## MC4竞赛Introduction

Momenta Challenge4 的赛题为"人脸视线检测"。人脸视线预测是人脸识别领域的一个重要课题,在辅助驾驶,视频监控等领域有重要的应用。 人脸 视线的方向定义为从两眼正中点到人眼注视的目标连线的方向。这里,我们以水平方向为x轴,竖直方向为y轴,照片平面向里的方向为z轴建立坐标系。 我们将给出拍摄到的人脸注视目标的照片,以及人脸关键点等信息。选手需要根据这些信息预测人脸视线的角度,用经度和纬度表示。

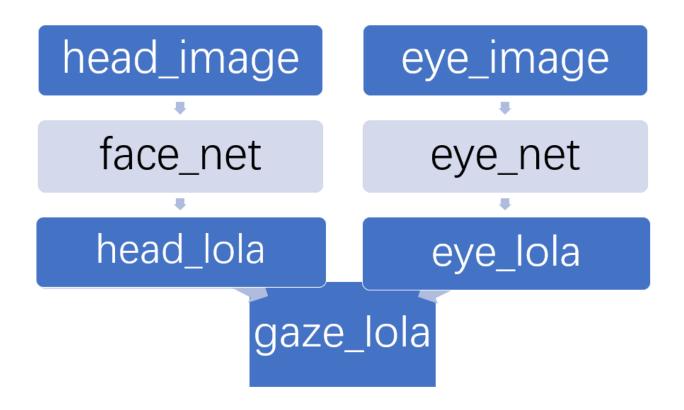
下面,我们将按步骤给出一个预测视线角度的baseline模型,以供选手参考。

首先,我们导入必要的库。本代码使用pytorch作为深度学习框架。

```
In [ ]: | import os
          import numpy as np
          from numpy.linalg import norm, inv
          import cv2
          from collections import OrderedDict
          import torch
          import torch. nn as nn
          from torch.nn import SmoothL1Loss
          from torch.nn import init
          import torch.nn.functional as F
          from torch.autograd import Variable
          from torch.utils.data import Dataset, DataLoader
          from imgaug import augmenters as iaa
          import gc
          import time
          from torchvision import transforms
          from torch.utils.data.sampler import SubsetRandomSampler
```

人脸视线的角度可以由头部姿态的角度和眼睛转向的角度组合得到。这里,我们将根据人脸的关键点裁剪出人脸和眼睛部分的图片,然后分别送入face\_net和eye\_net两个子网络。这两个子网络分别输出头部和眼睛的朝向角度,用经度lo和纬度la表示。然后将这两个角度组合计算得到最终视线(gaze)朝向角度lo,la

