# Concurrent Kleene Algebra: Free Model and Completeness

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Abstract. Concurrent Kleene Algebra (CKA) was introduced by Hoare, Moeller, Struth and Wehrman in 2009 as a framework to reason about concurrent programs. We prove that the axioms for CKA with bounded parallelism are complete for the semantics proposed in the original paper; consequently, these semantics are the free model for this fragment. This result settles a conjecture of Hoare and collaborators. Moreover, the techniques developed along the way are reusable; in particular, they allow us to establish pomset automata as an operational model for CKA.

#### 1 Introduction

Concurrent Kleene Algebra (CKA) [8] is a mathematical formalism which extends Kleene Algebra (KA) with a parallel composition operator, in order to express concurrent program behaviour. In spite of such a seemingly simple addition, extending the existing KA toolkit (notably, completeness) to the setting of CKA turned out to be a challenging task. A lot of research happened since the original paper, both foundational [20,14] and on how CKA could be used to reason about important verification tasks in concurrent systems [11,9]. However, and despite several conjectures [9,14], the question of the characterisation of the free CKA and the completeness of the axioms remained open, making it impossible to use CKA in verification tasks. This paper settles these two open questions. We answer positively the conjecture that the free model of CKA is formed by series parallel pomset languages, downward-closed under Gischer's subsumption order [6] — a generalisation of regular languages to sets of partially ordered words. To this end, we prove that the original axioms proposed in [8] are indeed complete.

Our proof of completeness is based on extending an existing completeness result that establishes series-parallel rational pomset languages as the free Bi-Kleene Algebra (BKA) [20]. The extension to the existing result for BKA provides a clear understanding of the difficulties introduced by the presence of the exchange axiom and shows how to separate concerns between CKA and BKA, a technique which is also useful elsewhere. For one, our construction also provides an extension of (half of) Kleene's theorem for BKA [15] to CKA, establishing pomset automata as an operational model for CKA and opening the door to explore decidability

 $<sup>^1</sup>$  In its original formulation, CKA also features an operator ( $parallel \ star$ ) for unbounded parallelism: in harmony with several recent works [13,14,15], we study the variant of CKA without parallel star, sometimes called "weak" CKA.

procedures similar to those previously studied for KA. Furthermore, it reduces deciding the equational theory of CKA to deciding the equational theory of BKA.

BKA is defined as CKA with the only (but significant) omission of the exchange law,  $(e \parallel f) \cdot (g \parallel h) \leq_{\mathsf{CKA}} (e \cdot g) \parallel (f \cdot h)$ . The exchange law is the core element of CKA as it captures true concurrency: it states that when two sequentially composed programs (i.e.,  $e \cdot g$  and  $f \cdot h$ ) are composed in parallel, they can be implemented by running their heads in parallel, followed by running their tails in parallel (i.e.,  $e \parallel f$ , then  $g \parallel h$ ). The exchange law allows the implementer of a CKA expression to interleave threads at will, without violating the specification.

To illustrate the use of the exchange law, consider a protocol with three actions: query a channel c, collect an answer from the same channel, and print an unrelated message m on screen. The specification for this protocol requires the query to happen before reception of the message, but the printing action being independent, it may be executed concurrently. We will write this specification as  $(q(c) \cdot r(c)) \parallel p(m)$ , with the operator  $\cdot$  denoting sequential composition. However, if one wants to implement this protocol in a sequential programming language, a total ordering of these events has to be introduced. Suppose we choose to implement this protocol by printing m while we wait to receive an answer. This implementation can be written  $q(c) \cdot p(m) \cdot r(c)$ . Using the laws of CKA, we can prove that  $q(c) \cdot p(m) \cdot r(c) \leq_{\mathsf{CKA}} (q(c) \cdot r(c)) \parallel p(m)$ , which we interpret as the fact that this implementation respects the specification. Intuitively, this means that the specification lists the necessary dependencies, but the implementation can introduce more.

Having a complete axiomatisation of CKA has two main benefits. First, it allows one to get certificates of correctness. Indeed, if one wants to use CKA for program verification, the decision procedure presented in [3] may be used to test program equivalence. If the test gives a negative answer, this algorithm provides a counter-example. However if the answer is positive, no meaningful witness is produced. With the completeness result presented here, that is constructive in nature, one could generate an axiomatic proof of equivalence in these cases. Second, it gives one a simple way of checking when the aforementioned procedure applies. By construction, we know that two terms are semantically equivalent whenever they are equal in every concurrent Kleene algebra, that is any model of the axioms of CKA. This means that if we consider a specific semantic domain, one simply needs to check that the axioms of CKA hold in there to know that the decision procedure of [3] is sound in this model.

While this paper was in writing, a manuscript with the same result appeared [19]; we refer to Section 5 for a comparison.

The remainder of this paper is organised as follows. In Section 2, we give an informal overview of the completeness proof. In Section 3, we introduce the necessary concepts, notation and lemmas. In Section 4, we work out the proof. We discuss the result in a broader perspective and outline further work in Section 5.

## 2 Overview of the Completeness Proof

We start with an overview of the steps necessary to arrive at the main result. As mentioned, our strategy in tackling CKA-completeness is to build on the existing BKA-completeness result. Following an observation by Laurence and Struth, we identify *downward-closure* (under Gischer's subsumption order [6]) as the feature that distinguishes the pomsets giving semantics to BKA-expressions from those associated with CKA-expressions. In a slogan,

CKA-semantics = BKA-semantics + downward-closure.

Intuitively, downward-closure can be thought of as the semantic outcome of adding the exchange axiom, which distinguishes CKA from BKA. Thus, if a and b are events that can happen in parallel according to the BKA-semantics of a term, then a and b may also be ordered in the CKA-semantics of that same term.

The core of our CKA-completeness proof will be constructing a syntactic counterpart to the semantic closure. This situation is depicted by the lower part of the commuting diagram in Figure 1.

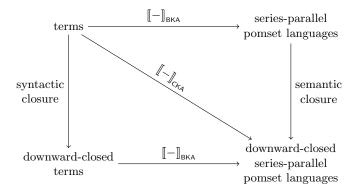


Fig. 1. The connection between BKA and CKA semantics mediated by closure.

We shall thus build a function that maps a CKA term e to an equivalent term  $e\downarrow$ , called the (syntactic) closure of e. The lower part of the commuting diagram in Figure 1 shows the property that  $e\downarrow$  must satisfy in order to deserve the name of closure: its BKA semantics has to be the same as the CKA semantics of e.

As an example of closure, consider  $e=a\parallel b$ , whose CKA-semantics prescribe that a and b are events that may happen in parallel. One closure of this term would be  $e\downarrow=a\parallel b+a\cdot b+b\cdot a$ , whose BKA-semantics stipulate that either a and b execute purely in parallel, or a precedes b, or b precedes a—thus matching the optional parallelism of a and b. For a more non-trivial example, take  $e=a^*\parallel b^*$ , which represents that finitely many repetitions of a and b occur, possibly in parallel. A closure of this term would be  $e\downarrow=(a^*\parallel b^*)^*$ : finitely many repetitions of a and b occur truly in parallel, which is repeated indefinitely.

In order to find  $e\downarrow$  systematically, we are going to construct it in stages, through a completely syntactic procedure where each transformation has to be valid according to the axioms. There are three main stages.

- (i) We note that, not unexpectedly, the hardest case for computing the closure of a term is when e is a parallel composition, i.e., when e = e<sub>0</sub> || e<sub>1</sub> for some CKA terms e<sub>0</sub> and e<sub>1</sub>. For the other operators, the closure of the result can be obtained by applying the same operator to the closures of its arguments. For instance, one can easily check that (e + f) ↓ = e↓ + f↓. This means that we can focus on calculating the closure for the particular case of parallel composition.
- (ii) We construct a *preclosure* of such terms e, whose BKA semantics contains all but possibly the sequentially composed pomsets of the CKA semantics of e. Since every sequentially composed pomset decomposes (uniquely) into non-sequential pomsets, we can use the preclosure as a basis for induction.
- (iii) We extend this preclosure of e to a proper closure, by leveraging the fixpoint axioms of KA to solve a system of linear inequations. This system encodes "stringing together" non-sequential pomsets to build all pomsets in e.

As a straightforward consequence of the closure construction, we obtain a completeness theorem for CKA, which establishes the set of closed series-rational pomset languages as the free CKA.

#### 3 Preliminaries

We fix a finite set of symbols  $\Sigma$ , the alphabet. The two-element set  $\{0,1\}$  is denoted by 2. Given a set S, the set of subsets (powerset) of S is denoted by  $2^S$ . In the interest of readability, the proofs for technical lemmas in this section are deferred to Appendix A.

#### 3.1 Pomsets

A trace of a sequential program can be modelled as a word, where each letter represents an atomic event, and the order of the letters in the word represents the order in which the events took place. Analogously, a trace of a concurrent program can be thought of as word where letters are partially ordered, i.e., there needs not be a causal link between events. In literature, such a partially ordered word is commonly called a partial word [7], or partially ordered multiset (pomset, for short) [6]: we use the latter term.

The definition of a pomset is slightly non-trivial, because the partial order should order *occurrences* of events rather than the events themselves. For this reason, we first define a labelled poset.

**Definition 3.1.** A labelled poset is a tuple  $\langle S, \leq, \lambda \rangle$ , where  $\langle S, \leq \rangle$  is a partially ordered set (i.e., S is a set and  $\leq$  is a partial order on S), in which S is called the carrier and  $\leq$  is the order;  $\lambda: S \to \Sigma$  is a function called the labelling.

We denote labelled posets with lower-case bold symbols  $\mathbf{u}$ ,  $\mathbf{v}$ , et cetera. Given a labelled poset  $\mathbf{u}$ , we write  $S_{\mathbf{u}}$  for its carrier,  $\leq_{\mathbf{u}}$  for its order and  $\lambda_{\mathbf{u}}$  for its labelling. We write  $\mathbf{1}$  for the empty labelled poset. We say that two labelled posets are *disjoint* if their carriers are disjoint.

Disjoint labelled posets can be composed parallelly and sequentially; parallel composition simply juxtaposes the events, while sequential composition imposes an ordering between occurrences of events originating from the left operand and those originating from the right operand.

**Definition 3.2.** Let **u** and **v** be disjoint. We write **u**  $\parallel$  **v** for the parallel composition of **u** and **v**, which is the labelled poset with the carrier  $S_{\mathbf{u} \cup \mathbf{v}} = S_{\mathbf{u}} \cup S_{\mathbf{v}}$ , the order  $\leq_{\mathbf{u} \parallel \mathbf{v}} = \leq_{\mathbf{u}} \cup \leq_{\mathbf{v}}$  and the labeling  $\lambda_{\mathbf{u} \parallel \mathbf{v}}$  defined by

$$\lambda_{\mathbf{u}||\mathbf{v}}(x) = \begin{cases} \lambda_{\mathbf{u}}(x) & x \in S_{\mathbf{u}}; \\ \lambda_{\mathbf{v}}(x) & x \in S_{\mathbf{v}}. \end{cases}$$

Similarly, we write  $\mathbf{u} \cdot \mathbf{v}$  for the sequential composition of  $\mathbf{u}$  and  $\mathbf{v}$ , that is, labelled poset with the carrier  $S_{\mathbf{u} \cup \mathbf{v}}$  and the partial order

$$\leq_{\mathbf{u}\cdot\mathbf{v}} = \leq_{\mathbf{u}} \cup \leq_{\mathbf{v}} \cup (S_{\mathbf{u}} \times S_{\mathbf{v}}),$$

as well as the labelling  $\lambda_{\mathbf{u}\cdot\mathbf{v}} = \lambda_{\mathbf{u}\parallel\mathbf{v}}$ .

Note that 1 is neutral for sequential and parallel composition, in the sense that we have  $1 \parallel u = 1 \cdot u = u = u \cdot 1 = u \parallel 1$ .

There is a natural ordering between labelled posets with regard to concurrency.

**Definition 3.3.** Let  $\mathbf{u}, \mathbf{v}$  be labelled posets. A subsumption from  $\mathbf{u}$  to  $\mathbf{v}$  is a bijection  $h: S_{\mathbf{u}} \to S_{\mathbf{v}}$  that preserves order and labels, i.e.,  $u \leq_{\mathbf{u}} u'$  implies that  $h(u) \leq_{\mathbf{v}} h(u')$ , and  $\lambda_{\mathbf{v}} \circ h = \lambda_{\mathbf{u}}$ . We simplify and write  $h: \mathbf{u} \to \mathbf{v}$  for a subsumption from  $\mathbf{u}$  to  $\mathbf{v}$ . If such a subsumption exists, we write  $\mathbf{v} \sqsubseteq \mathbf{u}$ . Furthermore, h is an isomorphism if both h and its inverse  $h^{-1}$  are subsumptions. If there exists an isomorphism between  $\mathbf{u}$  to  $\mathbf{v}$  we write  $\mathbf{u} \cong \mathbf{v}$ .

Intuitively, if  $\mathbf{u} \sqsubseteq \mathbf{v}$ , then  $\mathbf{u}$  and  $\mathbf{v}$  both order the same set of (occurrences of) events, but  $\mathbf{u}$  has more causal links, or "is more sequential" than  $\mathbf{v}$ . One easily sees that  $\square$  is a preorder on labelled posets of finite carrier.

Since the actual contents of the carrier of a labelled poset do not matter, we can abstract from them using isomorphism. This gives rise to pomsets.

**Definition 3.4.** A pomset is an isomorphism class of labelled posets, i.e., the class  $[\mathbf{v}] \triangleq \{\mathbf{u} : \mathbf{u} \cong \mathbf{v}\}$  for some labelled poset  $\mathbf{v}$ . Composition lifts to pomsets: we write  $[\mathbf{u}] \parallel [\mathbf{v}]$  for  $[\mathbf{u} \parallel \mathbf{v}]$  and  $[\mathbf{u}] \cdot [\mathbf{v}]$  for  $[\mathbf{u} \cdot \mathbf{v}]$ . Similarly, subsumption also lifts to pomsets: we write  $[\mathbf{u}] \sqsubseteq [\mathbf{v}]$ , precisely when  $\mathbf{u} \sqsubseteq \mathbf{v}$ .

As a convention, we denote points with upper-case symbols U, V, et cetera. The *empty points*, i.e.,  $[1] = \{1\}$ , is denoted by 1; this points is neutral for sequential and parallel composition. To ensure that  $[\mathbf{v}]$  is a set, we limit the

discussion to labelled posets whose carrier is a subset of some set  $\mathbb{S}$ . The labelled posets we discuss in this paper have finite carriers; it thus suffices to choose  $\mathbb{S} = \mathbb{N}$ , as any labelled poset with a countable carrier is trivially isomorphic to a labelled poset whose carrier is a subset of  $\mathbb{N}$ .

Composition of pomsets is well-defined: if  $\mathbf{u}$  and  $\mathbf{v}$  are not disjoint, we can find  $\mathbf{u}', \mathbf{v}'$  disjoint from  $\mathbf{u}, \mathbf{v}$  respectively such that  $\mathbf{u} \cong \mathbf{u}'$  and  $\mathbf{v} \cong \mathbf{v}'$ . The choice of representative does not matter, for if  $\mathbf{u} \cong \mathbf{u}'$  and  $\mathbf{v} \cong \mathbf{v}'$ , then  $\mathbf{u} \cdot \mathbf{v} \cong \mathbf{u}' \cdot \mathbf{v}'$ . Subsumption of pomsets is also well-defined: if  $\mathbf{u}' \cong \mathbf{u} \sqsubseteq \mathbf{v} \cong \mathbf{v}'$ , then  $\mathbf{u}' \sqsubseteq \mathbf{v}'$ . One easily sees that  $\sqsubseteq$  is a partial order on finite pomsets, and that sequential and parallel composition are monotone with respect to  $\sqsubseteq$ , i.e., if  $U \sqsubseteq W$  and  $V \sqsubseteq X$ , then  $U \cdot V \sqsubseteq W \cdot X$  and  $U \parallel V \sqsubseteq W \parallel X$ .

Series-parallel pomsets If  $a \in \Sigma$ , we can construct a labelled poset with a single element labelled by a; indeed, since any labelled poset thus constructed is isomorphic, we also use a to denote this isomorphism class; such a pomset is called a *primitive pomset*. A pomset built from primitive pomsets and sequential and parallel composition is called *series-parallel*; more formally:

**Definition 3.5.** The set of non-empty series-parallel pomsets, denoted  $\mathsf{SP}_+(\Sigma)$ , is the smallest set such that  $a \in \mathsf{SP}(\Sigma)$  for every  $a \in \Sigma$ , and is closed under parallel and sequential composition. Furthermore,  $\mathsf{SP}(\Sigma)$  denotes the set of possibly empty series-parallel pomsets, i.e.,  $\mathsf{SP}(\Sigma) \triangleq \mathsf{SP}_+(\Sigma) \cup \{1\}$ .

A useful feature of series-parallel pomsets is that we can deconstruct them in a standard fashion [6].

**Lemma 3.1.** Let  $U \in \mathsf{SP}(\Sigma)$ . Then exactly one of the following is true: either U = 1, or U = a for some  $a \in \Sigma$ , or  $U = U_0 \cdot U_1$  for  $U_0, U_1 \in \mathsf{SP}_+(\Sigma)$ , or  $U = U_0 \parallel U_1$  for  $U_0, U_1 \in \mathsf{SP}_+(\Sigma)$ .

In the sequel, it will be useful to refer to series-parallel pomsets that are not the sequential composition of two (non-empty) series-parallel pomsets as non-sequential pomsets.

As a consequence of Lemma 3.1, we obtain a normal form for series-parallel pomsets, as follows.

**Corollary 3.1.** Any pomset  $U \in \mathsf{SP}(\Sigma)$  can be uniquely decomposed as a product  $U = U_0 \cdot U_1 \cdots U_{n-1}$ , where for  $0 \le i < n$  the pomset  $U_i$  is series parallel, non-empty, and non-sequential.

**Factorisation** We now go over some lemmas on pomsets that will allow us to factorise pomsets later on. First of all, it is not hard to see that subsumption is irrelevant on empty and primitive pomsets, as witnessed by the following lemma.

**Lemma 3.2.** Let U and V be poissets such that  $U \subseteq V$  or  $V \subseteq U$ . If U is empty or primitive, then U = V.

We can also consider how pomset composition and subsumption relate. It is not hard to see that if a pomset is subsumed by a sequentially composed pomset, then this sequential composition also appears in the subsumed pomset. A similar statement holds for pomsets that subsume a parallel composition.

**Lemma 3.3 (Factorisation).** Let U,  $V_0$ , and  $V_1$  be pomsets such that U is subsumed by  $V_0 \cdot V_1$ . Then there exist pomsets  $U_0$  and  $U_1$  such that:

$$U = U_0 \cdot U_1$$
,  $U_0 \sqsubseteq V_0$ , and  $U_1 \sqsubseteq V_1$ .

Also, if  $U_0$ ,  $U_1$  and V are poissets such that  $U_0 \parallel U_1 \sqsubseteq V$ , then there exist poissets  $V_0$  and  $V_1$  such that:

$$V = V_0 \parallel V_1, U_0 \sqsubseteq V_0, and U_1 \sqsubseteq V_1.$$

The next lemma can be thought of as a generalisation of Levi's lemma [21], a well-known statement about words, to pomsets. It says that if a sequential composition is subsumed by another (possibly longer) sequential composition, then there must be a pomset "in the middle", describing the overlap between the two; this pomset gives rise to a factorisation.

