

# Applied Cryptography - Part 2

71147 characters in 12042 words on 1825 lines

Florian Moser

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## 1 searchable encryption

want to outsource storage without leaking information  
still want to (efficiently) query it

### 1.1 model

#### database representation

collection of documents each with own id  
search index which maps ids to keywords

#### search indexes

direct index ( $doc_{id} \Rightarrow$  keywords)  
inverse index (keyword  $\Rightarrow doc_{ids}$ )

#### honest-but-curious adversary

server follows designed protocols  
but tries to infer as much about data as possible  
stronger models exist

#### snapshot adversary

sees server state at specific point in time  
too weak for real databases (due to caches)

### 1.2 abstract protocol

#### setup

client generates encrypted database & search index  
client sends data to server

#### search

client sends search token to server  
server uses token to process encrypted search index  
server returns the result

#### update

client sends update token to server  
server uses token to process encrypted database & search index  
server returns success or failure

### 1.3 goals

#### security

confidentiality of documents/query  
like against honest-but-curious attacker

#### efficiency

minimal storage / computation requirements at client/server  
like low bandwidth, few interactions for single query

#### functionality

type of queries that are supported  
like single keyword, boolean, AND/OR, ...

### 1.4 default everything

encrypt documents & search index symmetrically  
upload as large blob to server  
like using AES-GCM

#### query

download & process locally (but inefficient)  
share key with cloud (but insecure)

### 1.5 PRF construction

client chooses key  $K$  for PRF  
encrypts keywords in inverse index with  $\text{PRF}(K, \text{keyword})$   
to query, sends  $\text{PRF}(K, \text{keyword})$  to the server

#### leakage setup

number of keywords & documents  
frequency of keywords (how often a specific keyword appears)  
co-occurrence information for keywords (documents w/ same keyword)

#### leakage searches

result pattern (queries document result)  
query equality pattern (queries over same keyword)  
query intersection pattern (common documents over different queries)

### 1.6 PRF construction 2

client chooses key  $K$  for PRF  
for each keyword  $w$ , get  $K_1 \parallel K_2 = F_{K(w)}$   
set keys of indirect index to  $K_1$   
encrypt values under id XOR  $F_{K_2}(\text{cnt})$  (for some counter  $\text{ctr}$ )

#### improvement

setup no longer leaks co-occurrence (due to PRF)

### 1.7 key-value store

like PRF-construction 2  
use key-value store which hides #values per key for indirect index  
client supplies #values of key in queries

#### example

index "Alice  $\rightarrow$  1, 5, 6"  
encrypts to 3 pairs like  
( $F_{K_1(1)}, 1 \text{ XOR } F_{K_2(1)}$ ), ( $F_{K_1(2)}, 5 \text{ XOR } F_{K_2(2)}$ ), ( $F_{K_1(3)}, 6 \text{ XOR } F_{K_2(3)}$ )

#### querying

send  $K_1$  (key),  $K_2$  (to decrypt document ids) and count  $c$   
server returns the  $c$  queried documents

#### key-value store implementation options

use key as starting address, take  $|\text{value}| = \text{count}$   
use key as PRF start, repeat count times to get value addresses

#### improvement

at setup, only learn #documents (but can add dummy documents)  
at query time, learn keyword frequency / #keywords

### 1.8 formally establishing leakage profile

state claim about setup & search  
using leakage information, formulate simulator  
if adversary cannot distinguish simulator & real world  
then scheme is considered secure

#### ( $t, t', q, \epsilon$ )-secure with respect to $L$

iff every adversary  $A$  running in time  $t$  with  $q$  queries  
there exists a simulator given  $L = (L_{\text{setup}}, L_{\text{search}})$  in time  $t'$   
succeeds with  $|\Pr[b=b'] - 0.5| \leq \epsilon$

### 1.9 analysing leakage in searchable encryption

#### query leakage

for each query, learn how many documents returned  
if known how often keyword occur in documents  
can infer with high probability which keyword was queried

### 1.10 extensions

#### update tokens

leakage analysis much more difficult  
require forward & backward privacy

#### more advanced queries

want OR / AND queries  
want range queries  
leakage difficult to limit

## 2 public key encryption (PKE)

different keys for encryption / decryption

also called asymmetric encryption

## 2.1 application

asymmetric cryptography more expensive than symmetric crypto at same security level

### hybrid encryption

encrypt message with symmetric key  
then encrypt symmetric key using public key cryptography  
combines public key advantages with speed of symmetric keys

### distribution of authentic public keys

the hard problem of public key encryption  
solved using a public key infrastructure (which has its own problems)  
like symmetric key distribution, but without having to keep keys secret

## 2.2 definition PKE

$(sk, pk) \leftarrow \text{KGen}$  for  $sk$  secret key,  $pk$  public key  
 $c \leftarrow \text{Enc}(pk, m)$  (usually randomized)  
 $m|_{\text{bottom}} \leftarrow \text{Dec}(sk, c)$   
correctness requires  $\text{Dec}_{sk}(\text{Enc}_{pk}(m)) = m$  for all KGen outputs

## 2.3 formalizing security

nothing about plaintext leaks to the adversary

### IND-CCA

challenger chooses  $b \leftarrow \{0,1\}$  and  $(sk, pk) \leftarrow \text{KGen}$   
adversary is given  $pk$   
then can query encryption / decryption oracle  
may not query decryption oracle with  $c$  received from encryption oracle  
outputs bit  $b'$  deciding on  $b$

#### $(q_e, q_d, t, \epsilon)$ -IND-CCA secure

for  $q_e$  encryption queries,  $q_d$  decryption queries,  $t$  time  
 $\text{Adv}_{PKE}^{\text{IND-CCA}}(A) = 2 * |\Pr[b=b'] - 0.5| < \epsilon$

### IND-CPA

like IND-CCA, but without decryption oracle  
usually build IND-CPA secure system first  
then add mechanism to get IND-CCA

### IND-CCA $\Rightarrow$ IND-CPA

any IND-CPA adversary breaks IND-CCA  
simply does not use decryption oracle

#### fixed $q_e$

$q_e$  usually fixed to 1  
for  $q_e > 1$ , get security loss in same factor

## 2.4 KEM/DEM-construction

### key encapsulation mechanism (KEM)

out of public key, generates symmetric key  $K$  and its encryption  $c$   
same key  $K$  can be recovered using  $c$  and the private key  
with algorithms (KEM.KGen, KEM.Enc, KEM.Dec)

### data encapsulation mechanism (DEM)

symmetric encryption mechanism using  $K$  of KEM  
used to encrypt / decrypt actual payload  
with algorithms (DEM.KGen, DEM.Enc, DEM.Dec)

### construction

PKE.KGen runs  $(sk, pk) \leftarrow \text{KEM.KGen}$   
outputs  $(sk, pk)$   
PKE.Enc( $pk, m$ ) runs  $(c_0, K) \leftarrow \text{KEM.Encap}(pk)$   
outputs  $(c_0, c_1 \leftarrow \text{DEM.Enc}(K, m))$   
PKE.Dec( $sk, (c_0, c_1)$ ) recovers  $K \leftarrow \text{KEM.Decap}(sk, c_0)$   
returns  $m \leftarrow \text{DEM.Dec}(K, c_1)$

### space requirements

$\text{KEM.K} = \text{DEM.K}$  (same  $K$  space)  
 $\text{PKE.M} = \text{DEM.M}$  (PKE messages space given by DEM)  
 $\text{PKE.C} = \text{KEM.C} \times \text{DEM.C}$

## 2.5 KEM-security

### definition

$(sk, pk) \leftarrow \text{KGen}$  for  $sk$  secret key,  $pk$  public key  
 $(c, K) \leftarrow \text{Encap}(pk)$  (usually randomized)  
 $K|_{\text{bottom}} \leftarrow \text{Decap}(sk, c)$   
correctness when  $(c, K) \leftarrow \text{Encap}(pk)$  implies  $K \leftarrow \text{Decap}(sk, c)$

### IND-CPA

challenger chooses  $b \leftarrow \{0,1\}$  and  $(sk, pk) \leftarrow \text{KGen}$

challenger calculates  $(c^*, K_0) \leftarrow \text{Encap}(pk)$

challenger chooses  $K_1 \leftarrow \$K$

adversary is given  $pk, c^*, K_b$

outputs bit  $b'$  deciding on  $b$

### IND-CCA

before deciding, can additionally query decryption oracle  
receives result of Decap ( $K$  or bottom)

only for  $c \neq c^*$

#### $(q_d, t, \epsilon)$ -IND-CCA secure

for  $q_d$  decryption queries,  $t$  time

$\text{Adv}_{KEM}^{\text{IND-CCA}}(A) = 2 * |\Pr[b=b'] - 0.5| < \epsilon$

## 2.6 IND-CCA security of KEM/DEM construction

for IND-CCA secure KEM and IND-CCA secure DEM

$\text{Adv}_{PKE}^{\text{IND-CCA}}(A) \leq 2 * \text{Adv}_{KEM}^{\text{IND-CCA}}(B) +$

$\text{Adv}_{DEM}^{\text{IND-CCA}}(C)$

for  $A$   $q_e = 1$  and both  $B$  and  $C$  make same number of  $q_d$  queries as  $A$

### games

assume IND-CCA attacker on PKE with enc/dec oracle

$G_0$  is original challenger (PKE.Enc, PKE.Dec functions as defined)

$G_1$  is KEM challenger  $b=0$  (enc uses  $K_0$ , dec uses  $c_0$  or queries)

$G_2$  is KEM challenger  $b=1$  (enc uses  $K_1$ , dec uses  $c_0$  or queries)

$G_3$  is DEM challenger (encap/decap all done inside  $B$ )

let  $X_i$  be event that  $b'=b$  in game  $G_i$ ;  $q_i = \Pr[X_i]$

### advantage

$\text{Adv}_{CTR}^{\text{IND-CPA}}(A) = 2 * |q_0 - 0.5|$

$|q_0 - 0.5| = |(q_0 - q_1) + (q_1 - q_2) + (q_2 - q_3) + (q_3 - 0.5)|$

$\leq |(q_0 - q_1)| + |(q_1 - q_2)| + |(q_2 - q_3)| + |(q_3 - 0.5)|$

$\leq 0 + \text{Adv}_{KEM}^{\text{IND-CCA}}(B) + 0 + 0.5 * \text{Adv}_{DEM}^{\text{IND-CCA}}(C)$

as  $G_0 \rightarrow G_1$  and  $G_2 \rightarrow G_3$  are only syntactic changes

as  $|q_1 - q_2|$  allows us to construct  $\text{Adv}_{KEM}^{\text{IND-CCA}}(B)$

as  $G_3$  is exactly IND-CCA DEM challenger

$G_3$  result implies only one-time security of DEM is required

$|q_1 - q_2|$

given IND-CCA PKE adversary  $A$

plays against IND-CCA KEM challenger  $C$  having hidden bit  $b$

choose  $b \leftarrow \$\{0,1\}$ , receive  $pk, c^*, K_d$  of  $C$

answer encryption queries  $(m_0, m_1)$  with  $\text{Enc}(K_d, m_b)$

answer decryption queries  $(c_0, c_1)$  iff  $c_0 = c^*$  with  $\text{Dec}(K_d, c_1)$

else getting  $K'$  with  $\text{dec}(c_0)$  from  $C$ , then  $\text{Dec}(K', c_1)$

if  $A$  returns  $b'=b$ , then returns  $d'=1$  else  $d'=0$

$q_1 = \Pr[b'=b|d=0]$  (as  $d=0$  is  $G_0$ ) =  $\Pr[d'=1|d=0]$  (by  $d'$  definition)