这里,我们采用ResNetX50作为face\_net和eye\_net的网络结构。输入的图片大小是224\*224\*1的灰度图,输出两个范围在(-90°,90°)内的角度。整个 网络结构如下图所示:



```
In [ ]: from __future__ import division
                      Creates a ResNeXt Model as defined in:
                      Xie, S., Girshick, R., Dollar, P., Tu, Z., & He, K. (2016).
                      Aggregated residual transformations for deep neural networks.
                      arXiv preprint arXiv:1611.05431.
                      import\ from\ https://github.\,com/facebookresearch/ResNeXt/blob/master/models/resnext.\,\,luand the analysis of the conformal and the conf
                      import math
                      import torch.nn as nn
                      import torch.nn.functional as F
                      from torch.nn import init
                      import torch
                      {\tt class\ Bottleneck(nn.\,Module):}
                               RexNeXt bottleneck type C
                              expansion = 4
                              \label{lem:constructor} \mbox{def \__init\__(self, inplanes, planes, baseWidth, cardinality, stride=1, downsample=None):} \\ \mbox{""" Constructor}
                                      Args:
                                               inplanes: input channel dimensionality
                                               planes: output channel dimensionality
                                               baseWidth: base width.
                                               cardinality: num of convolution groups.
                                        stride: conv stride. Replaces pooling layer.
                                      \verb"super(Bottleneck, self).\_init\_()
                                      D = int(math.floor(planes * (baseWidth / 64)))
                                      C = cardinality
                                      self.conv1 = nn.Conv2d(inplanes, D*C, kernel_size=1, stride=1, padding=0, bias=False)
                                       self.bn1 = nn.BatchNorm2d(D*C)
                                      \tt self.\,conv2 = nn.\,Conv2d\,(D*C,\,\,D*C,\,\,kernel\_size=3,\,\,stride=stride,\,\,padding=1,\,\,groups=C,\,\,bias=False)
                                       self.bn2 = nn.BatchNorm2d(D*C)
                                      self.conv3 = nn.Conv2d(D*C, planes * 4, kernel_size=1, stride=1, padding=0, bias=False)
                                      self.bn3 = nn.BatchNorm2d(planes * 4)
                                      self.relu = nn.ReLU(inplace=True)
                                      self.downsample = downsample
                              def forward(self, x):
                                      residual = x
                                      out = self.conv1(x)
                                      out = self.bnl(out)
                                      out = self.relu(out)
                                      out = self.conv2(out)
                                      out = self.bn2(out)
                                      out = self.relu(out)
                                      out = self.conv3(out)
                                      out = self.bn3(out)
                                      if self.downsample is not None:
                                               residual = self.downsample(x)
                                      out += residual
                                      out = self.relu(out)
                                      return out
                      {\tt class} \ {\tt ResNeXt} \, ({\tt nn.\,Module}) :
                               ResNext optimized for the ImageNet dataset, as specified in
                              https://arxiv.org/pdf/1611.05431.pdf
                              def __init__(self, baseWidth, cardinality, layers, num_classes):
    """ Constructor
                                       Args:
                                               baseWidth: baseWidth for ResNeXt.
                                               cardinality: number of convolution groups.
                                               layers: config of layers, e.g., [3, 4, 6, 3]
                                              num_classes: number of classes
                                      super(ResNeXt, self). init ()
```

```
block = Bottleneck
        self.cardinality = cardinality
       self.baseWidth = baseWidth
       self.num_classes = num_classes
       self.inplanes = 64
       self.output_size = 64
       self.conv1 = nn.Conv2d(1, 64, 7, 2, 3, bias=False)
        self.bn1 = nn.BatchNorm2d(64)
       self.relu = nn.ReLU(inplace=True)
       self.maxpool1 = nn.MaxPool2d(kernel_size=3, stride=2, padding=1)
        self.layer1 = self._make_layer(block, 64, layers[0])
       self.layer2 = self._make_layer(block, 128, layers[1], 2)
        self.layer3 = self._make_layer(block, 256, layers[2], 2)
       self.layer4 = self._make_layer(block, 512, layers[3], 2)
        self.avgpool = nn.AvgPool2d(7)
        self.fc = nn.Linear(512 * block.expansion, num_classes)
       for m in self.modules():
            if isinstance(m, nn.Conv2d):
                n = m.kernel\_size[0] * m.kernel\_size[1] * m.out\_channels
                m.weight.data.normal_(0, math.sqrt(2. / n))
            elif isinstance(m, nn.BatchNorm2d):
                m. weight. data. fill_(1)
                m. bias. data. zero_()
   def make layer(self, block, planes, blocks, stride=1):
          "" Stack n bottleneck modules where n is inferred from the depth of the network.
            block: block type used to construct ResNext
            planes: number of output channels (need to multiply by block.expansion)
            blocks: number of blocks to be built
            stride: factor to reduce the spatial dimensionality in the first bottleneck of the block.
        Returns: a Module consisting of n sequential bottlenecks.
       downsample = None
       if stride != 1 or self.inplanes != planes * block.expansion:
            downsample = nn.Sequential(
                nn.Conv2d(self.inplanes, planes * block.expansion,
                          kernel_size=1, stride=stride, bias=False),
                nn.BatchNorm2d(planes * block.expansion),
        layers = []
       layers.append(block(self.inplanes, planes, self.baseWidth, self.cardinality, stride, downsample))
        self.inplanes = planes * block.expansion
       for i in range(1, blocks):
            layers.append(block(self.inplanes, planes, self.baseWidth, self.cardinality))
       return nn. Sequential (*layers)
   def forward(self, x):
       x = self. conv1(x)
       x = self.bnl(x)
       x = self.relu(x)
       x = self.maxpool1(x)
       x = self. layer1(x)
       x = self. layer2(x)
       x = self. layer3(x)
       x = self.layer4(x)
       x = self.avgpool(x)
       x = x. view(x. size(0), -1)
       x = self. fc(x)
       return x
def resnext50(baseWidth, cardinality):
    Construct ResNeXt-50.
   model = ResNeXt(baseWidth, cardinality, [3, 4, 6, 3], 2)
    return model
```

