

# Informal Methods

8409 characters in 2041 words on 277 lines

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## 1 basics

### 1.1 proof

convince other person of something that is true  
way of communication

### 1.2 flow charts

rectangles for statements  
diamond for conditions  
lines to visualize control flow  
triange with annotation (predicate over state property)

#### show infinite loop

if path exist without state change  
if path is possible (joined conditions fulfilable)

#### predicate

true at every execution (not just single one)  
follows from previous predicate & loop condition  
T (true / top) as most general (conveys no information)  
L (false, bottom) as most restrictive (unreachable)

### 1.3 program trace

statements actually executed by program  
(can omit comparison checks)

### 1.4 predicate rules

#### conditions

if true, add condition to predicate  
if false, add negation of condition

#### assignment (like $x = y$ )

for before  $P(y)$ , then afterwards  $P(x)$   
for afterwards  $Q(x)$ , then before  $Q(y)$

#### joins (two paths join)

for before  $P$  (one path) and  $Q$  (other path)  
then afterwards  $P$  or  $Q$

### 1.5 loop

#### structure

input (from init or after loop)  
both supply invariant of loop  
condition; if fulfilled execute body else exit  
before body, have invariant + loop guard  
before exit, have invariant + not loop guard

#### invariant

the creative step to prove property  
choose as strong as needed to show property  
choose as weak as able to proof  
cannot be generated (reduces to halting problem)  
show guard & invariant + loop execution  $\Rightarrow$  invariant  
show (not guard) & invariant  $\Rightarrow$  property

### 1.6 hoare rules

predicate combinations

#### composition

if  $P \rightarrow Q$  and  $Q \rightarrow R$   
then  $P \rightarrow R$

#### if-rule

for  $P, G, R, S$  predices;  $A, B$  statements  
(1) given  $P \wedge G$  & execute  $A \rightarrow$  then  $R$   
(2) given  $P \wedge (\text{not } G)$  & execute  $B \rightarrow$  then  $S$   
show that (1) and (2) hold, then (3) holds

(3) given  $P$  & execute if  $G \rightarrow A$  else  $B \rightarrow$  then  $R$  or  $S$

#### loop rule

for  $P$  pre-condition,  $I$  loop invariant,  $G$  loop guard,  $Q$  post-condition

(1)  $P \rightarrow I$

(2) given  $G \wedge I$  & execute loop body  $\rightarrow$  then  $I$

(3)  $(\text{not } G) \wedge I \rightarrow Q$

show that (1), (2), (3) hold, then (4) holds

(4) given  $P$  & execute "do  $G \rightarrow$  body"  $\rightarrow$  then  $Q$

### 1.7 frame conditions

conditions needed to establish real proof  
like "array content is never modified"  
need also to be proved; automatic verification can help

### 1.8 construction of algorithms

describe algorithm in terms of "general snapshot"  
(hence describe when and to what single values change)  
include any variables needed to be specific enough for algorithm  
for all variables note its purpose and limits  
then proof the required property indeed holds  
particularly ensure each variable is initialized, changed and used

### 1.9 invariant structure

$> I$

statement

$> I_2$

#### implications

$I_2$  must follow out of  $I$  and statement directly  
no other references permitted (like previous loop iteration)

#### concurrency

for each process, combine each statement with each other process  
combinatorial explosion (linear in size, exponential in #process)  
show preconditions contradict  
or show postconditions preserved

#### core invariant ideas

for loops, use "up to  $i$ , condition holds"  
for sort, ensure multiset (elements) never changed  
for dijkstra, ensure unexplored paths in set  
for concurrency, use ghost lock variables

## 2 zune bug

broke devices on 1. Jan 2009  
after leap year 2008  
bc infinite loop ( $d=366$ ,  $\text{Lead}(y) == \text{true}$ )

```
y := 1980
do d < 365 ->
if Leap(y) ->
if d > 366 ->
d, y := d-366, y+1
else
d, y := d-365, y+1
```

