



第2章 信息的表示与处理

100076202: 计算机系统导论

比特, 字节和整数

Bits, Bytes, and Integers

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**Carnegie
Mellon
University**

上次讲授课程小结



Summary From Last Lecture

- **用比特表示信息** Representing information as bits
- **比特级操作** Bit-level manipulations
- **整数** Integers
 - **无符号数和有符号数表示** Representation: unsigned and signed
 - **转换和强制类型转换** Conversion, casting
 - **扩展和截断** Expanding, truncating
- **加法、补码非、乘法和移位** Addition, negation, multiplication, shifting
- **内存中表示、指针、字符串** Representations in memory, pointers, strings
- **小结** Summary

以前讲授内容

今天



编码整数 Encoding Integers

无符号 Unsigned

$$B2U(X) = \sum_{i=0}^{w-1} x_i \cdot 2^i$$

补码 Two's Complement

$$B2T(X) = -x_{w-1} \cdot 2^{w-1} + \sum_{i=0}^{w-2} x_i \cdot 2^i$$

符号位
Sign Bit

补码示例 Two's Complement Examples (w = 5)

	-16	8	4	2	1
10 =	0	1	0	1	0

$$8+2 = 10$$

	-16	8	4	2	1
-10 =	1	0	1	1	0

$$-16+4+2 = -10$$



无符号数和有符号数的值

Unsigned & Signed Numeric Values

X	B2U(X)	B2T(X)
0000	0	0
0001	1	1
0010	2	2
0011	3	3
0100	4	4
0101	5	5
0110	6	6
0111	7	7
1000	8	-8
1001	9	-7
1010	10	-6
1011	11	-5
1100	12	-4
1101	13	-3
1110	14	-2
1111	15	-1

■ 等价的 Equivalence

- 非负值的编码相同 Same encodings for nonnegative values

■ 唯一的 Uniqueness

- 每个位模式表示唯一的整数值 Every bit pattern represents unique integer value
- 每个可表示的整数有唯一的位编码 Each representable integer has unique bit encoding

■ 包含有符号和无符号int型的表达式：有符号数强制转换为无符号数 Expression containing signed and unsigned int:

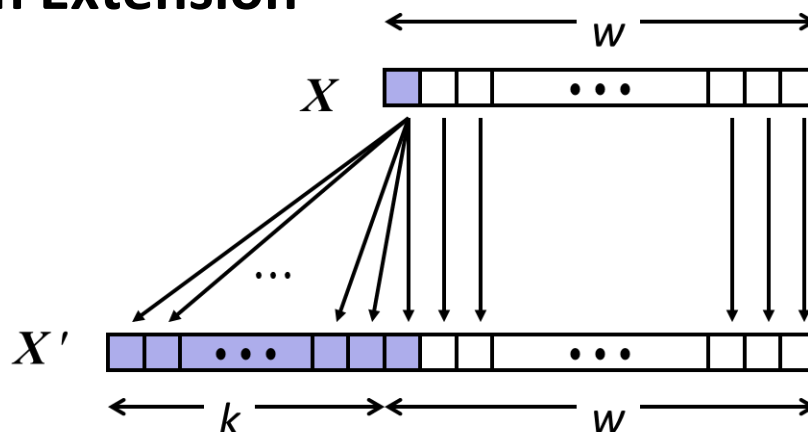
int is cast to unsigned

符号位扩展和截断

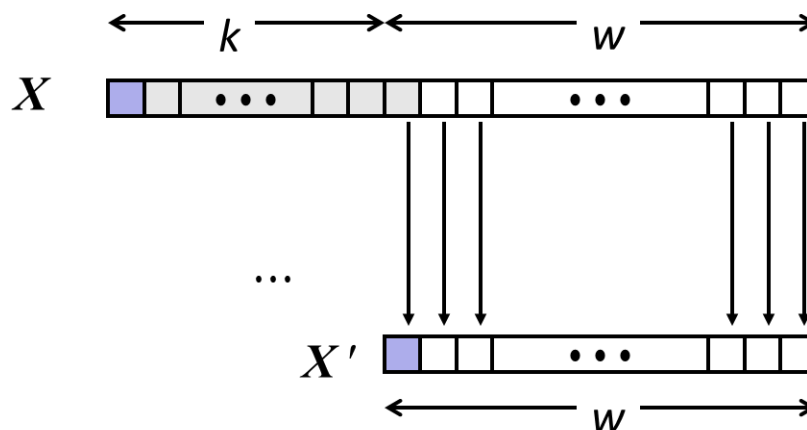


Sign Extension and Truncation

■ 符号位扩展 Sign Extension



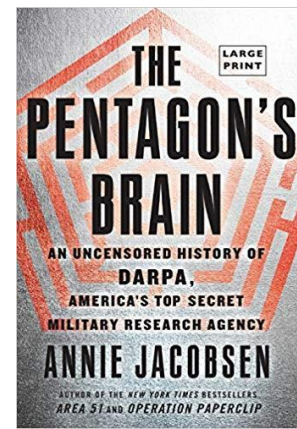
■ 截断 Truncation





- 正如我们所知，误解整数可能导致世界末日！ Misunderstanding integers can lead to the end of the world as we know it!
- 图勒（卡纳克），格陵兰 Thule (Qaanaaq), Greenland
- 美国国防部“Site J”弹道导弹预警系统 US DoD “Site J” Ballistic Missile Early Warning System (BMEWS)
- 10/5/60: world nearly ends世界接近末日
- 导弹雷达回波 Missile radar echo: 1/8s
- BMEWS reports: 75s echo(!)
- 报告了1000多个物体 1000s of objects reported
- NORAD alert level 5:警报5级
 - 立即来袭的核攻击 Immediate incoming nuclear attack!!!!





- 赫鲁晓夫在纽约市10/5/60（不寻常的攻击时间） **Kruschev was in NYC 10/5/60 (weird time to attack)**
 - 有人在卡纳克说“为什么不去外面检查一下？” someone in Qaanaaq said “why not go check outside?”
- “导弹”实际上是在挪威上空升起的月亮 **“Missiles” were actually THE MOON RISING OVER NORWAY**
- 预期最大距离：3000 英里；月球距离：0.25M 英里！ **Expected max distance: 3000 mi; Moon distance: .25M miles!**
- .25M 英里 % sizeof(distance) = 2200mi。 **.25M miles % sizeof(distance) = 2200mi.**
- 距离的溢出差点造成核末日 **Overflow of distance nearly caused nuclear apocalypse!!**



代码安全示例 Code Security Example

```
/* Kernel memory region holding user-accessible data */
#define KSIZE 1024
char kbuf[KSIZE];

/* Copy at most maxlen bytes from kernel region to user buffer */
int copy_from_kernel(void *user_dest, int maxlen) {
    /* Byte count len is minimum of buffer size and maxlen */
    int len = KSIZE < maxlen ? KSIZE : maxlen;
    memcpy(user_dest, kbuf, len);
    return len;
}

/* maxlen is negative */
```

- 在FreeBSD的getpeername实现中发现类似的代码 Similar to code found in FreeBSD's implementation of getpeername
- 有很多聪明人尝试发现程序中的漏洞 There are legions of smart people trying to find vulnerabilities in programs



典型的使用方法 Typical Usage

```
/* Kernel memory region holding user-accessible data */
#define KSIZE 1024
char kbuf[KSIZE];

/* Copy at most maxlen bytes from kernel region to user buffer */
int copy_from_kernel(void *user_dest, int maxlen) {
    /* Byte count len is minimum of buffer size and maxlen */
    int len = KSIZE < maxlen ? KSIZE : maxlen;
    memcpy(user_dest, kbuf, len);
    return len;
}
```

```
#define MSIZE 528

void getstuff() {
    char mybuf[MSIZE];
    copy_from_kernel(mybuf, MSIZE);
    printf("%s\n", mybuf);
}
```

恶意使用Malicious Usage



```
/* Declaration of library function memcpy */  
void *memcpy(void *dest, void *src, size_t n);
```

```
/* Kernel memory region holding user-accessible data */  
#define KSIZE 1024  
char kbuf[KSIZE];  
  
/* Copy at most maxlen bytes from kernel region to user buffer */  
int copy_from_kernel(void *user_dest, int maxlen) {  
    /* Byte count len is minimum of buffer size and maxlen */  
    int len = KSIZE < maxlen ? KSIZE : maxlen;  
    memcpy(user_dest, kbuf, len);  
    return len;  
}
```

```
#define MSIZE 528  
  
void getstuff() {  
    char mybuf[MSIZE];  
    copy_from_kernel(mybuf, -MSIZE);  
    . . .  
}
```

议题: 比特、字节和整数

Bits, Bytes, and Integers



- 用比特表示信息 Representing information as bits
- 比特级操作 Bit-level manipulations
- **整数 Integers**
 - 无符号数和有符号数表示 Representation: unsigned and signed
 - 转换和强制类型转换 Conversion, casting
 - 扩展和截断 Expanding, truncating
 - **加、补码非、乘和移位 Addition, negation, multiplication, shifting**
- 内存中的表示、指针和字符串 Representations in memory, pointers, strings
- 小结 Summary

