# 2017-2 Concepts of Object-Oriented Programming

46053 characters in 6947 words on 1022 lines

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## 1 Introduction

# 1.1 requirements

#### 1.1.1 reuse

quality documented interfaces extendability and availability

#### 1.1.2 computation as simulation

modeling entities of the real world describing dynamic system behavior running simulations

#### 1.1.3 GUI

adaptable standard functionality concurrency

#### 1.1.4 distributed programming

communication

distribution of data and code

## 1.1.5 core requirements

Cooperating Program Parts with Well-Defined Interfaces classification and specialization higly dynamic exection correctness

#### 1.1.6 from requirements to concepts

## cooperating programm parts with well defined interfaces

Objects (data+code), interfaces, encapsulation

#### classification and specialization

classification and polymophism, substitution principle, leads to classes, inheritance, subtyping, dynamic binding, etc  $\,$ 

#### dynamic adaptation of programm behavior

object model with active objects, message passing

# correct programms

interfaces, encapsulation

#### 1.2 core concepts

## 1.2.1 philosophy

use concepts as close to the real world as possible easier to use as programmer as he is trained to the real world

# 1.2.2 object model

software system consists of cooperating objects objects have state and processing ability objects exchange messages objects have state, identity, lifecycle, location, behavior

## 1.2.3 leads to

different programm structure different execution model

#### 1.2.4 interfaces

objects have public fields, methods describe the behaviour of the object

## 1.2.5 encapsulation

implementation is hidden, information hiding

## 1.2.6 classification

hierachical structuring of objects objects belong to different classes simultaniously

# 1.2.7 substitution principle

subtype objects can be used wherever supertype are expected

#### 1.2.8 specialization

adding specific properties to an object or refining concepts behaviour of specialised must be compliant to more general type inherit fields and methods from superclass override methods in subclass

#### 1.2.9 polymophism

"the quality of being able to assume different forms"

"program part is polymorphic if it can be used for objects of several classes"

#### subtype polymophism

program parts working with supertype work with subtype

## parametric polymophism

generic types

#### ad-hoc polymorphism

method overloading

#### 1.3 language concepts

enable and facilitate the application of core concepts

#### dynamic method binding

enables classification and polymorphism method implementation is selected at runtime

# why not just use language concepts as guidelines

inhertiance has been replaced by code duplication

subtyping needed casts, same memory layout of super & subclasses needed

## 1.4 language design

#### 1.4.1 design goals

#### simplicity

syntax and semantics can be easely understood by users & implementors of language

simple are BASIC, Pascal, C conflicting with expressiveness

## expressiveness

easely be able to express complex processes and structures expressive are C#, Scala, Phyton conflicting with simplicity

## (static) safety

language discourages errors and discovers/reports them ideally at compile time

safe are C#, Java, Scala

conflicting with expressiveness, performance

# modularity

allows to compile modules separately modular are C#, Java, Scala conflicting with expressiveness, performance

# performance

programms can be executed efficiently performant are C, C++, Fortran conflicting with safety, productivity, simplicity

# productivity

language leads to low costs of writing programs productive are VB, Python conflicting with static safety

# backwards compatability

newer language versions interface with older versions compatible are Java, C conflicting with simplicity, performance, expressiveness

## 2 Types and Subtyping

#### 2.1 type systems

#### 2.1.1 definition

type system is a tractable syntactic method for proving absense of certain program behaviours by classifying phrases according to the kinds of values they compute

#### 2.1.2 properties

#### syntactic

rules are based on form, not behaviour

#### phrases

expressions, methods of program

#### kinds of values

types

#### 2.1.3 weak vs strong

#### untyped languages

values not classified into types (assembly)

#### weakly-typed

values classified into types, but additional restrictions not enforced (C, C++)

#### strongly-typed

all operations must be applied to arguments of appropiate types (c#, eiffel, java, python, scala, smalltalk)

#### 2.2 types

type is a set of values sharing some properties. A value v has type T if v is an element of T.

#### 2.2.1 subtyping concepts

answer what properties are shared by values of type T nominal when based on type names (C++, Eiffel, Java, ...) structural or duck-typing when based on availability of methods and fields (PHP, Python, Ruby, Smalltalk, Go, O'Caml)

## 2.2.2 type checking

#### static type checking

every expression has a type

types of variables & methods are declared explicitly or inferred type rules are use at compile time to check whether program is correctly typed

conservative checks as it needs to approximate run-time behaviour can bypass checks with dynamic keywork, introduces new run-time checks to preserve type safetly

needs certain run-time checks, examples include type conversions using casts, or array stuff

## dynamic type checking

variables, methods, expressions are typically not typed objects & variables have a type

run-time system checks that operations are applied to expected arguments support on the fly code generation and class loading

# static type checking advantages

squarantees that value held by variable v is subtype of T static safety finds more errors at compile time readability because types serve as documentation efficiency because type information allow for optimization tool support because types enable auto-complete, support refactoring, ...

