

SHRI VILEPARLE KELAVANI MANDAL'S DWARKADAS J. SANGHVI COLLEGE OF ENGINEERING (Autonomous College Affiliated to the University of Mumbai)



Autonomous College Affiliated to the University of Mumbai NAAC ACCREDITED with "A" GRADE (CGPA: 3.18)

DEPARTMENT OF INFORMATION TECHNOLOGY

COURSE NAME: Machine Learning Laboratory COURSE CODE: DJS22ITL602

CLASS: Third Year B.Tech SEM: VI

Name: Falak Shah

EXPERIMENT NO. 9 CO

Measured:

CO3 – Apply various machine learning techniques

TITLE: Mini Project: Stage II

AIM / OBJECTIVE: Mini Project

Step 4: Tuning and optimizing our model

Step 5: Making predictions

DESCRIPTION OF EXPERIMENT:

In this mini project you are expected to choose any algorithm in machine learning with respect to some use case of your choice. It can be a small-scale project where you apply machine learning algorithms to a specific dataset to solve a problem, often focusing on a single concept or technique, typically used for learning purposes and usually involving data collection, cleaning, feature engineering, model training, and evaluation within a manageable scope.

Key characteristics of a mini machine learning project to consider in this experiment:

Step 4 - Training using Machine Learning Model:

Once the data has been pre-processed and relevant features have been extracted, the next step is training the machine learning model. This involves:

Splitting the Data:



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The dataset is divided into training, validation, and test sets (e.g., 70%-20%-10% split). Cross-validation techniques like k-fold cross-validation may be used to improve model reliability.

Selecting a Model:

- Based on the problem type (classification, regression, etc.), models like Decision Trees, SVM, Random Forest, Gradient Boosting, or Deep Learning architectures (CNNs, LSTMs, Transformers) are chosen.
- For ensemble learning, multiple models are combined to improve predictive accuracy.

Training the Model:

- The selected model is trained using the training dataset.
- Loss functions (e.g., Cross-Entropy Loss, MSE) and optimization algorithms (SGD, Adam, RMSprop) are used to minimize errors.
- Regularization techniques (L1, L2, Dropout) are applied to prevent overfitting. Hyperparameter

Tuning:

Grid Search, Random Search, or Bayesian Optimization is used to find the best hyperparameters (e.g., learning rate, batch size, number of layers, activation functions).

Handling Imbalanced Data (if applicable):

Techniques such as SMOTE (Synthetic Minority Over-sampling Technique) or class weighting are used to balance the dataset.

Step 4 - Evaluating Model Performance:

After training, the model's performance is assessed to ensure it generalizes well to unseen data. The evaluation process involves:

Performance Metrics:

- For classification tasks: Accuracy, Precision, Recall, F1-score, ROC-AUC, PR-AUC.
- For regression tasks: Mean Squared Error (MSE), Root Mean Squared Error (RMSE), R²-score, Mean Absolute Error (MAE).
- For deep learning models: Loss curves, Confusion Matrices, and Custom Metrics may be analyzed.

Validation Techniques:



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- The trained model is tested on the validation dataset, and hyperparameters are fine-tuned accordingly.
- k-fold cross-validation is performed to assess model robustness.

Bias-Variance Trade-off Analysis:

- High training accuracy but poor test performance indicates overfitting.
- Poor training and test accuracy suggest underfitting.
- Regularization, pruning, and ensemble learning techniques help in balancing bias and variance.

Error Analysis:

- Misclassified samples are analysed to understand model weaknesses.
- Feature importance is examined to determine which features contribute most to predictions.

Comparison with Baseline Models:

• The trained model is compared with simpler models or existing benchmarks to check if improvements are significant.

Deployability Check:

• The final model is tested for real-world scenarios, including latency, computational efficiency, and scalability before deployment.

