

# Applied Cryptography

51484 characters in 8618 words on 1309 lines

Florian Moser

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## 1 Introduction

### 1.1 notation

$\$$  (choose random)

$\pi$  (random permutation  $G \rightarrow G$ )

### 1.2 secure communication model (informal)

for key  $K$ , plaintext  $p$ , ciphertext  $c$

$c = \text{enc}(p, K)$ ,  $p = \text{dec}(c, K)$

#### passive adversary

learns all messages exchanged (like  $c$ 's)

might know context of communication

but does not know key

### 1.3 kerckhoffs principles

unbreakable (theoretically or practically)

compromise of system details should not decrease security

key memorable without notes

key easily changed

ciphertext transmissible by telegram

encryption apparatus portable & operable by single person

easy (few rules, no mental strain)

#### modern interpretation

security should rely on key only

specifically, not on system secrecy

#### "security through obscurity"

systems can and will be reverse engineered (if effort < payoff)

hence system should be secure even if specification known

open specification encourages review & analysis

### 1.4 shannon's principles

generally accepted design principles for practical ciphers

#### confusion

ciphertext statistics depends on plaintext statistics

which is too complicated to be exploited by the cryptanalyst

#### diffusion

each digit of the plaintext and each digit of the secret key

should influence many digits of the ciphertext

### 1.5 definitions

#### concrete security

specific about resources of adversary (#queries, time)

$\rightarrow$  for specific key length, #queries, #times, get specific security

#### asymptotic security

define everything in relation to "security parameter  $1^n$ "

then argue secure if  $n$  bigger than some  $n_0$

#### super-poly set

for  $1^n$  the security parameter

set grows larger than polynomial (like  $2^n$ )

### 1.6 reduction proofs

show hardness-assumption  $\Rightarrow$  scheme-security (like PRF  $\Rightarrow$  S secure)

transform to not scheme-security  $\Rightarrow$  not hardness assumption

#### game

assume attacker  $A$  breaking scheme  $S$  exists

challenger  $C$  for hardness assumption exists

define attacker  $B$  using  $A$  outputs/queries to answer  $C$  correctly

$B$  has to simulate perfect environment for  $A$

so  $A$  mistakes  $B$  with the scheme  $S$  challenger

#### runtime

keep track of queries / other resources consumed by  $B$

#### overall argumentation

constrain  $A$ 's success probabilities by calculated probabilities

or other attackers we assume win only with low probability

like  $\text{Adv}(A) < \text{Adv}(B)$  for  $B$  negligible as breaking hardness assumption

#### argue =

let  $B$  simulate environment of  $A$

$\text{Adv}(A) = |\Pr[b_d'=0|b_d=0] - \Pr[b_d'=0|b_d=1]| = |p_0' - p_1'|$

$\text{Adv}(B) = |\Pr[b'=0|b=0] - \Pr[b'=0|b=1]|$

It follows from the construction that  $p_0 = p_0'$  and  $p_1 = p_1'$

therefore  $\text{Adv}(B) = \text{Adv}(A)$

#### argue $\geq$

let  $B$  simulate environment of  $A$

It follows from the construction that  $B$  wins at least whenever  $A$  wins

therefore  $\text{Adv}(B) \geq \text{Adv}(A)$

#### advantage definitions

$\text{Adv}(A) = 2 * |\Pr[\text{Game DDH}(A) \Rightarrow \text{true}] - 0.5|$  (for games with true/false)

$\text{Adv}(B) = |\Pr[b' = b] - 0.5|$  (for bit output which is compared)

### 1.7 game hopping proofs

define start game  $G_0$  (what to proof)

define end game  $G_n$  (with known Adv like hardness assumption)

define games  $G_i$   $i < n$  continuously transforming  $G_0$  into  $G_n$

then argue that  $G_n$  is negligible / known

then show that each  $G_i - G_{i+1}$  is negligible

#### advantage argumentation

$\text{Adv}(A) = 2 * |\Pr[G_0] - 0.5|$  (by definition)

$= 2 * |\Pr[G_0] - \Pr[G_1] + \Pr[G_1] - (\dots) - 0.5|$  (telescoping sum)

$= 2 * |\Pr[G_0] - \Pr[G_1]| + 2 * |\Pr[G_1] - (\dots) - 0.5|$  (triangle equation)

then show each  $|\Pr[G_0] - \Pr[G_1]|$  is negligible

### 1.8 nonce-based cryptography

nonce is number-used-once

need only be unique (neither randomness nor secrecy required)

guarantees vanish if uniqueness violated

#### implementation options

stateful counter (but hard to synchronize state)

randomized (but birthday attack)

transmit explicitly or implicitly (=inferable from counters)

## 2 probability analysis

### 2.1 exhaustive key search

for each candidate  $K$ , test if pair 1 is valid

with surviving keys, check pair 2, then pair 3, ...

#### statistical analysis

model encryption  $E_K$  as independent random permutation for each  $K$

hence  $\Pr_K(C_1 = E_K(P_1)) = 2^{-n}$  ( $P_1$  mapped to some entry in  $n$ )

for  $k$  keys,  $2^k * 2^{-n} = 2^{k-n}$  survive

for  $t$  pairs,  $2^{k-tn}$  survive

hence choose  $t$  such that  $\Pr(\text{survive}) \ll 1$

#### complexity

data complexity small (few pairs needed)

computational complexity high, dominated by first step

first step requires  $2^k * \# \text{pairs tries}$ , afterwards only surviving keys

#### practicality

works with ciphertext-only attacks if plaintexts are meaningful

meaningful = can decide if plaintext makes sense

exhaustive search is embarrassingly parallelizable

## 2.2 birthday attack analysis

sample  $t$  times from set of size  $s$   
all sampled different  $= 1 * (s-1)/s * \dots * (s-t+1)/s$

### collision probability

$1 - (1-1/s)*(1-2/s)*\dots*(1-(t-1)/s)$  (bc - all sampled different)  
 $= 1 - \exp(\log((1-1/s)*(1-2/s)*\dots))$  (bc  $\exp(\log(x)) = x$ )  
 $= 1 - \exp(\sum(\log((1-j/s)))$  (bc  $\log(\text{product}(x)) = \sum(\log(x))$ )  
 $= 1 - \exp(-\sum(j/s))$  (bc  $\log(1-x) = -x$  for small  $x$ )  
 $= 1 - \exp(-t*(t-1)/2s)$  (bc sum of integers)  
 $> 1 - \exp(-t^2/2s)$  (simplify)

### evaluations

for  $t \sim s^{0.5} \Rightarrow 0.39$   
for  $t \sim 1.17 * s^{0.5} \Rightarrow 0.5$   
for  $t \sim 3.03 * s^{0.5} \Rightarrow 0.99$

### example for $2^{64}$ length

low for  $2^{30}$   
raises very rapidly at  $2^{32}$   
almost 1 from  $2^{34}$  until end

### hash function implications

$n$ -bit hash function offers only  $n/2$  bits of security  
(hence produce collision with  $2^{n/2}$  operations + memory)  
like require 256 bit output for 128 bit security level

## 3 one-time pads / perfect security

### 3.1 perfect security

$\Pr[P=p|C=c] = \Pr[P=p]$   
posterior of plaintext  $P$ , given ciphertext  $C$   
equals prior probability of  $P$

### 3.2 caesars cipher

key determines letter forward shift with wrap-around  
if key = 2 then  $A \Rightarrow C, Z \Rightarrow B, \dots$   
 $c_i = p_i + K \bmod 26$

### history

used by the romans

### security

26 possible keys (with  $K=0$  that does not hide plain)  
leaks length (word length, text length)

### frequency analysis

analyse letter frequency and assign key probability  
like  $E$  which is more frequent than  $T$

### 3.3 vigenere cipher

letters of key determine alternating forward shift of letter  
like multi-key caesars  
if key = (1,2) then AAA...  $\Rightarrow$  BCB...  
 $c_i = p_i + K_j \bmod 26$  for  $j = i \bmod |K|$

### history

believed unbreakable for 300+ years

### security

$26^t$  possible keys  
leaks length (word length, text length)

### frequency analysis

for known key length, works same as for caesars  
but harder, as less text available  
for unknown key length use statistical analysis by kasiski

### determine key length

find repeated group of letters  
happens when same word is encrypted with same offset  
then take lowest common multiplier of all occurrences

### 3.4 one-time-pad

each letter forward shifted as letter at same position in key  
 $c_i = p_i + K_i \bmod 26, p_i = c_i - K_i \bmod 26$   
for bits, simply use XOR instead of - and +  
requires  $|K| \geq |P|$  (impractical)  
essentially what all practical schemes try to approximate

### security

$26^t$  possible keys

leaks length (word length, text length)  
perfect secrecy if  $K$  is uniform random and used only once

### example

for 7-letter one-time pad &  $P = \{\text{big cats}\}$   
all possible responses (cheetah, panther) equally likely

### disadvantages

$K$  needs to be as long as message (key space  $\geq$  message space)  
 $K$  needs to be transmitted to receiver (key management problem)  
 $K$  used more than once breaks scheme (XOR ciphertexts together)

### reusing key attack by NSA

russian agents reused keys when run out of fresh key  
NSA used statistical analysis to decrypt plaintexts  
 $\Rightarrow$  attackers can store ciphertexts for decades!