无符号数加法

Unsigned Addition



操作数w位 Operands: w bits

u

$+ v$

真和w+1位 True Sum: w+1 bits

$u + v$

丢弃进位后和为w位

$\text{UAdd}_w(u, v)$

Discard Carry: w bits

■ 标准加法功能 Standard Addition Function

- 忽略进位输出 Ignores carry output

■ 实现取模运算 Implements Modular Arithmetic

$$s = \text{UAdd}_w(u, v) = u + v \bmod 2^w$$

无符号字符

unsigned char

1110 1001
+ 1101 0101
—————
—————

E9
+ D5
—————
—————

233
+ 213
—————
—————

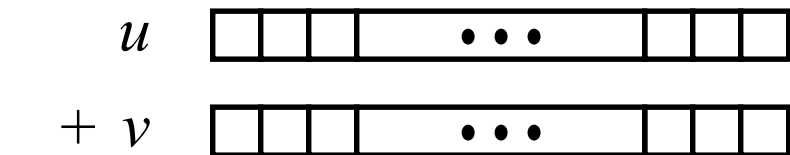
Hex	Decimal	Binary
0	0	0000
1	1	0001
2	2	0010
3	3	0011
4	4	0100
5	5	0101
6	6	0110
7	7	0111
8	8	1000
9	9	1001
A	10	1010
B	11	1011
C	12	1100
D	13	1101
E	14	1110
F	15	1111

无符号数加法

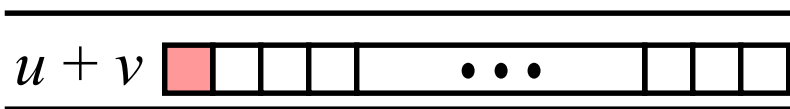
Unsigned Addition



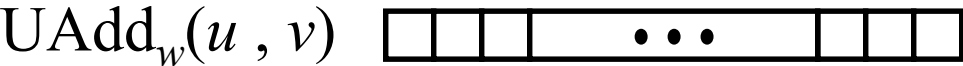
操作数w位 Operands: w bits



真和w+1位 True Sum: w+1 bits



丢弃进位后和为w位



Discard Carry: w bits

- **标准加法功能 Standard Addition Function**
 - 忽略进位输出 Ignores carry output
- **实现取模运算 Implements Modular Arithmetic**

$$s = \text{UAdd}_w(u, v) = u + v \bmod 2^w$$

Hex	Decimal	Binary
0	0	0000
1	1	0001
2	2	0010
3	3	0011
4	4	0100
5	5	0101
6	6	0110
7	7	0111
8	8	1000
9	9	1001
A	10	1010
B	11	1011
C	12	1100
D	13	1101
E	14	1110
F	15	1111

无符号字符
 unsigned char

$$\begin{array}{r}
 1110\ 1001 \\
 +\ 1101\ 0101 \\
 \hline
 1\ 1011\ 1110 \\
 \hline
 1011\ 1110
 \end{array}$$

$$\begin{array}{r}
 \text{E9} \\
 +\ \text{D5} \\
 \hline
 1\text{BE} \\
 \hline
 \text{BE}
 \end{array}$$

$$\begin{array}{r}
 233 \\
 +\ 213 \\
 \hline
 446 \\
 \hline
 190
 \end{array}$$



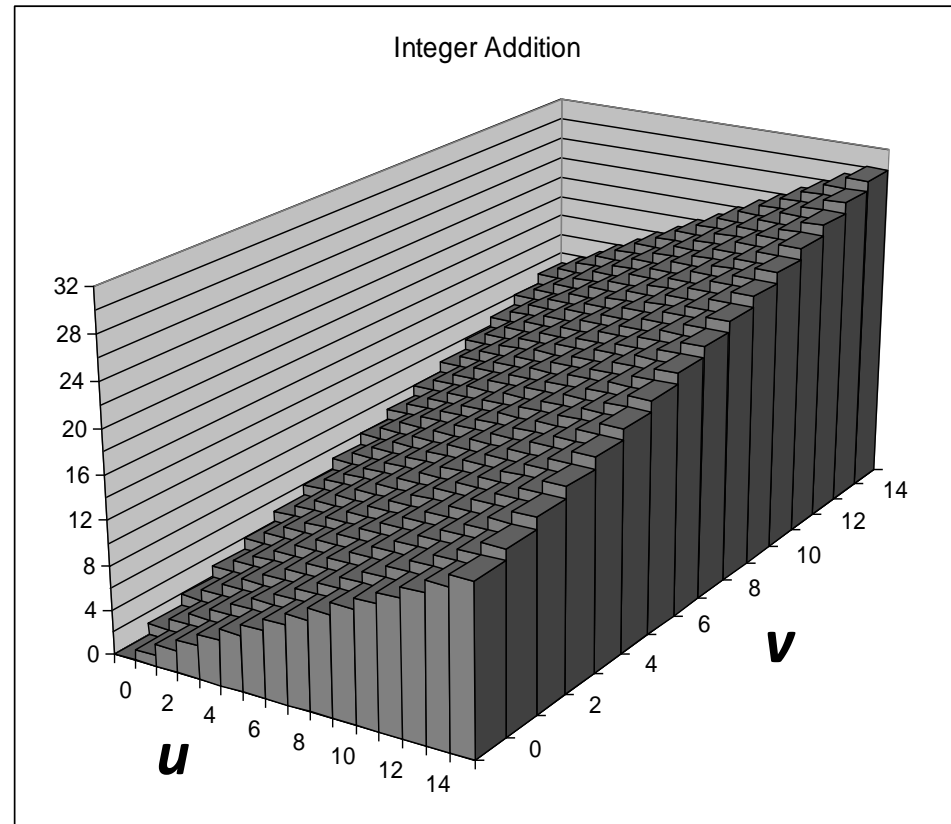
可视化（数学上）整数加法

Visualizing (Mathematical) Integer Addition

■ 整数加法 Integer Addition

- 4位整数 u 和 v 4-bit integers u, v
- 计算真正的和
Compute true sum
 $\text{Add}_4(u, v)$
- 值随着 u 和 v 线性增加
Values increase linearly with u and v
- 形成有坡度的表面
Forms planar surface

$$\text{Add}_4(u, v)$$



可视化无符号数加法

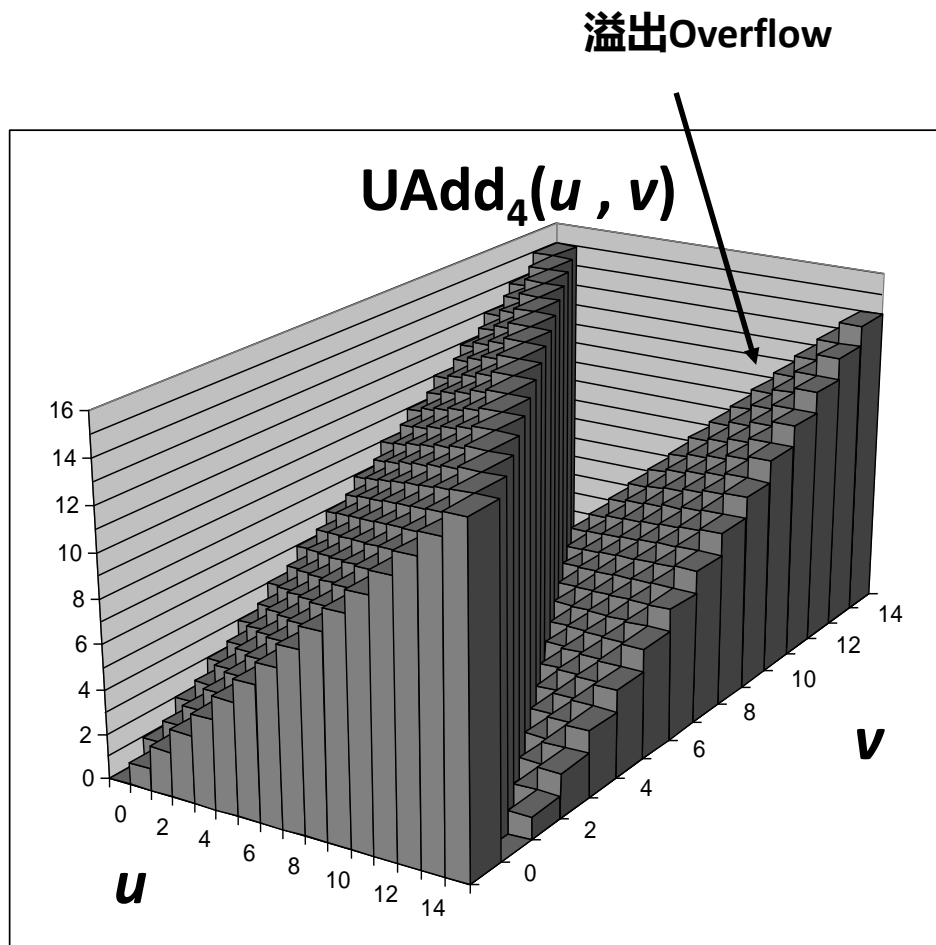
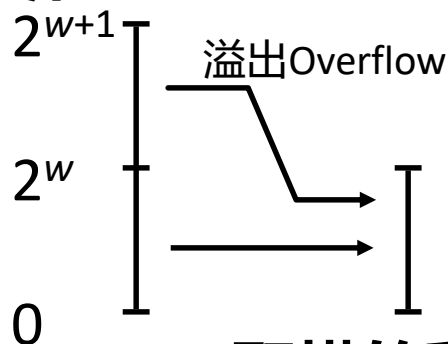
Visualizing Unsigned Addition