PROCEDURE:

1. Train the selected model using appropriate techniques and optimize hyper-parameters.

```
2. import os
3. import zipfile
4. import numpy as np
5. import matplotlib.pyplot as plt
6. import tensorflow as tf
7. import pandas as pd
8. import seaborn as sns
9. from sklearn.metrics import classification_report, confusion_matrix
10 from tensorflow.keras.preprocessing.image import load_img, img_to_array,
ImageDataGenerator
11 from tensorflow.keras.models import Sequential, Model
12 from tensorflow.keras.layers import (
13. Dense, Dropout, GlobalAveragePooling2D, Flatten, Conv2D,
```



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```
BatchNormalization, Activation, MaxPooling2D, Input, concatenate,
SeparableConv2D
 6.from tensorflow.keras.optimizers import Adam
  from tensorflow.keras.callbacks import ModelCheckpoint, EarlyStopping,
ReduceLROnPlateau, TensorBoard
  from tensorflow.keras.applications import EfficientNetB0, ResNet50V2, MobileNetV2
  from tensorflow.keras.utils import to categorical
 1 # For explainable AI
  import lime
  from lime import lime_image
  import shap
  from skimage.segmentation import mark boundaries
  from tensorflow.keras.models import load model
  import datetime
  import cv2
  import shutil
  from sklearn.model_selection import train_test_split
  # 1. Check GPU Availability and Configure Memory Growth FIRST
  # This must be done before any other TensorFlow operations
  physical devices = tf.config.list physical devices('GPU')
  print("Num GPUs Available:", len(physical devices))
  if len(physical devices) > 0:
      trv:
          # Configure memory growth for all GPUs
          for gpu in physical devices:
              tf.config.experimental.set memory growth(gpu, True)
          print("Memory growth enabled for all GPUs")
      except RuntimeError as e:
          # If already initialized, print error but continue
          print(f"Error setting memory growth: {e}")
 6 # 2. Enable mixed precision for better performance on compatible GPUs
  try:
      policy = tf.keras.mixed precision.Policy('mixed float16')
      tf.keras.mixed_precision.set_global_policy(policy)
      print('Mixed precision enabled')
  except Exception as e:
      print(f'Mixed precision not enabled: {e}')
```



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```
# 3. Extract Dataset
  zip path = "/content/archive (8).zip"
 6.extract_path = "/content/dataset"
 8 if not os.path.exists(extract_path):
      with zipfile.ZipFile(zip_path, 'r') as zip_ref:
          zip ref.extractall(extract path)
      print("Dataset extracted.")
  else:
      print("Dataset already extracted.")
 5.# 4. Define Paths
6.folder path = "/content/dataset/images/"
 train dir = os.path.join(folder path, "train")
 val dir = os.path.join(folder_path, "validation")
 0.# Create a separate test set from validation
 test dir = os.path.join(folder path, "test")
  if not os.path.exists(test dir):
      os.makedirs(test dir)
      # Get all classes
      classes = os.listdir(val dir)
      for cls in classes:
          val_class_dir = os.path.join(val_dir, cls)
          test class dir = os.path.join(test dir, cls)
          if not os.path.exists(test class dir):
              os.makedirs(test class dir)
          # Get all files for this class
          files = os.listdir(val_class_dir)
          # Split files - move 30% to test
          test_files = np.random.choice(files, size=int(len(files)*0.3),
replace=False)
          # Move files to test directory
          for file in test files:
```



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```
shutil.move(os.path.join(val_class_dir, file),
os.path.join(test_class_dir, file))
      print("Test set created from validation set.")
  # 5. Data Augmentation & Data Generators - More Advanced
 8.picture size = 96 # Further increased for more details
  batch size = 32  # Reduced for better gradient updates
        # Function to apply advanced preprocessing
        def preprocess image(img):
            # Convert to float
            img = img.astype(np.float32)
            # Histogram equalization for better contrast
            if len(img.shape) == 3 and img.shape[2] == 1:
                 img = img[:,:,0] # Get the single channel
                 img = cv2.equalizeHist(img.astype(np.uint8))
                 img = np.expand dims(img, axis=-1) # Add channel dimension back
            # Normalize to [0,1]
            img = img / 255.0
            return img
         # More advanced augmentation
        datagen train = ImageDataGenerator(
            preprocessing function=preprocess image,
            rotation range=15,
            width shift range=0.15,
            height shift range=0.15,
            shear range=0.1,
            zoom range=0.1,
            horizontal_flip=True,
            fill mode='nearest',
            brightness range=[0.85, 1.15],
            validation_split=0.1,
            # Add slight noise for regularization
            zca_whitening=False, # Too computationally expensive
             channel shift range=0.1
```



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```
datagen_val = ImageDataGenerator(
    preprocessing function=preprocess image
datagen_test = ImageDataGenerator(
    preprocessing function=preprocess image
train_set = datagen_train.flow_from_directory(
    train dir,
    target_size=(picture_size, picture_size),
    color_mode="grayscale",
    batch size=batch size,
    class mode="categorical",
    shuffle=True
val set = datagen val.flow from directory(
    val dir,
    target_size=(picture_size, picture_size),
    color_mode="grayscale",
    batch size=batch size,
    class mode="categorical",
    shuffle=False
test set = datagen test.flow from directory(
    test dir,
    target_size=(picture_size, picture_size),
    color mode="grayscale",
    batch_size=batch_size,
    class_mode="categorical",
    shuffle=False
class_names = list(train_set.class_indices.keys())
no of classes = len(class names)
print(f"Classes: {class_names}")
# 6. Display Sample Images with preprocessing
```