下面的GazeNet就是我们baseline使用的模型,我们从人脸和眼睛部分图片获得头部朝向和眼睛朝向的经纬度。视线角度可以由头部朝向和眼睛朝向组合计算得到(请参考文献http://openaccess.thecvf.com/content\_ICCV\_2017/papers/Zhu\_Monocular\_Free-Head\_3D\_ICCV\_2017\_paper.pdf (http://openaccess.thecvf.com/content\_ICCV\_2017/papers/Zhu\_Monocular\_Free-Head\_3D\_ICCV\_2017\_paper.pdf))。这里我们在函数calc\_gaze\_lola中经过复杂的计算获得最终的视线朝向。为了方便损失函数的优化,我们对角度的输出均归一化到[0,1]范围内。

```
In [ ]: class GazeNet(nn. Module):
                The end_to_end model of Gaze Prediction Training
                \mbox{\tt def}\ \_\mbox{\tt init}\_\mbox{\tt (self)}:
                    super(GazeNet, self).__init__()
                    self.face_net = resnext50(4, 32)
                    self.eye net = resnext50(4, 32)
               def calc_gaze_lola(self, head, eye):
                    head_lo = head[:,0]
                    head_la = head[:,1]
                    eye_1o = eye[:, 0]
                    eye_la = eye[:,1]
                    cA = torch.cos(head_lo/180*np.pi)
                    sA = torch.sin(head lo/180*np.pi)
                    cB = torch.cos(head la/180*np.pi)
                    sB = torch. sin(head la/180*np.pi)
                    cC = torch.cos(eye_lo/180*np.pi)
                    sC = torch. sin(eye_lo/180*np.pi)
                    cD = torch.cos(eye_1a/180*np.pi)
                    sD = torch.sin(eye_la/180*np.pi)
                    g_x = -cA * sC * cD + sA * sB * sD - sA * cB * cC * cD
                    g_{-}y = cB * sD + sB * cC * cD

g_{-}z = sA * sC * cD + cA * sB * sD - cA * cB * cC * cD
                    gaze_{10} = torch. atan2(-g_x, -g_z)*180.0/np. pi
                    gaze_1a = torch.asin(g_y)*180.0/np.pi
                    gaze_lo = gaze_lo.unsqueeze(1)
                    gaze la = gaze la.unsqueeze(1)
                    gaze_lola = torch.cat((gaze_lo, gaze_la), 1)
                    return gaze_lola
               def forward(self, img_face, img_eye):
                    return\_dict = \{\}
                    head = self.face_net(img_face)
                    eye = self.eye_net(img_eye)
                    #print("head", head. shape)
                    #print("eye", eye. shape)
                    gaze_lola = self.calc_gaze_lola(head, eye)
                    #对头部,眼睛和视线朝向的角度做归一化到[0,1]范围内
                    head = (head + 90)/180
                    eye = (eye + 90)/180
                    gaze_lola = (gaze_lola + 90)/180
return_dict['head'] = head
                    return_dict['eye'] = eye
                    return_dict['gaze'] = gaze_lola
                    return return dict
```

接下来,我们准备做数据的读取和预处理工作。训练用数据集分为两部分,训练集(train)和验证集(val)。训练数据包含头部图片,眼睛图片,以及头部,眼睛和视线朝向的真实label,均使用经度la和纬度lo表示。

在将图片送入网络训练前,我们需要使用image\_normalize函数将图片需要做resize到固定的输入大小(1,224,224)。在读入图片数据时,我们还可以做图像增强操作,来获得更丰富的训练样本并增强模型的鲁棒性。

```
In [ ]: def image_normalize(im_data, transform=None):
    im_data = cv2.resize(im_data, (224, 224))
    if transform:
        im_data = transform.augment_image(im_data)
        im_data = im_data[np.newaxis,:].astype(np.float64)
        return im_data
```

下面是读取数据的过程。总的数据路径在data\_dir下。训练数据共约10万组,头部及眼睛图片的子路径为{data\_dir}/lead/和{data\_dir}/l\_eye, {data\_dir}/r\_eye, 其中I\_eye和r\_eye代表左眼和右眼。对于同一张人脸图片,其左眼图片(I\_eye)和右眼图片(r\_eye)对应的眼睛角度数值相同。在训练网络时,对每一张训练图像,选手只需要选择其对应的一只眼睛的图片参与训练即可。图片样例如下:

## 头部图片:



## 眼睛图片:



头部,眼睛和视线朝向的角度保存在txt文件中。 第一行图片名 第二行和第三行包含两个(-90,90)内的角度,分别为经度lo和纬度la。 具体样例如下图:

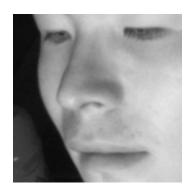
```
0
38.9202
-36.6211
1
41.0721
-34.0759
2
38.824
-36.7253
3
40.3604
-34.9665
```

```
In [ ]: class GazeDataset(Dataset):
                def __init__(self, data_dir, mode, transform = None):
                    Args:
                         data dir (string): Directory with all the images.
                        mode (string): train/val/test subdirs.
                         transform (callable, optional): Optional transform to be applied
                             on a sample.
                    self.data_dir = data_dir
                    self.transform = transform
                    self.mode = mode
                    self.img_list = os.listdir(os.path.join(data_dir, "head"))
                    if self.mode == "train":
                         self.head_label = self.load_gt(os.path.join(data_dir, "head_label.txt"))
                        self.gaze_label = self.load_gt(os.path.join(data_dir, "gaze_label.txt"))
                        self.eye_label = self.load_gt(os.path.join(data_dir, "eye_label.txt"))
                def load_kp(self, filename):
                    ret = \{\}
                    with open(filename, 'r') as kpfile:
                        while True:
                             line = kpfile.readline()
                             if not line:
                                 break
                             img_filename = line.strip("\n")
                             #im_data = cv2. imread(os. path. join(p, str(i), img_filename))
                             src_point = []
                             line = kpfile.readline()
                             p_{count} = int(line.strip("\n"))
                             for j in range(p_count):
                                 x = float(kpfile.readline().strip("\n"))
                                 y = float(kpfile.readline().strip("\n"))
                                 src_point.append((x, y))
                             ret[img_filename] = src_point
                    return ret
                def load_gt(self, filename):
                    ret = \{\}
                    with open(filename, "r") as f:
                        while True:
                             line = f.readline()
                             if not line:
                                 break
                             line = line.strip("\n")+".png"
                             lo = float(f.readline().strip("\n"))
                             la = float(f.readline().strip("\n"))
                             ret[line] = np. array([lo, la], dtype=np. float32)
                    {\tt return} \ {\tt ret}
                def __len__(self):
                    return len(self.img_list)
                def __getitem__(self, idx):
                    head_image = cv2.imread(os.path.join(self.data_dir, "head", self.img_list[idx]), cv2.IMREAD_GRAYSCALE)
                    #头部图像还包含了大量背景区域,需要做居中裁剪
                    mid_x, mid_y = head_image.shape[0]//2, head_image.shape[1]//2
                    \label{lem:head_image} \\ \texttt{head\_image} \\ \\ \texttt{[mid\_x-112:mid\_x+112,mid\_y-112:mid\_y+112]} \\
                    leye_image = cv2. imread(os. path. join(self. data_dir, "1_eye", self. img_list[idx]), cv2. IMREAD_GRAYSCALE) reye_image = cv2. imread(os. path. join(self. data_dir, "r_eye", self. img_list[idx]), cv2. IMREAD_GRAYSCALE)
                    eye_image = leye_image if np.random.rand()<0.5 else reye_image
                    head_image = image_normalize(head_image)
                    eye_image = image_normalize(eye_image)
                    if self.mode == "train":
                        head_lola = self.head_label[self.img_list[idx]]
                        eye lola = self.eye label[self.img list[idx]]
                        gaze_lola = self.gaze_label[self.img_list[idx]]
                        sample = {'img_name':self.img_list[idx], 'head_image': head_image,'eye_image': eye_image,'head_lola': head
            _lola, 'eye_lola': eye_lola, 'gaze_lola': gaze_lola}
                        sample = {'img_name':self.img_list[idx], 'head_image': head_image,'eye_image': eye_image}
                    return sample
```

