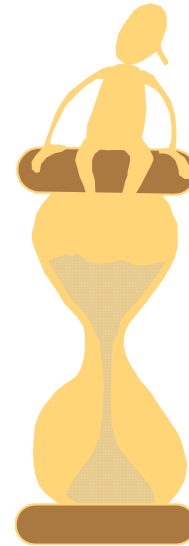


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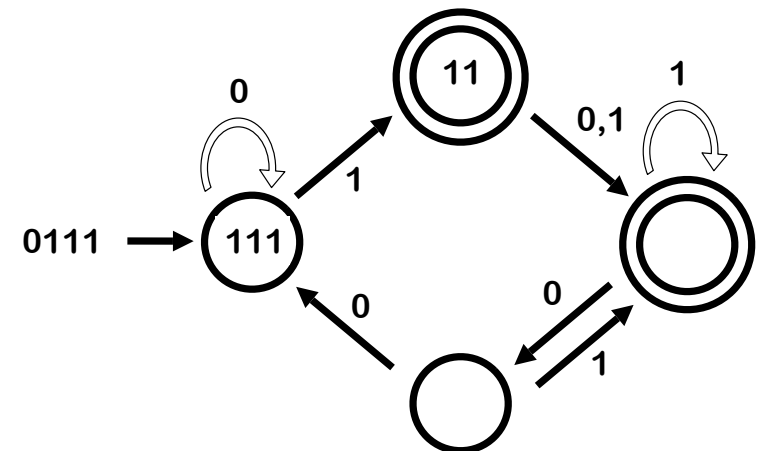
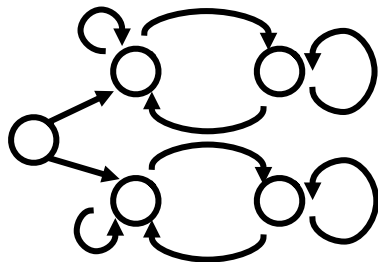
Great Theoretical Ideas in Computer Science



Let me show you a
machine so simple
that you can
understand it in less
than two minutes

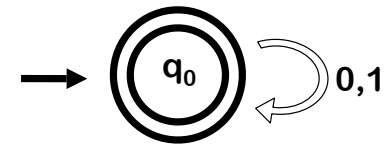
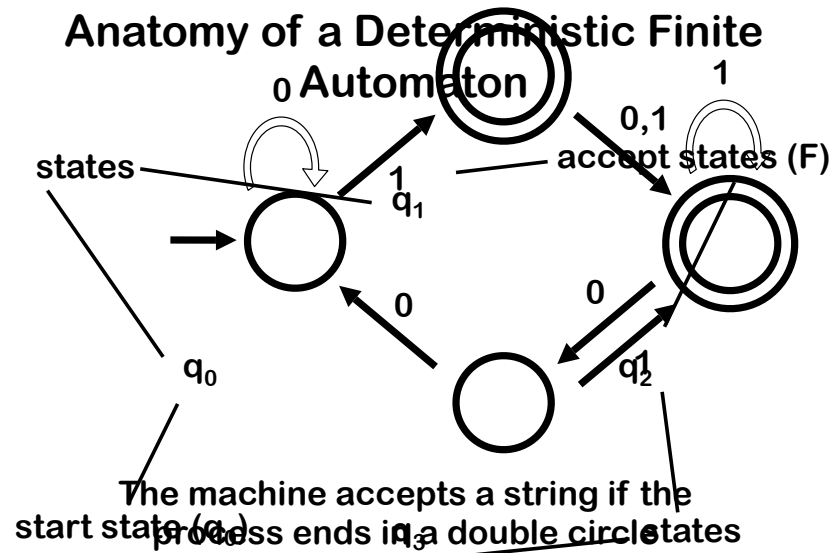
Deterministic Finite Automata

Lecture 20 (October 30, 2008)



