

# 第3章程序的机器级表示 Machine-Level Programming I: Basics

100076202: 计算机系统导论

I: 基础

**I:** Basics



#### 任课教师:

计卫星 宿红毅 张艳

#### 原作者:

Randal E. Bryant and David R. O'Hallaron



# 议题: 程序的机器级表示I: 基础

# The second secon

- **Machine Programming I: Basics**
- Intel处理器和体系结构历史 History of Intel processors and architectures
- 汇编语言基础:寄存器、操作数、传送类指令 Assembly Basics: Registers, operands, move
- 算术和逻辑运算 Arithmetic & logical operations
- C、汇编和机器代码 C, assembly, machine code

#### Intel x86处理器 Intel x86 Processors



- 主导了笔记本/台式机/服务器的市场 Dominate laptop/desktop/server market
- 不断进化的设计 Evolutionary design
  - 从1978年引入的8086开始,实现了后向兼容 Backwards compatible up until 8086, introduced in 1978
  - 随着时间的推移增加更多的功能 Added more features as time goes on
- 复杂指令集计算机CISC Complex instruction set computer (CISC)
  - 很多不同指令有不同的格式 Many different instructions with many different formats
    - 但Linux程序仅使用其中小的子集 But, only small subset encountered with Linux programs
  - 很难匹敌精简指令集计算机RISC的性能 Hard to match performance of Reduced Instruction Set Computers (RISC)
  - 但是,Intel已经这么做了 But, Intel has done just that!
    - 速度方面提升很快,但是很少用于低功耗环境 In terms of speed. Less so for low power.

## Intelx86的演进: 里程碑 Intel x86 Evolution: Milestones



Name	Date	Transistors 晶体管	MHz
------	------	-----------------	-----

- 8086 1978 29K 5-10
  - First 16-bit Intel processor. Basis for IBM PC & DOS
  - 1MB address space
- 386 1985 275K 16-33
  - First 32 bit Intel processor, referred to as IA32
  - Added "flat addressing", capable of running Unix
- Pentium 4E 2004 125M 2800-3800
  - First 64-bit Intel x86 processor, referred to as x86-64
- Core 2 2006 291M 1060-3500
  - First multi-core Intel processor
- Core i7 2008 731M 1700-3900
  - Four cores (our shark machines)

#### Intel x86 Processors, cont.

# The state of the s

#### ■ 机器进化 Machine Evolution

<b>386</b>	1985	0.3M
Pentium	1993	3.1M
Pentium/MMX	1997	4.5M
PentiumPro	1995	6.5M
Pentium III	1999	8.2M
Pentium 4	2001	42M
Core 2 Duo	2006	291M
Core i7	2008	731M

Integrated Memory Controlle	er - 3 Ch DDR3
Core 0 Core 1 Cor	e 2 Core 3
COLE O COLET	
P Shared L3 Cach	e

#### ■ 增加的功能 Added Features

- 支持多媒体操作的指令 Instructions to support multimedia operations
- 使能更多高效条件操作的指令 Instructions to enable more efficient conditional operations
- 从32位向64位转换 Transition from 32 bits to 64 bits
- 更多的核 More cores

#### Intel x86 Processors, cont.



■ <b>丽辈</b> Past Generations
------------------------------

#### **Process technology**

<b>-</b> -		1 10003 0001
1 <sup>st</sup> Pentium Pro	1995	600 nm
1 <sup>st</sup> Pentium III	1999	250 nm
■ 1 <sup>st</sup> Pentium 4	2000	180 nm
1st Core 2 Duo	2006	65 nm

#### ■最近和下一代

#### ■ Recent & Upcoming Generations

1.	Nehalem	2008	45 nm
2.	Sandy Bridge	2011	32 nm
3.	Ivy Bridge	2012	22 nm
4.	Haswell	2013	22 nm
5.	Broadwell	2014	14 nm
6.	Skylake	2015	14 nm
7.	Kaby Lake	2016	14 nm
8.	Coffee Lake	2017	14 nm
9.	Cannon Lake	2018	10 nm
10.	Ice Lake	2019	10 nm
11.	Tiger Lake	2020	10 nm
12.	Alder Lake	2022	"intel 7" (10nm+++)

#### 处理器技术维度=最窄线宽 (10纳米≈100原子宽)

Process technology dimension = width of narrowest wires (10 nm ≈ 100 atoms wide)

#### (但现在在变化)

(But this is changing now.)

