Lead-agnostic Self-supervised Learning for Local and Global Representations of Electrocardiogram

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Abstract

In recent years, self-supervised learning methods have shown significant improvement for pre-training with unlabeled data and have proven helpful for electrocardiogram signals. However, most previous pre-training methods for electrocardiogram focused on capturing only global contextual representations. This inhibits the models from learning fruitful representation of electrocardiogram, which results in poor performance on downstream tasks. Additionally, they cannot fine-tune the model with an arbitrary set of electrocardiogram leads unless the models were pre-trained on the same set of leads. In this work, we propose an ECG pre-training method that learns both local and global contextual representations for better generalizability and performance on downstream tasks. In addition, we propose random lead masking as an ECG-specific augmentation method to make our proposed model robust to an arbitrary set of leads. Experimental results on two downstream tasks, cardiac arrhythmia classification and patient identification, show that our proposed approach outperforms other state-of-the-art methods.

Data and Code Availability This paper uses the Physionet 2021 dataset and PTB-XL dataset, which are publicly available on the PhysioNet repository (Reyna et al., 2021; Wagner et al., 2020). More details about datasets can be found at Section 5.1.

Our implementation code can be accessed at this repository. 1

1. Introduction

Electrocardiogram (ECG) is the most commonly used measurement to investigate a patient's cardiac activity. It is a non-invasive method to assess the functionality of the heart, which helps to diagnose numerous heart diseases such as arrhythmia, myocardial infarction, and arterial disease. In any healthcare facility, symptoms related to the heart involve ECG measurement, which leads to the everyday accumulation of ECG recordings. In previous deep learning methods (Kachuee et al., 2018; Yan et al., 2019), supervised learning of ECG data was performed with labels only clinical practitioners or professional cardiologists could annotate. This limited the number of samples available for training and also became a burdensome task for practitioners.

To overcome this limitation, researchers started to adopt the self-supervised learning strategies from other domains such as natural language processing (Jacob et al., 2019; Peters et al., 2018), computer vision (Chen et al., 2020; Grill et al., 2020), and speech processing (Oord et al., 2018; Baevski et al., 2020), where a model is first pre-trained on a large unlabeled dataset, then fine-tuned on a smaller taskspecific labeled dataset. In Kiyasseh et al. (2021), the authors present a self-supervised learning framework that encourages ECG representations across patients to be similar or different from one another. Similarly, Gopal et al. (2021) propose generated views using 3D augmentations across positive pairs derived from the same patient to use for contrastive learning. However, these previous works on self-supervised learning of ECG signals exploit only the global context of ECG

^{1.} https://github.com/Jwoo5/fairseq-signals

recordings, not the local context. In addition, these methods pre-train and fine-tune under the assumption that ECG data will always consist of the same set of leads for both pre-training and fine-tuning. However, pre-training and fine-tuning for each lead combination is not a feasible assumption in the real-world environment where it is difficult to know which specific set of leads to be fine-tuned beforehand.

Therefore, in this work, we propose a contrastive pre-training method considering both global semantic information and the local context of ECG signals. Additionally, we present random lead masking, which enables the model to become robust when only a subset of lead recordings are available at the fine-tuning stage. Our proposed method outperforms other state-of-the-art ECG pre-training methods for two downstream tasks: cardiac arrhythmia classification and patient identification. We chose these two tasks because classification and identification require local and global contextualized representations, respectively. For example, features considering local variability of ECG signals must be extracted to classify cardiac abnormalities, whereas features related to global trends or characteristics of ECG is more important for the identification task.

We summarize our **contributions** in this work as follows:

- We propose an ECG pre-training method that considers both local and global contextual information. To the best of our knowledge, this is the first ECG pre-training approach to consider both together.
- We present Random Lead Masking (RLM), which allows a robust model performance on downstream tasks with an arbitrary number of leads.
- In two downstream tasks, diagnosis classification and patient identification, our proposed method consistently achieves stronger performance compared to previous state-of-the-art methods.