**Lemma 3.4.** Let U and V be poinsets, and let  $W_0, W_1, \ldots, W_{n-1}$  with n > 0 be non-empty poinsets such that  $U \cdot V \sqsubseteq W_0 \cdot W_1 \cdots W_{n-1}$ . There exists an m < n and poinsets Y, Z such that:

$$Y \cdot Z \subseteq W_m, U \subseteq W_0 \cdot W_1 \cdots W_{m-1} \cdot Y, \text{ and } V \subseteq Z \cdot W_{m+1} \cdot W_{m+2} \cdots W_n.$$

Moreover, if U and V are series-parallel, then so are Y and Z.

Levi's lemma also has an analogue for parallel composition.

**Lemma 3.5.** Let U, V, W, X be poissets such that  $U \parallel V = W \parallel X$ . There exist poissets  $Y_0, Y_1, Z_0, Z_1$  such that

$$U = Y_0 \parallel Y_1, V = Z_0 \parallel Z_1, W = Y_0 \parallel Z_0, \text{ and } X = Y_1 \parallel Z_1.$$

The final lemma is useful when we have a sequentially composed pomset subsumed by a parallelly composed pomset. It tells us that we can factor the involved pomsets to find subsumptions between smaller pomsets. This lemma first appeared in [6], where it is called the interpolation lemma.

**Lemma 3.6 (Interpolation).** Let U, V, W, X be pomsets such that  $U \cdot V$  is subsumed by  $W \parallel X$ . Then there exist pomsets  $W_0, W_1, X_0, X_1$  such that

$$W_0 \cdot W_1 \sqsubseteq W, X_0 \cdot X_1 \sqsubseteq X, U \sqsubseteq W_0 \parallel X_0, \text{ and } V \sqsubseteq W_1 \parallel X_1.$$

Moreover, if W and X are series-parallel, then so are  $W_0$ ,  $W_1$ ,  $X_0$  and  $X_1$ .

On a semi-formal level, the interpolation lemma can be understood as follows. If  $U \cdot V \sqsubseteq W \parallel V$ , then the events in W are partitioned between those that end up in U, and those that end up in V; these give rise to the "sub-pomsets"  $W_0$  and  $W_1$  of W, respectively. Similarly, X partitions into "sub-pomsets"  $X_0$  and  $X_1$ . We refer to Figure 2 for a graphical depiction of this situation.

Now, if y precedes z in  $W_0 \parallel X_0$ , then y must precede z in  $W \parallel X$ , and therefore also in  $U \cdot V$ . Since y and z are both events in U, it then follows that y precedes z in U, establishing that  $U \sqsubseteq W_0 \parallel X_0$ . Furthermore, if y precedes z in W, then we can exclude the case where y is in  $W_1$  and z in  $W_0$ , for then z precedes y in  $U \cdot V$ , contradicting that y precedes z in  $U \cdot V$ . Accordingly, either y and z both belong to  $W_0$  or  $W_1$ , or y is in  $W_0$  while z is in  $W_1$ ; in all of these cases, y must precede z in  $W_0 \cdot W_1$ . The other subsumptions hold analogously.

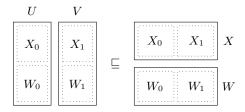


Fig. 2. Splitting pomsets in the interpolation lemma

**Pomset languages** The semantics of BKA and CKA are given in terms of sets of series-parallel pomsets.

**Definition 3.6.** A subset of  $SP(\Sigma)$  is referred to as a pomset language.

As a convention, we denote pomset languages by the symbols  $\mathcal{U}$ ,  $\mathcal{V}$ , et cetera. Sequential and parallel composition of pomsets extends to pomset languages in a pointwise manner, i.e.,

$$\mathcal{U} \cdot \mathcal{V} \triangleq \{U \cdot V : U \in \mathcal{U}, V \in \mathcal{V}\}$$

and similarly for parallel composition. Like languages of words, pomset languages have a Kleene star operator, which is similarly defined, i.e.,  $\mathcal{U}^{\star} \triangleq \bigcup_{n \in \mathbb{N}} \mathcal{U}^n$ , where the  $n^{th}$  power of  $\mathcal{U}$  is inductively defined as  $\mathcal{U}^0 \triangleq \{1\}$  and  $\mathcal{U}^{n+1} \triangleq \mathcal{U}^n \cdot \mathcal{U}$ .

A pomset language  $\mathcal{U}$  is closed under subsumption (or simply closed) if whenever  $U \in \mathcal{U}$  with  $U' \subseteq U$  and  $U' \in \mathsf{SP}(\Sigma)$ , it holds that  $U' \in \mathcal{U}$ . The closure under subsumption (or simply closure) of a pomset language  $\mathcal{U}$ , denoted  $\mathcal{U} \downarrow$ , is defined as the smallest pomset language that contains  $\mathcal{U}$  and is closed, i.e.,

$$\mathcal{U}{\downarrow}\triangleq\{U'\in\mathsf{SP}(\varSigma):U'\sqsubseteq U\in\mathcal{U}\}$$

Closure of pomset languages relates to composition as follows.

**Lemma 3.7.** Let  $\mathcal{U}, \mathcal{V}$  be pomset languages; then:

$$(\mathcal{U} \cup \mathcal{V}) \downarrow = \mathcal{U} \downarrow \cup \mathcal{V} \downarrow, \ (\mathcal{U} \cdot \mathcal{V}) \downarrow = \mathcal{U} \downarrow \cdot \mathcal{V} \downarrow, \ and \ \mathcal{U}^* \downarrow = \mathcal{U} \downarrow^*.$$

*Proof.* The first claim holds for infinite unions, too, and follows immediately from the definition of closure.

For the second claim, suppose that  $U \in \mathcal{U}$  and  $V \in \mathcal{V}$ , and that  $W \sqsubseteq U \cdot V$ . By Lemma 3.3, we find poissets  $W_0$  and  $W_1$  such that  $W = W_0 \cdot W_1$ , with  $W_0 \sqsubseteq U$  and  $W_1 \sqsubseteq V$ . It then holds that  $W_0 \in \mathcal{U} \downarrow$  and  $W_1 \in \mathcal{V} \downarrow$ , meaning that  $W = W_0 \cdot W_1 \in \mathcal{U} \downarrow \cdot \mathcal{V} \downarrow$ . This shows that  $(\mathcal{U} \cdot \mathcal{V}) \downarrow \sqsubseteq \mathcal{U} \downarrow \cdot \mathcal{V} \downarrow$ . Proving the reverse inclusion is a simple matter of unfolding the definitions.

For the third claim, we can calculate directly using the first and second parts of this lemma:

$$\mathcal{U}^{\star} \downarrow = \left( \bigcup_{n \in \mathbb{N}} \underbrace{\mathcal{U} \cdot \mathcal{U} \cdots \mathcal{U}}_{n \text{ times}} \right) \downarrow = \bigcup_{n \in \mathbb{N}} \left( \underbrace{\mathcal{U} \cdot \mathcal{U} \cdots \mathcal{U}}_{n \text{ times}} \right) \downarrow = \bigcup_{n \in \mathbb{N}} \underbrace{\mathcal{U} \downarrow \cdot \mathcal{U} \downarrow \cdots \mathcal{U} \downarrow}_{n \text{ times}} = \mathcal{U} \downarrow^{\star} \quad \Box$$

#### 3.2 Concurrent Kleene Algebra

We now consider two extensions of Kleene Algebra (KA), known as Weak Bi-Kleene Algebra (BKA) and Weak Concurrent Kleene Algebra (CKA). Both extend KA with an operator for parallel composition and thus share a common syntax.

**Definition 3.7.** The set T is the smallest set generated by the grammar

$$e, f := 0 \mid 1 \mid a \in \Sigma \mid e + f \mid e \cdot f \mid e \mid f \mid e^{\star}$$

The BKA-semantics of a term is a straightforward inductive application of the operators on the level of pomset languages. The CKA-semantics of a term is the BKA-semantics, downward closed under the subsumption order; the CKA-semantics thus includes all possible sequentialisations.

**Definition 3.8.** The function  $[\![-]\!]_{BKA}: \mathcal{T} \to 2^{\mathsf{SP}(\Sigma)}$  is defined as follows:

Finally, 
$$\llbracket - \rrbracket_{\mathsf{CKA}} : \mathcal{T} \to 2^{\mathsf{SP}(\Sigma)}$$
 is defined as  $\llbracket e \rrbracket_{\mathsf{CKA}} \triangleq \llbracket e \rrbracket_{\mathsf{BKA}} \downarrow$ .

Following Lodaya and Weil [22], if  $\mathcal{U}$  is a pomset language such that  $\mathcal{U} = \llbracket e \rrbracket_{\mathsf{BKA}}$  for some  $e \in \mathcal{T}$ , we say that the language  $\mathcal{U}$  is series-rational. Note that if  $\mathcal{U}$  is such that  $\mathcal{U} = \llbracket e \rrbracket_{\mathsf{CKA}}$  for some term  $e \in \mathcal{T}$ , then  $\mathcal{U}$  is closed by definition.

To axiomatise semantic equivalence between terms, we build the following relations, which match the axioms proposed in [8].<sup>2</sup>

<sup>&</sup>lt;sup>2</sup> To be precise, the axioms of CKA are defined in [8] as those coming from a double quantale structure mediated by the exchange law. It is not hard to see that the axioms of op. cit. imply the ones given here. The reverse implication (in particular, the complete lattice structure) follows from [20].

**Definition 3.9.** The relation  $\equiv_{\mathsf{BKA}}$  is the smallest congruence on  $\mathcal{T}$  (with respect to all operators) such that for all  $e, f, g \in \mathcal{T}$ :

$$e+0 \equiv_{\mathsf{BKA}} e \qquad e+e \equiv_{\mathsf{BKA}} e \qquad e+f \equiv_{\mathsf{BKA}} f+e \qquad e+(f+g) \equiv_{\mathsf{BKA}} (f+g)+h$$
 
$$e\cdot 1 \equiv_{\mathsf{BKA}} e \qquad 1\cdot e \equiv_{\mathsf{BKA}} e \qquad e\cdot (f\cdot g) \equiv_{\mathsf{BKA}} (e\cdot f)\cdot g$$
 
$$e\cdot 0 \equiv_{\mathsf{BKA}} 0 \equiv_{\mathsf{BKA}} 0\cdot e \qquad e\cdot (f+g) \equiv_{\mathsf{BKA}} e\cdot f+e\cdot h \qquad (e+f)\cdot g \equiv_{\mathsf{BKA}} e\cdot g+f\cdot g$$
 
$$e\parallel f \equiv_{\mathsf{BKA}} f\parallel e \qquad e\parallel 1 \equiv_{\mathsf{BKA}} e \qquad e\parallel (f\parallel g) \equiv_{\mathsf{BKA}} (e\parallel f)\parallel g$$
 
$$e\parallel 0 \equiv_{\mathsf{BKA}} 0 \qquad e\parallel (f+g) \equiv_{\mathsf{BKA}} e\parallel f+e\parallel g \qquad 1+e\cdot e^* \equiv_{\mathsf{BKA}} e^*$$
 
$$e+f\cdot g \leq_{\mathsf{BKA}} g \implies f^*\cdot e \leq_{\mathsf{BKA}} g$$

in which we use  $e \subseteq_{BKA} f$  as a shorthand for  $e + f \equiv_{BKA} f$ . The relation  $\equiv_{CKA}$  is the smallest congruence on  $\mathcal{T}$  that satisfies the rules of  $\equiv_{BKA}$ , and furthermore satisfies the exchange law for all  $e, f, g, h \in \mathcal{T}$ :

$$(e \parallel f) \cdot (g \parallel h) \leq_{\mathsf{CKA}} (e \cdot g) \parallel (f \cdot h)$$

where we similarly use  $e \leq_{\mathsf{CKA}} f$  as a shorthand for  $e + f \equiv_{\mathsf{CKA}} f$ .

We can see that  $\equiv_{\mathsf{BKA}}$  includes the familiar axioms of KA, and stipulates that  $\parallel$  is commutative and associative with unit 1 and annihilator 0, as well as distributive over +. When using CKA to model concurrent program flow, the exchange law models sequentialisation: if we have two programs, the first of which executes e followed by g, and the second of which executes f followed by f, then we can sequentialise this by executing f and f in parallel, followed by executing f and f in parallel.

We use the symbol T in statements that are true for  $T \in \{BKA, CKA\}$ . The relation  $\equiv_T$  is sound for equivalence of terms under T [14].

**Lemma 3.8.** Let 
$$e, f \in \mathcal{T}$$
. If  $e \equiv_{\mathsf{T}} f$ , then  $[\![e]\!]_{\mathsf{T}} = [\![f]\!]_{\mathsf{T}}$ .

Since all binary operators are associative (up to  $\equiv_{\tau}$ ), we drop parentheses when writing terms like e+f+g — this does not incur ambiguity with regard to  $\llbracket - \rrbracket_{\tau}$ . We furthermore consider  $\cdot$  to have precedence over  $\Vert$ , which has precedence over +; as usual, the Kleene star has the highest precedence of all operators. For instance, when we write  $e+f\cdot g^{\star} \Vert h$ , this should be read as  $e+((f\cdot (g^{\star})) \Vert h)$ .

In case of BKA, the implication in Lemma 3.8 is an equivalence [20], and thus gives a complete axiomatisation of semantic BKA-equivalence of terms.<sup>3</sup>

**Theorem 3.1.** Let 
$$e, f \in \mathcal{T}$$
. Then  $e \equiv_{\mathsf{BKA}} f$  if and only if  $[e]_{\mathsf{BKA}} = [f]_{\mathsf{BKA}}$ 

Given a term  $e \in \mathcal{T}$ , we can determine syntactically whether its (BKA or CKA) semantics contains the empty pomset, using the function defined below.

<sup>&</sup>lt;sup>3</sup> Strictly speaking, the proof in [20] includes the parallel star operator in BKA. Since this is a conservative extension of BKA, this proof applies to BKA as well.

**Definition 3.10.** The nullability function  $\epsilon: \mathcal{T} \to 2$  is defined as follows:

$$\epsilon(0) \triangleq 0 \qquad \qquad \epsilon(e+f) \triangleq \epsilon(e) \lor \epsilon(f) \qquad \qquad \epsilon(e^*) \triangleq 1$$

$$\epsilon(1) \triangleq 1 \qquad \qquad \epsilon(e \cdot f) \triangleq \epsilon(e) \land \epsilon(f)$$

$$\epsilon(a) \triangleq 0 \qquad \qquad \epsilon(e \parallel f) \triangleq \epsilon(e) \land \epsilon(f)$$

in which  $\vee$  and  $\wedge$  are understood as the usual lattice operations on 2.

That  $\epsilon$  encodes the presence of 1 in the semantics is witnessed by the following.

**Lemma 3.9.** Let 
$$e \in \mathcal{T}$$
. Then  $\epsilon(e) \leq_{\mathsf{T}} e$  and  $1 \in [\![e]\!]_{\mathsf{T}}$  if and only if  $\epsilon(e) = 1$ .

In the sequel, we need the *(parallel) width* of a term. This is defined as follows.

**Definition 3.11.** Let  $e \in \mathcal{T}$ . The (parallel) width of e, denoted by |e|, is defined as 0 when  $e \equiv_{\mathsf{BKA}} 0$ ; for all other cases, it is defined inductively, as follows:

$$\begin{aligned} |1| &\triangleq 0 & |e+f| &\triangleq \max(|e|,|f|) & |e| &|f| &\triangleq |e| + |f| \\ |a| &\triangleq 1 & |e \cdot f| &\triangleq \max(|e|,|f|) & |e^{\star}| &\triangleq |e| \end{aligned}$$

The width of a term is invariant with respect to equivalence of terms.

**Lemma 3.10.** Let 
$$e, f \in \mathcal{T}$$
. If  $e \equiv_{BKA} f$ , then  $|e| = |f|$ .

The width of a term is related to its semantics as demonstrated below.

**Lemma 3.11.** Let  $e \in \mathcal{T}$ , and let  $U \in [e]_{BKA}$  be such that  $U \neq 1$ . Then |e| > 0.

#### 3.3 Linear systems

KA is equipped to find the least solutions to linear inequations. For instance, if we want to find X such that  $e \cdot X + f \subseteq_{\mathsf{KA}} X$ , it is not hard to show that  $e^{\star} \cdot f$  is the least solution for X, in the sense that this choice of X satisfies the inequation, and for any choice of X that also satisfies this inequation it holds that  $e^{\star} \cdot f \subseteq_{\mathsf{KA}} X$ . Since KA is contained in BKA and CKA, the same constructions also apply there. These axioms generalise to systems of linear inequations in a straightforward manner; indeed, Kozen [18] exploited this generalisation to axiomatise KA. In this paper, we use systems of linear inequations to construct particular expressions. To do this, we introduce vectors and matrices of terms.

For the remainder of this section, we fix I as a non-empty and finite set.

**Definition 3.12.** An I-vector is a function from I to  $\mathcal{T}$ . Addition of I-vectors is defined pointwise, i.e., if p and q are I-vectors, then p+q is the I-vector defined for  $i \in I$  by  $(p+q)(i) \triangleq p(i)+q(i)$ .

An I-matrix is a function from  $I^2$  to  $\mathcal{T}$  Left-multiplication of an I-vector by an I-matrix is defined in the usual fashion, i.e., if M is an I-matrix and p is an I-vector, then  $M \cdot p$  is the I-vector defined for  $i \in I$  by

$$(M \cdot p)(i) \triangleq \sum_{j \in I} M(i, j) \cdot p(j)$$

Equivalence between terms extends pointwise to *I*-vectors. More precisely, we write  $p \equiv_{\tau} q$  for *I*-vectors p and q when  $p(i) \equiv_{\tau} q(i)$  for all  $i \in I$ , and  $p \leq_{\tau} q$  when  $p + q \equiv_{\tau} q$ .

**Definition 3.13.** An I-linear system  $\mathfrak L$  is a pair  $\langle M,p\rangle$  where M is an I-matrix and p is an I-vector. A solution to  $\mathfrak L$  in T is an I-vector s such that  $M \cdot s + p \leqq_{\mathsf T} s$ . A least solution to  $\mathfrak L$  in T is a solution s in T such that for any solution t in T it holds that  $s \leqq_{\mathsf T} t$ .

We observe the following about solutions to an *I*-linear-system.

**Lemma 3.12.** Let  $\mathfrak{L} = \langle M, p \rangle$  be an *I*-linear system with  $i \in I$  and solution s. Then

$$M(i,i)^{\star} \cdot \left(p(i) + \sum_{j \in I - \{i\}} M(i,j) \cdot s(j)\right) \leq_{\mathsf{T}} s(i)$$

*Proof.* Since s is a solution, we have that  $p + M \cdot s \leq_T s$ . We can then derive

$$p(i) + M(i,i) \cdot s(i) + \sum_{j \in I - \{i\}} M(i,j) \cdot s(j) \equiv_{\mathsf{T}} p(i) + \sum_{j \in I} M(i,j) \cdot s(j) \leqq_{\mathsf{T}} s(i)$$

The claim now follows from the fixpoint axiom of T.

Interestingly, any I-linear system has a least solution, and one can construct this solution using only the operators of KA. The construction is not unlike Kleene's procedure to obtain a regular expression from a finite automaton [17]; it proceeds by induction on |I| and relies on Lemma 3.12 to reconcile the induction hypothesis with the claim. Alternatively, one can regard the existence of least solutions as a special case of Kozen's proof of the fixpoint axioms in the context of a KA of matrices over another KA, as seen in [18, Lemma 9].

As a matter of fact, because this construction uses the axioms of KA exclusively, the least solution that is constructed is the same for both BKA and CKA.

**Lemma 3.13.** Let  $\mathfrak{L}$  be an I-linear system. One can construct a single I-vector s that is a least solution to  $\mathfrak{L}$  in both BKA and CKA.