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```
plt.figure(figsize=(15, 10))
        expression = class_names[0] # Pick first class dynamically
        for i in range(9):
            plt.subplot(3, 3, i + 1)
            img_path = os.path.join(train_dir, expression,
os.listdir(os.path.join(train_dir, expression))[i])
            # Show both original and preprocessed
            img = cv2.imread(img_path, cv2.IMREAD_GRAYSCALE)
            img resized = cv2.resize(img, (picture size, picture size))
            # Apply preprocessing
            img processed = cv2.equalizeHist(img resized)
            # Display side by side
            combined = np.hstack((img resized, img processed))
            plt.imshow(combined, cmap='gray')
            plt.title(f"Original | Preprocessed", fontsize=8)
            plt.axis("off")
        plt.suptitle(f"Sample Images from Class: {expression}")
        plt.show()
        # 7. Define Advanced Model - Ensemble Approach
        def build custom cnn():
            input_img = Input(shape=(picture_size, picture_size, 1))
            # First pathway - standard convolutions
            x1 = Conv2D(64, (3, 3), padding='same')(input img)
            x1 = BatchNormalization()(x1)
            x1 = Activation('relu')(x1)
            x1 = Conv2D(64, (3, 3), padding='same')(x1)
            x1 = BatchNormalization()(x1)
            x1 = Activation('relu')(x1)
            x1 = MaxPooling2D(pool_size=(2, 2))(x1)
            x1 = Dropout(0.2)(x1)
            # Second pathway - larger kernels for capturing broader facial features
            x2 = Conv2D(64, (5, 5), padding='same')(input img)
            x2 = BatchNormalization()(x2)
            x2 = Activation('relu')(x2)
            x2 = Conv2D(64, (5, 5), padding='same')(x2)
```



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```
x2 = BatchNormalization()(x2)
x2 = Activation('relu')(x2)
x2 = MaxPooling2D(pool size=(2, 2))(x2)
x2 = Dropout(0.2)(x2)
# Combine pathways
x = concatenate([x1, x2])
# Continue with deeper layers
x = Conv2D(128, (3, 3), padding='same')(x)
x = BatchNormalization()(x)
x = Activation('relu')(x)
x = SeparableConv2D(128, (3, 3), padding='same')(x) # More efficient
x = BatchNormalization()(x)
x = Activation('relu')(x)
x = MaxPooling2D(pool size=(2, 2))(x)
x = Dropout(0.3)(x)
x = Conv2D(256, (3, 3), padding='same')(x)
x = BatchNormalization()(x)
x = Activation('relu')(x)
x = SeparableConv2D(256, (3, 3), padding='same')(x)
x = BatchNormalization()(x)
x = Activation('relu')(x)
x = MaxPooling2D(pool size=(2, 2))(x)
x = Dropout(0.4)(x)
x = Conv2D(512, (3, 3), padding='same')(x)
x = BatchNormalization()(x)
x = Activation('relu')(x)
x = SeparableConv2D(512, (3, 3), padding='same')(x)
x = BatchNormalization()(x)
x = Activation('relu')(x)
x = MaxPooling2D(pool_size=(2, 2))(x)
x = Dropout(0.4)(x)
# Global pooling
x = GlobalAveragePooling2D()(x)
# Fully connected layers
x = Dense(512)(x)
```



