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# Network Transport Layer: Overview; UDP; Stop-and-Wait ARQ

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<http://zoo.cs.yale.edu/classes/cs433/>

11/1/2018

# Outline

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- ❑ Admin and recap
- ❑ Transport overview

# Admin

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- ❑ Exam 1 to be returned on Tuesday next week
- ❑ Assignment three benchmarking by next week
- ❑ Assignment four to be posted

# Recap

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## □ Applications

- Client-server applications
  - Single server
  - Multiple servers load balancing
- distributed servers
  - Distributed content distribution
    - upper bound analysis
    - BitTorrent design
    - distributed content distribution with anonymity (Tor)
    - distributed content verification (Block chain)
    - distributed content distribution using Freenet [optional]

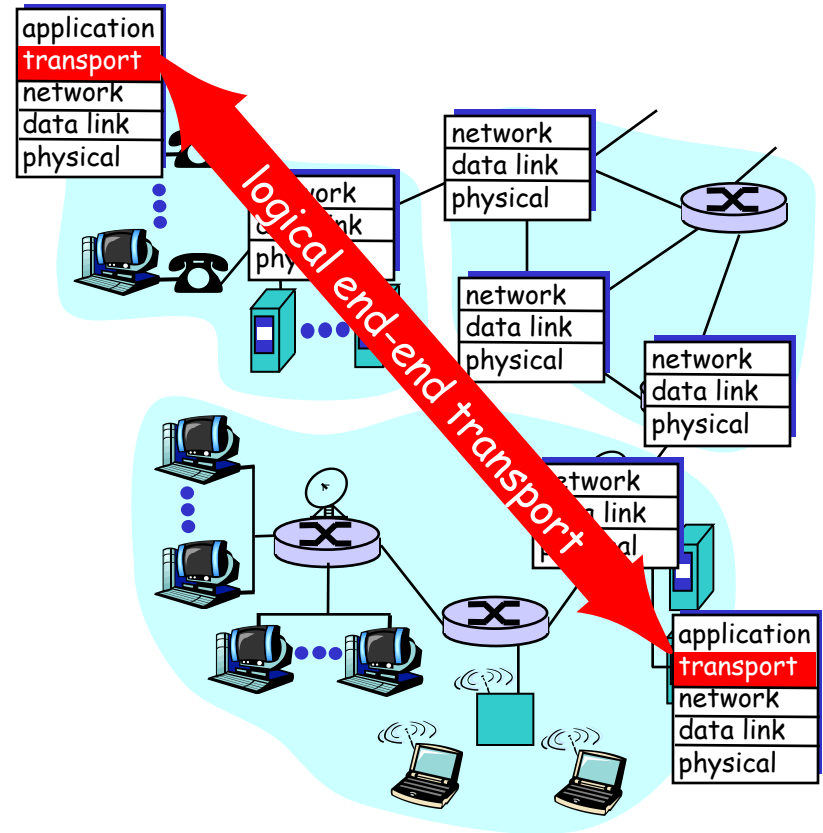
# Outline

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- ❑ Admin and recap
- Overview of transport layer
- ❑ UDP
- ❑ Reliable data transfer, the stop-and-go protocols

# Overview: Transport Layer

- ❑ Provide *logical communication* between app' processes
- ❑ Transport protocols run in end systems
  - send side: breaks app messages into *segments*, passes to network layer
  - rcv side: reassembles segments into messages, passes to app layer
- ❑ *Transport vs. network layer services:*
  - *Network layer:* data transfer between end systems
  - *Transport layer:* data transfer between processes
    - relies on, enhances network layer services



# Transport Layer Services and Protocols

- ❑ Reliable, in-order delivery (TCP)
  - multiplexing
  - reliability and connection setup
  - congestion control
  - flow control
  
- ❑ Unreliable, unordered delivery: UDP
  - multiplexing
  
- ❑ Services not available:
  - delay guarantees
  - bandwidth guarantees

# Transport Layer: Road Ahead

- ❑ Class 1 (today):
  - transport layer services
  - connectionless transport: UDP
  - reliable data transfer using stop-and-wait/alternating-bit protocol
- ❑ Class 2 (Nov. 6; ready for PS4/part 1):
  - sliding window reliability (ready for PS4/part 1 initial part) [revised]
  - TCP reliability
    - overview of TCP
    - TCP RTT measurement
    - TCP connection management
- ❑ Class 3 (Nov. 8; ready for PS4/part 2):
  - principles of congestion control
  - TCP congestion control; AIMD; TCP Reno, QUIC
- ❑ Class 4 (Nov. 13):
  - TCP Vegas, performance modeling; Nash Bargaining solution
- ❑ Class 5 (Nov. 15):
  - primal-dual as a resource allocation and analysis framework



# Outline

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- ❑ Admin and recap
- ❑ Overview of transport layer
  - UDP and error checking
- ❑ Reliable data transfer, the stop-and-go protocols

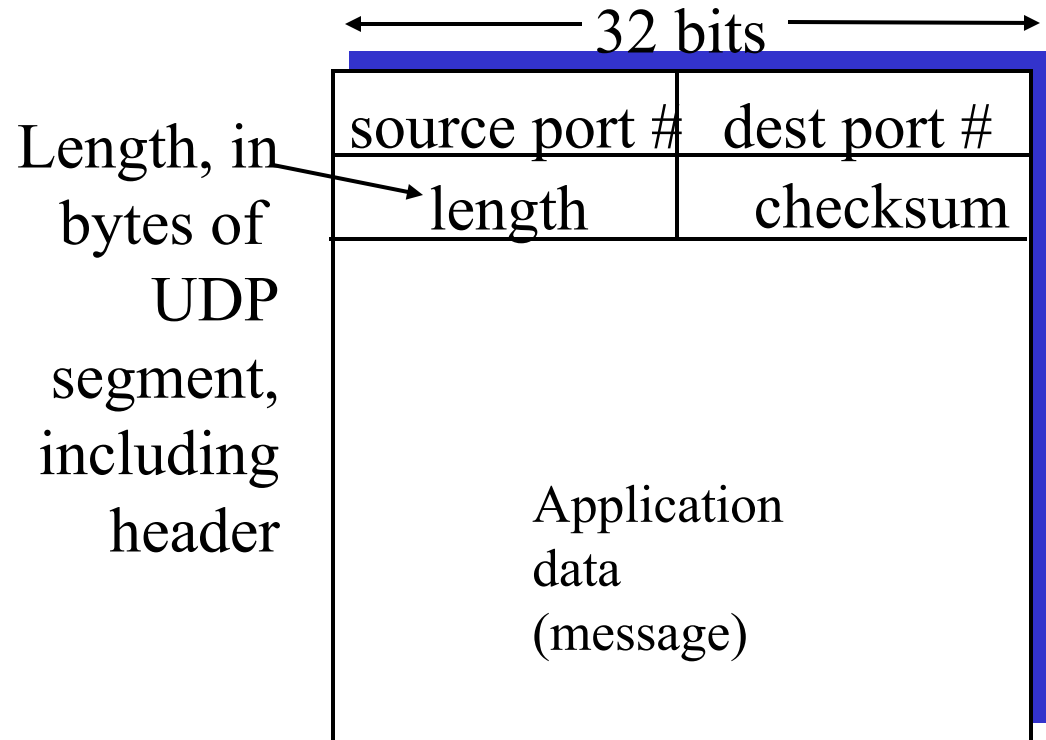
# UDP: User Datagram Protocol [RFC 768]

- ❑ Often used for streaming multimedia apps

- loss tolerant
- rate sensitive

- ❑ Other UDP uses

- DNS
- SNMP



UDP segment format

# UDP Checksum

Goal: end-to-end detection of “errors” (e.g., flipped bits) in transmitted segment

## Sender:

- ❑ treat segment contents as sequence of 16-bit integers
- ❑ checksum: addition of segment contents to be zero
- ❑ sender puts checksum value into UDP checksum field

## Receiver:

- ❑ compute checksum of received segment
- ❑ compute sum of segment and checksum; check if sum zero
  - NO - error detected
  - YES - no error detected.  
*But maybe errors nonetheless?*

# One's Complement Arithmetic

- ❑ UDP checksum is based on one's complement arithmetic
  - one's complement was a common representation of **signed** numbers in early computers
- ❑ One's complement representation
  - bit-wise NOT for negative numbers
  - example: assume 8 bits
    - 00000000: 0
    - 00000001: 1
    - 01111111: 127
    - 10000000: ?
    - 11111110: ?
    - 11111111: ?
  - addition: conventional binary addition except adding any resulting carry back into the resulting sum (try  $-1 + 2$ )

# UDP Checksum: Algorithm

## □ Example checksum:

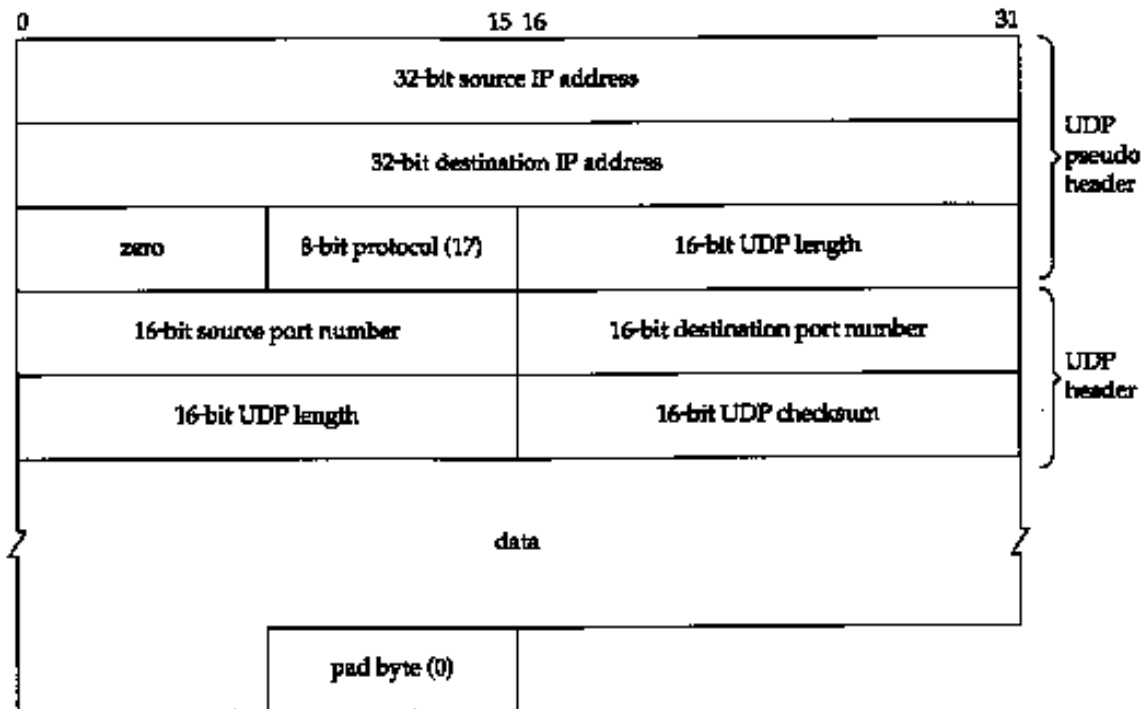
	1	1	1	0	0	1	1	0	0	1	1	0	0	1	1	0
	1	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1
<hr/>																
wraparound	1	1	0	1	1	1	0	1	1	1	0	1	1	1	0	1
<hr/>																
sum	1	0	1	1	1	0	1	1	1	0	1	1	1	1	0	0
checksum	0	1	0	0	0	1	0	0	0	1	0	0	0	0	1	1

- For fast implementation of computing UDP checksum, see <http://www.faqs.org/rfcs/rfc1071.html>

