# Cryptographic Protocols

58555 characters in 10348 words on 1577 lines

#### Florian Moser

August 27, 2020

# mathematical foundations

#### 1.1 group <G; \*>

non empty set with binary association

- (1) \* is associative like x\*(y\*z) = (x\*y)\*z
- (2) e is the neutral element like x\*e = e\*x = x
- (3)  $x^*$  is the inverse  $x*x^* = x^**x = e$
- for \* commutative (x\*y = y\*x) then abelian group
- for \* denoted as +, inverse as -, e as 0 then additive
- for \* denoted as \*, inverse as  $\hat{}$ -1, e as 1 then multiplicative

#### examples

- $\langle Z, + \rangle$  are integers with addition
- $\langle R \setminus \{0\}; *\rangle$  are reals with multiplication
- $\langle Z_n; op_n \rangle$  are integers with operation over modulo

- number of elements in G = |G| ord(x) is least k such that  $x^k = e$
- ord(x) |G| (divides group order)
- hence also  $x^{G} = e$

if finite group has generator <g> such that  $G = \{g^0, g^1, ...\}$ 

# isomorphic

- if bijection v:  $G \to H$  exists such that
- v(x \* y) = v(x) \* v(y)

groups are "the same", only element name differs

# construct groups

 $< Z_m *; *_m > \text{ as } \{x \in Z \mid 0 \le x < m, \gcd(x,m) = 1\}$ group because gcd(x,m) implies (3); (1), (2) trivial if m is prime, |G| = m-1 & all entries are generators

if m = pq for p,q primes, |G| = (p-1)(q-1)

#### 1.2 modulo

#### congruency

x, y congruent mod (m) if same reminder hence x-y mod m = 0

# inverse

 $x*y \mod m = 1$ 

hence x\*y, 1 congruent mod (m)

coprime

if gcd(x,y) = 1can use EEA for a\*x + b\*y = 1

### fermat's little theorem

 $x^p = x$  (for p prime group order)

hence also  $x^(p-1) = 1$ 

# 1.3 extended euclidian algorithm (EEA)

calculates a\*x + b\*y = ggt(x,y)

works in two steps

# find ggt(x,y)

write  $x = 1*y + d_1$ 

because x,y known, calculate  $d_1$  trivially

write  $y = e_1 * d_1 + d_2$ 

choose biggest  $e_1$  such that  $e_1*d_1 \leq y$ 

calculation of  $d_2$  again trivial

continue  $d_1 = e_2*d_2 + d_3$ ,  $d_2 = e_3*d_3 + d_4$ , ... until reminder  $d_x = 0$ , hence d\_(x-1)

#### reconstruct a.b

assuming  $d_5$  is 0, hence  $d_4$  is ggt(x,y)

write  $d_4 = d_2 - e_3 * d_3$ 

replace  $d_3$  with  $d_1$  -  $e_2*d_2$ 

continue until no more d\* on the left you end up with a\*x + b\*y

# properties

 $a*x \mod m = 1$ 

hence a is inverse of x

any a, a' that fulfill  $a*x \mod m = 1$  are congruent

#### 1.4 RSA

generate public key  $k_{pub},\,\mathrm{private}$ key  $k_{priv}$ messages x encrypted with  $k_{pub}$  can be decrypted with  $k_{priv}$ 

#### pair generation

choose primes p,q

get m = pq, phi = (p-1)\*(q-1) =  $|Z_m|$ 

choose e such that gcd(e,f) = 1

use EEA to get d\*e + k\*phi = 1

(n, e) as public key, (n, d) as private key

#### message transfer

encryption with  $x^e=c$  decryption with  $c^d=\mathbf{x}^{(e*d)}=\mathbf{x}^{(-k*phi+1)}=\mathbf{x}$ 

because  $x^{phi} = 1$  due to phi = ord( $|Z_m|$ )

#### security

assumption that it is hard to factor m into p,q only known to be hard in some models of computation needs to be randomized for real applications

#### 1.5 chinese remainder theorem (CRT)

given x mod  $m_1 = a_1$ , x mod  $m_2 = a_2$ , ... there is unique  $x \leq M = m_1 * m_2 * ...$ 

# unique solution

let  $M_i = M / m_i$ , hence gcd(Mi, mi) = 1

then  $M_i \mod m_j = 0$  for j!=i

for  $N_i$  inverse of  $M_i$  solution  $\mathbf{x} = \sum a_i * M_i * N_i \mod \mathbf{M}$ 

#### example

given equations in form  $x \mod n_i = a_i$ 

like x mod 3 = 2, x mod 4 = 5, x mod 7 = -3

calculate  $M = \prod (n_i)$ 

like M = 3\*4\*7 = 84

find inverse Ni of  $M/n_i * N_i \mod n_i = 1$ 

like  $84/3 * N_i \mod 3 = 1 \Rightarrow N_i = 1$ 

like  $N_1=1,\ N_2=1,\ N_3=3$  calculate  $\mathbf{x}=\sum a_i*M_i*N_i \text{ mod } \mathbf{M}$  like  $\mathbf{x}=2*28*1+5*21*1+-3*12*3 \text{ mod } 84=53$ 

# isomorphic groups

for m = pq <  $Z_m*,*_m>$  isomorphic to <  $Z_p*$  x  $Z_q*,*_pq>$ CRT allows to prove this isomorphism

for p=3,q=5, hence m=15

 $7 \rightarrow (1,2)$  with  $(7 \mod 3, 7 \mod 5)$ 

 $(1,2) \rightarrow 7$  with CRT on x mod 3 = 1, x mod 5 = 2

# 1.6 quadratic residue (QR)

numbers which are the result of a square else called a quadratic non-residue (QnR)

a is QR iff  $\exists$  r such that  $r^2 \mod m = a$ 

QnR \* QR = QnR; QR\*QR = QnR\*QnR = QR

square each number from 1 up to (m+1)/2 for  $Z_m$ calculate negative equivalent for > (m+1)/2for root 5, n = 14  $\Rightarrow$  14 - 5 must also be a root

# number of QRs

exactly (p-1)/2 for  $|Z_p*| = p-1$ 

hence each QR has two square roots r,r' it holds that  $r \mod p = r' \mod p$ 

#### legendre symbol

(a/p) (written as a fraction) 1 iff a QR over mod p 0 iff a divides p over mod p -1 iff a QnR over mod p satisfies multiplication rules

#### euler's criterion

(a/p) = a^((p-1)/2) because  $(x^2)^{\hat{}}((p-1)/2) = x^{\hat{}}(p-1) = 1$  (fermats little theorem) it can be shown that all other numbers must equal -1 for p=5 (p-1)/2 = 2  $1 \rightarrow 1^2 = 1, 2 \rightarrow 2^2 = 4, 3 \rightarrow 3^2 = 9, 4 \rightarrow 4^2 = 16$  verify that this indeed results in 1, -1, -1, 1

#### four square roots for $Z_m *$

for m = pq  $\langle Z_m *, *_m \rangle$  isomorphic to  $\langle Z_p * x Z_q *, *_p q \rangle$  implies that  $r^2 = a \Leftrightarrow (R_p(r^2), R_q(r^2)) = (R_p(a), R_q(a))$  hence four square roots for each QR in  $Z_m *$  for p=3,q=5, (1,4) are QR in  $Z_3$ ,  $Z_5$ ; hence 4 is QR in  $Z_m$ 

#### QR breaks RSA

assume A, which given a can calculate  $r^2 = a \mod m$  B chooses random r, asks A to solve  $a = r^2$  A returns either r' = r or -r (randomly, bc a hides info about r) if A returns r' == r, B has to abort (hence success p only 1/2) else B calculates  $\gcd(r+r', m)$  to get one of the primes works bc (r-r')(r+r') = 0, each being a multiple of one of primes

#### 1.7 two dimensional polynomials

of the form  $f_{00} + f_{01x} + f_{10y} + f_{11xy} + \dots$ 

#### fact :

f(x,  $y_0$ ) is one-dimensional polynomial of degree t because  $(f_{00}+f_{01}*y_0+...)x^0+(f_{10}+f_{11}*y_0+...)x^1+...$ 

#### fact 2

(t)^2 values, t combinations uniquely define polynomial of degree d=t-1 choose d = t-1 choose d = t-1

# 1.8 language L classification

using turing machine (TM) model for input z, s(z) measures number of steps  $t(n) = \max\{s(z) \text{ for all } |z| \leq n\}$  halting problem in neither of the presented languages

#### $\mathbf{P}$

given candidate membership in L can be efficiently decided "there is poly-time TM deciding L" for all  $z \in \{0,1\}* \exists$  efficient A(z) such that iff  $z \in L$  then A(z) = 1 else 0