$q_2 = \Pr[b'=b|d=1]$  (as  $d=1$  is  $G_1$ ) =  $\Pr[d'=1|d=1]$  (by  $d'$  definition)

$|q_2 - q_1| = |\Pr[d'=1|d=1] - \Pr[d'=1|d=0]| = \text{Adv}_{KEM}^{\text{IND-CCA}}(B)$

observe that  $B$  running time & #queries equal that of  $A$

### generalize result to any $q_e$

possible; results in  $q_e$  factor in bounds

still only one-time query of DEM is required

## 3 number theory

### 3.1 notation & terminology

$N$  for non-negative integers

$a \bmod b = c$  for  $c$  remainder of  $a/b$

$a$  divides (factor of,  $|$ )  $b$  iff  $a/b = 0$

$Z_{n*}$  for totatives of  $n$  (numbers  $< n$  & not dividing  $n$ )

### 3.2 greatest common divisor (gcd)

largest number factoring two numbers

like  $\text{gcd}(3,5) = 1$ ,  $\text{gcd}(4,6) = 2$

### euclidian algorithm (gcd)

$\text{gcd}(a,0) \rightarrow a$

$\text{gcd}(a,b) \rightarrow \text{gcd}(b, a \bmod b)$

$\text{gcd}(9,6) \rightarrow \text{gcd}(6, 9 \bmod 6) \rightarrow \text{gcd}(3, 6 \bmod 3) \rightarrow 3$

### 3.3 extended euclidian algorithm (EEA)

calculates bézout's identity  $a*x + b*y = \text{gcd}(x,y)$

### build up table

$x = 1 * y + d_1 \Rightarrow d_1 = x - 1 * y$

$y = t_1 * d_1 + d_2 \Rightarrow d_2 = y - t_1 * d_1$

$d_1 = t_2 * d_2 + d_3 \Rightarrow d_3 = d_1 - t_2 * d_2$

...

start with given  $x = y + \text{rest}$   
 maximize  $t_i$ , then calculate  $d_{\{i+1\}}$  trivially  
 right column helps with reconstruction later  
 proceed to next row shifting all to the left

#### gcd(19, 12) build up

$19 = 1 * 12 + 7 \Rightarrow 7 = 19 - 1 * 12$   
 $12 = 1 * 7 + 5 \Rightarrow 5 = 12 - 1 * 7$   
 $7 = 1 * 5 + 2 \Rightarrow 2 = 7 - 1 * 5$   
 $5 = 2 * 2 + 1 \Rightarrow 1 = 5 - 2 * 2$   
 $2 = 1 * 1$  (done; gcd(19, 12) = 1)

#### reconstruct linear combination

$1 = d_2 - t_3 * d_3$   
 $1 = d_2 - t_3 * (d_1 - t_2 * d_2)$   
 $= t_3 * t_2 * d_2 - t_3 * d_1$   
 $1 = (\text{replacing } d_2, \dots)$   
 ...

start with last row, left column of table  
 insert next upper row, then multiply out

#### gcd(19, 12) reconstruction

$1 = 5 - 2 * 2$   
 $1 = 5 - 2 * (7 - 1 * 5) = 3 * 5 - 2 * 7$   
 $1 = 3 * (12 - 1 * 7) - 2 * 7 = 3 * 12 - 5 * 7$   
 $1 = 3 * 12 - 5 * (19 - 1 * 12) = 8 * 12 - 5 * 19$

#### alternative solution

can use table-based approach

|   |       |       |       |       |
|---|-------|-------|-------|-------|
| i | $q_i$ | $r_i$ | $t_i$ | $s_i$ |
| 0 | -     | a     | 0     | 1     |
| 1 | ?     | b     | 1     | 0     |

determine  $q_i$  as max within  $a - q_i * b$   
 then calculate  $r_i, t_i, s_i$  next = previous -  $q_i * \text{current}$   
 finished if  $r_k = 0$  for some k  
 solution in  $t_{k-1}, s_{k-1}$

#### application

for EEA(a, p) with some number a, prime p  
 results in  $a*s + p*t = 1 \Rightarrow a*s = 1 \text{ mod } p$   
 hence useful to find inverse of a in mod p

### 3.4 chinese remainder theorem

for  $m_i$  relatively pairwise  
 system of congruences  $x = a_i \text{ mod } m_i$   
 has solution  $x_0 = \text{sum } n_i * x_i$  for  $n_i = (\text{mul } m_i) / m_i$

#### decompose equations

each  $m_i$  has to be relatively prime  
 $x = 3 \text{ mod } 10 \rightarrow x = 3 \text{ mod } 5$  and  $x = 3 \text{ mod } 2$

#### find $x_i$

calculate m (multiplying all  $m_i$ )  
 calculate  $n_i$  (m /  $m_i$ ) & apply modulo  
 determine  $x_i$  such that equation works

#### build sum

sum up all  $x_i * n_i$  to get  $x_0$   
 final result is  $x = x_0 \text{ mod } m$

#### example

2 mod 3, 3 mod 4, 4 mod 5  
 check 3,4,5 relatively prime  $\rightarrow$  yes, hence decomposing  
 $m = 3 * 4 * 5 = 60$   
 $n_1 = 4*5, n_2 = 3*5, n_3 = 3*4$   
 $20 * x_1 = 2 \text{ mod } 3 \Leftrightarrow 2 * x_1 = 2 \text{ mod } 3 \Rightarrow x_1 = 1$   
 $15 * x_2 = 3 \text{ mod } 4 \Leftrightarrow 3 * x_2 = 3 \text{ mod } 4 \Rightarrow x_2 = 1$   
 $12 * x_3 = 4 \text{ mod } 5 \Leftrightarrow 2 * x_3 = 4 \text{ mod } 5 \Rightarrow x_3 = 2$   
 $x = 20*1 + 15*1 + 12*2 = 59 \text{ mod } 60$

### 3.5 primes

p prime iff factors only with itself and 1 ( $p > 1$ )  
 coprime (=relatively prime,  $\perp$ ) iff gcd(a, b) = 1

#### multiset of primes I(n)

n determined by product of multiset of primes I(n)  
 a,b divisible iff  $I(a) \subseteq I(b)$   
 a,b coprime iff  $I(a) \cap I(b) = \emptyset$   
 $I(\text{gcd}(a,b)) = I(a) \cap I(b)$

### 3.6 modular arithmetic

if result / intermediates always taken mod b  
 for b = 3, then  $5 + 8 \text{ mod } 3 = 1$

reduce by b "as we go along"

#### inverse

a (multiplicative) inverse b iff  $b * a = 1$   
 a modular inverse iff  $b * a = 1 \text{ mod } N$   
 iff gcd(a, p) = 1 then modular inverse exist  
 iff p prime, then every  $a < p$  has modular inverse

#### units

generate all elements of the group (order = group size)  
 order of each element has to divide group order

#### congruence $=_n$

$a =_n b$  iff  $a-b = k*n$  for some k  
 $a =_n b$  iff  $a \text{ mod } N = b \text{ mod } N$

#### $Z_n$

for partition introduced by  $=_n$   
 $|Z_n| = n$   
 $(Z_n, +, *)$  commutative ring (add, multiply)  
 iff n prime,  $(Z_n, +, *)$  is field (ring + inverse)

#### fermat's little theorem

for p prime,  $a^{p-1} =_p 1$  for any  $0 < a \in Z_p$   
 hence identity follows with  $a^p =_p a$   
 hence inverse(a) follows with  $a^{p-2}$

#### totient function

totients(n) are all  $k < n$  for k not dividing n  
 $\phi(N)$  (totient function) is  $|\text{totients}(n)|$   
 for p prime,  $\phi(p^k) = (p-1)p^{k-1}$   
 hence  $\phi(p) = (p-1) * p^0 = p-1$   
 for  $a \perp b$ ,  $\phi(a * b) = \phi(a) * \phi(b)$   
 hence  $\phi(p * q) = (p-1)*(q-1)$

#### euler's theorem

for any totative a of n,  $a^{\phi(n)} =_n 1$   
 useful to simplify powers ( $a^{\phi(n)*b + c} = a^c$ )  
 or to calculate inverses  $a^{-1} = a^{\phi(n)-1}$

## 4 RSA

### 4.1 textbook RSA

N typically 2048bits (hence very large)

#### construction

KGen chooses random primes p, q of some bitsize k/2  
 let  $N = p * q$ ,  $\phi(N) = (p-1)*(q-1)$   
 choose d, e such that  $d*e = 1 \text{ mod } \phi(N)$   
 output (sk = d, pk = (e, N))  
 Enc(pk, m  $\in [1, N-1]$ ) outputs  $c = m^e \text{ mod } N$   
 Dec(sk, c) outputs  $m = c^d \text{ mod } N$

#### choosing d,e

select e, then use EEA(e,  $\phi(N)$ ) to get d  
 iff e coprime  $\phi(N)$ , get  $e*s + \phi(N)*t = 1$   
 resolve to  $e*s = 1 \text{ mod } \phi(N)$   
 hence d = s inverse of e

#### often e = $2^{16+1}$ chosen

e is prime, likely co-prime to  $(p-1)(q-1)$   
 encryption becomes fast (because its small)

#### correctness by eulers theorem

given are  $|Z_n| = \phi(N)$  and  $d*e = 1 \text{ mod } \phi(N)$   
 $m^{de} = m^{\phi(N)*k + 1} = m * (m^{\phi(N)})^k$   
 $= m * 1$   
 not applicable to  $m \% p = 0$  or  $m \% q = 0$

#### correctness by fermats little theorem

assume m coprime N (hence coprime to p-1, q-1)  
 $d*e = 1 + k * (p-1) * (q-1)$  for some k  
 $m + m\{^k*(p-1)(q-1)\} \text{ mod } p = m \text{ mod } p$  (same holds for q)  
 hence  $m^{de} \text{ mod } N = m$

#### difficulties

generating random primes of given bitsize  
 choosing d, e (can pick e randomly, use EEA)  
 messages need to be encoded in interval  $[1, N-1]$   
 enc is not randomized (hence not INC-CPA secure)  
 choosing small d's is insecure

#### hardness

it should be hard to get d; given e, c and N  
 solvable by factoring N (which is assumed hard)  
 solvable by other means so far unknown to be faster

## 4.2 challenges generating RSA keys

need good source of randomness  
need efficient primality test (like probabilistic with low error rate)

### repeated usage of same prime

given  $N_1 = p_1 * q_1$ ,  $N_2 = p_1 * q_2$ , can recover  $p_1 = \gcd(N_1, N_2)$   
for M distinct N, compute pairwise in  $O(M^2)$  or  $O(M \log M)$  (bernstein)  
in 2012, broke 0.5% public keys as randomness generation insufficient  
( $2^{990}$  primes of length 128 bits, hence bad randomness most likely)

### ROCA attack

p, q generated on low-performance device  
but manufacturer of smartcards overoptimized  
could recover p, q in some cases

### primality tests

require random bases, but some primality tests implemented improperly  
like miller-rabin used fixed bases, or others used weak PRGs for bases  
hence could construct non-primes that pass the tests

## 4.3 keysize requirements

if factoring N is easy RSA broken  
no iff; might be other required assumptions (but unknown)