## 3 sample concurrency proof

show all data is passed from input over buffer to output  
for three concurrent threads  
 $S_x$  interpretations  
 $S_0 = O[0, y)$   
 $S_{B2O} = O[y, h)$   
 $S_{B2} = B1[h \text{ upto } n)$

```

 $S_{B1B2} = B1[n \text{ upto } m]$ 
 $S_{B1} = B2[m \text{ upto } t]$ 
 $S_{IB1} = B2[t, x]$ 
 $S_I = I[x, N]$ 
invariant
 $> S_0 + S_{B2O} + S_{B2} + S_{B1B2} + S_{B1} + S_{IB1} + S_I = I[0, N] \wedge$ 
 $h \leq n \wedge n-h \leq N_B \wedge n \leq m \wedge m \leq t \wedge t-m \leq N_B$ 
main
 $> \text{let } L = S_0 + S_{B2O} + S_{B2} + S_{B1B2} + S_{B1} + S_{IB1} + S_I = I[0, N] \wedge$ 
 $h \leq n \wedge n-h \leq N_B \wedge n \leq m \wedge m \leq t \wedge t-m \leq N_B$ 
 $x, y, t, h, n, m := 0, 0, 0, 0, 0, 0$ 
 $> L \wedge x \leq N \wedge y \leq N$ 
 $> y = h$ 
 $> x = t$ 
 $> n = m$ 
pbegin
S: do  $x \neq N \rightarrow$ 
 $> L \wedge x < N$ 
 $> x = t$ 
push()
 $> L \wedge x \leq N$ 
 $> x = t$ 
od
 $> L \wedge x = N$ 
//
R: do  $y \neq N \rightarrow$ 
 $> L \wedge y < N$ 
 $> y = h$ 
pop()
 $> L \wedge y \leq N$ 
 $> y = h$ 
od
 $> L \wedge y = N$ 
//
Q: do  $y \neq N \rightarrow$ 
 $> L$ 
 $> m = n$ 
transfer()
 $> L$ 
 $> m = n$ 
od
 $> L$ 
pend
 $> L \wedge y = N$ 
push()
 $> \text{let } S_- = S_0 + S_{B2O} + S_{B2} + S_{B1B2}$ 
 $> \text{let } L = h \leq n \wedge n-h \leq N_B \wedge n \leq m \wedge m \leq t$ 
 $> S_- + S_{B1} + S_{IB1} + S_I = K \wedge$ 
 $x < N \wedge t-m \leq N_B \wedge L$ 
 $> t = x$ 
wait until  $t - m = N_B$ 
 $> S_- + B1[m \text{ upto } t] + [] + (I[x] + I[x+1, N]) = K \wedge$ 
 $x < N \wedge t-m < N_B \wedge L$ 
 $> t = x$ 
 $B1[t \bmod N_B] := I[x]$ 
 $> S_- + B1[m \text{ upto } t] + [] + (B[t \bmod N_B] + I[x+1, N]) = K \wedge$ 
 $x < N \wedge t-m < N_B \wedge L$ 
 $> t = x$ 
 $x := x + 1$ 
 $> S_- + B1[m \text{ upto } t] + B[t \bmod N_B] + I[x, N] = K \wedge$ 
 $x < N \wedge t-m < N_B \wedge L$ 
 $> t + 1 = x$ 
 $t := t + 1$ 
 $> S_- + B1[m \text{ upto } t] + [] + I[x, N] = K \wedge$ 
 $x < N \wedge t-m \leq N_B \wedge L$ 
 $> t = x$ 
for push, note that
- every first invariant is at least as strong as the overall invariant
-  $t = x \wedge x < N$  are preconditions
-  $t = x$  is a postcondition / loop invariant of S
pop()
 $> \text{let } S_- = S_{B1B2} + S_{B1} + S_{IB1} + S_I$ 
 $> \text{let } L = n-h \leq N_B \wedge n \leq m \wedge m \leq t \wedge t-m \leq N_B$ 
 $> S_0 + S_{B2O} + S_{B2} + S_- = K \wedge$ 
 $x < N \wedge h \leq n \wedge L$ 
 $> y = h$ 
wait until  $h < n$ 
 $> O[0, y] + [] + (B2[h \bmod N_B] + B2[h+1 \text{ upto } n]) + S_- = K \wedge$ 
 $x < N \wedge h < n \wedge L$ 
 $> y = h$ 
 $O[y] := B2[h \bmod N_B]$ 

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 $> O[0, y] + [] + (O[y] + B2[h+1 \text{ upto } n]) + S_- = K \wedge$ 
 $x < N \wedge h < n \wedge L$ 
 $> y = h$ 
 $h := h + 1$ 
 $> O[0, y] + O[y] + B2[h \text{ upto } n] + S_- = K \wedge$ 
 $x < N \wedge h \leq n \wedge L$ 
 $> y+1 = h$ 
 $y := y + 1$ 
 $> O[0, y] + [] + B2[h \text{ upto } n] + S_- = K \wedge$ 
 $x < N \wedge h \leq n \wedge L$ 
 $> y = h$ 
for pop, note that
- every first invariant is at least as strong as the overall invariant
-  $y = h \wedge y < N$  are preconditions
-  $y = h$  is a postcondition / loop invariant of R
transfer()
 $> \text{let } S_- = S_0 + S_{B2O} + S_{IB1} + S_I$ 
 $> \text{let } L = h \leq n \wedge t-m \leq N_B$ 
 $> S_{B2} + S_{B1B2} + S_{B1} + S_- = K \wedge$ 
 $n-h \leq N_B \wedge n \leq m \wedge m \leq t \wedge L$ 
 $> n = m$ 