#### NP

given candidate & proof ("witness") membership in L can be efficiently accepted/rejected "there is non-deterministic poly-time TM accepting L" for polynomial p, poly-time computation o(candidate, proof)  $\rightarrow$  accept/reject such that iff  $z \in L$  then x exists |x| < p(|z|) with o(z, x) = 1 (soundness) else o(z, x) = 0 (correctness)

# NP-hard

any NP language can be solved with this language hence at least as hard as NP, potentially harder for any  $L' \in NP$ , L' can be efficiently reduced to L

# NP-complete

in NP and NP-hard

useful because NP-hard also include harder problems than NP

#### $\mathbf{IP}$

efficiently verifiable interactive proof of membership exists superset of NP bc interactive proofs more general concept than non-interactive

#### PSPACE

only polynomial space used (no constraint on time made)

"there is TM that uses only poly memory" proven to include same problems as IP IP  $subset_{of}$  PSPACE bc can construct polynomial tree with all transcripts

#### 1.9 algorithm classifications

efficient if running time grows at most polynomial with input size unbounded if running time arbitrary randomized/probabilistic if access to uniformly random bits deterministic if no access to uniformly random bits

#### 1.10 function classification

### polynomial

it grows slower than some polynomial starting at some n, if  $\exists c, n_0 \in n > n_0$  such that  $f(n) \leq n^c$ 

#### negligible

decreases faster than inverse of every polynomial if  $\in$  c,  $\exists$   $n_0$ ,  $\in$  n >  $n_0$  such that  $f(n) \leq 1/(n^c)$  other notions possible but should stay negligible if repeated efficiently ofen

# ${\bf noticeable\ /\ non-negligible}$

grows faster than inverse of some polynomial if  $\exists$  c,  $n_0 \in n > n_0$  such that  $f(n) \ge 1/(n^c)$ 

#### overwhelming

grows infinitely 1-f is negligible

#### calculation rules

polynomial \* polynomial = polynomial polynomial \* negligible is negligible polynomial \* noticeable might be overwhelming

#### 1.11 decision problem

problem with answer accept/reject like existence of hamiltonian cycle, isomorphims of graphs, ...

# as formal language L

instances of problem as bitstring bitstring  $z \in L$  iff decision true

# 2 proofs

# 2.1 primality proof

for small n, simply do table lookup else decompose n-1 into  $p_1, p_2, \ldots$  find a such that a^(n-1) mod n = 1 and a^(n-1)/ $p_i$  mod n != 1 then recursively proof primality for all  $p_i$ 

### 2.2 proof system

statement & proof each are a string over finite alphabet semantics define which statements are true verification function calculates (statement, proof)  $\rightarrow$  (accept or reject)

# non-prime proof example

verification function checks if proof divides statement statement = 12, proof = 3, output = accept

#### requirements

soundness (only true statements have proofs) completeness (every true statement has a proof) efficient verifiability (verification efficiently computable)

# potential criteria

efficiency (for prover/verfier, messages & rounds) generality (which type of statements can be proved) leakage (what kind of information prover has to share with peggy) type of security (information theoretic, based on RSA, based on DL, ...)

# 2.3 "what" proof types

proof of knowledge

proof some knowledge exist like i know for sudoku X the solution Y

#### proof of statement

proof a statement, may follows from knowledge like sudoku X has solution Y

# 2.4 "how" proof types

#### static proof

prover and verifier know statement s prover sends proof p to verifier verifier accepts/rejects (s,p) combination

proof string replaced by interaction with unbounded prover p both prover & verifier are probabilistic verifier/provers may deviate from the protocol at the end of interaction, verifier accepts/rejects

#### 2.5 interactive proof

proof for language L as pair of probabilistic algorithms (P,V) if z ∈ L then V accepts with at least p=3/4 if  $z \notin L$  then V accepts with at most q=1/2p and q can be arbitrary, but they must be  $0 < q < p \le 1$ 

#### language membership

transcript of deterministic P,V serves as NP witness bc deterministic implies only single x witness exists transcript of P,V with q=0 serves as NP witness bc q=0 implies no wrong witness, p>q implies some witness

#### prover properties

can be deterministic (as powerful as indeterministic) but deterministic can not be zero-knowledge if poly-time required, then only "interactive argument"

#### verifier properties

randomized (else prover can be constructed trivially) efficient (running time polynomial in |z|)

# parallelization

can execute n rounds in parallel only remains zero-knowledge if #rounds = O(log (n))

replace interactive proof with non interactive one

# 2.6 interactive proof applications

# identification protocols

prover is able to identify itself to verifier use hard problem (like hamiltonian graph, public key) and prove knowledge about solution (hamiltonian cycle, private key) must choose sufficiently hard but still efficient problem NP not sufficient bc some instances may be easily solvable first practical implementation by fiat-shamir

#### fiat-shamir heuristic

by calculating verifier input from hash function then sending all messages at once to verifier needs hash function to be random oracle (truly random results) hence lives in the random oracle model (ROM)

but very useful in practice, because constructable from many problems

# digital signatures

construct digital signature using the fiat-shamir heuristic choose random instance z of NP problem with witness x to sign message m, generate randoms  $t_1, t_2, \dots$  (sufficiently many) generate  $c_1, c_2, ...$  from  $hash(t_1, t_2, ..., m)$ generate answers  $r_1, r_2, ...$ signature then is  $(t_1, t_2, ...; c_1, c_2, ...; r_1, r_2, ...)$ assumption that  $c_1, c_2, \dots$  can not be sufficiently influenced to cheat

# 2.7 proof of knowledge

for string z, proof x Q(z,x) = true iff x proofs knowledge of z

# 2.7.1 requirements

### completeness

V accepts if P knows some x such that Q(z,x) = true

there exists knowledge extractor K

which interacts with some P which V accepts noticeable and then outputs valid secret  $\mathbf{x}$ (K can rewind P = restart with same randomness)

# 2.7.2 properties

# 2-extractability

if from two accepted rounds with outputs (t,c,r) & (t,c',r') and c != c'secret x can be efficiently computed for 1/|C|'s (s=#rounds) negligible compared to input length |z|

#### three-move

protocol consists of exactly three moves  $P \rightarrow V$  some start value  $V \rightarrow P$  some challenge

 $P \to V$  result calculated with challenge relative to start value

# 2.7.3 knowledge extractor example

for s-round 2-extractable 3-move protocol with negligible 1/|c|^s

- (1) choose I uniformly at random
- (2) generate two executions with same l
- (3) iff V does not accepts both restart, else stop

use first round with c != c' to get x (2-extractability) in first such round, t = t' bc prover randomness fixed

#### efficiency

for p probability V accepts E[f(l)] = p for f(l) probability V accepts using random l $E[f(1)^2] \ge (jensens inequality) E[f(1)]^2 = p^2$  for two executions because  $1/|\mathbf{c}|$  's negligible, protocol execution equal can be ignored hence runs in polynomial  $O(1/p^2)$  for p noticeable

#### 2.7.4 witness-hiding & witness-independence

if proving zero-knowledge not possible show that verifier can not impersonate prover

#### witness-hiding

no poly-time verification V after verification with P can itself act as prover for another verifier V'

# witness-independent

if for all z, all V'

distribution of transcript is identical for each witness

# $witness\text{-}independent \Rightarrow witness\text{-}hiding$

if hard to generate (z, w, w') for w != w but easy to generate (z, w) then witness-independence implies witness-hiding

# 2.8 zero-knowledge

for protocol (P,V) resulting in transcript T show simulator S exists which outputs indistinguishable transcript T' for both proof of statements / knowledge

#### requirements

complete (true statements have proof) soundness (only true statements have proof) some classification of zero-knowledge

#### proof checks

completeness by inspection

soundness by showing that knowledge extractor exists / 2-extractable zero-knowledge by showing c-simulatability & poly-space C zero-knowledge classification depending on powers of verifier

# distinguisher A

tries to differentiate T and T' hence tries to decide "Y" on  $Y \to y$  / "X" on  $X \to x$  advantage given by  $P_-X[A(x) = "X"]$  -  $P_-Y[A(y) = "Y"]$ 

# indistinguishable level

perfect (exact same distribution; like  $P_X = P_Y$ ; advantage = 0) statistical (difference is negligible) computational (difference for poly-time algorithms negligible)

# c-simulatability

(for t random input prover, c challenge verifier, r response prover) if for any c, a triplet (t,c,r) can be generated with same distribution as in a real execution of the protocol hence it holds that  $P_{TR}|C = p_T * p_R|TC$ for example given c, choose r uniformly, then generate t "conditional distribution  $P_{TR}|C$  is efficiently samplable"