# 2015年最先进的处理器 2015 State of the Art



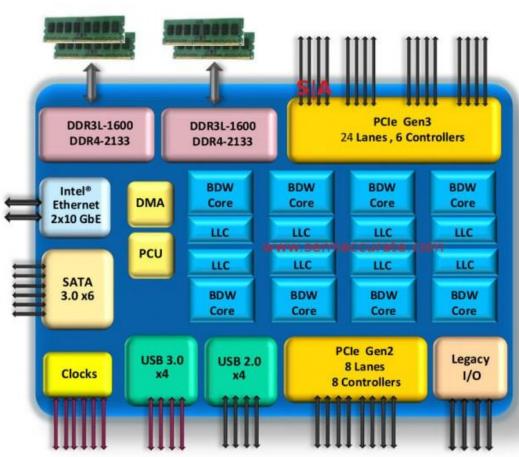
- Core i7 Broadwell 2015
  - 第五代处理器架构

#### ■台式机模型 Desktop Model

- 4核 4 cores
- 集成图形卡Integrated graphi
- 3.3-3.8 GHz
- 65W

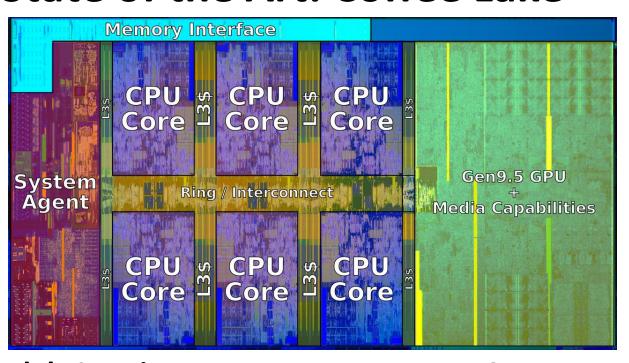
#### ■服务器模型 Server Model

- 8核 8 cores
- 集成I/O Integrated I/O
- 2-2.6 GHz
- 45W



# 2018年最先进的处理器 2018 State of the Art: Coffee Lake





- Mobile Model: Core i7
  - 2.2-3.2 GHz
  - **45 W**

#### Desktop Model: Core i7

- Integrated graphics
- 2.4-4.0 GHz
- **35-95 W**

#### Server Model: Xeon E

- Integrated graphics
- Multi-socket enabled
- 3.3-3.8 GHz
- **80-95 W**

#### x86克隆: AMD

## x86 Clones: Advanced Micro Devices (AMD)

#### **■** 历史 Historically

- 紧跟Intel AMD has followed just behind Intel
- ■稍微慢一点,便宜很多 A little bit slower, a lot cheaper

#### ■后来 Then

- ■从DEC公司和其它走下坡路公司招募了顶级电路设计师 Recruited top circuit designers from Digital Equipment Corp. and other downward trending companies
- ■构建了皓龙:奔腾4的强力竞争者 Built Opteron: tough competitor to Pentium 4
- ■开发了x86-64,扩展为64位体系结构 Developed x86-64, their own extension to 64 bits

#### x86克隆: AMD

## x86 Clones: Advanced Micro Devices (AMD)

#### ■最近几年 Recent Years

- Intel齐心协力 Intel got its act together
  - 1995-2011:全球领先的半导体"晶圆厂" Lead semiconductor "fab" in world
  - 2018: 第二大厂 #2 largest by \$\$ (第一是三星 #1 is Samsung)
  - 2019: 重新夺回第一 reclaimed #1
- AMD落后: 从GlobalFoundaries分拆出来 AMD fell behind: Spun off GlobalFoundaries
- 2019-20:领先!将台积电作为部分晶圆厂 Pulled ahead! Used TSMC for part of fab
- 2022: 英特尔重新领先 Intel re-took the lead

# Intel的64位处理器历史 Intel's 64-Bit History

- 2001年Intel尝试从IA32向IA64的激进迁移 2001: Intel Attempts Radical Shift from IA32 to IA64
  - 完全不同的体系结构(安腾)Totally different architecture (Itanium)
  - 仅仅作为遗留执行IA32 代码 Executes IA32 code only as legacy
  - 性能令人失望 Performance disappointing
- 2003年AMD采用革命性解决方案步伐 2003: AMD Steps in with Evolutionary Solution
  - x86-64 (现在称为AMD64 now called "AMD64")
- Intel感到必须要聚焦到IA64 Intel Felt Obligated to Focus on IA64
  - 很难承认犯错或AMD更佳 Hard to admit mistake or that AMD is better
- 2004年Intel宣布EM64T扩展到IA32 2004: Intel Announces EM64T extension to IA32
  - 扩展64位内存技术Extended Memory 64-bit Technology
  - 几乎和x86-64相同 Almost identical to x86-64!
- 除了低端都支持x86-64 All but low-end x86 processors support x86-64
  - 但很多代码仍然运行在32位模式 But, lots of code still runs in 32-bit mode





#### ■ IA32

- 传统的x86 The traditional x86
- 第二版

#### ■ x86-64

- 目前的标准 The standard
- shark> gcc hello.c
- shark> gcc -m64 hello.c

#### ■ 幻灯片 Presentation

- 教材采用x86-64 Book covers x86-64
- 网站旁注包含IA32 Web aside on IA32
- 我们仅讨论x86-64 We will only cover x86-64

# 议题: 程序的机器级表示: 基础



- **Machine Programming I: Basics**
- Intel处理器和体系结构的历史 History of Intel processors and architectures
- 汇编语言基础:寄存器、操作数和传送类指令 Assembly Basics: Registers, operands, move
- 算术和逻辑运算 Arithmetic & logical operations
- C、汇编和机器代码 C, assembly, machine code

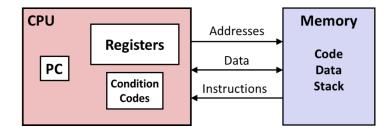
## 抽象级别 Levels of Abstraction



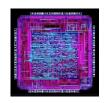
#### C语言程序员 C programmer

```
#include <stdio.h>
int main() {
  int i, n = 10, t1 = 0, t2 = 1, nxt;
  for (i = 1; i <= n; ++i) {
    printf("%d, ", t1);
    nxt = t1 + t2;
    t1 = t2;
    t2 = nxt; }
  return 0; }</pre>
```

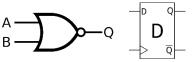
#### 汇编语言程序员 Assembly programmer



#### 计算机设计师 Computer Designer



门电路、时钟、电路布局。。。 Gates, clocks, circuit layout, ...



