

# Contemporary China: 1949 to Present

涂航

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## 1 The Era of High Socialism

### 1.1 Introduction and Course Overview

1. Tony Saich, “Welcome to the Party,” in *From Rebel to Ruler: One Hundred Years of the Chinese Communist Party*, Harvard University Press, 2021, 1-20.

2. 錢理群：《毛澤東時代和後毛澤東時代（1949-2009）另一種歷史書寫（上）》，聯經出版公司 2012 年版，1-24。

### 1.2 Setting the Stage 1: The Rise of The Chinese Communist Party

1. Saich, *From Rebel to Ruler*, 38-76.

2. 毛泽东：〈湖南农民运动考察报告〉、〈在延安文艺座谈会上的讲话〉，《毛泽东选集》（第一、三卷），人民出版社 1991 年版

3. 新华社：《中共中央关于党的百年奋斗重大成就和历史经验的决议》（2021）

4. 余華：〈革命〉〈人民〉，《十個詞匯里的中國》，麥田出版社 2011 年版

5. 高華：《紅太陽是怎樣升起的：延安整風運動的來龍去脉》，香港中文大學出版社 2001 年版，第 11 章

6. 蕭軍：《延安日記 1940-1945》，牛津大學出版社 2013 年版

### 1.3 Setting the Stage 2: The Civil War and the Chinese Diaspora

1. Jay Taylor, *The Generalissimo: Chiang Kai-shek and the Struggle for Modern China*, Harvard University Press, 2009, 339-410
2. Dominic Meng-Hsuan Yang, *The Great Exodus from China: Trauma, Memory, and Identity in Modern Taiwan*, Cambridge University Press, 2020, 1-39
3. 龍應台：《大江大海一九四九》，天下雜誌出版 2009 年版，21-79
4. 白先勇：〈游园惊梦〉、〈冬夜〉，《台北人》，广西师范大学出版社 2015 年版
5. 陳冠中：《建豐二年：新中國烏有史》，牛津大學出版社 2015 年版，1-18
6. Shu-mei Shih, "Against Diaspora: The Sinophone as Places of Cultural Production," in *Sinophone Studies: A Critical Reader*, Columbia University Press, 2013, 25-42.

### 1.4 Thaw and Backlash

1. Andrew G. Walder, *China Under Mao: A Revolution Derailed*, Harvard University Press, 2015, 123-151
1. 錢理群：《毛澤東時代和後毛澤東時代（1949-2009）另一種歷史書寫（上）》，聯經出版公司 2012 年版，67-172
2. 陆键东：《陈寅恪的最后 20 年》，生活·读书·新知三联书店 1995 年版，1-37；233-270；491-511
3. 胡杰：《追寻林昭的灵魂》（2005）（纪录片）

### 1.5 The Cultural Revolution

1. 錢理群：《毛澤東時代和後毛澤東時代（1949-2009）另一種歷史書寫（下）》，聯經出版公司 2012 年版，7-158
2. 韓少功：《革命後記》，牛津大學出版社 2014 年版，1-40
3. Carmalita Hinton, Richard Gordon, *Morning Sun* (2003) (film)
4. 杨曦光：「中國向何處去？」（1968）

## 2 Reform China

### 2.1 The New Enlightenment

1. 查建英：《八十年代访谈录》，生活·读书·新知三联书店 2006 年版 1-81
2. 李泽厚：〈启蒙与救亡的双重变奏〉，《中国现代思想史论》，生活·读书·新知三联书店 2008 年版
3. Carmalita Hinton, Richard Gordon, *The Gate of Heavenly Peace* (1995) (film)

### 2.2 Environmental Problems

1. 柴静：《穹顶之下》(2015)
2. 王久良：《塑料王国》(2016)
3. Bill Nichols, *Introduction to Documentary*, Indiana University Press, 2017, 1-41.
4. Shuqin Cui, “Chai Jing’s *Under the Dome: A Multimedia Documentary in the Digital Age*” *Journal of Chinese Cinemas*, vol. 11 (2017), pp. 30-45.
5. Guobin Yang, “*Environmental NGO and Institutional Dynamics in China*” *The China Quarterly*, no. 181 (2005), pp. 46-66.