#### 2.9 zero-knowledge classifications

#### proof checks

no challenge publishes the secret or any value leading to it no dishonest verifier learns new information (then only HVZK) size of challenge space must be polynomial

# honest-verifier zero-knowledge (HVZK)

verifier used to generate T' must be honest honest V chooses challenge independently of m weaker than (perfect) ZK

#### zero-knowledge (ZK)

for all polytime V', input z, there is poly-time simulator S such that transcript T and T' are indistinct must also hold for dishonest prover which picks challenge dependent on previously seen messages

# black-box zero-knowledge (BB-ZK)

there is single poly-time simulator S for all polytime V', input z, with S having rewind access to V such that transcript T and T' are indistinct hence stronger than (perfect) ZK bc only single simulator needed

#### construct ZK simulator for dishonest V

V chooses challenge c depending on previously seen messages determines  $c_i$  it wants to do choose the next round uses honest verifier V' to generate transcript if transcript used  $c_i$ , then accept; hence repeat round V is still efficient; expected runtime is 1/|c| (hence polynomial)

#### 2.10 zero-knowledge proofs

proof that simulator with same distribution exists & is efficient

#### 3-move distribution

for prover random t, verifier challenge c, prover reply r both t and c chosen uniformly at random then prover chooses r depending on t and c hence expected distribution is  $p_T * p_C * p_R | TC$ dishonest verifier may choose c based on t, hence  $p_C|T$ 

# (1) 3-move c-simulatable protocol $\Rightarrow$ HVZK

honest verifier chooses c with  $p_C$ then generate t & r with  $p_{TR}|C$ due to c-simulatability same distribution as expected

# (2) HVZK 3-move with poly $|C| \Rightarrow BB-ZK$

S generates triplet (t,c,r) with HVZK property (hence distribution  $d_1 = p_T(t) * p_R|CT * 1/|C|$ ) then invokes verifier with t, getting c' (we assume dishonest verifier, hence  $d_2 = d_1 * p_C | T$ ) if c' equals c, then output triplet; else restart (probability e = 1/|C|, be other terms are summed up) hence distribution is  $d_2$  / e =  $p_T * p_C | \mathrm{T} * p_R | \mathrm{TC}$ efficient bc polynomial runtime / success probability e

# (3) sequence of BB-ZK is BB-ZK

build a simulator that uses the simulator of the respective sequence

#### s rounds of c-simulatable 3-move with poly $|C| \Rightarrow ZK$

poly |C| is needed be else could not generate transcripts for all challenges

bc of (1), subprotocol HVZK bc of (2), subprotocol BB-ZK

bc of (3), protocol BB-ZK

bc BB-ZK stricter than ZK, it follows that ZK

# 3-move HVZK with uniform challenge $\Rightarrow$ c-simulatable

construct (P',V') using HVZK (P,V) P sends t to P' P' chooses c" and sends (t, c") to V'

V' chooses c' and sends it to P'

P' sends c = c' + c'' to P

P answers with r to P', which forwards to V'

V' accepts/rejects (t, c'+c", r) c-simulatable bc P' can simulate for any c

by choosing c'' = c + c'

# 2.11 zero-knowledge discrete math examples

#### 2.11.1 fiat-shamir

given m as RSA modulo,  $z \in \mathbb{Z}_m *$ proof knowledge of x such that  $x^2 \mod m = z$ 

#### protocol

prover and verifier know z prover knows x such that  $x^2 \mod m = z$ prover picks random  $k \in \mathbb{Z}_m *$ prover sends  $t = k^2$ verifier sends  $c \in \{0,1\}$ prover sends  $r = k * x^{\alpha}$ verifier checks that  $r^2 = t * z^c$ proof

c=0 works because  $r^2=k^2={\bf t}$ c=1 works because  $r^2 = k^2 * x^2 = t * z$ 

# extractability

get  $r_0 = k$ ,  $r_1 = k * x \rightarrow \text{extract } x$ 

#### 2.11.2 guillou-quisquater

given m as RSA modulo,  $z \in Z_m *$ , e proof knowledge of e-th root of x, such that  $x^e = z$ 

prover & verifier know z, e prover knows **x** such that  $x^e = \mathbf{z}$ prover picks random  $k \in \mathbb{Z}_m *$ prover sends  $t = k^e$ verifier sends  $c \in \{0,1, ..., e-1\}$ prover sends  $r = k * x^c$ verifier checks that  $r^e = t * z^c$ 

#### proof

works because  $r^e = k^e * x^(c*e) = t * z^c$ 

#### extractability

get  $r_c = \mathbf{k} * x^c, \, r_d = \mathbf{k} * x^d$ let ggt(c-d, e) = (c-d) \* a + e \* b = 1then  $\mathbf{x} = (r_c / r_d) \hat{\mathbf{a}} * z^b$ 

### 2.11.3 schnorr

given cyclic group H, generator h, prime order q, z  $\in$  H proof knowledge of discrete logarithm  $\mathbf{x}$  of  $\mathbf{z}$ 

prover and verifier know zprover knows x such that  $h^x \mod m = z$ prover picks random  $k \in Z_q *$ prover sends  $t = h^k$ verifier sends  $c \in Z_q *$ prover sends r = k + x\*cverifier checks that  $h^r = t * z^c$ 

 $h^r = h^{\hat{}}(k+x*c) = t*z^c$ 

# extractability

 $r_c = \mathbf{k} + \mathbf{c} \mathbf{*} \mathbf{x}, \, r_d = \mathbf{k} + \mathbf{d} \mathbf{*} \mathbf{x}$  $\mathbf{x} = (r_c - r_d) / (\mathbf{c} - \mathbf{d})$ 

# 2.12 one-way group homomorphism (OWGH)

 $f: G \to H$  such that  $[a * b] = [a] \times [b]$  (f written as [])

# 2.12.1 examples

 $G = H = \langle Z_m *, * \rangle, [a] = a^e$ for example  $[a*b] = (a*b)^e = a^e * b^e = [a] * [b]$ 

# logarithmic

 $G = \langle Z_a, + \rangle, H = \langle h \rangle, [a] = h^a$ for example  $[a+b] = h^{\hat{}}(a+b) = h^a * h^b = [a] * [b]$ 

# 2.12.2 pre-image proof of knowledge (OWGH PoK)

given groups G and H as one-way homomorphism f(x+y) = f(x) \* f(y)proof knowledge of pre-image  $x \in G$  of  $z \in H$ 

#### protocol

prover & verifier know  $z \in H$ prover knows  $x \in G$  such that [x] = zprover picks random  $k \in G$ prover sends t = [k]verifier sends  $c \in Z_-+$ prover sends  $\mathbf{r} = \mathbf{k} * x^c$ verifier checks that  $[r] = t * z^c$ 

works because  $[r] = [k] * [x]^c = t * z^c$ 

#### 2.12.3 two-extractability of OWGH PoK

#### requirement

if  $\exists$  l and  $\mathbf{u} \in \mathbf{G}$ (1) for all  $c_1 != c_2$ ,  $\gcd(c_1 - c_2, \mathbf{l}) = 1$ (2)  $[\mathbf{u}] = z^l$ 

#### proof

 $\begin{array}{l} {\rm let} \ [r_1] = {\rm t} * z^{c1}, \ [r_2] = {\rm t} * z^{c2} \\ {\rm then} \ {\rm x}' = u^a + (r_1/r_2) \hat{\ } {\rm b} \\ {\rm for} \ {\rm gcd}(c_1\hbox{-}c_2, \ {\rm l}) = (c_1\hbox{-}c_2) \hbox{**a} + {\rm l} \hbox{**b} = 1 \\ {\rm works} \ {\rm because} \ {\rm u} = z^l \ {\rm and} \ r_1/r_2 = {\rm z} \hat{\ } (c_1\hbox{-}c_2) \\ \end{array}$ 

#### 2.12.4 instantiation

choose appropriate homomorphism for [] prove that it holds by showing f(x \* y) = f(x) \* f(y) define poly-bound C (for example  $Z_q$ ) argue that s (number of rounds) such that 1/|C| s choose l which is co-prime to all  $c_1$ ,  $c_2$  define u such that  $[u] = z^l$  (usually something with z)

#### schnorr

by definition  $G = Z_q$ ,  $H = \langle h \rangle$ , |H| = q, q is prime,  $[x] = h^x$  choose l = q, hence u = 0 (1)  $gcd(c_1 - c_2, q) = 1$  (bc q is prime) (2)  $[0] = h^0 = 1 = z^q$  (bc of identity)

#### guillou-quisquater

by definition  $G = H = Z_m *, [x] = x^e$  choose l=e, hence u=z (1)  $gcd(c_1 - c_2, e) = 1$  (bc e is prime) (2)  $[z] = z^e = z^l$ 