## dynamic type checker advantages

expressiveness as no correct program is rejected low overhead because no need to annotate type simpler compared to static type systems

# 2.2.3 combinations

## static & nominal

sweetspot, maximal static safety, used by C#, C++, Eiffel, Java, Scala

## static & structural

inconvenient to declare many types, weird subtypes semantic, used by Go, O'Caml

#### dynamic & nominal

why declare all type infos but not check it, used by none

#### dynamic & structural

sweetspot, maximum flexibility, used by JavaScript, Python, Ruby,

#### Smalltalk

#### 2.3 subtyping

#### 2.3.1 substitution principle

objects of subtypes can be used whenever supertypes are expected

#### 2.3.2 syntactic classification

subtypes understand at least the messages of its supertype

#### 2.3.3 semantic classification

supertypes provide at least the behaviour as their supertypes

#### 2.3.4 covariant

more specialized, S < T implies S[] < T[]

#### 2.3.5 contravariant

more general, S < T implies T[] < S[]

#### 2.3.6 invariant

same

#### 2.3.7 nominal subtyping

determine type membership based on type names determine subtype relationship based on explicit declarations wider interfaces (existance & accessibility of methods and fields, types of methods and fields)

#### rules

sub <; super

sub can add but not remove methods

sub can make methods more accessible

sub must make parameters contravariant (more general, because set) sub must make result types covariant (more specific, because get) sub must make fields invariant (same, because get & set)

sub can make final fields covariant (more specific, because get)

#### problems with reuse

cannot combine similar objects from different name spaces use adapter pattern (Exployee Adapter implements Person) but needs boiler<br/>plate code

allow generalization (interface Person generalizes Employee) but weird with inheritance, may cause conflicts when changing superclasses

#### problems with generality

if we only use one specific method in function call we still need to declare whole interface which declares this method

can make separate interfaces for separate functionality but many useful subsets of operations, ReadonlyCollection, WriteonlyCollection, ... can make methods optional to implement but static safety is lost

#### 2.3.8 structural subtyping

determine type membership based on availability of methods determine subtype relationship based on availability of methods

#### reuse

does not have same problems as static

## generality

for static checking additional supertypes must be declared, but not the subtype relation

for dynamic checking possible run-time errors due to MethodNotFound

#### 2.4 behavioural subtyping

properties of types should also include behaviours can be expressed as contracts concept often implemented via specification inheritance

# 2.4.1 behaviour definition

all definitions must hold before/after method execution invariants / history constrains over inherited fields can be violated by all that have access to said field

## preconditions

hold in the state before the method is executed

#### postconditions

hold in the state after the method body has terminated can use old() expressions

make sure to specify all relevant aspects including data which has not changed

## history constrains

how object evolves over time

can use reflexive & transtive old() expression can strenghten

#### invariants

describe consistency criteria for objects can strengthen

## 2.4.2 contract checking

## static checking

programm verification

static safety; more errors are found at compile time complexity; static contract checking is difficult large overhead; requires extensive contracts

used by Spec#, .NET

## dynamic checking

runtime assertion checking

incomplete, because not all properties can be checked efficiently at runtime  $(p==p*p \Rightarrow (p==0||p==1))$ 

efficient for bug-finding complements testing low overhead; partial contracts still useful used by Eiffel, .NET

## 2.4.3 specification inheritance

#### simple inheritance

must have identical preconditions than parent, postconditions are conjuncted

problems with multiple subtyping (implement two interfaces, with n>0 && n<0)

#### improved inheritance

caller may only assume the postcondition which follow from the fulfilled preconditions

need to satisfy each postcondition for which the corresponding precondition (in prestate, old()) holds

effective precondition is the disjunction of all declared preconditions, pre\_super  $\Rightarrow$  pre\_sub (like parameter)

effective postcondition is the conjunction of all  $(old(Pre\_super) \Rightarrow Post\_super)$  &&  $(old(Pre\_super2) \Rightarrow Post\_super2)$ 

other invariants are all conjuncted

## simplify constrains

old(parameter) = parameter as they are immutable

 $true \Rightarrow my statement = statement$ 

can use helper methods such as generated() in AbstractClasses if need to reuse implementation for non-behavioural subtypes

# 2.4.4 structural subtyping

# dynamic type checking

callers have no knowledge of contracts, cannot establish precondition, cannot assume postcondition

#### static type checking

callers could state which signature and behaviour are required (specifiy reqires P, ensure Q)

## 2.4.5 type concepts

#### types as contracts

types can be seen as form of contract, but static checking is decidable weakper precondition implies contravariance stronger postcondition implies covariance

#### immutable types

do not change state after construction

immutable types should be subtype because they specialize behaviour (sematic), but does not work because the interace of mutable is wider (syntax)

mutable types should be subtype because they have wider interface (syntax), but they do not specialize behaviour

clean solution requires no subtype relations between the two concepts java does it with optional, mutating method (which throws out static safety)

## 3 Inheritance

## 3.1 inheritance and subtyping

code reuse can be archived by inheritance (one object, relation fixed at runtime) and aggregation (two objects at runtime)

#### 3.1.1 inheritance

means of code reuse (usually coupled with subtyping) not a core concept as can be simulated by delegation (delegate calls to IPerson interface to person field in IStudent implementation)

## 3.1.2 subtyping

expresses classification (substitution principle, subtype polymophism) use of "extends"/"implements" class dominates inheritance

implies behavioural subtyping!

