# Applied Cryptography

50986 characters in 8526 words on 1299 lines

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## 1 Introduction

#### 1.1 notation

\$ (choose random)  $\pi$  (random permutation  $G \to G$ )

## 1.2 secure communication model (informal)

for key K, plaintext p, ciphertext c c = enc(p, K), p = dec(c, K)

## passive adversary

learns all messages exchanged (like c's) might know context of communication but does not know key

### 1.3 kerckhoffs principles

unbreakable (theoretically or practically) compromise of system details should not decrease security key memorable without notes key easily changed ciphertext transmissible by telegram encryption apparatus portable & operable by single person easy (few rules, no mental strain)

## modern interpretation

security should relay on key only specifically, not on system secrecy

## "security through obscurity"

systems can and will be reverse engineered (if effort < payoff) hence system should be secure even if specification known open specification encourages review & analysis

# 1.4 shannon's principles

generally accepted design principles for practical ciphers

## confusion

ciphertext statistics depends on plaintext statistics which is too complicated to be exploited by the cryptanalyst

## diffusion

each digit of the plaintext and each digit of the secret key should influence many digits of the ciphertext

## 1.5 definitions

# concrete security

specific about resources of adversary (#queries, time)  $\rightarrow$  for specific key length, #queries, #times, get specific security

# asymptotic security

define everything in relation to "security parameter  $1^{n}$ " then argue secure if n bigger than some  $n_0$ 

## super-poly set

for  $1^n$  the security parameter set grows larger than polynomial (like  $2^n$ )

# 1.6 reduction proofs

show hardness-assumption  $\Rightarrow$  scheme-security (like PRF  $\Rightarrow$  S secure) transform to not scheme-security  $\Rightarrow$  not hardness assumption

## game

game
assume attacker A breaking scheme S exists
challenger C for hardness assumption exists
define attacker B using A outputs/queries to answer C correctly
B has to simulate perfect environment for A
so A mistakes B with the scheme S challenger

#### runtime

keep track of queries / other resources consumed by B

#### overall argumentation

constrain B's success probabilities by calculated probabilities like in edge cases using difference lemma etc or other attackers we assume win only with low probability like Adv(A) < Adv(B); as B breaks hardness assumption Adv(B) negligible

#### argue =

let B simulate environment of A  $Adv(A) = |Pr[b_d'=0|b_{d=0}] - Pr[b_d'=0|b_{d=1}]| = |p_0' - p_1'| Adv(B) = |Pr[b'=0|b=0] - Pr[b'=0|b=1]|$  It follows from the construction that  $p_0 = p_0'$  and  $p_1 = p_1'$  therefore Adv(B) = Adv(A)

#### argue >

let B simulate environment of A It follows from the construction that B wins at least whenever A wins therefore  $Adv(B) \ge Adv(A)$ 

## 1.7 game hoping proofs

start with desired attack game define altered attack games, until advantage definition possible show that difference between games is negligible

# show single game difference negligible

let adversary attack two different games let advantage of attacker be equal to win difference of the games

## overall argumentation

ensure probability of last game is given / calculatable do telescoping sum to include the other games with success  $q_i$  then argue size of each  $q_i$  -  $\mathbf{q}_{i+1}$  is small

## 1.8 nonce-based cryptography

nonce is number-used-once need only be unique (neither randomness nor secrecy required) guarantees vanish if uniqueness violated

## implementation options

stateful counter (but hard to synchronize state)
randomized (but birthday attack)
transmit explicitly or implicitly (=inferable from counters)

## 2 probability analysis

# 2.1 exhaustive key search

for each candidate K, test if pair 1 is valid with surviving keys, check pair 2, then pair 3,  $\dots$ 

## statistical analysis

model encryption  $E_K$  as independent random permutation for each K hence  $\Pr_K(C_1 = \operatorname{E}_K(P_1)) = 2^{-n}$  ( $P_1$  mapped to some entry in n) for k keys,  $2^k * 2^{-n} = 2^{k-n}$  survive for t pairs,  $2^{k-tn}$  survive hence choose t such that  $\Pr(\operatorname{survive}) << 1$ 

# complexity

data complexity small (few pairs needed) computational complexity high, dominated by first step need t=1  $2^k$  \* #pairs tries, afterwards only surviving keys

## practicality

works with ciphertext-only attacks if plaintexts are meaningful meaningful = can decide if plaintext makes sense exhaustive search is embarrassingly parallelizable

## 2.2 birthday attack analysis

sample t times from set with s object all sampled different = 1 \* (s-1)/s \* ... \* (s-t+1)/s

## collision probability

 $\begin{array}{l} 1 - (1\text{-}1/\text{s})*(1\text{-}2/\text{s})*...*(1\text{-}(\text{t-}1)/\text{s}) \text{ (bc - all sampled different)} \\ = 1 - \exp(\log((1\text{-}1/\text{s})*(1\text{-}2/\text{s})*...)) \text{ (bc } \exp(\log(x)) = x) \\ = 1 - \exp(\sup(\log((1\text{-}j/\text{s})) \text{ (bc } \log(\operatorname{product}(x)) = \sup(\log(x))) \\ = 1 - \exp(-\sup(j/\text{s})) \text{ (bc } \log(1\text{-}x) = \text{$\tilde{}$x for small $x$}) \\ = 1 - \exp(-t*(t\text{-}1)/2\text{s}) \text{ (bc sum of integers)} \\ > 1 - \exp(-t^2/2\text{s}) \text{ (simplify)} \end{array}$ 

#### evaluations

for t  $\sim s^{0.5} \Rightarrow 0.39$ for t  $\sim 1.17 * s^{0.5} \Rightarrow 0.5$ for t  $\sim 3.03 * s^{0.5} \Rightarrow 0.99$ 

# example for $2^{64}$ length

low for  $2^{30}$  raises very rapidly at  $2^{32}$  almost 1 from  $2^{34}$  until end

## hash function implications

n-bit hash function offers only n/2 bits of security (hence produce collision with  $2^{n/2}$  operations + memory) so need 256 bit outputs for 128 bit security level

# 3 one-time pads / perfect security

## 3.1 perfect security

Pr[P=p|C=c] = Pr[P=p]hence posterior of some P, given ciphertext C equals prior probability of P

#### 3.2 caesars cipher

key determines letter forward shift with wrap-around if key = 2 then A  $\Rightarrow$  C, Z  $\Rightarrow$  B, ...  $c_i$  =  $p_i$  + K mod 26

#### history

used by the romans

## security

26 possible keys (with K=0 that does not hide plain) leaks length (word length, text length)

# frequency analysis

analyse letter frequency and assign key probability like E which is more frequent than T

# 3.3 vigenere cipher

letters of key determine alternating forward shift of letter like multi-key caesars

if key = (1,2) then AAA...  $\Rightarrow$  BCB...  $c_i = p_i + K_j \mod 26$  for j = i mod |K|

## history

believed unbreakable for 300+ years

## security

 $26^t$  possible keys leaks length (word length, text length)

## frequency analysis

for known key length, works same as for caesars but harder, as less text available for unknown key length use statistical analysis by kasiski

# determine key length

find repeated group of letters

happens when same word is encrypted with same offset then take lowest common multiplier of all occurrences

## 3.4 one-time-pad

each letter forward shifted as letter at same position in key  $c_i = p_i + K_i \mod 26$ ,  $p_i = c_i - K_i \mod 26$  for bits, simply use XOR instead of - and + requires  $|\mathbf{K}| \geq |\mathbf{P}|$  (impractical) essentially what all practical schemes try to approximate

## security

 $26^t$  possible keys

leaks length (word length, text length) perfect secrecy if K is uniform random and used only once

## example

for 7-letter one-time pad & P = {big cats} all possible responses (cheetah, panther) equally likely

## disadvantages

K needs to be as long as message (key space  $\geq$  message space) K needs to be transmitted to receiver (key management problem) K used more than once breaks scheme (XOR ciphertexts together)

## reusing key attack by NSA

russian agents reused keys when run out of fresh key NSA used statistical analysis to decrypt plaintexts  $\Rightarrow$  attackers can store ciphertexts for decades!

