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Fall'19 CSCE 629

Analysis of Algorithms

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Lecture 26

- Reductions

Credit: based on slides by A. Smith & K. Wayne

Recall: polynomial-time reduction

- **Def.** Problem X **polynomial reduces to** Problem Y if **arbitrary** instance of X can be solved using:
 - Polynomial number of standard computation steps
 - & polynomial number of calls to **oracle** that solves A

Notation. $X \leq_{P, Cook} Y$ (or $X \leq_P Y$)

! Mind your direction, don't confuse $X \leq_P Y$ with $Y \leq_P X$

Quiz

■ Which of the following poly-time reductions are known?

- A. $\text{FIND-MAX-FLOW} \leq_P \text{FIND-MIN-CUT}$
- B. $\text{FIND-MIN-CUT} \leq_P \text{FIND-MAX-FLOW}$
- C. Both A and B
- D. Neither A nor B

VALUES VS. ACTUAL FLOW/CUT

Simplification: decision problems

- **Search problem.** Find some structure.
 - Example. **Find** a minimum cut.
- **Decision problem.**
 - Problem X is a set of strings [e.g., strings that encode graphs containing a triangle]
 - Instance: string s [e.g., encoding of a graph]
 - **YES** instance: $s \in X$; **NO** instance: $s \notin X$
 - Algorithm A solves problem X : $A(s) = \text{yes}$ iff. $s \in X$
 - Ex. Does there **exist** a cut of size $\leq k$?
- **Self-reducibility.** Search problem \leq_P Decision version
 - Applies to all NP-complete problems in this chapter [Recall HW1]
 - Justifies our focus on decision problems

Polynomial-time **transformation**

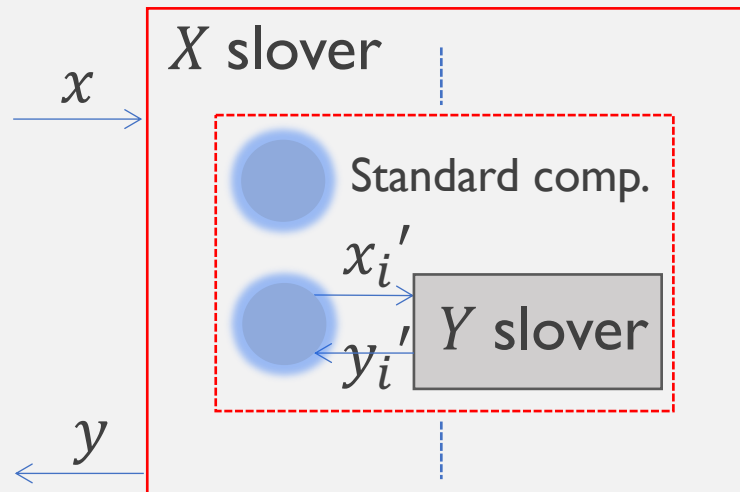
- **Karp reduction.** (Decision) problem X polynomial **transforms** to Problem Y if given any x , we can construct y such that
 - size $|y| = poly(|x|)$
 - $x \in X$ iff. $y \in Y$.

$$X \leq_{P, Karp} Y$$

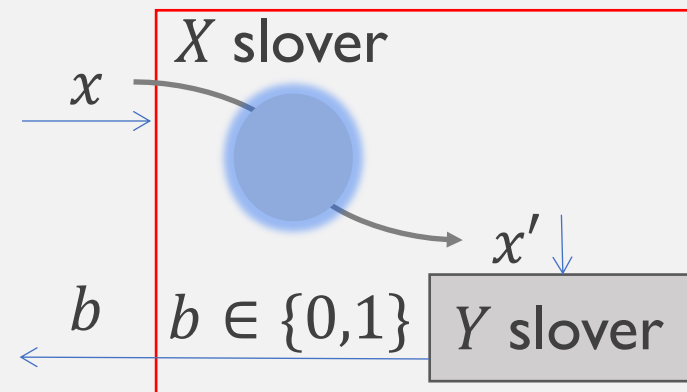
Polynomial-time reduction vs. transformation

Cook (Turing) reduction vs. Karp reduction

$$X \leq_{P, Cook} Y$$



$$X \leq_{P, Karp} Y \quad (\text{Decision problems})$$



N.B. Polynomial transformation is polynomial reduction with just one call to oracle for Y , exactly at the end of the algorithm for X .