## 4 block ciphers

encrypt / decrypt blocks (for example  $n = 64$ )  
use AES as a rule of thumb

### 4.1 applications

construction of other block ciphers (like triple DES)  
encryption schemes  
hash functions  
stream ciphers  
message authentication codes  
pseudorandom bit generators

### 4.2 perfect security (computational version)

$c$  leaks nothing about  $p$  (except previously known)  
computationally infeasible to calculate anything useful about  $p$  from  $c$

### semantic security

for effective  $A$  given encryptions of  $p$  of its choice  
any of  $A$ 's output can be simulated by  $S$   
for  $S$  only access to length of  $c$  (but not  $c$  itself)

### IND-CPA security

for effective  $A$  given an encryption  $c$  of either  $p_1$  or  $p_2$  of its choice  
 $A$  is unable to distinguish which was encrypted for  $|p_1| = |p_2|$

### 4.3 block ciphers

#### definition

for key length  $k$ , block size  $n$   
defines two sets of efficiently computable permutations  
 $E_K: \{0,1\}^n \rightarrow \{0,1\}^n, D_K: \{0,1\}^n \rightarrow \{0,1\}^n$   
such that  $D_K$  is an inverse of  $E_K$  for all  $K \in \{0,1\}^k$

#### alternative definition

let  $E: K \times X \rightarrow Y$  be block cipher if  
(1)  $X = Y$   
(2) for all  $K \in K, E_K: X \rightarrow X$  is efficiently computable permutation on  $X$

### 4.4 attacker capabilities

#### known plaintext attack (KPA)

when adversary observes many  $(p,c)$   
like attacker-known IPsec parts (TCP, UDP packet fields)

#### chosen plaintext attack (CPA)

when adversary chooses many  $p$ 's and is given  $c$ 's  
like attacker-supplied js encrypting content over TLS

#### chosen ciphertext attack (CCA)

when adversary chooses many  $c$ 's and is given  $p$ 's  
like attacker-supplied IPsec packages to produce ICMP errors

### 4.5 generic attacks

for block cipher to be considered secure, generic attacks must be best attacks

#### exhaustive key search for key extraction

attacks run under same fixed key  $K$   
adversary tries to obtain said key  $K$   
adversary capabilities determine strength of notion  
no faster method than exhaustive search must be possible

#### application with alternative targets

might need additional security goals (besides key extractions)

using related keys (motivating related key attacks)  
like both  $K$  and  $K \oplus R$  as used as keys  
using as key derivator (requires pseudorandom outputs)  
like  $K_1 = E_K(\text{nonce}_1)$  and  $K_2 = E_K(\text{nonce}_2)$   
using as perfect security building block (requires provable randomness)  
like  $(q, t, \epsilon)$ -security

#### randomness

key spaces only motivates  $2^k$  permutations  
much smaller than possible  $(2^n)!$  possible permutations  
prove "enough" randomness  $\Rightarrow$  PRP  
might still fulfil randomness security

#### 4.6 pseudo-random permutation (PRP) security

$b \leftarrow \{0,1\}$ ,  $K \leftarrow \{0,1\}^k$  and  $\pi \leftarrow \text{Perms}[\{0,1\}^n]$   
efficient adversary queries oracle with  $x_i$   
if  $b = 0$ , then oracle responds with  $E_K(x_i)$   
else oracle responds with  $\pi(x_i)$   
adversary decides on  $b' = \{0, 1\}$   
 $\text{Adv}_E^{\text{PRP}}(A) := 2 \cdot |\Pr[b' = b] - 0.5|$

##### Game PRP(A, E)

$b \leftarrow \{0,1\}$   
 $K \leftarrow \{0,1\}^k$   
 $\pi \leftarrow \text{Perms}[\{0,1\}^n]$   
 $b' \leftarrow A^{F_n}()$   
Return  $b' = b$

##### Oracle $F_{N(x)}$

If  $b = 0$  then  $y \leftarrow E_K(x)$   
Else  $y \leftarrow \pi(x)$   
Return  $y$

##### strong PRP

if adversary gets access to decryption oracle  
hence oracle using  $D_K(x)$  and  $\pi^{-1}(x)$

##### $(q, t, \epsilon)$ -secure as a PRP

if for all adversary  $A$  under  $\# \text{time} < t$ ,  $\# \text{query} < q$   
advantage  $\text{Adv}_E^{\text{PRP}}(A) = 2 \cdot |\Pr[\text{Game PRP}(A, E) \Rightarrow \text{true}] - 1/2|$

##### notes

definition only for uniform random  $K$ ,  $b$ ,  $\pi$   
can sample  $\pi$  "as we go"  
-0.5 to measure advantage to random sampling  
\* 2 to normalize result to range 0-1

##### lazy sampling in oracles

use lazy sampling to avoid having to define full PRP beforehand  
receive  $x_i$   
if  $x_j$  exists for  $j < i$ , respond same as in round  $j$   
else pick  $y_i \leftarrow \{0,1\}^n$   
if PRP, then ensure  $y_i$  does not equal any previous values

#### 4.7 choosing a block cipher

##### standards

NIST (US), NESSIE (EU), Cryptrec (JP)  
also russian, chinese standards

##### relevant factors

key size (as primary security indicator)  
block size (as secondary security indicator)  
cryptoanalysis results  
standardisation / support in crypto libraries  
implementation cost (code/state size)  
runtime cost (energy, throughput, , hardware support)  
key agility (easy of changing keys)  
hardware support (CPU instructions)  
implementation security (side channel attacks, ...)

##### constrained environments

implementation/runtime cost more relevant  
want to reduce number of transitions (GE) or at least reuse these  
specialized block ciphers need only <1000 GEs (vs >3500 AES GE)

#### 4.8 block cipher constructions

iterate multiple times over simpler, keyed-round function  
round keys determined by key schedule (seeded by actual cipher key)  
more rounds = stronger algorithms (tradeoff speed & security)

##### feistel cipher

split block into two halves

apply function to single half, XOR with other  
then switch halves and continue  
decryption & encryption can use same circuits / code  
like DES

##### substitution-permutation (SP) network

first perform substitution on bits ("confusion")  
increases complexity (relation plain/cipher)  
then permute / diffuse ("diffusion")  
makes each bit dependent on each other bit  
hence follow directly shannons's principles  
like AES

#### 4.9 DES

exists since more than 40 years, unbroken  
constructed together with NSA, which strengthened design  
inspired new cryptoanalysis techniques (like linear / differential attacks)

##### concept

feistel cipher operating on right half  
 $(L_0 \parallel R_0) = p$   
 $L_1 = R_0$ ,  $R_1 = L_0 \oplus f(R_0, K)$

##### function $f$

expands from 32 bits to 48bits (by repeating some bits)  
XOR with round key  $K$   
substitutes using 8 S-boxes (each mapping 6 to 4 bits)  
permutes the 32 bits

##### architecture

initial permutation IP applied  
feistel cipher for 16 rounds  
no swap after last round (enables Enc = Dec code)  
 $IP^{-1}$  is applied

##### security

too small keys ( $k=56$ )  
hence exhaustive key search possible  
too small block size (64bits)  
like sweet32 attack

##### exhaustive key search

software-only still 100 years bruteforcing  
but can use FPGA (few 1000\$) to speed up  
professional services available like crash.sh

##### improvements

double DES not useful ("meet-in-the-middle" attacks)  
triple-DES ( $E_{K_1}, D_{K_2}, E_{K_3}$ ) in use, but quite slow  
EDE and  $EDE_2$  variants exist (the latter sets  $K_3 = K_1$ )

#### 4.10 AES

competition for block cipher to replace DES 1998  
requirement to be faster & securer as two-key triple DES  
rijndael by belgiens won, pronounced "AES" in 2001  
128bits blocks, 128bits keysize (192, 256 also defined)  
NSA approved 128bits for secret, 192 for top secret

##### cryptoanalysis

AES subject to intense analysis (during competition & after)  
related key attacks (but impractical)  
reduced-round attacks (but >6 of the 10 rounds not anymore)  
key recovery attacks (but requires a  $2^{126}$  workload)

##### side channels

AES needs key-dependent table lookups  
remote server & 200M plaintexts by bernstein (2005)  
local cache-timing & 800 plaintexts by shamir (2005)

##### round

state described by 16 bytes  
SubBytes substitutes with 8-to-8-bit S-boxes  
ShiftRows shifts row 0 by 0 places, row 1 by 1 places, ...  
MixColumns multiplies by matrix  $M$  (diffusion)  
XOR with round key bytes

##### architecture

initial AddRoundKey (slow; so rekeying painful)  
10/12/14 rounds for 128/192/256-bit keys  
skip MixColumns in last round

##### summary

elegant design, good performance (hardware & software)  
widely deployed, specialized hardware  
no significant direct attacks

implementations may be vulnerable to side-channel attacks

## 5 symmetric encryption

### 5.1 symmetric encryption

for  $m \in M \subset \{0,1\}^*$ ,  $c \in C \subset \{0,1\}^*$ ,  $K = \{0,1\}^k$   
 $KGen() \rightarrow K$ ,  $Enc(K, m) \rightarrow c$ ,  $Dec(K, c) \rightarrow m$  | error  
require (perfect) correctness (for all  $K$  ( $Dec_K(Enc_K(m)) = m$ ))