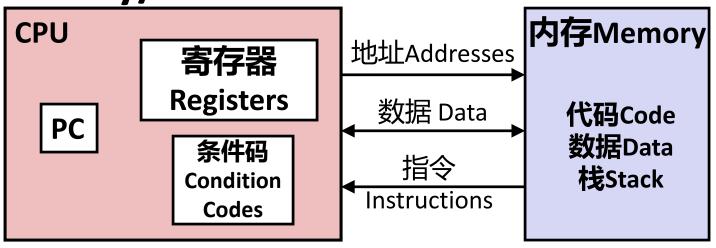
## 定义 Definitions

- 体系结构(又称ISA: 指令集体系结构) 要进行处理器设计、需要理解或者写汇编/机器代码 Architecture: (also ISA: instruction set architecture) The parts of a processor design that one needs to understand or write assembly/machine code.
  - 例如:指令集规范、寄存器 Examples: instruction set specification, registers.
- 微体系结构: 体系结构的实现 Microarchitecture: Implementation of the architecture.
  - 例如:cache大小和核心频率 Examples: cache sizes and core frequency.
- 代码形式 Code Forms:
  - 机器代码: 处理器执行的字节级程序 Machine Code: The byte-level programs that a processor executes
  - 汇编代码: 机器代码的文本表示 Assembly Code: A text representation of machine code
- 示例ISA Example ISAs: Intel: x86, IA32, Itanium, x86-64
  - ARM: 用于几乎所有移动电话 Used in almost all mobile phones
  - RISC V: 新的开源ISA New open-source ISA

## 汇编/机器代码视图

#### Assembly/Machine Code View





#### 程序员可见的状态Programmer-Visible State

- PC程序计数器 PC: Program counter
  - 下条指令地址 Address of next instruction
  - 称为RIP Called "RIP" (x86-64)
- 寄存器堆 Register file
  - 程序频繁使用的数据 Heavily used program data
- 条件码 Condition codes
  - 存储有关最近的算术和逻辑运算的状态信息 Store status information about most recent arithmetic or logical operation
  - 用于条件分支 Used for conditional branching

- 内存 Memory
  - 字节可寻址的数组 Byte addressable array
  - 代码和用户数据Code and user data
  - 支持过程的栈 Stack to support procedures

#### 汇编语言:数据类型

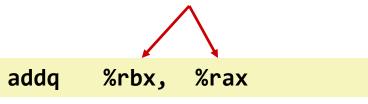
#### **Assembly: Data Types**



- 1,2,4或8字节"整数"数据 "Integer" data of 1, 2, 4, or 8 bytes
  - 数据值 Data values
  - 地址(无类型指针) Addresses (untyped pointers)
- 4,8或10字节的浮点数据 Floating point data of 4, 8, or 10 bytes
- (SIMD向量数据类型8、16、32或64字节) (SIMD vector data types of 8, 16, 32 or 64 bytes)
- 代码:字节序列编码一序列指令 Code: Byte sequences encoding series of instructions
- 没有聚合类型例如数组或结构 No aggregate types such as arrays or structures
  - 仅在内存中分配连续的字节 Just contiguously allocated bytes in memory







相当于 is

rax += rbx

这些是64位寄存器,因此我们认 为这是64位加法 These are 64-bit registers, so we know this is a 64-bit add

## x86-64 Integer Registers 整数寄存器

%rax	%eax	%r8	%r8d
%rbx	%ebx	%r9	%r9d
%rcx	%ecx	%r10	%r10d
%rdx	%edx	%r11	%r11d
%rsi	%esi	%r12	%r12d
%rdi	%edi	%r13	%r13d
%rsp	%esp	%r14	%r14d
%rbp	%ebp	%r15	%r15d

- 可以引用低4字节(也可以引用低1或2字节) Can reference low-order 4 bytes (also low-order 1 & 2 bytes)
- 不是内存(或cache)的一部分 Not part of memory (or cache)

## 一些历史: IA32寄存器

### Some History: IA32 Registers



%eax 累加 accumulate %al %ax %ah 通用目的 general purpose 计数 counter %ecx %c1 %CX %ch 数据 data %edx %dx 용dh %dl 基址 base %ebx %bx 용bh %bl 源索引 source %esi %si index 目的索引 destination %edi %di index 堆栈指针 stack %esp %sp pointer 基址指针 base %ebp %bp pointer

> 16位虚拟寄存器 16-bit virtual registers (后向兼容 backwards compatibility)

# - Children of the control of the con

# 汇编语言: 操作 Assembly: Operations

- 在内存和寄存器之间传送数据 Transfer data between memory and register
  - 从内存装载数据到寄存器 Load data from memory into register
  - 存储寄存器数据到内存 Store register data into memory
- 对寄存器或内存数据执行算术运算 Perform arithmetic function on register or memory data
- 传递控制 Transfer control
  - 无条件跳转到/从过程 Unconditional jumps to/from procedures
  - 条件分支 Conditional branches
  - 间接分支 Indirect branches

## 传送数据 Moving Data



Warning: Intel docs use mov Dest, Source

%rax

%rcx

%rdx

操作数类型 Operand Types

*立即数*: 常量整数 *Immediate:* Constant integer da 🔄

■ 例如: Example: \$0x400, \$-533

类似C常量, \$前缀 Like C constant, but prefixed

■ 编码1, 2或4字节 Encoded with 1, 2, or 4 bytes

**寄存器: 16个整数寄存器之一 Register:** One of 16

■ 例如: Example: %rax, %r13

■ 但%rsp保留有特殊用处 But %rsp reserved f %rN

其它对特定指令有特殊用途 Others have special uses for particular instructions

8个连续的字节,由寄存器给出内存地址 Memory: 8 consecutive bytes of memory at address given by register

- 最简单的例子: Simplest example: (%rax)
- 各种其它"寻址方式" Various other "address modes"

