



LAB 5 FUNCTIONAL DEPENDENCIES



Created by Taylor Qin
Don't distribute with others outside the tutorial!



TABLE OF CONTENTS



01.

UPDATE ANOMALIES

02.

**FUNCTIONAL
DEPENDENCIES AND
IMPLICATION**

03.

**IDENTIFYING
FUNCTIONAL
DEPENDENCIES**
!!!3

04.

**FINDING
KEYS**
!!!!!!!7

05.

**EQUIVALENCE
OF FUNCTIONAL
DEPENDENCIES**
!!2

06.

**MINIMAL
COVER**
!1



UPDATE ANOMALIES



- * **Insertion anomalies:** the inability to add data to the database due to absence of other data.
- * **Deletion anomalies:** the unintended loss of data due to deletion of other data.
- * **Modification anomalies:** a data inconsistency that results from data redundancy and a partial update



FUNCTIONAL DEPENDENCIES AND IMPLICATION



- * FDs tell us "relationship between and among attributes"!
- * Components: Determinant & Dependant
- * Implied FDs

$X \rightarrow W$

- Let Σ be a set of FDs. Check whether or not $\Sigma \models X \rightarrow W$ holds?
We need to

- 1 Compute **the set of all attributes** that are dependent on X , which is called the **closure** of X under Σ and is denoted by X^+ .
- 2 $\Sigma \models X \rightarrow W$ holds iff $W \subseteq X^+$.



EXERCICE

Consider a relation schema $R = \{A, B, C, D, E, F\}$ with the following set Σ of functional dependencies:

$AB \rightarrow C$, $CF \rightarrow B$, $BC \rightarrow AD$ and $D \rightarrow E$.

Does $AB \rightarrow D$ hold on any relation of R that satisfies Σ ?

EXERCICE



Consider a relation schema $R = \{A, B, C, D, E, F\}$ with the following set Σ of functional dependencies:

$AB \rightarrow C$, $CF \rightarrow B$, $BC \rightarrow AD$ and $D \rightarrow E$.

Does $AB \rightarrow D$ hold on any relation of R that satisfies Σ ?

compute the closure of AB , i.e.,

$(AB)^+$
= AB by $AB \rightarrow AB$
= ABC by $AB \rightarrow C$
= $ABCD$ by $BC \rightarrow AD$ **Yes!**

$(B)^+$
= B by $B \rightarrow B$
 C is not included in $(B)^+$
 $B \rightarrow C$ does not hold



IDENTIFYING FD



- * Analyse data requirements
- * Analyse sample data



IDENTIFYING FD (ADR)



★ Workshop P152:

Consider the following:

HOTEL(hotelNo, hotelName, city) with PK {hotelNo}

ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}

GUEST(guestNo, guestName, guestAddress) with PK {guestNo}

BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}

Which functional dependency does the following requirement imply?

R1 A booking can be made for one day only.



IDENTIFYING FD (ADR)



Which functional dependency does the following requirement imply?

R1 A booking (for one room in one hotel by one guest) can be made for one day only (==in one date).

$\{\text{guestNo}, \text{hotelNo}, \text{roomNo}\} \rightarrow \{\text{date}\}$

guestNo	hotelNo	roomNo	Date
001	H1	R101	28/08/2020
001	H1	R101	29/08/2020

Does not hold! Same combination on the LHS does not imply RHS...



PRACTICE



Consider the following:

HOTEL(hotelNo, hotelName, city) with PK

{hotelNo}

ROOM(roomNo, hotelNo, type, price) with PK

{roomNo, hotelNo}

GUEST(guestNo, guestName, guestAddress) with

PK {guestNo}

BOOKING(guestNo, hotelNo, date, roomNo) with

PK {?}

Which functional dependency does the following requirement imply?

RX **Only one guest** can book a room in one hotel per day.



PRACTICE



Which functional dependency does the following requirement imply?

RX Only one guest can book a room in one hotel per day.

Same as R5: A room in any hotel can only be booked by one guest on the same date, i.e., no double-booking.

$\{\text{roomNo}, \text{hotelNo}, \text{date}\} \rightarrow \{\text{guestNo}\}$

101, A, 21/9, 668

101, A, 21/9, 669



IDENTIFYING FD



- * Analyse data requirements
- * Analyse sample data



IDENTIFYING FD (ASD)



Identifying all FDs (depending on the table only)

SALES		
Customer_ID	Product	Price
1001	Laundry detergent	12
1007	Toothpaste	3
1010	Chlorine bleach	4
1024	Toothpaste	3



IDENTIFYING FD (ASD)



~~Customer_ID -> Product? Yes~~

~~Customer_ID -> Price? Yes~~

Product -> Price? Yes

What if the Customer_ID in row 1 is changed to 1007?

1007

SALES		
Customer_ID	Product	Price
1001	Laundry detergent	12
1007	Toothpaste	3
1010	Chlorine bleach	4
1024	Toothpaste	3



IDENTIFYING FD (ASD)



What if the Customer_ID in row 1 is changed to 1007?