For the sake of self-containment, we include a full proof of the lemma above using the notation of this paper in Appendix A.

# 4 Axiomatising CKA

We now turn our attention to proving that  $\equiv_{\mathsf{CKA}}$  is complete for CKA-semantic equivalence of terms, i.e., that if  $e, f \in \mathcal{T}$  are such that  $\llbracket e \rrbracket_{\mathsf{CKA}} = \llbracket f \rrbracket_{\mathsf{CKA}}$ , then  $e \equiv_{\mathsf{CKA}} f$ . In the interest of readability, proofs of technical lemmas in this section are deferred to Appendix B.

As mentioned before, our proof of completeness is based on the completeness result for BKA reproduced in Theorem 3.1. Recall that  $[\![e]\!]_{\mathsf{CKA}} = [\![e]\!]_{\mathsf{BKA}} \downarrow$ . To reuse completeness of BKA, we construct a syntactic variant of the closure operator, which is formalised below.

**Definition 4.1.** Let  $e \in \mathcal{T}$ . We say that  $e \downarrow$  is a closure of e if both  $e \equiv_{\mathsf{CKA}} e \downarrow$  and  $\llbracket e \downarrow \rrbracket_{\mathsf{BKA}} = \llbracket e \rrbracket_{\mathsf{BKA}} \downarrow$  hold.

Laurence and Struth observed that the existence of a closure implies a completeness theorem for CKA, as follows.

**Lemma 4.1.** Suppose that we can construct a closure for every element of  $\mathcal{T}$ . If  $e, f \in \mathcal{T}$  such that  $[\![e]\!]_{\mathsf{CKA}} = [\![f]\!]_{\mathsf{CKA}}$ , then  $e \equiv_{\mathsf{CKA}} f$ .

*Proof.* Since  $\llbracket e \rrbracket_{\mathsf{CKA}} = \llbracket e \rrbracket_{\mathsf{BKA}} \downarrow = \llbracket e \downarrow \rrbracket_{\mathsf{BKA}}$  and similarly  $\llbracket f \rrbracket_{\mathsf{CKA}} = \llbracket f \downarrow \rrbracket_{\mathsf{BKA}}$ , we have  $\llbracket e \downarrow \rrbracket_{\mathsf{BKA}} = \llbracket f \downarrow \rrbracket_{\mathsf{BKA}}$ . By Theorem 3.1, we get  $e \downarrow \equiv_{\mathsf{BKA}} f \downarrow$ , and thus  $e \downarrow \equiv_{\mathsf{CKA}} f \downarrow$ , since all axioms of BKA are also axioms of CKA. By  $e \equiv_{\mathsf{CKA}} e \downarrow$  and  $f \downarrow \equiv_{\mathsf{CKA}} f$ , we can then conclude that  $e \equiv_{\mathsf{CKA}} f$ .

The remainder of this section is dedicated to showing that the premise of Lemma 4.1 holds. We do this by explicitly constructing a closure  $e \downarrow$  for every  $e \in \mathcal{T}$ . First, we note that closure can be constructed for the base terms.

**Lemma 4.2.** Let  $e \in 2$  or e = a for some  $a \in \Sigma$ . Then e is a closure of itself.

Furthermore, closure can be constructed compositionally for all operators except parallel composition, in the following sense.

**Lemma 4.3.** Suppose that  $e_0, e_1 \in \mathcal{T}$ , and that  $e_0$  and  $e_1$  have closures  $e_0 \downarrow$  and  $e_1 \downarrow$ . Then (i)  $e_0 \downarrow + e_1 \downarrow$  is a closure of  $e_0 + e_1$ , (ii)  $e_0 \downarrow \cdot e_1 \downarrow$  is a closure of  $e_0 \cdot e_1$ , and (iii)  $(e_0 \downarrow)^*$  is a closure of  $e_0^*$ .

*Proof.* Since  $e_0 \downarrow \equiv_{\mathsf{CKA}} e_0$  and  $e_1 \downarrow \equiv_{\mathsf{CKA}} e_1$ , by the fact that  $\equiv_{\mathsf{CKA}}$  is a congruence we obtain  $e_0 \downarrow + e_1 \downarrow \equiv_{\mathsf{CKA}} e_0 + e_1$ . Similar observations hold for the other operators. We conclude using Lemma 3.7.

It remains to consider the case where  $e = e_0 \parallel e_1$ . In doing so, our induction hypothesis is that any  $f \in \mathcal{T}$  with  $|f| < |e_0| \parallel e_1|$  has a closure, as well as any strict subterm of  $e_0 \parallel e_1$ .

#### 4.1 Preclosure

To get to a closure of a parallel composition, we first need an operator on terms that is not a closure quite yet, but whose BKA-semantics is "closed enough" to cover the non-sequential elements of the CKA-semantics of the term.

**Definition 4.2.** Let  $e \in \mathcal{T}$ . A preclosure of e is a term  $\tilde{e} \in \mathcal{T}$  such that  $\tilde{e} \equiv_{\mathsf{CKA}} e$ . Moreover, if  $U \in \llbracket e \rrbracket_{\mathsf{CKA}}$  is non-sequential, then  $U \in \llbracket \tilde{e} \rrbracket_{\mathsf{BKA}}$ .

Shortly, we show that, under the induction hypothesis,  $e_0 \parallel e_1$  has a preclosure. At first glance, we might choose  $e_0 \downarrow \parallel e_1 \downarrow$  as a preclosure, since  $e_0 \downarrow$  and  $e_1 \downarrow$  exist by the induction hypothesis. As a counterexample, let  $e_0 = a \parallel b$  and  $e_1 = c$ . In that case,  $e_0 \downarrow = a \parallel b + a \cdot b + b \cdot a$  is a closure of  $e_0$ . Furthermore,  $e_1 \downarrow = c$  is a closure of  $e_1$ , by Lemma 4.2. However,  $e_0 \downarrow \parallel e_1 \downarrow$  is not a preclosure of  $e_0 \parallel e_1$ , since  $(a \cdot c) \parallel b$  is found in  $[e_0 \parallel e_1]_{\text{CKA}}$ , but not in  $[e_0 \downarrow \parallel e_1 \downarrow]_{\text{BKA}}$ .

The problem is that the preclosure of  $e_0$  and  $e_1$  should also allow (partial) sequentialisation of parallel parts of  $e_0$  and  $e_1$ ; in this case, we need to sequentialise the a part of  $a \parallel b$  with c, and leave b untouched. To do so, we need to be able to splice  $e_0 \parallel e_1$  into pairs of constituent terms, each pair of which represents a possible way to divvy up its parallel parts. For instance, consider the example where  $e_0 = a \parallel b$  and  $e_1 = c$ . In this case, we can splice  $e_0 \parallel e_1 = (a \parallel b) \parallel c$  parallelly into  $a \parallel b$  and c. However, we can also splice it into a and  $b \parallel c$ , or into  $a \parallel c$  and b. The definition below formalises this procedure.

**Definition 4.3.** Let  $e \in \mathcal{T}$ ;  $\Delta_e$  is the smallest relation on  $\mathcal{T}$  such that

$$\begin{split} \frac{1}{1} \frac{\Delta_{e} \ e}{\Delta_{e} \ 1} & \frac{\ell \ \Delta_{e_{0}} \ r}{\ell \ \Delta_{e_{1} + e_{0}} \ r} & \frac{\ell \ \Delta_{e_{1}} \ r}{\ell \ \Delta_{e_{0} + e_{1}} \ r} & \frac{\ell \ \Delta_{e} \ r}{\ell \ \Delta_{e^{\star}} \ r} \\ \\ \frac{\ell \ \Delta_{e_{0}} \ r}{\ell \ \Delta_{e_{0} \cdot e_{1}} \ r} & \frac{\ell \ \Delta_{e} \ r}{\ell \ \Delta_{e_{0} \cdot e_{1}} \ r} & \frac{\ell \ \Delta_{e} \ r}{\ell \ \Delta_{e_{0} \cdot e_{1}} \ r} \end{split}$$

Given  $e \in \mathcal{T}$ , we refer to  $\Delta_e$  as the parallel splicing relation of e, and to the elements of  $\Delta_e$  as parallel splicings of e. Before we can use  $\Delta_e$  to construct the preclosure of e, we go over a number of properties of the parallel splicing relation. The first of these properties is that a given  $e \in \mathcal{T}$  has only finitely many parallel splicings. This will be useful later, when we involve all parallel splicings of e in building a new term, i.e., to guarantee that the constructed term is finite.

#### **Lemma 4.4.** For $e \in \mathcal{T}$ , $\Delta_e$ is finite.

We furthermore note that the parallel composition of any parallel splicing of e is ordered below e by  $\leq_{\mathsf{BKA}}$ . This guarantees that parallel splicings never contain extra information, i.e., that their semantics do not contain pomsets that do not occur in the semantics of e. This also allows us to bound the width of the parallel splicings by the width of the term being spliced, as a result of Lemma 3.10.

**Lemma 4.5.** Let  $e \in \mathcal{T}$ . If  $\ell \Delta_e r$ , then  $\ell \parallel r \leq_{\mathsf{BKA}} e$ .

Corollary 4.1. Let 
$$e \in \mathcal{T}$$
. If  $\ell \Delta_e r$ , then  $|\ell| + |r| \leq |e|$ .

Finally, we show that  $\Delta_e$  is *dense* when it comes to parallel pomsets, meaning that if we have a parallelly composed pomset in the semantics of e, then we can find a parallel splicing where one parallel component is contained in the semantics of one side of the pair, and the other component in that of the other.

**Lemma 4.6.** Let  $e \in \mathcal{T}$ , and let V, W be points such that  $V \parallel W \in \llbracket e \rrbracket_{\mathsf{BKA}}$ . Then there exist  $\ell, r \in \mathcal{T}$  with  $\ell \Delta_e r$  such that  $V \in \llbracket \ell \rrbracket_{\mathsf{BKA}}$  and  $W \in \llbracket r \rrbracket_{\mathsf{BKA}}$ .

*Proof.* The proof proceeds by induction on e. In the base, we can discount the case where e = 0, for then the claim holds vacuously. This leaves us two cases.

– If e=1, then  $V \parallel W \in \llbracket e \rrbracket_{\text{BKA}}$  entails  $V \parallel W=1$ . By Lemma 3.1, we find that V=W=1. Since  $1 \Delta_e 1$  by definition of  $\Delta_e$ , the claim follows when we choose  $\ell=r=1$ .

– If e=a for some  $a\in \Sigma$ , then  $V\parallel W\in \llbracket e\rrbracket_{\text{BKA}}$  entails  $V\parallel W=a$ . By Lemma 3.1, we find that either V=1 and W=a, or V=a and W=1. In the former case, we can choose  $\ell=1$  and r=a, while in the latter case we can choose  $\ell=a$  and r=1. It is then easy to see that our claim holds in either case.

For the inductive step, there are four cases to consider.

- If  $e = e_0 + e_1$ , then  $U_0 \parallel U_1 \in \llbracket e_i \rrbracket_{\mathsf{BKA}}$  for some  $i \in 2$ . But then, by induction, we find  $\ell, r \in \mathcal{T}$  with  $\ell \Delta_{e_i} r$  such that  $V \in \llbracket \ell \rrbracket_{\mathsf{BKA}}$  and  $W \in \llbracket r \rrbracket_{\mathsf{BKA}}$ . Since this implies that  $\ell \Delta_{e_i} r$ , the claim follows.
- If  $e = e_0 \cdot e_1$ , then there exist pomsets  $U_0, U_1$  such that  $V \parallel W = U_0 \cdot U_1$ , and  $U_i \in \llbracket e_i \rrbracket_{\mathsf{BKA}}$  for all  $i \in 2$ . By Lemma 3.1, there are two cases to consider.
  - Suppose that  $U_i = 1$  for some  $i \in 2$ , meaning that  $V \parallel W = U_0 \cdot U_1 = U_{1-i} \in \llbracket e_{1-i} \rrbracket_{\mathsf{BKA}}$  for this i. By induction, we find  $\ell, r \in \mathcal{T}$  with  $\ell \Delta_{e_{1-i}} r$ , and  $V \in \llbracket \ell \rrbracket_{\mathsf{BKA}}$  as well as  $W \in \llbracket r \rrbracket_{\mathsf{BKA}}$ . Since  $U_i = 1 \in \llbracket e_i \rrbracket_{\mathsf{BKA}}$ , we have that  $\epsilon(e_i) = 1$  by Lemma 3.9, and thus  $\ell \Delta_e r$ .
  - Suppose that V=1 or W=1. In the former case,  $V \parallel W=W=U_0 \cdot U_1 \in [\![e]\!]_{\mathsf{CKA}}$ . We then choose  $\ell=1$  and r=e to satisfy the claim. In the latter case, we can choose  $\ell=e$  and r=1 to satisfy the claim analogously.
- If  $e = e_0 \parallel e_1$ , then there exist pomsets  $U_0, U_1$  such that  $V \parallel W = U_0 \parallel U_1$ , and  $U_i \in \llbracket e_i \rrbracket_{\mathsf{BKA}}$  for all  $i \in 2$ . By Lemma 3.5, we find pomsets  $W_0, V_1, W_0, W_1$  such that  $V = V_0 \parallel V_1, W = W_0 \parallel W_1$ , and  $U_i = V_i \parallel W_i$  for  $i \in 2$ . For  $i \in 2$ , we then find by induction  $\ell_i, r_i \in \mathcal{T}$  with  $\ell_i \Delta_{e_i} r_i$  such that  $V_i \in \llbracket \ell_i \rrbracket_{\mathsf{BKA}}$  and  $W_i \in \llbracket r_i \rrbracket_{\mathsf{BKA}}$ . We then choose  $\ell = \ell_0 \parallel \ell_1$  and  $r = r_0 \parallel r_1$ . Since  $\ell = \ell_0 \parallel \ell_1$ , it follows that  $\ell \in \llbracket \ell \rrbracket_{\mathsf{BKA}}$ , and similarly we find that  $\ell \in \llbracket \ell \rrbracket_{\mathsf{BKA}}$ . Since  $\ell \in \ell$ 0, the claim follows.
- If  $e = e_0^{\star}$ , then there exist  $U_0, U_1, \ldots, U_{n-1} \in \llbracket e_0 \rrbracket_{\mathsf{BKA}}$  such that  $V \parallel W = U_0 \cdot U_1 \cdots U_{n-1}$ . If n = 0, i.e.,  $V \parallel W = 1$ , then V = W = 1. In that case, we can choose  $\ell = e$  and r = 1 to find that  $\ell \Delta_e r$ ,  $V \in \llbracket \ell \rrbracket_{\mathsf{BKA}}$  and  $W \in \llbracket r \rrbracket_{\mathsf{BKA}}$ , satisfying the claim.

If n > 0, we can assume without loss of generality that, for  $0 \le i < n$ , it holds that  $U_i \ne 1$ . By Lemma 3.1, there are two subcases to consider.

- Suppose that  $V, W \neq 1$ ; then n = 1 (for otherwise  $U_j = 1$  for some  $0 \leq j < n$  by Lemma 3.1, which contradicts the above). Since  $V \parallel W = U_0 \in \llbracket e_0 \rrbracket_{\mathsf{BKA}}$ , we find by induction  $\ell, r \in \mathcal{T}$  with  $\ell \Delta_{e_0} r$  such that  $V \in \llbracket \ell \rrbracket_{\mathsf{BKA}}$  and  $W \in \llbracket r \rrbracket_{\mathsf{BKA}}$ . The claim then follows by the fact that  $\ell \Delta_{e} r$ .
- Suppose that V=1 or W=1. In the former case,  $V\parallel W=W=U_0\cdot U_1\cdots U_{n-1}\in \llbracket e\rrbracket_{\mathsf{CKA}}$ . We then choose  $\ell=1$  and r=e to satisfy the claim. In the latter case, we can choose  $\ell=e$  and r=1 to satisfy the claim analogously.

With parallel splicing in hand, we can define an operator on terms that combines all parallel splices of a parallel composition in a way that accounts for all of their downward closures. **Definition 4.4.** Let  $e, f \in \mathcal{T}$ , and suppose that, for every  $g \in \mathcal{T}$  with |g| < |e| + |f|, there exists a closure  $g \downarrow$ . The term  $e \odot f$  is defined as follows:

$$e \odot f \triangleq e \parallel f + \sum_{\substack{\ell \Delta_{e \parallel f} r \\ |\ell|, |r| < |e| \mid f|}} \ell \downarrow \parallel r \downarrow$$

Note that, given the preconditions,  $e \odot f$  is well-defined: the sum is finite since  $\Delta_{e||f}$  is finite by Lemma 4.4, and furthermore  $\ell \downarrow$  and  $r \downarrow$  exist, like we required that  $|\ell|, |r| < |e|| f| = |e| + |f|$ .

It turns out that this operator is just enough to give us a preclosure.

**Lemma 4.7.** Let  $e, f \in \mathcal{T}$ , and suppose that, for every  $g \in \mathcal{T}$  with |g| < |e| + |f|, there exists a closure  $g \downarrow$ . Then  $e \odot f$  is a preclosure of  $e \parallel f$ .

Proof. We start by showing that  $e \odot f \equiv_{\mathsf{CKA}} e \parallel f$ . First, note that  $e \parallel f \leqq_{\mathsf{BKA}} e \odot f$  by definition of  $e \odot f$ . For the other direction, suppose that  $\ell, r \in \mathcal{T}$  are such that  $\ell \triangleq_{\mathsf{LKA}} f$ . By definition of closure, we know that  $\ell \downarrow \parallel r \downarrow \equiv_{\mathsf{CKA}} \ell \parallel r$ . By Lemma 4.5, we have  $\ell \parallel r \leqq_{\mathsf{BKA}} e \parallel f$ . Since every subterm of  $e \odot f$  is ordered below  $e \parallel f$  by  $\leqq_{\mathsf{CKA}}$ , we have that  $e \odot f \leqq_{\mathsf{CKA}} e \parallel f$ . It then follows that  $e \parallel f \equiv_{\mathsf{CKA}} e \odot f$ .

For the second requirement, suppose that  $X \in \llbracket e \parallel f \rrbracket_{\mathsf{CKA}}$  is non-sequential. We then know that there exists a  $Y \in \llbracket e \parallel f \rrbracket_{\mathsf{BKA}}$  such that  $X \sqsubseteq Y$ . This leaves us two cases to consider.

- If X is empty or primitive, then Y = X by Lemma 3.2, thus  $X \in \llbracket e \parallel f \rrbracket_{\mathsf{BKA}}$ . By the fact that  $e \parallel f \leqq_{\mathsf{BKA}} e \odot f$  and by Lemma 3.8, we find  $X \in \llbracket e \odot f \rrbracket_{\mathsf{BKA}}$ .
- If  $X = X_0 \parallel X_1$  for non-empty pomsets  $X_0$  and  $X_1$ , then by Lemma 3.3 we find non-empty pomsets  $Y_0$  and  $Y_1$  with  $Y = Y_0 \parallel Y_1$  such that  $X_i \sqsubseteq Y_i$  for  $i \in 2$ . By Lemma 4.6, we find  $\ell, r \in \mathcal{T}$  with  $\ell \Delta_{e \parallel f} r$  such that  $Y_0 \in \llbracket \ell \rrbracket_{\mathsf{BKA}}$  and  $Y_1 \in \llbracket r \rrbracket_{\mathsf{BKA}}$ . By Lemma 3.11, we find that  $|\ell|, |r| > 1$ . Corollary 4.1 then allows us to conclude that  $|\ell|, |r| < |e| \parallel f|$ .