■ 绕回 Wraps Around

- 如果真正的和大于等于 2^w If true sum $\geq 2^w$
- 最多一次 At most once

真和 True Sum



数学上性质 Mathematical Properties



■ 模数加法形成阿贝尔群 Modular Addition Forms an *Abelian Group*

- 封闭的加法 **Closed** under addition

$$0 \leq \text{UAdd}_w(u, v) \leq 2^w - 1$$

- 交换性 **Commutative**

$$\text{UAdd}_w(u, v) = \text{UAdd}_w(v, u)$$

- 结合性 **Associative**

$$\text{UAdd}_w(t, \text{UAdd}_w(u, v)) = \text{UAdd}_w(\text{UAdd}_w(t, u), v)$$

- 0是加性恒等(单位元) **0** is additive identity

$$\text{UAdd}_w(u, 0) = u$$

- 每个元素都有加法**逆元** Every element has additive **inverse**

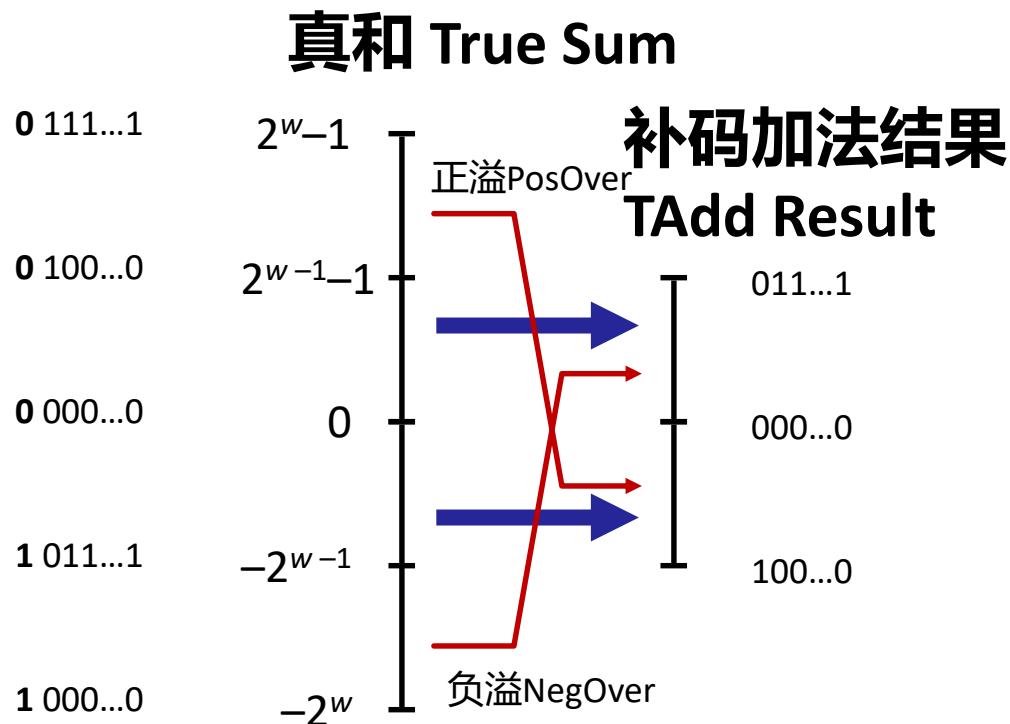
- Let $\text{UComp}_w(u) = 2^w - u$
 $\text{UAdd}_w(u, \text{UComp}_w(u)) = 0$



有符号数加法溢出 TAdd Overflow

■ 功能 Functionality

- 真和需要 $w+1$ 位 True sum requires $w+1$ bits
- 丢弃最高有效位 Drop off MSB
- 剩余位作为补码整数对待 Treat remaining bits as 2's comp. integer



可视化补码加法

Visualizing 2's Complement Addition



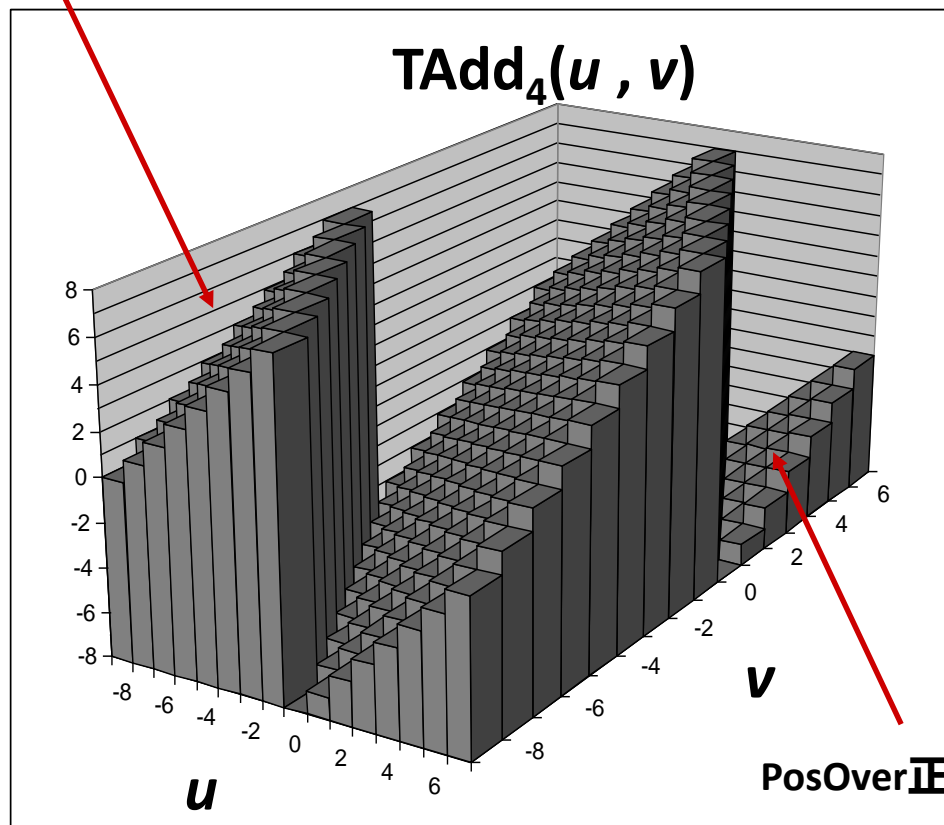
值 Values

- 4位补码 4-bit two's comp.
- 值域-8到+7 Range from -8 to +7

绕回 Wraps Around

- 如果和大于等于 2^{w-1} If $\text{sum} \geq 2^{w-1}$
 - 变成负数 Becomes negative
 - 最多一次 At most once
- 如果和小于 -2^{w-1} If $\text{sum} < -2^{w-1}$
 - 变成正数 Becomes positive
 - 最多一次 At most once

NegOver负溢



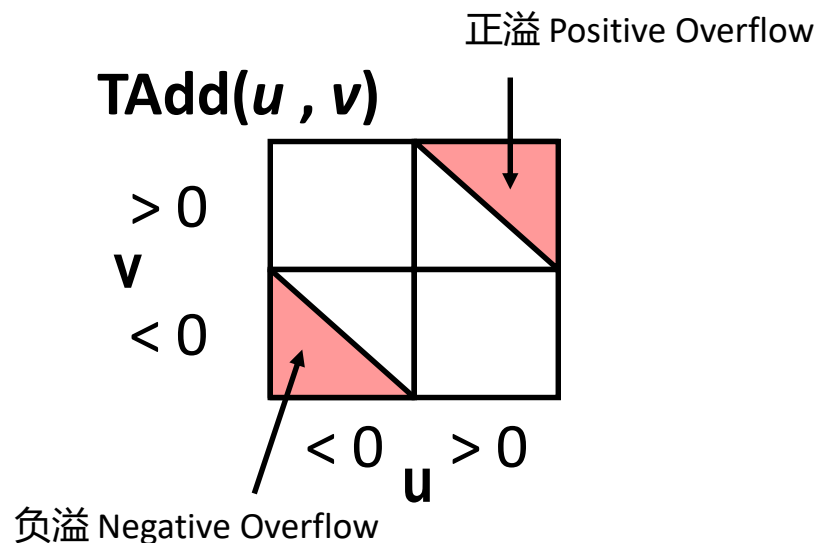
有符号数加法特征

Characterizing TAdd



■ 功能 Functionality

- 真正的和需要 $w+1$ 位 True sum requires $w+1$ bits
- 丢弃最高有效位 Drop off MSB
- 剩余位看成补码整数
Treat remaining bits as 2's comp. integer



$$TAdd_w(u, v) = \begin{cases} u + v + 2^w & u + v < TMin_w \text{ (负溢 NegOver)} \\ u + v & TMin_w \leq u + v \leq TMax_w \\ u + v - 2^w & TMax_w < u + v \text{ (正溢 PosOver)} \end{cases}$$