## 4 block ciphers

encrypt / decrypt blocks (for example n=64) use AES as a rule of thumb

## 4.1 applications

construction of other block ciphers (like triple DES) encryption schemes hash functions stream ciphers message authentication codes pseudorandom bit generators

## 4.2 perfect security (computational version)

c leaks nothing about p (except previously known) computationally infeasible to calculate anything useful about p from c

#### semantic security

for effective A given encryptions of p of its choice any of A's output can be simulated by S for S only access to length of c (but not c itself)

## IND-CPA security

for effective A given an encryption c of either  $p_1$  or  $p_2$  of its choice A is unable to distinguish which was encrypted for  $|\mathbf{p}_1| = |\mathbf{p}_2|$ 

## 4.3 block ciphers

## definition

for key length k, block size n defines two sets of efficiently computable permutations  $E_K: \{0,1\}^n \to \{0,1\}^n, D_K: \{0,1\}^n \to \{0,1\}^n$  such that  $D_K$  is an inverse of  $E_K$  for all  $K \in \{0,1\}^k$ 

## alternative definition

let E:  $K \times X \rightarrow Y$  be block cipher if (1) X = Y

(2) for all  $K \in K$ ,  $E_K: X \to X$  is efficiently computable permutation on X

# 4.4 attacker capabilities

## known plaintext attack (KPA)

when adversary observes many (p,c) like attacker-known IPsec parts (TCP, UDP packet fields)

## chosen plaintext attack (CPA)

when adversary chooses many p's and is given c's like attacker-supplied js encrypting content over TLS

# chosen ciphertext attack (CCA)

when adversary chooses many c's and is given p's like attacker-supplied IPsec packages to produce ICMP errors

## 4.5 generic attacks

for block cipher to be considered secure, generic attacks must be best

# exhaustive key search for key extraction

attacks run under same fixed key K adversary tries to obtain said key K adversary capabilities determine strength of notion no faster method than exhaustive search must be possible

# application with alternative targets

might need additional security goals (besides key extractions)

using related keys (motivating related key attacks) like both K and K XOR R as used as keys using as key derivator (requires pseudorandom outputs) like  $K_1 = \mathcal{E}_K(nonce_1)$  and  $K_2 = \mathcal{E}_K(nonce_2)$  using as perfect security building block (requires provable randomness) like (q, t,  $\epsilon$ )-security

## randomness

key spaces only motivates  $2^k$  permutations much smaller than possible  $(2^n)!$  possible permutations prove "enough" randomness  $\Rightarrow$  PRP might still fulfil randomness security

## 4.6 pseudo-random permutation (PRP) security

 $\begin{aligned} \mathbf{b} &\leftarrow \$\{0,1\}, \ \mathbf{K} \leftarrow \$\{0,1\}^k \ \text{and} \ \pi \leftarrow \$\text{Perms}[\{0,1\}^n] \\ \text{efficient adversary queries oracle with} \ x_i \\ \text{if} \ \mathbf{b} &= 0, \ \text{then oracle responds with} \ \mathbf{E}_K(x_i) \\ \text{else oracle responds with} \ \pi(x_i) \\ \text{adversary decides on } \mathbf{b}' &= \{0,1\} \\ \text{Adv}_E^{PRP}(\mathbf{A}) &:= 2 \ |\text{Pr}[\mathbf{b}' = \mathbf{b}] - 0.5| \end{aligned}$ 

## Game PRP(A, E)

 $\begin{aligned} \mathbf{b} &\leftarrow \$\{0,1\} \\ \mathbf{K} &\leftarrow \$\{0,1\}^k \\ \pi &\leftarrow \$\mathrm{Perms}[\{0,1\}^n] \\ \mathbf{b}' &\leftarrow \mathbf{A}^{F_n}() \\ \mathrm{Return} \ \mathbf{b}' &= \mathbf{b} \end{aligned}$ 

Oracle  $F_{N(x)}$ If  $\mathbf{b} = 0$  then  $\mathbf{y} \leftarrow \mathbf{E}_K(\mathbf{x})$ Else  $\mathbf{y} \leftarrow \pi(\mathbf{x})$ Return  $\mathbf{y}$ 

#### strong PRP

if adversary gets access to decryption oracle hence oracle using  $D_K(x)$  and  $\pi^{-1}(x)$ 

# $(q, t, \epsilon)$ -secure as a PRP

if for all adversary A under #time < t, #query < q advantage  $Adv_E^{PRP}(A) = 2 * |Pr[Game PRP(A, E) \Rightarrow true] - 1/2|$ 

#### notes

definition only for uniform random K, b,  $\pi$  can sample  $\pi$  "as we go" -0.5 to measure advantage to random sampling \* 2 to normalize result to range 0-1

## lazy sampling in oracles

use lazy sampling to avoid having to define full PRP beforehand receive  $x_i$  if  $x_j$  exists for j < i, respond same as in round j

else pick  $y_i \leftarrow \$\{0,1\}^n$ 

if PRP, then ensure  $y_i$  does not equal any previous values

## 4.7 choosing a block cipher

# standards

NIST (US), NESSIE (EU), Cryptrec (JP) also russian, chinese standards

# ${\bf relevant\ factors}$

key size (as primary security indicator) block size (as secondary security indicator)

cryptoanalysis results

standardisation / support in crypto libraries

implementation cost (code/state size)

runtime cost (energy, throughput, , hardware support)

key agility (easy of changing keys)

hardware support (CPU instructions)

implementation security (side channel attacks,  $\ldots)$ 

## constrained environments

implementation/runtime cost more relevant want to reduce number of transitions (GE) or at least reuse these specialized block ciphers need only <1000 GEs (vs >3500 AES GE)

# 4.8 block cipher constructions

iterate multiple times over simpler, keyed-round function round keys determined by key schedule (seeded by actual cipher key) more rounds = stronger algorithms (tradeoff speed & security)

## feistel cipher

split block into two halves

apply function to single half, XOR with other then switch halves and continue decryption & encryption can use same circuits / code like DES

# substitution-permutation (SP) network

first perform substitution on bits ("confusion") then permute / diffuse ("diffusion") confusion increases complexity (relation plain/cipher) diffusion to make each bit dependent on each other bit directly follows shannons's principles like AES

#### 4.9 DES

exists since more than 40 years, unbroken constructed together with NSA, which strengthened design inspired new cryptoanalysis techniques (like linear / differential attacks)

#### concept

feistel cipher operating on right half  $(L_0 \mid\mid R_0) = p$   $L_1 = R_0, R_1 = L_0 \text{ XOR } f(R_0, K)$ 

#### function :

expands from 32 bits to 48bits (by repeating some bits) XOR with round key K substitutes using 8 S-boxes (each mapping 6 to 4 bits) permutes the 32 bits

## architecture

initial permutation IP applied feistel cipher for 16 rounds no swap after last round (enables Enc = Dec code)  $IP^{-1}$  is applied

#### security

too small keys (k=56) hence exhaustive key search possible too small block size (64bits) like sweet32 attack

## exhaustive key search

software-only still 100 years bruteforcing but can use FPGA (few 1000\$) to speed up professional services available like crash.sh

## ${\bf improvements}$

double DES not useful ("meet-in-the-middle" attacks) triple-DES  $(E_{K_1},\,D_{K_2},\,E_{K_3})$  in use, but quite slow EDE and  $EDE_2$  variants exist (the latter sets  $K_3=K_1)$ 

## 4.10 AES

competition for block cipher to replace DES 1998 requirement to be faster & securer as two-key triple DES rijndael by belgiens won, pronounced "AES" in 2001 128bits blocks, 128bits keysize (192, 256 also defined) NSA approved 128bits for secret, 192 for top secret

# ${\it cryptoanalysis}$

AES subject to intense analysis (during competition & after) related key attacks (but impractical) reduced-round attacks (but >6 of the 10 rounds not anymore) key recovery attacks (but requires a  $2^{126}$  workload)

# side channels

AES needs key-dependent table lookups remote server & 200M plaintexts by bernstein (2005) local cache-timing & 800 plaintexts by shamir (2005)

## round

state described by 16 bytes SubBytes substitutes with 8-to-8-bit S-boxes ShiftRows shifts row 0 by 0 places, row 1 by 1 places, ... MixColumns multiplies by matrix M (diffusion) XOR with round key bytes

## architecture

initial AddRoundKey (slow; so rekeying painful) 10/12/14 rounds for 128/192/256-bit keys skip MixColumns in last round

## summar

elegant design, good performance (hardware & software) widely deployed, specialized hardware no significant direct attacks

## symmetric encryption

#### symmetric encryption 5.1

for  $m \in M \subset \{0,1\}^*,\, c \in C \subset \{0,1\}^*,\, K = \{0,1\}^k$   $KGen() \to K,\, Enc(K,\, m) \to c,\, Dec(K,\, c) \to m | error$ require (perfect) correctness (for all K ( $Dec_K(Enc_K(m)) = m$ )

Enc non-deterministic, Dec deterministic M might be restricted to some maximal length, M =  $\{0,1\}^{<=L}$ want to minimize  $c-p \ge 0$  (difference through prepending IV, etc)

## 5.2 modes of operations

to encrypt long messages / continuous stream with block cipher determine how blocks are composed together may need pad messages to fill up multiples of block size

## 5.2.1 electronic code block (ECB)

encrypt block for block easily parallelizable messages need to be padded

#### security

single bit-error in  $c_i \Rightarrow p_i$  garbage but deterministic (given plain always encrypts to same cipher) hence leaks information about plaintext structure

## 5.2.2 cipher block chaining (CBC)

XOR plain with previous cipher (or IV) before encryption IV needs to be uniform-random; sent in plain to decrypter no parallelization messages need to be padded

#### security

single bit-error in  $c_i \Rightarrow p_i$  garbage,  $p_{i+1}$  same bit-error IV bit errors  $\rightarrow p_1$  same bit-errors

## ciphertext-block collisions

if  $c_i$  and  $c_j$ , then  $p_i$  XOR  $c_{i-1} = p_j$  XOR  $c_{j-1}$ becomes possible due to birthday attack at  $2^{n/2}$ 

## 5.2.3 counter mode (CTR)

XOR plain with encrypted counter, increment in mod  $2^n$  for next round counter can be freely chosen; sent in plain to decrypter parallelizable

no padding needed (can even truncate last block) effectively a stream cipher (but dedicated stream designs faster)

single bit-error in  $c_i \Rightarrow p_i$  same bit-error if counters repeat, then XOR plains = XOR ciphers provably secure with game hopping (PRP  $\Rightarrow$  PRF  $\Rightarrow$  random) PRP "counters" that structured plain is encrypted (ctr || ctr + 1 || ...)