The machine accepts a string if the
process ends in a double circle

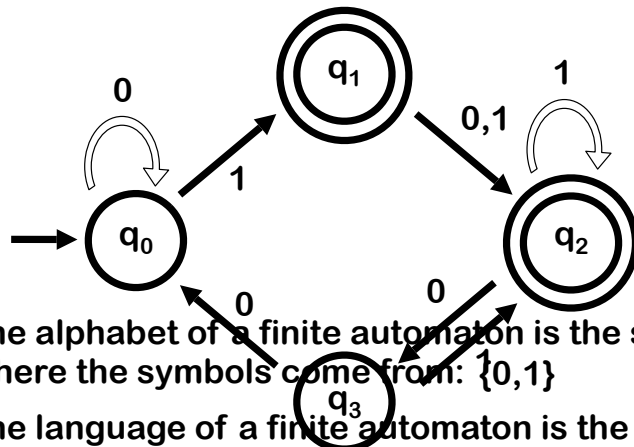
Anatomy of a Deterministic Finite Automaton



$L(M) = \text{All strings of 0s and 1s}$

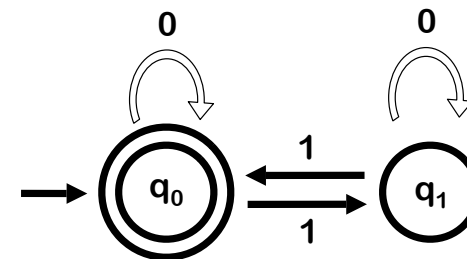
The Language of Machine M

Anatomy of a Deterministic Finite Automaton



The alphabet of a finite automaton is the set where the symbols come from: $\{0,1\}$

The language of a finite automaton is the set of strings that it accepts



$L(M) = \{ w \mid w \text{ has an even number of 1s} \}$

Notation

An alphabet Σ is a finite set (e.g., $\Sigma = \{0,1\}$)

A string over Σ is a finite-length sequence of elements of Σ . The set of all strings over Σ is denoted by Σ^* .

For x a string, $|x|$ is the length of x

The unique string of length 0 will be denoted by ϵ and will be called the empty or null string

A language over Σ is a set of strings over Σ

$M = (Q, \Sigma, \delta, q_0, F)$
where

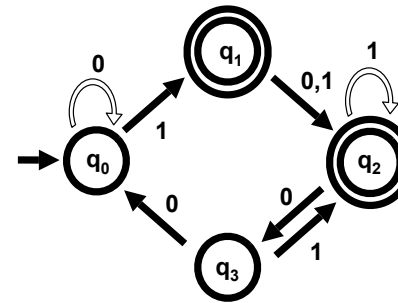
$Q = \{q_0, q_1, q_2, q_3\}$

$\Sigma = \{0,1\}$

$q_0 \in Q$ is start state

$F = \{q_1, q_2\} \subseteq Q$ accept states

$\delta : Q \times \Sigma \rightarrow Q$ transition function



δ	0	1
q_0	q_0	q_1
q_1	q_2	q_2
q_2	q_3	q_2
q_3	q_0	q_2

A finite automaton is a 5-tuple $M = (Q, \Sigma, \delta, q_0, F)$

Q is the set of states

Σ is the alphabet

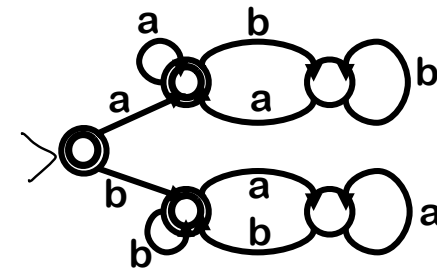
$\delta : Q \times \Sigma \rightarrow Q$ is the transition function

$q_0 \in Q$ is the start state

$F \subseteq Q$ is the set of accept states

$L(M)$ = the language of machine M
= set of all strings machine M accepts

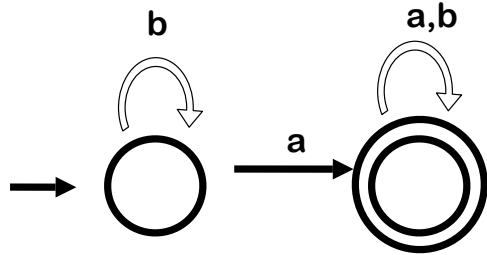
“ABA” The Automaton



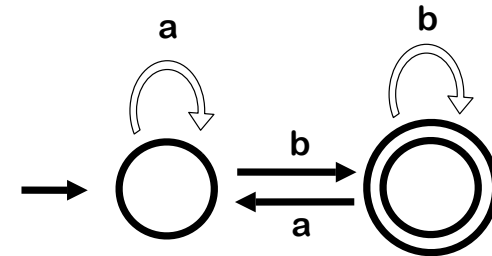
Input String	Result
aba	Accept
aabb	Reject
aabba	Accept
ϵ	Accept

What machine accepts this language?