TAdd数学上的性质

Mathematical Properties of TAdd

- 与无符号数的Uadd是同构群 Isomorphic Group to unsigneds with UAdd
 - $TAdd_w(u, v) = U2T(UAdd_w(T2U(u), T2U(v)))$
 - 因为都有同样的比特位模式 Since both have identical bit patterns
- TAdd下补码形成一个群 Two's Complement Under TAdd Forms a Group
 - 封闭性、交换性、结合性、0具有加性恒等性（单位元） Closed, Commutative, Associative, 0 is additive identity
 - 每个元素都有加法逆元 Every element has additive inverse

$$TComp_w(u) = \begin{cases} -u & u \neq TMin_w \\ TMin_w & u = TMin_w \end{cases}$$

乘法 Multiplication



- **目标：计算 w 位的数 x 和 y 的乘积** Goal: Computing Product of w -bit numbers x, y
 - 要么是有符号的，要么是无符号的 Either signed or unsigned
- **精确的结果比 w 位大得多** exact results can be bigger than w bits
 - 无符号数：到 $2w$ 位 Unsigned: up to $2w$ bits
 - 结果范围：Result range: $0 \leq x * y \leq (2^w - 1)^2 = 2^{2w} - 2^{w+1} + 1$
 - 补码最小（负数）：到 $2w-1$ 位 Two's complement min (negative): Up to $2w-1$ bits
 - 结果范围：Result range: $x * y \geq (-2^{w-1}) * (2^{w-1} - 1) = -2^{2w-2} + 2^{w-1}$
 - 补码最大（正数）：到 $2w$ 位，但仅限于 $(TMin_w)^2$ Two's complement max (positive): Up to $2w$ bits, but only for $(TMin_w)^2$
 - 结果范围：Result range: $x * y \leq (-2^{w-1})^2 = 2^{2w-2}$
- **所以，保持精确的结果。。。 So, maintaining exact results...**
 - 需要在计算每个乘积时不断扩大乘积结果表示的字节数 would need to keep expanding word size with each product computed
 - 如果需要由软件完成 is done in software, if needed
 - 例如，任意精度算术软件包 e.g., by “arbitrary precision” arithmetic



C语言中的无符号数乘法

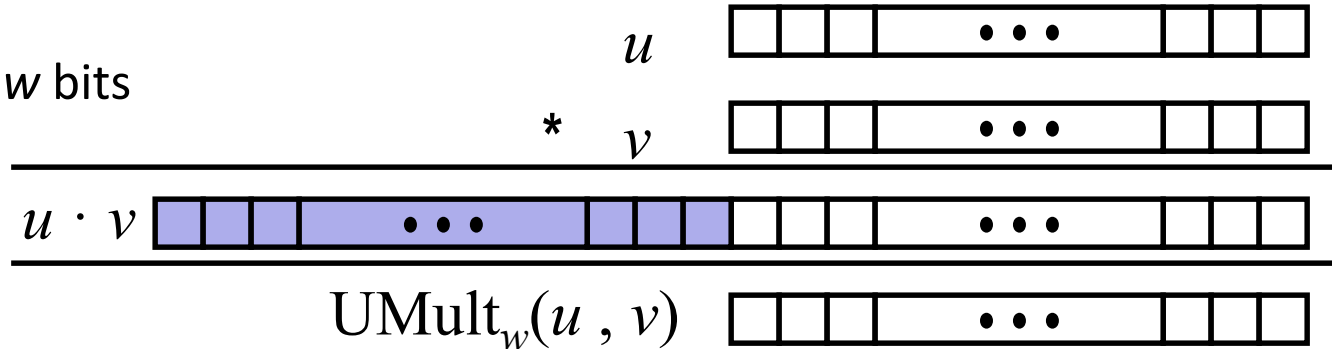
Unsigned Multiplication in C

操作数 w 位 Operands: w bits

真乘积 $2w$ 位

True Product: $2 * w$ bits

丢弃 w 位 Discard w bits: w bits



■ 标准乘法功能 Standard Multiplication Function

- 忽略高 w 位 Ignores high order w bits

■ 实现取模运算 Implements Modular Arithmetic

$$\text{UMult}_w(u, v) = u \cdot v \bmod 2^w$$

$$\begin{array}{r} 1001 \\ * 0101 \\ \hline 1100 \ 0001 \ 1101 \ 1101 \\ 1101 \ 1101 \\ \hline \end{array}$$

$$\begin{array}{r} \\ * \\ \hline \text{C1DD} \\ \\ \hline \end{array}$$

$$\begin{array}{r} \\ * \\ \hline 49629 \\ \\ \hline \end{array}$$

C语言中的有符号数乘法

Signed Multiplication in C



操作数 w 位 Operands: w bits

u ...

$*$ v ...

真乘积 $2w$ 位

True Product: $2 * w$ bits

$u \cdot v$

丢弃 w 位 Discard w bits: w bits

$\text{TMult}_w(u, v)$...

■ 标准乘法功能 Standard Multiplication Function

- 忽略高 w 位 Ignores high order w bits
- 有符号数和无符号数乘法有些不同 Some of which are different for signed vs. unsigned multiplication
- 低位是相同的 Lower bits are the same

	1110	1001
*	1101	0101
	0000	0011 1101 1101
	1101	1101

	E9	-23
*	D5	-43
	03DD	989
	DD	-35

代码安全示例2

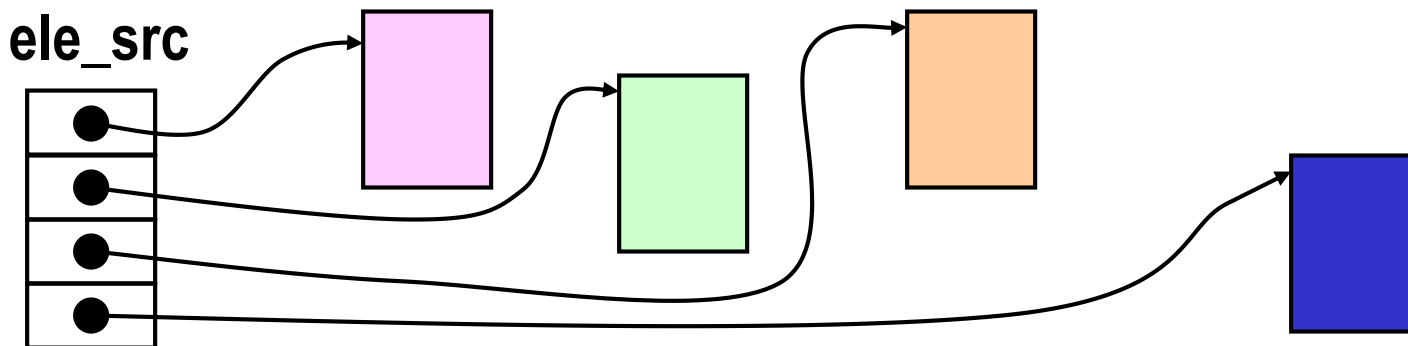


Code Security Example #2

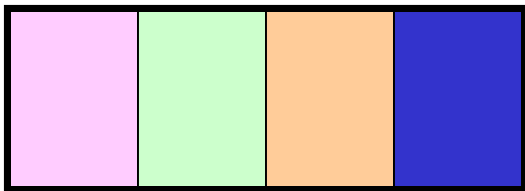
■ SUN的XDR库 SUN XDR library

- 广泛用于机器之间传输数据的库 Widely used library for transferring data between machines

```
void* copy_elements(void *ele_src[], int ele_cnt, size_t ele_size);
```



malloc(ele_cnt * ele_size)





XDR代码 XDR Code

```
void* copy_elements(void *ele_src[], int ele_cnt, size_t ele_size) {
    /*
     * Allocate buffer for ele_cnt objects, each of ele_size bytes
     * and copy from locations designated by ele_src
     */
    void *result = malloc(ele_cnt * ele_size);
    if (result == NULL)
        /* malloc failed */
        return NULL;
    void *next = result;
    int i;
    for (i = 0; i < ele_cnt; i++) {
        /* Copy object i to destination */
        memcpy(next, ele_src[i], ele_size);
        /* Move pointer to next memory region */
        next += ele_size;
    }
    return result;
}
```



XDR漏洞 XDR Vulnerability

`malloc(ele_cnt * ele_size)`

■ 如果出现下列情况会怎样 What if:

- `ele_cnt` = $2^{20} + 1$
- `ele_size` = 4096 = 2^{12}
- 分配多少空间? Allocation = ??

■ 如何才能使该函数安全? How can I make this function secure?