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```
x = BatchNormalization()(x)
            x = Activation('relu')(x)
            x = Dropout(0.5)(x)
            x = Dense(256)(x)
            x = BatchNormalization()(x)
            x = Activation('relu')(x)
            x = Dropout(0.5)(x)
            # Output layer
            output = Dense(no of classes, activation='softmax')(x)
            model = Model(inputs=input_img, outputs=output)
            return model
        # Create model
        model = build_custom_cnn()
        # 8. Compile Model with advanced optimizer
        opt = Adam(learning rate=0.0003) # Further reduced learning rate
        model.compile(
            optimizer=opt,
            loss='categorical crossentropy',
            metrics=['accuracy', tf.keras.metrics.Precision(),
tf.keras.metrics.Recall(), tf.keras.metrics.AUC()]
        model.summary()
        # 9. Define Enhanced Callbacks
        # Create log directory for TensorBoard
        log_dir = os.path.join("logs", datetime.datetime.now().strftime("%Y%m%d-
%H%M%S"))
        os.makedirs(log_dir, exist_ok=True)
        checkpoint = ModelCheckpoint(
             "model best.h5",
            monitor='val accuracy',
            verbose=1,
            save_best_only=True,
            mode='max'
```



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```
early_stopping = EarlyStopping(
            monitor='val loss',
            patience=15, # Increased patience
            verbose=1,
            restore best weights=True
        reduce lr = ReduceLROnPlateau(
            monitor='val loss',
            factor=0.1,
            patience=7,
            verbose=1,
            min delta=0.0001,
            min_lr=0.00001
        tensorboard callback = TensorBoard(
            log dir=log dir,
            histogram freq=1,
            update_freq='epoch'
        callbacks_list = [early_stopping, checkpoint, reduce_lr,
tensorboard callback]
        # 10. Train the Model
        epochs = 75 # Further increased epochs with early stopping
        # history = model.fit(
              train set,
              steps per_epoch=train_set.n // train_set.batch_size,
              epochs=epochs,
              validation data=val set,
              callbacks=callbacks list
        # 11. Plot Enhanced Training History
        plt.style.use('dark background')
```



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```
plt.figure(figsize=(20, 15))
        plt.subplot(2, 2, 1)
        plt.title('Training and Validation Loss', fontsize=14)
        plt.ylabel('Loss', fontsize=12)
        plt.xlabel('Epochs', fontsize=12)
        plt.plot(history.history['loss'], label='Training Loss')
        plt.plot(history.history['val loss'], label='Validation Loss')
        plt.legend(loc='upper right')
        plt.grid(True, linestyle='--', alpha=0.5)
        plt.subplot(2, 2, 2)
        plt.title('Training and Validation Accuracy', fontsize=14)
        plt.ylabel('Accuracy', fontsize=12)
        plt.xlabel('Epochs', fontsize=12)
        plt.plot(history.history['accuracy'], label='Training Accuracy')
        plt.plot(history.history['val_accuracy'], label='Validation Accuracy')
        plt.legend(loc='lower right')
        plt.grid(True, linestyle='--', alpha=0.5)
        plt.subplot(2, 2, 3)
        plt.title('Learning Rate Over Time', fontsize=14)
        plt.ylabel('Learning Rate', fontsize=12)
        plt.xlabel('Epochs', fontsize=12)
        # Get learning rate from the optimizer's learning rate schedule
        trv:
            # For newer TF versions
            lr values = [opt. decayed lr(tf.float32).numpy() for in
range(len(history.history['loss']))]
        except (AttributeError, TypeError):
            # Fallback for other versions or if the first approach doesn't work
            if hasattr(opt, 'learning rate'):
                initial lr = opt.learning rate.numpy() if hasattr(opt.learning rate,
'numpy') else float(opt.learning_rate)
            else:
                initial lr = 0.0003 # The initial value you set
            # Create a simplified version that just shows the effect of reduce lr
            lr values = []
            for i in range(len(history.history['loss'])):
                # Roughly estimate LR based on reduce lr's effect
```



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```
lr_decline = sum(1 for j in range(i) if j > 0 and
                                 history.history['val_loss'][j-1] -
history.history['val_loss'][j] < reduce_lr.min_delta)</pre>
                 lr drops = lr decline // reduce lr.patience
                 current_lr = initial_lr * (0.1 ** lr_drops)
                 lr values.append(current lr)
        plt.plot(lr values)
        plt.yscale('log')
        plt.grid(True, linestyle='--', alpha=0.5)
        plt.subplot(2, 2, 4)
        plt.title('Precision-Recall Metrics', fontsize=14)
        plt.ylabel('Value', fontsize=12)
        plt.xlabel('Epochs', fontsize=12)
        plt.plot(history.history['precision 1'], label='Training Precision')
        plt.plot(history.history['val_precision_1'], label='Validation Precision')
        plt.plot(history.history['recall_1'], label='Training Recall')
        plt.plot(history.history['val_recall_1'], label='Validation Recall')
        plt.plot(history.history['auc 1'], label='Training AUC')
        plt.plot(history.history['val_auc_1'], label='Validation AUC')
        plt.legend(loc='lower right')
        plt.grid(True, linestyle='--', alpha=0.5)
        plt.tight layout()
        plt.savefig('training_history.png')
        plt.show()
        # 12. Evaluate Model on Test Set
        model.load weights("model best.h5") # Load best weights
        # Evaluate on test set
        test_loss, test_acc, test_precision, test_recall, test_auc =
model.evaluate(test set)
        print(f"\nTest Accuracy: {test_acc:.4f}")
        print(f"Test Precision: {test precision:.4f}")
        print(f"Test Recall: {test recall:.4f}")
        print(f"Test AUC: {test_auc:.4f}")
        # Get predictions
        test_steps = np.ceil(test_set.n / test_set.batch_size)
        predictions = model.predict(test set)
```