2. Related Works

Electrocardiogram ECG is acquired through several electrodes placed on certain surfaces of the body. By measuring the voltages between pairs of corresponding attachments, we can evaluate the cardiac

function of the heart. The standard ECG is composed of 12 leads (channels), where each lead measures a specific electrical potential difference. However, retaining all 12 leads of ECG is not common in the real-world environment because patients must attach at least ten electrodes to the skin in a health-care facility. Therefore, many practitioners and cardiac experts utilize reduced-channel systems (Green et al., 2007).

Previous works show that a deep learning-based approach is effective for automatically diagnosing several heart diseases with ECG. Kachuee et al. (2018) found that deep residual neural networks achieve high accuracy on heartbeat classification tasks and also on MI classification tasks. Furthermore, Yan et al. (2019) developed a heartbeat classifier based on Transformer (Vaswani et al., 2017), showing extremely high performance in arrhythmia classification.

Biometric identification with ECG has also been a promising field (Labati et al., 2019; Li et al., 2020) since common approaches related to personal characteristics, such as fingerprints or facial images, are known to be vulnerable. For example, facial images can be replicated by artificial masks, and fingerprints can also be easily copied by silicon. However, since cardiac activity is controlled by the autonomic (involuntary) nervous system, it is difficult to mimic or simulate someone's ECG signal. Therefore, personal identification using ECG can be an attractive alternative to the previous vulnerable approaches.

Contrastive self-supervised learning Recent works aim to learn high-level global semantic information by designing positive views to be close together and negative views to be far apart in the latent representation space. In computer vision, Chen et al. (2020); Grill et al. (2020) propose to define positive pairs as differently augmented views of the same image. These works demonstrate that data augmentations are highly important for global contrastive learning which is based on perturbations.

In the audio domain, local contrastive learning has been researched in speech processing such that contrastive views are constructed on different frames of the same audio. For example, Oord et al. (2018) propose to learn useful representations from autoregressively predicting the future features in the latent space. More recently, Baevski et al. (2020) achieve the best performance in speech recognition through quantization and masking of inputs in the

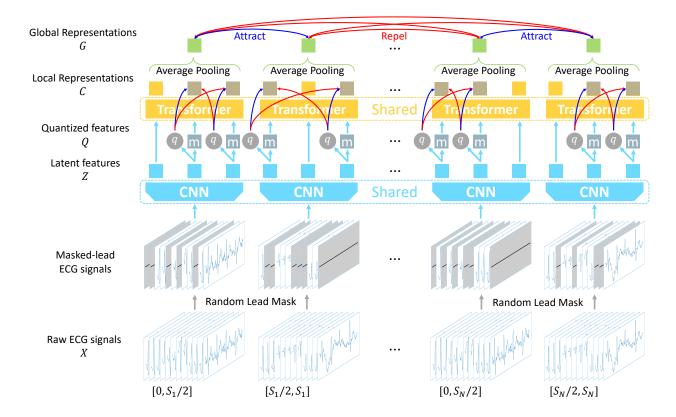


Figure 1: Illustration of our proposed framework. It jointly learns local and global context by integrating Wav2Vec 2.0 and CMSC. Temporally adjacent segments are passed through the convolutional encoder after some leads are randomly masked. Then, randomly selected latent tokens are replaced with the mask token m, as well as quantized to q. The local contrastive loss is computed between the local representations at masked time steps and their quantized features. The positive pair and negative pairs are shown as blue and red arrows, respectively. To learn global context together, local representations are average-pooled to generate global representations. Then, the network is trained to maximize agreement between temporal adjacent ECG segments.

latent space and solving a contrastive task over masked outputs and quantized features. We utilize this work as the representative model for local contrastive learning since it has been state-of-the-art in the speech domain.

Representation learning for electrocardiogram Recently, methods for learning representations from ECG via self-supervised learning have been actively developed. Most of them extend global contrastive learning for ECG. For example, 3KG (Gopal et al., 2021) introduces several augmentations, especially in the 3-dimensional space of ECG, which is called vectorcardiogram (VCG). Motivated by the fact that the natural characteristic of a heart varies without criti-

cal clinical implications, they convert ECG into VCG and generate positive pairs of VCGs by applying stochastic augmentations such as 3-D rotation and 3-D scaling. Afterward, they convert the augmented VCGs back to ECGs and solve a global contrastive learning task similar to SimCLR (Chen et al., 2020). Kiyasseh et al. (2021) propose another approach to construct effective contrastive views. They define positive pairs as different spatiotemporal segments of ECGs from the same patient: different frames of the same ECGs (CMSC), different leads of the same ECGs (CMLC), or combining both of them together (CMSMLC). We use CMSC method as one of the global contrastive methods for ECG since Kiyasseh

et al. (2021) mentions CMLC performs consistently worse than CMSC. The author speculates this is due to most heart diseases not being equally visible in all 12 leads at the same time point. On the other hand, CMSC shows superior performance by using non-overlapping temporal segments of the same lead in ECG recordings as positive pairs for contrastive learning.