### integer factorization problem (IFP)

studies for many years, intensively since 1970  
best found algorithm so far is number field sieve (1990)  
quantum shor algorithm runs in polynomial time

### number field sieve (NFS)

sub-exponential (harder than polynomial, easier as exponential)  
 $\exp[(c + o(1))(\ln N)^{1/3} (\ln \ln N)^{2/3}]$  for  $c = (64/9)^{1/3}$

### concrete requirements

512-bit in 2015 for USD 75 on amazon EC2  
768-bit 2009-2019 for 2000 core years  
795-bit 2019 for 900 core years  
829-bit 2020 for 2700 core years  
conjecture 1024-bit requires around  $2^{80}$  operations  
(at lot, but in reach for NSA with 100 mia budget)  
for 128-bits, require 2048 - 3072 bits  
see <https://www.keylength.com>

## 4.4 problems

### malleability

for  $c = m^e \bmod N$   
can choose s, then multiply to c  
gives valid ciphertext  $(s * m)^e \bmod N$   
hence attacker can modify plaintext in controlled fashion

### small e

for  $e = 3$ , and  $m < N^{0.33}$   
then  $c = m^3$  is over integers (no modular reduction)  
small d also insecure, up to  $d < N^{0.25}$  (Weiner's attack)  
 $\Rightarrow$  need message padding

## 4.5 padding rsa

### requirements

introduce randomness into the message  
expand short message to full size  
destroy algebraic properties between messages (remove malleability)  
ultimately want IND-CCA for RSA

## 4.6 PKCS#1.5

not IND-CCA secure; specification got ahead of research

### construction

for  $k = N/8$  (N in bytes), max message size is k-11 bytes  
 $\text{pad}(m) = 0x00 \parallel 0x02 \parallel (\geq 8 \text{ random bytes} \neq 0x00) \parallel 0x00 \parallel m$

### destruction

checks for  $0x00 \parallel 0x02$  start  
checks for at least 8 bytes  $\neq 0x00$   
checks if  $0x00$  follows before the last byte  
return m as everything to the right of  $0x00$  byte

### valid padding p from random string

first two bytes have to be  $0x00 \parallel 0x02 \rightarrow p = 2^{-16}$   
then 8 bytes not  $0x00$  (likely), then some byte  $0x00$  (likely for long keys)  
hence random string has  $p = 2^{-16}$  of having valid padding

### Bleichenbacher attack

requires decryption oracle on input c (realistic assumption)  
for some cipher c of message m  
attacker asks  $s^e * c$  to the decryption oracle  
if succeeds, attacker learns that  $s * m$  result starts with  $0x00 \ 0x02$   
through adaptive attack, can recover m' in 5-10k queries  
vulnerability repeatedly reintroduced in SSL, robotattack.org

## 4.7 RSA-OAEP

optimal asymmetric encryption padding by bellare and rogaway  
IND-CCA under strong assumptions  
standardized in PKCS#1 v2.1; not widely deployed  
like feistel cipher without keys

### construction

for hardness  $\lambda_0, \lambda_1$  (adversary cannot perform  $2^\lambda$ )  
need  $\lambda - \text{bit}$  RSA moduli, CR hash functions G & H  
for message m of length  $n = \lambda - \lambda_0 - \lambda_1$   
let  $S_1 = (m \parallel 0^{\lambda_1}) \text{ XOR } G(R)$  (for R random,  $|R| = \lambda_0$ )  
let  $S_2 = R \text{ XOR } H(S_1)$   
 $c = (S_1 \parallel S_2)^e \bmod N$   
decryption ensures  $S_1$  ends with  $0^{\lambda_1}$

### rationale

RSA message now randomized, full length  
no algebraic properties on messages (bc of the two values, hash functions)  
random message decryption will fail with very high p (due to  $0^{\lambda_1}$ )

### security

can be proven IND-CCA secure  
but need strong G, H assumptions  
but need strong number theoretic assumption (stronger than factoring)  
if RSA has to be used, best choice as padding

## 4.8 random oracle model (ROM)

gives strong abstraction of hash functions

### construction

given domain  $\rightarrow$  range  
assume hash function H is a random function  
adversary A must ask oracle to evaluate H  
in proofs, results in advantage as can see all queries A makes  
can let oracle program responses depending on A's queries

### heuristic step

real hash functions such as SHA-256 are fixed  
hence (unsound) heuristic step required when applying in practice

### controversy

many arguments for and against ROM in security proofs  
can make trivially insecure schemes which are provable under ROM  
but also enables proofs of in practice secure schemes otherwise unprovable

## 4.9 RSA-KEM

IND-CCA secure in ROM under RSA inversion assumption  
idea is to hash plain so malleability goes away

### construction

KGen generates p,q of bitsize k/2  
choose  $d * e = 1 \bmod \phi(N)$  for  $N = p * q$   
let  $H: \{0, \dots, N-1\} \rightarrow \{0, 1\}^k$ ,  $sk = d$ ,  $pk = (e, N)$   
Encap(pk) chooses  $z \leftarrow \{0, \dots, N-1\}$ , returns  $(c = z^e \bmod N, K = H(z))$   
Decap(c, sk) computes  $z = c^d \bmod N$ , returns  $K = H(z)$

### RSA inversion assumption

for  $sk = d$ ,  $pk = (e, N)$ ,  $x \leftarrow \mathcal{S} \{0, \dots, N-1\}$ ,  $y = x^e \bmod N$   
A is given  $(N, e, y)$   
has to output  $x$  (= calculate  $y^{1/e} \bmod N$ )  
A wins at least by factoring N (simpler solutions might exist)

### RSA inversion hard $\Rightarrow$ IND-CCA security

assume attacker A breaking IND-CCA of RSA-KEM in ROM  
C sends  $(N, e, y = x^e)$  to reduction B, expects x back  
B maintains (initially empty) list of triplets  $(c, z, K)$   
on dec(c) query, check if c exists in triplets  
if yes, respond with K  
else, choose random K to respond, remember  $(c, ?, K)$   
on H(z) query, check if z exists in triplets  
if yes, respond with K  
else, check if  $c = z^e \bmod N$  exists in  $(c, ?, K)$   
 $\rightarrow$  if yes, update entry to  $(c, z, K)$ , return K  
 $\rightarrow$  else, select random K, remember  $(c = z^e \bmod N, z, K)$ , return K  
B checks if at the end  $(y, z, K)$  in table, sends z to challenger

B does not care what A outputs

## 5 discrete logarithms

research first focused on RSA setting  
only later discovered that DH can be used similarly

### 5.1 setting

for  $p, q$  large primes for  $q$  divides  $p-1$   
let  $k = (p-1) / q$

#### generator $g$

$g = h^k \bmod p$  for random  $h \leftarrow \{0, \dots, p-1\}$   
iff  $g \neq 1$ , then  $g$  builds  $G_q = \{g, g^1, \dots, g^q\}$   
(1) all values in  $G_q$  are distinct  
(2)  $g^q \bmod p = 1$   
(3)  $\forall j, k \in G_q : j * k \in G_q$   
hence  $G_q$  is cyclic group under multiplication mod  $p$   
with  $g$  its generator and size  $|G_q| = q$

#### example

$p = 29, q = 7$  for  $7$  divides  $28$   
 $k = 28 / 7 = 4$   
 $g = 16 = 2^4$  for random  $h = 2$   
 $G_q = \{16, 24, 7, 25, 23, 20, 1\}$   
verify that  $16^7 = 1, 24 * 7 \bmod 29 = 23$

#### check group membership $X$

required in some protocols to prevent small subgroups attacks  
ensure that  $X^q \bmod p = 1$

### 5.2 hardness

#### discrete logarithm problem (DLP)

let  $(p, q, g)$  be as introduced  
let  $y = g^x \bmod p$  for uniform random  $x$   
find  $x$   
(like finding the logarithm to the base  $g$ )

#### computational diffie hellman problem (CDH)

given  $(p, q, g)$  and  $x = g^a \bmod p, y = g^b \bmod p$   
find  $z = g^{ab} \bmod p$   
CDHP > DLP (as  $DLP(y) \rightarrow b$ , then  $x^b = z$ )  
DLP > CDHP is not proven in general, but widely believed  
used in diffie-hellman key exchange

#### decisional diffie-hellman problem (DDH)

given  $(p, q, g)$  and unif. random  $a, b, c$   
distinguish  $(g^a, g^b, g^{ab})$  from  $(g^a, g^b, g^c)$   
used in ElGamal public key encryption scheme

### 5.3 solving DLP

intense analysis from math / computer science over last 40 years  
solve  $p$  with FFS,  $q$  with *polland -  $\rho$*   
for 80bits, need  $p > 1024$  bits,  $q > 160$ bits (most real-world deployments)  
for 128bits, need  $p > 3072$  bits,  $q > 256$ bits  
quantum algorithm Shor breaks DL (as well as RSA)

#### functional field sieve (FFS)

sub-exponential (harder than polynomial, easier as exponential)  
 $\exp([1+o(1)) \cdot c (\ln p)^{1/3} (\ln \ln p)^{2/3}]$  for  $c = (32/9)^{1/3}$   
runtime similar to number field sieve, but different constant

#### *polland - $\rho$*

exponential in  $\log p$  (hence doubling bit size, doubling runtime)  
 $O(q^{0.5})$

### 5.4 diffie hellman key exchange (1976)

public key method to agree on shared secret  
released in 1976 by diffie / hellman, launching public key crypto  
relays on hardness of CDHP  
original paper describes public key lookup out of directory

#### construction

let  $(p, q, g)$  be as introduced  
each user  $U_i$  picks  $x_i \leftarrow \{0, \dots, q-1\}$   
calculates public key  $Y_i = g^{x_i} \bmod p$   
put public key into for directory  
users  $U_i, U_j$  can calculate shared key  $K = Y_j^{x_i} = Y_i^{x_j}$   
use  $K$  as seed for key derivation function (KDF)

#### modern view with exchange (and MitM)

users agree on  $(p, q, g)$ , generate fresh  $x_i$  and exchange  $Y_i = g^{x_i}$   
 $Y_i, x_i$  regarded as ephemeral (hence used only once)  
but active attacker can MitM during exchange  
need authenticity of  $Y_i$  and  $Y_j$  (by MAC or digital signatures)

#### authenticate with MAC

could authenticate public values  $Y_i, Y_j$  with MAC  
requires shared MAC key  
still beneficial as enables forward secrecy  
e.g. even if MAC key later compromised, shared DH key still secure

#### authenticate with digital signature

could authenticate public values  $Y_i, Y_j$  with signature  
requires detection of authentic signatures  
typically done using certificates, CAs & PKIs

### 5.5 ElGamal (1985)

essentially a one-time DH key exchange  
requires  $m$  to be encoded in  $G_q$   
relays on hardness of DDH  
IND-CPA, but not IND-CCA (use only as KEM)

#### construction

let  $(p, q, g)$  be as introduced  
KGen chooses  $x \leftarrow \{0, \dots, q-1\}$   
outputs  $(pk = (X = g^x), sk = x)$   
Enc( $X, M \in G_q$ ) chooses  $r \leftarrow \{0, \dots, q-1\}$   
outputs  $(Y = g^r, M * (Z = X^r))$  (blinds  $M$  with  $Z$ )  
Dec( $x, C = (Y, C')$ ) ensures  $Y \in G_q$  (else terminates)  
output  $M = C' * (Z' = Y^x)^{-1}$