%rbx %rsi

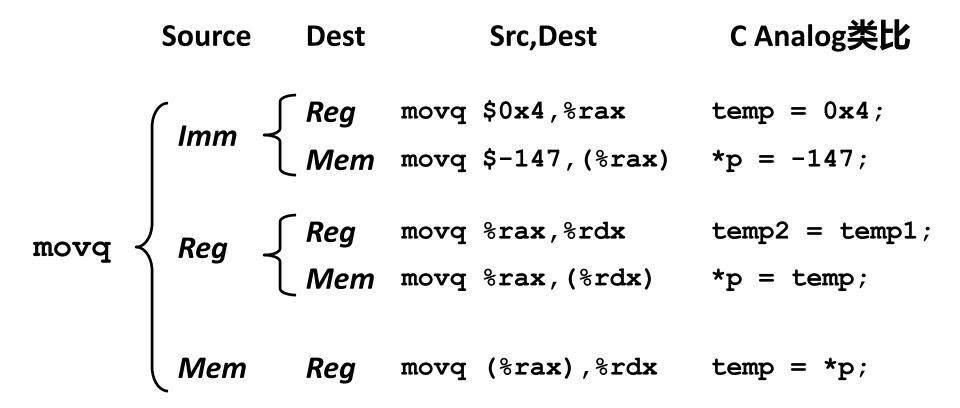
%rsp

%rdi

%rbp

# Movg指令操作数组合 movg Operand Combinations





#### 单条指令中不能进行内存到内存的传送

Cannot do memory-memory transfer with a single instruction

# 简单内存寻址方式 Simple Memory Addressing Modes



- 正常 Normal (R) Mem[Reg[R]]
  - 寄存器R指定内存地址 Register R specifies memory address
  - C语言中的指针间接引用 Aha! Pointer dereferencing in C

movq (%rcx),%rax

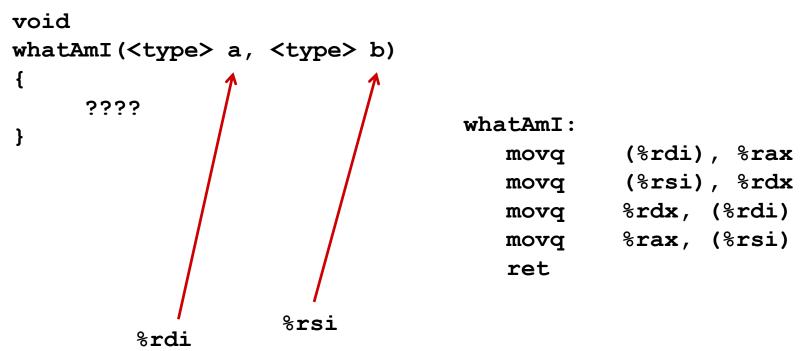
- 变址 Displacement D(R) Mem[Reg[R]+D]
  - 寄存器R指定内存区域的起始地址 Register R specifies start of memory region
  - 常量D指定偏移 Constant displacement D specifies offset

movq 8(%rbp),%rdx

## 简单寻址方式示例

# The state of the s

## **Example of Simple Addressing Modes**



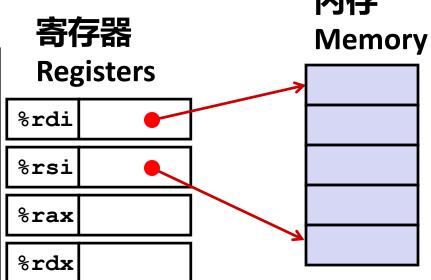
### 简单寻址方式示例



## **Example of Simple Addressing Modes**

```
void swap
   (long *xp, long *yp)
{
   long t0 = *xp;
   long t1 = *yp;
   *xp = t1;
   *yp = t0;
}
```

```
void swap
   (long *xp, long *yp)
{
   long t0 = *xp;
   long t1 = *yp;
   *xp = t1;
   *yp = t0;
}
```



Register	Value
%rdi	хр
%rsi	ур
%rax	t0
%rdx	t1



#### 寄存器 Registers

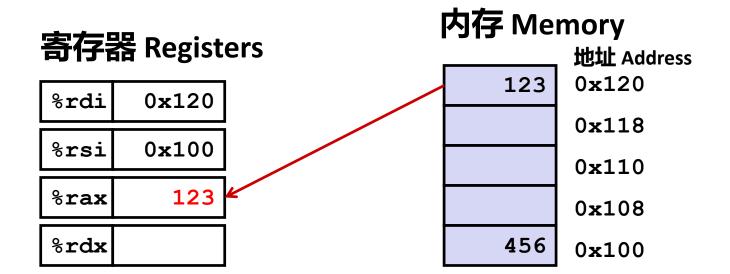
%rdi	0x120
%rsi	0x100
%rax	
%rdx	

#### 内存 Memory

	地址 Address
123	0x120
	0x118
	0x110
	0x108
456	0x100

```
movq (%rdi), %rax # t0 = *xp
movq (%rsi), %rdx # t1 = *yp
movq %rdx, (%rdi) # *xp = t1
movq %rax, (%rsi) # *yp = t0
ret
```





```
movq (%rdi), %rax # t0 = *xp
movq (%rsi), %rdx # t1 = *yp
movq %rdx, (%rdi) # *xp = t1
movq %rax, (%rsi) # *yp = t0
ret
```