## counter-picking

ctr = 0 & change key each time (but impractical)

ctr = 0 & increment globally (but require state synchronization)

ctr = random (but need good source, avoid overlapping)

ctr = time (but needs conversion, time shift, parallelization)

## real-world counter-picking

nonce supplied by application, concatenated with internal counter like ctr = nonce || 0000, ctr + 1 = nonce || 0001 enforces max message length (depending on internal counter length)

## encryption = decryption

can use same algorithm for both

 $E_K$  is used only in one-way, hence does not need to be invertible can use pseudorandom function (instead of pseudorandom permutation)

# 5.2.4 other modes

GCM (additionally authenticates)

CFB (creates stream cipher; self-synchronizing)

OFB (creates stream cipher; not self-synchronizing)

IGE (used in telegram; not much analyzed)

most common are CBC, CTR, GCM

https://csrc.nist.gov/projects/block-cipher-techniques/bcm/currentmodes

#### stream cipher

dedicated designs for arbitrary length encryptions might also be supported by hardware

## 5.3 IND-CPA (indistinguishable under chosen-plaintext attacks)

challenger C chooses b  $\leftarrow \$\{0,\!1\}$  and K  $\leftarrow \$KGen$ adversary A can query equal length  $(m_0, m_1)$ left-or-right (LoR) encryption oracle responds with  $c \leftarrow \$Enc_K(m_b)$ after q queries, A decides on b' =  $\{0,1\}$   $\mathrm{Adv}_{SE}^{IND-CPA}(\mathrm{A}) := 2|\mathrm{Pr[b'=b]} - 0.5|$ 

## Game IND-CPA(A, SE)

 $b \leftarrow \$\{0,1\}$  $\mathbf{K} \leftarrow \$\mathbf{K}\mathbf{Gen}$  $b' \leftarrow A^{LoR(.;.)}$  () Return (b' = b)

## Oracle LoR $(m_0, m_1)$

 $c \leftarrow \$ \operatorname{Enc}_K(m_b)$ 

Return c

# $(q, t, \epsilon)$ -secure symmetric encryption (SE)

if for all adversary A under #time < t, #query < q  $Adv_{SE}^{IND-CPA}(A) = 2 * |Pr[Game\ IND-CPA(A,\ SE) \Rightarrow true] - 1/2|$ 

## captured attack notions

message recovery attacks ( $c \Rightarrow m$ ) key recovery attacks ((c,m)  $\Rightarrow$  K)

in each case, the attacker can be converted in IND-CPA adversary

## deterministic schemes

generic game automatically breaks IND-CPA

A sends  $(m_0, m_0)$  to LoR, gets c A sends  $(m_0, m_1)$  to LoR, gets c' if c = c' then b = 0, else b = 1

#### limitations

requires equal length messages (different lengths unprotected) ignores integrity ignores chosen ciphertext attacks ignores side-channel leakage, implementation vulnerabilities

# 5.4 game hopping lemmas

## advantage rewriting lemma

2  $|\Pr[b'=b] - 0.5| = |\Pr[b'=1|b=1] - \Pr[b'=1|b=0]|$ single output enough to estimate probability

## proof advantage rewriting lemma

Pr[b'=b] - 0.5=  $\Pr[b'=b|b=1]\Pr[b=1] + \dots - 0.5$  (bayesian expand) = Pr[b'=b|b=1]\*0.5 + ... - 0.5 (bc Pr[b=0] = 0.5)= 0.5\*(Pr[b'=1|b=1] + Pr[b'=0|b=0]) - 1) (evaluate b, extract 0.5) = 0.5\*(Pr[b'=1|b=1] - (1-Pr[b'=0|b=0])) (rewriting -1) = 0.5\*(Pr[b'=1|b=1] - Pr[b'=0|b=1]) (invert 1-)

## difference lemma

given that events  $W_1$  ^ ¬ Z iff  $W_2$  ^ ¬ Z then  $|\Pr[W_2] - \Pr[W_1]| \le \Pr[Z]$ useful for game hopping with rare bad event Z

intuitively assume algorithms run with same randomness for  $W_1$  and  $W_2$ argue it holds over full probability space

## proof difference lemma

 $|\Pr[W_2] - \Pr[W_1]|$  $= |\Pr[W_2 \land Z] + \Pr[W_2 \land \neg Z] - (\Pr[W_1 \land Z] + \Pr[W_1 \land \neg Z])| \text{ (expand)}$   $= |\Pr[W_2 \land Z] - \Pr[W_1 \land Z]| \text{ (precondition)}$  $\leq \Pr[Z]$  (bc both expressions lie between 0 and Z)

## 5.5 PRP-PRF switching lemma

any PRF (pseudo-random function) is also a PRP for block cipher E, adversary A with queries q  $|\operatorname{Adv}_E{}^{PRP}(A) - \operatorname{Adv}_E{}^{PRF}(A)| \le q^2 / 2^{n+1}$ in general, q is small and n large, hence probability small

# PRF-security

defined same as PRP, except \$Funcs[ $\{0,1\}^n$ ,  $\{0,1\}^n$ ] used Func includes all PRP, and additionally all functions Func may duplicate output values (no bijection requirement)  ${\rm Adv}_E{}^{PRF}({\rm A}):=2~|{\rm Pr}[{\rm b'}={\rm b}]$  - 0.5

# proof games

run A on three games  $G_0$ ,  $G_1$ ,  $G_2$  with different oracles on f

```
G_0 uses f = E_K(*)
G_1 uses \mathbf{f} \leftarrow \mathrm{\$Perms}[\{0,1\}^n]
G_2 uses f \leftarrow \text{\$Funcs}[\{0,1\}^n]
p_i = \Pr[W_i] for W_i event that A outputs b' = 1
note that W_i independent of success of A
```

```
adversary probabilities Adv_E^{PRP}(A) = 2|Pr[b'=b] - 0.5| (by definition)
= Pr[b'=1|b=1] - Pr[b'=1|b=0] (by advantage rewriting lemma)
=|p_1 - p_0| (by construction of games G_1, G_0)

\mathrm{Adv}_E^{PRF}(\mathrm{A}) = 2|\mathrm{Pr}[\mathrm{b'} = \mathrm{b}] - 0.5| (by definition)
= Pr[b'=1|b=1] - Pr[b'=1|b=0] (by advantage rewriting lemma)
= |p_2 - p_0| (by construction of games G_2, G_0)
|\text{Adv}_E^{PRP}(A) - \text{Adv}_E^{PRF}(A)| = ||p_1 - p_0| - |p_2 - p_0||
\leq |p_2 - p_1| (absolute value case distinction)
```

 $G_1$  and  $G_2$  are identical except duplicate  $y_i$  in  $G_2$  (event Z)

for q chosen values,  $0.5 * q^2$  pairs

 $\begin{aligned} &\Pr[y_i = y_j] = 2^{-n} \\ &\Pr[\mathbf{Z}] \leq q^2 \ / \ 2^{n+1} \ (\# \text{pairs} * \text{collision probability}) \end{aligned}$ 

(while events not independent, treat them such to overapproximate)  $|p_2 - p_1| \le \Pr[\mathbf{Z}]$  (by difference lemma)