Customer_ID → Product? **No (R1 & R2)**

Customer_ID → Price? **No (R1 & R2)**

Product → Customer_ID? **No (R2 & R4)**

Product → Price? **Yes**

Price → Customer_ID? **No (R2 & R4)**

Price → Product? **Yes**

Customer_ID, Product → Price?

No need to check, Product → Price

Customer_ID, Price → Product? **No need**

Product, Price → Customer_ID? **No (R2 & R4)**

SALES		
Customer_ID	Product	Price
1001	Laundry detergent	12
1007	Toothpaste	3
1010	Chlorine bleach	4
1024	Toothpaste	3



LAB EXERCISE



Lab 3.4 Identifying all FDs

(4) Consider the relation shown in Figure 3.

X	Y	Z
a_1	b	c_1
a_1	b	c_2
a_2	b	c_1
a_2	b	c_3

Figure 3: A relation for Exercise (2)



LAB EXERCISE



Lab 3.4 Identifying all FDs

$\{Z \rightarrow Y, X \rightarrow Y\}$

(4) Consider the relation shown in Figure 3.

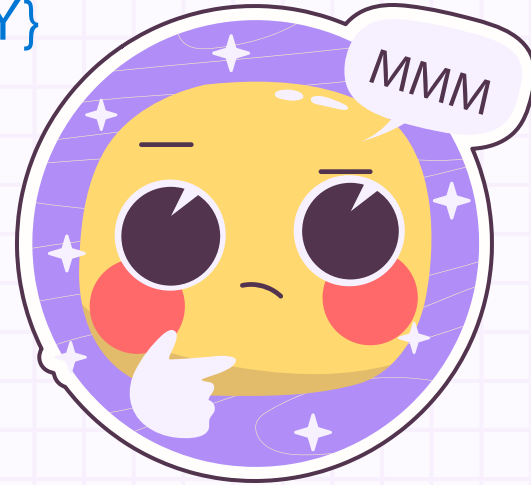
X	Y	Z
a_1	b	c_1
a_1	b	c_2
a_2	b	c_1
a_2	b	c_3

c1

superkey

candidate key/minimal superkey/key

primary key



minimal !=
minimum

$\{ABC\}$



FINDING KEYS



superkeys, candidate keys, primary keys...



Consider the following:

HOTEL(hotelNo, hotelName, city) with PK {hotelNo}

ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}

GUEST(guestNo, guestName, guestAddress) with PK {guestNo}

BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}

R1 A booking can be made for one day only.
{guestNo, hotelNo, roomNo} → {date}

RX Only one guest can book a room in one hotel per day.

{roomNo, hotelNo, date} → {guestNo}

What are the keys?



FINDING KEYS



FDs:

$\{\text{guestNo}, \text{hotelNo}, \text{roomNo}\} \rightarrow \{\text{date}\}$
 $\{\text{roomNo}, \text{hotelNo}, \text{date}\} \rightarrow \{\text{guestNo}\}$

What are the keys?

Check (X) + for every subset of {guestNo, hotelNo, date, roomNo}.

compute the closure for all of its subset

$X^+ = R \rightarrow X$ is a superkey

check for the proper subset Y in X

$Y^+ = R$?

if none of the subsets has $Y^+ = R$, then X is a key.



FINDING KEYS



{A,B,C,D}

{A} {B} {C} {D} no!

{AB}(key!) {AC} {BC}(key!) {BD}(key!) {CD}

{ACD}



FDs:

{guestNo, hotelNo, roomNo} → {date}

{roomNo, hotelNo, date} → {guestNo}

What are the keys?

Check (X) + for every subset of {guestNo, hotelNo, d~~x~~e, roomNo}.

{hotelNO} + = {hotelNO}

{hotelNO, roomNo} + = {hotelNO, roomNo}

{hotelNO, roomNo, guestNo} + = {guestNo, hotelNo, roomNo, date}

{roomNo, hotelNo, date} is also valid



prime attributes: all attributes occurring in a key
{AB}{BC}{BD}



EQUIVALENCE OF FDS



Σ_1 and Σ_2 are equivalent if $\Sigma_1^* = \Sigma_2^*$.

Lab Q6: Consider a relation $R = \{A, B, C, D\}$.