Open question. Are these two concepts the same?

Basic reduction strategies

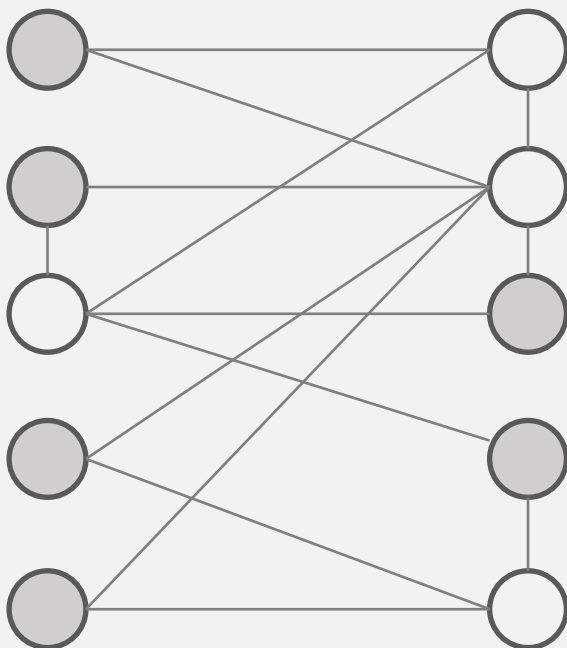
- Reduction by simple equivalence
- Reduction from special case to general case
- Reduction by encoding with gadgets

Independent set

Input. Graph $G = (V, E)$ and an integer k

- **Independent set** $S \subseteq V$: subset of vertices such that for each edge **at most one** of its endpoints is in S

Goal. Decide if there is an independent set S with $|S| \geq k$



● independent set

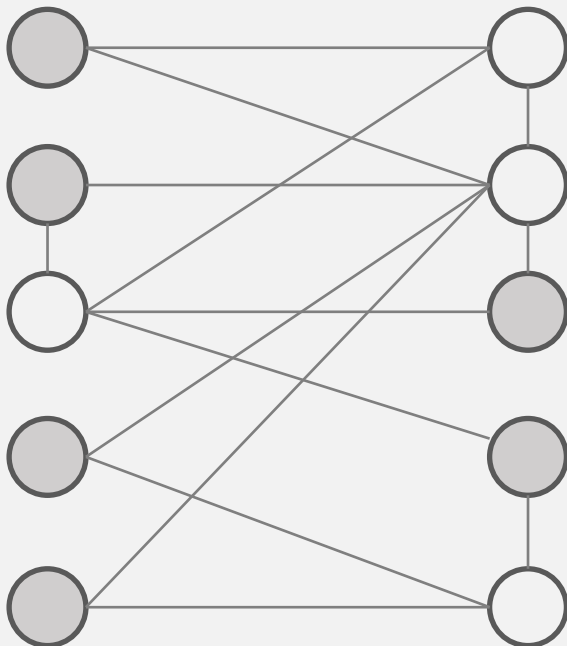
- Is there an independent set of size ≥ 6 ? 😊
- Is there an independent set of size ≥ 7 ? ☹️

Vertex cover

Input. Graph $G = (V, E)$ and an integer k

- **Vertex cover** $S \subseteq V$: subset of vertices such that for each edge **at least one** of its endpoints is in S