# 寄存器 Registers

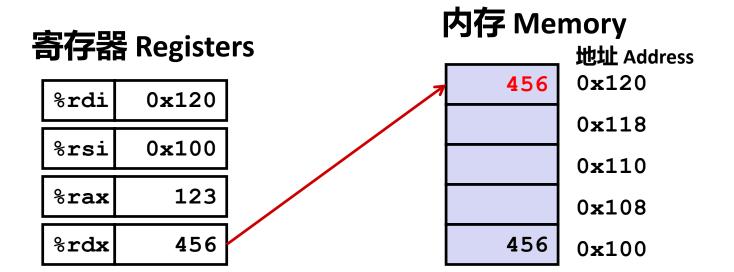
%rdi	0x120
%rsi	0x100
%rax	123
%rdx	456

#### 内存 Memory

	_ 地址 Address
123	0x120
	0x118
	0x110
	0x108
456	0x100

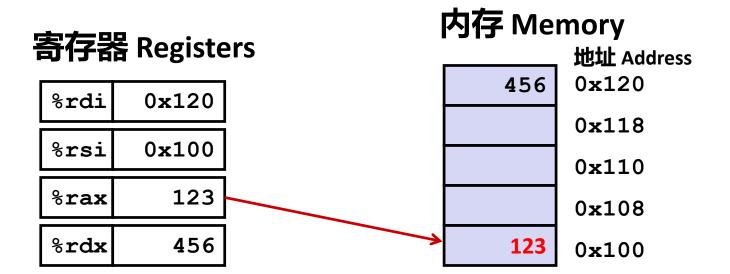
```
movq (%rdi), %rax # t0 = *xp
movq (%rsi), %rdx # t1 = *yp
movq %rdx, (%rdi) # *xp = t1
movq %rax, (%rsi) # *yp = t0
ret
```





```
movq (%rdi), %rax # t0 = *xp
movq (%rsi), %rdx # t1 = *yp
movq %rdx, (%rdi) # *xp = t1
movq %rax, (%rsi) # *yp = t0
ret
```





```
movq (%rdi), %rax # t0 = *xp
movq (%rsi), %rdx # t1 = *yp
movq %rdx, (%rdi) # *xp = t1
movq %rax, (%rsi) # *yp = t0
ret
```

# 简单内存寻址方式 Simple Memory Addressing Modes



- 正常 Normal (R) Mem[Reg[R]]
  - 寄存器R指定内存地址 Register R specifies memory address
  - C语言中的指针间接引用 Aha! Pointer dereferencing in C

movq (%rcx),%rax

- 变址 Displacement D(R) Mem[Reg[R]+D]
  - 寄存器R指定内存区域的起始地址 Register R specifies start of memory region
  - 常量D指定偏移 Constant displacement D specifies offset

movq 8(%rbp),%rdx

## 复杂内存寻址方式

# The state of the s

### **Complete Memory Addressing Modes**

■最通用形式 Most General Form

D(Rb,Ri,S) Mem[Reg[Rb]+S\*Reg[Ri]+D]

■ D: 常量"变址"1,2或4字节 Constant "displacement" 1, 2, or 4 bytes

■ Rb: 基指针: 16个整数寄存器中任意一个 Base register: Any of 16 integer registers

■ Ri: 索引寄存器:任意,除了%rbp Index register: Any, except for %rsp

■ S: 比例因子: 1,2,4或8(为何这几个数) Scale: 1, 2, 4, or 8 (*why these numbers?*)

#### ■特殊情况 Special Cases

(Rb,Ri) Mem[Reg[Rb]+Reg[Ri]]

D(Rb,Ri) Mem[Reg[Rb]+Reg[Ri]+D]

(Rb,Ri,S) Mem[Reg[Rb]+S\*Reg[Ri]]

# 地址计算示例



#### **Address Computation Examples**

%rdx	0xf000
%rcx	0x0100

#### D(Rb,Ri,S) Mem[Reg[Rb]+S\*Reg[Ri]+D]

D: Constant "displacement" 1, 2, or 4 bytes

Rb: Base register: Any of 16 integer registers

■ Ri: Index register: Any, except for %rsp

• S: Scale: 1, 2, 4, or 8 (*why these numbers?*)

表达式 Expression	地址计算 Address Computation	地址 Address
0x8 (%rdx)	0xf000 + 0x8	0xf008
(%rdx,%rcx)	0xf000 + 0x100	0xf100
(%rdx,%rcx,4)	0xf000 + 4*0x100	0xf400
0x80(,%rdx,2)	2*0xf000 + 0x80	0x1e080

# 简单的数据传送指令



Instruction		Effect	Description	
MOV	S, D	$D \leftarrow S$	Move	
movb			Move byte	
movw			Move word	
movl			Move double word	
movq			Move quad word	
movabsq	I, R	$R \leftarrow I$	Move absolute quad word	
mov		(general)		
movb	(move byte: 8位)			
movw	(move word: 一个字16位)			
movl	(move double word:双字32位)			
movq	(move quad word:四字64位)			
■ 立即数仅表示为32位有符号数,经符号扩展传送到目的 Immediate				
can only be represented as 32-bit signed sign extension to the				

movabsq (move absolute quad word)

destination

- 仅更新指定寄存器字节 Only update the specific register byte
  - 除了movl指令,它将寄存器的高四个字节更新为0 except movl which updates the higher-order 4 bytes of the register to 0

数据传送示例 Data Movement Example

movl \$0x4050, %eax immediate register, 4 bytes movw %bp, %sp register register, 2 bytes movb (%rdi, %rcx), %al memory register, 1 byte movb \$-17, (%rsp) immediate memory, 1 byte movq %rax, -12(%rbp) register memory, 8 byte





Instruction	Effect	Description
MOVZ $S, R$	$R \leftarrow ZeroExtend(S)$	Move with zero extension
movzbw		Move zero-extended byte to word
movzbl		Move zero-extended byte to double word
movzwl		Move zero-extended word to double word
movzbq		Move zero-extended byte to quad word
movzwq		Move zero-extended word to quad word

■ 没有movzlq指令,这个功能由movl指令实现 no movzlq, it is implemented by movl





Instruction	Effect	Description
$\overline{MOVS}$ $S, R$	$R \leftarrow SignExtend(S)$	Move with sign extension
movsbw		Move sign-extended byte to word
movsbl		Move sign-extended byte to double word
movswl		Move sign-extended word to double word
movsbq		Move sign-extended byte to quad word
movswq		Move sign-extended word to quad word
movslq		Move sign-extended double word to quad word
cltq	%rax ← SignExtend(%eax)	Sign-extend %eax to %rax