为了更好的训练模型,我们将训练样本分为训练集(train\_set)和验证集(valid\_set)。一个训练epoch为跑完所有train\_set的数据所用的迭代次数。在完成一个训练epoch后,我们计算模型在验证集上的loss。最后我们根据验证集上的loss选择最优的模型。

```
In [ ]: def get train valid loader (dataset,
                                      batch_size,
                                      seed = 0,
                                      valid_size=0.1,
                                      shuffle=True,
                                      show_sample=False,
                                      num_workers=8,
                                      pin memory=False):
              num_train = len(dataset)
               indices = list(range(num_train))
               split = int(np.floor(valid_size * num_train))
              if shuffle:
                   np. random. seed (seed)
                   np.random.shuffle(indices)
               train_idx, valid_idx = indices[split:], indices[:split]
              train_sampler = SubsetRandomSampler(train_idx)
              valid_sampler = SubsetRandomSampler(valid_idx)
               train_loader = torch.utils.data.DataLoader(
                   dataset, batch_size=batch_size, sampler=train_sampler,
                   num_workers=num_workers)
              valid loader = torch.utils.data.DataLoader(
                   dataset, batch_size=batch_size, sampler=valid_sampler,
                   num_workers=num_workers)
              return (train_loader, valid_loader)
```

## 经过预处理后的人脸和眼睛图片如下:





我们在多块GPU上进行模型的并行训练,并监控模型的损失函数loss。模型的损失函数采用SmoothL1Loss。我们将头部朝向的loss\_head,眼睛朝向的loss\_eye,视线朝向loss\_gaze三个loss相加得到total\_loss。在每个迭代得到训练的误差后,我们反向传播误差的梯度。模型优化方法使用随机梯度下降(SGD)。

我们设置总的迭代次数为200000。初始的学习率设为0.1,我们在10000,20000,25000个epoch后将学习率变为0.01,0.001,0.0001.

```
In [ ]: def main(dataset):
               os. environ['CUDA VISIBLE DEVICES'] = "0,1,2,3,4,5,6,7" #8 gpus per node
               use_cuda = torch.cuda.is_available()
               model = GazeNet()
               if use_cuda:
                   model = nn.DataParallel(model).cuda()
               GPU_COUNT = torch.cuda.device_count()
               epoch = 0#one epoch is to iterate over the entire training set
               steps = 200000
               print("Training Starts!")
               phase = "train"
               train_loader, valid_loader = get_train_valid_loader(dataset, 16*GPU_COUNT)
               dataiterator = iter(train_loader)
               start_time = time.time()
               for step in range(steps):
                   if phase == "train":
                       #train mode
                       #define optimizer
                       if epoch == 0:
                           learning_rate = 0.1
                           optimizer = torch. optim. SGD (model. parameters (), lr=learning rate, momentum=0.9, weight decay=5e-4)
                       elif epoch == 10000:
                           learning_rate = 0.1
                           optimizer = torch.optim. SGD (model.parameters(), lr=learning_rate, momentum=0.9, weight_decay=5e-4)
                       elif epoch == 20000:
                           learning_rate = 0.1
                           optimizer = torch.optim.SGD(model.parameters(), lr=learning_rate, momentum=0.9, weight_decay=5e-4)
                       elif epoch == 25000:
                           learning_rate = 0.1
                           optimizer = torch.optim.SGD(model.parameters(), lr=learning_rate, momentum=0.9, weight_decay=5e-4)
                       optimizer.zero_grad()
                           input_data = next(dataiterator)
                       except StopIteration:
                           phase = "val"
                           continue
                       for key in input data:
                           if key!="img_name":
                               if use_cuda:
                                   input_data[key] = Variable(input_data[key]).type(torch.cuda.FloatTensor)
                                   input_data[key] = Variable(input_data[key]).type(torch.FloatTensor)
                       img_head = input_data['head_image']
                       img_eye = input_data['eye_image']
                       head_gt = input_data['head_lola']
                       gaze_gt = input_data['gaze_lola']
eye_gt = input_data['eye_lola']
                       #将真值也做归一化,和模型输出量纲相同
                       head_gt = (head_gt + 90)/180
                       gaze_gt = (gaze_gt + 90)/180
                       eye_gt = (eye_gt + 90)/180
                       output = model(img_head, img_eye)
                       loss fn = SmoothL1Loss()
                       loss_head = loss_fn(output['head'], head_gt)
                       loss_eye = loss_fn(output['eye'], eye_gt)
                       loss_gaze = loss_fn(output['gaze'], gaze_gt)
                       total_loss = loss_head + loss_eye + loss_gaze
                       if (step+1)\%100==0:
                           print("Step: {} Elapsed Time: {} s".format(step+1, time.time()-start_time))
                           print("head: {:.5f} eye: {:.5f} gaze: {:.5f} total: {:.5f}"
                                 . \ format (loss\_head. \ item(), loss\_eye. \ item(), loss\_gaze. \ item(), total\_loss. \ item())) \\
                       if (step+1)\%1000==0:
                           if not os.path.exists("ckpt/"+str(learning_rate)):
    os.makedirs("ckpt/"+str(learning_rate))
                           ./ckpt/{}/train_{}_step.pth".format(learning_rate, 1+step))
                           gc.collect()
                       total_loss.backward()
                       optimizer.step()
                   else:
                       #val mode
                       print("###one training epoch ends. Now validation###")
                       epoch += 1
                       valid_gaze = []
                       valid_total = []
                       valid head = []
                       valid_eye = []
```

```
dataiterator = iter(valid_loader)
while True:
        input data = next(dataiterator)
    except StopIteration:
        break
    for key in input_data:
        if key!="img_name":
            if use_cuda:
                 input_data[key] = Variable(input_data[key]).type(torch.cuda.FloatTensor)
                 input_data[key] = Variable(input_data[key]).type(torch.FloatTensor)
    head_gt = (head_gt + 90)/180
    gaze\_gt = (gaze\_gt + 90)/180
    eye_gt = (eye_gt + 90)/180
    output = model(img_head, img_eye)
    loss_fn = SmoothL1Loss()
    loss_head = loss_fn(output['head'], head_gt).item()
loss_eye = loss_fn(output['eye'], eye_gt).item()
    loss_gaze = loss_fn(output['gaze'], gaze_gt).item()
    valid_gaze.append(loss_gaze)
    valid_head. append(loss_head)
    valid_eye.append(loss_eye)
    valid_total.append(loss_head + loss_eye + loss_gaze)
print("head: \{:.5f\} eye: \{:.5f\} gaze: \overline{\{:.5f\}} total: \{:.5f\}"\
      . format (np. mean (valid_head), np. mean (valid_eye), np. mean (valid_gaze), np. mean (valid_total)))
print("#############")
phase = "train"
train_loader, valid_loader = get_train_valid_loader(gaze_set, 16*GPU_COUNT)
dataiterator = iter(train_loader)
```