#### IND-CPA under CDH

cyphertext includes  $M * Z$  for  $Z = g^{xr} \bmod p$   
can replace  $g^{xr}$  by  $g^c$  for  $c$  random by CDH  
as  $g^c$  uniformly random,  $M * g^c$  is too  
hence  $M$  perfectly hidden

#### IND-CCA adversary

$\text{enc}(m_0, m_1) \rightarrow (Y, C)$  for  $m_0 \neq m_1$   
 $\text{dec}(Y, C * g^2) \rightarrow m'$   
checks if  $m' / g^2 == m_0$  then  $b = 0$  else  $b = 1$

### 5.6 diffie hellman integrated encryption scheme (DHIES)

IND-CCA in the random oracle model  
any  $M$  (not necessarily  $\in G_q$ )  
requires IND-CPA encryption and SUF-CMA MAC (AE)

#### construction

let  $(p, q, g)$  be as introduced,  $H$  hash function  
KGen chooses  $x \leftarrow \{0, \dots, q-1\}$   
outputs  $(pk = (X = g^x), sk = x)$   
Enc( $X, M$  as bitstring) chooses  $r \leftarrow \{0, \dots, q-1\}$   
set  $H((Z = X^r), X, (Y = g^r)) = k$   
split  $K$  into encryption key  $K_e$  and MAC key  $K_m$   
output  $(Y, C' = \text{SymEnc}(K_e, M))$   
Dec( $x, C = (Y, C')$ ) ensures  $Y \in G_q$  (else terminates)  
 $(K_e, K_m) = H((Z = Y^x, X, Y))$   
return  $M = \text{SymDec}(K_e, C')$

#### KEM/DEM instance

value  $K$  is encapsulated key (KEM)  
can use any AE scheme for SymEnc/SymDec

## 6 digital signatures

guarantee integrity of message  $m$

### 6.1 application of signatures

suites by NIST, NSA, NESSIE  
recommend are ECDSA, RSA-PSS, PKCS#1 v1.5 with RSA

#### use-cases

public verification of message authenticity / integrity  
code-signing  
entity authentication (sign challenge to prove key possession)  
certification systems (signatures to authenticate other keys)

#### cryptography signatures

some legal frameworks in place in switzerland & EU  
identification cards deployed in belgium, estonia, ...  
requires physical security, tamperproof hardware, special terminals  
human understanding/usability major barrier

## 6.2 definition

KGen  $\rightarrow$  (sk, vk)

Sign(sk, m)  $\rightarrow \sigma$  for  $m \in \{0, 1\}^*$

Vfy(vk, m,  $\sigma$ )  $\rightarrow \{0, 1\}$

### correctness

for all (sk, vk) of KGen

if  $\sigma = \text{Sign}(\text{sk}, m)$ , then  $\text{Vfy}(\text{vk}, m, \sigma) = 1$

### non-repudiation

if vk bound to identity & EUF-CMA signature scheme

then user cannot deny having created signature

MAC cannot offer this as many parties have shared key

### legal

difficult to enforce non-repudiation

as requires proving (sole!) ownership of private key

## 6.3 security notions

assume that receiver has authentic verification key vk

it must be hard without sk to find  $m^*$  and  $\sigma^*$

such that  $\text{Vfy}(\text{sk}, m^*, \sigma^*)$  outputs 1

### single-user security definition

only says something about security of specific sk / vk

but might be able to get valid sk/vk pair

then forge signatures under different vk\*

### unforgeability chosen-message attack (UF-CMA) game

challenger creates (sk, vk)  $\leftarrow$  KGen

challenger provides vk to adversary

adversary can use signing oracle  $\text{sign}(m) = \text{Sign}(\text{sk}, m)$

(no verification oracle like MACs as verification public)

adversary wins if outputs  $(m^*, \sigma^*)$

for  $m^*$  not in queried values, Vfy outputs 1

### $(q_s, t, \epsilon)$ -UF-CMA

adversary querying  $q_s$ , running in time  $t$

for  $m^*$  fresh message (never queries)

$\text{Adv}_{\text{SIG}}^{\text{UF-CMA}} = \Pr[\text{Vfy}(\text{vk}, m^*, \sigma^*) = 1] = 1] < \epsilon$

UF same as EUF (existential universal unforgeable)

### strong UF-CMA (SUF-CMA)

adversary wins if  $(m^*, \sigma^*)$  different

any UF-CMA adversary breaks SUF-CMA (hence SUF-CMA  $\Rightarrow$  UF-CMA)

### EUF-CMA $\Rightarrow$ SUF-CMA

SUF is stronger (easier to break for adversary)

any EUF adversary breaks SUF

equivalent for unique signature schemes

## 6.4 digital signature algorithm (DSA)

introduced by NIST in 1991

subsequently updated for different key sizes, hashes

cannot easily be turned into encryption scheme (export restrictions)

requires CR-hash H and DLP hardness

### setup

160-bit prime q, 1024bit p such that  $q \mid p-1$

p, q, g shared by users; around 80bits of security

KGen selects random  $1 \leq x \leq q-1$  (without 0)

output (sk=x, vk =  $g^x \bmod p$ )

Sign(m, sk) generates random  $1 \leq k \leq q-1$

let  $r = (g^k \bmod p) \bmod q$

let  $s = k^{-1} * (H(m) + x*r) \bmod q$

output  $\sigma = (r, s)$

Verify(pk, m,  $\sigma = (r, s)$ )

ensure  $1 \leq r, s \leq q-1$  (must check!)

let  $w = s^{-1} \bmod q$

let  $u_1 = w * H(m) \bmod q$ ,  $u_2 = w * e \bmod q$

accept if  $(g^{u_1} * y^{u_2} \bmod p) \bmod q = r$

### correctness

$g^{u_1} * y^{u_2} = g^{\{w * H(m)\} * g^{\{x * w\}} = g^k \bmod p = r$

because  $g^{w(H(m)+xr)} = g^k$

### signature size

2 \* 160 bits at 80bits security

notably much smaller than RSA signatures

signing requires only exponentating a short exponent k (160 bits)

### security

relays on linear equation s with two unknowns (r, x)

but formal & clean security proof known

generic attacks (solve DLP,  $O(q^{0.5})$  brute force, hash collision)

### randomness failure

suppose same k / x used with two different messages

producing valid signatures  $\sigma_{1(r_1, s_1)}, \sigma_{2(r_2, s_2)}$

can detect when  $r_1 = r_2$  (as k equal by assumption)

with  $s_1 - s_2 = k^{-1}(H(m_1) - H(m_2))$  can recover k (as  $m_1, m_2$  known)

known k allows to extract x from  $s_1$

OpenSSL bug (2008), PlayStation 3 (2010), Android (2013)

### related randomness problems

only need to predict few bits to attack possible (5 MSB enough)

like timing attack measures fast signature if MSB are 0

weak randomness generator, relation between bits same problem

### hedging DSA against randomness failures

generate k using pseudo-random function (requires secret key k)

$k = F_K(vk || m)$  (note that same message  $\Rightarrow$  same k  $\Rightarrow$  same  $\sigma$ )

general way to solve randomness problem

## 6.5 textbook RSA signatures

### construction

KGen chooses p & q, sets  $N = p * q$

choose  $ed = 1 \bmod \phi(N)$

output (vk = (N, e), sk = d)

Sign(sk, m) outputs  $\sigma = m^d$

Vfy(pk, m,  $\sigma$ ) checks  $\sigma^e = m$

correctness like in RSA

### problem

forgery of new signature trivial

multiply  $\sigma$  with some other value

## 6.6 RSA full-domain hash (RSA-FDH)

requires CR-hash function H

H destroys multiplicative structure, allows signing long messages

UF-CMA secure if RSA inversion hard, H random oracle

weak proof (reduction not tight)

### construction

KGen chooses p & q, sets  $N = p * q$

choose  $ed = 1 \bmod \phi(N)$

output (vk = (N, e), sk = d)

Sign(sk, m) outputs  $\sigma = H(m)^d$

Vfy(pk, m,  $\sigma$ ) checks  $\sigma^e = H(m)$

### security

s signing queries, h hash queries

$\text{Adv}_{\text{RSA-FDH}}^{\text{UF-CMA}}(A) \leq (q_s + q_h) \text{Adv}_{\text{RSA-INV}}(b) - 1/N$

$q_h$  is potentially high (offline hash computation)

tighter proof replaces  $q_s + q_h$  by  $q_s$  (2000)

### bound calculation

for  $\text{Adv}_{\text{RSA-FDH}}^{\text{UF-CMA}}(A) \leq (q_s + q_h) * \text{Adv}_{\text{RSA-INV}}(B)$

realistic to generate  $q_h = 2^{80}$  hashes

then  $\text{Adv}(A) \leq 2^{80} * \text{Adv}(B) \leq 2^{-48} \Rightarrow$  too low

but better reduction available with  $q_h = 0$

then we limit signing queries to  $2^{32}$  (realistic, as these are online)

results in  $\text{Adv}(A) \leq 2^{32} * \text{Adv}(B) \leq 2^{-96} \Rightarrow$  good enough!

### proof sketch

C gives (N, e, y), expects x of  $x^d = y$  (e-th root)

B chooses j (prediction which message A will forge)

B passes (N, e) to A

A queries sign(m) and hash(m)

on  $\text{hash}(m_i)$ , iff  $i=j$  then x else  $y_i^e$  for y random

on  $\text{sign}(m_i)$ , iff  $i=j$  then B must abort, else  $y_i$

B does for every sign query a hash query (consistency)

A outputs  $(\sigma^*, m^*)$

forgery successful if A forged j'th hash query ( $1/(q_h + q_s)$ )

A might also predict  $H(m^*)$  output ( $1/N$ )

$\text{Adv}_{\text{RSA-FDH}}^{\text{UF-CMA}}(A) \leq (q_s + q_h) \text{Adv}_{\text{RSA-INV}}(B) - 1/N$

## 6.7 hash-based RSA signatures

in use and widely standardized

but no security proof

### signature construction

use deterministic padding scheme pad and hash function H

like  $\sigma = \text{pad}(H(m))^d \bmod N$

adapt Vfy correspondingly

## PKCS#1 v1.5 padding

00 01 FF .. FF 00 || c || H(m) for constant c  
security proof unknown, no known attacks  
padding check/removal often implementation issues  
forgery possible if constant part of padding too short

## 6.8 RSA-PSS

for RSA signatures, RSA-PSS the right choice  
tight security reduction

### signature construction

for H,  $G_1$ ,  $G_2$  hash functions  
 $s = H(m || r)$  for some random r  
 $t = G_1(s)$  XOR r,  $u = G_2(s)$   
 $\sigma = (0 || s || t || u)$   
adapt Vfy correspondingly

### security

assuming  $G_1$ ,  $G_2$ , H behave like random functions  
UF-CMA can be tightly related to RSA inversion  
can instantiate with "ordinary" SHA-256 (no full domain hash required)

## 6.9 advanced signature variants

### blind signatures

A lets blinded message signed by B (B does not learn message)  
used in anonymous credential systems

### group signatures

anyone from group of uses can sign  
signer might be revealable by some group manager

### threshold signatures

any k out of n parties can sign  
k-1 or fewer cannot

### others

proxy (delegate limited signature capability to others)  
ring signatures, multi-signatures, aggregate signatures  
standardized in PKCS#1 v2.1