## 5.6 IND-CPA security for CTR mode

## simplified CTR-mode pseudo-code

assume that messages are single block each encryption chooses uniform-random ctr 1. crt  $\leftarrow$   $\${0,1}^n$ 2.  $\mathbf{r} = \mathbf{E}_K(\mathbf{ctr})$ 3.  $c_0 = m \text{ XOR } r$ 4. return (ctr,  $c_0$ )

instantiate IND-CPA game LoR oracle with pseudo-code from above

G0 uses original pseudo-code from CTR-mode

G1 uses random permutation  $\pi$  instead if  $E_K(ctr)$ 

G2 uses random function f instead of  $E_K(ctr)$ 

G3 uses random value instead of  $E_K(ctr)$ 

in G3, no more advantage (essentially one-time pad encrypted)

let  $X_i$  be event that b'=b in game  $G_i$ ;  $q_i = \Pr[X_i]$ 

advantage 
$$\begin{array}{l} {\rm Adv}_{CTR}{}^{IND-CPA}({\rm A}) = 2*|q_0 - 0.5| \\ |q_0 - 0.5| = |(q_0 - q_1) + (q_1 - q_2) + (q_2 - q_3) + (q_3 - 0.5)| \\ \leq |q_0 - q_1| + |q_1 - q_2| + |q_2 - q_3| \text{ (by absolute, } q_3 = 0.5) \end{array}$$

 $|q_0 - q_1|$  is small

create  $B_1$  (IND-CPA challenger & PRP adversary) chooses b  $\leftarrow \$\{0,1\}$ , runs simplified CTR-mode code for 2., asks PRP oracle (which uses  $E_K$  or  $\pi$  depending on d) for 3., uses  $m_0$  or  $m_1$  of A depending on b if A returns b'=b, then returns d' = 1 else d' = 0  $q_0 = \Pr[b'=b|d=0]$  (as d=0 is  $G_0$ ) =  $\Pr[d'=1|d=0]$  (by d' definition)

 $q_1 = \Pr[b'=b|d=1]$  (as d=1 is  $G_1$ ) =  $\Pr[d'=1|d=1]$  (by d' definition)  $|q_1 - q_0| = |\Pr[d'=1|d=1] - \Pr[d'=1|d=0]| = \operatorname{Adv}_E^{PRP}(B_1)$ 

observe that  $B_1$  running time & #queries equal that of A  $Adv_E^{PRP}(B_1) \leq \max\{Adv_E^{PRP}(D): D \text{ in } t_A \text{ time and } q_A \text{ queries}\}$ 

create  $B_2$  like  $B_1$ , but PRP-PRF adversary (hence oracle changes)  $\begin{array}{l} q_1 = \Pr[\text{b'=b}|\text{d=0}] \text{ (as d=0 is } G_1) = \Pr[\text{d'=1}|\text{d=0}] \text{ (by d' definition)} \\ q_2 = \Pr[\text{b'=b}|\text{d=1}] \text{ (as d=1 is } G_2) = \Pr[\text{d'=1}|\text{d=1}] \text{ (by d' definition)} \\ |q_2 - q_1| = |\Pr[\text{d'=1}|\text{d=1}] - \Pr[\text{d'=1}|\text{d=0}]| = \operatorname{Adv}^{PRP/PRF}(B_2) \\ \operatorname{Adv}^{PRP/PRF}(B_2) \leq q^2 / 2^{n+1} \text{ (by PRF/PRP switching lemma)} \end{array}$ 

 $|q_2$  -  $q_3|$  is small

create  $B_3$  like  $B_2$ , but PRF-Rand oracle (evaluates PRF or picks random)  $q_2$  only different to  $q_3$  iff ctr not all distinct (event Z) (as PRF evaluates to same value with same input)  $|q_3 - q_2| \le \Pr[\mathbf{Z}]$  (by difference lemma)  $\le q^2 / 2^{n+1}$ 

full advantage  $\mathrm{Adv}_{CTR}{}^{IND-CPA}(\mathbf{A}) = 2*|q_0 - 0.5|$  $\leq 2* \text{Adv}_E^{PRP}(B_1) + 2*q^2/2^n$ for E (q, t,  $\epsilon$ ) secure, then CTR is (q, t,  $2*\epsilon+2q^2/2^n$ )

## generalize result

for stateful counters,  $|q_2 - q_3|$  is zero (no duplication possible) hence slightly better result

for multi-block messages, q gets higher (number of total queries) complex statistical analysis leads to about the same result

## 6 block mode attacks

## 6.1 padding oracle attacks

arbitrary length plaintexts have to be padded (except CBC) after message decryption, have to again remove padding exploit error behaviour upon invalid padding detected

## pad(.)

map of  $\{0,1\}^* \to \{\{0,1\}^n\}^*$ necessarily explaining needs to be efficient may be randomized or deterministic

## padding oracle

for attacker-chosen C

oracle decrypts & returns whether padding valid or invalid hence single bit leaked per ciphertext

like CCA, hence not covered by IND-CPA

#### details in practice

timing noise can be filtered statistically "single shot" still attackable if plaintext stays the same min/max cipher compatibility by appending/cutting random blocks endemic in applications

#### SSL/TLS

attacks in 2003, 2013 CBC-mode with padding particularly vulnerable integrity via MAC (of ciphertext) required

## 6.2 simplified TLS padding (CCA)

adds t+1 copies of byte value t; for 0 < t < n/8like 0x00 or 0x01 || 0x01 or 0x02 || 0x02 || 0x02 for AES with n=128, at most 16 bytes appended, at least 1

#### padding oracle attack

for  $p_t$  unknown plaintext, and  $c_t$  its cipherblock  $c_{t-1}$  XOR with 0x0... ||  $(d_t = 0x..)$  for all 256 possibilities of  $d_t$ if oracle accepts, then  $P_t$  XOR  $(0x0... || d_t) = ... || 0x00$ (or special case  $P_t$  XOR (0x0... ||  $d_t$ ) = ... || 0x01 || 0x01) hence  $d_t$  equals last byte of plain then proceed by te-by-byte (0x01 || 0x01, then 0x02 || 0x02 || 0x02, ...) then proceed block-by-block (as can place any cipherblock last)

## runtime

in 128 calls for each byte + need to disambiguate  $0x01 \parallel 0x01$  or 0x00can extend to entire block (from right to left byte) can extend to entire ciphertext (by placing attacked block last)

## history

many similar attacks discovered; in major protocols conclude that CBC-mode with padding is vulnerable in general need to detect modified ciphertexts (via integrity, like using MAC)

## 6.3 CBC-mode with predictable IV

breaks IND-CPA of CBC-mode discovered in 1995

## attack

attacker in IND-CPA attack queries  $(P_0, P_1)$  to get back  $(C_0 (=IV), C_1 (=ciphertext))$ queries ( $P_0$  XOR  $C_0$  XOR  $C_{0'}$  (= predicted IV)) twice iff  $C_1 = C_{1'}$ , then b=0

## attacker requirements

(1) place  $P_0$  XOR  $C_0$  XOR  $C_{0'}$  as first block (as IV)

(2) know position of attacked block (to strip previous blocks) hence also possible with multi-block message

## IV-chaining

when IV set to last ciper block generated then attack possible in real word like SSL 3.0, TLS 1.0, SSH

# 6.4 BEAST full plaintext recovery

CBC-mode with predictable IVs + chosen boundary priviledge

## part 1 (extract single byte)

assume  $p_0$  -  $p_{14}$  is known, want to know  $p_{15}$ assume IV predictable, can decide first block of plaintext iterate over 256 guesses of  $p_{15}$  to recover byte as before

## part 2 (byte sliding)

assume chosen boundary privilege

hence can set position of unknown byte relative to CBC boundary now do part 1, shift, do part 1 again, shift again, ...

## part 3 (browser implementation)

https cookie of target site included automatically in requests js of malicious webpage executes request to target site can pads requests for part 2, part 1 also possible (but complicated)

#### impact

fixed in TLS 1.1 (uses random IVs)

but updating hard (old clients)

some switched to RC4 (broken later on too) or sent dummy records

#### learnings

theoretical attack of 1995 becomes practical in 2011  $\Rightarrow$  attacks only get better with time attack needs sophisticated JavaScript implementation  $\Rightarrow$  need tools of hacker community to make practical attacks

#### 7 hash functions

#### 7.1 applications

message fingerprinting signature schemes (hash-then-sign) message authentication codes key derivations (raw data  $\rightarrow$  key) password hashing commitment schemes

## standards

nist (SHA-224 - SHA-512, Keccak) nessie (SHA-256 - SHA-512, whirlpool) cryptrec (SHA-256 - SHA-512)

## 7.2 definition

## n-bit hash function

H:  $\{0,1\} * \rightarrow \{0,1\}^n$ 

arbitrary length to fixed length hash value

## random oracle model

given any input, outputs n-bit random string useful for formal security analysis, but formally unsound

## 7.3 security goals

## primary

pre-image resistance (given H(m), infeasible to find m) second pre-image resistance (given  $m_1$ , find  $m_2$  for  $H(m_1) = H(m_2)$ ) collision resistance (find  $m_1 != m_2$  such that  $H(m_1) = H(m_2)$ )

