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# The Experiment Report of Machine Learning

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# Face Detection Based on AdaBoost Algorithm

## Abstract

AdaBoost is one of the most classic Boosting methods. In this report, we will try to solve a face classification problem based on a small dataset using AdaBoost. A few theory and methodology of AdaBoost will be exhibited, followed by several experiments.

## I. Introduction

**B**OOSTING is a machine learning ensemble meta-algorithm for primarily reducing bias, and also variance in supervised learning, and a family of machine learning algorithms that convert weak learners to strong ones.[7]

AdaBoost, short for Adaptive Boosting, is a Boosting method using exponential loss function which emphasizes samples classified incorrectly in the previous training epoches.

In this report, we will first explain the methodology of AdaBoost. Equipped with the powerful tool of AdaBoost, we will solve a face classification problem and then perform face detection with cv2 APIs supporting.

Motivations of Experiment are listed below:

- 1) Understand AdaBoost further
- 2) Get familiar with the basic method of face detection
- 3) Learn to use AdaBoost to solve the face detection problem, and combine the theory with the actual project
- 4) Experience the complete process of machine learning

## II. Methods and Theory

Here we will briefly introduce some important facts of AdaBoost (rather than a complete whole of the mathematical derivation process or the statistical guarantee proving of AdaBoost).

From the perspective of additive model, the AdaBoost model  $H(X_i)$  can be regarded as a linear composition of many base learners  $h_m(X_i)$ , where  $\alpha_m$  is the corresponding weight of  $h_m(X_i)$ .

$$H(X_i) = \sum_{m=1}^T \alpha_m h_m(X_i) \quad (1)$$

$$H_m(X_i) = H_{m-1}(X_i) + \alpha_m h_m(X_i) \quad (2)$$

AdaBoost use the exponential loss function to evaluate and minimize the loss:

$$L(H(X)) = \sum_{i=1}^N e^{-y_i H(X_i)} \quad (3)$$

The following derivation mainly talks about the binary classification problem where the label  $y$  is from  $\{-1, +1\}$ .

When adapted to the binary classification problems, the AdaBoost model changes from equation (1) to:

$$H(X_i) = \text{sign} \left( \sum_{m=1}^T \alpha_m h_m(X_i) \right) \quad (4)$$

Using the Reweighting method, AdaBoost tries to increase weights of those samples misclassified in the previous training epoches while decrease weights of samples classified correctly.

Let  $\varepsilon_m$  be the error rate of the base learner  $h_m(X)$  at epoch  $m$ , then  $\alpha_m$  can be evaluated by:

$$\alpha_m = \frac{1}{2} \ln \left( \frac{1 - \varepsilon_m}{\varepsilon_m} \right) \quad (5)$$

The weighting vector  $\omega$  is updated using:

$$\omega(m+1, i) = \frac{\omega(m, i) e^{-\alpha_m y_i h_m(X_i)}}{Z_m} \quad (6)$$

where  $Z_m$  is the regularization factor:

$$Z_m = \sum_{i=1}^N \omega(m, i) e^{-\alpha_m y_i h_m(X_i)} \quad (7)$$

The pseudocode of AdaBoost can be summarized as:

```

For m = 1, 2, ..., T:
    train  $h_m(X)$  based on the sample weight  $\omega_m$ 
    calculate the error rate  $\varepsilon_m$  of the base learner  $h_m(X)$ 
    if  $\varepsilon_m \geq 0.5$  then break
     $\alpha_m = \frac{1}{2} \ln \left( \frac{1 - \varepsilon_m}{\varepsilon_m} \right)$ 
     $Z_m = \sum_{i=1}^N \omega(m, i) e^{-\alpha_m y_i h_m(X_i)}$ 
     $\omega(m+1, i) = \frac{\omega(m, i) e^{-\alpha_m y_i h_m(X_i)}}{Z_m}$ 
EndFor
return  $H(X_i) = \sum_{m=1}^T \alpha_m h_m(X_i)$ 

```

## III. Experiments

### A. Dataset

The dataset used in this experiment are from the [example repository](#). It provides 1000 pictures, of which 500 are human face RGB images and the other 500 are non-face RGB images.