# 示例 Example

```
long exchange(long *xp, long y)
      long x = *xp;
       *xp = y;
       return x;
 xp in %rdi, y in %rsi
    exchange:
      movq (%rdi), %rax
                              Get x at xp. Set as return value
2.
      movq %rsi, (%rdi)
                            Store y at xp
3.
      ret
                            return
4.
```



# 汇编语言的数组 Array in Assembly

#### 持续使用 Persistent usage

```
long a[16];
void f(void) {
    long i ;
    for(i=0; i<16; i++)
        a[i]=i;
}
movq %rdx, a(,%rdx,8)
i: %rdx</pre>
```

# 数据传送示例 Data Movement Example

初始值 Initial value %dl=8d

%rax =0000000098765432

1 movb %dl, %al %rax=00000009876548d

2 movsbl %dl, %eax %rax=00000000ffffff8d

3 movzbq %dl, %rax %rax=0000000000008d

### 议题:程序的机器级表示:基础

# The second secon

#### **Machine Programming I: Basics**

- Intel处理器和体系结构历史 History of Intel processors and architectures
- 汇编语言基础:寄存器、操作数和传送类指令 Assembly Basics: Registers, operands, move
- 算术和逻辑运算 Arithmetic & logical operations
- C语言、汇编和机器代码 C, assembly, machine code

## 地址计算指令

#### **Address Computation Instruction**

#### leaq Src, Dst

- *Src*是寻址方式表达式 *Src* is address mode expression
- 设置Dst成为表达式指示的地址 Set Dst to address denoted by expression

#### ■ 用法 Uses

- 计算地址不必引用内存 Computing addresses without a memory reference
  - 例如 E.g., translation of p = &x[i];
- 计算x + k\*y形式的算术表达式 Computing arithmetic expressions of the form x + k\*y
  - k = 1, 2, 4, or 8

#### Example

```
long m12(long x)
{
   return x*12;
}
```

#### 编译器转换成汇编程序

**Converted to ASM by compiler:** 

```
leaq (%rdi,%rdi,2), %rax # t <- x+x*2
salq $2, %rax # return t<<2</pre>
```

# 一些算术运算Some Arithmetic Operations

■ 双操作数指令 Two Operand Instructions:

#### 格式Format 计算Computation

```
addq
         Src,Dest
                     Dest = Dest + Src
         Src,Dest
                     Dest = Dest - Src
subq
imulq Src,Dest
                    Dest = Dest * Src
        Src,Dest
                                            也称shlq Also called shlq
                    Dest = Dest << Src
salq
                                            算术 Arithmetic
         Src,Dest
                     Dest = Dest >> Src
sarq
                                            逻辑 Logical
         Src,Dest
                     Dest = Dest >> Src
shrq
         Src,Dest
                     Dest = Dest ^ Src
xorq
andq
         Src,Dest
                     Dest = Dest & Src
                     Dest = Dest | Src
         Src,Dest
orq
```

- 观察参数的顺序! (警告: Intel文档中用法是"op *Dest,Src*")
  Watch out for argument order! (Warning: Intel docs use "op *Dest,Src*")
- 有/无符号整数之间没有区别(为何?) No distinction between signed and unsigned int (why?)

#### 一些算术运算

#### **Some Arithmetic Operations**

■ 单操作数指令 One Operand Instructions

incq Dest = Dest + 1

decq Dest = Dest - 1

negq Dest Dest = -Dest

notq *Dest = ~Dest = ~Dest* 

■ 参见教材了解更多的指令 See book for more instructions



#### 算术表达式示例

#### **Arithmetic Expression Example**

```
long arith
(long x, long y, long z)
  long t1 = x+y;
  long t2 = z+t1;
  long t3 = x+4;
  long t4 = y * 48;
  long t5 = t3 + t4;
  long rval = t2 * t5;
  return rval;
```



```
arith:
  leaq (%rdi,%rsi), %rax
  addq %rdx, %rax
  leaq (%rsi,%rsi,2), %rdx
  salq $4, %rdx
  leaq 4(%rdi,%rdx), %rcx
  imulq %rcx, %rax
  ret
```

#### 有趣的指令 Interesting Instructions

- leaq: 地址计算 address computation
- **salq**:移位 shift
- imulq: 乘法 multiplication
  - 但是,仅使用一次 But, only used once

#### 理解算术表达式示例

#### **Understanding Arithmetic Expression**

#### **Example**

```
long arith
(long x, long y, long z)
  long t1 = x+y;
  long t2 = z+t1;
  long t3 = x+4;
  long t4 = y * 48;
  long t5 = t3 + t4;
  long rval = t2 * t5;
  return rval;
```

```
arith:
```

```
leaq (%rdi,%rsi), %rax # t1
addq %rdx, %rax # t2
leaq (%rsi,%rsi,2), %rdx
salq $4, %rdx # t4
leaq 4(%rdi,%rdx), %rcx # t5
imulq %rcx, %rax # rval
ret
```

寄存器 Register	用途 Use(s)
%rdi	参数x Argument <b>x</b>
%rsi	参数y Argument <b>y</b>
%rdx	参数z Argument <b>z</b>
%rax	t1, t2, rval
%rdx	t4
%rcx	t5

# 算术和逻辑运算



# **Arithmetic and Logical Operations**

地址 Address	值 Value
0×100	0xFF
0×108	0×AB
0×110	0x13
0×118	0×11

寄存器 Register	值 Value
%rax	0×100
%rcx	0x1
%rdx	0x3

指令 Instruction	目的地址 Destination	值 Value
addq %rcx, (%rax)	0x100	0x100
subq %rdx, 8(%rax)	0x108	0xA8
imulq \$16, (%rax, %rdx, 8)	0x118	0x110
incq 16(%rax)	0x110	0x14
decq %rcx	%rcx	0x0
subq %rdx, %rax	%rax	0xFD