Goal. Decide if there is an independent set S with $|S| \leq k$



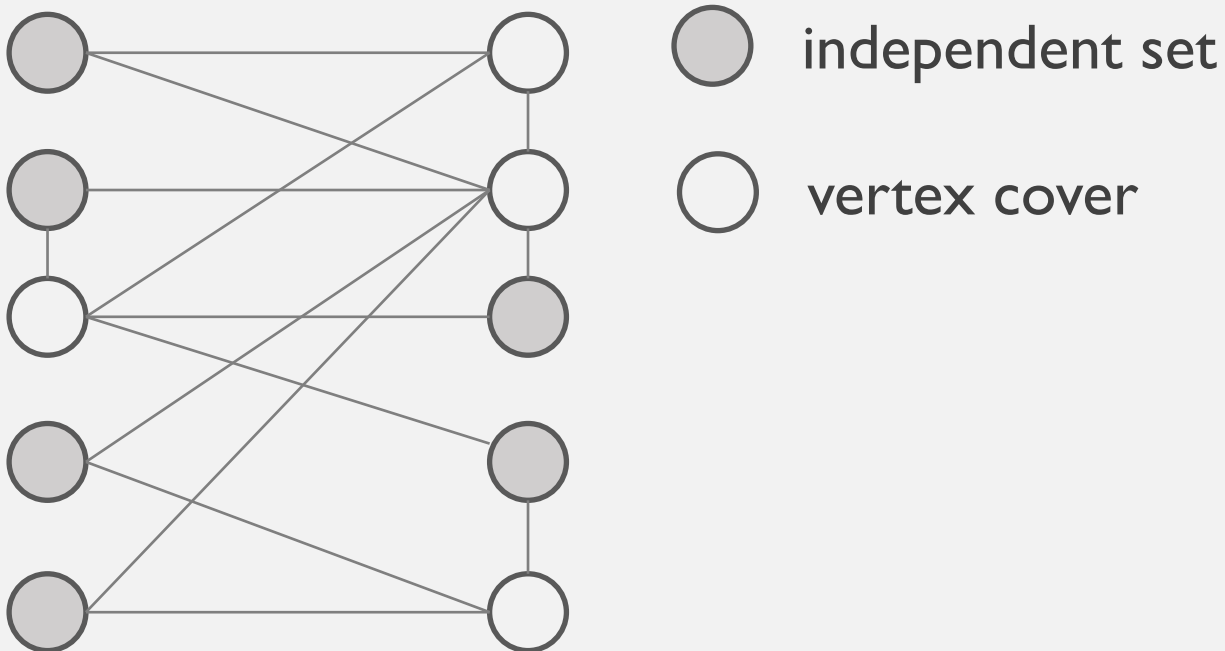
○ Vertex cover

- Is there an vertex cover of size ≤ 4 ? 😊
- Is there an independent set of size ≤ 3 ? ☹️

Independent set and Vertex cover

Claim. VERTEX-COVER \equiv_P INDEPENDENT-SET

Pf. We show S is an independent set **iff** $V \setminus S$ is a vertex cover



Independent set and Vertex cover

Claim. VERTEX-COVER \equiv_p INDEPENDENT-SET

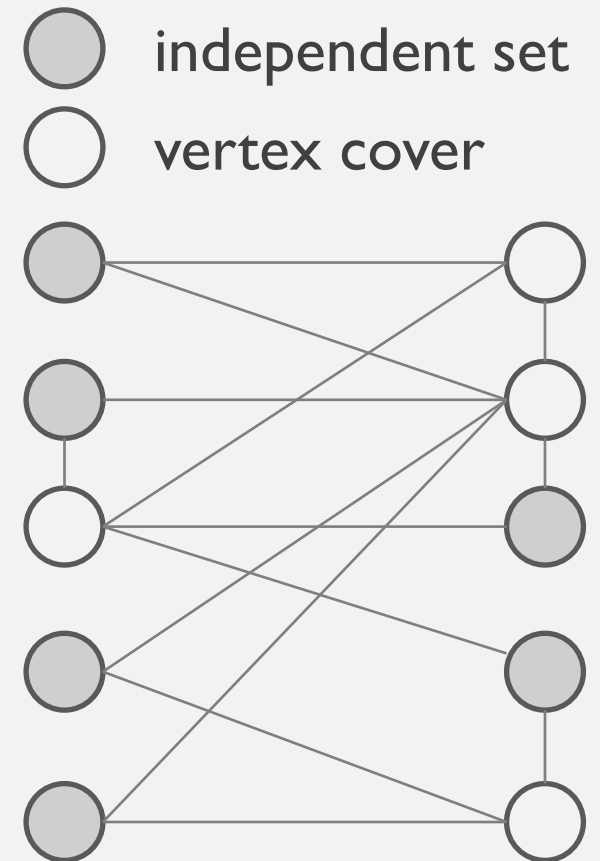
Pf. We show S is an independent set iff. $V \setminus S$ is a vertex cover

$\leq (\Leftarrow)$ Let S be any independent set

- Consider an arbitrary edge (u, v)
- S independent $\Rightarrow u \notin S$ or $v \notin S \Rightarrow u \in V \setminus S$ or $v \in V \setminus S$
- Thus $V \setminus S$ covers (u, v)