#### 2.13 zero-knowledge NP problem examples

ZK proof of NP-complete problem allows to do ZK for arbitrary NP

#### 2.13.1 sudoku

proof that solution is known without revealing it

#### protocol

peggy places three cards per field with correct number numbers visible if preset, hidden if part of solution vic chooses for all column, row, cell one of the tree cards (hence board empty at the end of the move) gives the cards for each column, row, cell face-down to peggy peggy shuffles each deck and returns them to vic vic controls that each deck is valid

#### soundness

1/3 that proof succeeds although sudoku wrong because have to pick the correct out of three cards

# 2.13.2 sudoku (using ZK for equality)

proof that solution is known without revealing it uses type B commit protocol uses ZK proof to show some blob of commitments are equal

# protocol

peggy commits to every cell of the sudoku solution (1) peggy commits to 1..n for every row/column/subgrid (2) vic chooses challenge c=0 or c=1 if c=0 then peggy opens (2) and preprinted values of (1) vic checks that (2) consistent & (1) correct if c=1 then peggy use ZK to show (1) equals (2)

#### proof

completeness (bc peggy can answer both c if solution known) soundness (approx. 1/2 that peggy succeeds if solution unknown) only approx. 1/2 bc could cheat in ZK proof with negligible p proof of knowledge bc of 2-extractability get triplets (t, c, r) and (t, c', r'); one opens (2) the other proves how opened values relate to (1) values zero-knowledge bc of c-simulatability commit as usual choosing random values for sudoku solution for c=0 simply open (trivially correct) for c=1 use simulator of ZK protocol bc commitment is computational hiding simulator output is computationally indisting

# 2.13.3 graph isomorphism

given two graphs  $G_0$  and  $G_1$  proof that  $G_0$  and  $G_1$  are isomorphic

#### protocol

prover & verifier know  $G_0$ ,  $G_1$ prover knows o such that  $G_1 = o * G_0 * o^{-1}$ prover picks random permutation pi prover sends  $T = pi * G_0 * pi^1$ verifier sends  $c \in \{0,1\}$ prover sends p = pi (for c=0) or  $p = pi * o^{-1}$  (for c=1) verifier checks that  $T = p*G_0*p^{-1}$  (for c=0) or  $T = pi*G_1*pi^{-1}$  (for c=1)

#### oroof

c=0 works because of construction of T c=1 T = pi \*  $o^{-1}$  \*  $G_1$  \* o \*  $pi^{-1}$  = pi \*  $G_0$  \*  $pi^{-1}$ 

#### zero-knowledge

no; V now knows if  $G_0$  and  $G_1$  are isomorph

#### 2.13.4 graph non-isomorphism (GNI)

given two graphs  $G_0$  and  $G_1$  proof that  $G_0$  and  $G_1$  are not isomorphic

#### protocol

prover & verifier know  $G_0, G_1$  verifier picks random pi and  $\mathbf{b} \in \{0,1\}$  verifier sends  $\mathbf{T} = \mathbf{pi} * G_b * pi^{-1}$  prover sends  $\mathbf{r} = \mathbf{0}$  if  $\mathbf{T} \ \tilde{} \ G_0$  or  $\mathbf{r} = \mathbf{1}$  if  $\mathbf{T} \ \tilde{} \ G_1$  verifier checks that  $\mathbf{r} = \mathbf{b}$ 

# zero-knowledge

only HV-ZK

else V chooses arbitrary graph K to learn if isomorph

#### three graph extension

with three graphs, verifier permutes each graph then sends triple shifted by random factor prover must be able to tell shift factor only HK-ZK, be else learn shift factor

# 2.13.5 graph coloring

proof of statement given graph G proof that a k-coloring exists

#### protocol

verifier picks random pi (bijection on vertices) verifier applies pi to vertex color map f to get f' verifier creates commitment for all f' & sends to prover prover sends edge (i,j) to verifier verifier opens commitments to  $C_i$ ,  $C_j$  prover accepts if committed color is different

# ${\bf zero\text{-}knowledge}$

yes, because c-simulatability given

# 2.13.6 hamiltonian cycle (hc)

given graph  $G_0$ 

proof that  $G_0$  has closed path visiting each node exactly once

# adjacency matrix

matrix with 1 where directed graph has edge select exactly one 1 in each row/column for hc

# protocol

prover picks random permutation piverifier picks  $c \in \{0,1\}$  if 0, prover uncovers whole adjacency matrix verifier checks permutation is valid if 1, prover uncovers 1 in each column/row (hence cycle) verifier checks in each column/row exactly one 1  $\Rightarrow$  unclear how to simulate "uncover"

#### proof

check completeness by inspection both c=0 and c=1 fulfillable by peggy soundness when knowledge extractor exists negligible, C witness, 2-extractable all given hence KE exists 2-extractable because with both responses can reconstruct answers zero knowledge when simulator can output transcript for c=0 choose random permutation for c=1 choose H all 1's; open random HC commitment must be type H (for c=1 case)

#### 2.13.7 boolean circuit

lower reduction overhead than hc bc more cases representable computes fulfilment of boolean circuit let input bits flow through scrambled truth tables after truth table add masking bit (0 or 1 mask)

#### scramble truth table

(1) on each wire, choose random bit and XOR input/output hence if bit 1, invert truth table input column from wire and truth table result / input bit leading to wire (2) permute rows of truth table randomly

#### protocol

peggy permutes truth tables of whole circuit and commits then computes result of permuted circuit if vic chooses c=0 then peggy reveals circuit & random bits hence peggy verifies the permutation was applied correctly if vic chooses c=1 then peggy reveals masked input bits & hit rows in tables

then peggy verifies that hit rows indeed give asserted result

# $\mathbf{proof}$

completeness by inspection soundness; for c=0 open blobs, then use c=1 to get valid row assignments recover original input values bottom-up zero-knowledge because c-simulatable for c=0, scramble circuit, send all blobs & open all for c=1, set to all 1 in output, then open random rows

#### 2.13.8 boolean circuit 2

use zero knowledge proofs of equality instead of blinding bits

#### gate protocol

P randomly permutes function table and commit to elements V chooses c=0 or c=1 if c=0 then P opens all commitments of table V checks if valid permutation if c=1 then P proofs ZK that blobs of matching row equal

# protocol

P commits to all bits on wire P uses gate protocol to show V that gates correct

# 3 protocol foundations

#### 3.1 attackers

share state with all other attackers passive attacker must follow protocol active attacker may deviate usually constraint protocol to max t attackers

#### 3.2 security

#### information theoretical

no assumptions like RSA, one-way functions proof scheme only breakable with negligible probability usually assume authenticated, complete, synchronous network

# ${\bf cryptographic}$

assume primitives to be secure based on hardness assumption

# 3.3 oblivious transfer (OT)

property on channel between sender  $\rightarrow$  trusted party  $\rightarrow$  receiver all variants equivalent all variants have string (instead of bit) variation (OST)

#### rabin-OT

sender sends s to TTP TTP only forwards s in 50%, else bottom

# 1-2-OT

sender sends  $s_0$ ,  $s_1$  to TTP receiver send i to TTP for  $i = \{0,1\}$ TTP forwards selected  $s_i$  to receiver

### 1-k-OT

sender sends  $s_0$ ,  $s_1$ , ... to TTP receiver send i to TTP for  $i = \{0,1, ...\}$  TTP forwards selected  $s_i$  to receiver

 $1-2-OT \Rightarrow 1-k-OT$ 

do k rounds, with each round random  $r_i$  and value  $c_i$  each round, send  $e_i$ ,  $r_i$  over 1-2-OT for  $e_i = c_i$  XOR with all r\_(i-1) hence receiver pick randoms until at round i with  $c_i$  now can decrypt  $r_i$  to  $c_i$  (because knows all r\_(i-1)) but does not learn  $r_i$  (hence can not decrypt any later value e\_(i+1))

#### $1-2-OT \Rightarrow rabin-OT$

choose  $i \in \{0,1\}$ send  $b_i = b$ ,  $b_-(i-1) = 0$  over 1-2-OT send iif receiver picked correct  $b_i$ , now learns belse learns nothing (and knows it, bc i != receiver picked i)

#### $\mathbf{rabin\text{-}OT} \Rightarrow \mathbf{1\text{-}2\text{-}OT}$

transfer k random bits  $r_i$  with rabin-OT (for k security parameter) receiver learns expected 1/2 of these  $r_i$  receiver chooses random c receiver forms  $T_c = \{\text{index where received}\}$ ,  $T_{-}(c-1) = \{\text{index where not received}\}$  receiver sends  $T_0$  and  $T_1$  to sender sender XOR  $r_i$  for all in  $T_0$  (= $t_0$ ) and same  $T_1$  (= $t_1$ ) sender sends  $e_0 = t_0$  XOR  $e_0$ ,  $e_1 = t_1$  XOR  $e_1$  now receiver can decrypt  $e_c$  with XOR  $e_1$ 