3. Pre-training

In this section, we first describe our contrastive learning strategy. Specifically, we describe Wav2Vec 2.0 (i.e. capturing local features) and CMSC (i.e. capturing global features), and the combination of the two. Then we describe Random Lead Masking (RLM), which helps the model better generalize to downstream tasks with an arbitrary number of ECG leads.

3.1. Contrastive Learning

Wav2Vec 2.0 Wav2Vec 2.0 consists of several convolutional blocks to encode raw ECG signal inputs and several transformer encoder blocks to derive contextualized local representations from the CNN outputs. Additionally, the latent features derived from the convolutional encoder are quantized to perform contrastive tasks with the local contextual representations. Quantization is the process of mapping continuous-valued feature vectors to a finite set of discrete-valued vectors (*i.e.* codes) via a trainable codebook. The codebook contains vectors where the latent features are replaced with the nearest code in the codebook, which is chosen via Gumbel-softmax layer (Jang et al., 2016).

Specifically, given a raw ECG input X, the convolutional encoder $f: X \Rightarrow Z$ produces the latent features Z. Then, the Transformer $h: Z \Rightarrow C$ produces contextualized local representations C. The quantization module $q: Z \to Q$ quantizes the latent features to Q.

During pre-training, the latent features at random time steps are quantized to q as well as masked to m before being fed into the Transformer (Shown by the part above the CNN layers in Figure 1). Then, the model is trained to maximize the cosine similarity between the local contextualized representation and its corresponding quantized feature at each masked time step. This framework effectively captures salient local

information from both local and quantized representations of each ECG signal.

CMSC CMSC introduces a patient-specific method for effective self-supervised learning of unlabelled ECG data. The temporal invariant nature of ECG recordings is exploited by defining adjacent temporal segments as positive pairs. Specifically, given an i-th ECG recording with the duration of S_i seconds, pairs of temporal segments are sampled by non-overlapping $S_i/2$ second segments (See the duration below the raw ECG signals in Figure 1). For simplicity, we fix the duration $S_i = 10$ for each i-th ECG signal. The adjacent segments are utilized as positive pairs, and other temporal segments from different ECG samples are used as negative pairs. This contrastive learning method focuses on global representations of ECG recordings by comparing inter-relations of ECG recordings between patients.

Wav2Vec 2.0+CMSC We integrate the Wav2Vec 2.0 model architecture and CMSC training scheme to learn both global and local contexts via contrastive learning. The 12-channel raw ECG signals are segmented into 2 * N samples, where N represents the original number of samples, and used as input for the local contrastive task shown in Figure 1. Similar to Wav2Vec 2.0, the model undergoes minimization of the local contrastive loss between a local contextualized representation and its quantized feature. Specifically, given a local representation vector \mathbf{c}_t at a masked time step t and its quantized latent feature \mathbf{q}_t , the local contrastive loss is defined as

$$L_t = -\log \frac{e^{sim(\mathbf{c}_t, \mathbf{q}_t)/\tau}}{\sum_{\mathbf{q} \sim Q} e^{sim(\mathbf{c}_t, \mathbf{q})/\tau}}$$
(1)

$$L_{local} = \frac{1}{|M|} \sum_{t \in M} L_t \tag{2}$$

where $sim(\boldsymbol{a},\boldsymbol{b})$ is the cosine similarity between two vectors \boldsymbol{a} and \boldsymbol{b} , Q is a set of quantized candidate latent features, which consist of \boldsymbol{q}_t and quantized features from other masked time steps, and M is a set of masked time steps.