$L = \text{all strings in } \{a,b\}^* \text{ that contain at least one } a$



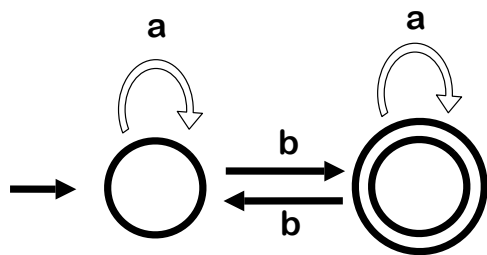
What is the language accepted by this machine?



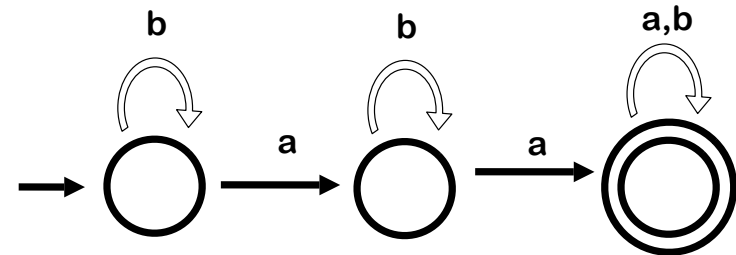
$L = \text{any string ending with a b}$

What machine accepts this language?

$L = \text{strings with an odd number of b's and any number of a's}$



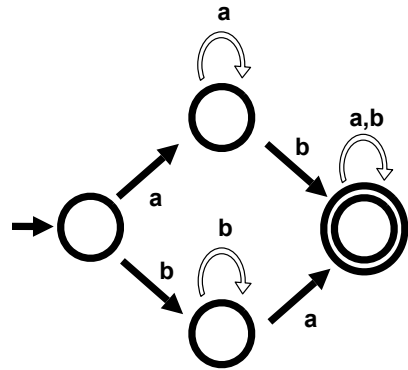
What is the language accepted by this machine?



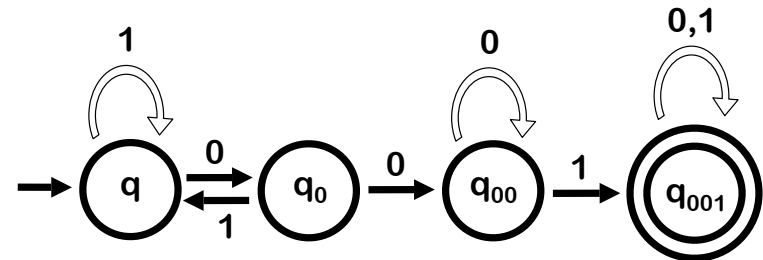
$L(M) = \text{any string with at least two a's}$

What machine accepts this language?

L = any string with an a and a b

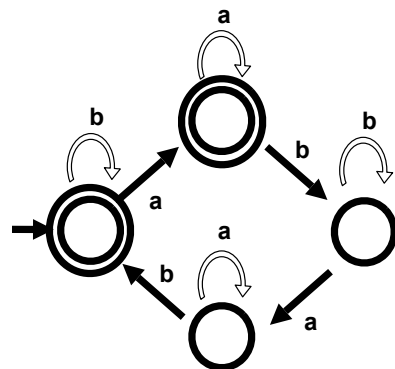


Build an automaton that accepts all and only those strings that contain 001

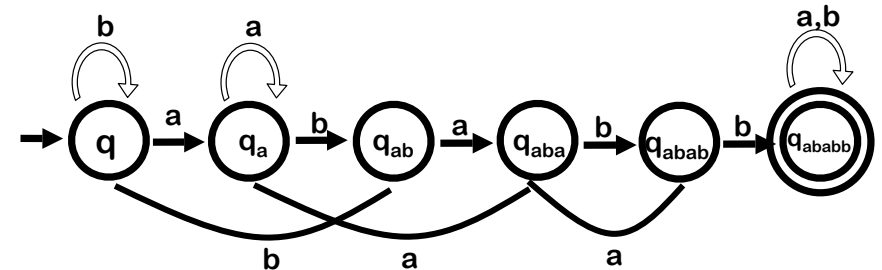


What machine accepts this language?