## 7 elliptic curve cryptography

can define DL-based algorithm over any cyclic groups  
elliptic curve is candidate with no known sub-exponential algorithms  
only generic DL-breaking algo known, runtime is  $O(n^{0.5})$   
allows to use smaller bit-sizes, improving performance  
proposed 1985, usage started around 2015

### 7.1 shorter key length

#### key size comparison (keylength.com)

required security level compared to sizes by scheme  
RSA modulus | DL field / subgroup size | elliptic curve  
80 bits → 1024 | 1024, 160 | 160  
112 bits → 2048 | 2048, 224 | 224  
128 bits → 3072 | 3072, 256 | 256  
256 bits → 15360 | 15360, 512 | 512  
cost of exponentiation in RSA/DL rises cubically  
note that EC is optimal, linear rise

### RSA / DL

besides generics (Baby-steps-Giant-steps, *pollard* -  $\lambda$ , *pollard* -  $\rho$ , ...)  
more efficient algorithms known (number-field sieve and variants)  
hence need to choose large modulus / DL field

### 7.2 definition

for some field F, curve is set of  $(x,y) \in F \times F$

#### weierstrass form

$E = \{(x,y) \in F \times F \mid y^2 = x^3 + ax + b \cup \{O\}\}$   
point O is "point at infinity", no coordinate representation  
non-triviality requirement  $4 * a^3 + 27b^2 \neq 0$   
note that due to  $y^2$ , get symmetry above and below x axis

#### other forms

montgomery form allows to calculate only on x  
edwards form avoids side-channel attacks

### 7.3 math

#### example E

$y^2 = x^3 + 2x + 4 \bmod 5$

evaluate  $x = \{0, 1, 2, 3, 4\}$  to get  $y^2 = \{4, 2, 1, 2, 1\}$   
from possible  $y^2$  values ( $\{4,2,1\}$ ) find roots (where root possible)  
 $4 \rightarrow (2^2 \bmod 5, 3^2 \bmod 5)$ ,  $2 \rightarrow$  no root,  $1 \rightarrow (1^2 \bmod 5, 4^2 \bmod 5)$   
get points  $(x,y) \rightarrow (0,2),(0,3),(2,1),(2,4),(4,1),(4,4)$   
with O, get 7 points on E

#### addition law

additive identity is O  
additive inverse of P is -P = (x, -y); O = -O; P + -P = O  
calculate P + Q = R using geometric construction  
drawing line through P, Q (or tangent, iff P=Q)  
intersect with curve, then mirror ("inverse") at x axis  
resulting point is R

#### group

addition law turns elliptic curve field into a group  
group operation is +, "adding" points  
for generator P, group generated by P, P+P, P+P+P, ...  
group order is number of points on the curve

#### scalar multiplication

[k]P for adding P to itself k times  
like [2]P = P+P  
note that [k]P != (k\*x, k\*y)

#### double-and-add

like square-multiply of multiplicative setting  
for 5 = 101  
1: O → [2]O + P = P  
0: P → [2]P  
1: [2]P → [4]P + P = [5]P  
⇒ must not leak addition count by side-channels

#### discrete logarithm problem (ECDLP)

let E elliptic curve  
let P point of prime order q  
let Q = [x]P where x is uniform random value  $\{0,1,...,q-1\}$   
given E, P, Q, find x  
only generic DLP algorithms known in  $O(q^{0.5})$

### 7.4 choosing curves

decide field F (usually prime field for some prime p)  
decide curve E over F (parameter choosing difficult)  
find base point P of large prime order q  
implement scalar multiplication arithmetic (but side channels)  
much easier to rely on standardized curves by trusted sources

#### hasse-weil bound

determines number of n points for field of prime order p  
 $p+1 - 2*\sqrt{p} \leq n \leq p+1 + 2*\sqrt{p}$   
for large p,  $\sqrt{p}$  factor irrelevant

#### selection considerations

prime order curve (n prime) to maximize against generic algorithms  
otherwise ensure "co-factor" h small in  $n = h*q$   
use Schoof-Elkies-Adkin (SEA) algorithm to compute #points efficiently

#### base point selection

for E defined over F having n points, n having large prime divisor q  
choose some random P != O by picking x, then solving for y  
succeeds with p = 0.5, as half of non-zero elements mod p are square

#### point compression

naively would store (x, y), requiring  $2 \log_2(p)$  bits  
but enough to store x, then use equation to recover y  
add 1-bit ("sign-bit") to differentiate between y and -y

#### key-pair generation

for E over F with n points  
let q be prime divisor of n, P points of order q  
choose random scalar  $k \in \{0, ..., q-1\}$   
set Q = [k]P  
output (sk = k, pk = Q)  
hardness of getting sk out of pk based on ECDLP assumption

#### NIST P-256

in field with  $p \sim 2^{256}$   
 $y^2 = x^3 + ax + b$  with a = -3, b some truly large number  
base point with prime order q, h = 1  
both p, q have 256 bits  
but choosing of a and b not properly motivated (backdoor?)  
used in TLS 1.3

#### berstein curve 25519

$p = 2^{255} - 19$  (bc closest prime to  $2^{255}$ )

$y = x^3 + 48662x^2 + x$  (bc 48662 smallest number with target performance/security)  
montgomery form (fast modular reduction, only scalar multiplications)  
but group order is not prime (has co-factor of 8)  
bit less than 128 bits security, faster than P-256  
used in TLS 1.3

## 7.5 cryptography

can translate DLP setting schemes into ECDLP  
like DHE  $\Rightarrow$  ECDHE, DHIES  $\rightarrow$  ECIES and DSA  $\rightarrow$  ECDSA

### elliptic curve diffie-hellman ephemeral (ECDHE)

given curve E, base-point P of prime order q  
A choose random x, B chooses random y  
A sends  $[x]P$  to B, B sends  $[y]P$  to A  
both can calculate  $[x][y]P$ , resp  $[y][x]P$   
security by decisional diffie hellman

### ECIES

let (P, F, q) be as introduced, H hash function  
KGen chooses  $x \leftarrow \mathbb{Z}$  from  $\{0, \dots, q-1\}$   
outputs  $(pk = (X = [x]P), sk = x)$   
Enc(X, M as bitstring) chooses  $r \leftarrow \mathbb{Z}$  from  $\{0, \dots, q-1\}$   
set  $H((Z = [r]X), X, (Y = [r]P)) = k$   
split K into encryption key  $K_e$  and MAC key  $K_m$   
output  $(Y, C' = \text{SymEnc}(K_e, K_m, M))$   
Dec(x, C = (Y, C')) ensures Y on curve with order q (else terminates)  
 $(K_e, K_m) = H((Z = [x]Y, X, Y))$   
return  $M = \text{SymDec}(K_e, K_m, C')$

### ECIES performance

longer ciphertext (elliptic curve point +256bits, MAC tag +128bit)  
encryption requires 2 scalar multiplications  
decryption requires 1 scalar multiplications

### ECDSA

signature is (r,s) for r,s integers mod q (512 bits)  
requires per-signature nonce, else fatal loss  
if some bits known, can recover key like in DSA  
malleability (for valid (r,s)  $\rightarrow$  (r, -s), hence SUF broken)  
UF-CMA-security proven in generic group model

## 7.6 slow take-up of ECC

discovered by koblitz, miller (1980)  
widespread only in 2010 (30 years!)  
20% 2013 (snowden leak), 70% 2016, 90% of 2018  
in TLS 3.0, no RSA anymore

### slow adoption reasons

mathematical, implementation complexity (relative to RSA)  
security uncertainty due to marketing feud (RSA vs Certicom)  
lack of mature standards (developed in 2000s)  
patent situation (Certicom threatening to sue others)  
hard to displace exiting technology

### drivers of adoption

improved performance over RSA  
ECDHE provides forward security (vs RSA), usecase for TLS  
patent situation clarified due to deal with US gov, expiration  
mass-scale adoption in crypto currencies

## 8 key management

secure administration of cryptographic keys  
cryptography shifts problem "securing data"  $\Rightarrow$  "managing keys"

### 8.1 key management system (KMS)

any system managing keys throughout their live  
counters threads like compromise / unauthorized used of keys

#### requirements

symmetric keys secret  
public keys authentic, private keys secret  
assurance of purpose (encryption, MAC, ...?)

#### means

technical (special hardware devices)  
process (dealing with lost keys, ...)  
environmental (controls depending on physical location)  
human factors

#### aspects

generation  
distribution and initialisation  
usage and scope  
storage, backup and recovery  
replacement, revocation and destruction

### key lifecycle

pre-operational phase (key not yet available)  
operational (key used for intended usage)  
post-operational (key used for access to protected records)  
destroyed (key deleted, encrypted records inaccessible)

## 8.2 key generation

### out of randomness generation

memory allocator that outputs random location (but insecure)  
intel RD RAND (but specification unpublished)  
extract entropy out of images of lava lamps  
quantum RNG (hardware measuring light stuff)  
might want multiple parties contributing

### derive out of PIN / password

resulting keys only as strong as starting values  
but often only source material of dubious cryptographic strength  
use salting, iteration to slow down dictionary / bruteforcing attacks  
like PAKE (password-based authentication key exchange)

## 8.3 key out of master secret

assume master key already distributed

### key derivation function (KDF)

$K = \text{KDF}(\text{master key, info})$  for info containing context  
instantiate using hash function or encryption  
but need pseudo-randomness assumption on output of used primitive  
provides forward security of previous keys  
requires synchronization with receiver

### EMV (bank cards)

bank has few master keys, derives K for each user  
user gets card with embedded K  
used to compute MAC values in transactions

### TLS 1.2 (RFC 5246)

keyblock = PRF(master secret, "key expansion", server + client random)  
need to iterate over PRF until enough bits produced  
then extract for each party IV, MAC key & encryption key  
depending on cipher suite, iteration / splitting different  
could have enabled attacks, but never abused (fixed in TLS v1.3)

### TLS 1.2 PRF

$\text{PRF}(\text{secret, label, seed}) = P_{\text{hash}}(\text{secret, label} + \text{seed})$   
 $A(0 = \text{seed}), A(i) = \text{HMAC}_{\text{hash}(\text{secret}, A(i-1))}, \dots$   
 $P_{\text{hash}}(\text{secret, label}) = \text{HMAC}_{\text{hash}}(\text{secret}, A(1) + \text{seed})$   
HMAC uses hash function given by cipher suite  
assumes HMAC being PRF (provable in ideal cipher model)

### HKDF ("Extract-then-Expand" KDF)

requires input key material (IKM)  
iff IKM not high-entropy preprocess with PRK =  $\text{HMAC}_{\text{hash}}(\text{salt, IKM})$   
 $T(0) = \text{empty string (base case)}$   
 $T(1) = \text{HMAC}_{\text{hash}}(\text{PRK}, T(0) \parallel \text{info} \parallel 0x01)$  (for context field info)  
 $T(2) = \text{HMAC}_{\text{hash}}(\text{PRK}, T(1) \parallel \text{info} \parallel 0x02)$  (and so on, arbitrary length)  
assumes HMAC randomness extractor (due to PRK step; statistical)  
assumes HMAC PRF (for T(i) to be useful; computational)

## 8.4 asymmetric key generation

requires large primes

### construction

generate random odd number, setting most & least significant bit  
(to get correct length, and uneven number)  
try with some small divisions as first filter  
then use probabilistic miller-rabin

### miller-rabin primality test

requires random base as input to work properly  
then for  $10^{24}$  bit prime, 3 iterations, failure  $p < 1/2^{128}$   
on adversarial inputs, failure  $p < 1/4$