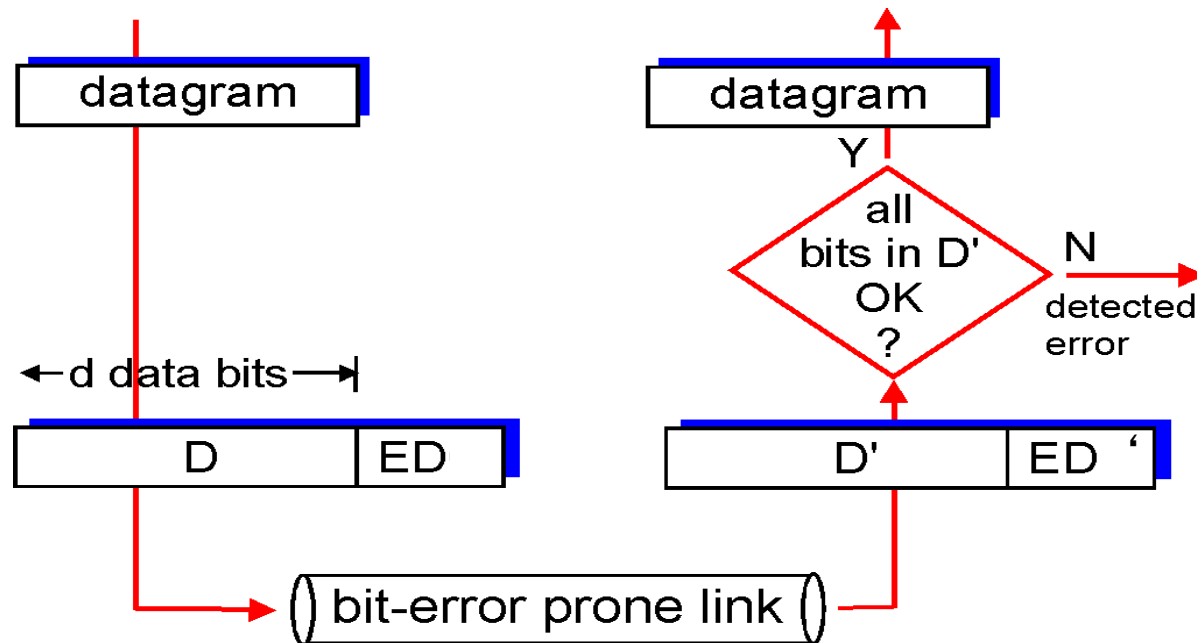
# UDP Checksum: Coverage

Calculated over:

- ❑ A pseudo-header
  - IP Source Address (4 bytes)
  - IP Destination Address (4 bytes)
  - Protocol (2 bytes)
  - UDP Length (2 bytes)
- ❑ UDP header
- ❑ UDP data



# General Error Detection (Checksum)



**D** = Data protected by error checking, may include header fields  
**ED** = Error Detection bits (redundancy)

- Error detection not 100% reliable!
  - a good error detector may miss some errors, but rarely
  - larger ED field generally yields better detection

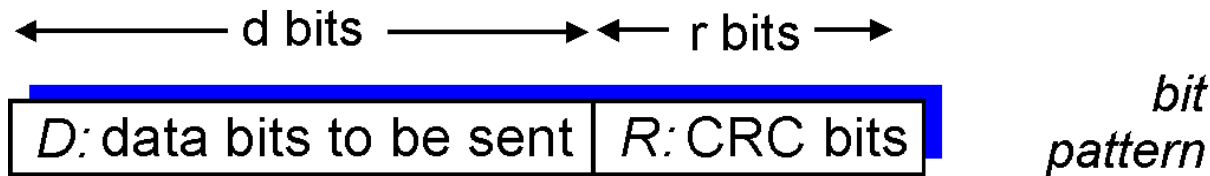
# Cyclic Redundancy Check: Background

- ❑ Widely used in practice, e.g.,
  - Ethernet, DOCSIS (Cable Modem), FDDI, PKZIP, WinZip, PNG
- ❑ For a given data **D**, consider it as a polynomial  $D(x)$ 
  - consider the string of 0 and 1 as the coefficients of a polynomial
    - e.g. consider string 10011 as  $x^4+x+1$
  - addition and subtraction are modular 2, thus the same as xor
- ❑ Choose generator polynomial  **$G(x)$**  with  $r+1$  bits, where  $r$  is called the **degree** of  $G(x)$



# Cyclic Redundancy Check: Encode

- Given  $G(x)$  and  $D(x)$ , choose  $R(x)$  with  $r$  bits, such that
  - $D(x)x^r + R(x)$  is exactly divisible by  $G(x)$

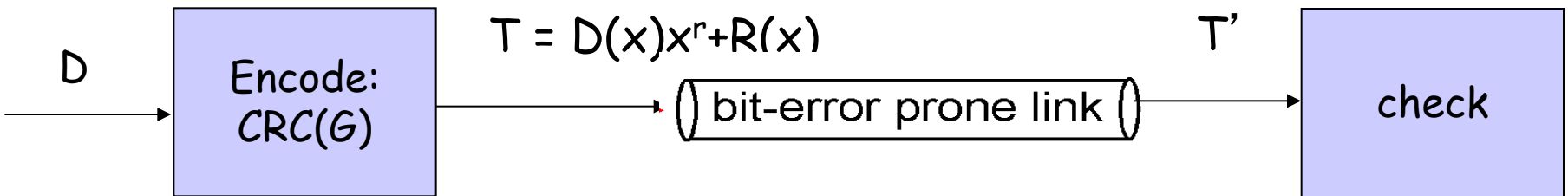


$$D * x^r + R$$

*mathematical formula*

- The bits correspond to  $D(x)x^r + R(x)$  are sent to the receiver

# Cyclic Redundancy Check: Decode



- ❑ Since  $G(x)$  is global, when the receiver receives the transmission  $T'(x)$ , it divides  $T'(x)$  by  $G(x)$ 
  - if non-zero remainder: error detected!
  - if zero remainder, assumes no error

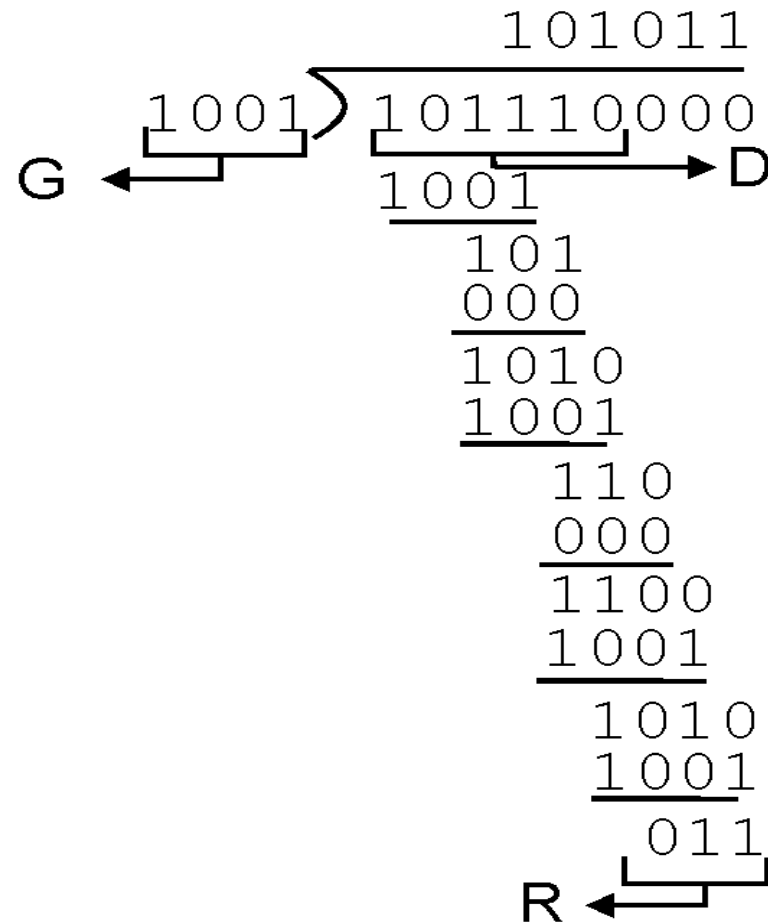
# CRC: Steps and an Example

Suppose the degree of  $G(x)$  is  $r$

Append  $r$  zero to  $D(x)$ , i.e. consider  $D(x)x^r$

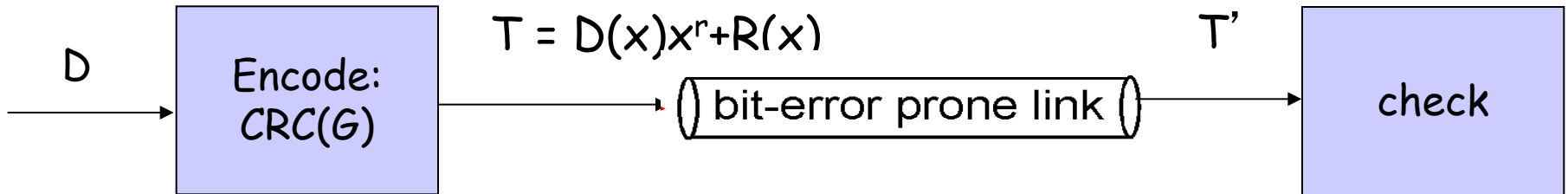
Divide  $D(x)x^r$  by  $G(x)$ . Let  $R(x)$  denote the remainder

Send  $\langle D, R \rangle$  to the receiver



# The Power of CRC

- Let  $T(x)$  denote  $D(x)x^r + R(x)$ , and  $E(x)$  **the polynomial of the error bits**
  - the received signal is  $T'(x) = T(x) + E(x)$



- Since  $T(x)$  is divisible by  $G(x)$ , we only need to consider if  $E(x)$  is divisible by  $G(x)$

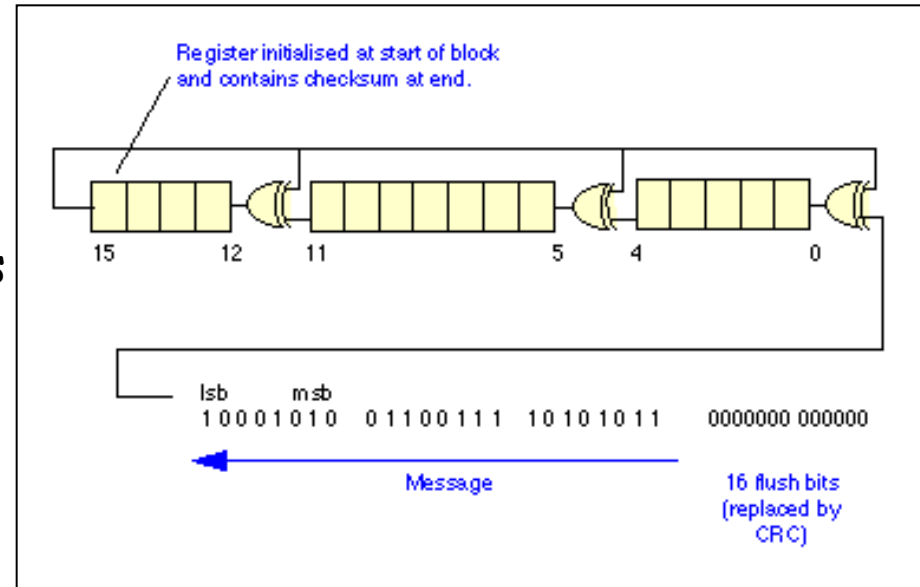
# The Power of CRC

- ❑ Detect a single-bit error:  $E(x) = x^i$ 
  - if  $G(x)$  contains two or more terms,  $E(x)$  is not divisible by  $G(x)$
- ❑ Detect an odd number of errors:  $E(x)$  has an odd number of terms:
  - lemma: if  $E(x)$  has an odd number of terms,  $E(x)$  cannot be divisible by  $(x+1)$ 
    - suppose  $E(x) = (x+1)F(x)$ , let  $x=1$ , the left hand will be 1, while the right hand will be 0
  - thus if  $G(x)$  contains  $x+1$  as a factor,  $E(x)$  will not be divided by  $G(x)$
- ❑ Many more errors can be detected by designing the right  $G(x)$

# Example $G(x)$

## ■ 16 bits CRC:

- CRC-16:  $x^{16}+x^{15}+x^2+1$ ,  
CRC-CCITT:  $x^{16}+x^{12}+x^5+1$
- both can catch
  - all single or double bit errors
  - all odd number of bit errors
  - all burst errors of length 16 or less
  - >99.99% of the 17 or 18 bits burst errors