用移位实现2的整数次幂乘法

Power-of-2 Multiply with Shift

■ 运算 Operation

- 左移 k 位等于乘以 2^k $u \ll k$ gives $u * 2^k$
- 带/无符号数均如此 Both signed and unsigned

操作数 w 位 Operands: w bits

真乘积 $w+k$ 位

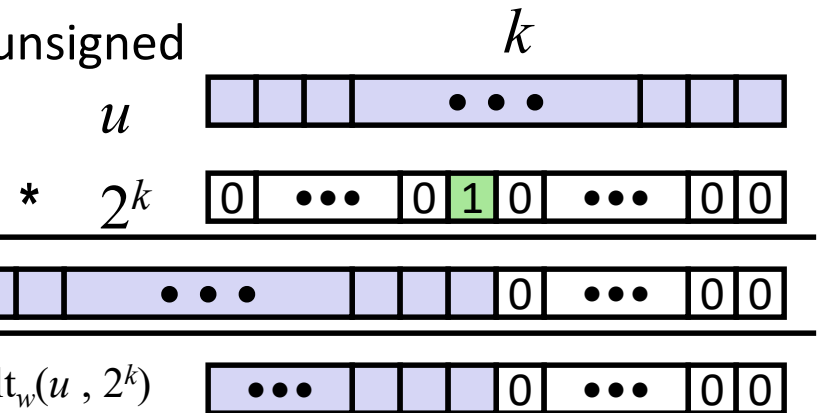
True Product: $w+k$ bits

丢弃 k 位 Discard k bits: w bits

$u \cdot 2^k$

UMult $_w(u, 2^k)$

TMult $_w(u, 2^k)$



■ 举例 Examples

- $u \ll 3 == u * 8$
- $(u \ll 5) - (u \ll 3) == u * 24$
- 大多数机器移位和加法比乘法更快
faster than multiply
 - 编译器自动生成这种代码 Compiler automatically

重要教训： Important Lesson:
信任编译器 Trust Your Compiler!



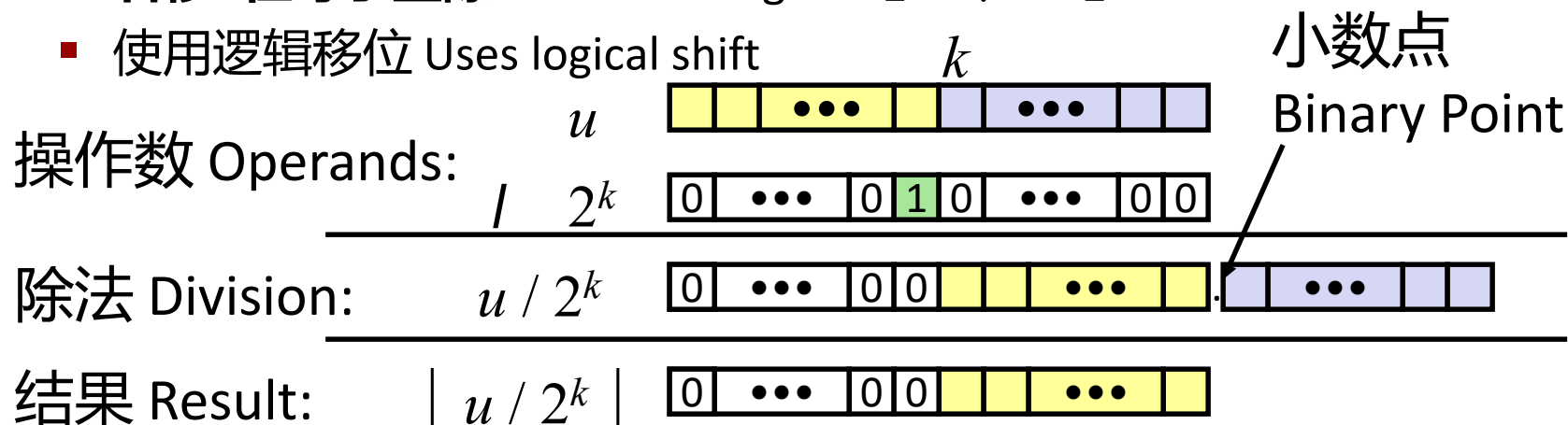
用移位实现无符号数2的整数次幂除法

Unsigned Power-of-2 Divide with Shift

■ 无符号数除以2的整数次幂的商 Quotient of Unsigned by Power of 2

■ 右移k位等于整除 2^k $u \gg k$ gives $\lfloor u / 2^k \rfloor$

■ 使用逻辑移位 Uses logical shift



	Division	Computed	Hex	Binary
x	15213	15213	3B 6D	00111011 01101101
$x \gg 1$	7606.5	7606	1D B6	00011101 10110110
$x \gg 4$	950.8125	950	03 B6	00000011 10110110
$x \gg 8$	59.4257813	59	00 3B	00000000 00111011

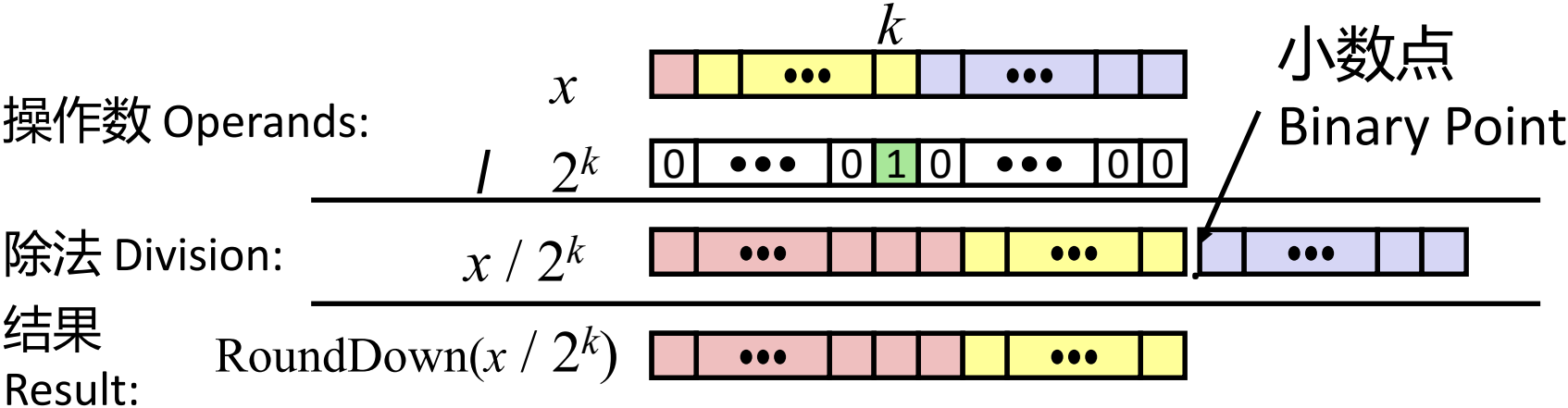
用移位实现有符号数2的整数次幂除法



Signed Power-of-2 Divide with Shift

■ 有符号数除以2的整数次幂的商 Quotient of Signed by Power of 2

- x右移k位等于整除 2^k 向下舍入 $x \gg k$ gives $\lfloor x / 2^k \rfloor$
- 使用算术移位 Uses arithmetic shift
- 当 $x < 0$ 时向错误的方向舍入 Rounds wrong direction when $x < 0$



	Division	Computed	Hex	Binary
y	-15213	-15213	C4 93	11000100 10010011
y >> 1	-7606.5	-7607	E2 49	11100010 01001001
y >> 4	-950.8125	-951	FC 49	11111100 01001001
y >> 8	-59.4257813	-60	FF C4	11111111 11000100



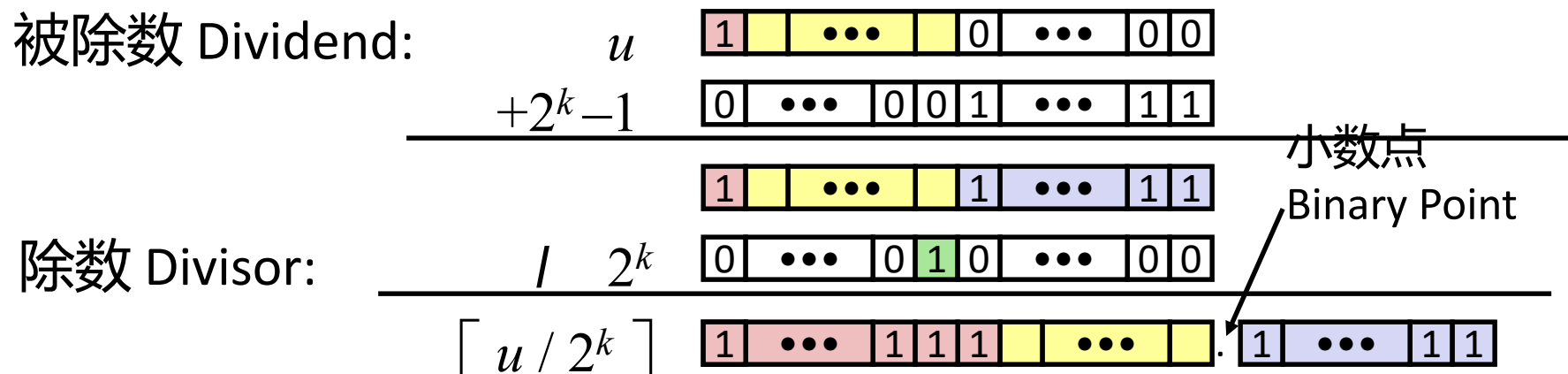
修正2的整数次幂除法

Correct Power-of-2 Divide

■ 负数的2的整数次幂除法的商 Quotient of Negative Number by Power of 2

- 想要整除向上舍入（向0舍入） Want $\lceil x / 2^k \rceil$ (Round Toward 0)
- 计算 Compute as $\lfloor (x+2^k-1) / 2^k \rfloor$
 - C语言中 In C: $(x + (1 \ll k) - 1) \gg k$
 - 偏置被除数向0方向 Biases dividend toward 0