This means that  $\ell \downarrow \parallel r \downarrow \subseteq_{\mathsf{BKA}} e \odot f$ . Since  $X_0 \in \llbracket \ell \downarrow \rrbracket_{\mathsf{BKA}}$  and  $X_1 \in \llbracket r \downarrow \rrbracket_{\mathsf{BKA}}$  by definition of closure, we can derive by Lemma 3.8 that

$$X = X_0 \parallel X_1 \in \llbracket \ell \downarrow \parallel r \downarrow \rrbracket_{\mathsf{RKA}} \subseteq \llbracket e \odot f \rrbracket_{\mathsf{RKA}} \qquad \Box$$

#### 4.2 Closure

The preclosure operator discussed above covers the non-sequential pomsets in the language  $[e \mid f]_{CKA}$ ; it remains to find a term that covers the sequential pomsets contained in  $[e \mid f]_{CKA}$ .

To better give some intuition to the construction ahead, we first explore the observations that can be made when a sequential pomset  $W \cdot X$  appears in the language  $\llbracket e \parallel f \rrbracket_{\mathsf{CKA}}$ ; without loss of generality, assume that W is non-sequential. In this setting, there must exist  $U \in \llbracket e \rrbracket_{\mathsf{BKA}}$  and  $V \in \llbracket f \rrbracket_{\mathsf{BKA}}$  such that  $W \cdot X \sqsubseteq U \parallel V$ . By Lemma 3.6, we find pomsets  $U_0, U_1, V_0, V_1$  such that

$$W \sqsubseteq U_0 \parallel V_0 \qquad \qquad X \sqsubseteq U_1 \parallel V_1 \qquad \qquad U_0 \cdot U_1 \sqsubseteq U \qquad \qquad V_0 \cdot V_1 \sqsubseteq V$$

This means that  $U_0 \cdot U_1 \in \llbracket e \rrbracket_{\mathsf{CKA}}$  and  $V_0 \cdot V_1 \in \llbracket f \rrbracket_{\mathsf{CKA}}$ . Now, suppose we could find  $e_0, e_1, f_0, f_1 \in \mathcal{T}$  such that

Then we have  $W \in \llbracket e_0 \odot f_0 \rrbracket_{\mathsf{BKA}}$ , and  $X \in \llbracket e_1 \parallel f_1 \rrbracket_{\mathsf{CKA}}$ . Thus, if we can find a closure of  $e_1 \parallel f_1$ , then we have a term whose BKA-semantics contains  $W \cdot X$ .

There are two obstacles that need to be resolved before we can use the observations above to find the closure of  $e \parallel f$ . The first problem that we need to be sure that this process of splicing terms into sequential components is at all possible, i.e., that we can split e into  $e_0$  and  $e_1$  with  $e_0 \cdot e_1 \leq_{\mathsf{CKA}} e$  and  $U_i \in \llbracket e_i \rrbracket_{\mathsf{CKA}}$  for  $i \in 2$ . We do this by designing a sequential analogue to the parallel splicing relation seen before. The second problem, which we will address later in this section, is whether this process of splitting a parallel term  $e \parallel f$  according to the exchange law and finding a closure of remaining term  $e_1 \parallel f_1$  is well-founded, i.e., if we can find "enough" of these terms to cover all possible ways of sequentialising  $e \parallel f$ . This will turn out to be possible, by using the fixpoint axioms of KA as in Section 3.3 with linear systems.

We start by defining the sequential splicing relation.<sup>4</sup>

**Definition 4.5.** Let  $e \in \mathcal{T}$ ;  $\nabla_e$  is the smallest relation on  $\mathcal{T}$  such that

$$\frac{1}{1} \overline{\nabla_{1}} \frac{1}{1} \qquad \frac{1}{a} \overline{\nabla_{a}} \frac{1}{1} \qquad \frac{1}{1} \overline{\nabla_{a}} \frac{1}{a} \qquad \frac{\ell}{1} \overline{\nabla_{e_{0}}} \frac{r}{\ell} \qquad \frac{\ell}{\nabla_{e_{0}+e_{1}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}+e_{1}}} \frac{r}{r}$$

$$\frac{\ell}{\ell} \overline{\nabla_{e_{0}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}+e_{1}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}+e_{1}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}}} \frac{r}{r} \qquad \frac{\ell}{\ell} \overline{\nabla_{e_{0}+e_{1}}} \frac{r}{\ell} \qquad \frac{\ell}{\ell} \overline{\nabla_$$

Given  $e \in \mathcal{T}$ , we refer to  $\nabla_e$  as the sequential splicing relation of e, and to the elements of  $\nabla_e$  as sequential splicings of e. We need to establish a few properties of the sequential splicing relation that will be useful later on. The first of these properties is that, like for parallel splicing,  $\nabla_e$  is finite.

#### **Lemma 4.8.** For $e \in \mathcal{T}$ , $\nabla_e$ is finite.

We also have that the sequential composition of splicings is provably below the term being spliced. Just like the analogous lemma for parallel splicing, this guarantees that our sequential splicings never give rise to semantics not contained in the spliced term. This lemma also yields an observation about the width of sequential splicings when compared to the term being spliced.

**Lemma 4.9.** Let 
$$e \in \mathcal{T}$$
. If  $\ell, r \in \mathcal{T}$  with  $\ell \nabla_e r$ , then  $\ell \cdot r \leq_{\mathsf{CKA}} e$ .

Corollary 4.2. Let 
$$e \in \mathcal{T}$$
. If  $\ell, r \in \mathcal{T}$  with  $\ell \nabla_e r$ , then  $|\ell|, |r| \leq |e|$ .

<sup>&</sup>lt;sup>4</sup> The contents of this relation are very similar to the set of *left- and right-splines* of a NetKAT expression as used in [5].

Lastly, we show that the splicings cover every way of (sequentially) splitting up the semantics of the term being spliced, i.e., that  $\nabla_e$  is dense when it comes to sequentially composed pomsets.

**Lemma 4.10.** Let  $e \in \mathcal{T}$ , and let V and W be points such that  $V \cdot W \in \llbracket e \rrbracket_{\mathsf{CKA}}$ . Then there exist  $\ell, r \in \mathcal{T}$  with  $\ell \nabla_e r$  such that  $V \in \llbracket \ell \rrbracket_{\mathsf{CKA}}$  and  $W \in \llbracket r \rrbracket_{\mathsf{CKA}}$ .

*Proof.* The proof proceeds by induction on e. In the base, we can discount the case where e=0, for then the claim holds vacuously. This leaves us two cases.

- If e=1, then  $V \cdot W=1$ ; by Lemma 3.1, we find that V=W=1. Since  $1 \nabla_e 1$  by definition of  $\nabla_e$ , the claim follows when we choose  $\ell=r=1$ .
- If e = a for some  $a \in \Sigma$ , then  $V \cdot W = a$ ; by Lemma 3.1, we find that either V = a and W = 1 or V = 1 and W = a. In the former case, we can choose  $\ell = a$  and r = 1 to satisfy the claim; the latter case can be treated similarly.

For the inductive step, there are four cases to consider.

- If  $e = e_0 + e_1$ , then  $V \cdot W \in \llbracket e_i \rrbracket_{\mathsf{CKA}}$  for some  $i \in 2$ . By induction, we find  $\ell, r \in \mathcal{T}$  with  $\ell \nabla_{e_i} r$  such that  $V \in \llbracket \ell \rrbracket_{\mathsf{CKA}}$  and  $W \in \llbracket r \rrbracket_{\mathsf{CKA}}$ . Since  $\ell \nabla_e r$  in this case, the claim follows.
- If  $e = e_0 \cdot e_1$ , then there exist  $U_0 \in \llbracket e_0 \rrbracket_{\mathsf{CKA}}$  and  $U_1 \in \llbracket e_1 \rrbracket_{\mathsf{CKA}}$  such that  $V \cdot W = U_0 \cdot U_1$ . By Lemma 3.4, we find a series-parallel pomset X such that either  $V \sqsubseteq U_0 \cdot X$  and  $X \cdot W \sqsubseteq U_1$ , or  $V \cdot X \sqsubseteq U_0$  and  $W \sqsubseteq X \cdot U_1$ . In the former case, we find that  $X \cdot W \in \llbracket e_1 \rrbracket_{\mathsf{CKA}}$ , and thus by induction  $\ell', r \in \mathcal{T}$  with  $\ell' \nabla_{e_1} r$  such that  $X \in \llbracket \ell' \rrbracket_{\mathsf{CKA}}$  and  $W \in \llbracket r \rrbracket_{\mathsf{CKA}}$ . We then choose  $\ell = e_0 \cdot \ell'$  to find that  $\ell \nabla_e r$ , as well as  $V \sqsubseteq U_0 \cdot X \in \llbracket e_0 \rrbracket_{\mathsf{CKA}} \cdot \llbracket \ell' \rrbracket_{\mathsf{CKA}} = \llbracket \ell \rrbracket_{\mathsf{CKA}}$  and thus  $V \in \llbracket \ell \rrbracket_{\mathsf{CKA}}$ . The latter case can be treated similarly; here, we use the induction hypothesis on  $e_0$ .
- If  $e = e_0 \parallel e_1$ , then there exist  $U_0 \in \llbracket e_0 \rrbracket_{\mathsf{CKA}}$  and  $U_1 \in \llbracket e_1 \rrbracket_{\mathsf{CKA}}$  such that  $V \cdot W \sqsubseteq U_0 \parallel U_1$ . By Lemma 3.6, we find series-parallel pomsets  $V_0, V_1, W_0, W_1$  such that  $V \sqsubseteq V_0 \parallel V_1$  and  $W \sqsubseteq W_0 \parallel W_1$ , as well as  $V_i \cdot W_i \sqsubseteq U_i$  for all  $i \in 2$ . In that case,  $V_i \cdot W_i \in \llbracket e_i \rrbracket_{\mathsf{CKA}}$  for all  $i \in 2$ , and thus by induction we find  $\ell_i, r_i \in \mathcal{T}$  with  $\ell_i \nabla_{e_i} r_i$  such that  $V_i \in \llbracket \ell_i \rrbracket_{\mathsf{CKA}}$  and  $W_i \in \llbracket r_i \rrbracket_{\mathsf{CKA}}$ . We choose  $\ell = \ell_0 \parallel \ell_1$  and  $r = r_0 \parallel r_1$  to find that  $V \in \llbracket \ell_0 \parallel r_0 \rrbracket_{\mathsf{CKA}}$  and  $W \in \llbracket \ell_1 \parallel r_1 \rrbracket_{\mathsf{CKA}}$ , as well as  $\ell \nabla_e r$ .
- If  $e = e_0^*$ , then there exist  $U_0, U_1, \ldots, U_{n-1} \in [\![e_0]\!]_{\mathsf{CKA}}$  such that  $V \cdot W = U_0 \cdot U_1 \cdot \cdots U_{n-1}$ . Without loss of generality, we can assume that for  $0 \leq i < n$  it holds that  $U_i \neq 1$ . In the case where n = 0 we have that  $V \cdot W = 1$ , thus V = W = 1, we can choose  $\ell = r = 1$  to satisfy the claim.
  - For the case where n > 0, we find by Lemma 3.4 an  $0 \le m < n$  and seriesparallel pomsets X, Y such that  $X \cdot Y \sqsubseteq U_m$ , and  $V \sqsubseteq U_0 \cdot U_1 \cdots U_{m-1} \cdot X$  and  $W \sqsubseteq Y \cdot U_{m+1} \cdot U_{m+2} \cdots U_n$ . Since  $X \cdot Y \sqsubseteq U_m \in \llbracket e_0 \rrbracket_{\mathsf{CKA}}$  and thus  $X \cdot Y \in \llbracket e_0 \rrbracket_{\mathsf{CKA}}$ , we find by induction  $\ell', r' \in \mathcal{T}$  with  $\ell' \nabla_{e_0} r'$  and  $X \in \llbracket \ell' \rrbracket_{\mathsf{CKA}}$  and  $Y \in \llbracket r' \rrbracket_{\mathsf{CKA}}$ . We can then choose  $\ell = e_0^* \cdot \ell'$  and  $r = r' \cdot e_0^*$  to find that  $V \sqsubseteq U_0 \cdot U_1 \cdots U_{m-1} \cdot X \in \llbracket e_0^* \rrbracket_{\mathsf{CKA}} \cdot \llbracket \ell' \rrbracket_{\mathsf{CKA}} = \llbracket \ell \rrbracket_{\mathsf{CKA}}$  and  $W \sqsubseteq Y \cdot U_{m+1} \cdot U_{m+2} \cdots U_n \in \llbracket r' \rrbracket_{\mathsf{CKA}} \cdot \llbracket e_0^* \rrbracket_{\mathsf{CKA}} = \llbracket r \rrbracket_{\mathsf{CKA}}$ , and thus that  $V \in \llbracket \ell \rrbracket_{\mathsf{CKA}}$  and  $W \in \llbracket r \rrbracket_{\mathsf{CKA}}$ . Since  $\ell \nabla_e r$  holds, the claim follows.

We know how to splice a term sequentially. To resolve the second problem, we need to show that the process of splicing terms repeatedly ends somewhere. This is formalised in the notion of *right-hand remainders*, which are the terms that can appear as the right hand of a sequential splicing of a term.

**Definition 4.6.** Let  $e \in \mathcal{T}$ . The set of (right-hand) remainders of e, written R(e), is the smallest set that contains e, such that if  $f \in R(e)$  and  $\ell, r \in \mathcal{T}$  with  $\ell \nabla_f r$ , then  $r \in R(e)$ .

#### **Lemma 4.11.** Let $e \in \mathcal{T}$ . R(e) is finite.

With splicing and remainders we are in a position to define the linear system that will yield the closure of a parallel composition. Intuitively, we can think of this system as an automaton: every variable corresponds to a state, and every row of the matrix describes the "transitions" of the corresponding state, while every element of the vector describes the language "accepted" by that state without taking a single transition. Solving the system for a least fixpoint can be thought of as finding an expression that describes the language of the automaton.

**Definition 4.7.** Let  $e, f \in \mathcal{T}$ , and suppose that, for every  $g \in \mathcal{T}$  with |g| < |e| + |f|, there exists a closure  $g \downarrow$ . We choose  $I_{e,f} = \{g \mid h : g \in R(e), h \in R(f)\}$ . The  $I_{e,f}$ -vector  $p_{e,f}$  and  $I_{e,f}$ -matrix  $M_{e,f}$  are chosen as follows.

$$p_{e,f}(g \parallel h) \triangleq g \parallel f \qquad \qquad M_{e,f}(g \parallel h, g' \parallel h') \triangleq \sum_{\substack{\ell_g \nabla_g g' \\ \ell_h \nabla_h h'}} \ell_g \odot \ell_h$$

 $I_{e,f}$  is finite by Lemma 4.11. We write  $\mathfrak{L}_{e,f}$  for the  $I_{e,f}$ -linear system  $\langle M_{e,f}, p_{e,f} \rangle$ .

We can check that  $M_{e,f}$  is well-defined. First, the sum is finite, because  $\nabla_g$  and  $\nabla_h$  are finite by Lemma 4.8. Second, if  $g \parallel h \in I$  and  $\ell_g, r_g, \ell_h, r_h \in \mathcal{T}$  such that  $\ell_g \nabla_g r_g$  and  $\ell_h \nabla_h r_h$ , then  $|\ell_g| \leq |g| \leq |e|$  and  $|\ell_h| \leq |h| \leq |f|$  by Corollary 4.2, and thus, if  $d \in \mathcal{T}$  such that  $|d| < |\ell_g| + |\ell_h|$ , then |d| < |e| + |f|, and thus a closure of d exists, meaning that  $\ell_g \odot \ell_h$  exists, too.

The least solution to  $\mathfrak{L}_{e,f}$  obtained through Lemma 3.13 is the *I*-vector denoted by  $s_{e,f}$ . We write  $e \otimes f$  for  $s_{e,f}(e \parallel f)$ , i.e., the least solution at  $e \parallel f$ .

Using the previous lemmas, we can then show that  $e \otimes f$  is indeed a closure of  $e \parallel f$ , provided that we have closures for all terms of strictly lower width. The intuition of this proof is that we use the uniqueness of least fixpoints to show that  $e \parallel f \equiv_{\mathsf{CKA}} e \otimes f$ , and then use the properties of preclosure and the normal form of series-parallel pomsets to show that  $\llbracket e \parallel f \rrbracket_{\mathsf{CKA}} = \llbracket e \otimes f \rrbracket_{\mathsf{BKA}}$ .

**Lemma 4.12.** Let  $e, f \in \mathcal{T}$ , and suppose that, for every  $g \in \mathcal{T}$  with |g| < |e| + |f|, there exists a closure  $g \downarrow$ . Then  $e \otimes f$  is a closure of  $e \parallel f$ .

*Proof.* We begin by showing that  $e \parallel f \equiv_{\mathsf{CKA}} e \otimes f$ . We can see that  $p_{e,f}$  is a solution to  $\mathfrak{L}_{e,f}$ , by calculating for  $g \parallel h \in I_{e,f}$ :

$$(p_{e,f} + M_{e,f} \cdot p_{e,f})(g \parallel h)$$

$$= g \parallel h + \sum_{\substack{r_g \parallel r_h \in I \\ \ell_h \nabla_h r_h}} \left(\sum_{\substack{\ell_g \nabla_g r_g \\ \ell_h \nabla_h r_h}} \ell_g \odot \ell_h\right) \cdot (r_g \parallel r_h)$$
 (def.  $M_{e,f}, p_{e,f}$ )

$$\equiv_{\mathsf{CKA}} g \parallel h + \sum_{r_g \parallel r_h \in I} \sum_{\substack{\ell_g \nabla_g r_g \\ \ell_h \nabla_h r_h}} (\ell_g \odot \ell_h) \cdot (r_g \parallel r_h)$$
 (distributivity)

$$\equiv_{\mathsf{CKA}} g \parallel h + \sum_{\substack{r_g \parallel r_h \in I}} \sum_{\substack{\ell_g \nabla_g r_g \\ \ell_h \nabla_h r_h}} (\ell_g \parallel \ell_h) \cdot (r_g \parallel r_h)$$
 (Lemma 4.7)

$$\leq_{\mathsf{CKA}} g \parallel h + \sum_{r_g \parallel r_h \in I} \sum_{\substack{\ell_g \nabla_g r_g \\ \ell_h \nabla_h r_h}} (\ell_g \cdot r_g) \parallel (\ell_h \cdot r_h)$$
 (exchange)

$$\leq_{\mathsf{CKA}} g \parallel h + \sum_{r_g \parallel r_h \in I} \sum_{\substack{\ell_g \nabla_g r_g \\ \ell_b \nabla_b r_b}} g \parallel h \tag{Lemma 4.9}$$

$$\equiv_{\mathsf{CKA}} g \parallel h$$
 (idempotence) 
$$= p_{e,f}(g \parallel h)$$
 (def.  $p_{e,f}$ )

To see that  $p_{e,f}$  is the *least* solution to  $\mathfrak{L}_{e,f}$ , let  $q_{e,f}$  be a solution to  $\mathfrak{L}_{e,f}$ . We then know that  $M_{e,f} \cdot q_{e,f} + p_{e,f} \leq_{\mathsf{CKA}} q_{e,f}$ ; thus, in particular,  $p_{e,f} \leq_{\mathsf{CKA}} q_{e,f}$ . Since the least solution to a linear system is unique up to  $\equiv_{\mathsf{CKA}}$ , we find that  $s_{e,f} \equiv_{\mathsf{CKA}} p_{e,f}$ , and therefore that  $e \otimes f = s_{e,f}(e \parallel f) \equiv_{\mathsf{CKA}} p_{e,f}(e \parallel f) = e \parallel f$ .