#### notes

Enc non-deterministic, Dec deterministic  
M might be restricted to some maximal length,  $M = \{0,1\}^{<=L}$   
want to minimize  $c-p \geq 0$  (difference through prepending IV, etc)

### 5.2 modes of operations

to encrypt long messages / continuous stream with block cipher  
determine how blocks are composed together  
may need pad messages to fill up multiples of block size

#### 5.2.1 electronic code block (ECB)

encrypt block for block  
easily parallelizable  
messages need to be padded

#### security

single bit-error in  $c_i \Rightarrow p_i$  garbage  
but deterministic (given plain always encrypts to same cipher)  
hence leaks information about plaintext structure

#### 5.2.2 cipher block chaining (CBC)

XOR plain with previous cipher (or IV) before encryption  
IV needs to be uniform-random; sent in plain to decrypter  
no parallelization  
messages need to be padded

#### security

single bit-error in  $c_i \Rightarrow p_i$  garbage,  $p_{i+1}$  same bit-error  
IV bit errors  $\rightarrow p_1$  same bit-errors

#### ciphertext-block collisions

if  $c_i$  and  $c_j$ , then  $p_i \text{ XOR } c_{i-1} = p_j \text{ XOR } c_{j-1}$   
becomes possible due to birthday attack at  $2^{n/2}$

#### 5.2.3 counter mode (CTR)

XOR plain with encrypted counter, increment in mod  $2^n$  for next round  
counter can be freely chosen; sent in plain to decrypter  
parallelizable  
no padding needed (can even truncate last block)  
effectively a stream cipher (but dedicated stream designs faster)

#### security

single bit-error in  $c_i \Rightarrow p_i$  same bit-error  
if counters repeat, then XOR plains = XOR ciphers  
provably secure with game hopping (PRP  $\Rightarrow$  PRF  $\Rightarrow$  random)  
PRP "counters" that structured plain is encrypted (ctr || ctr + 1 || ...)

#### counter-picking

ctr = 0 & change key each time (but impractical)  
ctr = 0 & increment globally (but require state synchronization)  
ctr = random (but need good source, avoid overlapping)  
ctr = time (but needs conversion, time shift, parallelization)

#### real-world counter-picking

nonce supplied by application, concatenated with internal counter  
like ctr = nonce || 0000, ctr + 1 = nonce || 0001  
enforces max message length (depending on internal counter length)

#### encryption = decryption

can use same algorithm for both  
 $E_K$  is used only in one-way, hence does not need to be invertible  
can use pseudorandom function (instead of pseudorandom permutation)

#### 5.2.4 other modes

GCM (additionally authenticates)  
CFB (creates stream cipher; self-synchronizing)  
OFB (creates stream cipher; not self-synchronizing)  
IGE (used in telegram; not much analyzed)  
most common are CBC, CTR, GCM  
<https://csrc.nist.gov/projects/block-cipher-techniques/bcm/current-modes>

#### stream cipher

dedicated designs for arbitrary length encryptions  
might also be supported by hardware

### 5.3 indistinguishable under CPA (IND-CPA)

challenger C chooses  $b \leftarrow \{0,1\}$  and  $K \leftarrow \$KGen$   
adversary A can query equal length ( $m_0, m_1$ )  
left-or-right (LoR) encryption oracle responds with  $c \leftarrow \$Enc_K(m_b)$   
after  $q$  queries, A decides on  $b' = \{0,1\}$   
 $Adv_{SE}^{IND-CPA}(A) := 2|\Pr[b'=b] - 0.5|$

#### Game IND-CPA(A, SE)

$b \leftarrow \{0,1\}$   
 $K \leftarrow \$KGen$   
 $b' \leftarrow A^{LoR(\cdot, \cdot)}()$   
Return ( $b' = b$ )

#### Oracle LoR( $m_0, m_1$ )

$c \leftarrow \$Enc_K(m_b)$   
Return  $c$

#### ( $q, t, \epsilon$ )-secure symmetric encryption (SE)

if for all adversary A under  $\#time < t$ ,  $\#query < q$   
 $Adv_{SE}^{IND-CPA}(A) = 2 * |\Pr[\text{Game IND-CPA}(A, SE) \Rightarrow \text{true}] - 1/2|$

#### captured attack notions

message recovery attacks (given  $c$ , get  $m$ )  
key recovery attacks (given  $(c,m)$ , get  $K$ )  
(as the attacker can be converted in IND-CPA adversary)

#### deterministic schemes

generic game automatically breaks IND-CPA  
A sends ( $m_0, m_0$ ) to LoR, gets  $c$   
A sends ( $m_0, m_1$ ) to LoR, gets  $c'$   
if  $c = c'$  then  $b = 0$ , else  $b = 1$

#### limitations

requires equal length messages (different lengths unprotected)  
ignores integrity  
ignores chosen ciphertext attacks  
ignores side-channel leakage, implementation vulnerabilities

### 5.4 game hopping lemmas

#### advantage rewriting lemma

$2|\Pr[b'=b] - 0.5| = |\Pr[b'=1|b=1] - \Pr[b'=1|b=0]|$   
single output enough to estimate probability

#### proof advantage rewriting lemma

$\Pr[b'=b] - 0.5$   
 $= \Pr[b'=b|b=1]\Pr[b=1] + \dots - 0.5$  (bayesian expand)  
 $= \Pr[b'=b|b=1]*0.5 + \dots - 0.5$  (bc  $\Pr[b=0] = 0.5$ )  
 $= 0.5*(\Pr[b'=1|b=1] + \Pr[b'=0|b=0]) - 1$  (evaluate b, extract 0.5)  
 $= 0.5*(\Pr[b'=1|b=1] - (1-\Pr[b'=0|b=0]))$  (rewriting -1)  
 $= 0.5*(\Pr[b'=1|b=1] - \Pr[b'=1|b=0])$  (invert 1-)

#### difference lemma

given that events  $W_1 \wedge \neg Z$  iff  $W_2 \wedge \neg Z$   
then  $|\Pr[W_2] - \Pr[W_1]| \leq \Pr[Z]$   
useful for game hopping with rare bad event Z  
intuitively assume algorithms run with same randomness for  $W_1$  and  $W_2$   
argue it holds over full probability space

#### proof difference lemma

$|\Pr[W_2] - \Pr[W_1]|$   
 $= |\Pr[W_2 \wedge Z] + \Pr[W_2 \wedge \neg Z] - (\Pr[W_1 \wedge Z] + \Pr[W_1 \wedge \neg Z])|$  (expand)  
 $= |\Pr[W_2 \wedge Z] - \Pr[W_1 \wedge Z]|$  (precondition)  
 $\leq \Pr[Z]$  (bc both expressions lie between 0 and Z)

### 5.5 PRP-PRF switching lemma

any PRF (pseudo-random function) is also a PRP  
for block cipher E, adversary A with queries  $q$   
 $|\text{Adv}_E^{PRP}(A) - \text{Adv}_E^{PRF}(A)| \leq q^2 / 2^{n+1}$   
in general,  $q$  is small and  $n$  large, hence probability small

#### PRF-security

defined same as PRP, except  $\$Funcs[\{0,1\}^n, \{0,1\}^n]$  used  
Func includes all PRP, and additionally all functions  
Func may duplicate output values (no bijection requirement)  
 $\text{Adv}_E^{PRF}(A) := 2|\Pr[b' = b] - 0.5|$

#### proof games

run A on three games  $G_0, G_1, G_2$  with different oracles on  $f$   
 $G_0$  uses  $f = E_K(*)$

$G_1$  uses  $f \leftarrow \$\text{Perms}[\{0,1\}^n]$   
 $G_2$  uses  $f \leftarrow \$\text{Funcs}[\{0,1\}^n]$   
 $p_i = \Pr[W_i]$  for  $W_i$  event that A outputs  $b' = 1$   
note that  $W_i$  independent of success of A

#### adversary probabilities

$\text{Adv}_E^{PRP}(A) = 2|\Pr[b' = b] - 0.5|$  (by definition)  
 $= \Pr[b'=1|b=1] - \Pr[b'=1|b=0]$  (by advantage rewriting lemma)  
 $= |p_1 - p_0|$  (by construction of games  $G_1, G_0$ )  
 $\text{Adv}_E^{PRF}(A) = 2|\Pr[b' = b] - 0.5|$  (by definition)  
 $= \Pr[b'=1|b=1] - \Pr[b'=1|b=0]$  (by advantage rewriting lemma)  
 $= |p_2 - p_0|$  (by construction of games  $G_2, G_0$ )  
 $|\text{Adv}_E^{PRP}(A) - \text{Adv}_E^{PRF}(A)| = ||p_1 - p_0| - |p_2 - p_0||$   
 $\leq |p_2 - p_1|$  (absolute value case distinction)

$|p_2 - p_1|$   
 $G_1$  and  $G_2$  are identical except duplicate  $y_i$  in  $G_2$  (event Z)  
for q chosen values,  $0.5 * q^2$  pairs  
 $\Pr[y_i = y_j] = 2^{-n}$   
 $\Pr[Z] \leq q^2 / 2^{n+1}$  (#pairs \* collision probability)  
(while events not independent, treat them such to overapproximate)  
 $|p_2 - p_1| \leq \Pr[Z]$  (by difference lemma)