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```
y pred = np.argmax(predictions, axis=1)
        y_true = test_set.classes[:len(y_pred)] # Ensure same length
        # Plot confusion matrix with normalized values
        cm = confusion_matrix(y_true, y_pred)
        cm_normalized = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
        plt.figure(figsize=(14, 12))
        sns.heatmap(cm_normalized, annot=True, fmt='.2f', cmap='Blues',
                    xticklabels=class_names, yticklabels=class_names)
        plt.title('Normalized Confusion Matrix')
        plt.ylabel('True Label')
        plt.xlabel('Predicted Label')
        plt.xticks(rotation=45)
        plt.tight layout()
        plt.savefig('confusion matrix.png')
        plt.show()
        # Print classification report
        print("\nClassification Report:")
        report = classification_report(y_true, y_pred, target_names=class_names,
output dict=True)
        report df = pd.DataFrame(report).transpose()
        print(report df.round(3))
        # Save report to CSV
        report_df.to_csv('classification_report.csv')
        # 13. Explainable AI Implementation
        # LIME Implementation for Image Explanation
        def explain_with_lime(img_path, model, class_names):
            # Load and preprocess image
            img = load_img(img_path, target_size=(picture_size, picture_size),
color mode="grayscale")
             img array = img to array(img)
            img_processed = preprocess_image(img_array)
            single img = np.expand dims(img processed, axis=0)
            pred = model.predict(single img)[0]
```



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```
top pred idx = np.argmax(pred)
            top_pred_label = class_names[top_pred_idx]
            top pred prob = pred[top pred idx] * 100
            # Function for LIME to make predictions
            def predict_fn(images):
                # LIME works with RGB, but our model needs grayscale
                gray images = []
                for img in images:
                    if img.shape[2] == 3: # RGB
                         gray = cv2.cvtColor(img.astype(np.uint8), cv2.COLOR RGB2GRAY)
                        gray = np.expand_dims(gray, axis=-1)
                    else: # Already grayscale
                         gray = img
                    gray_images.append(preprocess_image(gray))
                batch = np.array(gray_images)
                preds = model.predict(batch)
                return preds
            # Create LIME explainer
            explainer = lime_image.LimeImageExplainer()
            # Create RGB version of image for LIME
            img rgb = np.repeat(img processed, 3, axis=-1)
            # Get explanation - Make sure we include the predicted class in
top labels
            explanation = explainer.explain instance(
                img rgb,
                predict fn,
                labels=[top_pred_idx], # Force LIME to explain the predicted class
                top labels=5, # Still look at top 5 classes
                hide_color=0,
                num samples=1000
            # Show the original image and explanation
            plt.figure(figsize=(12, 6))
            plt.subplot(1, 2, 1)
```