Exercise:

$\Sigma_1 = \{A \rightarrow C, AC \rightarrow B\}$ and $\Sigma_2 = \{A \rightarrow B, A \rightarrow C\}$

$\Sigma_1 \rightarrow \Sigma_2$

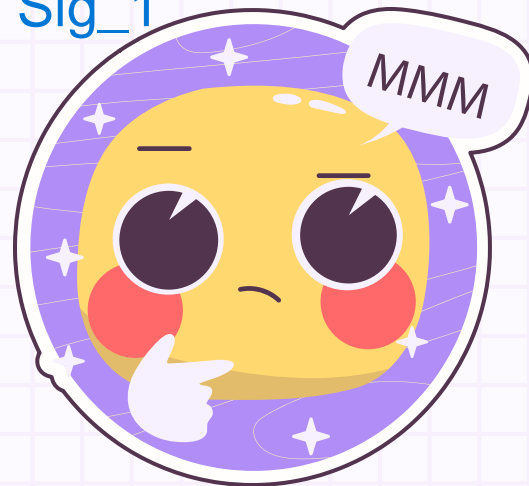
1. $A \rightarrow B$ holds in Σ_1
2. $A \rightarrow C$ holds in Σ_1

$\Sigma_2 \rightarrow \Sigma_1$

1. $A \rightarrow C$ holds in Σ_2
2. $AC \rightarrow B$ holds in Σ_2
(AC) $^+ = ABC$ (by $A \rightarrow B$)

$\Sigma_1 \rightarrow \Sigma_2$

$\Sigma_2 \rightarrow \Sigma_1$



EQUIVALENCE OF FDS



$\Sigma 1$ and $\Sigma 2$ are equivalent if $\Sigma 1^* = \Sigma 2^*$.

Lab Q6: Consider a relation $R = \{A, B, C, D\}$.

Exercise:

$\Sigma 1 = \{A \rightarrow C, AC \rightarrow B\}$ and $\Sigma 2 = \{A \rightarrow B, A \rightarrow C\}$

$\Sigma 1^* = \{A \rightarrow C, AC \rightarrow B, A \rightarrow B\}$,

$\Sigma 2^* = \{A \rightarrow B, A \rightarrow C, AC \rightarrow B\}$. Yes!



Sig_1 \rightarrow Sig_2

1. $B \rightarrow A$ does hold in sig_1.

$(B)^+ = B$, A is not included!



MINIMAL COVER



Steps:

1. start from Σ
2. check whether all the FDs in Σ have only one attribute on the right hand side
3. check whether all the FDs in Σ have have minimized FDs on the left hand side
4. look for a redundant FD



MINIMAL COVER



Example: $\Sigma = \{B \rightarrow A, D \rightarrow A, AB \rightarrow D\}$

1. start from Σ
 $\Sigma_m = \{B \rightarrow A, D \rightarrow A, AB \rightarrow D\}$
2. check whether all the FDs in Σ have only one attribute on the right hand side
 $\Sigma_m = \{B \rightarrow A, D \rightarrow A, AB \rightarrow D\}$
3. **Check whether all the FDs in Σ have minimized FDs on the left hand side**
For $AB \rightarrow D$, can we convert it into $A \rightarrow D$?
NO!
can we convert it into $B \rightarrow D$?
YES! $B \rightarrow AB \rightarrow ABD$
 $\Sigma_m = \{B \rightarrow A, D \rightarrow A, B \rightarrow D\}$
4. **look for a redundant FD**

$A \rightarrow XY$

$A \rightarrow X, A \rightarrow Y$



MINIMAL COVER



Example: $\Sigma = \{B \rightarrow A, D \rightarrow A, AB \rightarrow D\}$

1. start from Σ
2. check whether all the FDs in Σ have only one attribute on the right hand side
3. **Check whether all the FDs in Σ have minimized FDs on the left hand side**

$\Sigma_m = \{B \rightarrow A, D \rightarrow A, B \rightarrow D\}$

4. **look for a redundant FD**

$B \rightarrow A$ redundant?

YES! $B \rightarrow BD \rightarrow BDA$

$\Sigma_m = \{D \rightarrow A, B \rightarrow D\}$



PRACTICE



$R = \{A, B, C, D\}$
 $\Sigma = \{A \rightarrow B, AB \rightarrow CD, A \rightarrow D\}$



PRACTICE



$R = \{A, B, C, D\}$

$\Sigma = \{A \rightarrow B, AB \rightarrow CD, A \rightarrow D\}$

1. start from Σ
 $\Sigma_m = \{A \rightarrow B, AB \rightarrow CD, A \rightarrow D\}$
2. check whether all the FDs in Σ have only one attribute on the right hand side
 $\Sigma_m = \{A \rightarrow B, AB \rightarrow C, AB \rightarrow D, A \rightarrow D\}$
3. Check whether all the FDs in Σ have have minimized FDs on the left hand side
For $AB \rightarrow C$, can we convert it into $A \rightarrow C$?
YES! $A \rightarrow AB \rightarrow ABC$.
Same for $AB \rightarrow D$.
 $\Sigma_m = \{A \rightarrow B, A \rightarrow C, A \rightarrow D, A \rightarrow D\}$
4. look for a redundant FD
 $\Sigma_m = \{A \rightarrow B, A \rightarrow C, A \rightarrow D\}$



$\{A \rightarrow B, A \rightarrow C\}$



MOVE ONTO LAB EXERCISE

Ask in the channel if you have any questions!

Note: for ER diagram in A2, if you are using other software,
you must follow the terms in TerraER.

