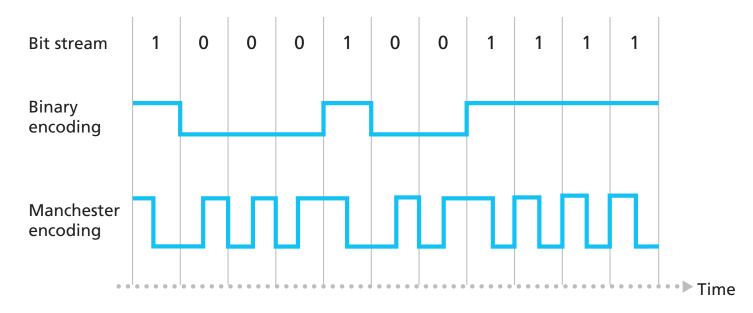
- DC balancing (same number of 0 and 1 chips)
- Clock synchronization
- Can recover from some chip errors
- Can constrain analog signal patterns to make signal more robust
- Want near-chanel capacity with negligible errors
  - But Shannon only says it's possible, doesn't tell us how
  - Codes could also get computationally expensive

### • In practice:

- Higher encoding  $\rightarrow$  fewer bps, more robust
- Lower encoding → more bps, less robust

## **Manchester Encoding**

- Map bit  $0 \rightarrow$  chips 01, bit  $1 \rightarrow$  chips 10
  - Transmission rate now 1 bit per *two* clock cycles
  - Like XORing an NRZ encoding with the clock



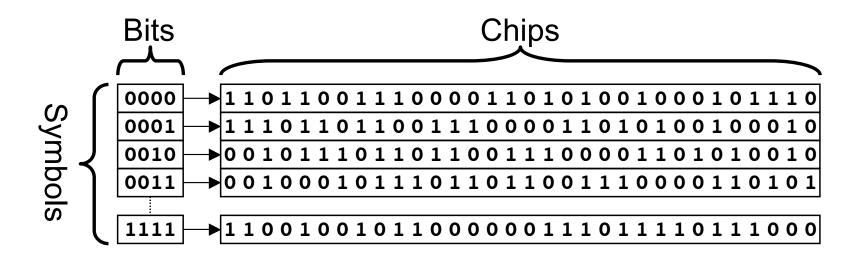
- Solves clock synchronization & baseline wander
- But cuts transmission rate in half

### 4B/5B

- Every 4 bits of data encoded in 5 chips
- 5-bit codes selected to have no more than one leading 0 and no more than two trailing 0s
  - thus, never get more than three consecutive 0s
- 16 codes used for all 4-bit sequences
- Resulting 5bit codes are transmitted using NRZI
- Remaining codes used for other purposes
  - E.g., 11111 line idle, 00000 line dead, ...
- Achieves 80% bit/chip efficiency

#### 802.15.4

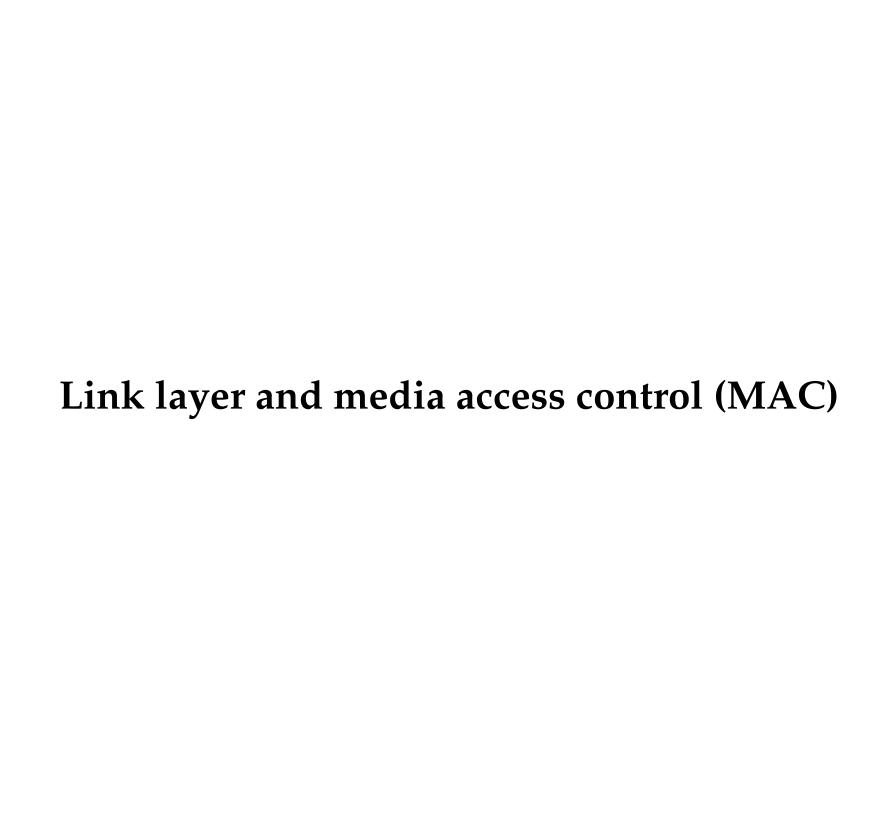
- Standard for low-rate wireless personal networks
  - Must tolerate high chip error rates
- Uses a 32-to-4 chip-to-bit encoding