### 5.6 IND-CPA security for CTR mode

#### simplified CTR-mode pseudo-code

assume that messages are single block  
each encryption chooses uniform-random ctr  
1.  $\text{ctr} \leftarrow \$\{0,1\}^n$   
2.  $r = E_K(\text{ctr})$   
3.  $c_0 = m \text{ XOR } r$   
4. return  $(\text{ctr}, c_0)$

#### games

instantiate IND-CPA game LoR oracle with pseudo-code from above  
 $G_0$  uses original pseudo-code from CTR-mode  
 $G_1$  uses random permutation  $\pi$  instead of  $E_K(\text{ctr})$   
 $G_2$  uses random function  $f$  instead of  $E_K(\text{ctr})$   
 $G_3$  uses random value instead of  $E_K(\text{ctr})$   
in  $G_3$ , no more advantage (essentially one-time pad encrypted)  
let  $X_i$  be event that  $b'=b$  in game  $G_i$ ;  $q_i = \Pr[X_i]$

#### advantage

$\text{Adv}_{CTR}^{IND-CPA}(A) = 2*|q_0 - 0.5|$   
 $|q_0 - 0.5| = |(q_0 - q_1) + (q_1 - q_2) + (q_2 - q_3) + (q_3 - 0.5)|$   
 $\leq |q_0 - q_1| + |q_1 - q_2| + |q_2 - q_3|$  (by absolute,  $q_3 = 0.5$ )

#### $|q_0 - q_1|$ is small

create  $B_1$  (IND-CPA challenger & PRP adversary)  
chooses  $b \leftarrow \$\{0,1\}$ , runs simplified CTR-mode code  
for 2., asks PRP oracle (which uses  $E_K$  or  $\pi$  depending on d)  
for 3., uses  $m_0$  or  $m_1$  of A depending on b  
if A returns  $b'=b$ , then returns  $d'=1$  else  $d'=0$   
 $q_0 = \Pr[b'=b|d=0]$  (as  $d=0$  is  $G_0$ )  $= \Pr[d'=1|d=0]$  (by  $d'$  definition)  
 $q_1 = \Pr[b'=b|d=1]$  (as  $d=1$  is  $G_1$ )  $= \Pr[d'=1|d=1]$  (by  $d'$  definition)  
 $|q_1 - q_0| = |\Pr[d'=1|d=1] - \Pr[d'=1|d=0]| = \text{Adv}_E^{PRP}(B_1)$   
observe that  $B_1$  running time & #queries equal that of A  
 $\text{Adv}_E^{PRP}(B_1) \leq \max\{\text{Adv}_E^{PRP}(D): D \text{ in } t_A \text{ time and } q_A \text{ queries}\}$

#### $|q_1 - q_2|$ is small

create  $B_2$  like  $B_1$ , but PRP-PRF adversary (hence oracle changes)  
 $q_1 = \Pr[b'=b|d=0]$  (as  $d=0$  is  $G_1$ )  $= \Pr[d'=1|d=0]$  (by  $d'$  definition)  
 $q_2 = \Pr[b'=b|d=1]$  (as  $d=1$  is  $G_2$ )  $= \Pr[d'=1|d=1]$  (by  $d'$  definition)  
 $|q_2 - q_1| = |\Pr[d'=1|d=1] - \Pr[d'=1|d=0]| = \text{Adv}^{PRP/PRF}(B_2)$   
 $\text{Adv}^{PRP/PRF}(B_2) \leq q^2 / 2^{n+1}$  (by PRF/PRP switching lemma)

#### $|q_2 - q_3|$ is small

create  $B_3$  like  $B_2$ , but PRF-Rand oracle (evaluates PRF or picks random)  
 $q_2$  only different to  $q_3$  iff ctr not all distinct (event Z)  
(as PRF evaluates to same value with same input)  
 $|q_3 - q_2| \leq \Pr[Z]$  (by difference lemma)  $\leq q^2 / 2^{n+1}$

#### full advantage

$\text{Adv}_{CTR}^{IND-CPA}(A) = 2*|q_0 - 0.5|$   
 $\leq 2*\text{Adv}_E^{PRP}(B_1) + 2*q^2 / 2^n$   
for E (q, t,  $\epsilon$ ) secure, then CTR is (q, t,  $2*\epsilon + 2q^2 / 2^n$ )

#### generalize result

for stateful counters,  $|q_2 - q_3|$  is zero (no duplication possible)  
hence slightly better result  
for multi-block messages, q gets higher (number of total queries)  
complex statistical analysis leads to about the same result

## 6 block mode attacks

### 6.1 padding oracle attacks

arbitrary length plaintexts have to be padded (except CBC)  
after message decryption, have to again remove padding  
exploit error behaviour when invalid padding is detected

#### pad(.)

map of  $\{0,1\}^* \rightarrow \{\{0,1\}^n\}^*$   
necessarily expanding  
needs to be efficient  
may be randomized or deterministic

#### padding oracle

for attacker-chosen C  
oracle decrypts & returns whether padding valid or invalid  
hence single bit leaked per ciphertext

#### remarks

like CCA, hence not covered by IND-CPA

#### details in practice

timing noise can be filtered statistically  
"single shot" still attackable if plaintext stays the same  
min/max cipher compatibility by appending/cutting random blocks  
endemic in applications

#### SSL/TLS

attacks in 2003, 2013  
CBC-mode with padding particularly vulnerable  
integrity via MAC (of ciphertext) required

### 6.2 simplified TLS padding (CBC-AES) has CCA

adds t+1 copies of byte value t; for  $0 \leq t \leq n/8$   
like 0x00 or 0x01 || 0x01 or 0x02 || 0x02 || 0x02  
for CBC-AES with n=128, at most 16 bytes appended, at least 1

#### padding oracle attack

for  $p_t$  unknown plaintext, and  $c_t$  its cipherblock  
 $c_{t-1}$  XOR with 0x0... || ( $d_t = 0x...$ ) for all 256 possibilities of  $d_t$   
if oracle accepts, then  $P_t$  XOR (0x0... ||  $d_t$ ) = ... || 0x00  
(or special case  $P_t$  XOR (0x0... ||  $d_t$ ) = ... || 0x01 || 0x01)  
hence  $d_t$  equals last byte of plain  
then proceed byte-by-byte (0x01 || 0x01, then 0x02 || 0x02 || 0x02, ...)  
then proceed block-by-block (as can place any cipherblock last)

#### runtime

in 128 calls for each byte  
+ need to disambiguate 0x01 || 0x01 or 0x00  
can extend to entire block (from right to left byte)  
can extend to entire ciphertext (by placing attacked block last)

#### history

many similar attacks discovered; in major protocols  
conclude that CBC-mode with padding is vulnerable in general  
need to detect modified ciphertexts (via integrity, like using MAC)

### 6.3 CBC-mode with predictable IV

breaks IND-CPA of CBC-mode  
discovered in 1995

#### attack

attacker in IND-CPA attack  
queries ( $P_0, P_1$ ) to get back ( $C_0$  (=IV),  $C_1$  (=ciphertext))  
queries ( $P_0$  XOR  $C_0$  XOR  $C_{0'}$  (= predicted IV)) twice  
iff  $C_1 = C_{1'}$ , then  $b=0$

#### attacker requirements

(1) place  $P_0$  XOR  $C_0$  XOR  $C_{0'}$  as first block (as IV)  
(2) know position of attacked block (to strip previous blocks)  
hence also possible with multi-block message

#### IV-chaining

when IV set to last cipher block generated  
then attack possible in real world  
like SSL 3.0, TLS 1.0, SSH

### 6.4 BEAST full plaintext recovery

CBC-mode with predictable IVs + chosen boundary privilege

#### part 1 (extract single byte)

assume  $p_0 - p_{14}$  is known, want to know  $p_{15}$   
assume IV predictable, can decide first block of plaintext

iterate over 256 guesses of  $p_{15}$  to recover byte as before

### part 2 (byte sliding)

assume chosen boundary privilege  
hence can set position of unknown byte relative to CBC boundary  
now do part 1, shift, do part 1 again, shift again, ...