It remains to show that if  $U \in \llbracket e \parallel f \rrbracket_{\mathsf{CKA}}$ , then  $U \in \llbracket e \otimes f \rrbracket_{\mathsf{BKA}}$ . To show this, we show the more general claim that if  $g \parallel h \in I$  and  $U \in \llbracket g \parallel h \rrbracket_{\mathsf{CKA}}$ , then  $U \in \llbracket s_{e,f}(g \parallel h) \rrbracket_{\mathsf{BKA}}$ . Write  $U = U_0 \cdot U_1 \cdots U_{n-1}$  such that for  $0 \leq i < n$ ,  $U_i$  is non-sequential (as in Corollary 3.1). The proof proceeds by induction on n. In the base, we have that n = 0. In this case, U = 1, and thus  $U \in \llbracket g \parallel h \rrbracket_{\mathsf{BKA}}$  by Lemma 3.2. Since  $g \parallel h = p_{e,f}(g \parallel h) \leq_{\mathsf{BKA}} s_{e,f}(g \parallel h)$ , it follows that  $U \in \llbracket s_{e,f}(g \parallel h) \rrbracket_{\mathsf{BKA}}$  by Lemma 3.8.

For the inductive step, assume the claim holds for n-1. We write  $U = U_0 \cdot U'$ , with  $U' = U_1 \cdot U_2 \cdots U_{n-1}$ . Since  $U_0 \cdot U' \in \llbracket g \parallel h \rrbracket_{\mathsf{CKA}}$ , there exist  $W \in \llbracket g \rrbracket_{\mathsf{CKA}}$  and  $X \in \llbracket h \rrbracket_{\mathsf{CKA}}$  such that  $U_0 \cdot U' \sqsubseteq W \parallel X$ . By Lemma 3.6, we find possets  $W_0, W_1, X_0, X_1$  such that  $W_0 \cdot W_1 \sqsubseteq W$  and  $X_0 \cdot X_1 \sqsubseteq X$ , as well as  $U_0 \sqsubseteq W_0 \parallel X_0$  and  $U' \sqsubseteq W_1 \parallel X_1$ . By Lemma 4.10, we find  $\ell_g, r_g, \ell_h, r_h \in \mathcal{T}$  with  $\ell_g \nabla_g r_g$  and  $\ell_h \nabla_h r_h$ , such that  $W_0 \in \llbracket \ell_g \rrbracket_{\mathsf{CKA}}$ ,  $W_1 \in \llbracket r_g \rrbracket_{\mathsf{CKA}}$ ,  $X_0 \in \llbracket \ell_h \rrbracket_{\mathsf{CKA}}$  and  $X_1 \in \llbracket r_h \rrbracket_{\mathsf{CKA}}$ .

 $\ell_h \ \nabla_h \ r_h, \text{ such that } W_0 \in \llbracket \ell_g \rrbracket_{\mathsf{CKA}}, \ W_1 \in \llbracket r_g \rrbracket_{\mathsf{CKA}}, \ X_0 \in \llbracket \ell_h \rrbracket_{\mathsf{CKA}} \text{ and } X_1 \in \llbracket r_h \rrbracket_{\mathsf{CKA}}.$  From this, we know that  $U_0 \in \llbracket \ell_g \parallel \ell_h \rrbracket_{\mathsf{CKA}}$  and  $U' \in \llbracket r_g \parallel r_h \rrbracket_{\mathsf{CKA}}.$  Since  $U_0$  is non-sequential, we have that  $U_0 \in \llbracket \ell_g \odot \ell_h \rrbracket_{\mathsf{BKA}}.$  Moreover, by induction we find that  $U' \in \llbracket s_{e,f}(r_g \parallel r_h) \rrbracket_{\mathsf{BKA}}.$  Since  $\ell_g \odot \ell_h \leqq_{\mathsf{BKA}} M_{e,f}(g \parallel h, r_g \parallel r_h)$  by definition of  $M_{e,f}$ , we furthermore find that

$$(\ell_{a} \odot \ell_{h}) \cdot s_{e,f}(r_{a} \parallel r_{h}) \leq_{\mathsf{BKA}} M_{e,f}(g \parallel h, r_{a} \parallel r_{h}) \cdot s_{e,f}(r_{a} \parallel r_{h})$$

Since  $r_q \parallel r_h \in I$ , we find by definition of the solution to a linear system that

$$M_{e,f}(g \parallel h, r_g \parallel r_h) \cdot s_{e,f}(r_g \parallel r_h) \leqq_{\mathsf{BKA}} s_{e,f}(g \parallel h)$$

By Lemma 3.8 and the above, we conclude that  $U = U_0 \cdot U' \in [s_{e,f}(g \parallel h)]_{\text{BKA}}$ .  $\square$ 

With closure of parallel composition, we can construct a closure for any term and therefore conclude completeness of CKA.

**Theorem 4.1.** Let  $e \in \mathcal{T}$ . We can construct a closure  $e \downarrow of e$ .

*Proof.* The proof proceeds by induction on |e| and the structure of e, i.e., by considering f before g if |f| < |g|, or if f is a strict subterm of g (in which case  $|f| \le |g|$  also holds). It is not hard to see that this induces a well-ordering on  $\mathcal{T}$ . Let e be a term of width n, and suppose that the claim holds for all terms of width at most n-1, and for all strict subterms of e. There are three cases.

- If e=0, e=1 or e=a for some  $a\in\Sigma$ , the claim follows from Lemma 4.2.
- If  $e = e_0 + e_1$ , or  $e = e_0 \cdot e_1$ , or  $e = e_0^*$ , the claim follows from Lemma 4.3.
- If  $e = e_0 \parallel e_1$ , then  $e_0 \otimes e_1$  exists by the induction hypothesis. By Lemma 4.12, we then find that  $e_0 \otimes e_1$  is a closure of e.

$$\textbf{Corollary 4.3.} \ \ Let \ e,f \in \mathcal{T}. \ If \ \llbracket e \rrbracket_{\texttt{CKA}} = \llbracket f \rrbracket_{\texttt{CKA}}, \ then \ e \equiv_{\texttt{CKA}} f.$$

*Proof.* Follows from Theorem 4.1 and Lemma 4.1.

#### 5 Discussion and further work

By building a syntactic closure for each series-rational expression, we have shown that the standard axiomatisation of CKA is complete with respect to the CKA-semantics of series-rational terms. Consequently, the algebra of closed series-rational pomset languages forms the free CKA.

Our result leads to several decision procedures for the equational theory of CKA. For instance, one can compute the closure of a term as described in the present paper, and use an existing decision procedure for BKA such as found in [12,20,3]. Note however that although this approach seems suited for theoretical developments (such as formalising the results in a proof assistant), its complexity makes it less appealing for practical use. As a more practical approach, one could leverage recent work by Brunet, Pous and Struth [3], which provides an algorithm to compare closed series-rational pomset languages. Since this is the free concurrent Kleene algebra, this algorithm can now be used to decide the equational theory of CKA. We also obtain from the latter paper that this decision problem is EXPSPACE-complete.

We furthermore note that the algorithm for downward closure that arises from our constructions can be used to extend half of the result from [15] to a Kleene theorem that relates the CKA-semantics of expressions to the pomset automata proposed there: if  $e \in \mathcal{T}$ , we can construct a pomset automaton A with a state q such that  $L_A(q) = [e]_{CKA}$ .

Having established pomset automata as an operational model of CKA, a further question is whether these automata are amenable to a bisimulation-based equivalence algorithm, as is the case for finite automata [10]. If this is the case, optimisations such as those in [2] might have analogues for pomset automata that can be found using the coalgebraic method [23].

While this work was in development, an unpublished draft by Laurence and Struth [19] appeared, with a first proof of completeness for CKA. The general outline of their proof is similar to our own, in that they prove that closure of pomset languages preserves series-rationality, and hence there exists a syntactic closure for every series-rational expression. However, the techniques used to establish this fact are quite different from the developments in the present paper. First, we build the closure via syntactic methods: explicit splicing relations and solutions of linear systems. Instead, their proof uses automata theoretic constructions and algebraic closure properties of regular languages; in particular, they rely on congruences of finite index and language homomorphisms. We believe that our approach leads to a substantially simpler and more transparent proof. Furthermore, even though Laurence and Struth do not seem to use any fundamentally non-constructive argument, their proof does not obviously yield an algorithm to effectively compute the closure of a given term. In contrast, our proof is explicit enough to be implemented directly; we wrote a simple Python script (under six hundred lines) to do just that [16].

A crucial ingredient in this work was the computation of least solutions of linear systems. This kind of construction has been used in several occasions for the study of Kleene algebras [4,1,18], and we provide here yet another variation of such a result. We feel that linear systems may not have yet been used to their full potential in this context, and could still lead to interesting developments.

A natural extension of the work conducted here would be to turn our attention to the signature of concurrent Kleene algebra that includes a "parallel star" operator  $e^{\parallel}$ . The completeness result of Laurence and Struth [20] holds for BKA with the parallel star, so in principle one could hope to extend our syntactic closure construction to include this operator. Unfortunately, using the results of Laurence and Struth, we can show that this is not possible. They defined a notion of depth of a series-parallel pomset, intuitively corresponding to the nesting of parallel and sequential components. An important step in their development consists of proving that for every series-parallel-rational language there exists a finite upper bound on the depth of its elements. However, the language  $\llbracket a^{\parallel} \rrbracket_{\text{CKA}}$  does not enjoy this property: it contains every series-parallel pomset exclusively labelled with the symbol a. Since we can build such pomsets with arbitrary depth, it follows that there does not exist a syntactic closure of the term  $a^{\parallel}$ . New methods would thus be required to tackle the parallel star operator.

Another aspect of CKA that is not yet developed to the extent of KA is the coalgebraic perspective. We intend to investigate whether the coalgebraic tools developed for KA can be extended to CKA, which will hopefully lead to efficient bisimulation-based decision procedures [2,5].

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#### A Proofs for Section 3

The notion of N-freeness for pomsets is useful for proving the lemmas to come.

**Definition A.1.** Let  $U = [\mathbf{u}]$  be a pomset. We say that U is N-free if there are no  $u_0, u_1, u_2, u_3 \in S_{\mathbf{u}}$  such that  $u_0 \leq_{\mathbf{u}} u_1$ ,  $u_2 \leq_{\mathbf{u}} u_3$  and  $u_0 \leq_{\mathbf{u}} u_3$  and no other relation between them, i.e., the graph of these elements has the shape of an N.

Note that N-freeness is well-defined for pomsets, for the presence of an N-shape does not depend on the particular representative **u**. It is not hard to see that all series-parallel pomsets are N-free. Perhaps surprisingly, this N-freeness provides a complete characterisation of series-parallel pomsets [6].

**Lemma A.1** (Gischer). A pomset is series-parallel if and only if it is N-free.

It is also useful to restrict a labelled poset to a part of its carrier, as follows.

**Definition A.2.** Let **u** be a labelled poset, and let  $S \subseteq S_{\mathbf{u}}$ . We write  $\mathbf{u} \upharpoonright_S$  for the restriction of **u** to S, i.e., labelled poset given by  $S_{\mathbf{u} \upharpoonright_S} = S$ ,  $\leq_{\mathbf{u} \upharpoonright_S} = \leq_{\mathbf{u}} \cap S \times S$ , and  $\lambda_{\mathbf{u} \upharpoonright_S}(z) = \lambda_{\mathbf{u}}(z)$ .

#### A.1 Subsumption of empty or primitive pomsets

**Lemma A.2.** Let **u** be a labelled poset such that  $\mathbf{u} \sqsubseteq \mathbf{1}$  or  $\mathbf{1} \sqsubseteq \mathbf{u}$ . Then  $\mathbf{u} = \mathbf{1}$ .

*Proof.* We treat the case where  $\mathbf{u} \sqsubseteq \mathbf{1}$ ; the case where  $\mathbf{1} \sqsubseteq \mathbf{u}$  is similar. Let  $h: \mathbf{1} \to \mathbf{u}$  witness that  $\mathbf{u} \sqsubseteq \mathbf{1}$ . Then h is a bijection from  $S_1 = \emptyset$  to  $S_{\mathbf{u}}$ ; accordingly,  $S_{\mathbf{u}} = \emptyset$ . But then  $\mathbf{u} = \mathbf{1}$ , because the labelled poset with empty carrier is unique.

**Lemma A.3.** Let  $\mathbf{u}, \mathbf{v}$  be a labelled posets, with  $S_{\mathbf{v}}$  a singleton, such that  $\mathbf{u} \sqsubseteq \mathbf{v}$  or  $\mathbf{v} \sqsubseteq \mathbf{u}$ . Then  $\mathbf{u} \simeq \mathbf{v}$ .

*Proof.* We treat the case where  $\mathbf{u} \sqsubseteq \mathbf{v}$ ; the case where  $\mathbf{v} \sqsubseteq \mathbf{u}$  is similar. Let  $h: \mathbf{v} \to \mathbf{u}$  witness that  $\mathbf{u} \sqsubseteq \mathbf{v}$ . Then h is a bijection from  $S_{\mathbf{v}}$  to  $S_{\mathbf{u}}$ ; consequently,  $S_{\mathbf{u}}$  is a singleton. Now, if  $u \leq_{\mathbf{u}} u'$ , then  $u, u' \in S_{\mathbf{u}}$  and thus u = u'. Consequently,  $h^{-1}(u) = h^{-1}(u')$ , and thus  $h^{-1}(u) \leq_{\mathbf{v}} h^{-1}(u')$ . Since furthermore  $\lambda_{\mathbf{u}} \circ h = \lambda_{\mathbf{v}}$ , also  $\lambda_{\mathbf{v}} = \lambda_{\mathbf{v}} \circ h^{-1}$ . It follows that  $h^{-1}: \mathbf{u} \to \mathbf{v}$  is a subsumption witnessing that  $\mathbf{v} \sqsubseteq \mathbf{u}$ . We can thus conclude that  $\mathbf{u} \simeq \mathbf{v}$ .

**Lemma 3.2.** Let U and V be poissets such that  $U \subseteq V$  or  $V \subseteq U$ . If U is empty or primitive, then U = V.

*Proof.* First, suppose that U = 1. We then have that  $U = [\mathbf{1}]$  and  $V = [\mathbf{v}]$  such that  $\mathbf{u} \sqsubseteq \mathbf{1}$  or  $\mathbf{1} \sqsubseteq \mathbf{u}$ . By Lemma A.2, we find that  $\mathbf{v} = \mathbf{1}$  and thus  $V = [\mathbf{1}] = 1$ . Second, suppose that U = a for some  $a \in \Sigma$ . Then  $U = [\mathbf{u}]$  for some pomset with singleton carrier  $S_{\mathbf{u}}$ , with  $\lambda_{\mathbf{u}}(u) = a$  for all  $u \in S_{\mathbf{u}}$ . Since  $V = [\mathbf{v}]$  and  $\mathbf{u} \sqsubseteq \mathbf{v}$  or  $\mathbf{v} \sqsubseteq \mathbf{u}$ , we find that  $\mathbf{u} \simeq \mathbf{v}$  by Lemma A.3. This establishes that U = V.  $\square$ 

#### A.2 The factorisation lemma

**Lemma 3.3 (Factorisation).** Let U,  $V_0$ , and  $V_1$  be pomsets such that U is subsumed by  $V_0 \cdot V_1$ . Then there exist pomsets  $U_0$  and  $U_1$  such that:

$$U = U_0 \cdot U_1$$
,  $U_0 \sqsubseteq V_0$ , and  $U_1 \sqsubseteq V_1$ .

Also, if  $U_0$ ,  $U_1$  and V are poissets such that  $U_0 \parallel U_1 \sqsubseteq V$ , then there exist poissets  $V_0$  and  $V_1$  such that:

$$V = V_0 \parallel V_1, U_0 \sqsubseteq V_0, and U_1 \sqsubseteq V_1.$$

*Proof.* We start with the first claim. Let U,  $V_0$  and  $V_1$  be as in the premise, and write  $U = [\mathbf{u}]$ ,  $V_0 = [\mathbf{v}_0]$  and  $V_1 = [\mathbf{v}_1]$ . Without loss of generality, we can assume that  $\mathbf{v}_0$  and  $\mathbf{v}_1$  are disjoint, that  $S_{\mathbf{v}_0} \cup S_{\mathbf{v}_1} = S_{\mathbf{u}}$ , and that the identity function  $S_{\mathbf{v}_0} \cup S_{\mathbf{v}_1} \to S_{\mathbf{u}}$  is the subsumption witnessing that  $\mathbf{u} \sqsubseteq \mathbf{v}_0 \cdot \mathbf{v}_1$ .

We then choose  $\mathbf{u}_i = \mathbf{u} \upharpoonright_{\mathbf{v}_i}$  for  $i \in 2$ , and claim that  $\mathbf{u}_0 \cdot \mathbf{u}_1 = \mathbf{u}$ .

- For the carrier, we already know that

$$S_{\mathbf{u}_0\cdot\mathbf{u}_1} = S_{\mathbf{u}_0} \cup S_{\mathbf{u}_1} = (S_{\mathbf{u}} \cap S_{\mathbf{v}_0}) \cup (S_{\mathbf{u}} \cap S_{\mathbf{v}_1}) = S_{\mathbf{u}} \cap (S_{\mathbf{v}_0} \cup S_{\mathbf{v}_1}) = S_{\mathbf{u}}$$

- Now suppose that  $u, u' \in S_{\mathbf{u}}$  such that  $u \leq_{\mathbf{u}_0 \cdot \mathbf{u}_1} u'$ . There are two cases:
  - If  $u, u' \in S_{\mathbf{v}_i}$  for some  $i \in 2$ , then  $u \leq_{\mathbf{v}_i} u'$ , and thus  $u \leq_{\mathbf{v}_0 \cdot \mathbf{v}_1} u'$ , meaning that  $u \leq_{\mathbf{u}} u'$ .
  - If  $u \in S_{\mathbf{v}_0}$  and  $u' \in S_{\mathbf{v}_1}$ , then  $u \leq_{\mathbf{v}_0 \cdot \mathbf{v}_1} u'$ , and thus  $u \leq_{\mathbf{u}} u'$ .

In the other direction, let  $u, u' \in S_{\mathbf{u}}$  with  $u \leq_{\mathbf{u}} u'$ . There are three cases.

- If  $u, u' \in S_{\mathbf{v}_i}$  for some  $i \in 2$ , then  $u \leq_{\mathbf{v}_i} u'$ , and thus  $u \leq_{\mathbf{u}_i} u'$  and therefore  $u \leq_{\mathbf{u}_0 \cdot \mathbf{u}_1} u'$ .
- If  $u \in S_{\mathbf{v}_0} = S_{\mathbf{u}_0}$  and  $u' \in S_{\mathbf{v}_1} = S_{\mathbf{u}_1}$ , then  $u \leq_{\mathbf{u}_0 \cdot \mathbf{u}_1} u'$  immediately.

The case where  $u \in S_{\mathbf{u}_1}$  and  $u' \in S_{\mathbf{u}_0}$  can be disregarded, for there we find that  $u' \leq_{\mathbf{v}_0 \cdot \mathbf{v}_1} u$ , and thus  $u' \leq_{\mathbf{u}} u$ , meaning that u = u' and contradicting disjointness of  $\mathbf{u}_0$  and  $\mathbf{u}_1$ .

- For the labeling, let  $u \in S_{\mathbf{u}}$ . If  $u \in S_{\mathbf{v}_i}$  for  $i \in 2$ , then  $\lambda_{\mathbf{u}}(u) = \lambda_{\mathbf{u}_i}(u) = \lambda_{\mathbf{v}_i}(u) = \lambda_{\mathbf{v}_0 \cdot \mathbf{v}_1}(u)$ .