#### 3.1.3 subclassing

subtyping + inheritance

#### 3.1.4 aggregation

"has-a", hold object of different type as field

## 3.1.5 delegation

inside method, call method of other object to take care of things

#### 3.1.6 specialization

override/extend existing method call

#### 3.1.7 example Circle / Ellipse

subtyping makes Circle <; Ellipse (because circle is ellipse) inheritance makes Ellipse <; Circle (because ellipse has more features) subclassing chooses Circle <; Ellipse (we must have a is-a relation)

#### 3.1.8 example BoundedSet <; Set

BoundedSet specializes Set.add method (implying behavioural subtype) BoundedSet strenghtens precondition of Set.add (implying behavioural supertype)

choosing BoundedSet <; Set by returning boolean in add() method choosing Set <; BoundedSet possible by assigning very high capacity

# 3.1.9 solutions for not behavioural subtypes but code reuse aggregation

BoundedSet uses set, delegates method calls

no subtype relation, runtime overhead (two objects), boiler plate code  $\,$ 

## creating new objects

creating and returning supertype if necessary problem for clients of subtype because they always need to check

# weak superclass contract

create a AbstractSet with weak (public) contracts

but now method is useless (as it does not establish useful postcondition)

## weak superclass contract with static contract

have "require false", "static require true", "ensure true", "static ensure contains(o)"

can be used by statically bound calls

## inheritance w/o subtyping (private inheritance)

no polymorphism, import code and choose what to make public from superclass  $\,$ 

can reuse code, but may leads to unnecessary multiple inheritance

## 3.2 method binding

# 3.2.1 static binding

at compile time, method is selected based on static type default at C#, C++

## 3.2.2 dynamic binding

selected based on static type of receiver, but dynamic type of argument drawbacks are performance (method lookup at runtime) and versioning (not breaking everything when code evolves)

default at Eiffel, Java, Scala, dynamically-typed languages

# 3.2.3 fragile baseclass scenarios

## problems

selective overriding (not override all methods which may break invariant) unjustified assumptions (only can assume explicit postconditions) mutual recursion (method call themselves)

additional methods (accidentially override methods, accidentially make method more specific in base class), java chooses always most specific, C# chooses most specific in static class

#### superclass

do not change calls to dynamically-bound methods

#### subclass

override all methods can could break invariants (only add instead of addAll too)

relay on interface documentation not on implementation (result  $^2$  = something can be + and -!)

avoid extending classes that change often (calls other method, which is overridden to call callee)

check if a new method is overriding existing ones (cleanUp, delete very similar names, can happen by accident)

#### java vs C#

java has dynamic binding per default, so if a baseclass calls another method this method may be overridden in a subclass, possibly changing behaviour c# does static method binding per default, so the szenario above does not happen unless specifying virtual

#### 3.2.4 rules summary

use only subclassing for is-a relations (syntactic and behavioral subclassing) do not rely on implementation, use precise documentation such as contracts do not mess around with dynamically-bound methods when evolving subclass (do not add/remove/reoder any as it possibly changes behaviour) do not specialize superclasses that change often (as risk of mistakes increases)

#### 3.3 binary methods

take receiver and one explicit argument

behaviour should be specialized depending on dynamic type of both arguments

how to implement this behaviour specialization?

#### 3.3.1 explicit type tests (instanceof)

#### how

check for dynamic type of passed argument and cast to more specific type to apply operations

#### 1. . .1

tedious to write, not extensible, required type cast

#### 3.3.2 double invocation

#### how

in each child class override generic method (like intersect) to call a specialized method (like intersect rectangle), also called visitor pattern

#### bad

tedious to write, required modification of superclass

# 3.3.3 overloading plus dynamic

#### how

c# allows overloading of inherited method; then casting both calle and caller to dynamic forcing the resolution at runtime  $\to$  most specifc method is called

#### bad overhea

overhead at runtime, not type safe

#### example

Shape { Shape intersect(Shape s) } Rectangle { Rectangle intersect(Rectangle r) } DoIntersect(Shape s1, Shape s2) { return (s1 as dynamic).intersect(s2 as dynamic)}

# 3.3.4 multiple dispatch

#### how

allow method to be bound based on dynamic type of argument, write Shape intersect(Shape@Ractangle r) statically type safe!

#### nau

performance overhead at runtime for method lookup extra requirements to ensure best method for all calls

## 3.4 multiple inheritance

often useful to reuse code from several superclasses good because it increases expressiveness and avoids delegation pattern but needs ambiguity resolution, may causes repeated inheritance, is complicated

#### 3.4.1 example

Person, Assistent, Student, PhDStudent

# 3.4.2 simulation in non-multiple inheritance

PhDS tudent extends Assistant implements Student Interface, aggregation + delegation with Student

#### 3.4.3 problems

#### ambiguities

superclasses may contain fields & method with same name  $\rightarrow$  choose which one in subclass

#### repeated inhertance (diamonds)

subclass may inherit from superclass multiple times  $\to$  how many copies of fields, how to initialize fields

## 3.4.4 ambiguity resolution

#### explicit selection

phdStudent.Assistant;;workLoad()

client resolves ambuguities  $\rightarrow$  but has to know implementation details!