### browser implementation

https cookie of target site included automatically in requests  
js of malicious webpage executes request to target site  
can pad requests for part 2, part 1 also possible (but complicated)

### impact

fixed in TLS 1.1 (uses random IVs)  
but updating hard (old clients)  
some switched to RC4 (broken later on too) or sent dummy records

### learnings

theoretical attack of 1995 becomes practical in 2011  
⇒ attacks only get better with time  
attack needs sophisticated JavaScript implementation  
⇒ need tools of hacker community to make practical attacks

## 7 hash functions

arbitrary length to fixed length hash value  
based on block ciphers or own design

### 7.1 introduction

#### applications

message fingerprinting  
signature schemes (hash-then-sign)  
message authentication codes  
key derivations (raw data → key)  
password hashing  
commitment schemes

#### standards

nist (SHA-224 - SHA-512, Keccak)  
nessie (SHA-256 - SHA-512, whirlpool)  
cryptrec (SHA-256 - SHA-512)

#### non-crypto hash function

used for hash tables, caching strategies, bloom filters, ...  
but unsuitable for crypto purposes  
sometimes misused, like WEP using CRC  
but CRC is linear in message bits

### 7.2 formal

#### definition

$H: \{0,1\}^* \rightarrow \{0,1\}^n$   
called n-bit hash function

#### random oracle model

given any input, outputs n-bit random string  
useful for formal security analysis, but formally unsound

### 7.3 security goals

#### primary (easy to hard)

pre-image resistance (given  $H(m)$ , infeasible to find  $m$ )  
second pre-image resistance (given  $m_1$ , find  $m_2$  for  $H(m_1) = H(m_2)$ )  
collision resistance (find  $m_1 \neq m_2$  such that  $H(m_1) = H(m_2)$ )

#### secondary

near-collision resistance (find  $m_1 \neq m_2$  such that  $H(m_1) \sim H(m_2)$ )  
partial pre-image resistance 1 (given  $H(m)$ , find parts of  $m$ )  
partial pre-image resistance 2 (given  $H(m)$ , find  $m'$  for  $H(m')$  prefix)  
prefix of length  $l$  must be found in much less than  $2^l$  hash evaluations

#### implications

$CR \Rightarrow sPre$  (unconditionally, as attacker construction trivial)  
 $sPre \Rightarrow Pre$  (when  $|R| > |D|$ , as attacker-output  $m$  must be different)

### 7.4 generic attacks

for secure hash functions, generic attacks must be best known attacks

#### pre-image resistance

given  $y \in \{0,1\}^n$ ,  $H$  behaving like random function  
iterate over  $2^n$  messages until  $H(m) = y$  found

#### second pre-image resistance

given  $m_1$ ,  $H$  behaving like random function

iterate over  $2^n$   $m_2$  until  $H(m_2) = H(m_1)$  found

### collision resistance

given  $H$  behaving like random function  
iterate over  $2^{n/2}$   $m$ , some  $H(m') = H(m)$   
by birthday theorem, n-bit hash functions offers  $n/2$  bits security

### 7.5 formalizing collision resistance (CR)

collisions must exist, as input much larger than output space  
so an efficient algorithm exists (simply outputs hardcoded collision)  
hence definition cannot quantify over all efficient adversaries

#### (t,ε)-CR adversary

for  $A$  running in time  $t$ , outputting with probability  $\epsilon$   
 $m_0$  and  $m_1$  such that  $H(m_0) = H(m_1)$

#### CR ⇒ second pre-image resistance

proof not second-preimage resistance ⇒ not collision resistance  
choose  $m_1$ , then find  $m_2$  (by not second-preimage resistance)  
output  $m_1, m_2$

#### CR ⇒ pre-image resistance (definition over domain)

choose random  $x$ , then construct adversary challenge  $y = H(x)$   
require going over domain, else counter examples possible

#### CR ⇒ pre-image resistance (definition over range)

let  $G$  be collision-resistant n-bit hash function  
we define  $H$  as  $n+1$ -bit hash function like  
if  $|m| = n$  then  $H(m) = 1 \parallel m$   
else  $H(m) = 0 \parallel G(m)$   
attacker has 50% probability to find pre-image (when bit 0 is 1)

### 7.6 merkle-damgard construction

construct hash function from compression function  
iterative design to process arbitrary length  
like MD5, SHA-1, WHIRLPOOL

#### iterated hashing

let  $n$  output length, IV of length  $n$ , block length  $k$   
assume compression function  $h: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$   
assume padding scheme  $pad(m)$  such that result length multiple of  $k$   
break up  $pad(m)$  into  $l$  parts of length  $k$   
use  $h(IV, m_0) = t_1$ , then  $h(t_1, m_1)$ , until  $m_l$   
last iteration does  $h(m_l, [len(Y)]_k)$

#### security

for  $t$  ( $0 \leq t < k$ ) minimal such that  $k$  divides  $pad(m)$   
for  $[len(m)]_k$  k-bit representation of length  
let  $pad(m) = m \parallel 1 \parallel 0^t \parallel [len(m)]_k$   
then if  $h$  is collision-resistant, so it  $H$

#### h CR ⇒ H CR

proof that  $h$  is collision-resistant ⇒ so is  $H$   
assume adversary  $A$  breaking  $H$ , construct  $B$  breaking  $h$   
 $A$  outputs two colliding (padded) messages  $X, Y$   
for  $X, Y$ , length  $u, v$ ; blocks  $x_i, y_i$ ; chaining values  $s_i, t_i$   
if  $|X| \neq |Y|$ , then  $t_i = s_i$  although  $x_u \neq y_v$  (by padding)  
else then some  $i$  for  $x_i \neq y_i$  must exist with  $t_{i+1} = s_{i+1}$

#### length extension attack

for  $h = H(m)$  known, can compute  $H(pad(m) \parallel length(m) \parallel m')$   
by taking  $h$  as chaining value for  $m'$   
⇒ merkle-damgard cannot be modelled as random oracle

### 7.7 construct compression function h

interface requirement  $h: \{0,1\}^n \times \{0,1\}^k \rightarrow \{0,1\}^n$   
security requirements include collision resistance, one-wayness, ...

#### davies-mayer

uses block cipher  $E$   
message input is key  
chain variable  $t$  is (plaintext) input

#### analysis davies-mayer

if  $E$  ideal cipher ⇒ collision resistant compression function  
hash output size = block size  
hence need big block size to avoid birthday attacks  
message blocks set keys  
hence need fast rekeying

### 7.8 hash functions

MD5 (128 bits, 1991, broken)

SHA-1 (160-bit, 1995, collisions found)  
SHA-2 (256bit, ...; 2002, fine)  
SHA-3 (public design 2015; backup if SHA-2 breaks)  
Whirlpool (512-bit; used in TrueCrypt)

#### usage

MDx used a lot (fast) but not anymore (trivially broken)  
SHA-1 phasing out (IPsec, SSL, SSH); broken with reasonable effort  
SHA-2 primarily used (and safe for the foreseeable future)

### 7.9 SHA-1

merkle-damgard with output size n

#### compression function

iterate 80 times  
uses block cipher in Davis-Meyer mode (k=512, n=160)  
round function operating on 5\*32 bit words  
some shifts, additions, simple bit operations

#### timeline

1995 initial analysis for SHA-0 leads to SHA-1  
2005 first estimated attack for  $2^{69}$  (but want  $2^{80}$ )  
until 2015 lots of analysis; reduction to  $2^{61}$   
collisions up to 77 rounds of 80 of compression function  
2012 NIST retires SHA-1, but introduces again in 2015  
late 2014 SHA-1 certificates penalized by google & others  
2015 freestart collision attack (allowed to choose IV)  
for 65 CPUs a few times  
2017 shattered full collision  $2^{63}$  SHA-1 computations  
for 6500 CPU + 100 GPU years ( $2^{63}$  computations)  
2020 chosen-prefix collision on full SHA-1  
for 900 GPUs 2 months ( $2^{63.4}$  computations)

#### phasing out broken algorithms

once deployed hard to phase out  
like complex protocols with negotiation capabilities  
need backwards compatibility  
practitioners require practical demonstrations before being convinced  
lack of understanding of how attacks only get worse  
confusion about which security properties are actually broken  
like MD5 pre-image still difficult

### 7.10 SHA-2

similar to SHA-1 with (k=512, n=256)  
64 rounds, 8\*32 bit words  
no attacks known faster than generic birthday attack  
but twice as slow as SHA-1

### 7.11 SHA-3 (Ketchak)

result of 2007 - 2015 competition  
will replace SHA-2 if (ever) broken  
giant-bit permutation at core (instead of Davis-Meyer)  
15% slower than SHA-256

#### sponge-construction

let outer state R,  $|R|=r=1088$   
let inner state C ( $|C|=c=512$  bits)  
let F:  $\{0,1\}^{r+c} \rightarrow \{0,1\}^{r+c}$  bit permutation  
pad(m) into  $m_i$  blocks of r bits  
IV is  $0^{c+r}$   
absorbing phase by R XOR  $m_i$ , then F(R || C)  
repeat as often as message blocks  
squeezing phase by repeatedly taking out R, then F(R || C)  
repeat as often as required output size

### 7.12 password hashing

store passwords hashed so breach has less impact

#### hash

random, account specific value  
long enough (64bits) to prevent collisions for random choice  
effectively makes precomputation useless

#### iterations

slow down password bruteforcing by iterating hashes  
iteration method should be badly parallelizable  
like argon2, scrypt, bcrypt  
see <https://www.password-hashing.net/>

#### encryption

needs careful selection of method (like adobe ECB issue)

needs key management

## 8 MAC

### 8.1 properties

integrity (message not modified)  
data origin authentication (sender correct)  
to prevent attackers from forging messages

### 8.2 limitations

cannot detect message deletion, replay, reordering  
need sequence numbers or other primitives  
cannot detect reflection attacks  
need directional indicators (who should receive it)  
or key separation (different keys for different purposes)

### 8.3 definition

KGen:  $\{\} \rightarrow \{0,1\}^k = K$   
Tag:  $\{0,1\}^k \times \{0,1\}^* \rightarrow \{0,1\}^t$  called  $\tau$   
Vfy:  $\{0,1\}^k \times \{0,1\}^* \times \{0,1\}^t \rightarrow \{0,1\}$   
correctness requires  $Vfy(K, m, Tag(K, m)) = 1$  for all messages, keys

#### notes

keys usually uniform random  
tag length usually small (96 - 128bit)  
Tag, Vfy usually deterministic