L = strings with an even number of ab pairs



L = all strings containing *ababb* as a consecutive substring



Invariant:

I am state s exactly when s is the longest suffix of the input (so far) forming a prefix of *ababb*.

The “Grep” Problem

Input: Text T of length t , string S of length n

Problem: Does string S appear inside text T ?

Naïve method:

$a_1, a_2, a_3, a_4, a_5, \dots, a_t$

Cost: Roughly nt comparisons

Real-life Uses of DFAs

Grep

Coke Machines

Thermostats (fridge)

Elevators

Train Track Switches

Lexical Analyzers for Parsers

Automata Solution

Build a machine M that accepts any string with S as a consecutive substring

Feed the text to M

Cost: t comparisons + time to build M

As luck would have it, the Knuth, Morris, Pratt algorithm builds M quickly

A language is regular if it is recognized by a deterministic finite automaton

$L = \{ w \mid w \text{ contains } 001 \}$ is regular

$L = \{ w \mid w \text{ has an even number of } 1\text{s} \}$ is regular

Union Theorem

Given two languages, L_1 and L_2 , define the union of L_1 and L_2 as

$$L_1 \cup L_2 = \{ w \mid w \in L_1 \text{ or } w \in L_2 \}$$

Theorem: The union of two regular languages is also a regular language

Idea: Run both M_1 and M_2 at the same time!