We also claim that for  $i \in 2$ , it holds that  $\mathbf{u}_i \sqsubseteq \mathbf{v}_i$ , as witnessed by the identity function  $S_{\mathbf{v}_i} \to S_{\mathbf{u}_i}$ . To see this, let  $v, v' \in S_{\mathbf{v}_i}$  be such that  $v \leq_{\mathbf{v}_i} v'$ . We then know that  $v \leq_{\mathbf{v}_0 \cdot \mathbf{v}_1} v'$ , and thus  $v \leq_{\mathbf{u}} v'$  by the premise. However, since  $v, v' \in S_{\mathbf{v}_i} = S_{\mathbf{u}_i}$ , it follows that  $v \leq_{\mathbf{u}_i} v'$ .

The first claim is now satisfied by choosing  $V_0 = [\mathbf{v}_0]$  and  $V_1 = [\mathbf{v}_1]$ . The second claim can be proved analogously; here, we split up  $V = [\mathbf{v}]$  according to  $U_0 = [\mathbf{u}_0]$  and  $U_1 = [\mathbf{u}_1]$ .

#### A.3 The generalized versions of Levi's lemma

To prove Lemma 3.4, we first prove a simpler statement.

**Lemma A.4.** Let U, V, W, X be poinsets such that  $U \cdot V \sqsubseteq W \cdot X$ . There exists a poinset Y such that either  $U \sqsubseteq W \cdot Y$  and  $Y \cdot V \sqsubseteq X$ , or  $U \cdot Y \sqsubseteq W$  and  $V \sqsubseteq Y \cdot X$ . Moreover, if U and V are series-parallel, then so is Y.

*Proof.* By Lemma 3.3, we find pomsets W' and X' with  $W' \sqsubseteq W$  and  $X' \sqsubseteq X$ , such that  $U \cdot V = W' \cdot X'$ . Let  $\mathbf{u}, \mathbf{v}, \mathbf{w}', \mathbf{x}'$  be labelled posets such that  $U = [\mathbf{u}]$ ,  $V = [\mathbf{v}]$ ,  $W' = [\mathbf{w}']$  and  $X = [\mathbf{x}']$ . Without loss of generality, we can assume that  $\mathbf{u}$  is disjoint from  $\mathbf{v}$ , and  $\mathbf{w}'$  from  $\mathbf{x}'$ , and that  $\mathbf{u} \cdot \mathbf{v} = \mathbf{w}' \cdot \mathbf{x}'$ . Note that this means that  $S_{\mathbf{u}} \cup S_{\mathbf{v}} = S_{\mathbf{w}'} \cup S_{\mathbf{x}'}$ .

Suppose, towards a contradiction, that  $S_{\mathbf{u}} \not\subseteq S_{\mathbf{w}'}$  and  $S_{\mathbf{w}'} \not\subseteq S_{\mathbf{u}}$ . Then there exists a  $u \in S_{\mathbf{u}} \setminus S_{\mathbf{w}'}$  and a  $w \in S_{\mathbf{w}'} \setminus S_{\mathbf{u}}$ . Since  $u \not\in S_{\mathbf{w}'}$ , it follows that  $u \in S_{\mathbf{x}'}$ ; by the same reasoning, we find that  $w \in S_{\mathbf{v}}$ . But then  $u \leq_{\mathbf{u} \cdot \mathbf{v}} w$ , and  $w \leq_{\mathbf{w}' \cdot \mathbf{x}'} u$ , and since  $\leq_{\mathbf{u} \cdot \mathbf{v}}$  and  $\leq_{\mathbf{w}' \cdot \mathbf{x}'}$  coincide, we find that u = w by antisymmetry; this is a contradiction, since  $u \in S_{\mathbf{u}}$  and  $w \notin S_{\mathbf{u}}$ . Thus, either  $S_{\mathbf{u}} \subseteq S'_{\mathbf{w}}$  or  $S'_{\mathbf{w}} \subseteq S_{\mathbf{u}}$ .

For the remainder of this proof, suppose that  $S_{\mathbf{u}} \subseteq S_{\mathbf{w}'}$ ; we can prove the claim when  $S_{\mathbf{u}} \supseteq S_{\mathbf{w}'}$  using similar arguments. We choose  $S = S_{\mathbf{w}'} \setminus S_{\mathbf{u}}$  and  $\mathbf{y} = \mathbf{w}' \upharpoonright_S$ . We now claim that  $\mathbf{w}' = \mathbf{u} \cdot \mathbf{y}$ . To see this, we show that their carriers, orders and labellings coincide.

- For the carrier, note that **u** and **y** are disjoint, and that  $S_{\mathbf{w}'} = S_{\mathbf{u}} \cup (S_{\mathbf{w}'} \setminus S_{\mathbf{u}}) = S_{\mathbf{u}} \cup S_{\mathbf{v}}$ .
- For the order, suppose first that  $w_0, w_1 \in S_{\mathbf{w}'}$  with  $w_0 \leq_{\mathbf{w}'} w_1$ . There are two cases to consider.
  - If  $w_0, w_1 \in S_{\mathbf{u}}$  or  $w_0, w_1 \in S_{\mathbf{y}}$ , then  $w_0 \leq_{\mathbf{u}} w_1$  or  $w_0 \leq_{\mathbf{y}} w_1$ , and thus  $w_0 \leq_{\mathbf{u} \cdot \mathbf{y}} w_1$ .
  - If  $w_0 \in S_{\mathbf{u}}$  and  $w_1 \in S_{\mathbf{y}}$ , then  $w_0 \leq_{\mathbf{u} \cdot \mathbf{y}} w_1$  by definition.

The case where  $w_1 \in S_{\mathbf{u}}$  and  $w_0 \in S_{\mathbf{y}}$  can be discounted, for here we find that  $w_0 \in S_{\mathbf{y}} \subseteq S_{\mathbf{v}}$ , and thus  $w_1 \leq_{\mathbf{u} \cdot \mathbf{v}} w_0$ , meaning that  $w_1 \leq_{\mathbf{w}' \cdot \mathbf{x}'} w_0$ , which in turn implies that  $w_0 = w_1$ , contradicting that  $S_{\mathbf{u}}$  and  $S_{\mathbf{y}}$  are disjoint.

Now suppose that  $w_0, w_1 \in S_{\mathbf{w}'}$  with  $w_0 \leq_{\mathbf{u} \cdot \mathbf{y}} w_1$ . There are three cases to consider.

- If  $w_0, w_1 \in \S_{\mathbf{u}}$ , then  $w_0 \leq_{\mathbf{u}} w_1$ , and thus  $w_0 \leq_{\mathbf{u} \cdot \mathbf{v}} w_1$ . Since  $\mathbf{u} \cdot \mathbf{v} = \mathbf{w}' \cdot \mathbf{x}'$ , we have that  $w_0 \leq_{\mathbf{w}' \cdot \mathbf{x}'} w_1$ . Since  $w_0, w_1 \in S_{\mathbf{w}'}$ , we have  $w_0 \leq_{\mathbf{w}'} w_1$ .
- If  $w_0, w_1 \in S_{\mathbf{y}}$ , then  $w_0 \leq_{\mathbf{y}} w_1$ . Since  $\leq_{\mathbf{y}} \subseteq \leq_{\mathbf{w}'}$ , we find that  $w_0 \leq_{\mathbf{w}'} w_1$ .
- If  $w_0 \in S_{\mathbf{u}}$  and  $w_1 \in S_{\mathbf{y}}$ , then  $w_1 \in S_{\mathbf{v}}$  and therefore  $w_0 \leq_{\mathbf{u} \cdot \mathbf{v}} w_1$ . Since  $\mathbf{u} \cdot \mathbf{v} = \mathbf{w}' \cdot \mathbf{x}'$ , we have that  $w_0 \leq_{\mathbf{w}' \cdot \mathbf{x}'} w_1$ . Since  $w_0, w_1 \in S_{\mathbf{w}'}$ , we then know that  $w_0 \leq_{\mathbf{w}'} w_1$ .
- For the labelling, let  $w \in S_{\mathbf{w}'}$ . If  $w \in S_{\mathbf{u}}$ , then  $\lambda_{\mathbf{w}'}(w) = \lambda_{\mathbf{w}' \cdot \mathbf{x}'}(w) = \lambda_{\mathbf{u} \cdot \mathbf{v}}(w) = \lambda_{\mathbf{u} \cdot \mathbf{v}}(w) = \lambda_{\mathbf{u} \cdot \mathbf{v}}(w)$ . Otherwise, if  $w \notin S_{\mathbf{u}}$ , then  $\lambda_{\mathbf{w}'}(w) = \lambda_{\mathbf{y}}(w)$  by definition of  $\mathbf{y}$ .

We now claim that  $\mathbf{v} = \mathbf{y} \cdot \mathbf{x}'$ . To this end, we show that their carriers, orders and labellings coincide.

– For the carrier, note that  $S_{\mathbf{y}} \subseteq S_{\mathbf{w}'}$ , and thus  $S_{\mathbf{y}}$  is disjoint from  $S_{\mathbf{x}'}$ . Furthermore,

$$S_{\mathbf{y} \cdot \mathbf{x}'} = S_{\mathbf{y}} \cup S_{\mathbf{x}'} = (S_{\mathbf{w}'} \setminus S_{\mathbf{u}}) \cup S_{\mathbf{x}'} = (S_{\mathbf{w}'} \cup S_{\mathbf{x}'}) \setminus S_{\mathbf{u}} = (S_{\mathbf{u}} \cup S_{\mathbf{v}}) \setminus S_{\mathbf{u}} = S_{\mathbf{v}}$$

- For the order, suppose first that  $v_0, v_1 \in S_{\mathbf{v}}$  with  $v_0 \leq_{\mathbf{v}} v_1$ . Then  $v_0 \leq_{\mathbf{u} \cdot \mathbf{v}} v_1$ , and thus  $v_0 \leq_{\mathbf{w}' \cdot \mathbf{x}'} v_1$ . There are three cases to consider.
  - If  $v_0, v_1 \in S_{\mathbf{y}}$ , then  $v_0 \leq_{\mathbf{w}'} v_1$ ; since  $\mathbf{w}' = \mathbf{u} \cdot \mathbf{y}$ , we have that  $v_0 \leq_{\mathbf{y}} v_1$ , and thus  $v_0 \leq_{\mathbf{y} \cdot \mathbf{x}'} v_1$ .
  - If  $v_0, v_1 \in S_{\mathbf{x}'}$ , then  $v_0 \leq_{\mathbf{x}'} v_1$ , and thus  $v_0 \leq_{\mathbf{y} \cdot \mathbf{x}'} v_1$ .
  - If  $v_0 \in S_{\mathbf{y}}$  and  $v_1 \in S_{\mathbf{x}'}$ , then  $v_0 \leq_{\mathbf{y} \cdot \mathbf{x}'} v_1$  immediately.

The case where  $v_1 \in S_{\mathbf{y}}$  and  $v_0 \in S_{\mathbf{x}'}$  can be discounted, for here we find that  $v_1 \in S_{\mathbf{w}'}$ , and thus  $v_1 \leq_{\mathbf{w}' \cdot \mathbf{x}'} v_0$ , which would imply that  $v_0 = v_1$ , contradicting that  $S_{\mathbf{v}}$  and  $S_{\mathbf{x}'}$  are disjoint.

Now suppose that  $v_0, v_1 \in S_{\mathbf{v}}$  with  $v_0 \leq_{\mathbf{y} \cdot \mathbf{x}'} v_1$ . There are three cases to consider.

- If  $v_0, v_1 \in S_{\mathbf{y}}$ , then  $v_0, v_1 \in S_{\mathbf{w}'}$ . We then have that  $v_0 \leq_{\mathbf{w}'} v_1$ , and thus that  $v_0 \leq_{\mathbf{w}' \cdot \mathbf{x}'} v_1$ . Since  $\mathbf{w}' \cdot \mathbf{x}' = \mathbf{u} \cdot \mathbf{v}$ , we have that  $v_0 \leq_{\mathbf{u} \cdot \mathbf{v}} v_1$ , and since  $v_0, v_1 \in S_{\mathbf{v}}$ , it follows that  $v_0 \leq_{\mathbf{v}} v_1$ .
- If  $v_0, v_1 \in S_{\mathbf{x}'}$ , then  $v_0, v_1 \notin S_{\mathbf{w}'}$ , and thus, since  $S_{\mathbf{u}} \subseteq S_{\mathbf{w}'}$ , it follows that  $v_0, v_1 \notin S_{\mathbf{u}}$ . Since  $v_0 \leq_{\mathbf{w}' \cdot \mathbf{x}'} v_1$  and thus  $v_0 \leq_{\mathbf{u} \cdot \mathbf{v}} v_1$ , we have  $v_0 \leq_{\mathbf{v}} v_1$ .
- If  $v_0 \in S_{\mathbf{y}}$  and  $v_1 \in S_{\mathbf{x}'}$ , then  $v_0 \in S_{\mathbf{w}'}$  and thus  $v_0 \leq_{\mathbf{w}' \cdot \mathbf{x}'} v_1$ , meaning that  $v_0 \leq_{\mathbf{u} \cdot \mathbf{v}} v_1$ . Since  $v_0, v_1 \in S_{\mathbf{v}}$ , this means that  $v_0 \leq_{\mathbf{v}} v_1$ .
- For the labelling, let  $v \in S_{\mathbf{v}}$ . If  $v \in S_{\mathbf{y}}$ , then  $\lambda_{\mathbf{v}}(v) = \lambda_{\mathbf{u} \cdot \mathbf{v}}(v) = \lambda_{\mathbf{w}' \cdot \mathbf{x}'}(v) = \lambda_{\mathbf{w}'}(v) = \lambda_{\mathbf{y} \cdot \mathbf{x}'}(v) = \lambda_{\mathbf{y} \cdot \mathbf{x}'}(v)$ . Otherwise, if  $v \in S_{\mathbf{x}'}$ , then  $\lambda_{\mathbf{v}}(v) = \lambda_{\mathbf{u} \cdot \mathbf{v}}(v) = \lambda_{\mathbf{w}' \cdot \mathbf{x}'}(v) = \lambda_{\mathbf{v}' \cdot \mathbf{x}'}(v) = \lambda_{\mathbf{v} \cdot \mathbf{x}'}(v)$ .

We now choose  $Y = [\mathbf{y}]$  to find that  $W' = U \cdot Y$  and  $V = Y \cdot X'$ . But then, since  $W' \sqsubseteq W$  and  $X' \sqsubseteq X$ , we find that  $U \cdot Y \sqsubseteq W$  and  $V \sqsubseteq Y \cdot X$ , fulfilling the first part of the claim. Lastly, note that if  $U \cdot V$  is series-parallel, it is N-free. This means that W' must also be N-free, since any N that would occur in W' would also occur in  $U \cdot V$ . Because Y is constructed as a sub-pomset of W', it follows that Y must also be N-free, and thus by Lemma A.1 we find that Y is series-parallel.

**Lemma 3.4.** Let U and V be poinsets, and let  $W_0, W_1, \ldots, W_{n-1}$  with n > 0 be non-empty poinsets such that  $U \cdot V \sqsubseteq W_0 \cdot W_1 \cdots W_{n-1}$ . There exists an m < n and poinsets Y, Z such that:

$$Y \cdot Z \sqsubseteq W_m, U \sqsubseteq W_0 \cdot W_1 \cdots W_{m-1} \cdot Y, \text{ and } V \sqsubseteq Z \cdot W_{m+1} \cdot W_{m+2} \cdots W_n.$$

Moreover, if U and V are series-parallel, then so are Y and Z.

*Proof.* The proof proceeds by induction on n. In the base, where n=1, we choose m=0, Y=U and Z=V to satisfy the claim.

In the inductive step, assume the claim holds for n-1. We can write  $U \cdot V = W_0 \cdot (W_1 \cdot W_2 \cdots W_{n-1})$ . By Lemma A.4, there are two cases to consider.

- Suppose that X is a pomset such that  $U \sqsubseteq W_0 \cdot X$  and  $X \cdot V \sqsubseteq W_1 \cdot W_2 \cdots W_{n-1}$ . By induction, we find  $1 \le m < n$  and pomsets Y, Z such that  $Y \cdot Z \sqsubseteq W_m$  and  $X \sqsubseteq W_1 \cdot W_2 \cdots W_{m-1} \cdot Y$  and  $V \sqsubseteq Z \cdot W_{m+1} \cdot W_{m+2} \cdots W_n$ . Since in this case  $U \sqsubseteq W_0 \cdot W_1 \cdots W_{m-1} \cdot X$ , the claim follows. Moreover, if U and V are series-parallel, then so are Y and Z, by induction.
- Suppose that X is a pomset such that  $U \cdot X \sqsubseteq W_0$  and  $V \sqsubseteq X \cdot W_1 \cdot W_2 \cdots W_{n-1}$ . We can then choose m = 0, Y = U and Z = X to satisfy the claim. Moreover, if U and V are series-parallel, then X is series-parallel, meaning that Y and Z are also series-parallel.

**Lemma 3.5.** Let U, V, W, X be pomsets such that  $U \parallel V = W \parallel X$ . There exist pomsets  $Y_0, Y_1, Z_0, Z_1$  such that

$$U = Y_0 \parallel Y_1, V = Z_0 \parallel Z_1, W = Y_0 \parallel Z_0, \text{ and } X = Y_1 \parallel Z_1.$$

Proof. Let  $U = [\mathbf{u}]$ ,  $V = [\mathbf{v}]$ ,  $W = [\mathbf{w}]$ , and  $X = [\mathbf{x}]$ , and assume without loss of generality that  $\mathbf{u}$  and  $\mathbf{v}$  as well as  $\mathbf{w}$  and  $\mathbf{x}$  are disjoint, and that  $\mathbf{u} \parallel \mathbf{v} = \mathbf{w} \parallel \mathbf{x}$ . We can then choose  $\mathbf{y}_0 = \mathbf{u} \upharpoonright_{\mathbf{w}}$ ,  $\mathbf{y}_1 = \mathbf{u} \upharpoonright_{\mathbf{x}}$ ,  $\mathbf{z}_0 = \mathbf{v} \upharpoonright_{\mathbf{w}}$  and  $\mathbf{z}_1 = \mathbf{v} \upharpoonright_{\mathbf{x}}$ . We can then show that  $\mathbf{u} = \mathbf{y}_0 \parallel \mathbf{y}_1$ ,  $\mathbf{v} = \mathbf{z}_0 \parallel \mathbf{z}_1$ ,  $\mathbf{w} = \mathbf{y}_0 \parallel \mathbf{z}_0$  and  $\mathbf{x} = \mathbf{y}_1 \parallel \mathbf{z}_1$  by the usual technique, where for the last two equalities we use that  $\mathbf{u} \upharpoonright_{\mathbf{w}} = \mathbf{w} \upharpoonright_{\mathbf{u}}$ ,  $\mathbf{u} \upharpoonright_{\mathbf{x}} = \mathbf{x} \upharpoonright_{\mathbf{u}}$ ,  $\mathbf{v} \upharpoonright_{\mathbf{w}}$  and  $\mathbf{v} \upharpoonright_{\mathbf{x}} = \mathbf{x} \upharpoonright_{\mathbf{v}}$ . The claim is then satisfied by choosing  $Y_i = [\mathbf{y}_i]$  and  $Z_i = [\mathbf{z}_i]$  for  $i \in 2$ .