#### deterministic Tag ("standard")

hence unique tag t for each (K,m)  
construct generic Vfy as  $Tag(K,m) == \tau$

#### target security

security through unforgeability  
hard for adversary to compute valid  $\tau$  without key K

#### attacker capabilities

got multiple message/tag pairs  
 $tag(m) \rightarrow \tau$  oracle (to choose messages for MAC)  
 $verify(m, \tau) \rightarrow true/false$  oracle (to check if forgeries valid)

#### trivially broken schemes

$\tau$  must depend on every bit of the message  
like  $H(m \text{ XOR } K)$  gives same MAC for same key-long message prefix  
must be hard to recover K given (m,  $\tau$ )  
like  $H(m) \text{ XOR } K$  is trivial to extract K  
length extensions must be impossible  
like merkle-damgard construction can easily be forged

### 8.4 formalising security

#### oracles

tag oracle (send m, receive  $\tau = Tag(K,m)$ )  
verify oracle (send (m, $\tau$ ), receive  $\{0,1\} = Vfy(K,m,\tau)$ )

#### weak unforgeability (WUF)

if adversary queries verify oracle with valid (m\*,  $\tau^*$ )  
for no query to tag oracle m\*

#### strong unforgeability (SUF)

if adversary queries verify oracle with valid (m\*,  $\tau^*$ )  
for no query to tag oracle m\* with response  $\tau^*$

#### ( $q_t, q_v, t, \epsilon$ )-(W/S)UF-CMA

for  $q_t$  tag queries,  $q_v$  verify queries, running time t  
has no success probability than  $\epsilon$   
then weakly/strongly unforgeable under chosen message attack

#### SUF-CMA $\Rightarrow$ WUF-CMA

as any WUF adversary breaks SUF  
can construct WUF but not SUF schemes  
for deterministic Tag, WUF = SUF

#### WUF- but not SUF-CMA scheme

idea is to ignore first bit of  $\tau$  to easily generate  $\tau'$   
let (KGen, Tag, Vfy) be WUF-CMA secure scheme  
 $Tag'(K, m) = 0 || Tag(K, m) = \tau'$   
 $Vfy'(K, m, \tau') = b || \tau \ \&\& \ Vfy(K,m,\tau)$   
query for some m, XOR first bit of  $\tau$  and resubmit  
success p = 100% for SUF, WUF still secure

#### avoiding Vfy oracle

for any ( $q_t, q_v, t, \epsilon$ )-SUF-CMA attacker using verification oracle

there exists an  $(q_t, t, \epsilon / q_v)$ -SUF-CMA attacker without it  
proof by constructing B consuming original attacker mocking Vfy oracle  
Vfy oracle chooses random query to respond 1, else responds 0  
leads to success  $p = \epsilon / q_v$

## 8.5 generic attacks

random k-bit key guess  $(2^{-k})$   
use some  $(m, \tau)$  pairs for exhaustive key search  
 $q_v$  queries to verify oracle with random  $\tau$  ( $q_v \sim 2^{-t}$ )

### conclusion

need large enough tags, keys and few queries  
as  $q_v$  queries are inherently online, can constrain in protocol  
like TLS accepting only single  $q_v$

## 8.6 MAC from PRF (MAC(F))

if F PRF, then MAC SUF-CMA  
works on message input domain X (constrained by F)

### construction

let F be function  $\{0, 1\}^k \times X \rightarrow \{0, 1\}^t$   
KGen:  $K \leftarrow \$ \{0, 1\}^k$   
Tag(K, m):  $\tau \leftarrow F(K, m)$   
Vfy(K, m) using "standard" mode as deterministic Tag  
note input domain restricted to F input domain X

### claim

for  $(q_t, t, \epsilon)$ -SUF-CMA adversary against MAC(F)  
there exists  $(q', t', \epsilon')$ -PRF adversary against F  
for  $t' \sim t$ ,  $q' = q_t + 1$ ,  $\epsilon' = \epsilon - 1/2^t$

### games

$G_0$  SUF-CMA game, oracle answers using F(K, m)  
 $W_0$  when A outputs  $(m^*, \tau^*)$  such that  $\tau^* = F(K, m^*)$   
hence A breaks SUF-CMA  
 $G_1$  SUF-CMA game, oracle answers using random function f  
 $W_1$  when A outputs  $(m^*, \tau^*)$  such that  $\tau^* = f(m^*)$   
 $m^*$  of  $W_0, W_1$  must be different from tag queries  $m_1, \dots, m_q$

### constructing B

let attacker A break SUF-CMA of MAC(F)  
let B's challenger execute either F(K, m) (b=0) or f(m) (b=1)  
B simulates MAC(F) tag oracle for A  
when A outputs  $(m^*, \tau^*)$ , B queries  $m^*$  into  $\tau'$   
B outputs iff  $\tau' = \tau^*$  b'=1 else b'=0  
(note b' is "inverted"; iff  $\tau' = \tau^*$  then B detected F(K, m))

### proof lemmas

(1)  $\text{Adv}_F^{\text{PRF}}(B) = |\Pr[b'=1|b=1] - \Pr[b'=1|b=0]|$   
 $= |\Pr[\tau^* = f(m^*)|A \text{ in } G_1] - \Pr[\tau^* = F(K, m^*)|A \text{ in } G_0]|$  (by game construction)  
 $= |\Pr[W_1] - \Pr[W_0]|$  (by  $W_1, W_0$  definition)  
(2)  $\Pr[W_1] = 1/2^t$  as A must output  $\tau^*$  on fresh input  $m^*$   
and output for f is uniform-random of length t

### proof

$\text{Adv}\{\text{MAC}(F)\}^{\text{SUF-CMA}}(A) = \Pr[W_0]$   
 $= |(\Pr[W_0] - \Pr[W_1]) + \Pr[W_1]|$  (valid as  $\Pr[W_0] \geq 0$ )  
 $\leq |(\Pr[W_0] - \Pr[W_1])| + \Pr[W_1]$  (valid as  $\Pr[W_1] \geq 0$ )  
 $= \text{Adv}_F^{\text{PRF}}(B) + 1/2^t$  (by (1) and (2))

## 8.7 MAC from hashing (HtMAC)

if H CR and MAC SUF-CMA, then HtMAC SUF-CMA  
works on message input domain X' (constrained by H)

### construction

let MAC = (KGen, Tag, Vfy) with input space X, tag-length t,  
key-length K  
let H:  $X' \rightarrow X$  be hash function  
Tag'(K, m): Tag(K, H(m))  
Vfy'(K, m,  $\tau$ ): Vfy(K, H(m),  $\tau$ )

### claim

for A  $(q_t, t, \epsilon)$ -SUF-CMA adversary against HtMAC  
 $\text{Adv}_{\text{HtMAC}}^{\text{SUF-CMA}}(A) \leq \text{Adv}_{\text{MAC}}^{\text{SUF-CMA}}(B) + \text{Adv}_H^{\text{CR}}(C)$   
B, C run in similar time t as A, B makes  $q_t$  queries

### probability claims

let X when A wins SUF-CMA against HtMAC

let Y when  $H(m^*) = H(m_i)$  for  $m^* \neq m_i$

let Z =  $X \cap \neg Y$  (A wins without hash collision)

$\text{Adv}_{\text{HtMAC}}^{\text{SUF-CMA}}(A) = \Pr[X]$

$= \Pr[X \cap \neg Y] + \Pr[X \cap Y]$  (taking X apart)  
 $\leq \Pr[Z] \text{ (by def.)} + \Pr[Y] \text{ (as } \Pr[X \cap Y] \leq \Pr[Y])$

### B game construction

let attacker A break SUF-CMA of HtMAC  
let B's challenger execute Tag(K,  $m_i$ )  
B simulates HtMAC tag oracle for A  
for query  $m_i$  of A, B forwards  $H(m_i)$  to its challenger  
B relays answers of its challenger without change to A  
when A outputs  $(m^*, \tau^*)$ , B wins if Z (no collision)

### C game construction

let attacker A break SUF-CMA of HtMAC  
C simulates HtMAC tag oracle for A  
C chooses some K, evaluates T(K,  $H(m_i)$ )  
when A outputs  $(m^*, \tau^*)$ , C wins if Y ( $H(m^*)=H(m)$ )

### proof notes

C does not care if A wins, hence Y is enough to succeed  
advantage of attackers equal to winning probability

## 8.8 HMAC

build MAC using only hash function

as hash functions are very fast

but hash-then-MAC has offline attack (find collision in hash)

### target

transform unkeyed primitive to MAC without CR assumption  
could prepend key, but length extension attack  
could append key, but vulnerable to offline collision attack)  
idea  $F((K_1, K_2), M) = H(K_2 \parallel H(K_1 \parallel M))$

### construction

given key K, message m, H using merkle-damgard construction  
pick IV (reused over both hashes)  
pad key with  $\text{pad}(K) = K \parallel 0x0^*$  to block length  
pad messages with  $\text{pad}(m) = m \parallel 0x1 \parallel 0^* \parallel [\text{length}]_{64}$  to block length  
let  $K_1 = \text{pad}(K) \text{ XOR } \text{ipad}=0x36\dots$ ,  $K_2 = \text{pad}(K) \text{ XOR } \text{opad}=0x5C\dots$   
 $h(IV, K_1) = t_1$ ;  $h(t_1, m_1) = t_2, \dots \rightarrow t_u$   
 $h(IV, K_2) = v_1$ ;  $h(v_1, \text{pad}(t_u)) = \tau$   
needs h such that  $\text{pad}(t_u)$  fits in single block