### cost

prime generation is expensive  
 $2^{1014.5}$  different 1024-bit primes  
hence two uses generating same prime improbable  
but requires around 350 trials until prime number is found



while single test cheap, scales poorly

## 8.5 distributing keys

### low-tech examples

send courier with key material (like RU / USA 1963 - 1980)  
or send over postal mail (like E-Voting)

### three-layer master key / session key scheme

KKM (master) to encrypt KK or KDs (manual exchange)  
KK to encrypt KD values (automatic exchange)  
KD as working key (changed very often)  
but inefficient in large, many-to-many systems

### hybrid public/symmetric key scheme

use public key to encrypt symmetric key (primitive is a KEM)  
requires only authentic public keys to be distributed  
authenticity provided by certificate authority (CA)

### unique key per transaction

derive new key for each usage  
like KDF taking master key & transaction counter  
useful for insecure environments, side channel attacks harder

### diffie hellman key exchange

public key method to agree on shared key  
but MitM attack if not properly authenticated

### quantum key distribution

use quantum physics principles to distribute keys  
requires authentic channel, but then delivers unconditional security  
steady development (since 1988 distance/throughput gradually increased)  
china, EU invest heavily in research  
but range-limited (few-hundred km over optic fibre, longer in vacuum)  
but not end-to-end secure (as need repeaters for long distances)  
but low bit-rates (function of distance, not sufficient for one-time-pad)  
but requires authentic channel (which again requires pre-agreed key)  
but expensive devices with side-channel risks  
unclear real-world value, requires combination with conventional primitives

## 8.6 key storage

tamper-resistant hardware security module (TRSM, HSM)  
smart card / personal token  
outside TRSM but encrypted and/or split into components  
in practice often stored in memory, protected only by OS

### hardware security modules (HSM)

usually store local master key used in processing  
security through restricted function range  
physically secured as specified in FIPS 140-2 (tamper-resistance)  
high-value, very expensive devices

### tokens

hardware (PC cards, smart cards, USB sticks, ...)  
software (PKCS#12, proprietary methods)  
boom due to crypto currency bubble

### hidden in software

cheap, requires only some obfuscation  
but dangerous (reverse engineering)  
might encrypt keys, but then require decryption keys

## 8.7 key usage

### principle of separation

cryptographic keys should only be used for intended purpose  
requires defined & limited purposes  
derive different keys using the info field of KDF

### reason for separation principle

primitives might interact unexpected (like CBC-enc and CBC-mac)  
encryption/authentication may have different lifecycles  
less damage from key compromise  
but increases key management effort

### controlling key usage

derive labeling scheme and bind labels to keys  
enforce that only keys with proper labeling are used  
might label ownership, validity, intended use & algorithm

## 8.8 key change

planned (limited lifetime, data limit for specific key)  
unplanned ((potential) compromise, departure of employee)

### impact

minimally have to generate & establish new key  
cost of new hardware, migration, trust, reputation

### destruction

when key expires / is revoked  
might need overwrite memory or physical hardware destruction

### policies, practices, procedures

policy describes overall strategy at organisation level  
practices describe tactics to achieve policy  
procedures with step-by-step tasks to implement practices

### standards

NIPS SP 800-57  
any many, many more

## 9 entity authentication

assurance about identity of partner at some point in time  
data origin with recency also results in entity authentication  
respective to role ( $A \Rightarrow B$  does not necessarily imply  $B \Rightarrow A$ )

### 9.1 MAC scheme

requires unforgeable MAC and unpredictable random R

#### construction (server $\Rightarrow$ client)

client & server share mac key  $K_X$  bound to client X  
client requests authentication  
server sends challenge  $R \leftarrow \{0, 1\}^{128}$   
client responds with  $\tau \leftarrow \text{Tag}(K_X, R)$   
server accepts if  $\text{Vfy}(K_X, R, \tau)$

#### predict challenge

can MitM client  $\Leftrightarrow$  attacker  $\Leftrightarrow$  server  
when client requests authentication, predict future R to sent to client  
later attacker requests authentication at server  
can use client answer from first run to answer server challenge  
(note that time-shift required to break security, live MitM not enough)

#### two-way construction (server $\Leftrightarrow$ client)

client & server authenticate each other with same key  
reflection attack by asking challenge of server to itself  
prevent by using different keys (key separation principle)  
prevent by including intended recipient partners in MAC

#### timestamps (server $\Rightarrow$ client)

client & server share mac key  $K_X$  bound to client X  
client sends  $\tau \leftarrow \text{Tag}(K_X, t)$  for timestamp t  
server checks if t recent,  $\text{Vfy}(K_X, t, \tau)$   
log received messages to prevent (recent) adversary reply

### 9.2 signature scheme

requires unforgeable signature and unpredictable random R

#### construction (server $\Rightarrow$ client)

client has keypair  $(sk_X, vk_X)$ , identity X & certificate of identity  
client requests authentication & sends certificate  
server validates certificate (chain) & sends challenge  $R \leftarrow \{0, 1\}^{128}$   
client responds with  $\tau \leftarrow \text{Sign}(sk_X, R)$   
server accepts if  $\text{Vfy}(vk_X, R, \tau)$

### 9.3 gsm entity authentication

SIM card has IMSI, key K (128bits)  
network provider has mapping of IMSI  $\rightarrow$  key K

#### construction

phone sends IMSI to visited network  
visited network forwards to home network (network provider)  
generates random challenge Rand,  $\text{XRES} = \text{RPF}(K, \text{Rand})$   
SIM is forwarded Rand, and replies with RES  
iff  $\text{RES} == \text{XRES}$ , then authenticated

#### reality adaptations

IMES is sent anonymized  
home network generates multiple Rand, XRES pairs (to avoid roundtrips)  
network also authenticated (to identify fake base stations)

#### key establishment construction

home network additionally generates  $K_c = \text{PRF2}(K, \text{Rand})$   
 $K_c$  also forwarded to visited network (but SIM card deduces self)  
 $K_c$  initializes stream cipher for wireless encryption

## analysis

database of IMSI  $\rightarrow$  key K is single root of failure  
visited network has to be trusted for encrypted exchange  
wireless portion is encrypted, nothing else

## 9.4 authenticated key exchange (AKE)

distribute key material against dolev-yao adversary  
party(ies) get assurance with whom key established

### PKE construction

server has (pk, sk), identity Y  
client requests authentication  
server responds with  $\text{Cert}(Y, pk_Y, \text{appropriate chain})$   
client verifies chain, choose random K  
responds with  $C \leftarrow \text{Enc}(pk_Y, K)$   
only server can decrypt  
 $\Rightarrow$  but server not authenticated to client yet

### PKE construction (server $\Rightarrow$ client)

server has (pk, sk), identity Y  
client requests authentication  
server responds with  $\text{Cert}(Y, pk_Y, \text{appropriate chain})$   
client verifies chain, choose random K, random  $R \leftarrow \{0, 1\}^{128}$   
responds with  $C \leftarrow \text{Enc}(pk_Y, K), R$   
server decrypts K,  $K_a \leftarrow \text{KDF}(K, \text{"auth"}), \tau \leftarrow \text{PRF}(K_a, R)$   
client receives  $\tau$ , checks for validity

### TLS 1.2

used scheme similar to PKE construction w/ server authentication  
but no forward security (as can deduce previous session keys)

### EC construction (server $\Rightarrow$ client)

client requests authentication with random  $R \leftarrow \{0, 1\}^{128}$   
server selects curve parameters (likely standardized curve)  
chooses secret  $y \in \{0, \dots, q-1\}$  to get  $Q = [y]P$   
generates signature  $\tau$  over all curve parameters, Q, R  
client receives all (except y)  
verifies curve parameters (Q on E, Q order q, valid standard curve)  
chooses own secret x to get  $S = [x]P$   
generates session key with  $\text{HKDF}(K_{\text{raw}} = [x]Q, \text{"session key"})$   
client sends S to server  
server validates (S on E, S order q)  
provides forward secrecy

### EC construction with long-term keys (server $\Leftarrow \Rightarrow$ client)

client has (a, A = [a]P), server (b, B = [b]P)  
client chooses x, sends  $X = [x]P$  & certificate of identity  
server validates certificate, X on E  
chooses y, sends  $Y = [y]P$  & certificate of identity  
client validates certificate, Y on E  
both agree on  $K_{\text{raw}} = [a]B \parallel [x]Y$ , used for  $\text{KDF}(K_{\text{raw}}, \text{"sk"})$

### key compromise impersonation (KCI)

when key compromised, other parties can be impersonated to self  
(additionally of course to impersonating self to others)  
like last EC construction

## 10 SSL/TLS

communicate over internet ("secure channel between two peers")  
preventing eavesdropping, tampering, message forgery  
between application (HTTPS) and TCP layer  
billions of devices, various implementations  
certification authorities are single point of failure

### 10.1 history

1994-1996 SSL 1.0-3.0 (all considered broken)  
1999 TLS 1.0 RFC 2246 by IETF (like SSL 3.1)  
2006, 2008 TLS 1.1, TLS 1.2 (small improvements)  
2018 TLS 1.3 (big rework)

### 10.2 high-level goals

secure against attacker with complete control of network (Dolev-Yao)  
only requires in-order, reliable data stream

#### authentication

server side of channel is always authenticated  
client authentication optional  
using asymmetric crypto (signatures), symmetric pre-shared key

#### confidentiality

data only visible to endpoints  
length of data not hidden (but padded)

#### integrity

data sent cannot be modified without detection

## 10.3 main components

### handshake protocol

negotiates security parameters  
authenticates peers  
establishes key material for data protection

### record protocol

exchanges data confidential and integrity  
protects using key material from handshake

## 10.4 negotiation (v1.2)

client proposes list of ciphers, server picks  
then agree on key

### TLS-KEX-AUT-WITH-CIP-MAC format

KEX for key exchanges (rsa, dhe, ecche)  
AUT for authentication (rsa, dss, ecDSA)  
KEX & AUT for handshake  
CIP for cipher (AES-128-CBC, AES-256-GCM)  
MAC for hash function within HMAC (MD5, SHA, SHA256)  
CIP & MAC to encrypt records  
like TLS-RSA-WITH-AES-128-CBC-SHA

### handshake

client sends ClientHello with cipher suites  
server responds with ServerHello (specific cipher) & key exchange data  
server might include certificate and certificate request  
client responds with ClientFinished & key exchange data  
client might include certificate  
server responds with ServerFinished

### example TLS-RSA-WITH-AES-128-CBC-SHA

client sends TLS-RSA-WITH-AES-128-CBC-SHA, random  $r_c$   
server responds with TLS-RSA-WITH-AES-128-CBC-SHA, random  $r_s$ , SCRT  
for SCRT (server certificate) public key with certificate chain  
client derives generates preMS (out of state, randomness)  
client derives  $MS = \text{PRF}(\text{preMS}, r_c \parallel r_s)$   
 $CKX = \text{RSA}.\text{Encrypt}(\text{pk}, \text{preMS})$  under pk of server  
client sends  $CF = \text{PRF}(MS, \text{client}, H(\text{transcript}))$ , CKX  
server responds with  $\text{PRF}(MS, \text{server}, H(\text{transcript}))$   
server authenticates by proving decryption of preMS  
note that MS used twice (in PRF) for different purpose (double usage)