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```
plt.imshow(img, cmap='gray')
             plt.title(f"Original: Predicted {top_pred_label} ({top_pred_prob:.1f}%)")
             plt.axis('off')
            # Get mask for the predicted class
                 temp, mask = explanation.get image and mask(
                     top pred idx,
                     positive only=True,
                     num features=5,
                     hide rest=False
                 plt.subplot(1, 2, 2)
                 # Create RGB version for boundary marking
                 img for boundaries = np.repeat(img processed, 3, axis=-1)
                 # Mark boundaries
                 marked img = mark boundaries(img for boundaries, mask, color=(1, 0,
0), mode='thick')
                 plt.imshow(marked img)
                 plt.title(f"LIME Explanation: Important regions for
{top_pred_label}")
                 plt.axis('off')
             except KeyError:
                 # If explanation still fails, show a message
                 plt.subplot(1, 2, 2)
                 plt.text(0.5, 0.5, "LIME explanation failed for this image",
                          horizontalalignment='center', verticalalignment='center')
                 plt.axis('off')
             plt.tight_layout()
            plt.savefig(f'lime explanation {os.path.basename(img path)}.png')
            plt.show()
             # Show predictions for all classes
             plt.figure(figsize=(10, 5))
            plt.bar(class names, pred * 100)
             plt.title('Prediction Confidence for All Classes')
            plt.ylabel('Confidence (%)')
            plt.xlabel('Emotion')
```



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```
plt.xticks(rotation=45)
             plt.tight_layout()
            plt.savefig(f'prediction_confidence_{os.path.basename(img_path)}.png')
            plt.show()
             return explanation
        # 14. Test the explainable AI on sample images
        print("\nGenerating LIME explanations for sample images...")
        # Get a few test images
        test images = []
        for emotion in class names:
             emotion_dir = os.path.join(test_dir, emotion)
             if os.path.exists(emotion_dir):
                 images = os.listdir(emotion dir)
                 if images:
                     test images.append(os.path.join(emotion dir,
np.random.choice(images)))
         # Apply LIME to 3 test images
         for img_path in test_images[:3]:
             explanation = explain with lime(img path, model, class names)
        # 16. Save the model and preprocessing information
        model.save('emotion recognition final.h5')
         # Save preprocessing information
        preprocessing_info = {
             'picture size': picture size,
             'class names': class names
         import json
        with open('preprocessing info.json', 'w') as f:
             json.dump(preprocessing_info, f)
        print("\nModel training and evaluation complete. All visualization, analysis,
and model saved.")
```

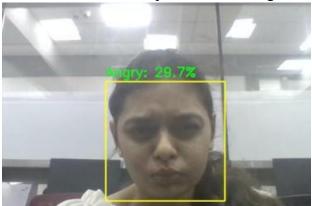


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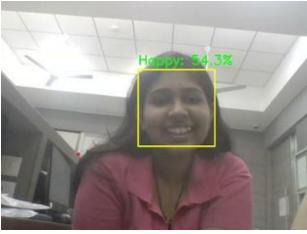


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1. Evaluate the model's performance using relevant metrics and compare results with other models.







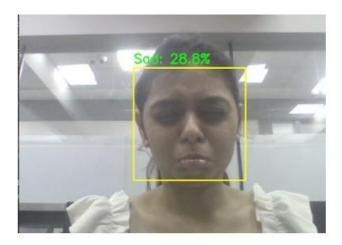


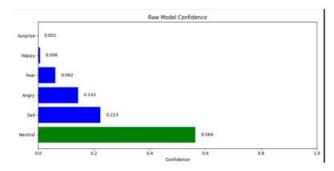


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Surprise: 0.79

Fig. 15. Raw emotion detection output

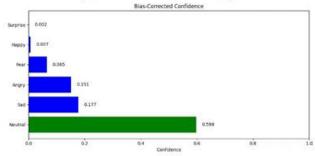


Fig. 16. Adjusted emotion visualization

CONCLUSION:

All results are shown after hypertunning of the model and the using lime for better results.

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(List the references as per format given below and citations to be included the document)

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