CRC-16 hardware implementation  
Using shift and XOR registers

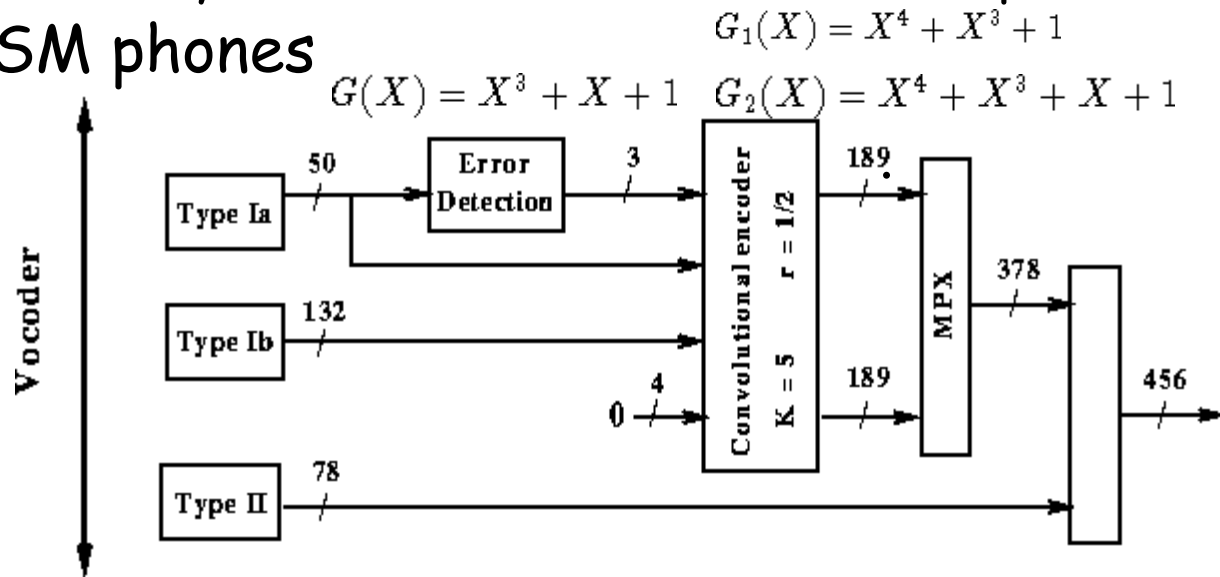
<http://en.wikipedia.org/wiki/CRC-32#Implementation>

# Example $G(x)$

## □ 32 bits CRC:

- $CRC32: x^{32} + x^{26} + x^{23} + x^{22} + x^{16} + x^{12} + x^{11} + x^{10} + x^8 + x^7 + x^5 + x^4 + x^2 + x + 1$
- used by Ethernet, FDDI, PKZIP, WinZip, and PNG

## □ GSM phones



- For more details see the link below and further links it contains:
  - [http://en.wikipedia.org/wiki/Cyclic\\_redundancy\\_check](http://en.wikipedia.org/wiki/Cyclic_redundancy_check)

# Outline

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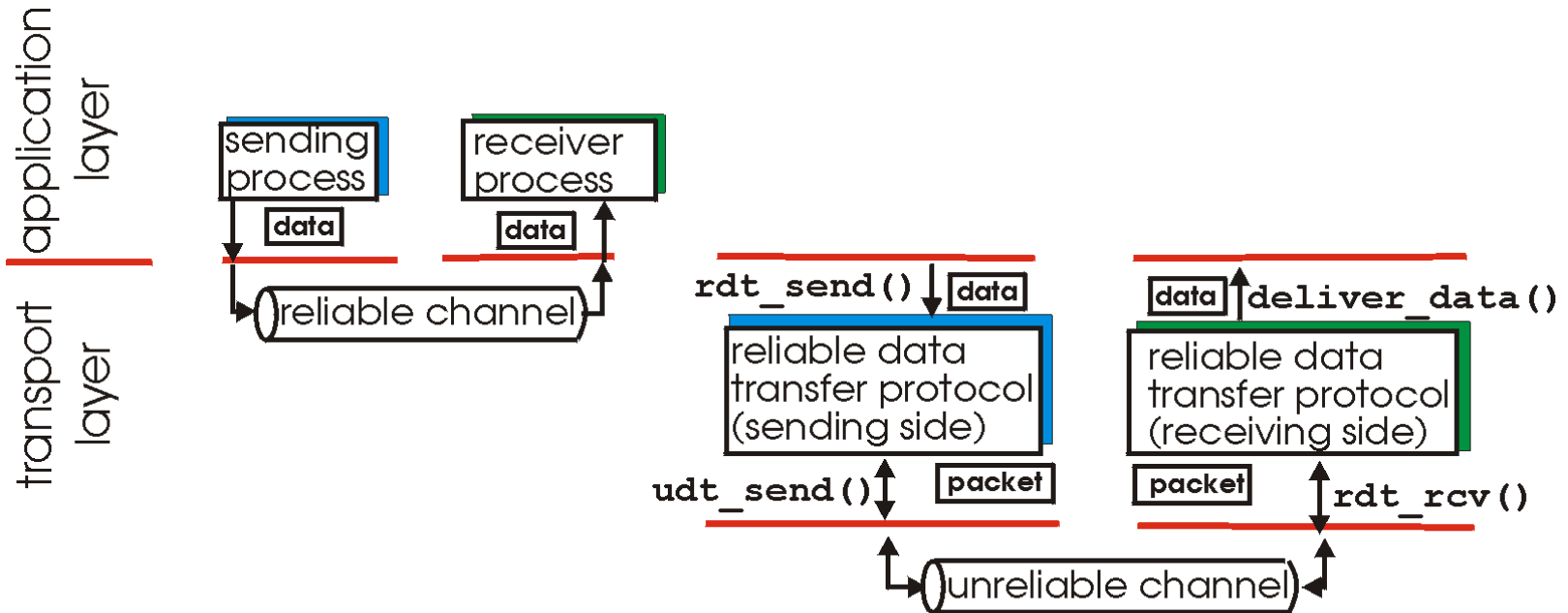
- ❑ Admin and recap
- ❑ Overview of transport layer
- ❑ UDP and error checking
- Reliable data transfer



# Principles of Reliable Data Transfer (RDT)

- ❑ Important in application, transport, link layers
- ❑ Foundation to other protocols
- ❑ A good example on the design and analysis of low-level distributed protocols
  - Driven by more complex **protocol finite-state machines** than typical application layer protocol finite-state machines

# Reliable Data Transfer: Abstraction



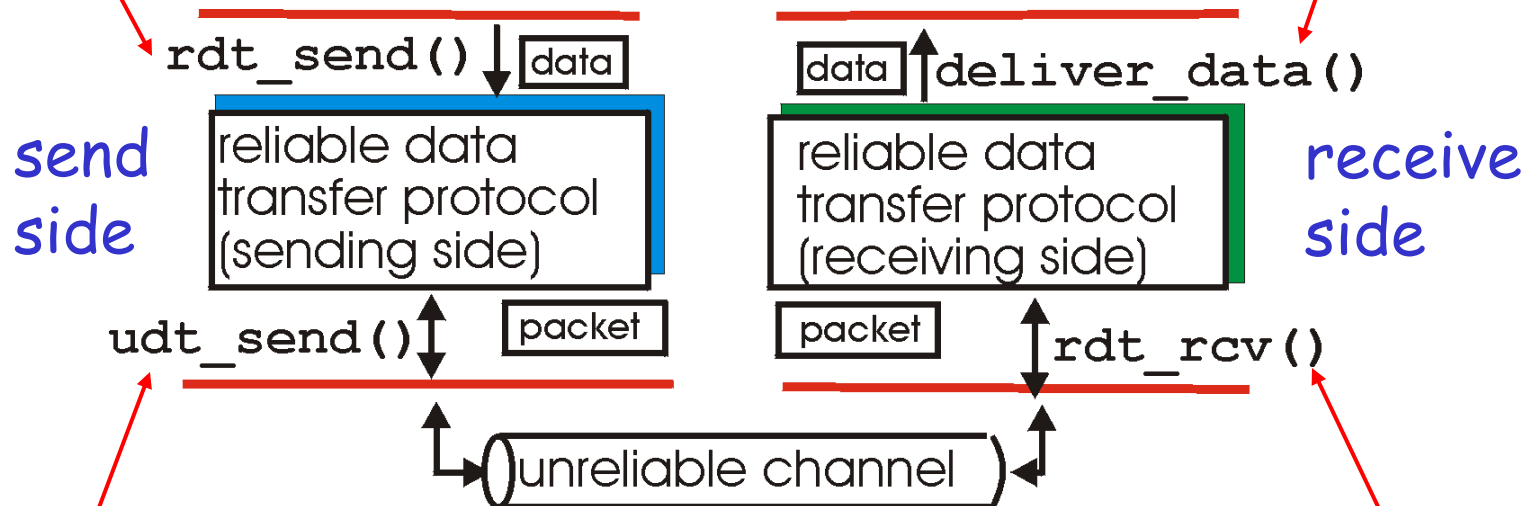
(a) provided service

(b) service implementation

# Reliable Data Transfer: Context

**rdt\_send()** : called from above,  
(e.g., by app.)

**deliver\_data()** : called by  
rdt to deliver data to upper



**udt\_send()** : called by rdt,  
to transfer packet over  
unreliable channel to receiver

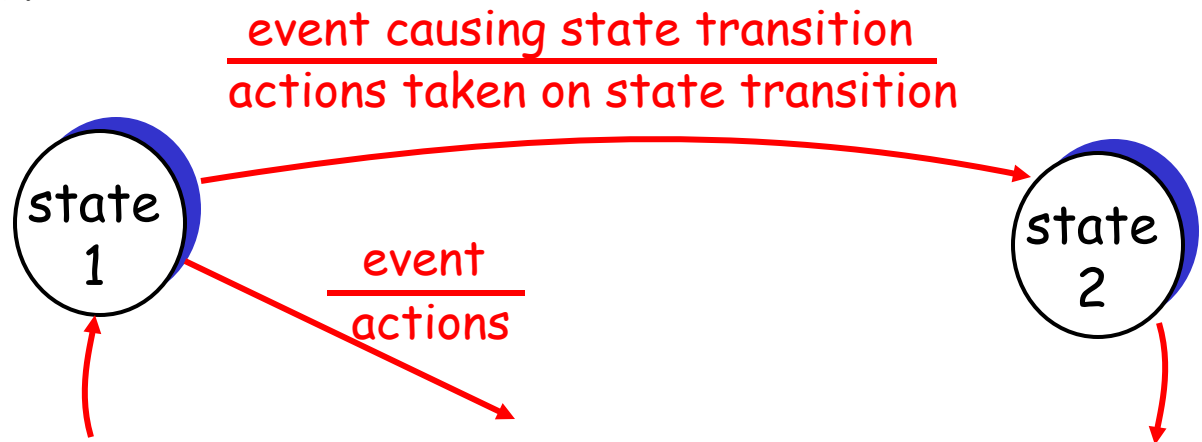
**rdt\_rcv()** : called from below;  
when packet arrives on rcv-side of  
channel

# Reliable Data Transfer: Getting Started

**We' ll:**

- incrementally develop sender, receiver sides of reliable data transfer protocol (rdt)
- consider only unidirectional data transfer
  - but control info will flow on both directions !
- use **finite state machines (FSM)** to specify sender, receiver

**state:** when in this "state" next state uniquely determined by next event



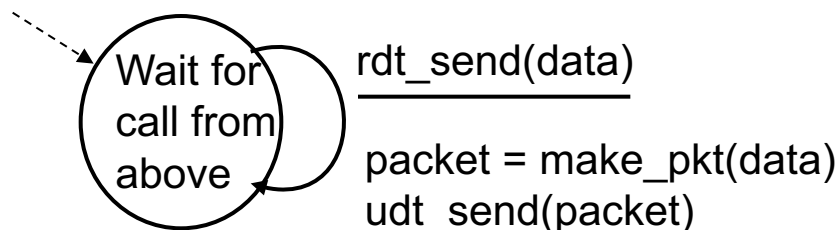
# Outline

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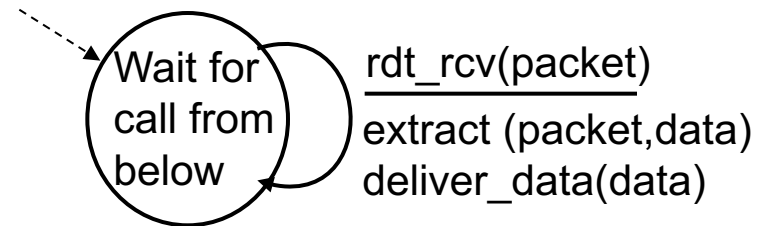
- ❑ Admin and review
- ❑ Overview of transport layer
- ❑ UDP and error checking
- Reliable data transfer
  - perfect channel

# Rdt1.0: reliable transfer over a reliable channel

- separate FSMs for sender, receiver:
  - sender sends data into underlying channel
  - receiver reads data from underlying channel



sender



receiver

Discussion: Correctness requirements of Rdt1.0.

# Execution Traces as a Technique to Understand Protocols

- ❑ Execution traces: all possible executions, including both sender and receiver side events
- ❑ Rdt1.0 trace
  - <S data1> <R data1>
  - <S data2> <R data2>
  - ....

# Potential Channel Errors

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- ❑ bit errors
- ❑ loss (drop) of packets
- ❑ reordering or duplication

Characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt).