#### merging methods

merge related inherited methods

usual rules for overriding apply  $\rightarrow$  behavioural subtyping, type rules

#### renaming

rename inherited methods if needed (for example not behavioural subtypes)

#### 3.4.5 repeated inheritance resolution

diamond style

superclass fields are initialized before subclass fields  $\to$  implemented by mandatory supercall in constructor

with virtual inheritance we need to decide who calls the parent constructor

#### 3.5 linearization

#### mixins and traits

methods and state can be mixed into various classes making thin interfaces thick stackable specializations

#### scala specials

trait Backup extends Cell{}

new Cell with Backup

traits can have fields, (overriding) methods traits extends one superclass, and 0 or many traits can be mixed in when declared or when initialized

traits are abstract types

#### thin and thick interfaces

traits can extend thin interfaces with additional methods allows very specific type with little syntactic overhead callme(p: ThinInterface with MyTrait)

#### ambiguity resolution

ambiguity is resolved by merging

subclass can still call superclass methods with super [Student].workLoad merging is not required due to linearization

## linearization

bring supertypes in linear order

1. linearize superclasses, 2. linearize traits

last trait specified is on top, so assistants workload overrides students' intialization order in the reverse linear order ("common sense"), arguments to supperclass are provided by preceeding class

supercalls & overriding concerns the next method in linearization order

#### stackable specializations

with traits (with linearization) specializations can be combined in different orders

with multiple inherited methods of specialized superclasses (diamond methods) are called twice

can reoder mixins so override order changes, change behaviour with complete code reuse  $\,$ 

## traits summary

very dynamic therefore static reasoning harded

don't know how superclasses are initialized

dont' know which method they override, and which method is called with super.()

order of mixed in traits same for type system; can assign objects with different trait order to each other

## linearization summary

fixed some ambiguities, intialization issues fixed

problems with resolving ambiguities between unrelated methods (because override depends on client)

behavioural subtyping cannot be checked modularly (because can mixing traits in different orders),

superclass initialization & call ambiguous

## 4 Types

#### 4.1 introduction

#### 4.1.1 motivation

mobile devices which only need to implement JVM, bytecode itself runs everywhere

#### 4.1.2 class loaders

load additional classes at runtime, programm can implement their own class loaders

#### 4.1.3 security for java

#### sandboxing

applets get access to systems resources through API, can implement access control

#### security preconditions

type safety, code stays inside sandbox

#### 4.2 bytcode verification

using java JVM as an example

#### 4.2.1 code may

not be typesafe, modify / destroy data, expose personal information, crash VM, try to  $\rm DoS$ 

guarantee minimum level of security (untrusted code, untrusted compiler with JVM)

#### 4.2.2 basics

stack based (most operations push/pop stuff from stack) contains register (to store method parameters & local variables) size / content fixed on calling method

#### 4.2.3 java bytecode

typed instructions (istore for integer, astore for references) load / store access registers control handled by conditional branch, goto

#### 4.2.4 proper execution

instructions must be type correct, only initialized variables can be read, no stack-overflow

guaranteed by bytecode verification and dynamic checks at runtime

## 4.2.5 bytecode verification BCV

simulates execution of the program, operations are performed on types rather than values

each possible instruction has type rules how stack/registers are modified if no transition can be found  $\rightarrow$  failure! example iadd (int.int.S,R)  $\rightarrow$  (int.S, R)

## 4.2.6 types of inference engine

T (top), then primitive types such as float, int, Object, then the subtypes of objects, null at the bottom uninitialized is T

## 4.2.7 branches in BCV

lead to joins in control flow

smallest common supertype SCS is selected

ignores interfaces because this could lead to multiple SCS (checked at runtime, interface types treated as object type) like static analyzer  $\,$ 

# 4.2.8 BCV with inference (algorithm)

create table; x = # of instruction, y = in(i), out(i); create worklist which contains all instructions numbers #

advance by always choosing lowest entry in worklist, then removing it from it

in (0) is ([], [paramters]) and out (0) is after applying the instruction 0 then in (1) = out(0), and out(1) is in (1) after applying instruction 1

#### do this for all q

successors(i)

# if in(q) has changed add q back to worklist

join out(i) with in(j) by choosing SCS for each stack / register entry

## advantages;

determine most general solution might be more general than compiler very little type info required in file

#### disadvantages

fixpoint computation is slow

interface handling is imprecise and needs additional runtime checks

## 4.2.9 BCV with type checking

since java 6 compiler places more info in .class file to speed up BCV type info required at beginning of basic blocks (between jump handlers, exception handlers)

so algorithm gets simpler & faster O(n), only has to check if variables are assignable to declared onces by compiler

if not declared do type inference like before

## 4.2.10 summary

enables secure mobile code

can be done by type inference or type inference some runtime checks necessary for interfaces

#### 4.2.11 manual bytecode verification

start with the tuple (S, R) where S is stack and R is register, ([], [(this type), ...])