Case 1: No rounding 无需向上取整



偏置没有影响 *Biasing has no effect*



Case 2: Rounding 向上取整





编译生成的乘法代码

Compiled Multiplication Code

C语言函数 C Function

```
long mul12(long x)
{
    return x*12;
}
```

编译生成的算术运算

Compiled Arithmetic Operations

```
leaq (%rax,%rax,2), %rax
salq $2, %rax
```

解释Explanation

```
t <- x+x*2
return t << 2;
```

- 当乘以常量时，C语言编译器自动生成移位/加法代码
C compiler automatically generates shift/add code when multiplying by constant

编译生成无符号数除法代码

Compiled Unsigned Division Code



C语言函数 C Function

```
unsigned long udiv8
(unsigned long x)
{
    return x/8;
}
```

编译生成的算术运算

Compiled Arithmetic Operations

```
shrq $3, %rax
```

解释 Explanation

```
# Logical shift
return x >> 3;
```

- 对于无符号数使用逻辑移位 Uses logical shift for unsigned
- 对于Java用户 For Java Users
 - 逻辑移位记为>>> Logical shift written as >>>

编译生成的有符号数除法代码

Compiled Signed Division Code



C语言函数 C Function

```
long idiv8(long x)
{
    return x/8;
}
```

编译生成的算术运算

Compiled Arithmetic Operations

```
testq %rax, %rax
js    L4
L3:
    sarq $3, %rax
    ret
L4:
    addq $7, %rax
    jmp  L3
```

解释 Explanation

```
if x < 0
    x += 7;
# Arithmetic shift
return x >> 3;
```

- 对于int使用算术移位 Uses arithmetic shift for int
- 对于Java用户 For Java Users
 - 算术移位记为>> Arith. shift written as >>

补码非：求补和递增



Negation: Complement & Increment

- 通过求补和加一得到补码非 Negate through complement and increase

$$\sim x + 1 == -x$$

- 示例 Example

- Observation: $\sim x + x == 1111\dots111 == -1$

$$\begin{array}{r} x \quad 10011101 \\ + \quad \sim x \quad 01100010 \\ \hline -1 \quad 11111111 \end{array}$$

$x = 15213$

	Decimal	Hex	Binary
x	15213	3B 6D	00111011 01101101
$\sim x$	-15214	C4 92	11000100 10010010
$\sim x + 1$	-15213	C4 93	11000100 10010011
y	-15213	C4 93	11000100 10010011



求补和递增示例

Complement & Increment Examples

$x = 0$

	Decimal	Hex	Binary
0	0	00 00	00000000 00000000
~ 0	-1	FF FF	11111111 11111111
$\sim 0 + 1$	0	00 00	00000000 00000000

$x = \text{TMin}$

	Decimal	Hex	Binary
x	-32768	80 00	10000000 00000000
$\sim x$	32767	7F FF	01111111 11111111
$\sim x + 1$	-32768	80 00	10000000 00000000

规范的反例 Canonical counter example

议题: 比特、字节和整数

Bits, Bytes, and Integers



- 用比特表示信息 Representing information as bits
- 比特级操作 Bit-level manipulations
- **整数 Integers**
 - 无符号数和有符号数表示 Representation: unsigned and signed
 - 转换和强制类型转换 Conversion, casting
 - 扩展和截断 Expanding, truncating
 - 加、补码非、乘和移位 Addition, negation, multiplication, shifting
 - **小结 Summary**
- 内存中的表示、指针和字符串 Representations in memory, pointers, strings

算数运算：基本规则

Arithmetic: Basic Rules



■ 加法 Addition:

- 无/有符号数：正常加法然后截断，比特位级运算是相同的
Unsigned/signed: Normal addition followed by truncate, same operation on bit level
- 无符号数：加法再取模数运算 Unsigned: addition mod 2^w
 - 数学上加法+可能减去模 Mathematical addition + possible subtraction of 2^w
- 有符号数：修正的模加法（结果在正确的范围） Signed: modified addition mod 2^w (result in proper range)
 - 数学上加法+可能加或减模 Mathematical addition + possible addition or subtraction of 2^w



算数运算：基本规则

Arithmetic: Basic Rules

■ 乘法 Multiplication:

- 无/有符号数：正常的乘法然后截断，比特位级运算是相同的
Unsigned/signed: Normal multiplication followed by truncate, same operation on bit level
- 无符号数：乘法取模 Unsigned: multiplication mod 2^w
- 有符号数：修正的乘法取模（结果在正确范围） Signed: modified multiplication mod 2^w (result in proper range)

运算：基本规则 Arithmetic: Basic Rules



- **无符号数、补码都是同构环：同构=强制类型转换** Unsigned ints, 2's complement ints are isomorphic rings: isomorphism = casting
- **左移 Left shift**
 - 无/有符号数：乘以2的整数次幂 Unsigned/signed: multiplication by 2^k
 - 总是逻辑移位 Always logical shift
- **右移 Right shift**
 - 无符号数：逻辑移位，除以2的整数次幂（除法+向0舍入） Unsigned: logical shift, div (division + round to zero) by 2^k
 - 有符号数：算术移位 Signed: arithmetic shift
 - 正数：除以 2^k （除法+向0舍入） Positive numbers: div (division + round to zero) by 2^k
 - 负数：除以 2^k （除法+远离0舍入），使用偏置修正 Negative numbers: div (division + round away from zero) by 2^k Use biasing to fix

无符号数运算的性质

Properties of Unsigned Arithmetic



- **用加法的无符号数乘法形成交换环 Unsigned Multiplication with Addition Forms Commutative Ring**
 - 加法是具有交换性的群组 Addition is commutative group
 - 封闭的乘法 Closed under multiplication
$$0 \leq \text{UMult}_w(u, v) \leq 2^w - 1$$
 - 乘法具有交换性 Multiplication Commutative
$$\text{UMult}_w(u, v) = \text{UMult}_w(v, u)$$
 - 乘法具有结合性 Multiplication is Associative
$$\text{UMult}_w(t, \text{UMult}_w(u, v)) = \text{UMult}_w(\text{UMult}_w(t, u), v)$$
 - 1是乘性恒等的（单位元） 1 is multiplicative identity
$$\text{UMult}_w(u, 1) = u$$
 - 乘法对加法具有分配性 Multiplication distributes over addition
$$\text{UMult}_w(t, \text{UAdd}_w(u, v)) = \text{UAdd}_w(\text{UMult}_w(t, u), \text{UMult}_w(t, v))$$

补码运算的属性



Properties of Two's Comp. Arithmetic

■ 同构的代数 Isomorphic Algebras

- 无符号数乘法和加法 Unsigned multiplication and addition
 - 截断到 w 位 Truncating to w bits
- 补码乘法和加法 Two's complement multiplication and addition
 - 截断到 w 位 Truncating to w bits

■ 都形成闭环 Both Form Rings

- 同构于整数模 2^w 的闭环 Isomorphic to ring of integers mod 2^w

■ 数学整数运算比较 Comparison to (Mathematical) Integer Arithmetic

- 都是闭环 Both are rings
- 整数遵循按序属性 Integers obey ordering properties, e.g.,
 - $u > 0 \Rightarrow u + v > v$
 - $u > 0, v > 0 \Rightarrow u \cdot v > 0$
- 补码运算不遵循的属性 These properties not obeyed by two's comp. arithmetic

$$TMax + 1 == TMin$$

$$15213 * 30426 == -10030 \quad (16\text{-bit words})$$



为什么应该使用无符号数?

Why Should I Use Unsigned?