#### A.4 The interpolation lemma

**Lemma 3.6 (Interpolation).** Let U, V, W, X be pomsets such that  $U \cdot V$  is subsumed by  $W \parallel X$ . Then there exist pomsets  $W_0, W_1, X_0, X_1$  such that

$$W_0 \cdot W_1 \sqsubseteq W, X_0 \cdot X_1 \sqsubseteq X, U \sqsubseteq W_0 \parallel X_0, \text{ and } V \sqsubseteq W_1 \parallel X_1.$$

Moreover, if W and X are series-parallel, then so are  $W_0$ ,  $W_1$ ,  $X_0$  and  $X_1$ .

*Proof.* Let  $U = [\mathbf{u}], \ V = [\mathbf{v}], \ W = [\mathbf{w}]$  and  $X = [\mathbf{x}]$ , and assume without loss of generality that  $\mathbf{u}$  and  $\mathbf{v}$  are disjoint, as well as  $\mathbf{w}$  and  $\mathbf{x}$ , and that  $S_{\mathbf{u}} \cup S_{\mathbf{v}} = S_{\mathbf{w}} \cup S_{\mathbf{x}}$ , such that the subsumption  $\mathbf{u} \cdot \mathbf{v} \sqsubseteq \mathbf{w} \parallel \mathbf{x}$  is witnessed by the identity  $i : S_{\mathbf{w}} \cup S_{\mathbf{x}} \to S_{\mathbf{u}} \cup S_{\mathbf{v}}$ .

We choose labelled posets  $\mathbf{w}_0$ ,  $\mathbf{w}_1$ ,  $\mathbf{x}_0$  and  $\mathbf{x}_1$  as follows:

$$\mathbf{w}_0 = \mathbf{w} \upharpoonright_{S_{\mathbf{u}} \cap S_{\mathbf{w}}} \qquad \mathbf{w}_1 = \mathbf{w} \upharpoonright_{S_{\mathbf{v}} \cap S_{\mathbf{w}}} \qquad \mathbf{x}_0 = \mathbf{x} \upharpoonright_{S_{\mathbf{u}} \cap S_{\mathbf{x}}} \qquad \mathbf{x}_1 = \mathbf{x} \upharpoonright_{S_{\mathbf{v}} \cap S_{\mathbf{x}}}$$

One easily verifies that these are pairwise disjoint. To show that  $\mathbf{u} \sqsubseteq \mathbf{w}_0 \parallel \mathbf{x}_0$ , first note that

$$S_{\mathbf{w}_0 \parallel \mathbf{x}_0} = S_{\mathbf{w}_0} \cup S_{\mathbf{x}_0} = (S_{\mathbf{u}} \cap S_{\mathbf{w}}) \cup (S_{\mathbf{u}} \cap S_{\mathbf{x}}) = S_{\mathbf{u}} \cap (S_{\mathbf{w}} \cup S_{\mathbf{x}}) = S_{\mathbf{u}} \cap (S_{\mathbf{u}} \cup S_{\mathbf{v}}) = S_{\mathbf{u}} \cap (S_{\mathbf{u}} \cup$$

We now claim that  $i: S_{\mathbf{w}_0 \parallel \mathbf{x}_0} \to S_{\mathbf{u}}$ , i.e., the identity on  $S_{\mathbf{u}}$ , is a subsumption witnessing that  $\mathbf{u} \sqsubseteq \mathbf{p} \parallel \mathbf{q}$ . To see this, let  $u_0, u_1 \in S_{\mathbf{u}}$  be such that  $u_0 \leq_{\mathbf{w}_0 \parallel \mathbf{x}_0} u_1$ . If  $u_0 \leq_{\mathbf{w}_0} z$ , then  $u_0 \leq_{\mathbf{w}} u_1$  by choice of  $\mathbf{w}_0$ . But then  $u_0 \leq_{\mathbf{w} \parallel \mathbf{x}} u_1$ , and thus

 $u_0 \leq_{\mathbf{u} \cdot \mathbf{v}} u_1$  by the premise. Since  $u_0, u_1 \in S_{\mathbf{u}}$ , we can conclude that  $u_0 \leq_{\mathbf{u}} u_1$ . We can similarly show that  $u_0 \leq_{\mathbf{u}} u_1$  when  $u_0 \leq_{\mathbf{x}_0} z$  and thus conclude  $\mathbf{u} \sqsubseteq \mathbf{w}_0 \parallel \mathbf{x}_0$ . The proof of  $\mathbf{v} \sqsubseteq \mathbf{w}_1 \parallel \mathbf{x}_1$  is similar.

To see that  $\mathbf{w}_0 \cdot \mathbf{w}_1 \sqsubseteq \mathbf{w}$ , first note that  $S_{\mathbf{w}_0 \cdot \mathbf{w}_1} = S_{\mathbf{w}}$  by reasoning similar to the above. We claim that  $i: S_{\mathbf{w}} \to S_{\mathbf{w}_0 \cdot \mathbf{w}_1}$ , i.e., the identity on  $S_{\mathbf{w}}$ , is a subsumption witnessing that  $\mathbf{w}_0 \cdot \mathbf{w}_1 \sqsubseteq \mathbf{w}$ . To see this, suppose that  $w_0, w_1 \in S_{\mathbf{w}}$ such that  $w_0 \leq_{\mathbf{w}} w_1$ . Then we know that  $w_0 \leq_{\mathbf{w} \parallel \mathbf{x}} w_1$ , and thus  $w_0 \leq_{\mathbf{u} \cdot \mathbf{v}} w_1$  by the premise. We can then exclude the case where  $w_1 \in S_{\mathbf{u}}$  and  $w_0 \in S_{\mathbf{v}}$ , for then  $w_1 \leq_{\mathbf{u} \cdot \mathbf{v}} w_0$  and thus  $w_0 = w_1$  by antisymmetry, contradicting that  $\mathbf{u}$  and  $\mathbf{v}$  are disjoint. Three cases remain to be considered.

- If  $w_0, w_1 \in S_{\mathbf{u}}$ , then  $w_0 \leq_{\mathbf{w}_0} w_1$ , and thus  $w_0 \leq_{\mathbf{w}_0 \cdot \mathbf{w}_1} w_1$ .
- If  $w_0, w_1 \in S_{\mathbf{v}}$ , then  $w_0 \leq_{\mathbf{w}_1} w_1$ , and thus  $w_0 \leq_{\mathbf{w}_0 \cdot \mathbf{w}_1} w_1$ . If  $w_0 \in S_{\mathbf{u}}$  and  $w_1 \in S_{\mathbf{v}}$ , then  $w_0 \in S_{\mathbf{w}_0}$  and  $w_1 \in S_{\mathbf{w}_1}$ , thus  $w_0 \leq_{\mathbf{w}_0 \cdot \mathbf{w}_1} w_1$ by definition.

Since  $w_0 \leq_{\mathbf{w}_0 \cdot \mathbf{w}_1} w_1$  in all possible cases, we conclude that i preserves ordering and is therefore a subsumption. The proof that  $\mathbf{x}_0 \cdot \mathbf{x}_1 \sqsubseteq \mathbf{x}$  is similar.

We can now choose  $W_0 = [\mathbf{w}_0], W_1 = [\mathbf{w}_1], X_0 = [\mathbf{x}_0]$  and  $X_1 = [\mathbf{x}_1]$  to satisfy the claim. Moreover, we note that if W and X are series-parallel, then they are N-free by Lemma A.1. The labelled posets  $\mathbf{w}_0$ ,  $\mathbf{w}_1$ ,  $\mathbf{x}_0$  and  $\mathbf{x}_1$  must then also be N-free, and therefore  $W_0$ ,  $W_1$ ,  $X_0$  and  $X_1$  are series-parallel by Lemma A.1.  $\square$ 

#### **A.5** The nullability function

**Lemma 3.9.** Let  $e \in \mathcal{T}$ . Then  $\epsilon(e) \leq_{\tau} e$  and  $1 \in [e]_{\tau}$  if and only if  $\epsilon(e) = 1$ .

*Proof.* We start with the first claim. This is shown by induction on e; we can disregard the cases where  $\epsilon(e) = 0$ , for then the claim holds trivially. This leaves us with one case to consider in the base, namely e=1; here we see that  $\epsilon(e) = 1 \leq_{\mathsf{T}} 1 = e$ . For the inductive step, there are four cases to consider.

- If  $e = e_0 + e_1$  with  $\epsilon(e) = 1$ , then  $\epsilon(e_i) = 1$  for some  $i \in 2$ . But then also  $\epsilon(e) \leq_{\mathsf{T}} \epsilon(e_0) + \epsilon(e_1) \leq_{\mathsf{T}} e_0 + e_1 = e.$
- If  $e = e_0 \cdot e_1$  with  $\epsilon(e) = 1$ , then  $\epsilon(e_0) = \epsilon(e_1) = 1$ . But then also  $\epsilon(e) \leq \tau$  $\epsilon(e_0) \cdot \epsilon(e_1) \leq_{\mathsf{T}} e_0 \cdot e_1 = e.$
- If  $e = e_0 \parallel e_1$ , then an argument similar to the above shows that  $\epsilon(e) \leq_{\mathsf{T}} e$ .
- If  $e = e_0^*$ , then  $\epsilon(e) = 1$ . However, since  $e = 1 + e_0 \cdot e$ , we also have that  $\epsilon(e) \leq_{\mathsf{T}} e$ .

For the second claim, we observe that the direction from right to left follows from the first claim and Lemma 3.8. It remains to show the direction from left to right. By Lemma 3.2, we know that if  $1 \in [e]_{\tau}$ , then  $1 \in [e]_{\mathsf{BKA}}$ . The proof proceeds by induction on e. In the base, there is again only one case to consider, namely e=1; the claim holds trivially here. For the inductive step, there are four cases to consider.

- If  $e = e_0 + e_1$ , then  $1 \in [e_i]_{BKA}$  for some  $i \in 2$ . By induction,  $\epsilon(e_i) = 1$ , and thus  $\epsilon(e) = 1$ .

- If  $e = e_0 \cdot e_1$ , then there exist  $U \in \llbracket e_0 \rrbracket_{\mathsf{BKA}}$  and  $V \in \llbracket e_1 \rrbracket_{\mathsf{BKA}}$  such that  $U \cdot V = 1$ . By Lemma 3.1, we have that U = V = 1, and thus by induction that  $\epsilon(e_0) = \epsilon(e_1) = 1$ . This implies that  $\epsilon(e) = 1$ .

- If  $e = e_0 \parallel e_1$ , then an argument similar to the above shows that  $\epsilon(e) = 1$ .
- If  $e = e_0^*$ , then  $\epsilon(e) = 1$  by definition.

#### A.6 Observations about term width

**Lemma 3.11.** Let  $e \in \mathcal{T}$ , and let  $U \in [e]_{BKA}$  be such that  $U \neq 1$ . Then |e| > 0.

*Proof.* The proof proceeds by induction on e. In the base, we can disregard the cases where e=0 or e=1, where the claim holds vacuously. This leaves us with the case where e=a for some  $a \in \Sigma$ ; here, the claim holds by definition of |-|. In the inductive step, there are four cases to consider.

- If  $e = e_0 + e_1$ , then either  $U \in [\![e_0]\!]_{\mathsf{BKA}}$  or  $U \in [\![e_1]\!]_{\mathsf{BKA}}$ . In the former case, we find that  $|e_0| > 0$  by induction, while in the latter case we find that  $|e_1| > 0$  also by induction. This means that  $|e| = \max(|e_0|, |e_1|) > 0$ .
- If  $e = e_0 \cdot e_1$ , then there exist pomsets  $U_0, U_1$  with  $U = U_0 \cdot U_1$ , such that  $U_0 \in \llbracket e_0 \rrbracket_{\mathsf{BKA}}$  and  $U_1 \in \llbracket e_1 \rrbracket_{\mathsf{BKA}}$ . Since  $U \neq 1$ , we know that either  $U_0 \neq 1$  or  $U_1 \neq 1$ . In the former case, we find that  $|e_0| > 0$  by induction, while in the latter case we find that  $|e_1| > 0$  also by induction. This means that  $|e| = \max(|e_0|, |e_1|) > 0$ .
- If  $e = e_0 \parallel e_1$ , then there exist pomsets  $U_0, U_1$  with  $U = U_0 \parallel U_1$ , such that  $U_0 \in \llbracket e_0 \rrbracket_{\mathsf{BKA}}$  and  $U_1 \in \llbracket e_1 \rrbracket_{\mathsf{BKA}}$ . Since  $U \neq 1$ , we know that either  $U_0 \neq 1$  or  $U_1 \neq 1$ . In the former case, we find that  $|e_0| > 0$  by induction, while in the latter case we find that  $|e_1| > 0$  also by induction. This means that  $|e| = \max(|e_0|, |e_1|) > 0$ .
- If  $e = e_0^*$ , then there exist pomsets  $U_0, U_1, \ldots, U_{n-1} \in \llbracket e_0 \rrbracket_{\mathsf{BKA}}$  with  $U = U_0 \cdot U_1 \cdots U_{n-1}$ , such that for  $0 \leq i < n$  we have that  $U_i \in \llbracket e_0 \rrbracket_{\mathsf{BKA}}$ . Since  $U \neq 1$ , there exists an i with  $0 \leq i < n$  such that  $U_i \neq 1$ . By induction, we find that  $|e_0| > 0$ , which means that  $|e| = |e_0| > 0$ .

**Lemma 3.10.** Let  $e, f \in \mathcal{T}$ . If  $e \equiv_{\mathsf{BKA}} f$ , then |e| = |f|.

*Proof.* If  $e \equiv_{\mathsf{BKA}} 0 \equiv_{\mathsf{BKA}} f$ , then |e| = 0 = |f|. For the remaining cases, it suffices to verify the claim for all equivalences postulated for  $\equiv_{\mathsf{BKA}}$  in Definition 3.9; that the claim is preserved by the congruence closure on these rules should be clear.

We first consider the base equivalences for  $e \equiv_{BKA} f$ .

- If e = f + 0, then  $|e| = \max(|f|, 0) = |f|$ .
- If e = f + f, then  $|e| = \max(|f|, |f|) = |f|$ .
- If  $e = e_0 + e_1$  and  $f = e_1 + e_0$ , then

$$|e| = \max(|e_0|, |e_1|) = \max(|e_1|, |e_0|) = |f|$$

- If  $e = e_0 + (e_1 + e_2)$  and  $f = (e_0 + e_1) + e_2$ , then  $|e| = \max(|e_0|, |e_1|, |e_2|) = |f|$ .

- If  $e = f \cdot 1$ , then  $|e| = \max(|f|, 0) = |f|$ . The case where  $f = e \cdot 1$  can be treated similarly.
- If  $e = e' \cdot 0$  and f = 0, then  $e \equiv_{\mathsf{BKA}} 0 \equiv_{\mathsf{BKA}} f$ , and thus |e| = 0 = |f|. The case where  $f = 0 \cdot f'$  and e = 0 can be treated similarly.
- If  $e = e_0 \cdot (e_1 \cdot e_2)$  and  $f = (e_0 \cdot e_1) \cdot e_2$ , then  $|e| = \max(|e_0|, |e_1|, |e_2|) = |f|$ .
- If  $e = e_0 \cdot (e_1 + e_2)$  and  $f = e_0 \cdot e_1 + e_0 \cdot e_2$ , then

$$|e| = \max(e_0, \max(e_1, e_2)) = \max(\max(e_0, e_1), \max(e_0, e_2)) = |f|$$

The case where  $e = (e_0 + e_1) \cdot e_2$  and  $f = e_0 \cdot e_2 + e_1 \cdot e_2$  can be treated similarly.

- If  $e = e_0 \parallel e_1$  and  $f = e_1 \parallel e_0$ , then  $|e| = |e_0| + |e_1| = |e_1| + |e_0| = |f|$ .
- If  $e = e' \parallel 0$  and f = 0, then  $e \equiv_{\mathsf{BKA}} 0 \equiv_{\mathsf{BKA}} f$ , and thus |e| = 0 = |f|.
- If  $e = e_0 \parallel (e_1 \parallel e_2)$  and  $f = (e_0 \parallel e_1) \parallel e_2$ , then  $|e| = |e_0| + |e_1| + |e_2| = |f|$ .
- If  $e = 1 + e_0 \cdot e_0^*$  and  $f = e_0^*$ , then  $|e| = \max(0, \max(|e_0|, |e_0^*|)) = |e_0| = |f|$ .

As for the inference rule, suppose that  $e \leq_{\mathsf{BKA}} f$  with  $e = e_0 + e_1 \cdot f$ . (i.e.,  $e_0 + f_1 \cdot f + f \equiv_{\mathsf{BKA}} f$ ). By induction  $\max(|e_0|, |e_1|, |f|) = |f|$ , and thus  $|e_1^{\star} \cdot e_0| = \max(|e_1|, |e_0|) \leq |g|$ . From this, we can conclude that

$$|e_1^{\star} \cdot e_0 + f| = \max(|e_0|, |e_1|, |f|) = |f|$$

#### A.7 Solutions to linear systems

**Lemma 3.13.** Let  $\mathfrak{L}$  be an I-linear system. One can construct a single I-vector s that is a least solution to  $\mathfrak{L}$  in both BKA and CKA.

*Proof.* Let  $T \in \{BKA, CKA\}$ . The proof proceeds by induction on |I|. In the base, |I| = 1 and therefore  $I = \{i\}$  for some symbol i. We choose the I-vector s by setting  $s(i) = M(i, i)^* \cdot p(i)$ , and calculate:

$$s(i) \triangleq M(i, i)^* \cdot p(i)$$

$$\equiv_{\tau} (1 + M(i, i) \cdot M(i, i)^*) \cdot p(i)$$

$$\equiv_{\tau} p(i) + M(i, i) \cdot M(i, i)^* \cdot p(i)$$

$$\equiv_{\tau} p(i) + M(i, i) \cdot s(i)$$

which makes s a solution to  $\mathfrak{L}$ . To see that it is the least solution, let t be any solution to  $\mathfrak{L}$ ; then  $p(i)+M(i,i)\cdot t(i) \leq_{\mathsf{T}} t(i)$ , implying that  $s(i)=M(i,i)^{\star}\cdot p(i) \leq_{\mathsf{T}} t(i)$  by which we conclude  $s \leq_{\mathsf{T}} t$ .