iconst loads to S, istore does S  $\to$  R, iload does R  $\to$  S, if eq jumps if true & removes boolean from stack

merge when loops collide

at each block one may add static information from the compiler to avoid fixpoint computations

interfaces are cast to object with runtime check

bytecode is rejected if stack size is not same for all entry points type of variable can change in register, stack, but commands must be correctly type (iload vs aload)

# 4.2.12 type inference

infert type to reduce annotation overhead determines static type automatically then performs static checking

#### 4.3 parametric polymorphism

parametrize classes with types

can be checked once at compile time without knowing the concrete instantiations (modularity)

specify upper bounds on type contraints

covariant type parameters unsafe because of write

contravariant type parameters unsafe because of read

#### non-variant generics

statically type safe, but not so expressive

# covariant generics

can assign P < A > p = new P < B > () for B more specific than A

# ${\bf contravariant\ generics}$

can assign P < B > p = new P < A > () for B more specific than A to allow; need to check return types, and field reads at runtime

# additional type parameters

introduce additional type parameters (like PersonComparator example) bad because exposes implementation details, inconvenient for client cannot be changed at runtime

#### wildcards

represents unknown type

interpretation as existential type

subtyping is possible if the set o possible instantiations of one type is stricly inside the set for the other type  ${\rm T2}$ 

# 5 Information Hiding and Encapsulation

# 5.1 Information hiding

## definition

technique for reducing the dependencies between modules

the client is provided with all the information needed to use the module correctly

the client uses only the (publicly) available information

## objectives

establish strict interfaces

hide implementation details

reduce dependencies between modules (understand classes in isolation, only simple interactions)

## client interface

class name

type parameters with bounds

super-class and super-interfaces

signatures of exported methods and fields interface of direct super class

#### subclass interface

access to superclass fields

access to auxiliary superclass methods (protected)

#### friend interface

mutual access to implementations of cooperating classes hiding auxiliary classes  $\,$ 

#### safe changes

renaming of hidden elements

modification of hidden functionality as long as exported is preserved use access modifiers to know which clients are affected observable behaviour cannot change (don't expose fields, fragile baseclass problem)

## 5.2 Encapsulation

#### definition

technique for structuring the state space of executed programs. guarantee data and structural consistency by establishing capsules with clearly defined interfaces

behaves according to specification in any context it is reused, and disallows reuse

#### levels of encapsulation

individual objects, object structures, class (with all objects), classes in subtype hierarchy, package

encapsulation requires definition of boundary of capsule and interface of said capsule

#### consistency of objects

objects have external interface and internal representation representation can only be manipulated by using the interface break consistency with bad information hiding, or breaking behavioural subtyping (overriding fragile methods)

#### how to archieve consistency

apply information hiding

use contracts or informal documentation to express invariants check interfaces that all invariants are preserved

#### check for invariants

for each method check that all exported methods preserve invariants of receiver object (may have to generalize to "for all objects of type T" because private is not "this" private)

for all constructors that they establish the invariants

## 6 object structures

#### 6.1 definitions

## object structures

set of objects that are connected via references

# 6.2 aliasing

name that has been assumed temporarely (several variables refer to same memory, can lead to unexpected side effects)

# 6.2.1 object is aliased

if two or more variabes hold reference to object

# 6.2.2 static aliasing

if all involved variables are fields of objects or static fields (in heap memory)

## 6.2.3 dynamic aliasing

if not static (includes the stack variables)

#### 6.2.4 intended aliasing

# for efficiency

makes OO efficient, data structures not copied

#### for sharing

to make changes to state effective

#### 6.2.5 unintended aliasing

## capturing

when passing object to data structure and it stores it

 $\rightarrow$  can be used to by-pass interface

#### leaking

when data structure passes reference of object which is supposed to be internal

 $\rightarrow$  can be used to by-pass interface

#### 6.3 problems of aliasing

#### observations

object structures do not provide encapsulation aliases can be used to bypass interface

## consistency of object structures

depends in fields of several objects

making all fields private is not enough (array aliasing for example)

#### more problems

synchronization in concurrent programs (each individual objects has to be locked)

distributed programming (parameter passing for remote method invocation)

optimizations (cannot inline objects if aliasing is used)

#### alias control example

LinkedList of java

Entry contains the value, is private inner class, references are not passed out, subclasses cannot leak or manipulate Entries

ListItr allows to iterate, is private inner class, passed out, but no one can manipulate or leak ListItr

subclassing restricted

#### 6.4 readonly types

aliasing useful to share side-effect, but restrict access often useful  $\rightarrow$  make readonly

## requirements

mutable objects (some can modify, some cannot)

prevent field updates, calls of mutating methods

transitivity (references to restricted objects are restricted too)

#### using supertype

"implement" ReadonlyInterface, then pass around object as this ReadonlyInterface

limtied because subclasses can use non-readonly stuff, interfaces do not support arrays & fields & non-public methods

not safe because client can simply cast to not readonly, no checks that methods are side-effect free

## pure methods

add keyword pure to side-effect free method

must not contain field update, not invoke non-pure methods, not create new objects, must be overridden by pure methods

#### types

each class T introduces readwrite T (denoted by T) and readonly T (denoted by readonly T)

rw is default

subtyping as usual, and rw T <; ro T

transtivite readonly; if something is returned by ro T it must be ro too, generally as restrictive as possible