- 在没有理解实现方法的情况下不要使用 *Don't use without understanding implications*

- 容易犯错误 Easy to make mistakes

```
unsigned i;  
for (i = cnt-2; i >= 0; i--)  
    a[i] += a[i+1];
```

- 可能非常微妙 Can be very subtle

```
#define DELTA sizeof(int)  
int i;  
for (i = CNT; i-DELTA >= 0; i-= DELTA)  
    . . .
```

用无符号数倒数计数



Counting Down with Unsigned

- 使用无符号数作为循环索引的正确方法 Proper way to use unsigned as loop index

```
unsigned i;  
for (i = cnt-2; i < cnt; i--)  
    a[i] += a[i+1];
```

- 参考材料 See Robert Seacord, *Secure Coding in C and C++*
 - C语言标准确保无符号加法行为类似于取模运算 C Standard guarantees that unsigned addition will behave like modular arithmetic
 - $0 - 1 \rightarrow UMax$

- 更好的办法 Even better

```
size_t i;  
for (i = cnt-2; i < cnt; i--)  
    a[i] += a[i+1];
```

- 数据类型size_t定义为长度为字长的无符号值 Data type `size_t` defined as unsigned value with length = word size
- 即使在cnt为Umax时仍然正常运行 Code will work even if `cnt = UMax`
- 如果cnt是有符号数且小于零又怎样呢? What if `cnt` is signed and < 0 ?

为什么应该使用无符号数?



Why Should I Use Unsigned? (cont.)

- **当执行模运算时使用无符号数** *Do Use When Performing Modular Arithmetic*
 - 多精度运算 Multiprecision arithmetic
- **当使用比特位表示集合时使用无符号数** *Do Use When Using Bits to Represent Sets*
 - 逻辑右移, 无需符号扩展 Logical right shift, no sign extension
- **在系统编程时使用无符号数** *Do Use In System Programming*
 - 位掩码、设备命令。。。 Bit masks, device commands,...

议题: 比特、字节和整数

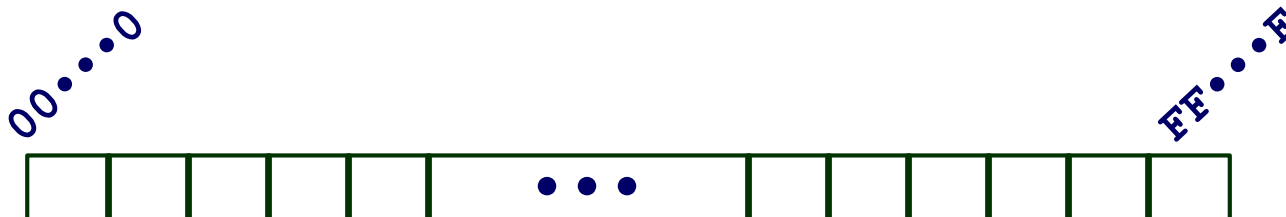
Bits, Bytes, and Integers



- **用比特表示信息** Representing information as bits
- **比特级操作** Bit-level manipulations
- **整数** Integers
 - 无符号数和有符号数表示 Representation: unsigned and signed
 - 转换和强制类型转换 Conversion, casting
 - 扩展和截断 Expanding, truncating
 - 加、补码非、乘和移位 Addition, negation, multiplication, shifting
 - 小结 Summary
- **内存中的表示、指针和字符串** Representations in memory, pointers, strings

面向字节的内存组织

Byte-Oriented Memory Organization



- **程序按照地址引用数据** Programs refer to data by address
 - 概念上，将其想象成一个非常大的字节数组 Conceptually, envision it as a very large array of bytes
 - 事实上，并非如此，但是可以这样看待 In reality, it's not, but can think of it that way
 - 地址就像是数组的索引 An address is like an index into that array
 - 而且指针变量存储地址 and, a pointer variable stores an address
- **注意：系统给每个进程提供私有地址空间** Note: system provides private address spaces to each “process”
 - 进程看成执行中的程序 Think of a process as a program being executed
 - 因此，程序可以任意处理自己的数据，但是不能处理其他程序数据 So, a program can clobber its own data, but not that of others

机器字 Machine Words



- 任何特定的计算机都有“字长” Any given computer has a “Word Size”
 - 整数值数据的标称大小 Nominal size of integer-valued data
 - 以及地址 And of addresses
 - 直到最近, 大多数机器使用32位 (4字节) 作为字长 Until recently, most machines used 32 bits (4 bytes) as word size
 - 地址局限到4GB Limits addresses to 4GB (2^{32} bytes)
 - 机器字长增长为64位 Increasingly, machines have 64-bit word size
 - 潜在地, 可以有18EB地址空间 Potentially, could have 18 EB (exabytes) of addressable memory
 - 即 That's 18.4×10^{18}
 - 机器还支持多种数据格式 Machines still support multiple data formats
 - 字长的部分或倍数 Fractions or multiples of word size
 - 总是字节的整数倍 Always integral number of bytes

面向字长的内存组织

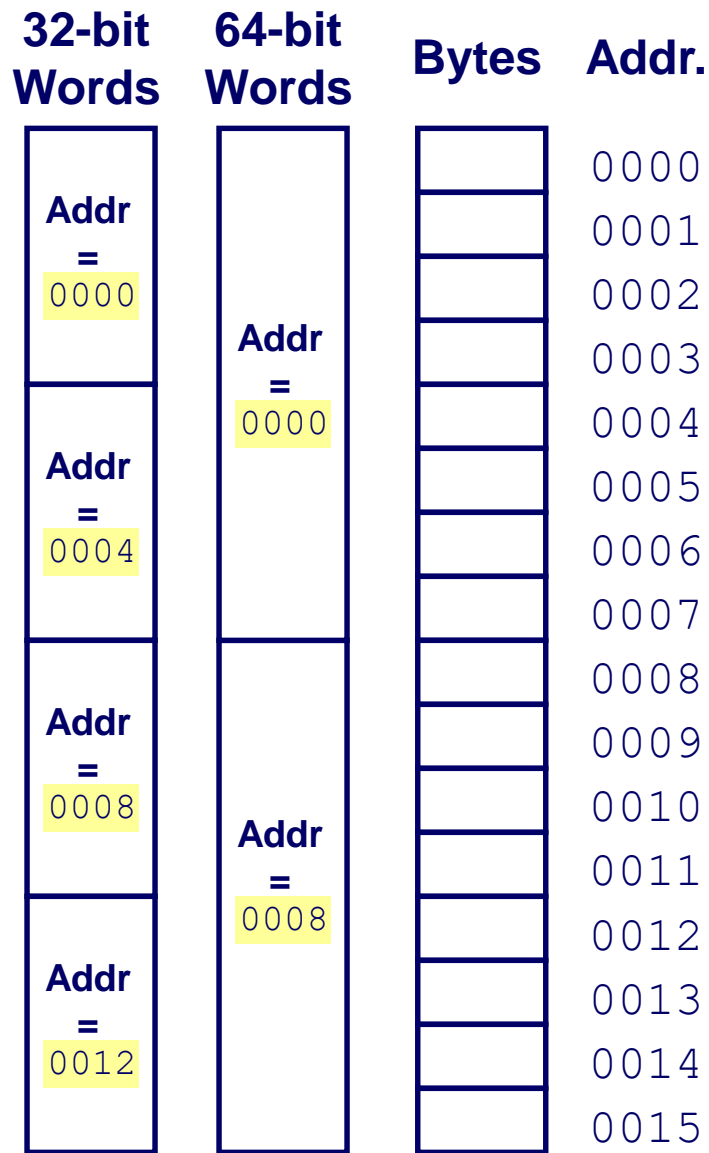
Word-Oriented Memory Organization



■ 地址指定字节位置 Addresses

Specify Byte Locations

- 字中第一个字节的地址 Address of first byte in word
- 后继字地址相差4字节（32位）或8字节（64位） Addresses of successive words differ by 4 (32-bit) or 8 (64-bit)



数据表示的示例

Example Data Representations



C Data Type	Typical 32-bit	Typical 64-bit	x86-64
<code>char</code>	1	1	1
<code>short</code>	2	2	2
<code>int</code>	4	4	4
<code>long</code>	4	8	8
<code>float</code>	4	4	4
<code>double</code>	8	8	8
<code>long double</code>	–	–	10/16
<code>pointer</code>	4	8	8



字节顺序 Byte Ordering

- 因此，字中的多个字节在内存里如何排序？ So, how are the bytes within a multi-byte word ordered in memory?
- 约定 Conventions
 - 大端法： Big Endian: Sun, PPC Mac, Internet
 - 最低有效字节有最高的地址 Least significant byte has highest address
 - 小端法： Little Endian: x86, ARM processors running Android, iOS, and Windows
 - 最低有效字节有最低地址 Least significant byte has lowest address

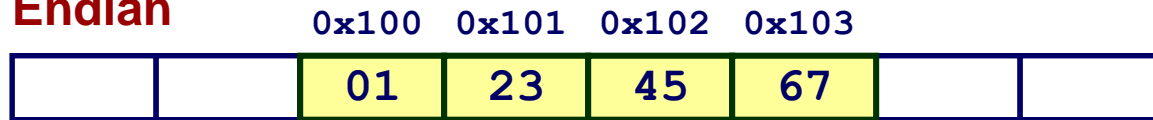


字节顺序示例 Byte Ordering Example

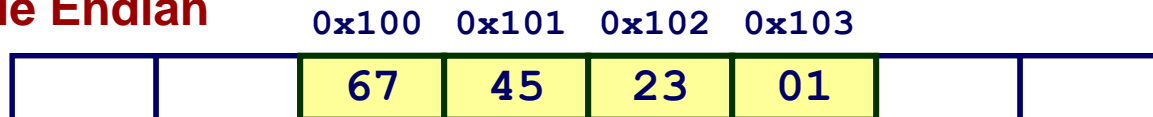
■ 示例 Example

- 变量x有4字节值 Variable x has 4-byte value of 0x01234567
- x的地址为0x100 Address given by &x is 0x100