Q = pairs of states, one from M_1 and one from M_2

$$= \{ (q_1, q_2) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \}$$

$$= Q_1 \times Q_2$$

Theorem: The union of two regular languages is also a regular language

Proof Sketch: Let

$M_1 = (Q_1, \Sigma, \delta_1, q_0^1, F_1)$ be finite automaton for L_1

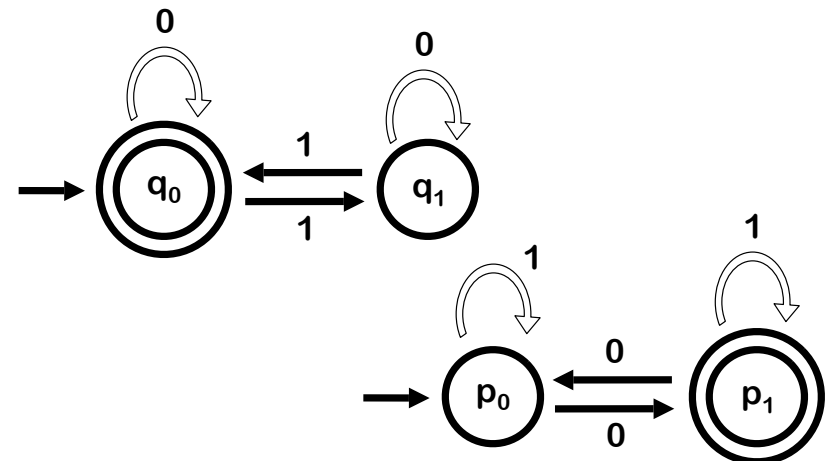
and

$M_2 = (Q_2, \Sigma, \delta_2, q_0^2, F_2)$ be finite automaton for L_2

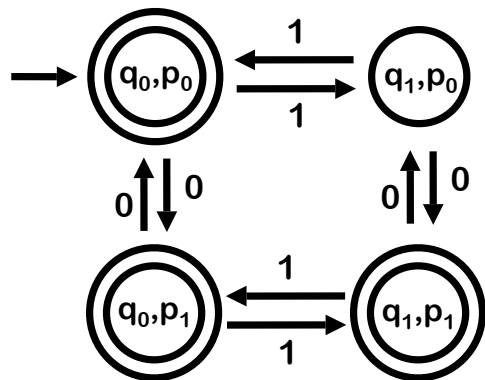
We want to construct a finite automaton

$M = (Q, \Sigma, \delta, q_0, F)$ that recognizes $L = L_1 \cup L_2$

Theorem: The union of two regular languages is also a regular language



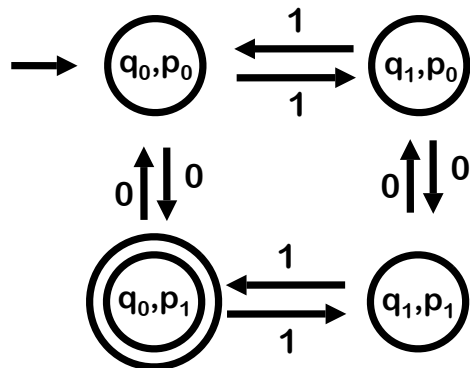
Automaton for Union



Theorem: The union of two regular languages is also a regular language

Corollary: Any finite language is regular

Automaton for Intersection



The Regular Operations

Union: $A \cup B = \{ w \mid w \in A \text{ or } w \in B \}$

Intersection: $A \cap B = \{ w \mid w \in A \text{ and } w \in B \}$

Reverse: $A^R = \{ w_1 \dots w_k \mid w_k \dots w_1 \in A \}$

Negation: $\neg A = \{ w \mid w \notin A \}$

Concatenation: $A \cdot B = \{ vw \mid v \in A \text{ and } w \in B \}$

Star: $A^* = \{ w_1 \dots w_k \mid k \geq 0 \text{ and each } w_i \in A \}$

Regular Languages Are Closed Under The Regular Operations

We have seen part of the proof for Union. The proof for intersection is very similar. The proof for negation is easy.

Consider the language $L = \{ a^n b^n \mid n > 0 \}$

i.e., a bunch of a's followed by an equal number of b's

No finite automaton accepts this language

Can you prove this?

Are all languages regular?

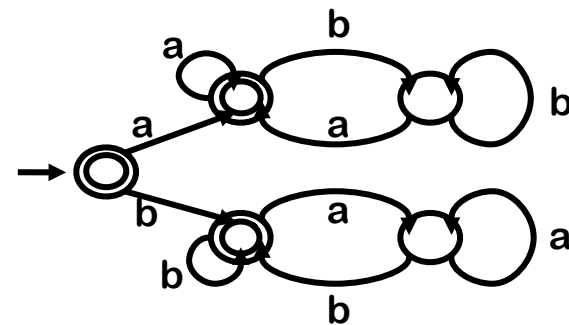
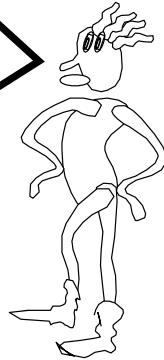


$a^n b^n$ is not regular. No machine has enough states to keep track of the number of a's it might encounter



That is a fairly weak argument

Consider the following example...



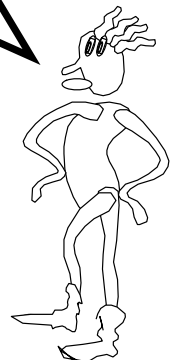
M accepts only the strings with an equal number of **ab**'s and **ba**'s!

L = strings where the # of occurrences of the pattern **ab** is equal to the number of occurrences of the pattern **ba**

Can't be regular. No machine has enough states to keep track of the number of occurrences of **ab**



Let me show you a professional strength proof that $a^n b^n$ is not regular...





Pigeonhole principle:

Given n boxes and $m > n$ objects, at least one box must contain more than one object



Letterbox principle:

If the average number of letters per box is x , then some box will have at least x letters (similarly, some box has at most x)

Advertisement

You can learn much more about these creatures in the FLAC course.

Formal Languages, Automata, and Computation

- There is a unique smallest automaton for any regular language
- It can be found by a fast algorithm.

Theorem: $L = \{a^n b^n \mid n > 0\}$ is not regular

Proof (by contradiction):

Assume that L is regular

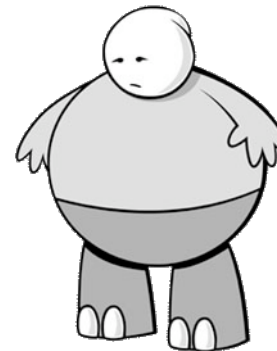
Then there exists a machine M with k states that accepts L

For each $0 \leq i \leq k$, let S_i be the state M is in after reading a^i

$\exists i, j \leq k$ such that $S_i = S_j$, but $i \neq j$

M will do the same thing on $a^i b^i$ and $a^j b^i$

But a valid M must reject $a^j b^i$ and accept $a^i b^i$



Here's What
You Need to
Know...

Deterministic Finite Automata

- Definition
- Testing if they accept a string
- Building automata

Regular Languages

- Definition
- Closed Under Union, Intersection, Negation
- Using Pigeonhole Principle to show language not regular