### standard security

keys are derived from single key using XOR (hence not independent)  
can prove security for NMAC (same construction, independent  $K_1, K_2$ )  
need h to behave like pseudo-random function  
need ideal cipher model (for any K block-cipher behaves pseudo-random)  
under these assumptions HMAC is PRF, therefore SUF-CMA MAC

### usage

one of the first MAC functions, widely deployed  
used with SHA-1, SHA-256, MD-5  
OK with MD5 as security not depending on its (broken) CR  
is being replaced by faster designs  
still useful for key-derivation (due to PRF property)

### IUF-interface

initialize() create internal chaining value K XOR ipad  
update(U) to absorb new message bytes (hashing on demand)  
finalize() which processes remaining bytes and does outer hash  
supports streaming applications; implementation tricky

## 8.9 nonce-based MAC (NMAC)

add nonce space N compared to standard definition

KGen:  $\{ \} \rightarrow \{0, 1\}^k = K$

Tag:  $\{0, 1\}^k \times N \times \{0, 1\}^* \rightarrow \{0, 1\}^t = \tau$

Vfy:  $\{0, 1\}^k \times N \times \{0, 1\}^* \times \{0, 1\}^t \rightarrow \{0, 1\}$

correctness requires  $\text{Vfy}(K, m, N, \text{Tag}(K, N, m)) = 1$

### security game

adversary must not query same nonce twice  
wins if A outputs  $m^*, \tau^*$  for  $\text{Vfy}(K, N^*, m^*, \tau^*)$   
for  $(N^*, m^*, \tau^*)$  distinct from  $(N_i, m_i, \tau_i)$

### $(q_t, t, \epsilon)$ -SUF-CMA-secure

$\text{Adv}_{\text{NMAC}}^{\text{SUF-CMA}}(A) < \epsilon$  for t time,  $q_t$  tag queries  
for forgery in unforgeability game

## 8.10 universal hash functions (UHF)

useful for (very fast) compression

but does not hide input/key (hence recoverable from hash)

in real-world usecase, hide output by XORing with PRG or similar



$\epsilon - UHF$   
 when  $\text{Adv}_H^{UHF}(A) \leq \epsilon$  in universal hash function security game  
 A plays against challenger with  $K \leftarrow \$K$   
 A outputs  $m_0, m_1$  for  $m_0 \neq m_1$  and  $H(K, m_0) = H(K, m_1)$   
 note that A has no access to any pairs beforehand  
 $H(K, m)$  called keyed hash function (& its output called digest)

#### $\epsilon - UHF$ alternative definition

A of  $\epsilon - UHF$  is unbounded, hence can reformulate  
 $\Pr[H(K, m_0) = H(K, m_1)] \leq \epsilon$   
 for random K, any  $m_0 \neq m_1$

### 8.11 $H_{poly}$ (UHF from polynomials)

for F finite field (like mod p;  $\text{GF}(2^n)$ )  
 let  $K = T = F$  (hence keys, tags = F)  
 let  $M = (F)^{<=l}$  (hence messages vectors of at most length l)  
 $H(K, (a_1, \dots, a_v)) = K^v + a_1 * K^{v-1} + \dots \in F$   
 write as  $a(K)$ , as  $a(X)$  degree v polynomial from F  
 fast evaluation using finite field operations & horners rule

$H_{poly}$  is  $\epsilon - UHF$

assume a, b distinct  
 then  $(a-b)(K)$  degree  $> 0$  (due to  $K^v$ ) and degree  $\leq 1$  (as  $|a|, |b| \leq 1$ )  
 $\Pr[(a-b)(K) = 0] \leq 1/|F|$  (as at most 1 roots, |F| options for (a - b))  
 hence  $\Pr[a(K) = b(K)] \leq 1/|F|$  (equivalent to  $\epsilon - UHF$  definition)

#### PRF from PRF + UHF (UHFtPRF)

for H  $\epsilon - UHF$ , F PRF  
 $F'((K_1, K_2), m) = F(K_2, H(K_1, m))$   
 $\text{Adv}_{F'}^{PRF}(A) \leq \text{Adv}_F^{PRF}(B) + 0.5 * q^2 * \epsilon$   
 we want to get rid of  $0.5 * q^2$  (as its not tight)

### 8.12 $\epsilon$ difference UHF ( $\epsilon - DUHF$ )

for keyed hash function keyspace K, message space M, digest space T  
 for T equipped with group operation + (and inverse -)  
 challenger picks  $K \leftarrow \$K$   
 A outputs  $m_0, m_1$  and  $\delta$  such that  $H(K, m_0) - H(K, m_1) = \delta$   
 generalizes hash functions (as diff no longer has to be 0)

#### typical digest spaces & operations

group  $Z_N$  (integers mod N) with + as addition mod N  
 group  $\{0, 1\}^n$  for some bit length n with + as XOR  
 XOR group also called  $\epsilon - XOR - universality$

### 8.13 $H_{xpoly}$ (DUHF from polynomials)

for F finite field (like mod p;  $\text{GF}(2^n)$ )  
 let  $K = T = F$  (hence keys, tags = F)  
 let  $M = (F)^{<=l}$  (hence messages vectors of at most length l)  
 $H(K, (a_1, \dots, a_v)) = K^{v+1} + a_1 * K^v + \dots \in F$   
 $= K * H_{poly}(K, (a_1, \dots, a_v))$   
 $H_{xpoly}$  is  $\epsilon - DUHF$   
 assume a, b distinct  
 then  $(a-b)(K)$  polynomial degree  $> 0$  and  $\leq l+1$   
 $\Pr[(a-b)(K) = 0] \leq l+1/|F|$  (as at most l+1 roots, |F| options for a and b)  
 hence  $\Pr[K*(a(K) - b(K)) = \delta] \leq l+1/|F|$  (equivalent to  $\epsilon - DUHF$  definition)

### 8.14 Carter-Wegman MAC (CW-MAC(F, H))

builds up MAC out of  $\epsilon - DUHF$  H and PRF F  
 used in AES-GCM

#### construction

let  $K_1, K_2$  keys, N nonce  
 $\tau = H(K_1, m) \text{ XOR } F(K_2, N)$   
 like one-time pad encryption for output of H  
 results in tags of size  $|N| + |\tau|$

#### SUF-CMA security

for H  $\epsilon - DUHF$  and F PRF; both with (T, +) target group  
 $\text{Adv}_{\{\text{CV-MAC}(F, H)\}}^{\text{SUF-CMA}}(A) \leq \text{Adv}_F^{PRF}(B) + \epsilon + 1 / |T|$   
 time of B roughly the same,  $q_t$  queries to oracle

#### proof sketch

replace F with random function (valid as nonces differ)  
 case A outputs  $(N^*, m^*, \tau^*)$  for  $N^*$  new  
 then  $\tau^* = H(K_1, m^*) + f(N^*)$   
 as  $f(N^*)$  uniform random, A succeeds with  $p = 1/|T_H|$   
 case A outputs  $(N^*, m^*, \tau^*)$  for  $N^*$  used in previous tag query  
 then  $\tau^* = H(K_1, m^*) + f(N)$  and  $\tau = H(K_1, m) + f(N)$

with  $\tau^* - \tau$  can build  $\epsilon - DUHF$  adversary

#### GMAC algorithm

for F, uses AES (applying PRP-PRF switching lemma)  
 for H, use  $H_{xpoly}$  over  $\text{GF}(2^{128})$  for maximum length  
 special instructions on Intel and AMD chips

#### Bernstein Poly1305-AES

adds efficiency tweaks using  $F = \text{GP}(p)$  for  $p = 2^{130} - 5$   
 may exploits floating point arithmetic

### 8.15 other constructions

#### CBC-MAC

with  $IV = 0$ , do CBC-encryption, return last cipherblock as  $\tau$   
 SUF-CMA secure if IV constant & fixed-length messages (#blocks equal)  
 SUF-CMA secure if message length prepended & padding sensible

## 9 authenticated encryption

### 9.1 introduction

security goals are confidentiality and integrity  
 adversary owns network (delete, reorder, modify, ...)  
 adversary can mount chosen plaintext and chosen ciphertext attacks

#### non-mallable motivation

IND-CPA does not prevent adversarial bit-flipping attacks  
 as seen for CBC-mode, CTR-mode  
 all bitstrings of correct length are valid and will decrypt

### 9.2 security definitions

#### integrity of ciphertexts (INT-CTXT)

adversary has access to encryption oracle ( $m \Rightarrow \text{Enc}_K(m)$ )  
 then can query try oracle a single time  
 adversary wins if  $c^*$  new and decryption of  $c^*$  succeeds

#### integrity of plaintexts (INT-PTXT)

same game as INT-CTXT  
 additionally require the decrypted  $m^*$  has not been queried

#### INT-CTXT $\Rightarrow$ INT-PTXT

follows from definitions (any INT-PTXT adversary breaks INT-CTXT)  
 & correctness of decryption (different plain must mean different cipher)

#### $(q_e, t, \epsilon)$ -secure

for attackers querying encryption oracle  $q_e$  times  
 running in time t  
 succeeding with probability lower than  $\epsilon$