#### guarantees

recipient learns only one of the strings sender does not know which one

# 1-2-OST with RSA/AES

sender has secrets  $s_0$ ,  $s_1$ ; shares one with receiver generate two pairs of RSA (n, e, d) for n similar sender sends  $(n_0, e_0)$  and  $(n_1, e_1)$  to receiver receiver chooses random r receiver sends back  $u = r^{\hat{}}(e_b)$  for some  $b = \{0,1\}$  sender calculates  $k = u^{\hat{}}(d)$  for both d sender calculates  $y = AES_k(s)$  for both s sender sends  $y_0, y_1$  to receiver receiver gets  $s_b = AES_decrypt_r(y_b)$  works because  $r^{\hat{}}(e_b)^{\hat{}}d = r$  for correct  $d \Rightarrow$  can be generalized to 1-k-OST

#### 3.4 secret sharing scheme

dealer D shares secret s among parties P qualified subset of P reconstruct s (without needing D) access structure L defines who is able to reconstruct

# definition

for protocol (share, reconstruct) with parties P, access structure L
(1) after share, there is a unique value s'
where s' = s of dealer if dealer honest
(2) after reconstruct(M), iff M subset<sub>of</sub> L, M knows s'
(3) after share, all M' not\_subset\_of L do not know s'
(1),(2) for correctness, (3) for privacy

# for $L = \{P\}$

n parties,  $L = \{P\}$  (hence all parties required for secret) (share) send random xi to Pi such that  $\sum xi = s$  (reconstruct) all parties send each other xi

#### for L = arbitrary

n parties, L= arbitrary (share) for each  $M\in L$  send random xi to Pi such that  $\sum xi=s$  (reconstruct) parties  $\in M$  send each other specific xi (hence parties receive multiple xi if in multiple M)

#### linear sharing scheme

if secret s and randoms  $r_1, ..., r_m$  can be used to calculate player secrets  $s_1, ..., s_n$  define as matrix multiplication with A as m\*n "decompose-matrix"  $[s_1, s_2, ...] = [A_{10}, A_{11}, ...; ..., A_{nm}] * [s, r_1, ..., r_m]$ 

#### 3.5 shamir's secret sharing scheme

n parties,  $L = \{any \ M \ for \ |M| \ge k\}$  hence k parties needed for reconstruction

#### polynomial construction

construct polynomial f of degree d = k-1 with secret s at f(0) hence of the form  $f(x) = s + a_1 * x + a_2 * x^2 + ... + a_(k-1) * x^(k-1)$  for  $a_i$  picked randomly matrix A looks like [...; 1,  $a_i$ , a.i^2; ...] ("van der monde matrix")

lagrange

for 
$$y.i(x) = \prod ((x - a_i)/(a_i - a_j)), s_i = f(a_i)$$
  
 $f(x) = \sum (y.i(x) * s_i)$ 

hence allows to calculate value at x with  $(a_i, s_i)$  tuples for polynomial of degree k, k tuples needed

protocol

(share) choose f with degree d with f(0) = secret choose n points  $a_i$  on f such that  $a_i != 0$  send  $(a_i, s_i)$  for  $s_i = f(a_i)$  to each party  $P_i$  (reconstruct) send  $(a_i, s_i)$  to P P reconstructs s with lagrange interpolation on x = 0 note that points  $a_i$  can be public; only  $s_i$  must be party private

analysis if definition holds

(1) bc f provides single secret at f(0)

(2) bc with k shares, lagrange can output value

(3) bc with < k shares, any secret still compatible

 $\Rightarrow$  degree must be d = k-1 for (3) to hold

violate privacy with k-1

construct d-1 polynomial g out of k-1 shares now know that g(0) is not real value be else g would be equal to real polynomial

#### 4 broadcast & consensus

#### 4.1 known thresholds

for crypto, t < n (but consensus undefined for  $t \geq n/2)$  for theoretic, t < n/3

#### 4.2 broadcast

single sender sends message to many receivers input x; output  $y_1,\,y_2,\,\dots$ 

#### definition

(of course only need to hold for honest players) consistency (y\* all equal) validity (if sender honest  $\Rightarrow$  y\* = x) termination (y eventually received)

#### behaviour

players output same y\* sender honest  $\Rightarrow$  players output y\*=x

# 4.3 consensus

many players agree on single value of majority input  $x_1, x_2 ...$ ; output  $y_1, y_2, ...$  undefined for  $t \ge n/2$  (because majority unclear) "pre-agreement" if all honest provide same input

#### definition

(of course only need to hold for honest players) consistency (y\* all equal) persistency (if all honest same input  $x \Rightarrow y* = x$ ) termination (y eventually received)

#### behaviour

players output same y\*pre-agreement  $\Rightarrow$  players output y\*=x

# 4.4 consensus vs broadcast

#### given consensus, construct broadcast

 $P_1$  sends x to all  $P_j$  which receive xj  $(y_1, y_2, ...) = \text{consensus}(x_1, x_2, ...)$   $P_j$  output yj

# given broadcast, construct consensus

Pi broadcast xi yj = majority of received xi Pj output yj

#### construction

weak  $\rightarrow$  graded  $\rightarrow$  king  $\rightarrow$  "normal" consensus then broadcast is archived

# 4.5 weak consensus

players output  $(y_i$  or bottom) such that all  $y_i$  are equal input  $x_1,\ x_2\ ...;$  output  $y_1,\ y_2,\ ...$ 

# properties

weak consistency (all y\* equal or bottom) persistency (if all honest same input  $x \Rightarrow y* = x$ ) termination (y eventually received)

#### protocol

send xi to every Pj if (#zeros  $\geq$  n-t) then yj=0 else if (#ones  $\geq$  n-t) then yj=1 else yj=bottom return yi

# proof weak consistency

if Pi outputs 0, it received  $\geq$  n-t zeros hence Pj received  $\geq$  n-2t zeros (bc at most t malicious) hence Pj received  $\leq$  2t < n-t ones

#### 4.6 graded consensus

input  $x_1, x_2,...$ ; output  $(y_1, g_1), (y_2, g_2),...$  for g grade, "how secure I am with this choice"

#### properties

graded consistency (if some p has  $(y, g=1) \Rightarrow y* = (y, *)$ ) graded persistency (if all honest same input  $x \Rightarrow y* = (x, 1)$ ) termination (y eventually received)

#### protocol

 $\begin{array}{l} (z_1,\,z_2,\,\ldots) = \text{weak\_consensus}(x_1,\,x_2,\,\ldots) \\ \text{Pi sends zi to all Pj} \\ \text{if } (\#\text{zeros} \geq \#\text{ones}) \text{ then } \mathbf{y} = 0 \text{ else } \mathbf{y} = 1 \\ \text{if } (\#\mathbf{y} \geq \mathbf{n}\text{-t}) \text{ then } \mathbf{g} = 1 \\ \text{return } (\mathbf{y},\,\mathbf{g}) \end{array}$ 

#### proof graded consistency

assume party outputs (0, 1) (hence  $\#zeros \ge n-t$ ) then for others  $(\#zeros \ge n-2t) > (\#ones \le t)$ because weak consensus implies no honest party published ones

#### 4.7 king consensus

take own value if sure, else take kings value input  $x_1,\,x_2,\,\dots;$  output  $y_1,\,y_2,\,\dots$ 

#### properties

king consensus (if king correct  $\Rightarrow$  y\* = y) persistency (if all honest same input x  $\Rightarrow$  y\* = x) termination (y eventually received)

#### protocol

 $((z_1,g_1),(z_2,g_2),...) = graded\_consensus(x_1,x_2,...)$ Pk (king) sends (zk, gk) to all Pj for all Pj if (gj = 1) then y = zj else y = zk (kings value) return y

# proof king consistency

assume king is honest

for  $g_i = 0$ , then take kings value

for  $g_j=1$ , then all honest have same value (bc graded\_consensus) hence in both cases, king's value is taken

# 4.8 consensus

do kings consensus t+1 times; giving output as input again first honest king archives consensus (due to king consensus) consensus is kept until the end (due to persistency)

#### protocol

(repeat t+1 times for t+1 different kings)  $(x_1, x_2, ...) = \text{king\_consensus}(x_1, x_2, ...)$ 