## 3 Contemporary China

### 3.1 Science, Technology, and Utopia

1. Elizabeth C. Economy, *The Third Revolution: Xi Jinping and the New Chinese State*, Oxford University Press, 2018, 121-151
2. Mingwei Song, “*After 1989: The New Waves of Chinese Science Fiction*” *China Perspectives*, no. 1 (101) (2015), 7-13.
3. 刘慈欣：《三体：地球往事》/《三体：黑暗森林》
3. 刘慈欣：《流浪地球》/《中国 2185》
4. 陳冠中：《盛世：中國 2013 年》，牛津大學出版社 2008 年版

## 3.2 Digital China

请至少选择以下游戏中的一个，并至少游玩 6 个小时

1. 仙劍奇俠傳/Chinese Paladin (1995)
2. 返校/Detention (2017)
3. 隱形守护者/The Invisible Guardian (2019)
4. 原神/Genshin Impact (2020)
5. Novel Containment (2020)

### 必读文献

1. Felipe Pepe, “*Before Genshin Impact: A brief history of Chinese RPGs*” (2021)
2. Nathan Grayson, “*BoycottGenshin Is Tearing the Genshin Impact Community Apart*” (2021)
3. Holin Lin, “Body, Space and Gendered Gaming Experiences: A Cultural Geography of Homes, Cybercafes and Dormitories” in *Beyond Barbie and Mortal Kombat: New Perspectives on Gender and Gaming*, The MIT Press, 2011
4. T.L Taylor, “*The Assemblage of Play*,” *Games and Culture*, 2009-10, Vol.4 (4), p.331-339

## 3.3 China and the Politics of Catastrophe

1. 方方：《武汉日记》(pp 7-37, 63-65, 69-72, 87-90, 109-128)
2. 刘震云：《温故一九四二》，人民文学出版社 2022 年版
3. 楊瑞松：《想像民族耻辱：近代中國思想文化史上的“東亞病夫”》，國立政治大學歷史學報, (23), 2005
4. Niall Ferguson, *Doom: The Politics of Catastrophe*, Penguin, 2021, Chapter 6.

# Bureaucracy and Governance in China: Theory, History, and Practices

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## 1 Theoretical Preparation

### 1.1 Max Weber on Bureaucracy (Weberian Bureaucracy)

1. 马克思·韦伯：〈官僚制〉，《经济与社会》（第二卷），上海人民出版社 2020 年版

### 1.2 Inside Bureaucracy and the Street-level Bureaucracy

1. 安东尼·唐斯：《官僚制内幕》，中国人民大学出版社 2016 年版
2. Michael Lipsky, *Street-Level Bureaucracy: Dilemmas of the Individual in Public Services*, Russel Sage Foundation, 2010.

## 2 Historical Perspective

### 2.1 The Origin of the Bureaucratic State in China: Zhou and Qin Dynasties

1. 李峰：〈西周中央政府的结构性发展〉〈西周国家的概念性重构〉，《西周的政体》，生活·读书·新知三联书店 2010 年版

2. 沃尔特·沙伊德尔编：〈汉代官僚制度的起源、性质与发展〉，《古代中国与罗马的国家权力》，生活·读书·新知三联书店 2020 年版

3. Kiser, Edgar, and Yong Cai. 2003. “*War and Bureaucratization in Qin China: Exploring an Anomalous Case.*” *American Sociological Review* 68(4): 511-539.

## 2.2 Bureaucracy and Governance in Late Imperial China

1. 黄宗智：《集权的简约治理——中国以准官员和纠纷解决为主的半正式基层行政》，载《开放时代》2008 年第 2 期，第 10~29 页

2. 孔飞力：〈政治罪与官僚君主制〉〈主题和变奏〉，《叫魂》，生活·读书·新知三联书店 2014 年版

3. 瞿同祖：〈州县政府〉〈士绅与地方行政〉，《清代地方政府》，新星出版社 2022 年版

4. 萧公权：〈村、集市和乡镇〉，《中国乡村》，九州出版社 2021 年版

## 3 PRC Practices –Change and Continuity

### 3.1 the Mao Era

1. Harry Harding, *Organizing China: The Problem of Bureaucracy*, 1949-76. Chapter 1

2. Ezra F. Vogel, “Politicalized Bureaucracy: Communist China,” in Cohen and Shapiro ed., *Communist Systems in Comparative Perspectives*, Anchor Books, 1974, 160-170.