## B. Implementation

### B1 Training procedure of the AdaBoost Model

- 1) Initialize training set weights  $\omega$ , each training sample is given the same weight  $\frac{1}{N}$ .
- 2) Training a base classifier(Here we use a decision tree, `DecisionTreeClassifier`, from `sklearn.tree` library) based on the current sample weights.
- 3) Calculate the classification error rate  $\varepsilon$  of the base classifier on the training set.
- 4) Calculate the parameter  $\alpha$  according to the classification error rate  $\varepsilon$ .
- 5) Update training set weights  $\omega$ .
- 6) Repeat steps 2-5 above for iteration. The number of iterations is based on the number of classifiers.

### B2. Face Classification

- 1) Load data set data. The images are converted into grayscale images with size of  $24 * 24$ . Face images are labelled +1 while non-face images are labelled -1.
- 2) Processing image samples to extract NPD features.
- 3) The data set is divided into training set and validation set. In this experiment samples of the validation set takes up 25% of the original data set.
- 4) Predict and verify the accuracy on the validation set using the method in `AdaBoostClassifier` and use `classification_report()` of the `sklearn.metrics` library function writes predicted result to `classifier_report.txt` (Appendix.A VI-A).

### B3. Face Detection

Run the `face_detection.py` file. Experience the OpenCV's built-in method of face detection using Haar Feature-based Cascade Classifiers. The result will be save as `face_detect_result.jpg`.

## C. Experiment Results

### C1. Result of Face Classification

The result of face classification are written into the file `report.txt` according to [B2 step 4] The following report is generated by training a small dataset with 750 samples.

From the report we can see that the AdaBoost model gets lower loss estimate than a single weaker classifier. This shows that by using the AdaBoost method, we can combine weak classifiers to get a better classified performance.

### \*C2. Loss Estimate During AdaBoost Training

This subsection is not required in the experiment specification. It is just a small loss estimate test performed by myself.

The following graphs depict the 0/1 loss(fig 1) and exponential loss(fig 2) both decrease as more and more weaker classifiers are aggregated. After epoch 6, the training loss decreases while the val loss increases, which shows the model is likely to become overfitting.

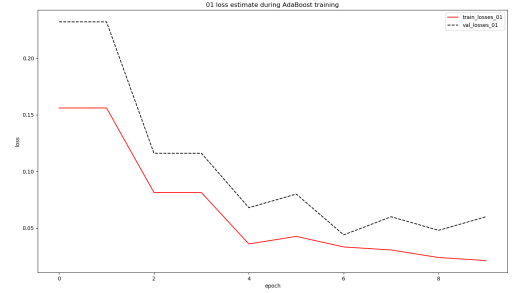


Fig. 1: Zero/One Losses during AdaBoost Training.

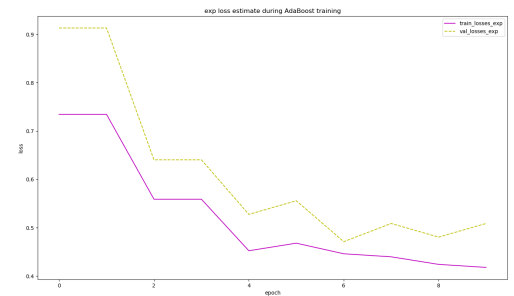


Fig. 2: Exponential Losses during AdaBoost Training.

## IV. Conclusion

In this report, we learn about the methodology about AdaBoost. Then we perform a face classification problem using AdaBoost. This report further estimates loss of the dataset during AdaBoost training process.