大端法 Big Endian



小端法 Little Endian

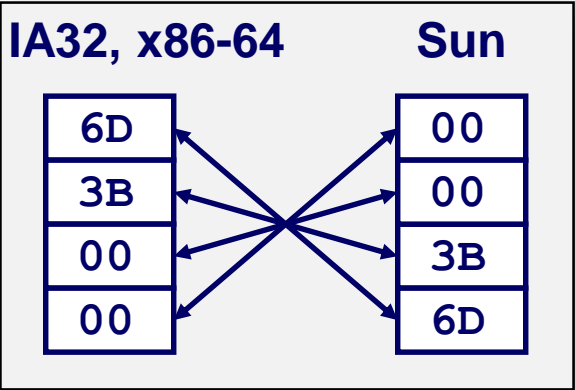


表示整数

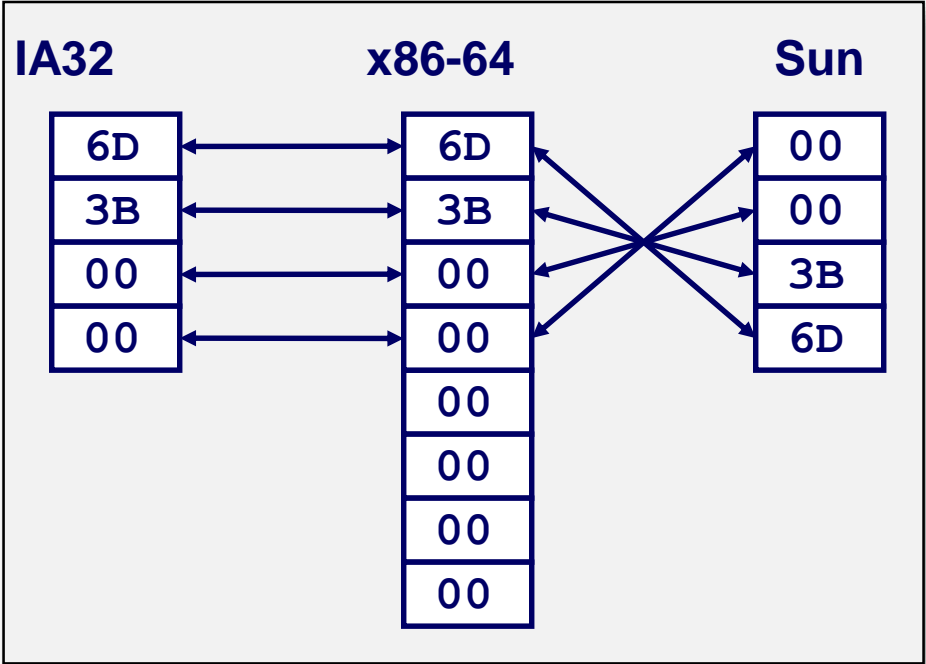
Representing Integers

Decimal:	15213			
Binary:	0011	1011	0110	1101
Hex:	3	B	6	D

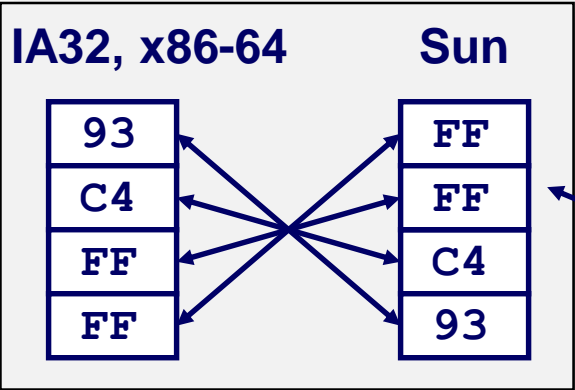
```
int A = 15213;
```



```
long int C = 15213;
```



```
int B = -15213;
```



补码表示 Two's complement representation

检查数据表示



Examining Data Representations

- **打印数据的字节表示的代码** Code to Print Byte Representation of Data
 - 强制类型转换无符号字符指针允许作为字节数组对待 Casting pointer to unsigned char * allows treatment as a byte array

```
typedef unsigned char *pointer;

void show_bytes(pointer start, size_t len){
    size_t i;
    for (i = 0; i < len; i++)
        printf("%p\t0x%.2x\n", start+i, start[i]);
    printf("\n");
}
```

格式指示符 Printf directives:

- %p: 打印指针 Print pointer
- %x: 打印十六进制 Print Hexadecimal

show_bytes执行示例

show_bytes Execution Example



```
int a = 15213;
printf("int a = 15213;\n");
show_bytes((pointer) &a, sizeof(int));
```

结果 Result (Linux x86-64):

```
int a = 15213;
0x7fffb7f71dbc    6d
0x7fffb7f71dbd    3b
0x7fffb7f71dbe    00
0x7fffb7f71dbf    00
```




表示指针 Representing Pointers

```
int B = -15213;  
int *P = &B;
```

Sun	IA32	x86-64
EF	AC	3C
FF	28	1B
FB	F5	FE
2C	FF	82
		FD
		7F
		00
		00

不同的编译器和机器分配不同的位置给对象 **Different compilers & machines assign different locations to objects**
甚至每次运行程序会得到不同的结果 **Even get different results each time run program**



表示字符串 Representing Strings

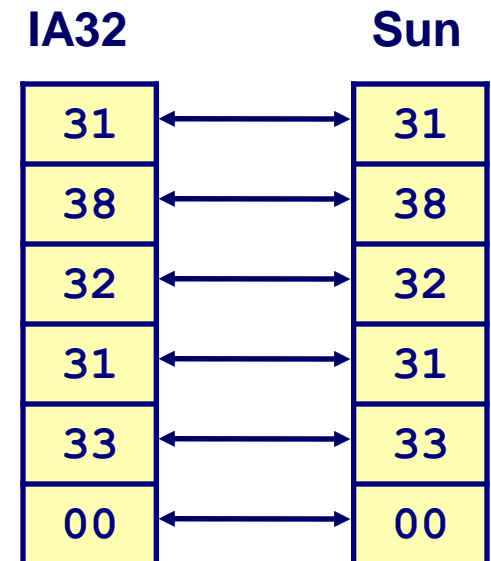
```
char S[6] = "18213";
```

■ C语言中的字符串 Strings in C

- 用字符数组来代表 Represented by array of characters
- 每个字符编码成ASCII格式 Each character encoded in ASCII format
 - 标准7位字符集编码 Standard 7-bit encoding of character set
 - 字符'0'编码为0x30 Character "0" has code 0x30
 - 数字*i*代码为0x30+*i* Digit *i* has code 0x30+*i*
- 字符串应该以空作为结尾 String should be null-terminated
 - 最后的字符为0 Final character = 0

■ 兼容性 Compatibility

- 字节顺序不存在问题 Byte ordering not an issue



阅读逆序字节列表



Reading Byte-Reversed Listings

■ 反汇编 Disassembly

- 二进制机器代码的文本表示 Text representation of binary machine code
- 由读取机器代码的程序生成 Generated by program that reads the machine code

■ 示例片段 Example Fragment

汇编表示

Address	Instruction Code	Assembly Rendition
8048365:	5b	pop %ebx
8048366:	81 c3 ab 12 00 00	add \$0x12ab,%ebx
804836c:	83 bb 28 00 00 00 00	cmpl \$0x0,0x28(%ebx)

■ 解密数值 Deciphering Numbers

- 值: Value: 0x12ab
- 填充到32位: Pad to 32 bits: 0x000012ab
- 分成字节 Split into bytes: 00 00 12 ab
- 逆序 Reverse: ab 12 00 00



C语言整数难题 Integer C Puzzles

- `x < 0` $\Rightarrow ((x*2) < 0)$ ✗
- `ux >= 0` ✓
- `x & 7 == 7` $\Rightarrow (x << 30) < 0$ ✓
- `ux > -1` ✗
- `x > y` $\Rightarrow -x < -y$ ✗
- `x * x >= 0` ✗
- `x > 0 && y > 0` $\Rightarrow x + y > 0$ ✗
- `x >= 0` $\Rightarrow -x <= 0$ ✓
- `x <= 0` $\Rightarrow -x >= 0$ ✗
- `(x|-x)>>31 == -1` ✗
- `ux >> 3 == ux/8` ✓
- `x >> 3 == x/8` ✗
- `x & (x-1) != 0` ✗

初始时 Initialization

```
int x = foo();  
int y = bar();  
unsigned ux = x;  
unsigned uy = y;
```