#### multi-try versions

equivalent definition,  $q_{try} * \text{advantage}$

### 9.3 authenticated encryption (AE-security)

IND-CPA (cannot differentiate encryptions)  
 INT-CTXT (cannot forge new ciphertexts)  
 adversary has access to an encryption oracle

### 9.4 IND-CCA

#### security game

adversary has decryption oracle & LoR encryption oracle  
 (for any c returned by encryption oracle, cannot query decryption oracle)  
 $\text{Adv}_{SE}^{\text{IND-CCA}} = 2 * |\Pr(b'=b) - 0.5|$

#### AE-security $\Rightarrow$ IND-CCA security

assume IND-CCA adversary against AE-secure scheme  
 case adversary comes up with  $c^*$   
 but this breaks INT-CTXT security  
 case adversary does not come up with  $c^*$   
 but then equal game as IND-CPA (as decryption oracle unused)

#### proof

let A be IND-CCA adversary  
 event X if A wins ( $b'=b$ )  
 event Y if A queries valid  $c^*$ , for  $c^*$  not from encryption oracle  
 event  $Z = X \wedge \neg Y$   
 $\Pr[X] \leq \Pr[Z] + \Pr[Y]$   
 $\text{Adv}_{SE}^{\text{IND-CCA}}(A) \leq \text{Adv}_{SE}^{\text{IND-CPA}}(B) + 2q_d * \text{Adv}_{SE}^{\text{INT-CTXT}}(C)$

#### construct B (IND-CPA)

handles event Z

B simulates IND-CCA environment for A  
 B relays encryption oracle queries to own challenger  
 correct by construction  
 B responds with bottom to any decryption oracle (event Z)  
 correct bc  $\neg Y$  implies A's queries are all wrong  
 B outputs  $b' = b$ , wins whenever A wins

#### construct C (INT-CTXT)

handles event  $\text{Pr}[Y]$   
 C chooses  $b \leftarrow \{0,1\}$ ,  $j \leftarrow \{1, \dots, q_d\}$   
 C simulates encryption oracle by forwarding  $m_b$  to own challenger  
 correct as same bit with same distribution used  
 C simulates decryption oracle by returning bottom if not  $i = j$   
 else relays  $c$  to own try( $c$ ) challenger  
 succeeds assuming  $j$  picked correct (=first instance where  $Y$ )  
 up until  $Y$  occurs, A's queries wrong hence returning bottom is OK  
 C wins if challenger accepts (terminates after try( $c$ ) query)  
 C selects correct  $j$  with  $p \geq 1/q_d$

### 9.5 symmetric encryptions security notions

AE (IND-CPA + INT-CTXT) implies all other useful notions  
 $\text{AE} \Rightarrow \text{IND-CCA} \Rightarrow \text{IND-CPA}$  (oracles get stronger)  
 $\text{AE} \Rightarrow \text{IND-CPA} + \text{INT-PTXT} \Rightarrow \text{IND-PTXT}$

#### counter examples reverse

IND-CCA  $\not\Rightarrow$  AE (examples in exercises)  
 IND-CPA  $\not\Rightarrow$  IND-CCA (counter mode)  
 INT-PTXT  $\not\Rightarrow$  IND-CPA (MAC which does not encrypt content)  
 IND-CPA + INT-PTXT  $\not\Rightarrow$  AE

#### other proofs

INT-CCA + INT-PTXT  $\Rightarrow$  AE (not obvious, but proven)

### 9.6 limitations

does not prevent reordering / deletion of ciphertexts  
 need integrity protected associated data (use AEAD)

#### AE implies IND-CCA proof assumptions

assumes only single error message is thrown  
 but in practice likely multiples (padding / mac / decryption error)  
 then proof breaks down, but gap closable (paper 2012)

### 9.7 generic compositions for AE

#### Encrypt-and-MAC (E&M)

$c \leftarrow \text{Enc}_{KE}(m)$ ,  $\tau \leftarrow \text{Tag}_{KM}(m)$ , output  $c \parallel \tau$   
 but in practice might use  $m$  before checking  $\text{mac}$

#### MAC-then-Encrypt (MtE)

$\tau \leftarrow \text{Tag}_{KM}(m)$ , output  $c = \text{Enc}_{KE}(m \parallel \tau)$   
 but if MAC not randomized, leaks information about plaintext  
 used in SSL and TLS < 1.3

#### Encrypt-then-MAC (EtM)

$c \leftarrow \text{Enc}_{KE}(m)$ ,  $\tau \leftarrow \text{Tag}_{KM}(c)$ , output  $c \parallel \tau$   
 reduces temptation to use  $m$  before checking  $\tau$   
 MAC needs to cover whole ciphertext, including IV  
 used in IPsec ESP

### 9.8 security proofs

#### EtM gives AE security

for IND-CPA encryption and SUF-CMA MAC scheme AE secure  
 MAC does not leak information as operates on IND-CPAed ciphertext

#### E&M not secure w/ deterministic MAC

assume PRF used as MAC (or any deterministic MAC)  
 query  $(m_0, m_0)$  and  $(m_0, m_1)$  in IND-CPA security game  
 if  $\text{MAC}(c_0) = \text{MAC}(c_1)$ , then  $b=0$ , else  $b=1$   
 hence broken IND-CPA with two queries

#### MtE not secure

use SUF-CMA MAC (like HMAC)  
 use IND-CPA encryption scheme (like CBC-mode)  
 $c = \text{Enc}(\text{padding}(m \parallel \text{Tag}_{KM}(m)))$   
 decryption involves multiple steps; each with its own errors  
 if error messages distinguishable, can do padding oracle attack  
 can be made secure, but unsafe in general  $\Rightarrow$  try to avoid

### 9.9 AE with associated data (AEAD)

have associated data AD that needs to be in plaintext  
 like ESP header of IPsec

#### properties

confidentiality for payload  $m$   
 integrity for combined AD and ciphertext of  $m$

#### definition

KGen selects uniform random  $K$   
 $\text{Enc}(K, \text{AD}, m) \rightarrow c$   
 $\text{Dec}(K, \text{AD}, c) \rightarrow m \mid \text{bottom}$   
 require correctness  
 AD sent along / receiver reconstructs

#### using EtM

$c = \text{Enc}(K, m)$ ,  $\tau = \text{MAC}(\text{len}(\text{AD}) \parallel \text{AD} \parallel c)$   
 length of AD prevents miss-parsing (like moving AD into cipher)

### 9.10 nonce-based AEAD

#### nonces

easier to provide as good source of randomness  
 for example, some protocols already keep sequence counters

#### definition

KGen selects uniform random  $K$   
 $\text{Enc}(K, N, \text{AD}, m) \rightarrow c$   
 $\text{Dec}(K, N, \text{AD}, c) \rightarrow m \mid \text{bottom}$   
 require correctness  
 AD & N sent along / receiver reconstructs  
 for N, typically use a synchronized counter  
 like TLS sequence numbers

#### security

IND-CPA queries include N like  $(N, \text{AD}, (m_0, m_1))$   
 N must never repeat over different queries  
 INT-CTXT wins if fresh  $(N^*, \text{AD}^*, c^*)$  submitted to single try()  
 fresh means no query exists with  $(N^*, \text{AD}^*, ()) \rightarrow c^*$   
 can generalize to multiple try() with factor  $q_{\text{try}}$

#### basic secure channel

assume client A sends encryptions to server B with preagreed key  
 $c_0 = \text{Enc}(K, N=0, \text{AD}_0, m_0)$ , increment N with each message  
 deletion/reordering detected by B as MAC validation fails  
 truncation undetected (but could add end message, ACKs)

### 9.11 further constructions

#### EtM to AEAD

$c \leftarrow \text{Enc}_{KE}(M)$ ,  $\tau \leftarrow \text{Tag}_{KM}(\text{len}(A) \parallel \text{AD} \parallel c)$   
 can use nonce-based MAC and Enc (using same nonce)

### 9.12 AES-GCM

using nonce-based CTR-mode AES, CW-MAC  
 nonces can be arbitrary length, 96 bits typically used  
 maximum message length is  $2^{32}$  AES blocks

#### MAC

using CW-MAC(H, F) construction  
 $H = H_{\text{poly}} \epsilon - \text{DUHF}$  over  $\text{GF}(2^{128})$   
 $F = \text{AES}$   
 truncation is allowed

#### encryption(K, N, m)

$\text{ctr} = N \parallel 0^{31} \parallel 1$  (for  $|N| = 96$ )  
 $c = \text{AES-CTR-Enc}(K, \text{ctr}, m)$   
 $c' = \text{AD} \parallel c \parallel \text{len}(\text{AD})_{64} \parallel \text{len}(c)_{64}$   
 $\tau = \text{CW-MAC}(K_H, K_{PRF}, N \parallel 0^{32}, c')$   
 for  $K_H = \text{AES}(K, 0^{128})$ ,  $K_{PRF} = K$

#### advantages AES-GCM

almost as fast as CTR-mode (due to fast MAC)  
 uses block cipher only "forwards" (only Enc)  
 streaming computation possible  
 security proof only assumed AES pseudo-randomness  
 patent-free, clearly specified, widely used (IPsec, TLS)

#### insecurity under nonce reuse

can recover MAC key & CTR mode fails (XOR ciphers = XOR plains)  
 then can forge arbitrary packets for specific nonce