wait until  $m < t$ 
 $> B2[h \text{ upto } n] + [] + (B1[m \bmod N_B] + B1[m+1 \text{ upto } t]) + S_- = K \wedge$ 
 $n-h \leq N_B \wedge n \leq m \wedge m < t \wedge L$ 
 $> n = m$ 
wait until  $n - h < N_B$ 
 $> B2[h \text{ upto } n] + [] + (B1[m \bmod N_B] + B1[m+1 \text{ upto } t]) + S_- = K \wedge$ 
 $n-h < N_B \wedge n \leq m \wedge m < t \wedge L$ 
 $> n = m$ 
 $B2[n \bmod N_B] = B1[m \bmod N_B]$ 
 $> B2[h \text{ upto } n] + [] + (B2[n \bmod N_B] + B1[m+1 \text{ upto } t]) + S_- = K \wedge$ 
 $n-h < N_B \wedge n \leq m \wedge m < t \wedge L$ 
 $> n = m$ 
 $m := m + 1$ 
 $> B2[h \text{ upto } n] + B2[n \bmod N_B] + B1[m \text{ upto } t] + S_- = K \wedge$ 
 $n-h < N_B \wedge n < m \wedge m \leq t \wedge L$ 
 $> n+1 = m$ 
 $n := n + 1$ 
 $> B2[h \text{ upto } n] + [] + B1[m \text{ upto } t] + S_- = K \wedge$ 
 $n-h \leq N_B \wedge n \leq m \wedge m \leq t \wedge L$ 
 $> n = m$ 
for transfer, note that
- every first invariant is at least as strong as the overall invariant
-  $m = n$  is the precondition
-  $m = n$  is a postcondition / loop invariant of Q
non-interference argument
like in the lecture notes we look at all possible forms of invariants
then argue why no interference is possible (... that breaks these invariants)
 $> S_0 + S_{B2O} + S_{B2} + S_{B1B2} + S_{B1} + S_{IB1} + S_I = K \wedge h \leq n \wedge n-h$ 
 $\leq N_B \wedge n \leq m \wedge m \leq t \wedge t-m \leq N_B$ 
we have shown at every point in the local correctness proof that the invariant was preserved
(at each first line of the invariants, there is some argument that is at least as strong as the invariant)
hence no interference possible
 $> x < N, x \leq N$ 
only local variable x and constant N is involved
hence no interference possible
 $> y < N, y \leq N$ 
only local variable y and constant N is involved
hence no interference possible
 $> t = x, t+1 = x$ 
involves the shared variable t and the local variable x
but both t and x are only updated by the process S using the invariant
 $> y = h, y+1 = h$ 
involves the shared variable h and the local variable y
but both h and y are only updated by the process R using the invariant
 $> t - m < N_B$ 
only occurs in S (push)
the only statement which could invalidate it is in Q (transfer)
but Q only increments m (which can't break invariant)
 $> m < t$ 
only occurs in Q (transfer)
the only statement which could invalidate it is in S (push)
but S only increments t (which can't break invariant)
 $> n - h < N_B$ 
only occurs in Q (transfer)
the only statement which could invalidate it is in R (pop)
but R only increments h (which can't break invariant)
 $> h < n$ 

```

only occurs in R (pop)  
the only statement which could invalidate it is in Q (transfer)  
but Q only increments n (which can't break invariant)

$n < m$

only occurs in Q (transfer)

no other process changes either n or m

# **partial correctness argument**

the invariant we ended up with was

$S_0 + S_{B2O} + S_{B2} + S_{B1B2} + S_{B1} + S_{IB1} + S_I = I[0, N] \wedge$

$y = N$

putting in the definition for  $S_0$  yields

$O[0, y] + S_{B2O} + S_{B2} + S_{B1B2} + S_{B1} + S_{IB1} + S_I = I[0, N] \wedge y =$

$N$

out of  $O[0, y]$  and  $y = N$  we conclude that  $|O[0, y]| = |I[0, N]| = N$

as no less-than-empty collections can exist, the length of the other

collections must be 0

replacing all other collections with the empty collection yields

$O[0, y] + [] + [] + [] + [] + [] + [] = I[0, N]$

hence it follows that

$O[0, y] = I[0, N]$