Simultaneously, in order to learn global context together, temporal average pooling is applied to local contextual representations. (Shown by the upper part of Transformer block in Figure 1). Then, patient-specific noise contrastive estimation loss is utilized for learning the global representation of

ECGs. This global contrastive loss is defined as

$$L_{i,j} = -\log \frac{e^{sim(\boldsymbol{g}_i, \boldsymbol{g}_j)/\tau}}{\sum_{k=1}^{2N} \mathbb{1}_{[k\neq i]} e^{sim(\boldsymbol{g}_i, \boldsymbol{g}_k)/\tau}}$$
(3)

$$L_{global} = \frac{1}{|P^{+}|} \sum_{i,j \in P^{+}}^{\sum_{i,j \in P^{+}}} L_{i,j}$$
 (4)

where $g_i = \frac{1}{T} \sum_{t \in T} c_t$ stands for the global representation of the *i*-th sample, and P^+ denotes a set of indices of positive pairs in a batch. Finally, the overall objective function of the integrated model can be described as Eq. 5. The local contrastive learning task focuses on intra-relations within each ECG signal, while the global contrastive learning task exploits inter-relations between ECG recordings of different patients during training.

$$L = L_{local} + L_{global} \tag{5}$$

3.2. Random Lead Masking

Although 12-lead ECG signals are the standard for various ECG-related downstream tasks, there is a growing demand for models that are able to provide robust performance for reduced-lead ECG signals (Green et al., 2007). This is due to the limited accessibility of standard 12-lead ECG recordings, as attaching at least ten electrodes to the patient's body is not a trivial task. In addition, the increasing popularity of ECG-measuring personal devices (i.e. smart watches), which typically measure reduced-lead ECG signals, is likely to generate an even larger volume of reduced-lead ECGs. Taking this into consideration, the obvious self-supervised learning strategy is to pre-train and fine-tune individual models for each set of leads. However, this is practically infeasible since there are too many possible combinations with 12 leads.

Therefore, we propose Random Lead Masking (RLM) as an ECG-specific augmentation method, where we randomly mask each lead individually with the probability of p=0.5. This strategy enables the 12-lead model to mimic the pre-training setting of using various lead combinations by stochastically masking random leads in every iteration. In other words, the model is exposed to diverse lead combinations at the pre-training stage, which reduces the dependence of representations on all 12 leads of ECG signals. Thus, a single pre-trained model with RLM can show robust performance when being fine-tuned for downstream tasks with an arbitrary set of leads.

4. Fine-tuning

After pre-training, we evaluate the models by fine-tuning on labeled data for two representative downstream tasks for ECG, namely cardiac arrhythmia classification and patient identification. During the fine-tuning process, we apply temporal average pooling on the output of the Transformer (i.e. contextualized local features c_t 's) to get a representation vector for each entire ECG signal. Then, we add a randomly initialized fully connected layer after the temporal average pooling layer to perform the downstream tasks.

We experiment with five different combinations of available leads: 12-lead, 6-lead (I, II, III, aVF, aVL, aVR), 3-lead (I, II, V2), 2-lead (I, II), and 1-lead (I). These lead combinations are identical to the setup used in *PhysioNet/Computing in Cardiology Challenge 2021* (Reyna et al., 2021), except for the 4-lead combination (I, II, III, V2). It was excluded because of the correlation between the limb leads, where lead III can be obtained by the simple equation of lead I and II (*i.e.* Lead III = -Lead I + Lead II). Therefore, the 4-lead combination does not provide any additional information compared to the 3-lead combination. We also added a 1-lead (I) experiment because many wearable devices such as smart watches measure only 1-lead ECG signals.

Cardiac Arrhythmia Classification Cardiac arrhythmia classification is a task to predict the diagnosis of cardiac abnormalities of the heart. In this task, we classify ECG signals into 26 SNOMED-CT multilabels which are scored according to Reyna et al. (2021).

For evaluation, we utilize the CinC Score, which is introduced in *PhysioNet/Computing in Cardiology Challenge 2021* (Reyna et al., 2021). This metric is a weighted version of the traditional accuracy metric, where partial credit is given to misdiagnosis with similar symptoms as true diagnosis and penalty for misdiagnosis with very different symptoms to true diagnosis. This weighted score reflects the clinical reality that not all misdiagnoses are considered the same. Models that output the correct classes for all the samples receive a score of 1, and models that always output the normal class (*i.e.* no abnormality) receive a score of 0. The score ranges from -1 to 1.