# 4.9 impossibility for P=3, t=1

if honest players both input 1, must decide 1 if honest players both input 0, must decide 0 if honest players have different input, must decide on same honest player can not differentiate situations hence can not fulfil consistency / persistency at same time

#### formal proof

assume consensus protocol for n=3, t=1 assume three programs  $pi_i$   $(x_i \Rightarrow y_i)$ , used by player  $P_i$  each program has two channels for input/output to other player attacker corrupts some player  $P_i$ , starts programs & connects channels makes different cases (with different required output) look like the same  $c_1 = (P_1 \text{ compromised}, x_2 = 1, x_3 = 1) \rightarrow \text{output } 1$ 

 $c_2=(x_1=0,\,P_2\text{ compromised},\,x_3=0) \to \text{output }0$   $c_3=(x_1=0,\,x_2=1,\,P_3\text{ compromised}) \to \text{output }y_1=y_2$ for  $c_1$ , starts  $pi_1,\,pi_3$  with both input 0 for  $c_2$ , starts  $pi_2,\,pi_3$  with both input 1 for  $c_3$ , starts  $pi_3,\,pi_3$  with input 0 and 1 respectively in each case, connects programs such that same layout produced

#### 5 commitment schemes

peggy P commits to value x towards vic V P can open x at some point in the future

#### 5.1 definition

P inputs x in COMMIT V outputs x' in OPEN

# properties

binding (after commit, x is fixed)
hiding (with commit, V does not learn x)
"non exploitable" information; total security

"non-exploitable" information; total security not required

#### correctness

if vic honest,  $x' \in \{x, bottom\}$  if both honest, x' = x

### 5.2 trivial implementations

#### hash function h

send h(x) to V (COMMIT) send x to V (OPEN) but same value can only be committed to once

# needs random oracle heuristic for h hash function with random r

send  $h(r \mid\mid x)$  to V (COMMIT) send (r, x) to V (OPEN) but security depends on hash function

#### 5.3 commitment schemes (non-interactive)

function  $C(x, r) \to b$ P sends b = C(x,r) (the blob) to V (COMMIT) P sends (x,r); V checks that b = C(x,r) (OPEN)

# perfectly binding (Type B)

unbounded peggy cannot open x' = x (at least) computational hiding interactive proof bc result unchangeable (but hiding breakable)

# perfectly hiding (Type H)

unbounded vic cannot obtain x (at least) computational binding interactive argument be could cheat

# simultaneous Type B / Type H not archivable

for Type B, only single message producable for same commit formally, C(x,r) intersect C(x',r) = empty for Type H, two different messages must be producable formally, C(x,r) = c(x',r) hence both at the same time impossible

#### combine schemes

like C\_B(C\_H(x,r\_1), r\_2) (1) or (C\_B(x,r\_1), C\_H(x, r\_2)) (2) perfectly hiding as soon as chained (like (1)) else computational (like due to  $C_B$  in (2)) perfectly binding as soon as parallel (like (2)) else computational (like due to  $C_H$  in (1)) for computational binding (2), proof by contradiction assume efficient x!=x' for  $C_B$  hence C\_H(x,  $r_1$ ) = C\_H(x',  $r_2$ ), breaks computational hinding of  $C_H$ 

# 5.4 discrete log scheme (type B)

cyclic group H of prime order q = |H| generators g of H

#### COMMIT

 $x \in Z_p$  (value) send  $C(x) = (g^x)$ 

#### OPEN

send x

# perfectly binding

 $g^x$  only single result

### computational hiding

come up with x for  $g^x$  if possible value room is small, trivial to break like for x = age; simply bruteforce from 0 - 100

# 5.5 pedersen commitment scheme (type H)

cyclic group H of prime order q=|H| generators g and h of H with unknown discrete logarithm of g to h (DL\_g(h))

#### COMMIT

 $x \in Z_p$  (value)  $r \in Z_p$  (random) send  $C(x,r) = g^x * h^r$ 

#### OPEN

send x, r

# perfectly hiding

uniformly random  $r \Rightarrow$  uniformly random  $h^r$  hence  $h^r$ ,  $g^r$  is also uniformly random

# computational binding

come up with r', x' such that  $h^r*g^x$  equals old value efficiently possible with known DL\_g(h) ("trapdoor")

## 5.6 elgamal commitment scheme (type B)

cyclic group H of prime order q=|H| generators g and h of H with unknown discrete logarithm of g to h (DL\_g(h))

# COMMIT

 $\begin{aligned} \mathbf{x} &\in Z_p \text{ (value)} \\ \mathbf{r} &\in Z_p \text{ (random)} \\ \text{send } \mathbf{C}(\mathbf{x},\mathbf{r}) &= (g^r, g^x * h^r) \\ \end{aligned}$ 

for g in second part, could use third generator with unknown DL

#### OPEN

send x, r

# perfectly binding

 $g^r$  defines r, hence  $h^r$  also unique  $h^r$  defines  $g^x$ , hence x also unique

# computational hiding

come up with r for  $g^r$  this defines  $h^r$ , which gives  $g^x$  come up with x for  $g^x$  efficiently possible with known DL-g(x) ("trapdoor")

#### 5.7 homomorphic

C(x,r) op C(x',r') = C(x op x', r op r') examples are DL, pedersons, ElGamal

# implication

if commitment to a and to b known then results in commitment to c = a op b

# 6 multi-party commitments

 $P_i$  commits to value x towards all parties either all parties accept or reject (same) value

## 6.1 properties

consistency (D honest  $\Rightarrow$  no rejections, some rejections  $\Rightarrow$  all rejections) privacy (hiding; COMMIT does not leak to attacker) uniqueness (binding; OPEN only with single value)

# 6.2 construction

given non-interactive commitment scheme C COMMIT with b=C(x,r) & broadcast b OPEN with broadcast (x,r); accept x if b=C(x,r)

# 6.3 distributed commit scheme with shamirs secret sharing

use bivariate polynomial f(x,y) with single degree t with secret at f(0,0) each player gets (secret) value at some (public) point  $a_i$ 

#### commit

D send each party projection on x coordinate and y coordinate hence sends  $P_i$  (h\_i(x) = f(x,  $a_i$ ), k\_i(y) = f( $a_i$ , y))

[consistency checks]

each  $P_i$  compares with each  $P_j$  for consistency

 $P_i$  sends h\_i(a\_j) to  $P_j$ , which checks that equals to k\_j(a\_i)

if inconsistent, accuse dealer and broadcast  $(a_i, a_j)$ 

dealer must broadcast  $f(a_i, a_j)$ 

[accusations]

 $P_i$  checks if  $f(a_i, a_j) == k_i(a_j), P_j$  checks if  $f(a_i, a_j) == h_j(a_i)$ 

if inconsistent, accuse dealer and broadcast

dealer must broadcast  $h_i$  and  $k_i$  of accusing player

players compare  $h_i$  and  $k_i$  with their own  $h_l$ ,  $k_l$ 

like  $h_i(a_l) = k_l(a_i)$  and  $k_i(a_l) = h_l(a_i)$ 

if inconsistent, accuse dealer and broadcast

dealer must broadcasts  $h_l$ ,  $k_l$  of all accusing players

accusing players use broadcasted  $h_l$ ,  $k_l$  in future calculations

[determine commit-share]

if more than t accusations in step before, then disqualify dealer else all without accusation calculate  $s_i = h_i(0)$ , others  $s_i = k_i(0)$ 

dealer outputs g(x) = f(x, 0)

(note this is the polynomial opened at the end)

dealer broadcasts coefficients of polynomial players check if their share lays on the polynomial accepted if at most t players accuse D if D publishes f' != f with same degree t but different 0 value

hence at most t places equal than f

therefore at most n - t (bc equal) - t (bc dishonest) accept, still > t

privacy fulfilled; no information published attacker not already has only up to t published, hence still any secret compatible correctness trivial if dealer is honest else after accusations, polynomials pairwise compatible for honest assume f'(x,y) of degree d is determined by t+1 honest players then also compatible with other honest player's  $h_i$ ,  $k_i$  (bc of pairwise)

# improvement to only 2 accusations

then dealer disqualified or no further accus. by honest parties bc not disqualified in first round, t+1 honest players consistent (hence polynomial of degree d already defined) in round 2 dealer has to publish h & k of some party must lie on unique polynomial else all honest accuse (and disqualify)

### 6.4 commitment transfer protocol (CTP)

transfer commitment to other party for seen schemes, simply send the secret values

# example shamir's sharing

send polynomial g to new party all parties send secrets to new party if > n-t secrets lie on g, accept else accuse using broadcast

#### 6.5 commitment sharing protocol (CSP)

dealer is committed to some value s want that all players are committed to some share of s