# Lea指令示例 Examples for Lea Instruction



%rax holds x,

%rcx holds y

表达式	<b>Expression</b>	结果 Result
leaq	6(%rax), %rdx	6+x
leaq	(%rax, %rcx), %rdx	x+y
leaq	(%rax, %rcx, 4), %rdx	x+4*y
leaq	7(%rax, %rax, 8), %rdx	7+9*x
leaq	0xA(, %rcx, 4), %rdx	10+4*y
leaq	9(%rax, %rcx, 2), %rdx	9+x+2*y

# 特殊的算术运算 Special Arithmetic Operations



imulq S	R[%rdx]:R[%rax] ←S*R[%rax]	有符号数完整乘法 Signed full multiply
mulq S	R[%rdx]:R[%rax] ←S*R[%rax]	无符号数完整乘法 Unsigned full multiply
cqto	R[%rdx]:R[%rax] ← SignExtend(R[%rax])	转换成8个字 Convert to oct word
idivq S	$R[\%rdx] \leftarrow R[\%rdx]:R[\%rax] \mod S$ $R[\%rax] \leftarrow R[\%rdx]:R[\%rax] \div S$	有符号数除法 Signed divide
divq S	$R[\%rdx] \leftarrow R[\%rdx]:R[\%rax] \mod S$ $R[\%rax] \leftarrow R[\%rdx]:R[\%rax] \div S$	无符号数除法 Unsigned divide



## 示例 Examples

```
Initially dest in %rdi, x in %rsi, y in %rdx (*dest= x*y)
   1 movq %rsi, %rax
   2 mulq %rdx
   3 movq %rax, (%rdi)
   4 movq %rdx, 8(%rdi)
Initially x in %rdi, y in %rsi, qp in %rdx, rp in %rcx
(*qp = x / y, *rp = x \% y)
   1 movq %rdx, %r8
   2 movq %rdi, %rax
   2 cqto
   3 idivq %rsi
   4 movq %rax, (%r8)
   5 movq %rdx, (%rcx)
```

# 议题: 程序的机器级表示I: 基础

# The second secon

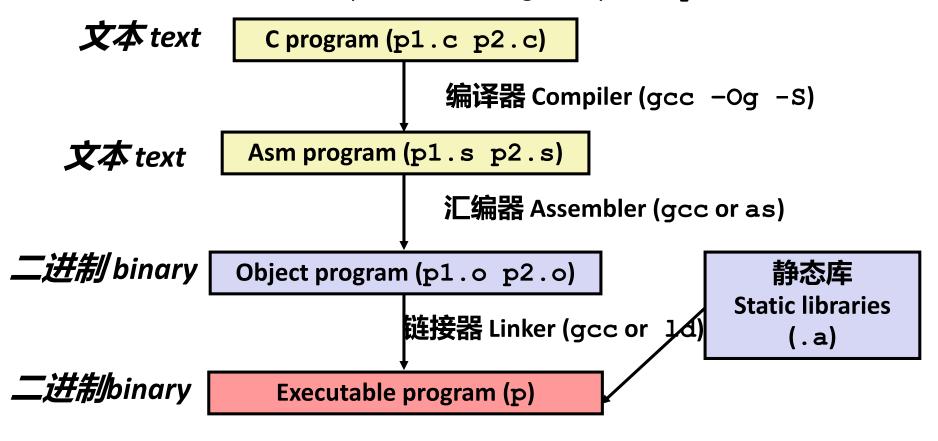
#### **Machine Programming I: Basics**

- Intel处理器和体系结构的历史 History of Intel processors and architectures
- 汇编语言基础:寄存器、操作数和传送类指令 Assembly Basics: Registers, operands, move
- 算术和逻辑运算 Arithmetic & logical operations
- C语言、汇编和机器代码 C, assembly, machine code

### 转换C源程序为目标代码

#### **Turning C into Object Code**

- 代码在文件p1和p2中 Code in files p1.c p2.c
- 编译命令 Compile with command: gcc -Og p1.c p2.c -o p
  - 基本优化(-Og)Use basic optimizations (**-Og**) [New to recent versions of GCC]
  - 将二进制结果放在文件p中 Put resulting binary in file p



# 编译成汇编程序 Compiling Into Assembly

#### C Code (sum.c)

#### **Generated x86-64 Assembly**

```
sumstore:
   pushq %rbx
   movq %rdx, %rbx
   call plus
   movq %rax, (%rbx)
   popq %rbx
   ret
```

用下面命令获得 Obtain (on shark machine) with command

gcc -Og -S sum.c

生成汇编程序文件 Produces file sum.s

警告: 由于gcc版本和和编译器设置不同在非Shark机器上会得到不同的结果 Warning: Will get very different results on non-Shark machines (Andrew Linux, Mac OS-X, ...) due to different versions of gcc and different compiler settings.