Patient Identification Patient identification is a task where the model is required to learn effective representations of the ECG signals so that the simi-

larity between two different ECGs of the same patient are relatively high.

Here, we treat a fully connected layer as a collection of weight vectors for each patient (class). That is, when we perform a classification task using the traditional softmax classifier, the feature vectors are trained to have a high similarity (i.e. a high dot product) with its corresponding weight vector, and a low similarity (i.e. a low dot product) with other weight vectors. However, since the softmax classifier optimizes dot product similarity, it does not impel higher similarity among intra-patient ECGs and diversity among inter-patient ECGs.

To overcome this problem, we exploit the Arc-Face (Deng et al., 2019) loss formulated as

$$L = -\frac{1}{N} \sum_{i=1}^{N} \log \frac{e^{s \cos(\theta_{y_i} + m)}}{e^{s \cos(\theta_{y_i} + m)} + \sum_{j=1, j \neq y_i}^{N} e^{s \cos(\theta_{y_i})}}$$

where θ_{y_i} is the angle between the feature vector and its corresponding weight vector, m is the angular margin penalty to θ_{y_i} , and s is the scaling factor before applying softmax. By optimizing cosine similarity with additional angular margin penalty, the ArcFace loss can maximize similarity for intra-patient ECGs while minimizing for inter-patient ECGs.

For evaluation, the test set is composed of two subsets which are called the gallery and probe sets. The subsets consist of unique ECG samples but share the same patients. For example, if the gallery set contains an ECG sample from patient A, the probe set must also contain ECG recording from the same patient A. During testing, we discard the additional fully connected layer and only take the representation vectors of ECG samples in each subset into account. Afterward, we calculate cosine similarities of all possible pairs between gallery and probe set, and define the closest pairs as having the same identity to each other. The visualization of the training process of this task is provided in Appendix A.

5. Experiments

In this section, we describe the datasets and implementation details of our work. Then, we present our experimental results, where the experiments are as follows:

Firstly, we pre-train our proposed models and other baselines on the massive ECG dataset using all 12 leads. Then, for two downstream tasks, we fine-tune the single 12-lead model on the five reduced-lead combinations by filling unavailable leads with zero.

Secondly, we pre-train and fine-tune our proposed models and other baselines for each lead combination, respectively. We conduct this experiment to show the theoretical upper-bound performance of our first experiment. This experiment requires a massive amount of training hours and computational resources since we individually pre-train the models for each set of leads.

Lastly, we conduct an ablation study regarding RLM. The objective of this study is to observe the outcome of utilizing RLM with other baseline models. The enhanced performance using RLM shows the effectiveness of RLM as an ECG-specific augmentation for self-supervised contrastive learning.

Note that we do not perform the second and third experiments with 3KG since the primary objective of 3KG lies in converting ECGs into VCGs and applying stochastic 3-D perturbations to them to generate positive pairs. Using fewer ECG leads during conversion to VCG will fail to construct the 3-D structure, and the perturbations will not have any contextual meaning.

5.1. Datasets

PhysioNet 2021 We conduct pre-training experiments on six datasets in PhysioNet 2021 (Reyna et al., 2021) which are CPSC, CPSC-Extra, PTB-XL, Georgia, Ningbo, and Chapman. Each sample has a sampling frequency of 500 Hz and ranges between 5 and 144 seconds. As mentioned in Section 3.1, we repeatedly crop $S_i = 10$ second temporal segments for each *i*-th data sample. Additionally, we split each 10-second sample into two $S_i/2 = 5$ second segments for processing data easily for the global contrastive task. This leads to 189,051 samples of 12-lead ECG recordings, each of which has a sample size of 2500.

In fine-tuning for cardiac arrhythmia classification, we utilize the CPSC and Georgia datasets since these two datasets are used for evaluation in *PhysioNet/Computing in Cardiology Challenge* 2021 (Reyna et al., 2021).² We split the samples into training, validation, and test sets according to

^{2.} To be more specific, the data used for evaluation in CinC Challenge 2021 has not been shared publicly. However, since the hidden evaluation datasets are partly composed of these two datasets (CPSC and Georgia), we used them as our fine-tuning dataset for cardiac arrhythmia classification.