下面,我们在测试集上做预测。我们读取测试数据,它们只包含头部和眼睛图片,在{test\_data\_dir}下。然后我们加载{model\_path}路径下的模型,将图片输入到模型,得到预测结果gaze\_lola。最后我们将预测结果按照输出文件格式输出到路径为{output\_path}的文件中。在ssh登录窗口(如MobaXterm)我们可以把文件从客户端下载到本地,并提交到竞赛网站上。

```
In [ ]: | def output_predict(dataloader,output_path,pretrained_model = None):
                #test mode
                model = GazeNet()
                if\ {\tt pretrained\_model:}
                    pt = torch.load(pretrained_model)
                    model.load_state_dict(pt["model"])
                os.environ['CUDA VISIBLE DEVICES'] = "0" #use one gpu for testing?
                use_cuda = torch.cuda.is_available()
                if use_cuda:
                    model = model.cuda()
                dataiterator = iter(dataloader)
                pred = \{\}
                while True:
                    try:
                         input data = next(dataiterator)
                    except StopIteration:
                        break
                    for key in input\_data :
                         if key!= "img_name":
                             if use_cuda:
                                 input_data[key] = Variable(input_data[key]).type(torch.cuda.FloatTensor)
                                 input_data[key] = Variable(input_data[key]).type(torch.FloatTensor)
                    img_head = input_data['head_image']
                    img_eye = input_data['eye_image']
                    output = model(img_head,img_eye)
                    gaze_lola = output["gaze"].data.cpu().numpy()
                    gaze_lola = gaze_lola*180 - 90
                    img_name_batch = input_data['img_name']
                    for idx, img_name in enumerate(img_name_batch):
                pred[img_name] = gaze_lola[idx]
with open(output_path, "w") as f:
                    for k, v in pred.items():
                        f. write(k. split(".")[0]+"\n")
f. write("%0.3f\n" % v[0])
f. write("%0.3f\n" % v[1])
```