## secondary

near-collision resistance (find  $m_1 != m_2$  such that  $\mathrm{H}(m_1)$   $\mathrm{\tilde{}}$   $\mathrm{H}(m_2)$ ) partial pre-image resistance 1 (given  $\mathrm{H}(\mathrm{m})$ , find parts of  $\mathrm{m}$ ) partial pre-image resistance 2 (given  $\mathrm{H}(\mathrm{m})$ , find  $\mathrm{m}$ ' for  $\mathrm{H}(\mathrm{m}')$  prefix) prefix of length 1 must be found in much less than  $2^l$  hash evaluations

# 7.4 generic attacks

for secure hash functions, generic attacks must be best known attacks

# pre-image resistance

given  $y \in \{0, 1\}^n$ , H behaving like random function iterate over  $2^n$  messages until H(m) = y found

# ${\bf second\ pre\text{-}image\ resistance}$

given  $m_1$ , H behaving like random function iterate over  $2^n$   $m_2$  until  $H(m_2) = H(m_1)$  found

# collision resistance

given H behaving like random function iterate over  $2^{n/2}$  m, some H(m') = H(m) by birthday theorem, n-bit hash functions offers n/2 bits security

## 7.5 formalizing collision resistance (CR)

collisions must exist, as input much larger than output space so an efficient algorithm exists (simply outputs hardcoded collision) hence definition cannot quantify over all efficient adversaries

# $(t,\epsilon)$ -CR adversary

for A running in time t, outputting with probability  $\epsilon$   $m_0$  and  $m_1$  such that  ${\cal H}(m_0)={\cal H}(m_1)$ 

#### $CR \Rightarrow second pre-image resistance$

proof not second-preimage resistance  $\Rightarrow$  not collision resistance choose  $m_1$ , then find  $m_2$  (by not second-preimage resistance) output  $m_1$ ,  $m_2$ 

## $CR \Rightarrow pre-image resistance (definition over domain)$

choose random x, then construct adversary challenge y = H(x) require going over domain, else counter examples possible

## CR !⇒ pre-image resistance (definition over range)

let G be collision-resistant n-bit hash function we define H as n+1-bit hash function like

if |m| = n then H(m) = 1 || m

else H(m) = 0 || G(m)

attacker has 50% probability to find pre-image (when bit 0 is 1)

## 7.6 constructions

#### non-crypto hash function

used for hash tables, caching strategies, bloom filters, ... but unsuitable for crypto purposes sometimes misused, like WEP using CRC but CRC is linear in message bits

## design paradigms

based on block ciphers or own design need iterative design to process arbitrary length

#### 7.7 merkle-damgard construction

construct hash function from compression function like MD5, SHA-1, WHIRLPOOL

## iterated hashing

let n output length, IV of length n, block length k assume compression function h:  $\{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$  assume padding scheme pad(m) such that result length multiple of k break up pad(m) into l parts of length k use h(IV,  $m_0$ ) =  $t_1$ , then h( $t_1$ ,  $m_1$ ), until  $m_l$  last iteration does h( $m_l$ ,  $[len(Y)]_k$ )

## security

for t  $(0 \le t < k)$  minimal such that k divides pad(m) for  $[len(m)]_k$  k-bit representation of length let pad(m) = m || 1 ||  $0^t$  ||  $[len(m)]_k$  then if h is collision-resistant, so it H

## $h CR \Rightarrow H CR$

proof that h is collision-resistant  $\Rightarrow$  so is H assume adversary A breaking H, construct B breaking h A outputs two colliding (padded) messages X, Y for X,Y, length u,v; blocks  $x_i$ ,  $y_i$ ; chaining values  $s_i$ ,  $t_i$  if |X| != |Y|, then  $t_i = s_i$  although  $x_u != y_v$  (by padding) else then some i for  $x_i != y_i$  must exist with  $t_{i+1} = s_{i+1}$ 

## length extension attack

for h = H(m) known, can compute H(pad(m) || length(m) || m') by taking h as chaining value for m'  $\Rightarrow$  merkle-damgard cannot be modelled as random oracle

# 7.8 construct compression function h

interface requirement h:  $\{0,1\}^n \times \{0,1\}^k \to \{0,1\}^n$  security requirements include collision resistance, one-wayness, ...

# davies-mayer

uses block cipher E message input is key chain variable t is (plaintext) input

# analysis davies-mayer

if E ideal cipher  $\Rightarrow$  collision resistant compression function hash output size = block size hence need big blog size to avoid birthday attacks message blocks set keys hence need fast rekeying

## 7.9 hash functions

MD5 (128 bits, 1991, broken) SHA-1 (160-bit, 1995, collisions found) SHA-2 (256bit, ...; 2002, fine) SHA-3 (public design 2015; backup if SHA-2 breaks) Whirlpool (512-bit; used in TrueCrypt)

#### usage

MDx used a lot (fast) but not anymore (trivially broken) SHA-1 phasing out (IPsec, SSL, SSH); broken with reasonable effort SHA-2 primarily used (and safe for the foreseeable future)

#### 7.10 SHA-1

merkle-damgard with output size n

## compression function

iterate 80 times

uses block cipher in David-Meyer mode (k=512, n=160)

round function operating on 5\*32 bit words

some shifts, additions, simple bit operations

#### timeline

1995 initial analysis for SHA-0 leads to SHA-1 2005 first estimated attack for  $2^{69}$  (but want  $2^{80}$ ) until 2015 lots of analysis; reduction to  $2^{61}$  collisions up to 77 rounds of 80 of compression function 2012 NIST retires SHA-1, but introduces again in 2015 late 2014 SHA-1 certificates penalized by google & others 2015 freestart collision attack (allowed to choose IV) for 65 CPUs a few times 2017 shattered full collision  $2^{63}$  SHA-1 computations for 6500 CPU + 100 GPU years ( $2^{63}$  computations) 2020 chosen-prefix collision on full SHA-1 for 900 GPUs 2 months ( $2^{63.4}$  computations)

## phasing out broken algorithms

once deployed hard to phase out like complex protocols with negotiation capabilities need backwards compatibility practitioners require practical demonstrations before being convinced lack of understanding of how attacks only get worse confusion about which security properties are actually broken like MD5 pre-image still difficult

#### 7.11 SHA-2

similar to SHA-1 with (k=512, n=256) 64 rounds, 8\*32 bit words twice as slow as SHA-1 but now attacks faster than collision finding

## 7.12 SHA-3 (Ketchak)

result of 2007 - 2015 competition will replace SHA-2 if (ever) broken giant-bit permutation at core (instead of David-Meyer) 15% slower than SHA-256

## sponge-construction

let outer state R, |R|=r=1088

let inner state C (|C|=c=512 bits) let F:  $\{0,1\}^{r+c} \to \{0,1\}^{r+c}$  bit permutation pad(m) into  $m_i$  blocks of r bits IV is  $0^{c+r}$  absorbing phase by R XOR  $m_i$ , then F(R || C) repeat as often as message blocks squeezing phase by repeatedly taking out R, then F(R || C) repeat as often as required output size

## 7.13 password hashing

store passwords so breach has less impact might want to combine multiple methods

## hash

random, account specific value long enough (64bits) to prevent collisions for random choice effectively makes precomputation useless

## iterations

slow down password bruteforcing by iterating hashes iteration method should be badly parallelizable like argon2, scryt, bcrypt see https://www.password-hashing.net/

# encryption

needs careful selection of method (like adobe ECB issue) needs key management

#### 8 MAC

#### 8.1 properties

integrity (message not modified) data origin authentication (sender correct) to prevent attackers from forging messages

#### 8.2 limitations

cannot detect message deletion, replay, reordering need sequence numbers or other primitives cannot detect reflection attacks need directional indicators (who should receive it) or key separation (different keys for different purposes)

#### 8.3 definition

KGen:  $\{\} \to \{0,1\}^k = K$ Tag:  $\{0,1\}^k \times \{0,1\}^* \to \{0,1\}^t$  called  $\tau$ Vfy:  $\{0,1\}^k \times \{0,1\}^* \to \{0,1\}$ correctness requires Vfy(K, m, Tag(K, m)) = 1 for all messages, keys

#### notes

keys usually uniform random tag length usually small (96 - 128bit) Tag, Vfy usually deterministic

## deterministic Tag ("standard")

hence unique tag t for each (K,m) construct generic Vfy as Tag(K,m) ==  $\tau$ 

## 8.4 security through unforgeability

hard for adversary to compute valid  $\tau$  without key K

## potential attacker capabilities

multiple message/tag pairs  $tag(m) \to \tau \text{ oracle (to choose messages for MAC)}$  verify(m,  $\tau$ )  $\to$  true|false oracle (to check if forges correct)