 ${\bf ro}$  types must not be accessor of field updates, array updates, non-pure method calls

ro types cannot be cast to rw types

 $\rightarrow$  can be checked statically from compiler

# 6.5 ownership types

#### 6.5.1 roles in object structure

interface objects which are used to access the object structure internal representation of the object structure which must not be learked arguments of the object structure which must not be modified

## 6.5.2 ownership model

object has zero or one owner objects

context is the set of objects which have the same owner owner relation is ascyclic

heap structured in those ownership trees

## 6.5.3 types

#### peer

objects in same context as this object (LinkedListEntry peer nextEntry, objects owner is owner of this)

#### cep

representation objects in the context owned by this (LinkedList rep header,

objects owner is this)

argument objects in any context (LinkedListEntry any content, objects owner is arbitrary) can not safely write

#### type safety

runtime info consist of type T and of ownership type

#### type invariant

static ownership information reflects the run-time owner of said object

#### subtyping

identical ownership information same subclassing as always, else there is rep T <; any T and peer T <; any T

#### viewport adapation

denoted with a |> b = c, means a combined with b equal type c v = e.f (field read, result passing) expressed as  $T_e > T_f <$ ;  $T_v$ e.f = v (field write, argument passing) expressed as T\_e |> T\_f ;> T\_v

internal modifier

fixed but unknown ownership bc some ownership information cannot be

more specific than any, less specific than peer, rep for example when accessing rep properties of peer ojects peerObject.repProperty  $\rightarrow$  we can't safely change this property can not assign to lost, as it means it is peer or rep  $\rightarrow$  can not safely assign, therefore already prohibited by type system

internal modifier

only valid when using this.my Property  $\rightarrow$  compiler figues this out does not modify the viewpoint more specific than peer

#### keywords

on all fields of objects (so not structs) not on constructors, but all other methods which do not return void on all method arguments which are not structs when constructing objects, can put rep (to be owner), peer (because we know owner)

## 6.5.4 viewport adaptation

draw a picture with bubble stuff

## resolving types

go from left to right

? combined with any  $\rightarrow$  always gets any ? combined with rep  $\rightarrow$  always gets lost peer combined with peer  $\rightarrow$  peer

rep combined with peer  $\rightarrow$  rep

any combined with peer  $\rightarrow$  lost

lost combined with peer  $\rightarrow$  lost

# 6.5.5 owner as modifier principle

# based on ownership, define r/w or readonly

any, lost are readonly

self, peer, rep are read/write

can only write to field if target is self, rep, peer

can only call non-pure method if to-be-called object is self, rep, peer methods may only modify objects directly, indirectly owned by this object

#### archievements

enables encapsulation of whole object structures cannot violate encapsulation by casting fully supports subclassing

accidential capturing prevented

controls side-effects (maintain invariants)

## non-archievements

leaking still possible

no restrictions of read access!

## 6.5.6 consistency of object structures

depends on fields of several objects

invariants specified on objects which represent interface of structure

## 6.5.7 invariants of object structure

depends on encpasulated and owned fields interfaces have full control over rep objects

#### 7 Initialization

#### 7.1 simple non-null types

#### main usages of null

initialization to null indicate absence of data

#### non-null types

write type! for non-null write type? for possible null goal is to prevent null-dereferencing at compile time type! <; type?

#### data-flow analysis

know the possible set of values at any point. Use the resulting graph to know where an assigned value might propagate.

does not track heap locations (does not follow function calls; can set stuff to null in method call)

may not work in concurrent programs

#### 7.2 object initialization

#### 7.2.1 idea

make sure non-null fields are initialized after constructor finishes apply definitive assignment rule to fields (local variable must be assigned before used)

#### 7.2.2problems

method calls (dynamically bound methods can cause null exception) callbacks (implicit call to parent constructor) escaping via method / field calls (race conditions)

#### 7.2.3 only safe if

partly initialized objects do not escape not passed as receiver or argument to method call not stored in a field or array

#### 7.2.4 general guidelines

don't call dynamically bound methods don't let new object escape constructor be aware of sub-class constructors

#### 7.2.5 construction types

those which finished construction

type system tracks which types are under construction guarantees that if static type of expression is non-null type, then at runtime its value is non-null introduce different type of references for obejcts under construction and

## types

T! and T? for committed types free T! and free T? for free types unc T! and unc T? for unclassified types

## subtyping

unc T ;> T unc T ;> free T can not cast from T! to T? can not cast from unc to free or committed

## requirements

local initialization (all non-null fields have non-null values) transitive initialization (if all reachable objects are locally initialized) cyclic structures must be possible (assign free type of fields in constructor) if type of expression is committed then value at run time is transitively initialized

# field write (e1.f = e2)

el type is free or e2 is committed can not assign free, unc to unc

# field read (e.f)

e must be non null

if e is free or unc then read value is of type unc only if e is committed, read value is also committed

## constructors

this is of type free in whole constructor

this is of type committed after termination of constructor

can access methods with keyword free

can declare constructor argument to be unc (to be as permissive as

when passing free references resulting object will be free (for cyclic structures)

when passing committed references resulting objects will be committed

#### lazy intialization

still works by keeping T? inside class, but returning T!