### example TLS-DHE-RSA-WITH-AES-256-GCM-SHA384

after picking cipher suite, define params = curve parameters  
server additionally sends  $g^y$  and signatures over  $r_c, r_s$ , params  
server already authenticated due to the signature  
preMS by  $g^{xy}$  (client again picks secret  $g^x$ )  
after preMS establishment, same finish as before

## 10.5 record protocol (v1.2)

payload (stream) divided in segments  
prefix length, sequence number & MAC (like HMAC-SHA1 MAC)  
encrypt payload, MAC tag, padding (like AES128-CBC)  
note insecure MAC-then-encrypt scheme used

## 10.6 additional features (v1.2)

session resumption (abbreviated handshake, parallel connections)  
renegotiation (change cipher within session, like late authentication)  
extensions (AEAD, ECC, some security-relevant patches)

## 10.7 security review (v1.2)

### component layers

crypto primitives (RSA, ACDH, HMAC, MD5, ...)  
ciphersuite details (data structures, padding, ...)  
advanced functionality (negotiation, key reuse, compression, ...)  
libraries (OpenSSL, LibreSSL, GnuTLS, ...)  
applications (browsers, web servers, SDKs, protocols)  
attacks found in each layer, requiring hardening

### RSA PKCS not CCA secure

error signal of wrong padding allowed to construct decryption oracle

flaw known at design time, so TLS tried to hide error signal but improperly hidden, downgrade attacks

### MAC-then-Encrypt

padding vs MAC error allows to construct decryption oracle  
flaw discovered, TLS tried to hide error signal  
but lucky13 attack

### downgrade attack (design issue)

EXPORT cipher suites by export restrictions US (requiring weak crypto)  
client requests DH suite, attacker adds EXPORT to name  
server responds with weak group, attacker removes EXPORT  
client will not detect, as cipher suites not part of signature  
by logjam 15, "how diffie hellman fails in practice"

### buffer over-read (implementation issue)

heartbeat extension (client sends payload, requests pingback)  
but client requestes bigger pingback than send  
server appends memory dump  
by heartbleed 2018

## 10.8 design goals (v1.3)

### clean up

removed broken features (compression, renegotiation)  
cleaner key derivation with extranct-then-expand HKDF  
removed statis RSA/DH to always get forward secrecy  
hardened negotiation to prevent downgrades  
remove flawed / unused crypto features  
like DES, RC4, ... encryption, only AEAD remains  
like MD5, SHA1 hash functions  
like kerberos, RSA PKCS key transport

### improved latency

first handshake in TLS 1.2 only to learn server capabilities  
instead always use ECDHE, send several possible shares, server picks  
main handshake only 1-RTT, repeated connections with 0-RTT

### improved privacy

full handshake in TLS 1.2 in clear  
most of handshake in TLS 1.3 now encrypted

### continuity

interopability with previous versions / use cases  
indeed much faster adoption rate than TLS 1.2

### security assurance

formal analysis of changes  
symbolic, computational and pen-and-paper proofs

## 10.9 design (v1.3)

### handshake (single round trip)

client sends  $r_c$  (hello) and client key shares ( $g^x$ , multiple variants)  
server responds  $r_r$  (hello), specific key share ( $g^y$ )  
client & server derive handshake traffic key tk, further messages encrypted  
server sends certificate, signature & MAC of whole transcript  
client answers with certificate, signature & MAC of whole transcript  
and already includes data  
hence only single additional roundtrip before data exchanged

### secrets agreed upon

handshake traffic key to encrypt parts of handshake  
application data traffic key to encrypt traffic  
resumption master secret (RMS) to continue sessions  
exporter master secret (EMS) for additional key material  
EMS used in upper layer application, industry use-case

## 10.10 provable security (v1.3)

### general process

describe abstract protocol  
define security  
reduce to assumptions

### define security

multi-stage key exchange security  
consider dolev-yao attacker (eavesdrop / active attacks)  
might corrupt parties, reveal some session key  
then adversary has to decide real key from random bitstring

### model adversary actions

gets protocol actions as rewrite rules  
passively observes messages or constructs / replaces messages  
might be able to reveal keys of participants  
after actions, can challenge unpowned participant

then has to decide whether challenge is random or key

### security properties checked

forward security after long-term key reveal  
key in-dependence in derivation  
varying types of authentication  
0-RTT keys (which may support weaker guarantees)

## 10.11 0-RTT handshake analysis (v1.3)

client uses RMS to encrypt payload in first request  
but server must detect fresh request (to avoid repeating executions)

### advantage

0-RTT for authentication, key is already authenticated  
no public key crypto used anymore

### suggested mechanism

single-use tickets (allow RMS to be used only once)  
recording (reject by unique identifier)  
freshness checks (reject based on time)  
in practice, libraries choose different solutions

### generic state loss attack

attacker is assumed to force state loss (like distributed servers)  
then server does not remember log of already received messages  
to defend, server must reject 0-RTT after recent state loss  
so client/server agree on new key, client resends payload under new key

### key schedule

transport key derived using HKDF  
key schedule core accumulates secret inputs  
key schedule frontend extracts context-separated keys

### security properties

random-looking, independent keys  
mutual authentication relative to PSK  
forward secrecy (not for 0-RTT)  
replayable 0-RTT keys  
primarily relays on HKDF security, but also on HMAC

## 10.12 record analysis (v1.3)

### record protocol

payload + optional padding  
encrypted with AEAD scheme into ciphertext  
prepended with TLS v1.2 style prefix for transport layer

### security notions

add statefulness (prefix sf) to known notions  
IND-sfCPA, IND-sfCCA, INT-sfPTXT, INT-sfCTXT  
to get security against chosen ciphertext fragement attacks

### key switching within data stream

for forward security, even within streams  
for unlimited message encryption length

### DTLS

for TLS running over unordered protocol (like UDP)  
detect repeated forgeries leading to security degradation

## 11 signal messaging protocol

two-party asynchronous E2EE message protocol  
used by signal, whatsapp, wire, ...  
Perfect Forward Security and Post-Compromise Security  
uses X3DH (extended diffie hellman)  
to initialize Double Ratchets (asymmetric / symmetric ratcheting)

### 11.1 server

handles asynchronous message delivery  
delivers when parties come online

### 11.2 threat model

completely controlled network (Dolev-Yao)  
reveal derived message keys  
corrupt long-term secrets  
compromise ephemeral (one-time use) secrets

### 11.3 properties

signal archives both notions simultaneously

### perfect forward security

attacker corrupts long-term key of A  
can now impersonate A, but not learn old messages  
key indistinguishability of previous keys holds

#### post-compromise security

attacker corrupts and compromises full state of A,B  
then attacker becomes passive  
security is recovered after A,B executed without modification

### 11.4 protocol stages

might overlap

#### keys

long-term Identity key (id)  
medium-term Signed Prekey (sp)  
ephemeral One-time key (ot)  
each Diffie-Hellman public key pair

#### registration phase

PreKey bundle = (id, keys, signature)  
id long-term identifier like phone number  
keys as described above  
signature uses id (long-term) to sign sp (medium-term)  
PreKey bundle uploaded to public server  
but id not bound to signature / keys (needs offline validation)

#### initialization phase (X3DH)

party retrieves PreKey bundle of other party  
combines keys using X3DH to get pre-master secret  
creates first (asymmetric) ratcheted key  
first root key through KDF of pre-master & ratcheted key

#### X3DH combinations

given public  $sp_A, id_A, ot_A$  (optional) of other party  
given secret  $sp_B, ot_B$  of self  
 $ot_A$  with  $ot_B$  for forward secrecy  
 $sp_A$  with  $ot_B$  prevents KCI against A  
 $id_A$  with  $ot_B$  prevents KCI against B  
 $sp_A$  with  $id_B$  to auth B,  $id_A$  with  $ot_B$  to auth A  
no  $id_A$  with  $id_B$  for deniability

#### asymmetric ratchet phase

assume that A&B have shared root key  $rk_i$   
A&B additionally agree on  $s = g^{xy}$  (evolving DH secret key)  
 $A \rightarrow B g^{a_i}, B \rightarrow A g^{b_i}, A \rightarrow B g^{a_{i+1}}, \dots$   
then get key  $sk_{i+1} = \text{KDF}(rk_i, s)$

#### symmetric ratchet phase

next messages within flow of messages  
assumed that A&B have shared symmetric key  $ck$   
use "ratcheted" for each new message ( $ck_{i+1} = \text{KDF}(ck_i)$ )  
offers perfect forward secrecy  
as whenever  $ck_i$  compromised, previous  $ck_j$  not exposed

#### double ratcheted

combination of asymmetric & symmetric ratcheted  
asymmetric ratcheted with each message exchanged (ping-pong)  
symmetric ratcheted for successive messages (without exchange)

#### message encryption

AEAD encryption with authenticated data AD  
 $rc_A$  for most recent public ratcheted key  
 $id_A, id_B$  for public key identifier of A, B  
PN for #messages in last chain (to know when to remove old keys)  
ctr for message index in current chain (for out-of-order decryption)  
 $AD = rc_A || id_A || id_B || PN || ctr$

## 12 message layer security (MLS)

create group message service out of two-party messaging protocol

### 12.1 binary tree

each node two children  
root node is top-most node  
leaf nodes are nodes without children  
descendants are children (recursively)

#### paths

directed from C (path C until node)  
co-path from C (other children on path until node)  
like hashes required by Merkle-hash tree

#### tree structures

full (if  $2^n$  nodes distributed)

balanced (either root balanced, or left child largest full subtree)

### 12.2 naive approaches

#### pairwise channel (client-side fan-out)

naive approach creating  $O(n^2)$  pairwise channels  
linear cost in size of group (ratcheting operations)

#### reducing overhead (server-side fan-out)

new members generate new  $ck_0$ , keypairs & distribute to group  
new message derives new  $ck_i$  (all members derive same)  
constant cost, size of group irrelevant  
no post-compromise security, no deniability

### 12.3 MLS protocol RFC

IETF workgroup, academia & industry

#### setting

federated E2E secure group messaging  
support large groups (50K) with low bandwidth/complexity  
asynchronous, long-lasting sessions  
dynamic group membership

#### security

E2E authenticity and privacy  
network adversary (Dolev-Yao), active, corrupting state  
Perfect Forward Security and Post-Compromise Security

### 12.4 general setting

authentication service (AS) for trusted identity-key mapping  
delivery service (DS) to route key material & messages in order

#### setup

members create accounts & get credentials from AS  
members authenticate to DS & store Key Package  
when party sends message, retrieves Key Packages from DS  
then uses key material to create  $c$  which is distributed by DS

#### message delivery

reliable (all messages are delivered)  
in-order (as received by DS, sent to clients)  
consistent (all clients have same view of messages & ordering)

#### group policies

any member can add or remove others  
restrictions responsibility of application layer

### 12.5 ratcheted tree

group members arranged at leaves  
each node has public keypair associated  
each member knows secret keys on direct paths  
each member knows at least public keys on co-path  
root node has commit secret associated

#### key derivation

key valid within epoch  $i$   
any member can advance epoch by updating commit key  $k$   
 $st_i = \text{KDF}(sk_{i-1}, k_i)$  for  $sk$  ratcheted key  
besides  $sk_i$ , multiple keys for different purposes retrieved  
combination with  $sk_{i-1}$  gives post-compromise security

#### update commit key $sk$

member creates new secret key  
uses KDF to generate new keypairs on path up until root  
on co-path, encrypt under their public key these new secrets  
DS distributes updates to all users  
 $\log_{2(N)}$  scaling (bandwidth =  $\text{len}(\text{ctxt})$ , computational =  $\text{KDF} + \text{PK}$ )