## **Physical Layer Frames**

- ullet Usually minimalist: "here's N bytes"
  - Start symbol/preamble
  - Length field
  - Payload (link layer frame)





## Link Layer Responsibilities

- Single-hop addressing (e.g., Ethernet addresses)
- Media access control
  - Link-layer congestion control
  - Collision detection/collision avoidance
- Single-hop acknowledgements

### Ethernet: 802.3

- Dominant wired LAN technology
  - 10BASE5 (vampire taps)
  - 10BASE-T, 100BASE-TX, 1000BASE-T

#### • Frame format:

Physical			Link		Layer 3	Link		
	Preamble	SFD	Src	Dest	Type/ Len	Payload	CRC	Gap 96 ns,
	7 x 10101010	10101011	6 bytes	6 bytes	2 bytes	46-1500 bytes	4 bytes	960 ns, 9600 ns

## **Ethernet Addressing**

- Each Ethernet card has a unique 48-bit ID
  - Example: www.scs.stanford.edu has 00:07:e9:0f:1f:3e
  - Example: myth15 has 00:1e:c9:2f:a2:9c
- 24-bit organizationally unique identifier, 24-bit ID
  - 0x000000-0x000009: Xerox
  - 0x0007e9: Intel (www.scs)
  - 0x001ec9: Dell (myth15)
  - http://standards.ieee.org/regauth/oui/oui.txt

### Media Access Control (MAC)

- Control access to shared physical medium
  - E.g., who can use coax/radio when?
  - If everyone talks at once, no-one hears anything
  - This job falls to the link layer
- Prevent collisions by controlling when nodes send
- Variety of approaches
  - Time Division Multiple Access (TDMA)
  - Carrier Sense Multiple Access, Collision Detection (CSMA/CD)
  - Carrier Sense Multiple Access, Collision Avoidance (CSMA/CA)
  - Request-to-send, clear-to-send (RTS/CTS)

### **MAC** Approaches

### • Channel Partitioning

- Divide channel into smaller "pieces," allocate pieces to nodes

#### • Random Access

- Don't divide channel, allow conflicts
- Recover from errors caused by conflicts

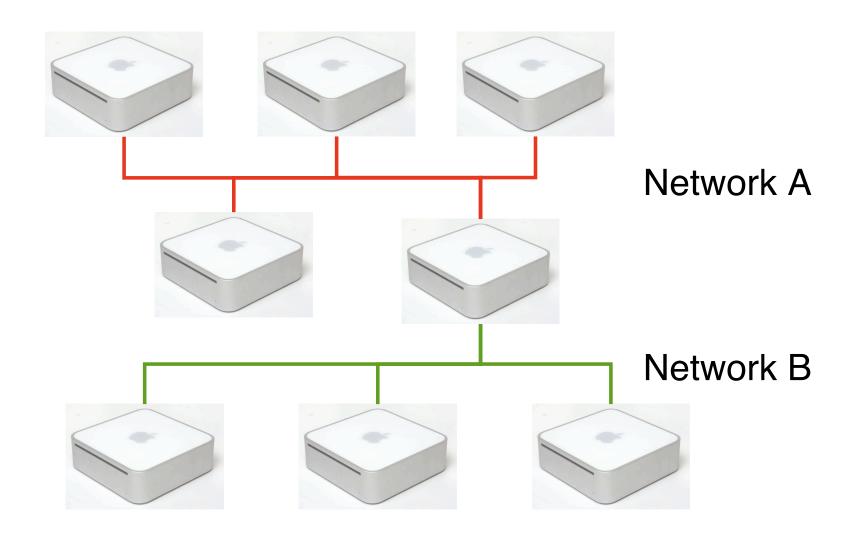
### • "Taking turns"