3. Martin King Whyte, “*Bureaucracy and Modernization in China: The Maoist critique*” *American Sociological Review* 38, No.2 (1973): 149-163.

### 3.2 the Reform Era

#### **Cadre Management: Performance Evaluation, Promotion, and Discipline**

1. Pierre F. Landry, *Decentralized Authoritarianism in China*, 2008. Chapter 1

2. Yongshun Cai, *State and Agents in China: Disciplining Government Officials*, 2014. Chapter 1

3. Maria Edin, “*State Capacity and Local Agent Control in China: CCP Cadre Management from a Township Perspective*” *The China Quarterly*, 2003

4. Bo Rothstein, “*The Chinese Paradox of High Growth and Low Quality of Government: The Cadre Organization Meets Max Weber*” *Governance: An International Journal of Policy, Administration, and Institutions* 28, no.4 (2015): 533-548.

### **Policy Implementation**

1. Kevin O’ Brien and Lianjiang Li, “*Selective Policy Implementation in Rural China*” *Comparative Politics*, 1999

2. Elizabeth J. Perry, “From Mass Campaigns to Managed Campaigns: ‘Constructing a New Socialistic Countryside’ ,” in Sebastian Heilmann and Elizabeth Perry ed, *Mao’ s Invisible Hand: The Political Foundation of Adaptive Governance in China*, Harvard University Asia Center, 2011

3. Xueguang Zhou, “*The Institutional Logic of Collusion among Local Governments in China*” *Modern China* 36 (2010): 47-78.

4. Xueguang Zhou et. al. “*A Behavioral Model of ‘Muddling Through’ in the Chinese Bureaucracy: The Case of Environmental Protection*” *The China Journal* no.70

## **3.3 the Xi Era**

### **Bureaucracy and the Centralization of Power**

1. Minxin Pei, “*Bureaucratic strategies of coping with strongman rule: How local officials survive in President Xi Jinping’ s new order*” *China Leadership Monitor*, Fall 2019 Issue 61.

2. Jeremy Wallace, “*The New Normal: Reform, Information and China’ s Anti-corruption Crusade*” (2015) Working paper

### **Rural Governance and “Grid Management”**

1. Qingjie Zeng, “*Managed Campaign and Bureaucratic Institutions in China: Evidence from the Targeted Poverty Alleviation Program*” *Journal of Contemporary China*, 2019
2. Minxin Pei, “*Grid Management: China’s Latest Institutional Tool of Social Control*” *China Leadership Monitor*, Issue 67, Spring 2021
3. Chen and Greitens, “*Information capacity and social order: The local politics of information integration in China*” *Governance*, (2021): 1-27.

### **Pandemic Control during COVID-19**

1. Meg Rithmire and Courtney Han, “*China’s Management of Covid-19: People’s War or Chernobyl Moment?*”, Case Study for Harvard Business School, March 29, 2020
2. Dali L. Yang, “*China’s Zero-COVID Campaign and the Body Politic*” *Current History*, September 2022

### **Party Building (Organizational Strengthening and Expansion)**

1. Jean Christopher Mittelstaedt, “*Rebuilding Authority: The Party’s Relationship with Its Grassroots Organizations*” *The China Quarterly* 248, (2021): 244-264
2. Daniel Koss, “*Party Building as Institutional Bricolage: Asserting Authority at the Business Frontier*” *The China Quarterly* 248 (2021): 222-243.

### **Organizational Red Tape**

1. Zelda Zhao, “*The Variety of Formalism: Deciphering the Organizational Red Tape in the Chinese Bureaucracy*” dissertation chapter, 2022
2. Herbert Kaufman, *Red Tape: Its Origins, Uses, and Abuses*, Brookings Institution Press, 1977
3. Barry Bozeman, *Bureaucracy and Red Tape*, Prentice Hall, 2000



**Grassroots Overload and Burden Alleviation**

1. Zelda Zhao, “*Leviathan at the Grassroots: Bureaucratic Overload and Grassroots Governance in China*” working paper, 2022