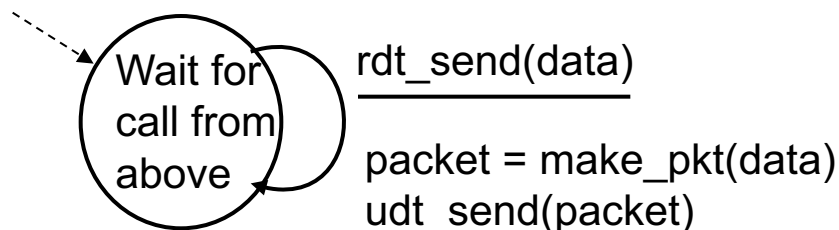
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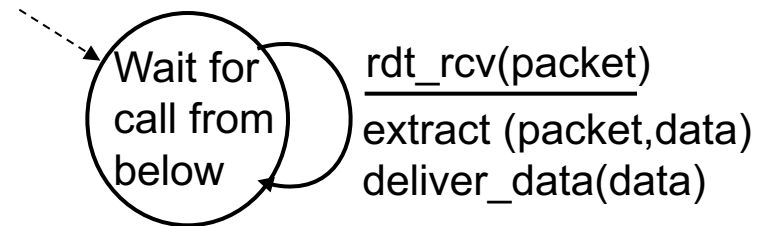
- ❑ Admin and recap
- ❑ Overview of transport layer
- ❑ UDP and error checking
- Reliable data transfer
  - perfect channel
  - channel with bit errors

# rdt2.0: Channel With Bit Errors

- Assume: Underlying channel **may only flip bits** in packet



**sender**



**receiver**

Exercise: What correctness requirement(s) rdt1.0 cannot provide?

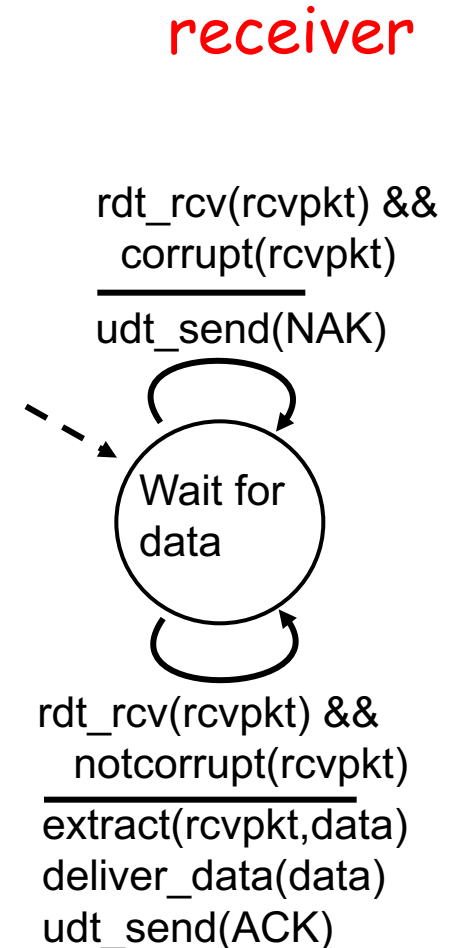
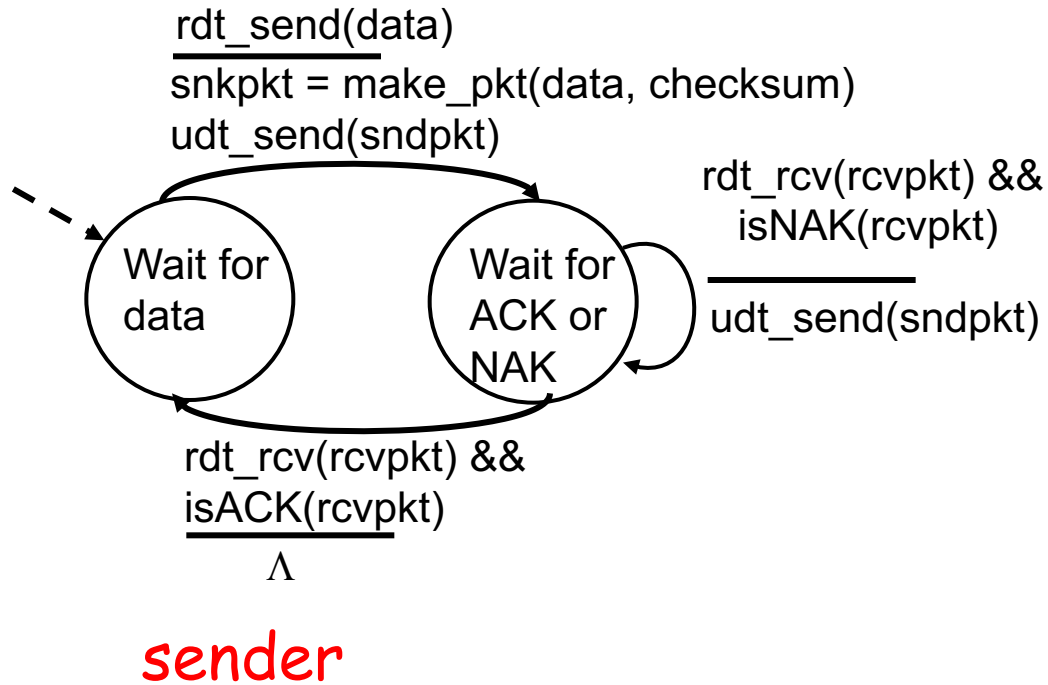
# Rdt1.0 Execution Traces with Bit Errors

□ <S data1> <R data1>|<R data1^>  
    <S data2> <R data2>|<R data2^>  
    ....

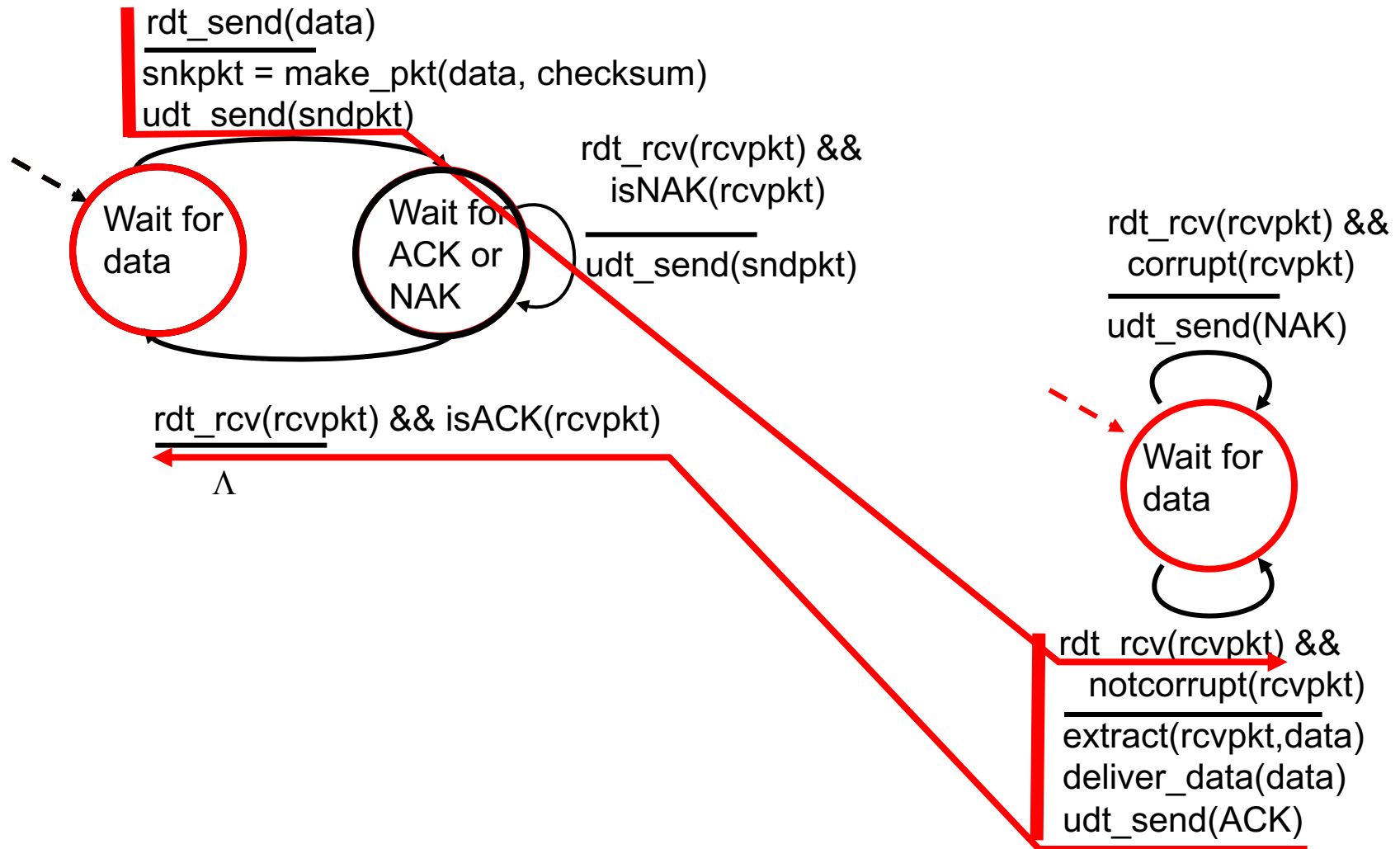
# rdt2.0: Channel With Bit Errors

- ❑ New mechanisms in `rdt2.0` (beyond `rdt1.0`):
  - receiver error detection: recall: UDP checksum/Ethernet CRC detects bit errors
  - receiver feedback: control msgs (ACK,NAK)  
rcvr->sender
    - *acknowledgements (ACKs)*: receiver explicitly tells sender that pkt received OK
    - *negative acknowledgements (NAKs)*: receiver explicitly tells sender that pkt had errors
  - sender retransmission
    - sender retransmits pkt on receipt of NAK