Table 1: Test performances when pre-training a single 12-lead model and fine-tuning on the five lead combinations. In fine-tuning, we fill unavailable leads with zero, which is denoted as P-N-lead (Padded-N-lead). Here, W2V stands for Wav2Vec 2.0. We measure CinC Score for diagnosis classification (Dx.), and accuracy for patient identification (Id.). Mean and 95% confidence interval are shown across 3 seeds. We highlight the best performances with **boldface** for each lead combination on two tasks.

Methods		Lead combinations				
		12-lead	P-6-lead	P-3-lead	P-2-lead	P-1-lead
Baselines						
Random Init.	Dx.	0.618 ± 0.002	0.522 ± 0.010	0.592 ± 0.011	0.525 ± 0.020	0.415 ± 0.006
Random mit.	Id.	0.492 ± 0.001	0.346 ± 0.004	0.470 ± 0.005	0.358 ± 0.003	0.112 ± 0.008
W2V	Dx.	0.714 ± 0.011	0.643 ± 0.012	0.676 ± 0.011	0.611 ± 0.002	0.525 ± 0.016
VV Z V	Id.	0.492 ± 0.004	0.411 ± 0.002	0.470 ± 0.001	0.414 ± 0.004	0.247 ± 0.003
CMSC	Dx.	0.625 ± 0.006	0.522 ± 0.004	0.575 ± 0.010	0.507 ± 0.014	0.406 ± 0.006
CMSC	Id.	0.513 ± 0.006	0.392 ± 0.012	0.510 ± 0.003	0.378 ± 0.023	0.227 ± 0.008
3KG	Dx.	0.600 ± 0.014	0.515 ± 0.005	0.563 ± 0.002	0.505 ± 0.014	0.418 ± 0.009
3KG	Id.	0.407 ± 0.004	0.320 ± 0.004	0.367 ± 0.001	0.310 ± 0.003	0.198 ± 0.001
SimCLR(RLM)	Dx.	0.578 ± 0.015	0.497 ± 0.002	0.535 ± 0.015	0.484 ± 0.004	0.393 ± 0.012
Simolit(Ithii)	Id.	0.353 ± 0.003	0.289 ± 0.004	0.368 ± 0.006	0.304 ± 0.003	0.192 ± 0.004
Our methods						
W2V+CMSC	Dx.	0.717 ± 0.001	0.616 ± 0.012	0.656 ± 0.009	0.586 ± 0.010	0.482 ± 0.007
	Id.	0.550 ± 0.009	0.437 ± 0.002	0.466 ± 0.006	0.410 ± 0.003	0.280 ± 0.004
W2V+CMSC+RLM	Dx.	$\boldsymbol{0.732 \pm 0.004}$	$\boldsymbol{0.662 \pm 0.011}$	$\boldsymbol{0.714 \pm 0.006}$	$\boldsymbol{0.656 \pm 0.010}$	$\boldsymbol{0.554 \pm 0.016}$
W 2 V + CMBC+RLM	Id.	$\boldsymbol{0.577 \pm 0.006}$	$\boldsymbol{0.459 \pm 0.007}$	$\boldsymbol{0.548 \pm 0.003}$	$\boldsymbol{0.457 \pm 0.005}$	$\boldsymbol{0.313 \pm 0.005}$

an 8:1:1 ratio, yielding 32640, 4079, and 4079 samples, respectively. The validation and test sets are excluded from the pre-training dataset.

In fine-tuning for the patient identification task, we use the pre-training dataset for training and validation. The train and validation sets are composed of 147,444 and 17,670 samples, which are 8:2 ratios of the pre-training dataset. Note that most PhysioNet 2021 datasets do not contain patient identity information, so we consider the segmented samples from the same ECG as having the same identity. After the fine-tuning, however, we test the model performance on PTB-XL, which does contain patient identity, as we will describe below.