## V. References

- 1) Face Detection Based on AdaBoost Algorithm
- 2) Prof.Mingkui Tan. "Ensemble.ppt"
- 3) Prof. Mingkui Tan. "Boosting Method(AdaBoost, GBDT and XGBoost).pdf"
- 4) Zhihua zhou. Machine Learning
- 5) Hang Li. Statistical Learning Method
- 6) Wikipedia. AdaBoost
- 7) Wikipedia. Boosting

## VI. Appendix

## A. report.txt

```
1.loss estimate of a single weak classifier
(a sklearn.tree.DecisionTreeClassifier with max_depth = 1):
timestamp: 2018-11-17 10:51:47.031201
```

```
train_loss_exp = 0.750212
train_loss_01  = 0.162667
val_loss_exp   = 0.884968
val_loss_01    = 0.220000
```

```
classification_report of train data:
```

	precision	recall	f1-score	support
face	0.88	0.78	0.82	370
non-face	0.80	0.90	0.85	380
micro avg	0.84	0.84	0.84	750
macro avg	0.84	0.84	0.84	750
weighted avg	0.84	0.84	0.84	750

```
classification_report of val data:
```

	precision	recall	f1-score	support
face	0.88	0.67	0.76	130
non-face	0.72	0.90	0.80	120
micro avg	0.78	0.78	0.78	250
macro avg	0.80	0.78	0.78	250
weighted avg	0.80	0.78	0.78	250

```
2.loss estimate of AdaBoost
(base classifier: sklearn.tree.DecisionTreeClassifier with max_depth = 1):
timestamp: 2018-11-17 10:55:24.688709
```

```
train_loss_exp = 0.418021
train_loss_01  = 0.021333
val_loss_exp   = 0.508904
val_loss_01    = 0.060000
```

```
classification_report of train data:
```

	precision	recall	f1-score	support
face	0.98	0.97	0.98	370
non-face	0.97	0.98	0.98	380
micro avg	0.98	0.98	0.98	750
macro avg	0.98	0.98	0.98	750
weighted avg	0.98	0.98	0.98	750

```
classification_report of val data:
```

	precision	recall	f1-score	support
face	0.98	0.91	0.94	130
non-face	0.91	0.97	0.94	120
micro avg	0.94	0.94	0.94	250
macro avg	0.94	0.94	0.94	250
weighted avg	0.94	0.94	0.94	250

## B. Core Code of AdaBoost Training (written in python)

```

import math
import copy
import numpy as np

class AdaBoostClassifier:
    '''A simple AdaBoost Classifier.
    Only support binary classification in which the label y is from {-1, +1} currently.
    '''

    def __init__(self, weak_classifier, n_weakers_limit):
        '''Initialize AdaBoostClassifier

        Args:
            weak_classifier: A instance of weak classifier,
                            which is recommend to be sklearn.tree.DecisionTreeClassifier.
            n_weakers_limit: The maximum number of weak classifier the model can use.
        '''
        self.weak_clf = weak_classifier
        self.n_weakers_limit = n_weakers_limit

    def is_good_enough(self):
        '''Optional'''
        pass

    def fit(self, X, y):
        '''Build a boosted classifier from the training set (X, y).
        Args:
            X: An ndarray indicating the samples to be trained,
              which shape should be (n_samples, n_features).
            y: An ndarray indicating the ground-truth labels
              correspond to X, which shape should be (n_samples, 1),
              where the class label y[i, 0] is from {-1, +1}.
        '''
        w = np.ones(y.shape)
        w = w / w.sum() # regularization

        self.a = []
        self.base_clfs = []

        for i in range(self.n_weakers_limit):
            base_clf = copy.copy(self.weak_clf)
            base_clf.fit(X, y.flatten(), w.flatten())

            y_pred = base_clf.predict(X).reshape((-1, 1))

            err_rate = w.T.dot(y_pred != y)[0][0] / w.sum()

            if err_rate > 1 / 2 or err_rate == 0.0:
                break

            weight_param_a = math.log((1 - err_rate) / err_rate) / 2
            w = w * np.exp(-weight_param_a * y * y_pred)
            w = w / w.sum() # regularization

            self.base_clfs.append(base_clf)
            self.a.append(weight_param_a)

            # prevent overfitting
            # if self.is_good_enough():
            #     break;

    def predict_scores(self, X):
        pass

    def predict(self, X, threshold=0):
        pass

```