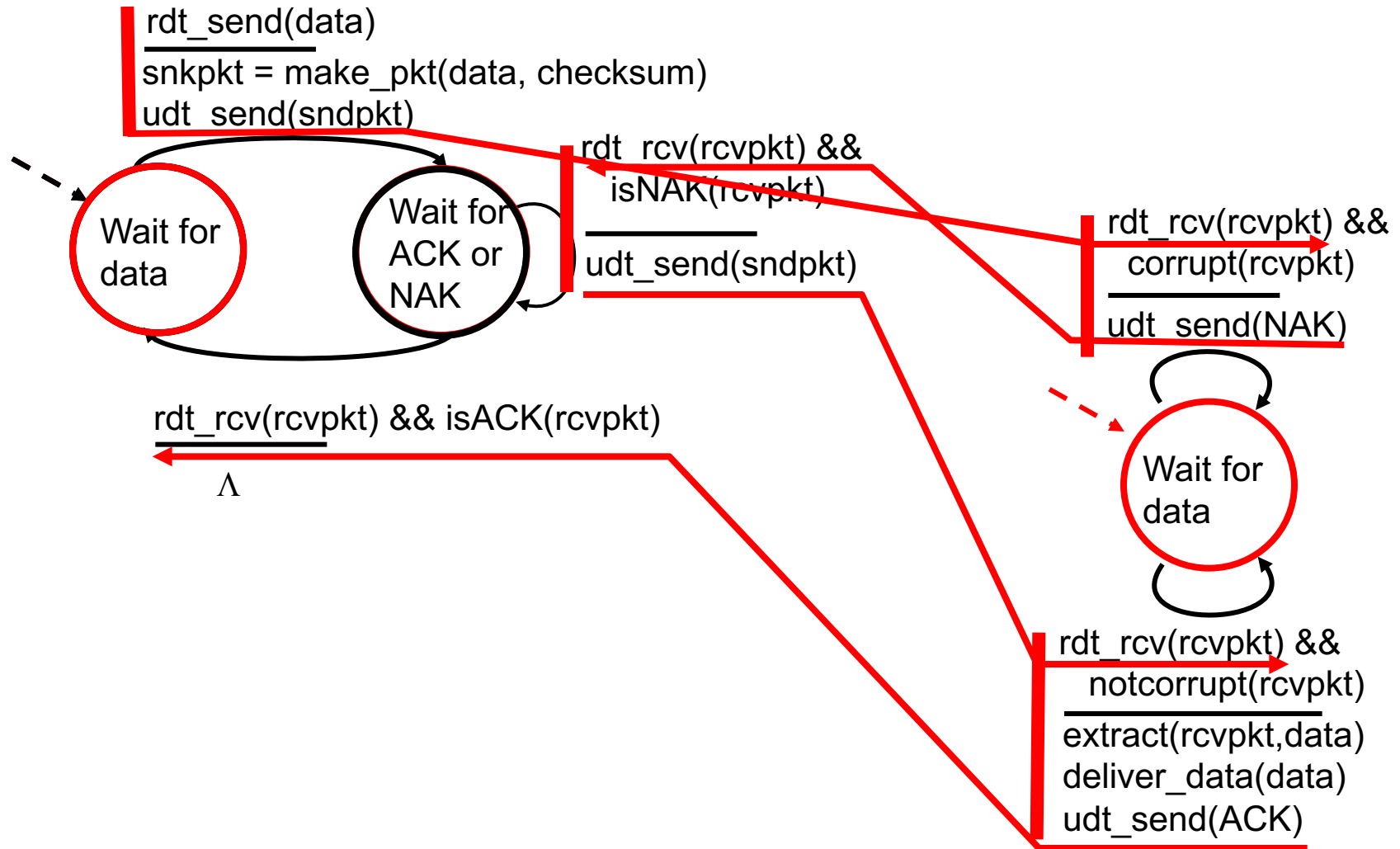
# rdt2.0: FSM Specification



# rdt2.0: Operation with No Errors

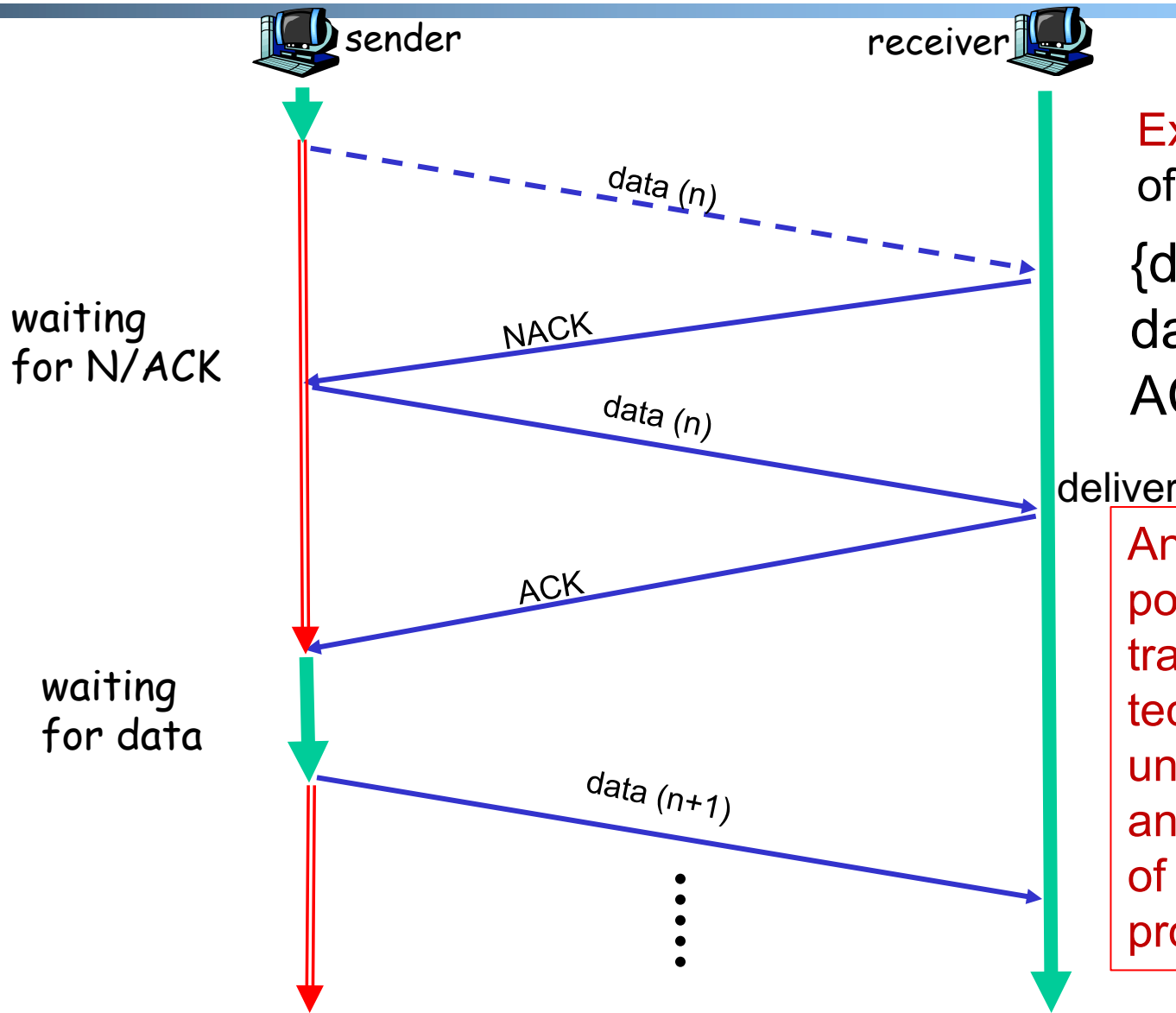


# rdt2.0: Error Scenario



# Rdt2.0 Analysis

$\text{data}^\wedge: \langle S \text{ data} \rangle \langle R \text{ data}' \rangle$   
 $\text{data}: \langle S \text{ data} \rangle \langle R \text{ data} \rangle$



Execution traces  
of rdt2.0:  
 $\{\text{data}^\wedge \text{ NACK}\}^*$   
data deliver  
ACK

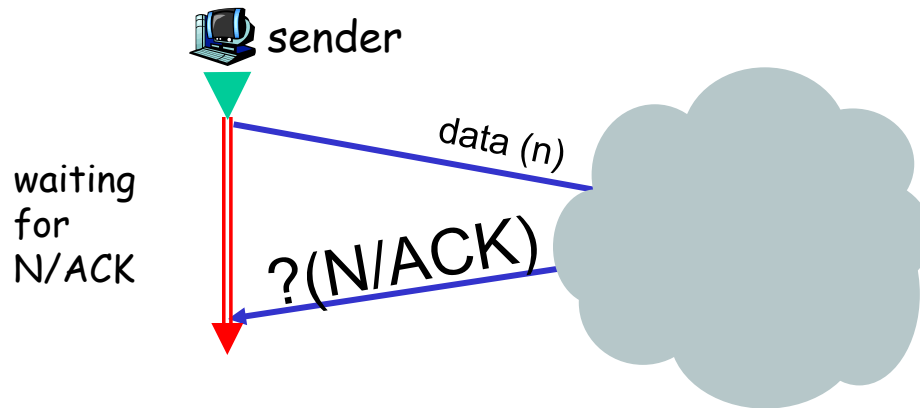
Analyzing set of all  
possible execution  
traces is a common  
technique to  
understand and  
analyze many types  
of distributed  
protocols.



# rdt2.0 is Incomplete!

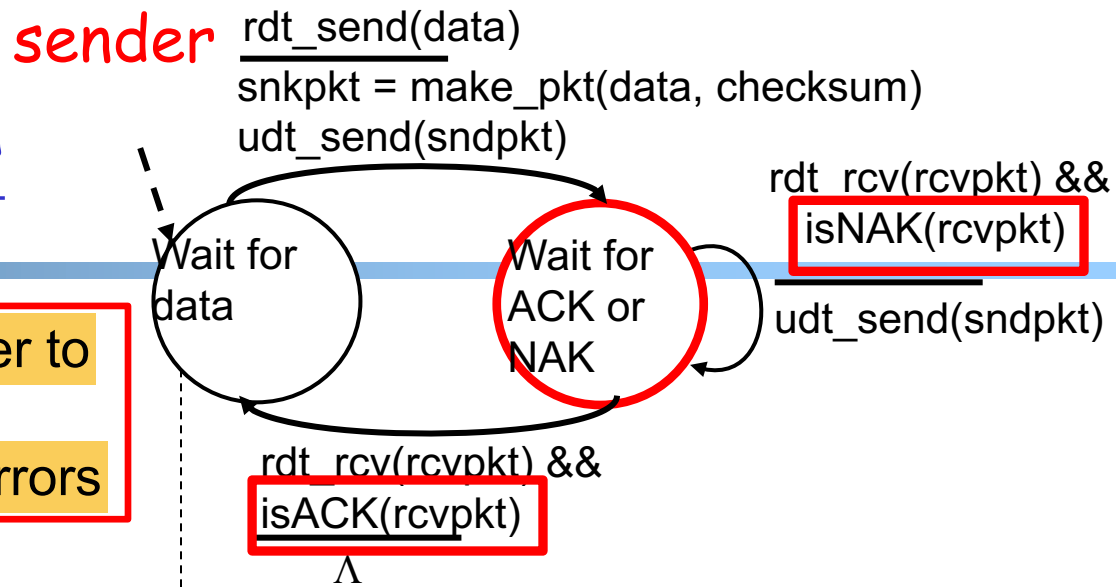
## What happens if ACK/NAK corrupted?

- Although sender receives feedback, but doesn't know what happened at receiver!



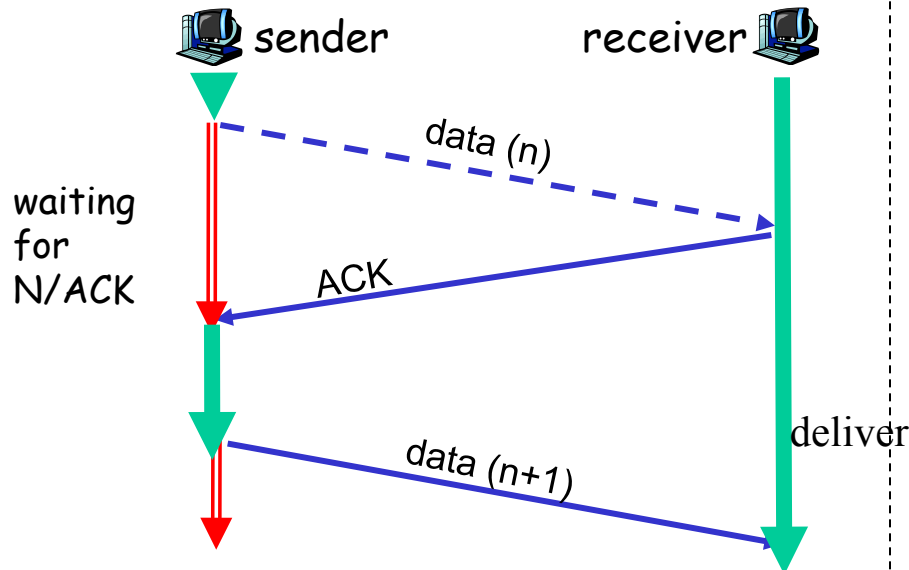
# Two Possibilities

Comment: It is always harder to deal with control message errors than data message errors



sender guess ACK:

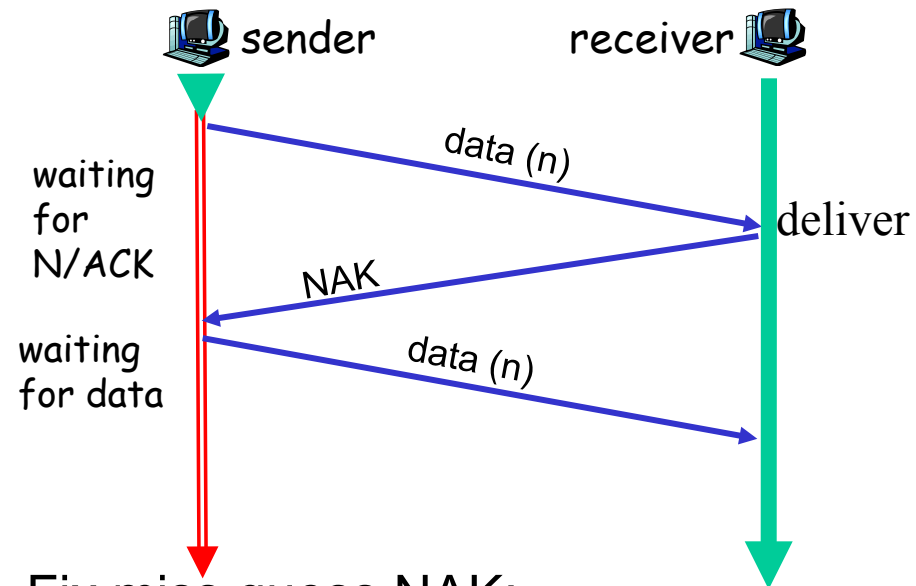
if wrong, missing pkt



Home exercise: fix miss guess ACK

sender guess NAK:

if wrong, duplicate pkt



Fix miss guess NAK:  
provide info for receiver to distinguish

# Handle Control Message Corruption

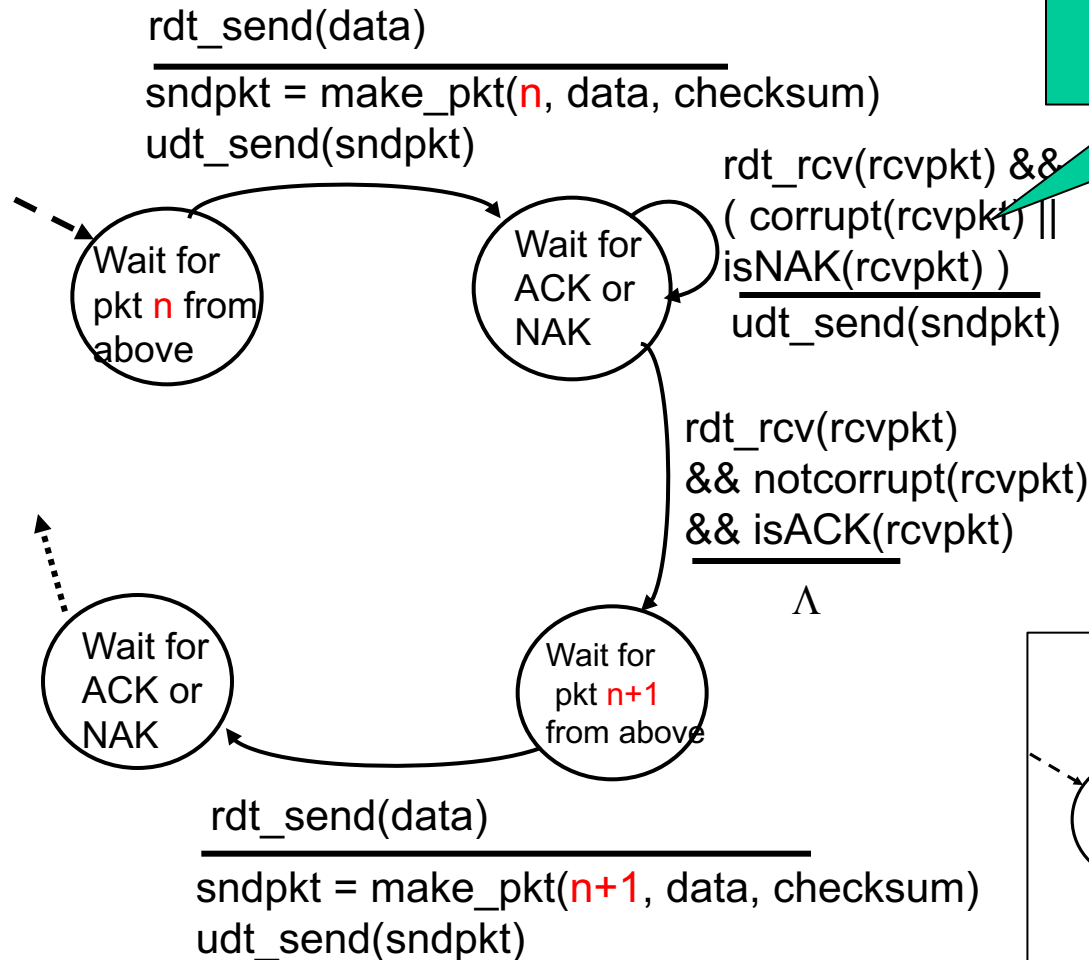
## Handling ambiguity:

- ❑ sender retransmits current pkt if ACK/NAK garbled
  - Assume NAK
- ❑ sender adds *sequence number* to each pkt
- ❑ receiver discards (doesn't deliver up) duplicate pkt
  - fix effect of wrong guess

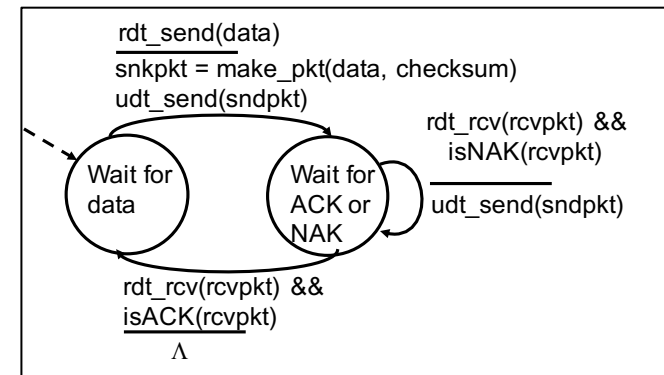
### stop and wait

sender sends one packet, then waits for receiver response

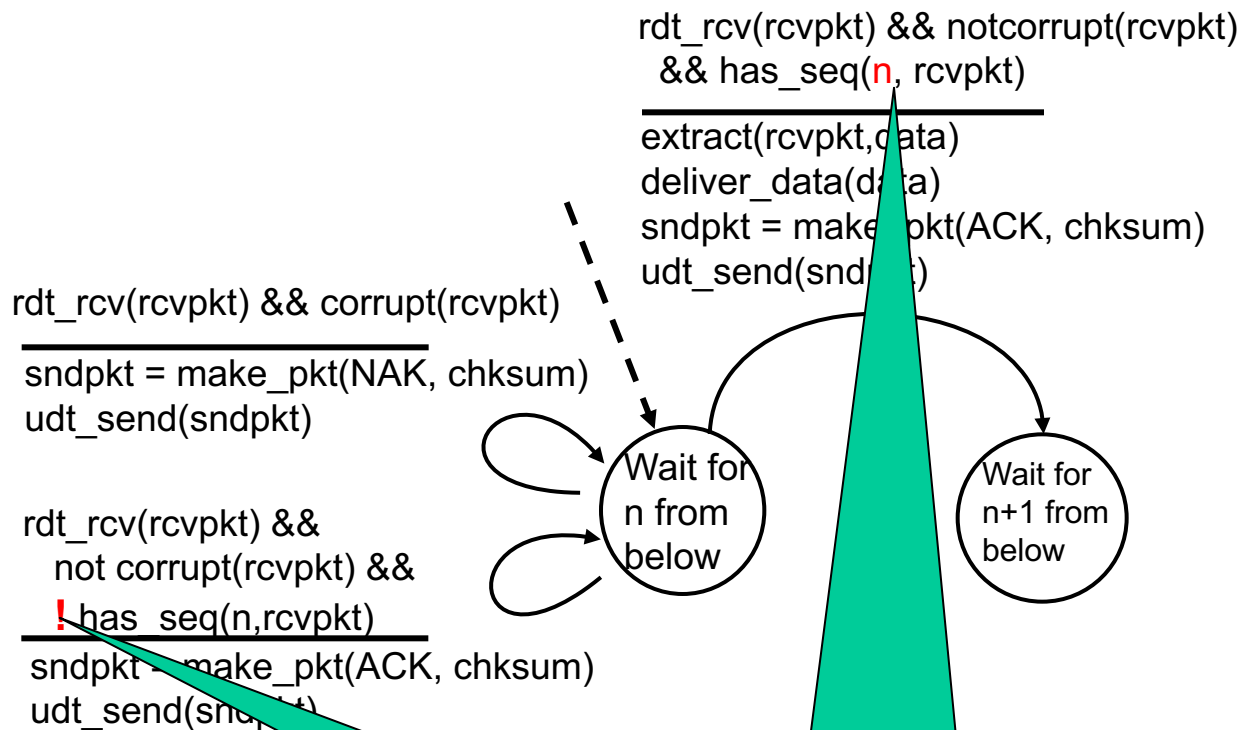
# rdt2.1b: Sender, Handles Garbled ACK/NAKs



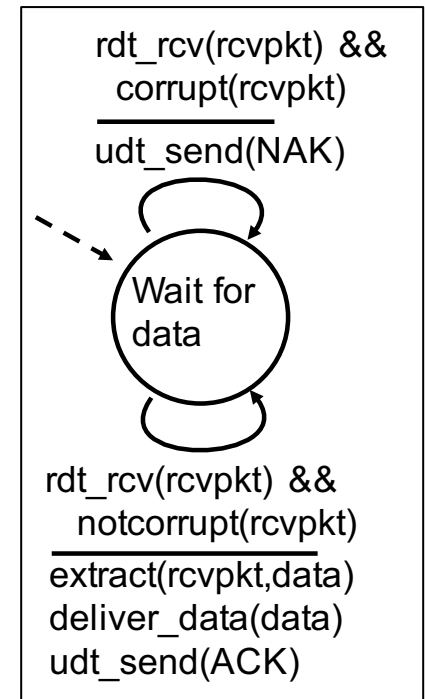
Guess garbled feedback as NAK



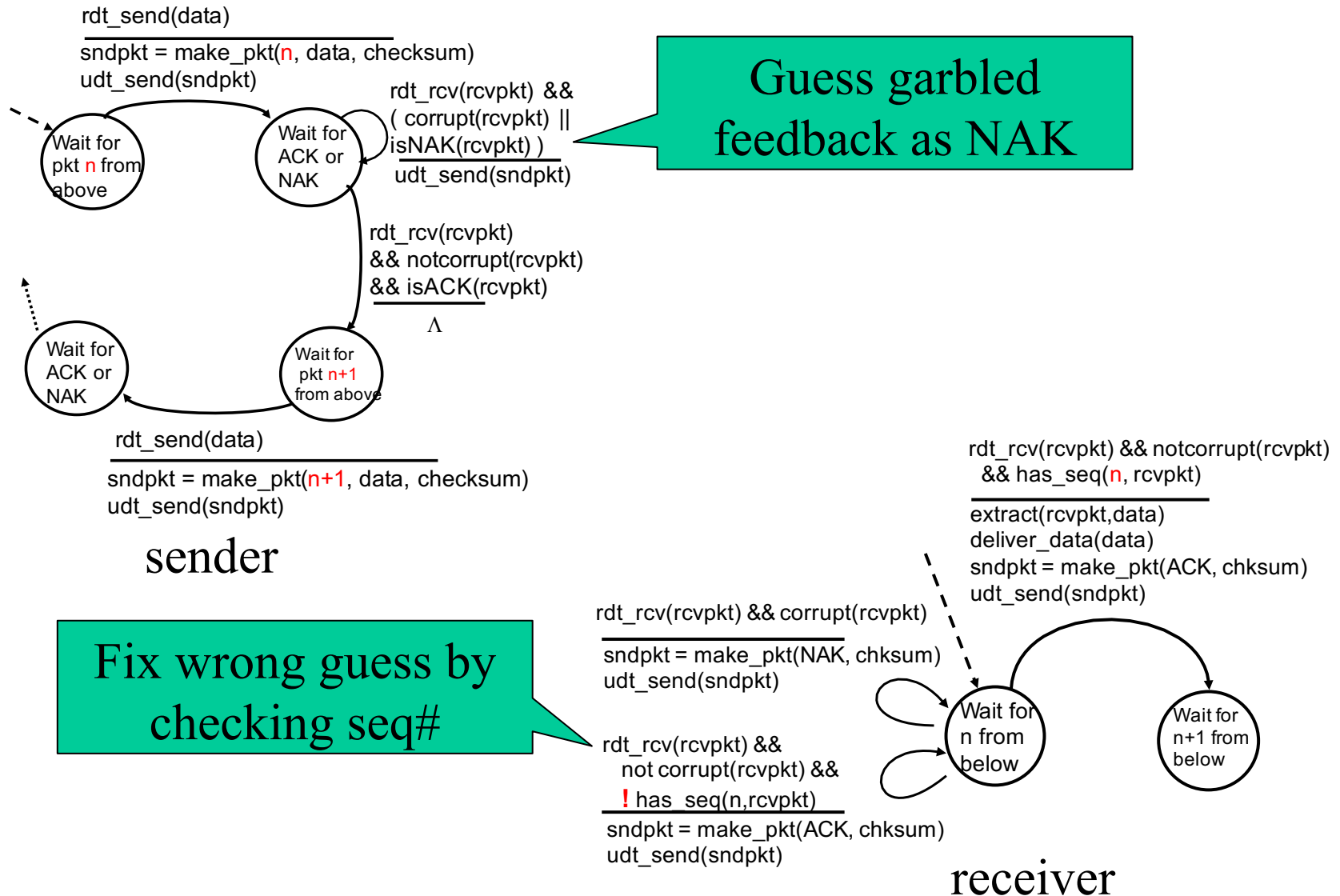
# rdt2.1b: Receiver, Handles Garbled ACK/NAKs