- Nodes take turns, but nodes with more to send can take longer turns

### • Conflicting goals: maximize use of capacity

- One node should get 100% in absence of competition
- Multiple nodes can each get a share, not collide

## **Conceptual Model of Wired Media Access**

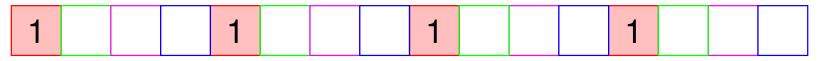


#### **TDMA**

- Divide time into slots
  - Each device is allowed to transmit in some number of slots
- No collisions
- Link is fully utilized when everyone transmits:



• Single node cannot use all of the capacity  $(\frac{1}{n})$ :



• Can't get full link utilization unless everyone transmits:

1 4 1	4 1 2	4 1 2
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#### **CSMA**

- Node senses the channel for activity
- Transmits if it thinks the channel is idle
- CSMA/CD: can detect if there is a collision, and back off
  - Randomized backoff time, grows exponentially
  - After C consecutive collisions, wait  $rand(0, 2^C) \cdot 512$
  - Drop when *C* grows large (in practice)

## Layer 2 Acknowledgements

- Common in wireless (more on this in wireless lecture)
- If layer 2 successfully receives a frame, it immediately sends an ACK
- Assumes  $t_{\text{prop}} \ll t_{\text{trans}}$
- Hypothetical situation:
  - Let's say a router won't send an ACK if it drops the packet
  - Let's say a router will keep on retrying a packet until it is ACKed
  - Do we still need end-to-end ACKs?

## 2-minute stretch





### **Collision Detect (10base2 Ethernet)**

### Detect collision when average voltage spikes

- 10base2 uses Manchester encoding
- Has constant average voltage unless multiple transmitters

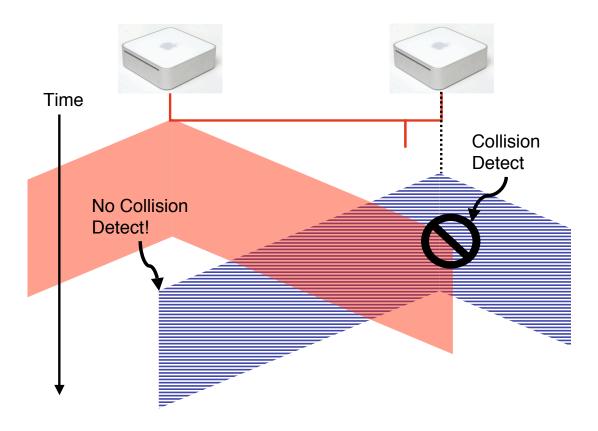
#### When a node detects a collision

- Broadcasts jam signal to ensure other nodes drop packet

### Collision detection constrains protocol

- Imposes min. packet size (64 bytes)
- Imposes maximum network diameter (2800 m)
- Ensure transmission time ≥ twice propagation time—why?

## **Violating Timing Constraints**



• Without min packet size, might miss collision

## **Ethernet Efficiency**

- One node can use full link capacity
- Assuming RX/TX turnaround time of zero

- As 
$$n \to \infty$$
, use =  $\frac{1}{1+5t_{\text{prop}}/t_{\text{trans}}}$ 

- If  $t_{\text{prop}} \rightarrow 0$ , efficiency approaches 1
- If  $t_{\rm trans} \to \infty$ , efficiency approaches 1
- if  $t_{\text{prop}} = t_{\text{trans}}$ , efficiency approaches 16%.