#### blank nodes

when adding/removing members, some nodes get blanked  
meaning no secret/public key is assigned  
when on direct update path, all blank nodes get new keypair  
when on co-path, instead encryption under children's public key

#### add new member

receives joiner secret encrypted under Key Package public key  
using joiner secret, can perform an Update  
when full tree, new root (left = previous root, right = new member)  
else, replace existing member with three-node subtree

#### remove member

blank all nodes on direct path  
no longer encrypt new secrets on path to removed member

## 12.6 secret tree

identical structure to ratched tree  
to turn derived encryption secret  $k_{es}$   
into secret for node for message encryption  $ts_i$

### master secret derivation

depth-first numbering of nodes  
start at root, recursively KDF with node index to get to leaves  
 $ts_2 = \text{KDF}(k_{es} \parallel 2)$ ,  $ts_3 = \text{KDF}(ts_2 \parallel 3)$  (for node 2 parent of node 3)

### deriving encryption key

using secret of specific node  $n$  initiate symmetric hash ratchet  
derive sequence of single-use key & nonces  
ratched forward for each message

### MLS ciphertext

groupID, epoch, contenttype  
authenticated data & encrypted sender data  
AEAD using derived nonce, single-use key  
handshakes additionally MACed

## 12.7 security

correctness (same commit secret  $k$  in each epoch)  
privacy ( $k$  looks random given transcript of messages)  
forward secrecy (state leak does not reveal previous  $k$ )  
post-compromise secrecy (after update,  $k$  becomes secret again)

### discussion

ratched tree well designed (and only  $\log n$  runtime)  
but secret tree complex (deriving keys is easier solvable)

## 13 fully homomorphic encryption (FHE)

### 13.1 history

since 1978 partially homomorphic schemes  
since 2000 more practical, but still partial  
since 2010 fully homomorphic scheme proposed  
1st generation systems required 30min for single computation  
2nd generation with less expressiveness, seconds for computations  
3rd generation with miliseconds for computation

### 13.2 challenges

crypto (underlying math, parameter selection, security)  
computation model (no if/else, no loops/jumps)

### 13.3 construction

conceptually simple, but based on difficult crypto  
based on relatively new hardness assumptions

#### (ring-)learning with errors assumption (RLWE)

hard to find  $s$ , given  $c$  and  $a$   
for  $c = a*s + e$   
like  $R = \mathbb{Z}[x]/(X^n + 1)$

#### enc/dec

for  $\mu = m * q/t$ , random  $e$ ,  $a$ ,  $s$   
 $\text{enc}(m) = (c_0 = a * s + \mu + e, c_1 = a)$   
 $\text{dec}((c_0, c_1)) = t/q (c_0 - c_1 * s)$   
results in  $m$  as long as  $e$  is small

#### operations

multiplication has  $1/q$  due to scaling factor  
 $\text{add}(c, c') = (c_0 + c_{0'}, c_1 + c_{1'})$   
 $\text{mult}(c, c') = 1/q * (c_0 * c_{0'}, c_0 * c_{1'} + c_1 * c_{0'}, c_1 * c_{1'})$   
 $\text{relin}(c_0, c_1, c_2) = (c_0, c_1)$  (using bootstrapping)

#### bootstrapping

to reduces error level ("relinearize")  
encrypts under new  $K$ , reduces error level, decrypts again  
most expensive computation (order of seconds, minutes)  
the major breakthrough in 2009

#### speed

in general, seconds on laptop  $\rightarrow$  minutes of server  
if stupidly parallizable, then 2-3x slower  
if complex computation, then arbitrarily slow

### 13.4 building system

getting it secure & fast difficult

### parameter selection

small as possible for efficiency  
but large enough for security & correctness  
for  $n$  polynomial size,  $q$  coefficient bitwidth (noise overflow)  
security improves with larger  $n$  and smaller  $q$

## 13.5 programming paradigm

algorithm & input bounds are known, but data is secret  
no branching depending on secrets allowed (would leak bit)  
easy SIMD target so performance does not suffer that much

### no if/else

compute both branches  
then multiply such that only result remains  
like  $c * b_0 + (c - 1) * b_1$  for  $c$  comparison,  $b_i$  branches

### no loops

unroll completely according to worst case assumption  
then use comparison trick so only useful  $b_i$  remains

## 13.6 compilers

map high-level programs to arithmetic circuits supported by FHE  
replace computations, but also order for performance  
exist at different abstraction levels  
EVA fast for general purpose algorithms  
nGraph fast for machine learning

## 14 post-quantum cryptography (PQC)

conventional public-key cryptosystems resisting quantum algos  
hence use classical computers, but quantum-safe assumptions

### 14.1 quantum-safe assumptions (for classical computers)

lattice based (learning with errors, ...)  
code-based (McEliece encryption, ...)  
non-linear system of equations  
elliptic curve isogenies

### 14.2 quantum concepts

#### schroedingers cat

strict interpretation of quantum physics  
radioactive source releasing poison in box with cat  
until box opened, cat both dead and alive

#### qubit

basic unit of quantum computation  
superposition of "1" and "0" bits

#### quantum computing

executing sequence of quantum gates (like AND, OR classical)  
all possible states input  $\rightarrow$  all possible states output  
hence can compute all classical states in parallel

### 14.3 progress

few progress 2003 - 2015 (few qubits)  
2019 google sycamore chip with 53 qubits, 0.1% errors

#### error correction

below some error / above some number of qubits  
then can perform (perfect) quantum error correction  
for 0.1%, around 1000 qubits for 1 correct qubit required  
hence >100 mio qubits required for shor's algorithm

#### sycamore chip (2019)

53 qubits, operating at 20mK  
organized in grid, each qubit connected to 4 neighbours  
evaluated with random circuits (not useful, but hard to simulate)  
quantum supremacy claimed (quantum computer faster than classical)  
but IBM contradicted claims, fast simulation was shown

#### timeline large-scale quantum computing

hyped field, lots of research investment & smart people involved  
hard to tell if breakthrough imminent, moores law, ...  
IBM claims 1000 qubits by 2022, moores law applies  
but others claim it a ponzi scheme to get funding

### 14.4 core algorithms

shor's algorithm (1994)

finds period of a long sequence  
 number of qubits / circuit depth polynomial in input size  
 reasonable constant / value of polynomial  
 efficiently solves integer factorization problem (IFP)  
 efficiently solves discrete logarithm problem (DLP)

#### grover's algorithm (1996)

can perform fast unstructured search problems  
 but requires very deep quantum circuit  
 quadratic speed up (optimal in general case)  
 solves  $O(2^{128})$  keys with  $O(2^{64})$  sequential AES  
 symmetric algorithms can simply double key size

#### 14.5 time is of essence

switch has to happen before large-scale quantum computer available  
 but current data has sensitivity lifetime ("store now, decrypt later")  
 cover time of crypto methods has to exceed sensitivity lifetime  
 development progress observable as done by big public firms

#### transitional risks (theoretical)

young field (sudden drastic progress possible)  
 like with runtime of alogs, hardness assumptions  
 provable security only with large factors  
 but code-based assumption seems stable

#### transitional risks (practical)

implementation vulnerabilities (newly written, incomplete code)  
 early lock-in of bad choices through premature deployment

#### 14.6 NIST POC standardization algorithms

to publish cryptographic standard for quantum algorithm  
 portfolio of choices depending on application will be selected

#### history

2012 formal start  
 2016 formal call for submission 2017  
 2017 69 "complete and proper" submissions  
 2019 26 candidates  
 2020 7 finalist + 8 alternatives  
 2022 planned standard publication

#### types of algorithms

signatures  
 public key encryption for symmetric key transport  
 key-establishment KEM (confusingly worded as diffie hellman)

#### submission requirements

included guidance on security proofs & resource measurement  
 number of classical elementary operations & circuit size  
 should consider realistic circuit depth limitation ( $2^{40}$  gates)  
 parameters for at least some of the 5 different security levels

#### progress

first round w/ 69 candidates  
 second round w/ 17 public key, 9 signature schemes remaining  
 final round w/ 4 public key, 3 signatures remaining  
 with 8 "alternate" candidates remaining, to be standardized later

#### comparison

smaller ciphertext / public key sizes depending on category  
 structured lattice < quasi-cyclic code < unstructured lattice  
 isogeny elliptic course with smallest cipher/public key, but slow  
 Classic McEliece small ciphers, but huge public keys (300k bytes)  
 ECIES beats all schemes → capability of quantum computation?

#### 14.7 Classic McEliece

fast algorithms (KGen, Dec, Enc), compact ciphertext  
 coding-based KEM with tight security proof  
 but large public key size (300kb at 128bit security level)

#### history

1978 invented (close to RSA invention)  
 1986 crucial simplifications by niederreiter

#### security assumption

public key looks like random error correcting code  
 stable assumption (fastest set decoding algorithm of 1962)

#### [n, k, d] linear binary code

for  $G$  be  $k \times n$  binary matrix (= in  $F_2$ ) of rank  $k$   
 code  $C$  is linear combination of rows of  $G$   
 $C$  has minimum distance  $d$  iff  $\min \{d_{\text{hamming}}(u,v) \text{ for all rows } u,v\}$   
 for  $d_{\text{hamming}}(a,b)$  counting in which bits combination  $a$  differs of  $b$

can correct up to  $t = (d-1)/2$  bit-flips in  $C$

#### example reed-mueller code

$[2^m, m+1, 2^{m+1}]$  for integer  $m$   
 for  $m = 3$ , get  $4 \times 8$  matrix  $G$   
 encode  $x$  (for  $|x| = m+1$ ) with  $xG$   
 decode  $xG + e$  using Fast Hadamard Transform  
 given  $e$  has hamming weight at most  $1 = (4-3)/2 = (d-1)/2$

#### core idea McEliece

let  $G$  be generator matrix of  $[n,k,d]$  linear binary code  
 let  $S$  be random invertible  $k \times k$ , let  $P$  permutation  $n \times n$   
 let  $G' = SGP$  with  $G'$  looking like random  $k \times n$  matrix of rank  $k$   
 (requires appropriate choices of  $G$  to indeed look random)  
 given  $c = xG' + e$  (with hamming weight  $e \leq t$ ), finding  $x$  should be hard  
 knowing  $S$  &  $P$ , decoding easy of  $c' = c * P^{-1} = (xS)G + eP^{-1}$

#### McEliece PKE scheme

KGen chooses  $S, P$  and uses static  $G$   
 returns  $sk = (G, S, P)$ ,  $pk = G' = SGP$   
 Enc( $pk, m$ ) chooses  $e$  (unif. random w/ hamming weight  $\leq t$ )  
 returns  $c = mG' + e$   
 Dec( $sk, c$ ) computes  $c' = cP^{-1}$   
 runs decoder on  $c'$  to get  $m', e'$   
 returns  $m = m'S^{-1}$

#### Classic McEliece

uses Niederreiter adaption for enc/dec  
 replace  $G'$  with  $H$  such that  $G'H^T = 0$   
 Enc( $pk, m$ ) returns  $c = mG' + e$  (for  $G'$  now  $H$ )  
 Dec( $sk, c$ ) can extract  $e$  with  $c * H^T = e * H^T$

#### further design choices

chooses Goppa codes as linear binary codes  
 decoding possible using berlekamp-massey algo  
 IND-CCA by adding hash to ciphertexts