Detect sender wrong  
guess by checking seq#



# Summary: rdt2.1b: Reliability allowing Data/Control Msg Corruption



# rdt2.1b: Summary

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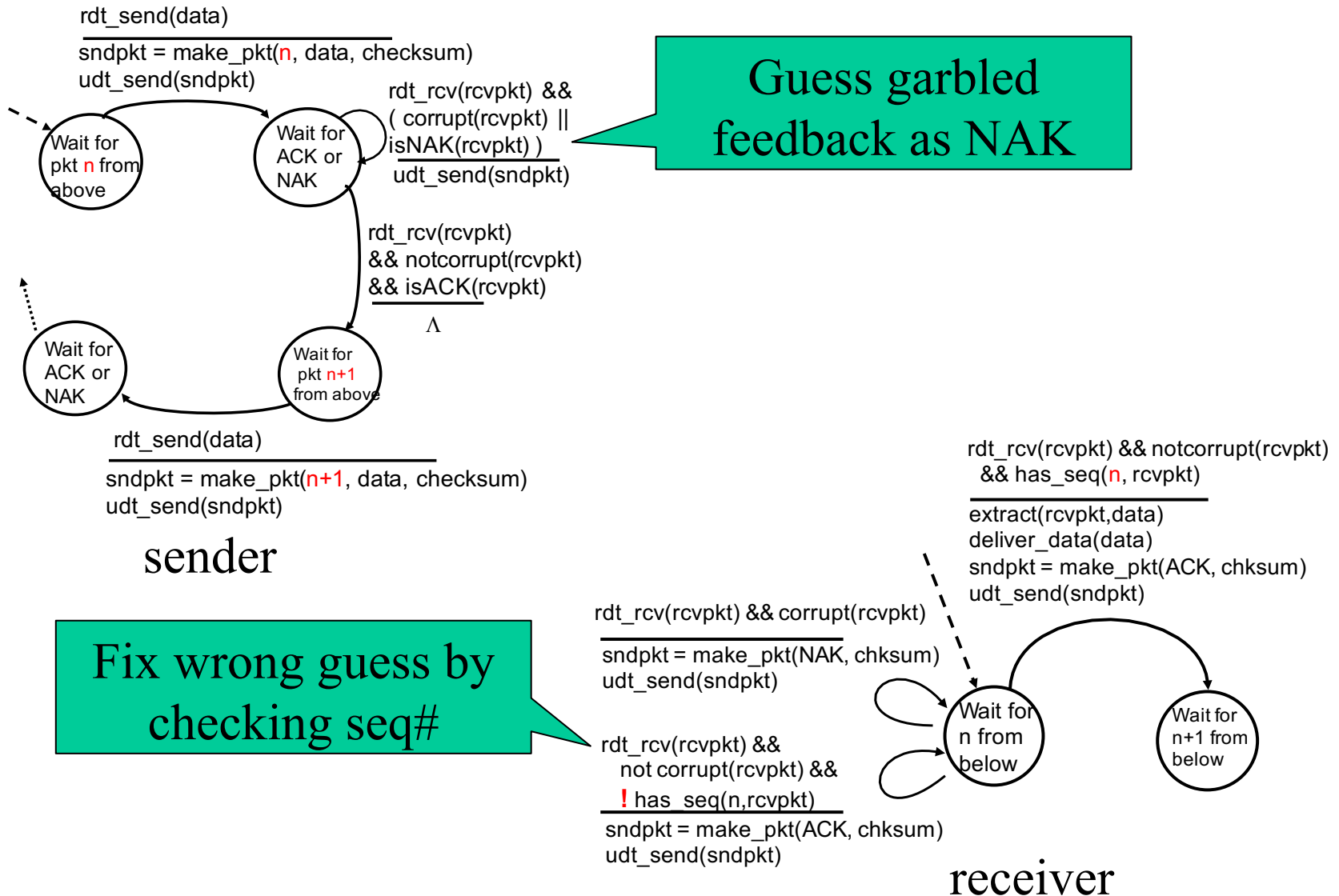
## Sender:

- ❑ seq # added to pkt
- ❑ must check if received ACK/NAK corrupted

## Receiver:

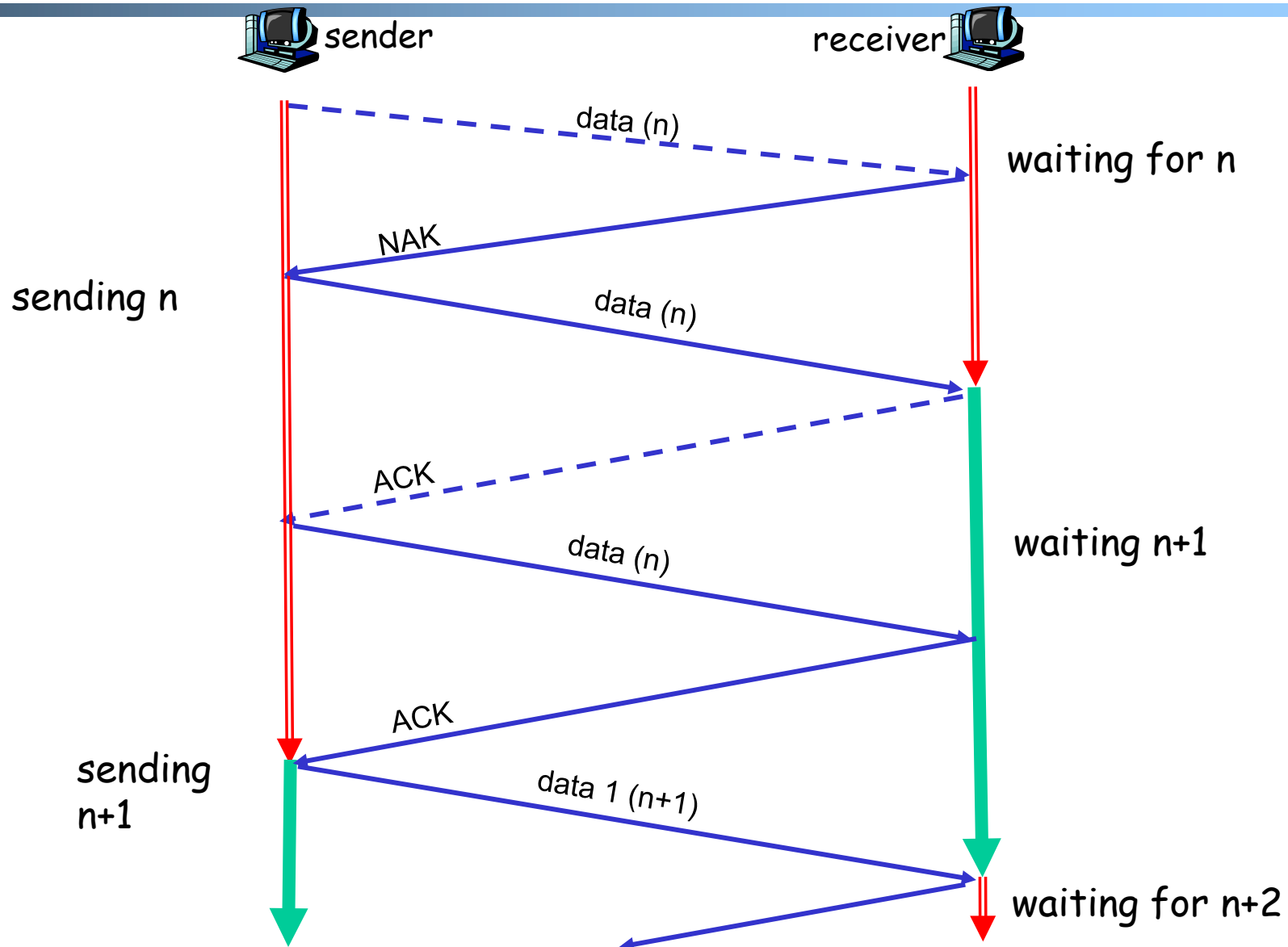
- ❑ must check if received packet is duplicate
  - by checking if the packet has the expected pkt seq #

# rdt2.1b Analysis: Execution Traces?





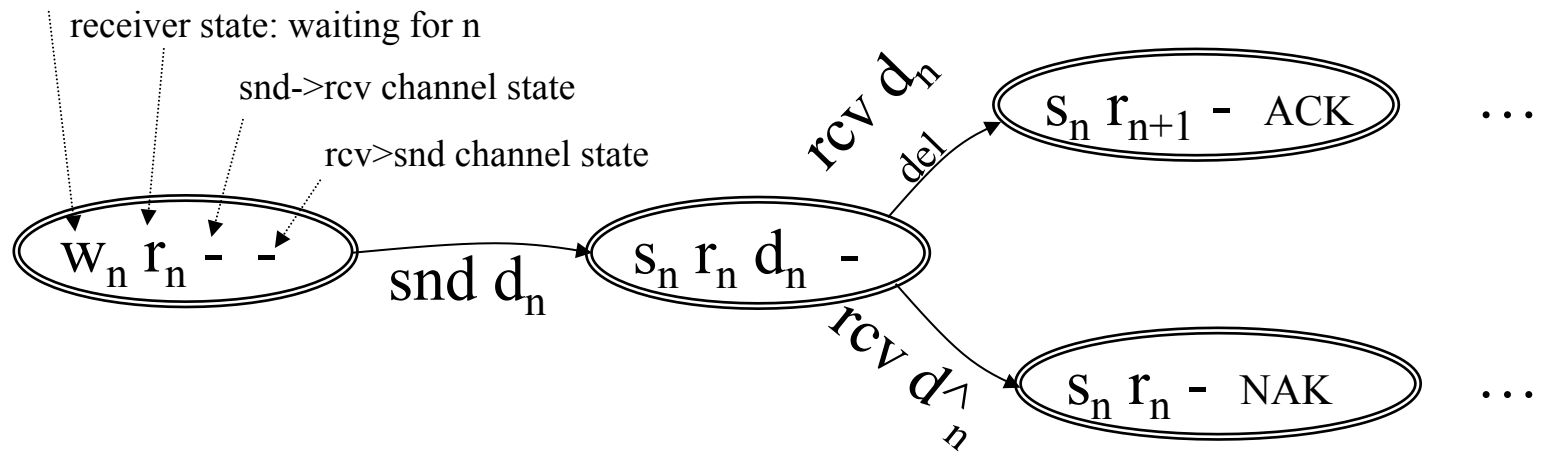
# rdt2.1b Analysis: Execution Traces?



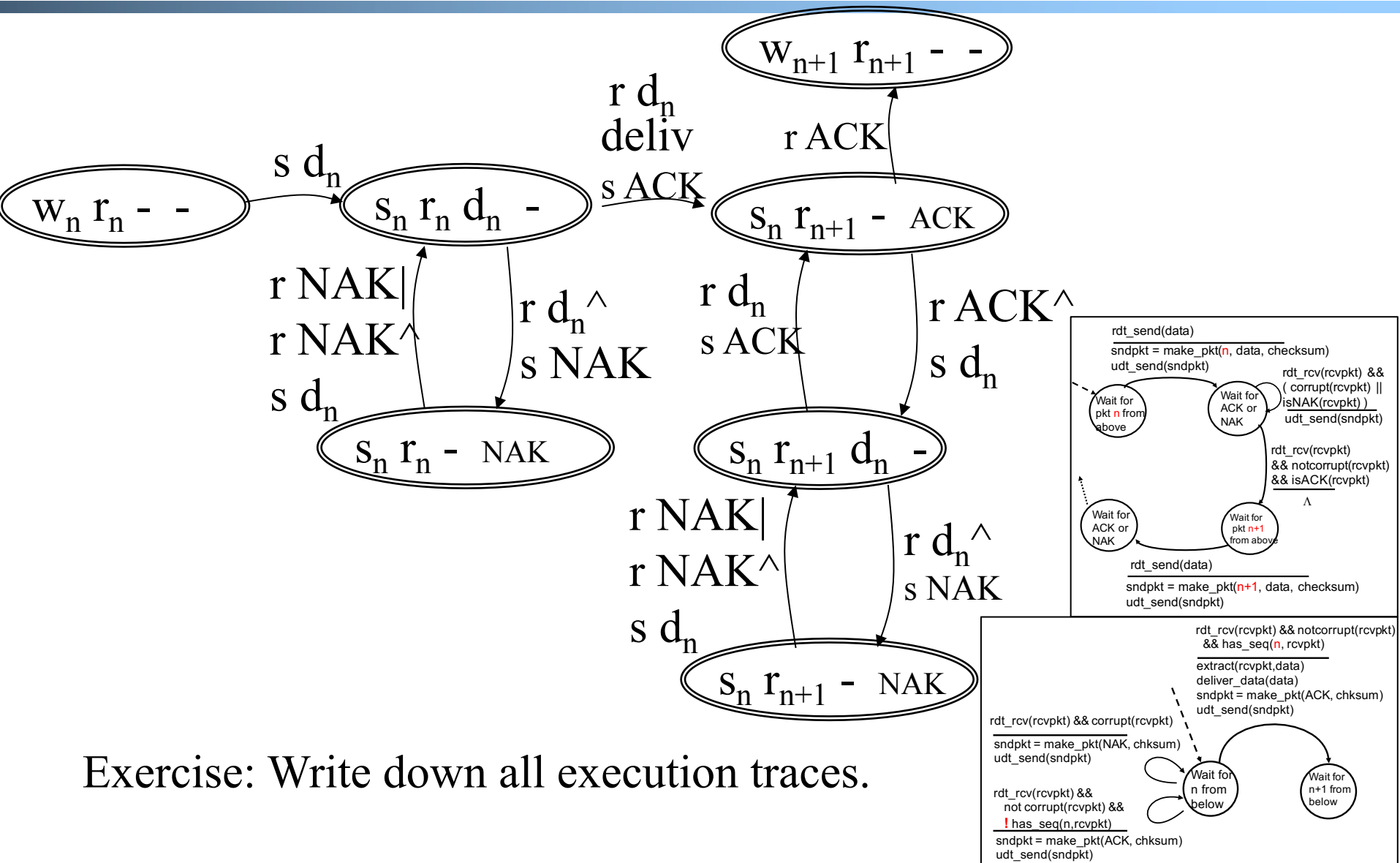
# Protocol Analysis using (Generic) Execution Traces Technique