$\geq (\Rightarrow)$ Let $V \setminus S$ be any vertex cover

- Consider two nodes $u \in S$ and $v \in S$
 - Observe that $(u, v) \notin E$ since $V \setminus S$ is a vertex cover
 - Thus no two nodes in S are joined by an edge
- $\Rightarrow S$ is an independent set



Basic reduction strategies

- Reduction by simple equivalence
- Reduction from special case to general case
- Reduction by encoding with gadgets

Set cover

Input. Set U of n elements, S_1, \dots, S_m of subsets of U , integer k

Goal. Decide if there is an collection of $\leq k$ of these sets whose union is equal to U

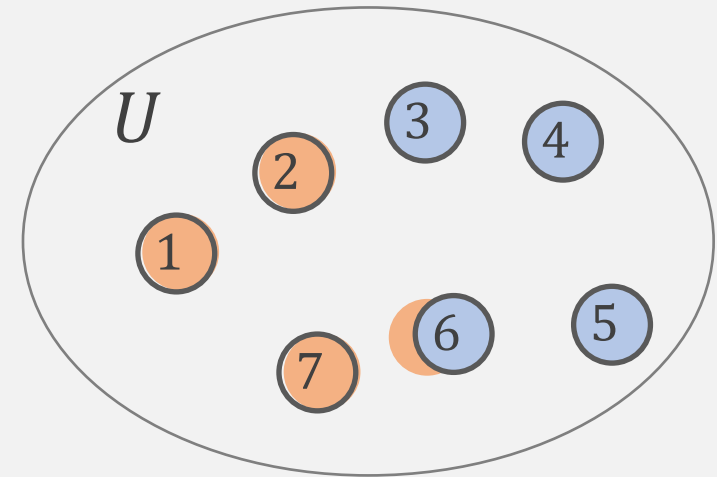
$$U = \{1, 2, 3, 4, 5, 6, 7\}$$

$$k = 2$$

$$S_1 = \{3, 7\}, \quad S_2 = \{3, 4, 5, 6\}$$

$$S_3 = \{1\}, \quad S_4 = \{2, 4\}$$

$$S_5 = \{5\}, \quad S_6 = \{1, 2, 6, 7\}$$



Sample application.

- Set U of n capabilities that our computer system needs to have
- m available pieces of software, i th software provides the set $S_i \subseteq U$ capabilities
- Goal: achieve all n capabilities using **fewest** pieces of software

Vertex cover reduces to set cover

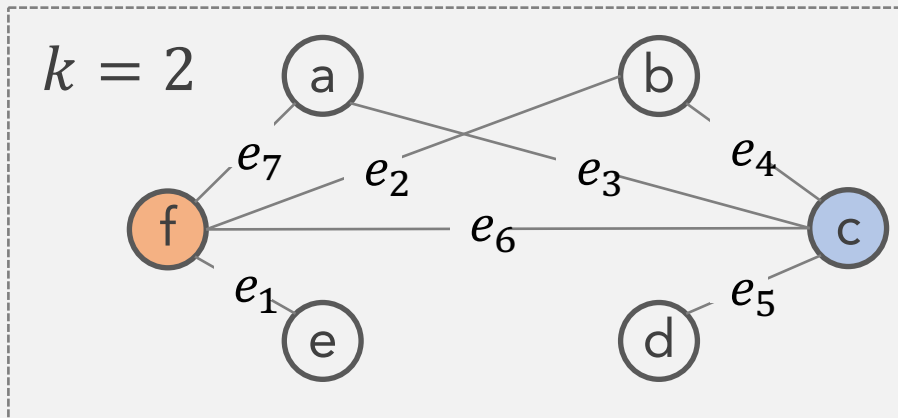
Claim. VERTEX-COVER \leq_p SET-COVER

Pf. Given a VERTEX-COVER instance $G = \langle (V, E), k \rangle$, we construct a SET-COVER instance whose solution size **equals** the size of the vertex cover instance

Reduction: on input $\langle G = (V, E), k \rangle$

Output: // a SET-COVER instance

$k = k, U = E, S_v = \{e \in E : e \text{ incident to } v\} \text{ for every } v \in V$



\Rightarrow

$U = \{1, 2, 3, 4, 5, 6, 7\}$

$k = 2$

$S_a = \{3, 7\},$

$S_c = \{3, 4, 5, 6\}$

$S_e = \{1\},$

$S_b = \{2, 4\}$

$S_d = \{5\},$

$S_f = \{1, 2, 6, 7\}$