### protocol

dealer chooses random coefficients and commits to them hence all  $P_i$  now know all commitments  $c_i$ players use homomorphy to check if  $\prod c_i = c$  of s dealer uses CTP to transfer corresponding share to Pi

#### 6.6 commitment multiplication proof (CMP)

dealer is committed to some value a,b want that dealer is committed to value c = a\*b

# protocol

D calculates c = a\*b, commits to c

D executes CSP for a,b with degree t (using f(x) with f(0) = a, g(y) with

hence  $P_i$  are committed to  $a_i$  and  $b_i$ 

D executes CSP for c with degree 2t (using h(x) = f(x) \* g(x))

hence  $P_i$  are committed to  $c_i$ 

all  $P_i$  check that  $c_i = a_i * b_i$ 

if no player is able to open commitments to  $a_i$ ,  $b_i$  and  $c_i$ 

such that  $a_i * b_i != c_i$ 

then protocol successful

# 7 multiparty computation (MPC)

enable n mutually distrusting parties to compute function on their input without revealing information not revealed by output

### 7.1 examples

#### real world

statistics (first intercourse, tax evading, ...) elections / votes / auctions millionairs problems

loans (customer at different banks but same guarantees) zero-knowledge (ZK) proofs (isomorphic graphs) stock market (buy/sell at some price, TTP is exchange)

#### secure function evaluation

send  $x_i$  to TTP

TPP computes some  $f(x_1, x_2, ...) = (y_1, y_2, ...)$ receive back securely computed  $y_i$ 

#### limitations

even passive attackers share state if sharing state is advantage, then without TPP impossible for example poker as attacker state influences victims state

### 7.2 MPC protocol

specification with trusted third party (TTP) translated to protocol without TTP but same security

if the adversary cannot achieve anything that he could not achieve in the specification

to prove that "not worse" than specification create a simulator for each adversary that if would execute same steps under specification would get equal outcome (same result, nothing new learned)

#### properties

defined relative to specification privacy (nothing additional learned)

correctness (output cannot be falsified by dishonest)

fairness (cannot abort with advantage)

means output learned at the same time by all

hence can not abort as soon as result known to prevent others from knowing it too

robustness (protocol abortion impossible)

#### known thresholds

for crypto, t < n for passive, t < n/2 for active for theoretic, t < n/2 for passive, t < n/3 for active or assuming broadcast, t < n/2 for active

#### 7.3 compute sum of inputs

# specification

 $P_i$  have input  $x_i$ sent to  $x_i$  to TTP TTP sums and sends y to  $P_i$ 

#### guarantees as given by specification

honest parties never learn other's values honest parties finish with same sum attackers may choose input / output value

# ring protocol

initiator sends random r to first party each adds own value and forwards to next party in order initiator receives result, subtracts r and broadcasts result ≥ 2 passive attacker uncover (for example, of party in between)

# distributed sum

each party creates n  $x_{ij}$  random parts of own value with sum  $x_i$ sends  $x_{ij}$  to Pj Pj sums up received  $x_{ji} = y_j$ Pj sends  $y_j$  to all Pi as  $a_i$ Pi sums up all  $a_i$  for result

t < n passive attackers supported single active attacker can decide result (by sending last)

# 7.4 MPC from OT

alice, bob with own secrets a, b

public fixed function F AxB→C want to know output without other parties value

alice sends  $[f(a,b_1), f(a,b_2), ...]$  via 1-k-OST bob chooses b'th value bob sends value to a

### guarantees

bob/alice learns result

bob/alice never sees each other's input

### invertable function

with invertable function, bob learns a's value from result but behaviour already possible in specification hence does not invalidate protocol

### generalization to 3 parties

send to party 2 table of evaluations hence entry  $b_1$  contains  $f(a, b_1, c_1)$ ,  $f(a, b_1, c_2)$ , ... but insecure against passive attacker party 2 for example if f(x,y,z) = 1 iff x=y=zneed to use one-time pad to encrypt table entries for party 2 party 1 sends keys directly (and only) to party 1

#### 7.5 t < n/2 as an upper bound (passive)

two parties A, B running probabilistic program pi calculate AND over their two two bits

A, B have input bit a,b and random  $r_a$ ,  $r_b$  (for probabilistic) execute protocol to get transcript T depending on input (naturally)

if b = 0, then transcript must not contain info about a else contradicts privacy (nothing additional must be learned) hence output must be distributed identically as  $r_b$ if b = 1, then transcript must be influenced by a's value else contradicts correctness (result calculated from input) hence output distributed differently for a=0 and a=1

#### how to get B's input from T

A counts how often exact transcript was produced (possible bc if A sends same message, B must respond same) for input  $(a = 0, any r_a)$  and  $(a = 1, any r_a)$ if for both a=0 and a=1 only negligible difference in occurrence then b was 0 (bc no info about a in transcript) else b was 1 (bc transcript dependent of a's input)

#### generalization to n

assume protocol exist supporting t > n/2 for n > 2let task be "calculate AND", two parties with input bit, others bottom then form two player groups  $M_1$ ,  $M_2$ let A simulate all players of  $M_1$ , and B all of  $M_2$ contradicts that such a protocol can exist

# active MPC attack

assume protocol with shortest messages sent & alice's turn alice can cheat by simply not sending last message alice must still learn result (bc no more input) but bob does not (else not shortest protocol) generalize to protocol with varying rounds by arguing with non-negligible success probability cryptographic implementations can not fix attack

# binary function computations

for even-number of 1's (like XOR) MPC possible use trivial procotol (simply exchange input) bc output of function reveals inputs anyways (specification fulfilled) for uneven-number of 1's can reduce to AND case hence impossible

# 7.6 MPC computation in steps

compute in steps (each one being add/mult or XOR/AND in binary) every intermediate result shared under n players with secret sharing

# phases

input (input is shared between the players) computation (computation takes place, preserving invariant) output (output-receiving player receives shares of all others)

# abstraction to players

users send input to players not necessarily #players = #users

#### example distributed sum 2

users split input and send  $x_{ij}$  to players players sum and send  $y_i$  to users

#### computations

addition / multiplication due to linearity easy random with each party sending random  $r_i$ inversion by multiplying  $x^(p-2)$ , using square-and-multiply  $(\log(p))$ fast inversion by letting parties invert y = x\*r; then  $x^{-1} = y^{-1} * r$ x iff c=0, else y  $\Rightarrow c_{inv} = c^{(p-1)}$ , then z =  $(1-c_{inv}) * x + c_{inv} * y$ zero knowledge proofs with parties agreeing on single challenge

# 7.7 MPC computation with additions/multiplications

first share input then execute additions / multiplications at the end reconstruct output (singular notation means single party, plural many)

#### share input by $P_i$

let  $P_i$  have s  $P_i$  selects random  $r_1, r_2, ...$  $P_i$  computes  $(s_1, s_2, ...) = A * (s, r_1, r_2, ...)$  $P_i$  sends  $s_i$  to every  $P_i$ 

#### addition

let a,b be shared as  $a_1, a_2, \dots$  and  $b_1, b_2, \dots$  $P_i$  compute  $c_i = a_i + b_i$ privacy (bc no communication) correctness (due to linearity of operator like addition)

#### linear function

same as addition; simply replace addition by function

# multiplication (naive approach)

let a,b be shared as  $a_1, a_2, \dots$  and  $b_1, b_2, \dots$  $P_i$  compute  $d_i = a_i * b_i$ degree of polynom now at 2t ( $2t \le n$ , hence reconstruction still possible) but repeated multiplication not possible but polynom is reducable (contradicts privacy)

#### multiplication

let a,b be shared as  $a_1, a_2, \dots$  and  $b_1, b_2, \dots$  $P_i$  compute  $d_i = a_i * b_i$  $P_i$  share  $d_i$  with all other players using shamir sharing hence receive  $(d_{1j}, d_{2j}, ...)$  from others  $P_j$  calculate  $c_j = \sum_j w_i * d_{ij}$ for w is weight according to lagrange hence  $w_i = \prod a_k / (a_k - a_i)$  for all k != jnew shares  $c_j$  on random poly with degree  $\leq$  t

# reconstruct output $P_i$

let a be shared as  $a_1, a_2, \dots$  $P_i$  send  $a_i$  to  $P_j$  $P_i$  computes  $a = L(a_1, a_2, ...)$ 

verify for each operation privacy & correctness argue with no communication or underlying used protocol share OK, bc new randoms are generated addition OK, bc no communication multiplication privacy be either no communication / already known multiplication correctness be polynomial has degree 2t < n-t reconstruction OK, bc only reconstructors get any message

#### 7.8 MPC computation with additions/multiplications corruption

for t < n/2 attackers

when broadcast is needed, only t < n/3 attackers must use broadcast for accusations