#### notes

 $\tau$  must depend on every bit of the message like H(m XOR K) gives same MAC for same key-long message prefix must be hand to recover K given (m,  $\tau$ ) like H(m) XOR K is trivial to extract K length extensions must be impossible like mercle-damgard construction can easily be forged

## 8.5 formalising security

challenger generates KGen  $\rightarrow$  K

## adversary oracles

tag oracle (send m, receive  $\tau = \text{Tag}(K,m)$ ) verify oracle (send  $(m,\tau)$ , receive  $\{0,1\} = \text{Vfy}(K,m,\tau)$ )

## weak unforgeability (WUF)

if adversary queries verify oracle with valid (m\*,  $\tau*)$  for no query to tag oracle m\*

# strong unforgeability (SUF)

if adversary queries verify oracle with valid (m\*,  $\tau$ \*) for no query to tag oracle m\* with response  $\tau$ \*

# $(q_t, q_v, \mathbf{t}, \epsilon)$ -(W/S)UF-CMA

for  $q_t$  tag queries,  $q_v$  verify queries, running time t has no success probability than  $\epsilon$  then weakly/strongly unforgeable under chosen message attack

# SUF-CMA ⇒ WUF-CMA

as any WUF adversary breaks SUF can construct WUF but not SUF schemes for deterministic Tag, WUF = SUF

# WUF- but not SUF-CMA scheme

idea is to ignore first bit of  $\tau$  to easily generate  $\tau'$  let (KGen, Tag, Vfy) be WUF-CMA secure scheme Tag'(K, m) = 0 || Tag(K, m) =  $\tau'$  Vfy'(K, m,  $\tau'$ ) = b ||  $\tau$  && Vfy(K,m, $\tau$ ) query for some m, XOR first bit of  $\tau$  and resubmit success p = 100% for SUF, WUF still secure

## avoiding Vfy oracle

for any  $(q_t, q_v, t, \epsilon)$ -SUF-CMA attacker using verification oracle there exists an  $(q_t, t, \epsilon / q_v)$ -SUF-CMA attacker without it

proof by constructing B consuming original attacker mocking Vfy oracle Vfy oracle chooses random query to respond 1, else responds 0 leads to success p =  $\epsilon$  /  $q_v$ 

## 8.6 generic attacks

random k-git Key guess  $(2^{-k})$  use some  $(m, \tau)$  pairs for exhaustive key search  $q_v$  queries to verify oracle with random  $\tau$   $(q_v \hat{\ } 2^{-t})$ 

#### conclusion

hence need large enough tags, keys and few queries as  $q_v$  queries are inherently online, can constrain in protocol like TLS accepting only single  $q_v$ 

## 8.7 MAC from PRF (MAC(F))

if F PRF, then MAC SUF-CMA works on message input domain X (constrained by F)

#### construction

let F be function  $\left\{0,1\right\}^k \times X \to \left\{0,1\right\}^t$  KGen: K  $\leftarrow \$$   $\left\{0,1\right\}^k$  Tag(K, m):  $\tau \leftarrow$  F(K, m) Vfy(K, m) using "standard" mode as deterministic Tag note input domain restricted to F input domain X

#### claim

for  $(q_t, t, \epsilon)$ -SUF-CMA adversary against MAC(F) there exists  $(q', t', \epsilon')$ -PRF adversary against F for t'  $\tilde{}$  t,  $q' = q_t + 1$ ,  $\epsilon' = \epsilon - 1/2^t$ 

#### games

 $G_0$  SUF-CMA game, oracle answers using F(K, m)  $W_0$  when A outputs (m\*,  $\tau$ \*) such that  $\tau$ \* = F(K, m\*) hence A breaks SUF-CMA (= WUF-CMA as "standard" Vfy)  $G_1$  SUF-CMA game, oracle answers using random function f  $W_1$  when A outputs (m\*,  $\tau$ \*) such that  $\tau$ \* = f(m\*) m\* of  $W_0$ ,  $W_1$  must be different from tag queries  $m_1$ , ...,  $m_q$ 

## constructing B

let attacker A break SUF-CMA of MAC(F) let B's challenger execute either F(K,m) (b=0) or f(m) (b=1) B simulates MAC(F) tag oracle for A when A outputs (m\*,  $\tau$ \*), B queries one last time into  $\tau'$  B outputs iff  $\tau' = \tau$ \* b'=1 else b'=0 (note b' is "inverted"; iff  $\tau' = \tau$ \* then B detected F(K, m))

## proof lemmas

(1)  $\operatorname{Adv}_F^{PRF}(B) = |\operatorname{Pr}[b'=1|b=1] - \operatorname{Pr}[b'=1|b=0]|$ =  $|\operatorname{Pr}[\tau*=f(m*)|A \text{ in } G_1] - \operatorname{Pr}[\tau*=F(K, m*)|A \text{ in } G_0]|$  (by game construction) =  $|\operatorname{Pr}[W_1] - \operatorname{Pr}[W_0]|$  (by  $W_1, W_0$  definition) (2)  $\operatorname{Pr}[W_1] = 1/2^t$  as A must output  $\tau*$  on fresh input m\* and output for f is uniform-random of length t

## proof

 $\begin{array}{l} \operatorname{Adv}_{-MAC(F)}^{SUF-CMA}(\mathbf{A}) = \Pr[W_0] \\ = |(\Pr[W_0] - \Pr[W_1]) + \Pr[W_1]| \text{ (valid as } \Pr[W_0] \geq 0) \\ <= |(\Pr[W_0] - \Pr[W_1])| + \Pr[W_1] \text{ (valid as } \Pr[W_1] >= 0) \\ = \operatorname{Adv}_F^{PRF}(\mathbf{B}) + 1/2^t \text{ (by (1) and (2))} \end{array}$ 

## 8.8 MAC from hashing (HtMAC)

if H CR and then MAC SUF-CMA, then HtMAC SUF-CMA works on message input domain X' (constrained by H)

## construction

let MAC = (KGen, Tag, Vfy) with input space X, tag-length t, key-length K let H:  $X' \to X$  be hash function Tag'(K,m): Tag(K, H(m)) Vfy'(K, m,  $\tau$ ): Vfy(K, H(m),  $\tau$ )

## claim

for A  $(q_t, t, \epsilon)$ -SUF-CMA adversary against HtMAC Adv $_{HtMac}^{SUF-CMA}(A) \leq \text{Adv}_{MAC}^{SUF-CMA}(B) + \text{Adv}_{H}^{CR}(C)$  B, C run in similar time t as A, B makes  $q_t$  queries

## probability claims

let X when A wins SUF-CMA against HtMAC let Y when  $H(m*) = H(m_i)$  for  $m* != m_i$  let  $Z = X ^ \neg Y$  (A wins without hash collision)  $Adv_{HtMAC}{}^{SUF-CMA}(A) = Pr[X] = Pr[X ^ \neg Y] + Pr[X ^ Y]$  (taking X apart)

 $\leq \Pr[Z]$  (by def.) +  $\Pr[Y]$  (as  $\Pr[X \hat{Y}] \leq \Pr[Y]$ )

## B game construction

let attacker A break SUF-CMA of HtMAC let B's challenger execute Tag(K,  $m_{i'}$ ) B simulates HtMAC tag oracle for A for query  $m_i$  of A, B forwards  $\mathrm{H}(m_i)$  to its challenger B relays answers of its challenger without change to A when A outputs (m\*,  $\tau$ \*), B wins if Z (no collision)

### C game construction

let attacker A break SUF-CMA of HtMAC C simulates HtMAC tag oracle for A C chooses some K, evaluates  $T(K, H(m_i))$  when A outputs  $(m*, \tau*)$ , C wins if Y(H(m\*)=H(m))

# proof notes

C does not care if A wins, hence Y is enough to succeed advantage of attackers equal to winning probability

#### 8.9 HMAC

build MAC using only hash function as hash functions are very fast but hash-then-MAC has offline attack (find collision in hash)

#### target

transform unkeyed primitive to MAC without CR assumption could prepend key, but length extension attack could append key, but vulnerable to offline collision attack) idea  $F((K_1, K_2), M) = H(K_2 \mid\mid H(K_1 \mid\mid M))$ 

#### construction

given key K, message m, H using merkle-damgard construction pick IV (reused over both hashes) pad key with pad(K) = K ||  $0x0^*$  to block length pad messages with pad(m) = m || 0x1 ||  $0^*$  ||  $[length]_{64}$  to block length let  $K_1 = \text{pad}(K)$  XOR ipad=0x36...,  $K_2 = \text{pad}(K)$  XOR opad=0x5C... h(IV,  $K_1$ ) =  $t_1$ ; h( $t_1$ ,  $t_1$ ) =  $t_2$ , ...  $t_2$  +  $t_3$  to h(IV,  $t_4$ ) =  $t_4$ ; h( $t_4$ ) =  $t_4$  needs h such that pad( $t_4$ ) fits in single block