#### arravs

syntax is T![]? (possibly null array with non-null elements T) compiler can not check definite assignment can solve with default initializers, or run-time checks (NotNullType.Assert(myArray))

#### 7.3 initialization of global data

#### design goals

effectivness; initialized before access clarity; clean semantics lazyness; only initialize what is needed

#### global vars & init methods

variabes are globally accessible

initialized by explicit call to init method

but main() needs to know structure of application to do this order needs to be coded manually, compromises information hiding

#### static fields & initializers

static initializers are executed immediately before sytem uses it may have arbitrary side effects

reasoning about programs is non-modular

can read unitialized fields in cyclic structures, side effects possible, more or less lazy

#### once methods

executed only once, result is cached recursive calls simply use current value of Result as return only arguments of first call evaluated very lazy, needs to keep track of already executed methods

#### 8 Reflection

#### 8.1 reflection

a program can observe and modify its own structure and behaviour

#### introspection

class objects contains methods, class hierchy, fields, ...

field read has run-time checks which does type checking and accessibility

new instance has run-time checks which looks for class, parameterless constructor, and checks accessibility

can do double invocation with flexible lookup, not statically safe, slower, but simpler code

## reflective code generation

generate code dynamically, typecheck it at tun-time can build expression trees in c# for SQL queries

## dynamic code manipulation

modify existing code replace methods at runtime

## applications

flexible architectures object serialization persistance design patterns dynamic code generation

#### drawbacks

not statically safe may compromise information hiding code gets more complex performance issues

# 9 Language Specifics

#### 9.1 C

# inheritance simulation

use structs; copy fields & methods from base class need casts for code reuse

all fields / methods must be in the same order (same memory layout)

 $\rightarrow$  but then can reuse code from base struct

#### 9.2 java

#### OO approach uses

Inheritance (to avoid code duplication)
Subtyping (to express classification)
Overriding (to specialize methods)
Dynamic binding (to adapt reused algorithms)

#### OO specialities

allows covariant return types, but not contravariant parameters (this always results in overloading, which is resolved statically) methods are virtual by default (dynamic binding, contrary to c#) can declare methods as final so they can't be overridden (static binding) covariant arrays (more specific)  $\rightarrow$  runtime check on update needed marks some interface methods as optional, allows to throw notimplemented exception  $\rightarrow$  static safety lost calls most specific in WHOLE class hierarchy (contrary to C#)

calls most specific in WHOLE class hierarchy (contrary to C#) can cast any object to any interface  $\rightarrow$  only at runtime its checked if it holds

interfaces can contain default method implementations (multiple inheritance light, as no issues with field initializations arise) allows for covariant result types when overridding methods allows for covariant arrays (S <; T implies S[] <; T[]) therefore each array update requires run-time check

#### security model

applets get access to system resources only through java API access control implemented by such API code is prevented from bypassing API

#### bytecode principals

each method gets own stack frame (with stack which gets executed, and paramater store), share memory  $\,$ 

three times verification (compile, load, runtime)

the type of variable in stack at load verification can change (x=1, x=true would be valid in bytecode verification)

the stack size must be equal if multiple possible sources are (for example when using gotos)  $\,$ 

start with ([],[E,T,T,T,..]) at first step; where E is type of class ("this") and T are all local variables

#### why java runtime checks

by tecode cannot check interface methods (but casts to type object) casts 

co-variant arrays

## static vs dynamic stuff

overloading is resolved at compile time statically (like which method signature to call)

overriding is resolved at run time dynamically (like which method with the determined signature should be called)

# access modifiers

public; every class can access protected; only subclasses & classes in same package can access default; only classes in same package can access private; only this class can access the element

# parametric polymorphism

invariant type parameters arrays covariant, need runtime checks java generics are undecidable, and turing complete

# get-put principle

extends when only get from structure super when only write to structure no wildcard when write and read from structure

#### wildcards

can define wildcard as type parameter?
upper bounds with <? extends Person>
lower bounds with <? super Student>

can decide at client side! Cell<? extends B> cell = new Cell<C>() or Cell<? super B> cell = new Cell<A>()

cannot specify lower bounds for type arguments (<S super P> invalid, but <? super P> valid)

instantiation of wildcards can change at runtime

type stored in wildcard can change over time, in contrary as if specified fixed type T

typechecker figures out instantiation of type which satisfies all contraints

## generics examples

<S extends Person> int noWildCard(Cell<S>[] type)
int wildCard(Cell<? extends Person>[] type)
<T> addToList(T obj, List<? super T> list)
concat(List<? extends T> from, List<? super T> to)

#### type erasure

java introduced generics late; did not want to break backwards compatability

generic type infos is erased by the compiler

C<T> replaced by C, T replaced by upper bound (specified by extends clause, or object), casts added where necessary

missing runtime information (instanceof generics fail, class object of generic not available, array of generic types not allowed)

missing runtime checks (can cast Cell<Int> passed into Cell<?> to Cell<String>, will fail at runtime when accessing cell.value)

static fields are shared by all generic class instantiations

## information hiding

public (client interface), protected (subclass + friend interface), default (friend interface), private (implementation)

## method selection

determine static declaration

check accessibility

determine invocation mode (virtual vs non-virtual)

#### bugs with method selection

JLS1 has bug where default is overridden by public but not in same package

JLS2 has bug with protected overriden by protected from different package

#### object consistency

when declaring a field as private other instances of same class can access it

#### initialization

can have static {} initializers,

executed immediately before use, only once (like scala linerization)

#### 9.3 scala

objects are subtypes from all their parents and their traits traits must extend an object or another trait (write "trait T extends Person")

you can only mix in traits to classes which are suptype of this supertype  $\rightarrow$  because of linearization

#### linearlization

linerarize superclasses

linearize traits (from left to right)

determines who is called when calling super class!