In the inductive step, let  $i \in I$  and choose  $I' = I - \{i\}$ . We craft the I'-system  $\mathfrak{L}' = \langle M', p' \rangle$ :

$$M'(i',j') \triangleq M(i',i) \cdot M(i,i)^* \cdot M(i,j') + M(i',j')$$
$$p'(i') \triangleq p(i') + M(i',i) \cdot M(i,i)^* \cdot p(i)$$

Since |I'| < |I|, by induction  $\langle M', p' \rangle$  admits a least solution s'. We construct the *I*-vector s:

$$s(i') \triangleq \begin{cases} s'(i') & i' \in I' \\ M(i,i)^* \cdot \left( p(i) + \sum_{j' \in I'} M(i,j') \cdot s'(j') \right) & i' = i \end{cases}$$

We can now derive for  $i' \in I$  that

$$(p + M \cdot s)(i') \triangleq p(i') + \sum_{j' \in I} M(i', j') \cdot s(j')$$

$$\equiv_{\tau} p(i') + \sum_{j' \in I'} M(i', j') \cdot s(j') + M(i', i) \cdot s(i)$$

$$\equiv_{\tau} p(i') + \sum_{j' \in I'} M(i', j') \cdot s'(j')$$

$$+ M(i', i) \cdot M(i, i)^* \cdot \left( p(i) + \sum_{j' \in I'} M(i, j') \cdot s'(j') \right)$$

$$\equiv_{\tau} p(i') + M(i', i) \cdot M(i, i)^* \cdot p(i)$$

$$+ \sum_{j' \in I'} M(i', i) \cdot M(i, i)^* \cdot M(i, j') \cdot s'(j'). \tag{*}$$

If  $i' \in I'$ , then we can plug the definitions of p' and M' into (\*), to derive

$$(p + M \cdot s)(i') \equiv_{\mathsf{T}} p'(i') + \sum_{j' \in I'} M'(i', j') \cdot s'(j') \leqq_{\mathsf{T}} s'(i') = s(i')$$

Otherwise, if i' = i, then we can derive

$$\begin{split} (p+M\cdot s)(i) \equiv_{\scriptscriptstyle\mathsf{T}} (1+M(i,i)\cdot M(i,i)^{\star}) \cdot \left(p(i) + \sum_{j' \in I'} M(i,j') \cdot s'(j')\right) \\ \equiv_{\scriptscriptstyle\mathsf{T}} M(i,i)^{\star} \cdot \left(p(i) + \sum_{j' \in I'} M(i,j') \cdot s'(j')\right) \triangleq s(i). \end{split}$$

Since for  $i' \in I$  we have that  $(p+M\cdot s)(i) \leqq_{\mathsf{T}} s(i)$ , we know that  $p+M\cdot s \leqq_{\mathsf{T}} s$ , making s a solution. To see that it is the least solution, let t be any solution to  $\mathfrak{L}$ . We choose the I'-vector t' by setting  $t'(i') \triangleq t(i')$ . We claim that t' is a solution

to  $\mathfrak{L}'$ . To see this, derive for  $i' \in I'$ :

$$(p' + M' \cdot t')(i') \triangleq p'(i') + \sum_{j' \in I'} M'(i', j') \cdot t'(j')$$

$$\equiv_{\mathsf{T}} p(i') + M(i', i) \cdot M(i, i)^* \cdot p(i)$$

$$+ \sum_{j' \in I'} (M(i', i) \cdot M(i, i)^* \cdot M(i, j') + M(i', j')) \cdot t'(j')$$

$$\equiv_{\mathsf{T}} p(i') + \sum_{j' \in I'} M(i', j') \cdot t'(j')$$

$$+ M(i', i) \cdot M(i, i)^* \cdot \left( p(i) + \sum_{j' \in I'} M(i, j') \cdot t'(j') \right)$$

$$\leq_{\mathsf{T}} p(i') + \sum_{j' \in I'} M(i', j') \cdot t'(j') + M(i', i) \cdot t(i) \quad \text{(Lemma 3.12)}$$

$$\equiv_{\mathsf{T}} p(i') + \sum_{j' \in I} M(i', j') \cdot t(j')$$

$$\leq_{\mathsf{T}} t(i')$$

$$\triangleq_{\mathsf{T}} t(i')$$

$$\triangleq_{\mathsf{T}} t(i')$$

It then follows that t' is a solution to  $\mathfrak{L}$ , and thus  $s' \leq_{\mathsf{T}} t'$ . With this, we can derive

$$s(i) \triangleq M(i,i)^{\star} \cdot \left( p(i) + \sum_{i' \in I'} M(i,i') \cdot s'(i') \right)$$

$$\leq_{\mathsf{T}} M(i,i)^{\star} \cdot \left( p(i) + \sum_{i' \in I'} M(i,i') \cdot t'(i') \right)$$

$$\leq_{\mathsf{T}} t(i). \tag{Lemma 3.12}$$

In total, we find that  $s \leq_{\mathsf{T}} t$ , making s the least solution to  $\mathfrak{L}$ . To complete the proof, note that our construction of s did not depend on the choice of  $\mathsf{T}$ .  $\square$ 

#### B Proofs for Section 4

**Lemma 4.2.** Let  $e \in 2$  or e = a for some  $a \in \Sigma$ . Then e is a closure of itself.

*Proof.* That  $e \equiv_{\mathsf{CKA}} e$  is immediate from the fact that  $\equiv_{\mathsf{CKA}}$  is a congruence. It remains to show  $\llbracket e \rrbracket_{\mathsf{BKA}} = \llbracket e \rrbracket_{\mathsf{BKA}} \downarrow$ . For e = 0, this holds immediately, since  $\llbracket e \rrbracket_{\mathsf{BKA}} = \emptyset$ . For e = 1 or e = a for some  $a \in \Sigma$ , the claim follows from Lemma 3.2.

## B.1 Parallel splicing

**Lemma 4.4.** For  $e \in \mathcal{T}$ ,  $\Delta_e$  is finite.

*Proof.* The proof proceeds by induction on e. In the base, where e = 0, e = 1 or e = a for some  $a \in \Sigma$ , the claim holds immediately: since only the first rule applies,  $\Delta_e$  only contains  $\langle e, 1 \rangle$  and  $\langle 1, e \rangle$ .

For the inductive step, suppose that  $\ell \Delta_e r$ ; one of five cases must hold.

- $-\ell = e$  and r = 1, or  $\ell = 1$  and r = e.
- $-e = e_0 + e_1$ , with either  $\ell \Delta_{e_0} r$ , or  $\ell \Delta_{e_1} r$ .
- $-e = e_0 \cdot e_1$ , with an  $i \in 2$  such that  $\ell \Delta_{e_i} r$  and  $\epsilon(e_{1-i}) = 1$ .
- $e = e_0 \parallel e_1$ , with  $\ell = \ell_0 \parallel \ell_1$  and  $r = r_0 \parallel r_1$ , such that  $\ell_i \Delta_{e_i} r_i$  for all  $i \in 2$ .
- $-e = e_0^*$ , with  $\ell \Delta_{e_0} r$ .

In all of these, there are only finitely many  $\ell, r \in \mathcal{T}$  that satisfy the derived restrictions — in the first, this is immediate, in the others it follows by induction. We conclude that  $\Delta_e$  is finite.

### **Lemma 4.5.** Let $e \in \mathcal{T}$ . If $\ell \Delta_e r$ , then $\ell \parallel r \leq_{\mathsf{BKA}} e$ .

*Proof.* The proof proceeds by induction on the construction of  $\Delta_e$ . In the base, either  $\ell = e$  and r = 1, or  $\ell = 1$  and r = e; in both cases,  $\ell \parallel r \equiv_{\text{BKA}} e$ , and so the claim follows.

For the inductive step, there are five cases to consider.

- If  $e = e_0 + e_1$  while  $\ell \Delta_{e_i} r$  for some  $i \in 2$ , then by induction we know that  $\ell \parallel r \leq_{\mathsf{BKA}} e_i$ . But since  $e_i \leq_{\mathsf{BKA}} e$ , it follows that  $\ell \parallel r \leq_{\mathsf{BKA}} e$ .
- If  $e = e_0 \cdot e_1$  while  $\ell \Delta_{e_i} r$  and  $\epsilon(e_{1-i}) = 1$  for some  $i \in 2$ , then by induction we know that  $\ell \parallel r \leq_{\mathsf{BKA}} e_i$ . If i = 0, then  $e_i \equiv_{\mathsf{BKA}} e_0 \cdot 1 \leq_{\mathsf{BKA}} e_0 \cdot e_1 = e$  (by Lemma 3.9); if e = 1, we find  $e_i \leq_{\mathsf{BKA}} e$  analogously. This allows us to conclude that  $\ell \parallel r \leq_{\mathsf{BKA}} e$ .
- If  $e = e_0 \parallel e_1$  and  $\ell = \ell_0 \parallel \ell_1$  and  $r = r_0 \parallel r_1$  while  $\ell_i \Delta_{e_i} r_i$  for all  $i \in 2$ , then by induction we know that  $\ell_i \parallel r_i \leq_{\mathsf{BKA}} e_i$  for all  $i \in 2$ . We can then derive that

$$\ell \parallel r = (\ell_0 \parallel \ell_1) \parallel (r_0 \parallel r_1) \equiv_{\mathtt{BKA}} (\ell_0 \parallel r_0) \parallel (\ell_1 \parallel r_1) \leqq_{\mathtt{BKA}} e_0 \parallel e_1 = e$$

– If  $e = e_0^{\star}$  while  $\ell \Delta_e r$ , then  $\ell \parallel r \leq_{\mathsf{BKA}} e_0$  by induction. Since  $e_0 \leq_{\mathsf{BKA}} e$ , the claim follows.

# B.2 Sequential splicing

**Lemma 4.8.** For  $e \in \mathcal{T}$ ,  $\nabla_e$  is finite.

*Proof.* The proof proceeds by induction on e. In the base, we can disregard the case where e = 0, for no rule applies here. This leaves us two cases to consider.

- If e = 1, then  $\nabla_e = \{\langle 1, 1 \rangle\}$ , which makes  $\nabla_e$  finite.
- If e = a for some  $a \in \Sigma$ , then  $\nabla_e = \{\langle a, 1 \rangle, \langle 1, a \rangle\}$ , which makes  $\nabla_e$  finite again.

In the inductive step, suppose that  $\ell, r \in \mathcal{T}$  are such that  $\ell \nabla_e r$ . There are four cases to consider.

- If  $e = e_0 + e_1$ , then  $\ell \nabla_{e_i} r$  for some  $i \in 2$ .
- If  $e = e_0 \cdot e_1$ , then either  $\ell = e_0 \cdot \ell'$  and  $\ell' \nabla_{e_1} r$ , or  $r = r' \cdot e_1$  and  $\ell \nabla_{e_0} r'$ .
- If  $e = e_0 \parallel e_1$ , then  $\ell = \ell_0 \parallel \ell_1$  and  $r = r_0 \parallel r_1$ , such that for  $i \in 2$  it holds that  $\ell_i \nabla_{e_i} r_i$ .
- If  $e = e_0^*$ , then either  $\ell = r = 1$ , or  $\ell = e \cdot \ell'$  and  $r = r' \cdot e$  such that  $\ell \nabla_{e_0} r$

In all cases, there are finitely many  $\ell, r \in \mathcal{T}$  that satisfy the restrictions put on them, by induction.

**Lemma 4.9.** Let  $e \in \mathcal{T}$ . If  $\ell, r \in \mathcal{T}$  with  $\ell \nabla_e r$ , then  $\ell \cdot r \leq_{\mathsf{CKA}} e$ .

*Proof.* The proof proceeds by induction on the construction of  $\nabla_e$ . In the base, there are three cases to consider.

- If  $e = \ell = r = 1$ , then  $\ell \cdot r \equiv_{\mathsf{CKA}} e$ , and so the claim holds immediately.
- If e=a, and either  $\ell=1$  and r=a, or  $\ell=a$  and r=1, then  $\ell\cdot r\equiv_{\mathsf{CKA}} e$ .
- If  $e = e_0^*$  and  $\ell = r = 1$ , then  $\ell \cdot r \equiv_{\mathsf{CKA}} 1 \subseteq_{\mathsf{CKA}} e$ , and so the claim holds.

For the inductive step, there are four cases to consider.

- If  $e = e_0 + e_1$  and  $\ell \nabla_{e_i} r$  for some  $i \in 2$ , then  $\ell \cdot r \leq_{\mathsf{CKA}} e_i$  by induction. Since  $e_i \leq_{\mathsf{CKA}} e$ , the claim then follows.
- If  $e = e_0 \cdot e_1$  and  $r = r' \cdot e_1$  with  $\ell \nabla_{e_0} r'$ , then by induction we find that  $\ell \cdot r' \leq_{\mathsf{CKA}} e_0$ . It then follows that  $\ell \cdot r = \ell \cdot r' \cdot e_1 \leq_{\mathsf{CKA}} e_0 \cdot e_1 = e$ . The case where  $e = e_0 \cdot e_1$  and  $\ell = e_0 \cdot \ell'$  with  $\ell' \nabla_{e_1} r$  can be treated similarly.
- If  $e = e_0 \parallel e_1$  and  $\ell = \ell_0 \parallel \ell_1$  and  $r = r_0 \parallel r_1$  such that  $\ell_i \nabla_{e_i} r_i$  for all  $i \in 2$ , then by induction we have that  $\ell_i \cdot r_i \leq_{\mathsf{CKA}} e_i$ . We then find that

$$\ell \cdot r = (\ell_0 \parallel \ell_1) \cdot (r_0 \cdot r_1) \leqq_{\mathsf{CKA}} (\ell_0 \cdot r_0) \parallel (\ell_1 \cdot r_1) \leqq_{\mathsf{CKA}} e_0 \parallel e_1 = e$$

- If  $e = e_0^{\star}$  and  $\ell = e_0^{\star} \cdot \ell'$  and  $r = r' \cdot e_0^{\star}$  such that  $\ell' \nabla_{e_0} r'$ , then by induction we have that  $\ell' \cdot r' \leq_{\mathsf{CKA}} e_0$ . This allows us to derive that  $\ell \cdot r = e_0^{\star} \cdot \ell' \cdot r' \cdot e_0^{\star} \leq_{\mathsf{CKA}} e_0^{\star} \cdot e_0 \cdot e_0^{\star} \leq_{\mathsf{CKA}} e_0^{\star} = e$ .

#### B.3 Right-hand remainders

**Lemma 4.11.** Let  $e \in \mathcal{T}$ . R(e) is finite.

*Proof.* Let  $R^+(e)$  denote  $R(e) \setminus \{e\}$ . We first prove a number of auxiliary claims, to wit:

- (i)  $R^+(0) = \emptyset$
- (ii)  $R^+(1) = \{1\}$
- (iii) for  $a \in \Sigma$ , it holds that  $R^+(a) = \{a, 1\}$
- (iv) for  $e, f \in \mathcal{T}$ , it holds that  $R^+(e+f) \subseteq R(e) \cup R(f)$ .
- (v) for  $e, f \in \mathcal{T}$ , it holds that  $R^+(e \cdot f) \subseteq \{e' \cdot f : e' \in R(e)\} \cup R(f)$
- (vi) for  $e, f \in \mathcal{T}$ , it holds that  $R^+(e \parallel f) \subseteq \{e' \parallel f' : e' \in R(e), f' \in R(f)\}$
- (vii) for  $e \in \mathcal{T}$ , it holds that  $R^+(e^*) \subseteq \{1, e^*\} \cup \{e' \cdot e^* : e' \in R(e)\}$

To prove a claim of the form  $R^+(g) \subseteq T$  for some  $g \in \mathcal{T}$  and  $T \subseteq \mathcal{T}$ , it suffices to show that if  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_g r$ , then  $r \in T$ , and moreover that T is closed under taking right-remainders, i.e., if  $h \in T$  and  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_h r$ , then  $r \in T$ . We treat the claims one-by-one.

- (i) If g = 0 and  $T = \emptyset$ , then the claim holds vacuously there are no  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_0 r$ , and  $\emptyset$  is immediately closed under taking right-remainders.
- (ii) If g = 1 and  $T = \{1\}$ , suppose that  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_1 r$ . By definition of  $\nabla_1$ , we then find that  $\ell = r = 1$ ; it then follows that  $r \in T$ . By the same argument, T is closed under taking right-remainders.
- (iii) If g = a and  $T = \{a, 1\}$ , suppose that  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_a r$ . By definition of  $\nabla_a$ , we then find that either  $\ell = 1$  and r = a, or  $\ell = a$  and r = 1; in both cases,  $r \in T$ . By an argument similar to the above, as well as the reasoning for the previous case, T is closed under taking right-remainders.
- (iv) If g = e + f and  $T = R(e) \cup R(f)$ , suppose that  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_{e+f} r$ . By definition of  $\nabla_{e+f}$ , we then find that either  $\ell \nabla_e r$  or  $\ell \nabla_f r$ . In the former case,  $r \in R(e)$ , while in the latter case  $r \in R(f)$ ; in either case,  $r \in T$ . Lastly, T is closed under taking right-remainders because both R(e) and R(f) are, individually.
- (v) If  $g = e \cdot f$  and  $T = \{e' \cdot f : e' \in R(e)\} \cup R(f)$ , suppose that  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_{e \cdot f} r$ . By definition of  $\nabla_{e \cdot f}$ , we then find that either  $\ell = e \cdot \ell'$  and  $\ell' \nabla_f r$ , or that  $r = r' \cdot f$  and  $\ell \nabla_e r'$ . In the former case,  $r \in R(f)$ ; in the latter case,  $r' \in R(e)$ , and thus  $r \in \{e' \cdot f : e' \in R(e)\}$ ; in either case,  $r \in T$ . To see that T is closed under taking right-remainders, it suffices to consider the case where  $h = e' \cdot f$  for some  $e' \in R(e)$ . If  $\ell, r \in \mathcal{T}$  are such that  $\ell \nabla_h r$ , then either  $\ell = e' \cdot \ell'$  and  $\ell' \nabla_f r$ , or  $r = r' \cdot f$  and  $\ell \nabla_{e'} r'$ . In the former case,  $r \in R(f)$ , while in the latter case  $r' \in R(e') \subseteq R(e)$ , and thus  $r \in \{e' \cdot f : e' \in R(e)\}$ ; in either case,  $r \in T$ .
- (vi) If  $g = e \parallel f$  and  $T = \{e' \parallel f' : e' \in R(e), f' \in R(f)\}$ , suppose that  $\ell, r \in \mathcal{T}$  such that  $\ell \mid \nabla_{e \parallel f} \mid r$ . By definition of  $\nabla_{e \parallel f}$ , we find that  $\ell = \ell_e \parallel \ell_f$  and  $r = r_e \parallel r_f$  such that  $\ell_e \mid \nabla_e \mid r_e$  and  $\ell_f \mid \nabla_f \mid r_f$ . In that case,  $r_e \in R(e)$  and  $r_f \in R(f)$ , and thus  $r \in T$ .

  To see that T is closed under taking right-remainders, an argument similar to the above applies.
- (vii) If  $g = e^*$  and  $T = \{1, e^*\} \cup \bigcup_{e' \in R(e)} R(e' \cdot e^*)$ , suppose that  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_{e^*} r$ . By definition of  $\nabla_{e^*}$ , we find that either  $\ell = r = 1$ , or  $\ell = e^* \cdot \ell'$  and  $r = r' \cdot e^*$  with  $\ell' \nabla_e r'$ . In the former case,  $r \in T$  immediately; in the latter case, we find that  $r' \in R(e)$ , and thus  $r \in \{e' \cdot e^* : e' \in R(e)\} \subseteq T$ . To see that T is closed under taking right-remainders, note that the case for h = 1 is covered by (ii), and the case where  $h = e^*$  is discussed above. It therefore suffices to consider the case where  $h = e' \cdot e^*$  for some  $e' \in R(e)$ . Suppose that  $\ell, r \in \mathcal{T}$  such that  $\ell \nabla_{e' \cdot e^*} r$ ; by definition of  $\nabla_{e' \cdot e^*}$ , we know that either  $\ell = e' \cdot \ell'$  and  $\ell' \nabla_{e^*} r$ , or  $r = r' \cdot e^*$  and  $\ell \nabla_{e'} r'$ . In the former case,  $r \in T$  by the argument for  $g = e^*$  above. In the latter case,  $r = r' \cdot e^* \in \{e'' \cdot e^* : e' \in R(e')\} \subseteq \{e'' \cdot e^* : e'' \in R(e)\} \subseteq T$ .

We can use these observations to show that  $R(e) = R^+(e) \cup \{e\}$  is finite, by induction on e. In the base, where e = 0, e = 1 or e = a, we have that R(e) is finite by (ii)–(iii). In the inductive step, assume that the claim holds for all proper subterms of e. We now have that  $e = e_0 + e_1$ ,  $e = e_0 \cdot e_1$ ,  $e = e_0 \parallel e_1$  or  $e = e_0^*$  for some  $e_0, e_1 \in \mathcal{T}$ . It then follows that R(e) is finite by (iv)–(vii) and the induction hypothesis.