#### standard security

keys are derived from single key using XOR (hence not independent) can prove security for NMAC (same construction, independent  $K_1$ ,  $K_2$ ) need h to behave like pseudo-random function need ideal cipher model (for any K block-cipher behaves pseudo-random) under these assumptions HMAC is PRF, therefore SUF-CMA MAC

## usage

one of the first MAC functions, widely deployed used with SHA-1, SHA-256, MD-5
OK with MD5 as security not depending on its (broken) CR is being replaced by faster designs still useful for key-derivation (due to PRF property)

## IUF-interface

initialize() create internal chaining value K XOR ipad update(U) to absorb new message bytes (hashing on demand) finalize() which processes remaining bytes and does outer hash supports streaming applications; implementation tricky

## 8.10 nonce-based MAC (NMAC)

add nonce space N compared to standard definition KGen:  $\{\} \rightarrow \{0,1\}^k = K$ Tag:  $\{0,1\}^k \times N \times \{0,1\}^* \rightarrow \{0,1\}^t = \tau$ Vfy:  $\{0,1\}^k \times N \times \{0,1\}^* \rightarrow \{0,1\}$ correctness requires Vfy(K, m, N, Tag(K, N, m)) = 1

## security game

adversary must not query same nonce twice wins if A outputs m\*,  $\tau*$  for Vfy(K, N\*, m\*,  $\tau*$ ) for (N\*, m\*,  $\tau*$ ) distinct from  $(N_i, m_i, \tau_i)$ 

# $(q_t, \mathbf{t}, \epsilon)$ -SUF-CMA-secure

 $\mathrm{Adv}_{NMAC}^{SUF-CMA}(\mathbf{A}) < \epsilon$  for t time,  $q_t$  tag queries for forgery in unforgeability game

## 8.11 universal hash functions (UHF)

 $\epsilon - UHF$ 

when  $\operatorname{Adv}_H^{UHF}(A) \leq \epsilon$  in universal hash function security game A plays against challenger with K  $\leftarrow$ \$ K A outputs  $m_0$ ,  $m_1$  for  $m_0$ !=  $m_1$  and H(K,  $m_0$ ) = H(K,  $m_1$ )

note that A has no access to any pairs beforehand H(K, m) called keyed hash function (& its output called digest)

## $\epsilon - UHF$ alternative definition

A of  $\epsilon - UHF$  is unbounded, hence can reformulate  $\Pr[H(K, m_0) = H(K, m_1)] \le \epsilon$ 

for random K, any  $m_0 != m_1$ 

## 8.12 $H_{poly}$ (UHF from polynomials)

for F finite field (like mod p;  $GF(2^n)$ )

let K = T = F (hence keys, tags = F) let  $M = (F)^{\leq -l}$  (hence messages vectors of at most length l)

 $H(K, (a_1, ..., a_v)) = K^v + a_{1*K^{v-1}} + ... \in F$ 

write as a(K), as a(X) degree v polynomial from F

fast evaluation using finite field operations & horners rule

 $H_{poly}$  is  $\epsilon - UHF$ assume a, b distinct

then (a-b)(K) degree > 0 (due to  $K^v$ ) and degree  $\leq 1$  (as  $|a|, |b| \leq 1$ )

 $\Pr[(a\text{-}b)(K) = 0] \leq l/|F| \text{ (as at most $l$ roots, $|F|$ options for $(a$ - $b)$)}$ hence  $\Pr[a(K) = b(K)] \le l/|F|$  (equivalent to  $\epsilon - UHF$  definition)

# PRF from PRF + UHF (UHFtPRF)

for H  $\epsilon-UHF$ , F PRF

 $F'((K_1, K_2), m) = F(K_2, H(K_1, m))$ 

 $\begin{array}{l} Adv_{F'}{}^{PRF(A)} \leq \mathrm{Adv}_{F}{}^{PRF}(\mathrm{B}) + 0.5*q^2*\epsilon \\ \text{we want to get rid of } 0.5*q^2 \text{ (as its not tight)} \end{array}$ 

## 8.13 $\epsilon$ difference UHF ( $\epsilon - DUHF$ )

for keyed hash function keyspace K, message space M, digest space T for T equipped with group operation + (and inverse -)

challenger picks  $K \leftarrow$ \$ K

A outputs  $m_0$ ,  $m_1$  and  $\delta$  such that  $H(K, m_0)$  -  $H(K, m_1) = \delta$ 

generalizes hash functions (as diff no longer has to be 0)

## typical digest spaces & operations

group  $Z_N$  (integers mod N) with + as addition mod N group  $\{0,1\}^n$  for some bit length n with + as XOR XOR group also called  $\epsilon - XOR - universality$ 

# 8.14 $H_{xpoly}$ (DUHF from polynomials)

for F finite field (like mod p;  $GF(2^n)$ )

let K = T = F (hence keys, tags = F) let M =  $(F)^{\leq l}$  (hence messages vectors of at most length l) H(K,  $(a_1, ..., a_v)$ ) =  $K^{v+1} + a_{1*K^v} + ... \in F$ 

 $= K * H_{poly}(K, (a_1, ..., a_v))$ 

 $H_{xpoly}$  is  $\epsilon - DUHF$ 

assume a, b distinct

then (a-b)(K) polynomial degree > 0 and  $\le l+1$ 

 $\Pr[(a\text{-}b)(K) = 0] \leq l+1/|F| \text{ (as at most } l+1 \text{ roots, } |F| \text{ options for a and b)}$ hence  $\Pr[K*(a(K) - b(K)) = \delta] \le l+1/|F|$  (equivalent to  $\epsilon - DUHF$ 

definition)

## 8.15 Carter-Wegman MAC (CW-MAC(F, H))

builds up MAC out of  $\epsilon - DUHF$  H and PRF F

let  $K_1$ ,  $K_2$  keys, N nonce

 $\tau = H(K_1, m) \text{ XOR } F(K_2, N)$ 

like one-time pad encryption for output of H

results in tags of size  $|N| + |\tau|$ 

## SUF-CMA security

for H  $\epsilon$  – DUHF and F PRF; both with (T, +) target group Adv{-CV-MAC(F,H)} $^{SUF-CMA}$ (A)  $\leq$  Adv $^{PRF}$ (B) +  $\epsilon$  + 1 / |T|

time of B roughly the same,  $q_t$  queries to oracle

# proof sketch

replace F with random function (valid as nonces differ)

case A outputs (N\*, m\*,  $\tau$ \*) for N\* new

then  $\tau * = H(K_1, m*) + f(N*)$ 

as f(N\*) uniform random, A succeeds with  $p = 1/|T_H|$ 

case A outputs (N\*, m\*, \u03c4\*) for N\* used in previous tag query

then  $\tau * = H(K_1, m*) + f(N)$  and  $\tau = H(K_1, m) + f(N)$ 

with  $\tau * - \tau$  can build  $\epsilon - DUHF$  adversary

## GMAC algorithm

for F, uses AES (applying PRP-PRF switching lemma) for H, use  $H_{xpoly}$  over  $GF(2^{128})$  for maximum length

special instructions on Intel and AMD chips

## Bernstein Poly1305-AES

adds efficiency tweaks using F = GP(p) for  $p = 2^{130}$  - 5 may exploits floating point arithmetic

## 8.16 other constructions

#### CBC-MAC

SUF-CMA secure if IV constant & fixed-length messages (#blocks equal) SUF-CMA secure if message length prepended & padding sensible

## authenticated encryption

#### introduction

security goals are confidentiality and integrity adversary owns network (delete, reorder, modify, ...) adversary can mount chosen plaintext and chosen ciphertext attacks

#### non-mallable motivation

IND-CPA does not prevent adversarial bit-flipping attacks as seen for CBC-mode, CTR-mode all bitstrings of correct length are valid and will decrypt

#### 9.2 security definitions

## integrity of ciphertexts (INT-CTXT)

adversary has access to encryption oracle (m  $\Rightarrow Enc_{K(m)}$ ) then can query try oracle a single time

adversary wins if c\* new and decryption of c\* succeeds

## integrity of plaintexts (INT-PTXT)

same game as  $\ensuremath{\mathsf{INT-CTXT}}$ 

additionally require the decrypted m\* to has not been queried

## $INT-CTXT \Rightarrow INT-PTXT$

follows from definitions (any INT-PTXT adversary breaks INT-CTXT)  $\,$ & correctness of decryption (different plain must mean different cipher)

# $(q_e, \mathbf{t}, \epsilon)$ -secure

for attackers querying encryption oracle  $q_e$  times

running in time t

succeeding with probability lower than  $\epsilon$ 

## multi-try versions

equivalent definition,  $q_{try}$  \* advantage

# 9.3 authenticated encryption (AE-security)

IND-CPA (cannot differentiate encryptions)