- ❑ Issue: how to systematically enumerate all potential execution traces to understand and verify correctness
- ❑ A systematic approach to enumerating exec. traces is to compute **joint sender/receiver/channels state machine**

sender state: waiting for n

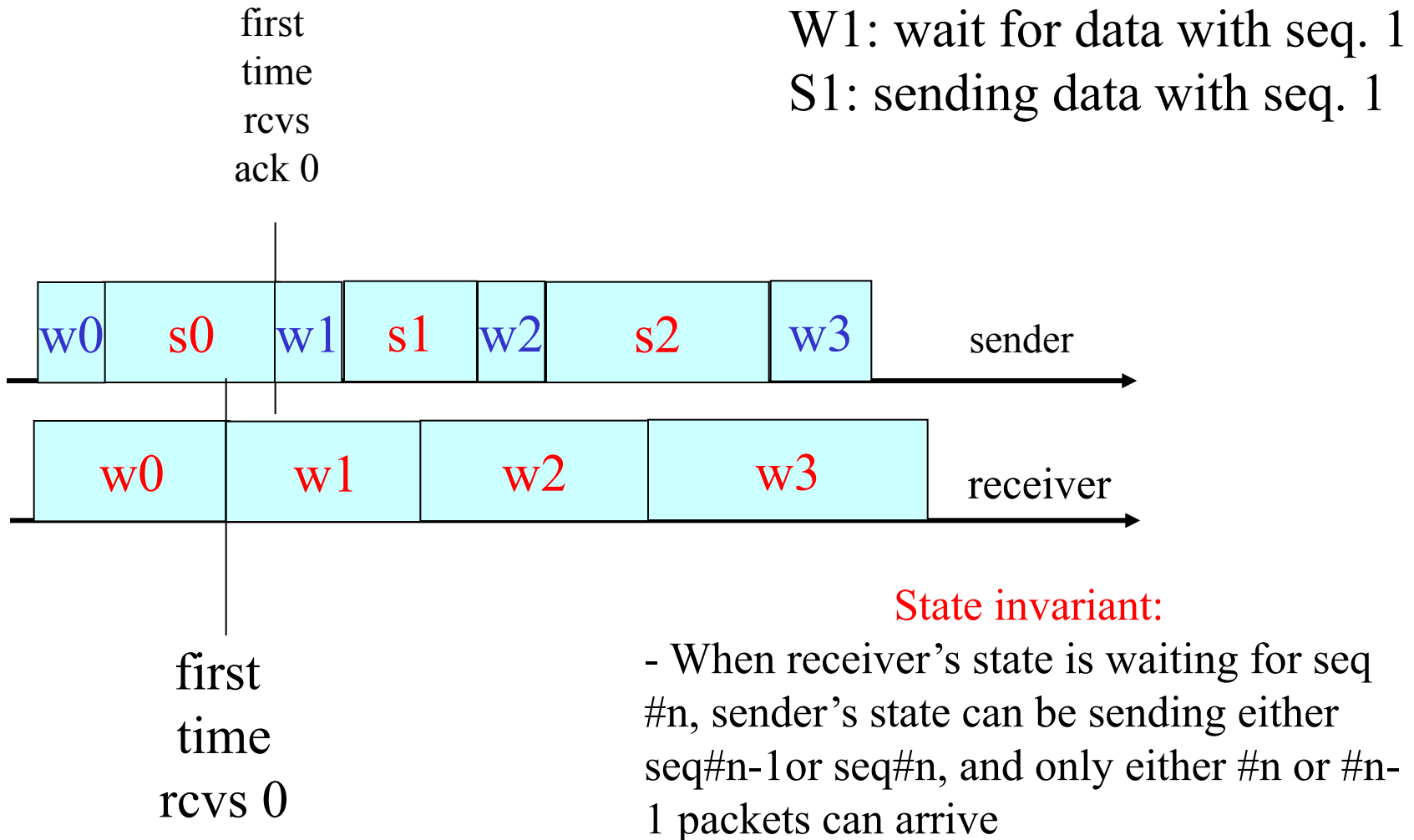


# Protocol Analysis using (Generic) Execution Traces Technique

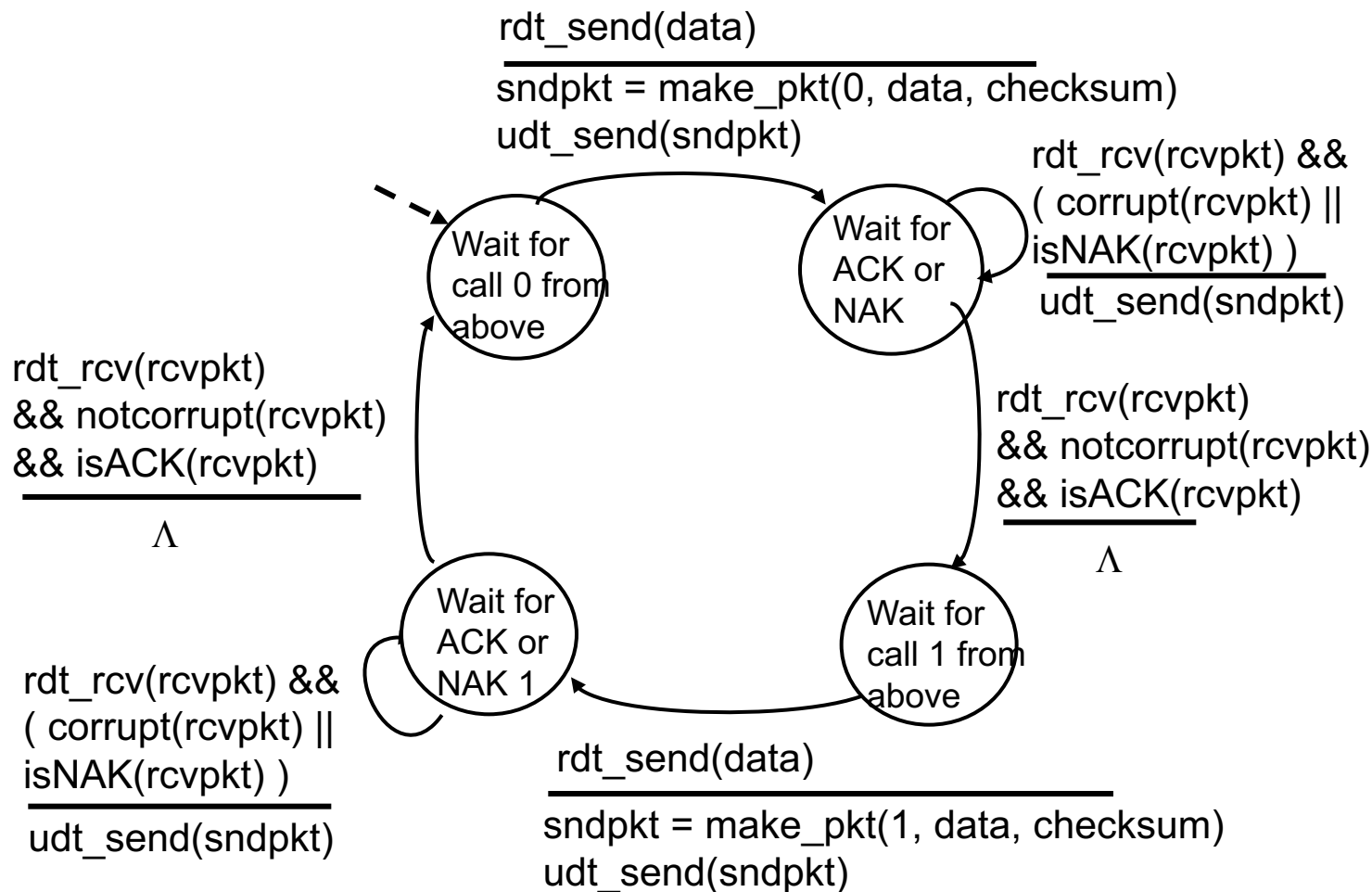


Exercise: Write down all execution traces.

# rdt2.1b Analysis: State Invariants

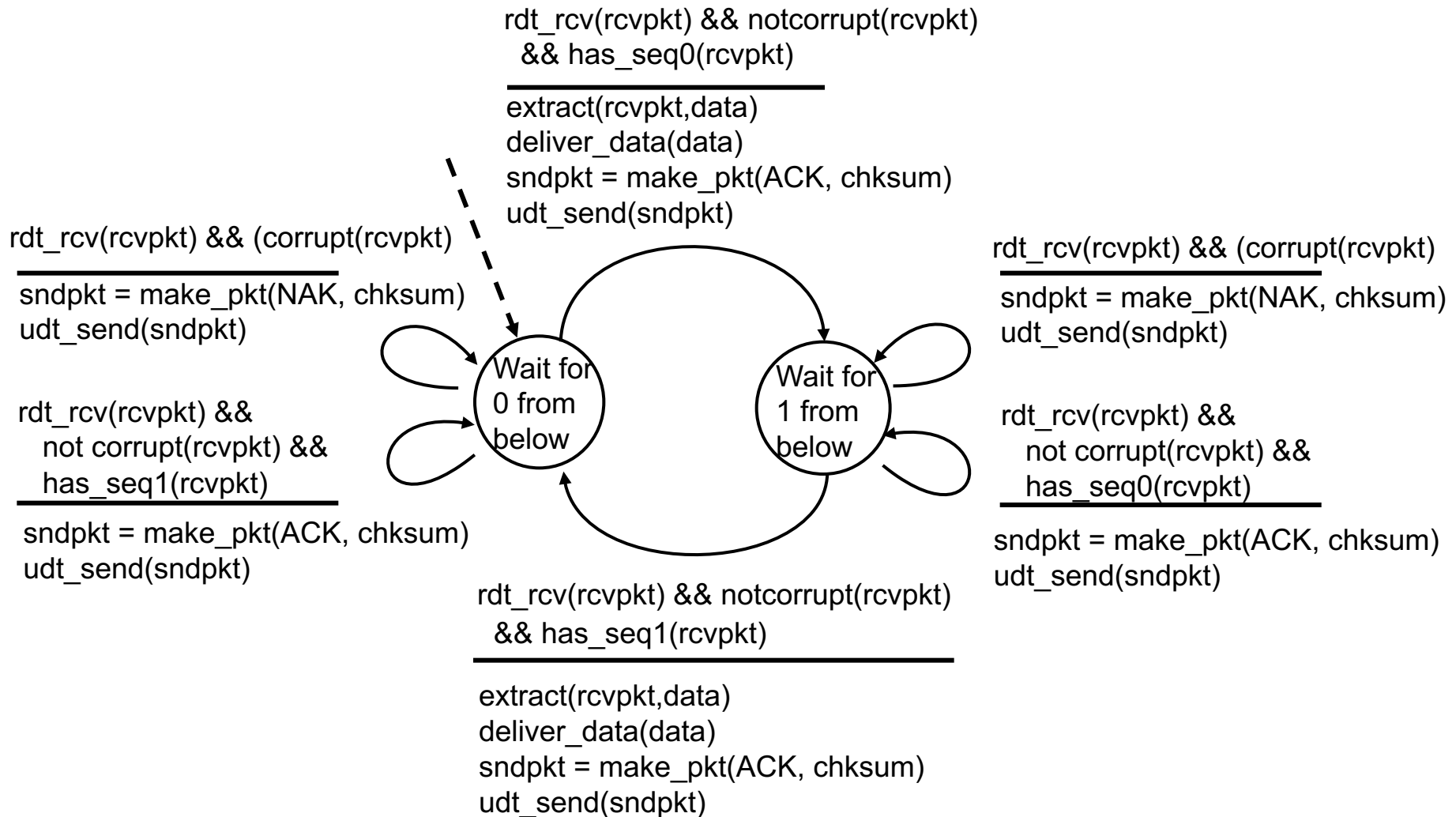


## rdt2.1c: Sender, Handles Garbled ACK/NAKs: Using 1 bit (Alternating-Bit Protocol)



# rdt2.1c: Receiver, Handles Garbled

## ACK/NAKs: Using 1 bit



# rdt2.1c: Summary

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## Sender:

- state must “remember” whether “current” pkt has 0 or 1 seq. #

## Receiver:

- must check if received packet is duplicate
  - state indicates whether 0 or 1 is expected pkt seq #