## **Ethernet Capture Effect**

- Exponential backoff leads to self-adaptive use of channel
- When a node succeeds, it transmits the next packet immediately
- Result: bursts of packets from single nodes

## **Ethernet Speeds**

#### • Network diameter limits:

- 10Mbps: 2800m

- 100Mbps: 205m

- Gigabit: 205m!

### • Gigabit Ethernet

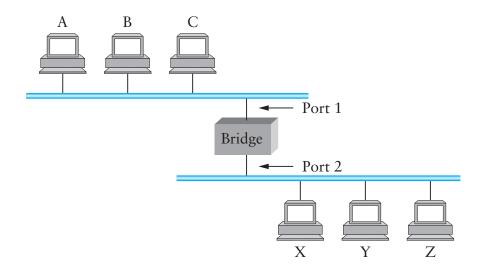
- Uses more of the CAT5 wires (125 MHz · 8 signals)
- Pad with dummy data (signal extension) for CD (so min packet size is now 512 *bytes*, not bits)

## **Hubs and Switches**

#### Hubs vs. Switches

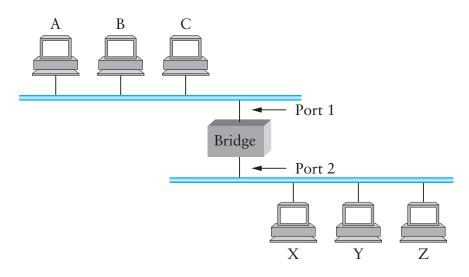
- Hub: connects multiple Ethernet segments to act like a single segment (shared collision domain, physical layer connectivity)
- Switch: store and forward between segments (single collision domains, link layer connectivity)
- Very little Ethernet today is shared
  - Means collision detection never triggered (duplex, separate RX and TX wires)
  - 10Gbps Ethernet standard does not allow shared medium

### Bridges and extended LANs



- LANs have physical limitations (e.g., 208 m)
- Connect two or more LANs with a bridge
  - Operates on Ethernet addresses
  - No encapsulation required
- Ethernet switch like a multi-way bridge

## Learning bridges



### • Idea: Don't forward packet if not useful

- If you know recipient is not on that port

#### Learn host's location based on source address

- Switch builds a table when it receives packets

A	В	С	X	Y	Z
1	1	1	2	2	2

### • Table says when *not* to forward packet

- Does not need to be complete for correct behavior
- Spanning tree algorithm avoids loops



#### **MPLS**

- Multiprotocol Label Switching
- Sits between layer 2 and 3 ("layer 2.5")
- Prepend a "label" to frame
- Switch in terms of label, rather than destination address
  - Two packets to the same destination can take different paths
  - Separating addressing from forwarding enables traffic engineering
  - Label changes from input to output

## MLPS packet format

PPP or Ethernet header MPLS header IP header remainder of link-layer frame



- 20-bit label
- 3 experimental bits
- 1 "bottom of stack bit"
  - Allows multiple MPLS headers to be stacked in a packet
- 5-bit TTL (since network-level TTL not used)

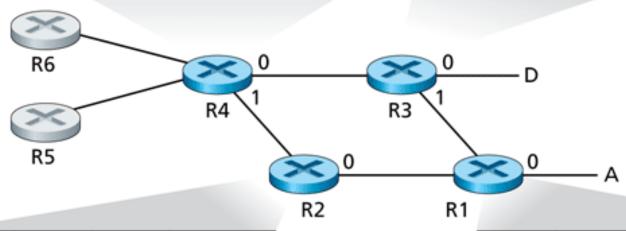
### **MLPS** Architecture

- Label Edge Routers (LERs)
  - Talks to regular IP routers and MPLS-enabled ones
- Label Switch Routers (LSRs)
  - E.g., The core routers in a large backbone provider
- Label Distribution Protocol (LDP)
- Label Forwarding Information Base (LFIB)

## **Example MPLS (from textbook)**

in label	out label	dest	out interface
	10	Α	0
	12	D	0
	8	Α	1

in	out	dest	out
label	label		interface
10	6	A	1
12	9	D	0



in label	out label	dest	out interface
8	6	Α	0

in label	out label	dest	out interface
6	-	Α	0

Figure 5.37 • MPLS-enhanced forwarding