- (0) publish secret information (passive corruption)
- (1) + send additional messages
- (2) + withhold messages
- (3) + send wrong messages

# 7.8.1 protect against (0)

OK, because any t players can not reconstruct output same than previous assumption as passive attackers already share state

# 7.8.2 protect against (1)

honest parties ignore messages not specified in the protocol corrupted parties knew messages anyways (bc of shared state) hence no advantage

#### 7.8.3 protect against (2)

# [share input] Pi does not send sj to all Pj

Pj broadcasts accusation

upon which Pi broadcasts its secret

if Pj corrupted, will receive broadcast

OK bc attacker knew secret already through corrupted party if Pi corrupted & withholds, can choose any value (like 0) OK bc others do the same

# [multiplication] Pi does not share di

Pj broadcasts accusation

upon which Pi broadcasts its secret

if Pj corrupted, will receive broadcast

OK bc attacker knew secret already through corrupted party

if Pi corrupted, problem bc share is needed for algorithm

(1) repeat without dealer (but slow)

(2) reconstruct dealer share with any t+1 honest parties

- (3) (2) + eliminate dealer; broadcast messages to eliminated players
- (3) eliminate dealer, reshuffle results with t-1 assumed attackers

# [reconstruct output] Pi receives not enough shares up to t will not send, but can reconstruct polynomials

because n-t received is enough

# 7.8.4 protect against (3)

detect wrong messages and treat them like missing values for detection, force participants to commit values

#### commitment types

on value send, include commitment in transfer

on value broadcast, sender must open commitment to all players on value computation, commit to result & prove correctness (e.g. with  $\rm ZK$  proofs)

in practice, always broadcast commitment else could simply send different commitments to different players

# required commit properties

- (1) commit to single value
- (2) open commitment to some other player
- (3) transfer commitment to other player
- (4) combine two commitments into one, adding values
- (5) combine two commitments into one, multiplying values

#### analysis

- (1) and (2) part of any commitment scheme
- (4) need commitment scheme which is homomorph
- (3) use commitment transfer protocol (CTP)

usually just send commitment & trapdoor to receiver

(5) use commitment multiplication protocol (CMP)

"need homomorph commitment scheme with CTP & CMP and broadcast"

#### protocol construction

[sharing input] make all players commit, use CTP to transfer [adding / applying linear function] use homomorphic property [multiplying] use CMP for mult, homomorphic for (linear) lagrange computation

[reconstruct output] players open commitment to receiver

# security level

variate commitment scheme according to security level for computational, use cryptographic like Pedersen or ElGamal for theoretical, use distributed commitment schemes

# computational implementation

as homomorph commitment use discrete log, pederson, elgamal CTP trivial for all three; simply transfer secrets

CMP using ZK proofs (constructed depending on scheme)

for n verifiers, execute ZK proofs n times

or let verifiers construct single challenge to simulate single verifier let each verifier choose & commit to  $c_i$ , use CTP, then  $c = L(c_i)$ 

# $theoretical\ implementation$

use distributed commit protocol

holds for t < n/3, for assumed broadcast even t < n/2

same holds for MPC (by construction)

# 8 blockchain

# 8.1 bank

bank keeps track of all balances users request transactions (source, target, amount) bank executes valid transactions

#### transactions on ledger

ledger where anybody can append/read entries but no one can modify/delete entries initialized with initial balances balances derived from initials & transactions but no privacy (only pseudo anonymity) consistency because all users agree on state

# sign transactions

account number is public key transactions must be signed but can still replay, link transactions

#### add nonces

add nonce field to transaction use nonce=counter to check uniqueness easy correctness because signature & no replay

#### transaction validity check

amount nonnegative & available at sender signature signed under public key sender target account valid bit-string not executed before

#### escrow account

require k signatures for account by n parties A transfers fund to shared account (k=2, n=3) B does real-world transaction when A & B agree, both sign and transfer fund to B if not, judge J uses its signature to break to for A or B

# 8.2 trusted third party (TTP)

each user sends new entries to TTP TTP appends to ledger and distributes new ledger

#### introduce blocks

TPP collects multiple valid transactions in blocks adds hash of previous valid block

#### block valid check

syntactically correct hash of previous valid block transactions included all valid

#### distribute trust with MPC

parties simulate the ledger together but only fixed & small number of participants possible but needs synchronous communication

#### distribute trust without MPC

users send new entries to all parties parties maintain local pool of unposted transactions (hence liveness with at least one honest party) king is chosen which forms & broadcasts new block parties store received block & forward to users (hence consistency for parties for t < n/3) user chooses block received most often (hence consistency for users for majority honest) must only accept valid blocks

### decouple users

improve performance protocol with less messages user sends new entries only to some parties (for liveness at least one honest party needed) user requests blocks from some parties (for consistency, majority must be honest)

# 8.3 permissionless

anyone is able to participate in network (eg create blocks) alternative called "permissioned"

#### setting

multicast channel realised as peer-to-peer network honest messages received by everyone

# $\mathbf{spam}\ \mathbf{problem}$

assuming identity / sending messages cheap, hence attack easy receiver-filtering has high cost & false positives hence use proof-of-work of sender

# partial hash inversion proof of work

given msg, find H(msg || nonce) for any nonce such that results starts with D zeros needs an average of  $2^D$  guesses (hard) verification is one hash query (easy)

#### 8.4 block lottery

players hold copy of ledger locally try to guess correct nonce to publish next block

#### block

consist of message, nonce, previous block hash players try to guess nonce to append new block

# validity

correctly formatted only valid transactions contained hash of last valid block contained hash value with at least D 0s

#### signatures

to avoid players having to hold ledger locally players request at most t+1 parties (bc could withhold) then only need to verify t+1 signatures (bc more than attackers)

#### 8.5 bitcoin protocol

users multicast their entries, parties collect parties try to extend longest chain with fitting nonce winner party multicasts block with new entries parties/users append local ledges with valid new blocks

#### observations

difficulty D and total available power determine production if multiple winners then block tree is created these branches prevent instant confirmation

#### setting difficulty

low allows fast reproduction (but many branches) high has few reproduction (but slow) winner should know previous block measure reproduction rate & adjust D automatically bitcoin targets 10min per block

#### entry confirmation

probabilistic consensus; agree when rollbacks unlikely hence confirm if entry k blocks deep on longest chain

#### problems

specialized hardware/centralization skews the lottery scaling does not improve performance consumes more energy than switzerland

# 8.6 reorganisation attack

active adversary with limited computation power adversary published block b with entry e creates second branch, starting before entry e adversary waits until receives compensation for entry then publishes longer second branch hence entry e is no longer part of chain

# observations

attacker needs to build chain faster than rest of network hence needs majority of computing power make attack harder by choosing large confirmation  ${\bf k}$ 

#### 8.7 proof of stake

use money as limited resource select blocks proportional to wealth prevents sibyl attacks (more identities not helpful)

# lottery approach

lottery winner proposes block lottery tickets proportional to stake

# byzantine fault tolerance

king replaced by winner of BFT broadcast simulated by committee committee chosen by lottery

# randomness source

need to generate randomness to draw from lottery use some form of MPC but expensive & unclear how to choose participating parties use verifiable random functions (VRF) compute  $VRF(king_{me}, random)$  and publish result with proof others can verify proof with public key system

#### initial

needs initial stake distribution

or start with proof of work, then switch later

#### 8.8 actual ledgers protocols

bitcoin with PoW, t < n/2 ethereum like bitcoin + smart contracts cardano PoS bitcoin-like, t < n/2 algorand PoS BFT, t < n/3; no branching possible

#### 8.9 construct bank

#### minting

miners need incentive to invest energy creator of block gets block reward could restrict total number of possible coins bitcoins halves over time, fixes at 21 million

#### transaction fees

users could overwhelm the system with transactions each transaction pays fee from sender to block creator higher fee transactions processed first in bitcoin, fees will replace minting

#### smart contracts

conditional transactions or other contracts allows for investments, insurance, games expressed as code, hence unambiguous execution chain contract execution states like transactions but publicly visible, bugs unfixable

#### mining pools

together with other parties work on same nonce trusted party then commits solution to network distributes reward proportionate to participating parties estimate proportion by letting parties solve smaller challenges

#### 8.10 privacy

ledger is public; transactions reveal amounts, accounts

#### hide balances

can encrypt balances/transactions with public key scheme sent\_amount under pk receiver updated\_amount under encryption sender zero-knowledge proof checks validity

#### anonymous transactions

hide sender & receiver of transactions needs many zero-knowledge proofs

#### real world

privacy level often unclear monero had bug allowing to link transactions zcash has opt-in & small anonymity set