# 汇编程序真实的样子 What it really looks like



```
.globl sumstore
       .type sumstore, @function
sumstore:
.LFB35:
       .cfi startproc
       pushq %rbx
       .cfi def cfa offset 16
       .cfi offset 3, -16
       movq %rdx, %rbx
       call plus
       movq %rax, (%rbx)
       popq %rbx
       .cfi def cfa offset 8
       ret
       .cfi endproc
.LFE35:
       .size sumstore, .-sumstore
```

https://sourceware.org/binutils/docs-2.31/as/CFI-directives.html#CFI-directives

# 汇编程序真实的样子 What it really looks like

```
The state of the s
```

```
.globl sumstore
       .type sumstore, @function
sumstore:
.LFB35:
       .cfi startproc
       pushq %rbx
       .cfi def cfa offset 16
       .cfi offset 3, -16
       movq %rdx, %rbx
       call plus
       movq %rax, (%rbx)
       popq %rbx
       .cfi def cfa offset 8
       ret
       .cfi endproc
.LFE35:
       .size sumstore, .-sumstore
```

看起来有些奇怪且""作前导的语句一般是伪指令 Things that look weird and are preceded by a "are generally directives.

```
sumstore:
   pushq %rbx
   movq %rdx, %rbx
   call plus
   movq %rax, (%rbx)
   popq %rbx
   ret
```

## 目标代码 Object Code

• 总共14字节

**Total of 14 bytes** 

• 每条指令1,3或5

instruction 1, 3,

Starts at address

字节 Each

or 5 bytes

起始地址为

 $0 \times 0400595$ 

#### Code for sumstore

#### $0 \times 0400595$ :

0x53

0x48

0x89

0xd3

0xe8

0xf2

0xff

0xff

0xff

0x48

0x89

0x03

0x5b

0xc3

#### 汇编器 Assembler

- 翻译.s成.o文件 Translates .s into .o
- 每条指令二进制编码 Binary encoding of each instruction
- 接近执行代码的完整映像 Nearlycomplete image of executable code
- 缺少不同文件中代码的链接 Missing linkages between code in different files

#### 链接器 Linker

- 解析文件之间的引用 Resolves references between files
- 与静态运行时库组合在一起 Combines with static run-time libraries
  - E.g., code for malloc, printf
- 有些库采用动态链接 Some libraries are dynamically linked
  - 当程序开始执行时进行链接 Linking occurs when program begins execution 58

# 机器指令示例 Machine Instruction Example

0x40059e: 48 89 03

#### ■ C代码 C Code

■ t的值存储到dest所指地方 Store value t where designated by dest

#### ■汇编程序 Assembly

- 传送8字节值到内存 Move 8-byte value to memory
  - x86-64用语中为四字 Quad words in x86-64 parlance
- 操作数 Operands:

t: Register %rax

dest: Register %rbx

\*dest: Memory M[%rbx]

#### ■目标代码 Object Code

- 3字节指令 3-byte instruction
- 存储在地址0x40059e处 Stored at address 0x40059e

# 反汇编目标代码 Disassembling Object Code

#### 反汇编Disassembled

```
0000000000400595 <sumstore>:
 400595:
           53
                            push
                                   %rbx
 400596: 48 89 d3
                                   %rdx,%rbx
                            mov
 400599: e8 f2 ff ff ff
                            callq 400590 <plus>
 40059e: 48 89 03
                                   %rax, (%rbx)
                            mov
 4005a1:
          5b
                                   %rbx
                            pop
  4005a2: c3
                            reta
```

#### ■ 反汇编程序 Disassembler

objdump -d sum

- 检查目标代码的有用工具 Useful tool for examining object code
- 分析一系列指令的比特位模式 Analyzes bit pattern of series of instructions
- 生成汇编代码的大致格式 Produces approximate rendition of assembly code
- 可以对a.out (完整的可执行文件) 或.o文件运行该程序 Can be run on either a .out (complete executable) or .o file

# 替代的反汇编工具 Alternate Disassembly

#### 目标代码Object

#### 反汇编Disassembled

```
0 \times 0400595:
    0 \times 53
    0x48
    0x89
    0xd3
    0xe8
    0xf2
    0xff
    0xff
    0xff
    0x48
    0x89
    0 \times 03
    0x5b
    0xc3
```

```
Dump of assembler code for function sumstore:

0x0000000000400595 <+0>: push %rbx

0x0000000000400596 <+1>: mov %rdx,%rbx

0x0000000000400599 <+4>: callq 0x400590 <plus>
0x000000000040059e <+9>: mov %rax,(%rbx)

0x000000000004005a1 <+12>:pop %rbx

0x0000000000004005a2 <+13>:retq
```

■ gdb调试工具中 Within gdb Debugger

gdb sum
disassemble sumstore

■ 反汇编过程 Disassemble procedure

x/14xb sumstore

■ 检查sumstore开始的14字节 Examine the 14 bytes starting at sumstore

# 反汇编能做什么? What Can be Disassembled?



```
% objdump -d WINWORD.EXE
WINWORD.EXE: file format pei-i386
No symbols in "WINWORD.EXE".
Disassembly of section .text:
30001000 <.text>:
30001000:
            微软最终用户许可协议禁止逆向工程
30001001:
30001003:
              Reverse engineering forbidden by
30001005:
            Microsoft End User License Agreement
3000100a:
```

- 任何内容可以解释为可执行代码 Anything that can be interpreted as executable code
- 反汇编器检查字节并重新构造汇编语言源程序 Disassembler examines bytes and reconstructs assembly source

# 程序的机器级表示!: 小结

# **Machine Programming I: Summary**

- The state of the s
- Intel处理器和体系结构历史 History of Intel processors and architectures
  - 不断进化的设计导致很多怪异的老古董 Evolutionary design leads to many quirks and artifacts
- C语言、汇编和机器代码 C, assembly, machine code
  - 可见状态的新形式:程序计数器、寄存器等 New forms of visible state: program counter, registers, ...
  - 编译器将状态、表达式和过程翻译成低级指令序列Compiler must transform statements, expressions, procedures into low-level instruction sequences
- 汇编语言基础:寄存器、操作数和传送类指令 Assembly Basics: Registers, operands, move
  - x86-64传送类指令覆盖广泛的数据传送形式 The x86-64 move instructions cover wide range of data movement forms
- 运算 Arithmetic
  - C语言编译器会算出不同指令以及计算出进位 C compiler will figure out different instruction combinations to carry out computation