INT-CTXT (cannot force new ciphertexts)

adversary has access to an encryption oracle

## 9.4 IND-CCA

## security game

adversary has decryption oracle & LoR encryption oracle (for any c returned by encryption oracle, cannot query decryption oracle)  $Adv_{SE}^{IND_{CCA}} = 2 * |Pr(b'=b) - 0.5|$ 

# AE-security ⇒ IND-CCA security

assume IND-CCA adversary against AE-secure scheme

case adversary comes up with c\*

but this breaks INT-CTXT security

case adversary does not come up with c\*

but then equal game as IND-CPA (as decryption oracle unused)

let A be IND-CCA adversary

event X if A wins (b'=b)

event Y if A queries valid c\*, for c\* not from encryption oracle

event  $Z = X ^ - Y$ 

 $\begin{array}{l} \Pr[\mathbf{X}] \leq \Pr[\mathbf{Z}] + \Pr[\mathbf{Y}] \\ \operatorname{Adv}_{SE}^{IND-CCA}(\mathbf{A}) \leq \operatorname{Adv}_{SE}^{IND-CPA}(\mathbf{B}) + 2q_d * \\ \operatorname{Adv}_{SE}^{INT-CTXT}(\mathbf{C}) \end{array}$ 

# construct B (IND-CPA)

handles event Z

B simulates IND-CCA environment for A

B relays encryption oracle queries to own challenger

correct by construction

B responds with bottom to any decryption oracle (event Z)

correct b<br/>c $\neg$ Y implies A's queries are all wrong

B outputs b' = b, wins whenever A wins

# construct C (INT-CTXT)

handles event Pr[Y]

C chooses b  $\leftarrow \$\{0,1\}$ , j  $\leftarrow \$\{1,...,q_d\}$ C simulates encryption oracle by forwarding  $m_b$  to own challenger correct as same bit with same distribution used C simulates decryption oracle by returning bottom if not i = j else relays c to own try(c\*) challenger succeeds assuming j picked correct (=first instance where Y) up until Y occurs, A's queries wrong hence returning bottom is OK C wins if challenger accepts (terminates after try(c) query) C selects correct j with p  $\ge 1/q_d$ 

# 9.5 symmetric encryptions security notions

AE (IND-CPA + INT-CTXT) implies all other useful notions AE  $\Rightarrow$  IND-CCA  $\Rightarrow$  IND-CPA (oracles get stronger) AE  $\Rightarrow$  IND-CPA + INT-PTXT  $\Rightarrow$  IND-PTXT

#### counter examples reverse

IND-CCA !⇒ AE (examples in exercises)
IND-CPA !⇒ IND-CCA (counter mode)
INT-PTXT !⇒ IND-CPA (MAC which does not encrypt content)
IND-CPA + INT-PTXT !⇒ AE

# other proofs

 $INT-CCA + INT-PTXT \Rightarrow AE$ (not obvious, but proven)

#### 9.6 limitations

does not prevent reordering / deletion of ciphertexts need integrity protected associated data (use AEAD)

## AE implies IND-CCA proof assumptions

assumes only single error message is thrown but in practice likely multiples (padding / mac / decryption error) then proof breaks down, but gap closable (paper 2012)

## 9.7 generic compositions for AE

## Encrypt-and-MAC (E&M)

 $c \leftarrow Enc_{KE}(m), \tau \leftarrow Tag_{KM}(m), output c \mid\mid \tau$  but in practice might use m before checking mac

## MAC-then-Encrypt (MtE)

 $\tau \leftarrow \mathrm{Tag}_{KM}(\mathbf{m})$ , output  $\mathbf{c} = \mathrm{Enc}_{KE}(\mathbf{m} \mid\mid \tau)$  but if MAC not randomized, leaks information about plaintext used in SSL and TLS < 1.3

## Encrypt-then-MAC (EtM)

 $\mathbf{c} \leftarrow \mathrm{Enc}_{KE}(\mathbf{m}), \ \tau \leftarrow \mathrm{Tag}_{KM}(\mathbf{c}), \ \mathrm{output} \ \mathbf{c} \ || \ \tau$  reduces temptation to use m before checking  $\tau$  MAC needs to cover whole ciphertext, including IV used in IPsec ESP

# 9.8 security proofs

## EtM gives AE security

for IND-CPA encryption and SUF-CMA MAC scheme AE secure MAC does not leak information as operates on IND-CPAed ciphertext

## E&M not secure w/ deterministic MAC

assume PRF used as MAC (or any deterministic MAC) query  $(m_0, m_0)$  and  $(m_0, m_1)$  in IND-CPA security game if MAC $(c_0)$  = MAC $(c_1)$ , then b=0, else b=1 hence broken IND-CPA with two queries

## MtE not secure

use SUF-CMA MAC (like HMAC) use IND-CPA encryption scheme (like CBC-mode)  $c = \operatorname{Enc}(\operatorname{padding}(m \mid\mid \operatorname{Tag}_{KM}(m)))$  decryption involves multiple steps; each with its own errors if error messages distinguishable, can do padding oracle attack can be made secure, but unsafe in general  $\Rightarrow$  try to avoid

## 9.9 AE with associated data (AEAD)

have associated data AD that needs to be in plaintext like ESP header of IPSec

# properties

confidentiality for payload m integrity for combined AD and ciphertext of m

## definition

 $\begin{aligned} & KGen \ selects \ uniform \ random \ K \\ & Enc(K, \ AD, \ m) \rightarrow c \\ & Dec(K, \ AD, \ c) \rightarrow m \ | \ bottom \end{aligned}$ 

require correctness
AD sent along / receiver reconstructs

## using EtM

 $c = Enc(K, m), \tau = MAC(len(AD) || AD || c)$  length of AD prevents miss-parsing (like moving AD into cipher)

#### 9.10 nonce-based AEAD

#### nonces

easier to provide as good source of randomness for example, some protocols already keep sequence counters

#### definition

KGen selects uniform random K $\operatorname{Enc}(K,\,N,\,AD,\,m)\to c\\\operatorname{Dec}(K,\,N,\,AD,\,c)\to m\mid \operatorname{bottom}\\\operatorname{require} \operatorname{correctness}\\\operatorname{AD}\,\&\,N\,\operatorname{sent}\,\operatorname{along}\,/\operatorname{receiver}\operatorname{reconstructs}\\\operatorname{for}\,N,\operatorname{typically}\,\operatorname{use}\,\operatorname{a}\operatorname{synchronized}\operatorname{counter}\\\operatorname{like}\,\operatorname{TLS}\operatorname{sequence}\operatorname{numbers}$ 

#### security

IND-CPA queries include N like (N, AD,  $(m_0, m_1)$ ) N must never repeat over different queries INT-CTXT wins if fresh (N\*, AD\*, c\*) submitted to single try() fresh means no query exists with (N\*, AD\*, ())  $\rightarrow$  c\* can generalize to multiple try() with factor  $q_{try}$ 

#### basic secure channel

assume client A sends encryptions to server B with preagreed key  $c_0 = \text{Enc}(K, N=0, AD_0, m_0)$ , increment N with each message deletion/reordering detected by B as MAC validation fails truncation undetected (but could add end message, ACKs)

#### 9.11 further constructions

#### EtM to AEAD

 $c \leftarrow \operatorname{Enc}_{KE}(M), \tau \leftarrow \operatorname{Tag}_{KM}(\operatorname{len}(A) \mid\mid AD \mid\mid c)$  can use nonce-based MAC and Enc (using same nonce)

## 9.12 **AES-GCM**

using nonce-based CTR-mode AES, CW-MAC nonces can be arbitrary length, 96 bits typically used maximum message length is  $2^{32}$  AES blocks

## MAC

using CW-MAC(H, F) construction  $H = H_{xpoly} \epsilon - DUHF$  over  $GF(2^{128})$  F = AEStruncation is allowed

## encryption(K, N, m)

ctr = N || 0<sup>31</sup> || 1 (for |N| = 96) c = AES-CTR-Enc(K, ctr, m) c' = AD || c ||  $len(AD)_{64} || len(c)_{64}$   $\tau$  = CW-MAC( $K_H$ ,  $K_{PRF}$ , N || 0<sup>32</sup>, c') for  $K_H$  = AES(K, 0<sup>128</sup>),  $K_{PRF}$  = K

# advantages AES-GCM $\,$

almost as fast as CTR-mode (due to fast MAC) uses block cipher only "forwards" (only Enc) streaming computation possible security proof only assumed AES pseudo-randomness patent-free, clearly specified, widely used (IPsec, TLS)

# insecurity under nonce reuse

can recover MAC key & CTR mode fails (XOR ciphers = XOR plains) then can forge arbitrary packets for specific nonce