#### generics

variance annotations (+ for only out as return types and immutable fields,

for only in as parameters)+ is covariant (more specific), - is contravariant

can write P1[T<;A], P2[+T]

can asign P[A] = new P[B] for class P[+T]

## example resolving

class B extends A {}

class Q[-X] (can assign to Q[T] when LOWER in the hierarchy)

Q[B] = new Q[A] is valid

Q[A] = new Q[B] is invalid

#### initialization

provides language support for singletons with the object keyword uses java static initializers

#### 9.4 C#

## OO specialities

methods are statically bound by default (all method calls are resolved at compile time, contrary to java)

cam mark methods as virtual (dynamic binding)

covariant arrays (more specific)  $\rightarrow$  runtime check on update needed selects most specific method FROM STATIC OBJECT, not base or dynamic (contrary to java)

has a nonymous types { prop = 108 }, and var similar to auto keyword in  $\mathrm{C}{++}$ 

has NO covariant return types

allows for covariant arrays (S <; T implies S[] <; T[]) therefore each array update requires run-time check

#### correctness

does some static, some dynamic checking

#### var

type inference

can replace var with object except when using anonymous types

#### dynamic

is a special kind of type

allows the resolving of methods at runtime (cast variables to dynamic) can replace dynamic with object except when applying special kind of functions (for example +)

#### virtual

mark methods with virtual to make them overriable in subclasses

#### override

mark methods as override if they override a as virtual marked method this is the "fragile baseclass problem", if the parent calls this method he does not know if he will get his won implementation or the one of a subtype

#### new

use the new keyword with method declaration to "override" methods not marked as virtual  $\,$ 

if the parent now call this method he will get his OWN implementation, not the "overridden" one

#### generics

invariant type parameters

arrays covariant, need runtime checks

#### parameteric geenrics

out when only get/read in when only write

## 9.5 Eiffel

#### inheritance

narrowing interfaces permitted (specializing field types, changing existence of methods, override with covariant parameter types)  $\,$ 

covariant overriding needs nullable type, at runtime passes null allows more specific parameters which leads to run-time exception, or setting to null

does not enforce call to superclass constructor

#### correctness

does dynamic checking

#### multiple inheritance

class PhDStudent inherit Student rename test as takeExam Assistant single copy of fields of superclasses per default (can be changed with renaming)

need to explicitly select diamond methods

only one copy of diamond fields by default  $\rightarrow$  multiple if they were renamed if subclasses superclass contructor this constructor is called multiple times (different arguments, side-effect, ...)

### generics

covariant type parameters, need runtime checks

# information hiding

client clause at feature

feature { ANY } (client interface), feature { T } (friend interface), feature { NONE } (implementation only, this object only)

all exports to subclasses

## readonly

readonly fields can contain only getter, will only be modifyable from this object

command-query seperation (but queries are not actually checked to be side-effect free)  $\,$ 

#### 9.6 C++

# OO specialities

chooses most specific method in static type; includes base classes in search (like Java does)  $\,$ 

can choose specific implementation by calling B;;get

has private inheritance (no subtyping but code import)

default is non-virtual inheritance (so all fields duplicated) because its faster

#### multiple inheritance

diamond fields are duplicated by default, can use virtual (then only once) smallest (highest) subclass needs to call constructor of virtual superclass, constructors of diamond edges not performed

"public virtual X" implies that if other classes have "virtual X" in hierarchy then X is created only once

#### templates

allow class & methods to be parametrized

template<class T> class Queue { T elem; }

compiler does not type statically check code with generic argument (like int in new Queue<int>); but breaks at runtime if used methods are not available (structural!)

no need for upper bounds as no checking occurrs run-time types correspond to generated classes

can do template turing complete meta-programming, compiler which calculates specific values, specialize template with Queue <2> for base case

#### const

you can mark pointers as const (with const \*) or variables (const myVal) all methods to be called from const stuff must also be marked as const, those methods can only modify fields declared as mutable use const pointers (no field updates, no mutator calls, actually checks for no side effects)

one can cast away const simply by casting to non-const pointer const not transitive  $\,$ 

#### initialization

can initialize globals with new() statement, order undefined

#### 10 Comments

## todo

why not any in last Task can I assign unc to unc?

# gotcha's from exercises

if parameter type is "static" it is generally unsafe because at runtime we don't know the dynamic type of the parameter is subtype of the object (Task 1, Ex3)

C++ marry with himself example works, because B \*b is different address than C \*c