PTB-XL To appropriately test the model for the patient identification task, we utilize PTB-XL dataset (Wagner et al., 2020), which is a subset of PhysioNet 2021 but has a patient id for each ECG sample. Thus, we can identify ECG samples with different sessions, which is a more appropriate way to evaluate the model. We select patients that have at least 2 ECG sessions and randomly crop them into 5 seconds. Then, we randomly choose two ECG sessions for each unique patient, and use them as the gallery and probe sets, respectively. As a result, we retain 2127 unique patient pairs of 12-lead ECG recordings, which are excluded from the pre-training dataset.

5.2. Implementation Details

We implemented our experiments with FAIRSEQ framework (Ott et al., 2019), which is based on Py-Torch (Paszke et al., 2019). The feature extractor is composed of 4 blocks, each of which consists of a convolutional layer followed by a layer normalization (Ba et al., 2016), and a GELU activation function (Hendrycks and Gimpel, 2016). The convolutional layers in each block have 256 channels with

Table 2: Test performances of various pre-training methods when pre-training and fine-tuning an individual model for each lead combination. We measure CinC Score for diagnosis classification (Dx.), and accuracy for patient identification (Id.). We highlight the best performances with **boldface**. Here, we bring 12-lead results from Table 1 as reference.

Methods		Lead combinations				
		12-lead	6-lead	3-lead	2-lead	1-lead
Baselines						
Random Init.	Dx.	0.618 ± 0.002	0.515 ± 0.007	0.596 ± 0.013	0.517 ± 0.012	0.406 ± 0.009
Kandom mit.	Id.	0.492 ± 0.001	0.345 ± 0.003	0.470 ± 0.004	0.350 ± 0.003	0.114 ± 0.005
W2V	Dx.	0.714 ± 0.011	$\boldsymbol{0.696 \pm 0.007}$	0.730 ± 0.006	$\boldsymbol{0.710 \pm 0.011}$	0.614 ± 0.013
VV Z V	Id.	0.492 ± 0.004	0.478 ± 0.002	0.519 ± 0.002	0.498 ± 0.004	0.327 ± 0.000
CMSC	Dx.	0.625 ± 0.006	0.491 ± 0.009	0.544 ± 0.008	0.497 ± 0.018	0.466 ± 0.015
CMSC	Id.	0.513 ± 0.006	0.396 ± 0.004	0.499 ± 0.006	0.421 ± 0.005	0.274 ± 0.004
Our methods						
W2V+CMSC	Dx.	0.717 ± 0.001	0.689 ± 0.017	0.713 ± 0.021	0.696 ± 0.013	0.592 ± 0.008
W 2 V + CIVISC	Id.	0.550 ± 0.008	$\boldsymbol{0.514 \pm 0.002}$	$\boldsymbol{0.568 \pm 0.010}$	$\boldsymbol{0.537 \pm 0.003}$	$\boldsymbol{0.346 \pm 0.004}$
W2V+CMSC+RLM	Dx.	$\boldsymbol{0.732 \pm 0.004}$	0.666 ± 0.011	0.701 ± 0.006	0.660 ± 0.003	-
	Id.	$\boldsymbol{0.577 \pm 0.006}$	0.495 ± 0.006	0.479 ± 0.001	0.447 ± 0.009	-

strides of 2 and kernel lengths of 2. Furthermore, the Transformer setup was consistent with the BERT-BASE model (Jacob et al., 2019), where the number of transformer block layers is 12, the dimension of the model is 768, the number of self-attention heads is 12, and the dimension of the feed-forward network is 3,072. When fine-tuning, the additional fully connected layer projects the representation vectors to the number of classes of each task. For the identification task, the class stands for the unique patients in the training dataset.

For the configurations in local contrastive learning, we follow hyper-parameter settings of the original **Wav2Vec 2.0**. We select each token as the start of the span to be masked with the probability of 0.065 and mask the subsequent 10 time-steps. In addition, the quantization module contains two groups of 320 codes. For **ArcFace** in identification, we use the scaling factor of s=192, and margin of m=1.0.

We optimize the model using Adam (Kingma and Ba, 2014) with the learning rate of 5×10^{-5} for pretraining and fine-tuning on the classification task, and 3×10^{-5} for fine-tuning on identification task. For pre-training, the batch size is 10-second 512 ECG samples, which are segmented into 5-second 1024 ECG samples, on 4 RTX A6000 GPUs, giving a

training time of 24 hours. For fine-tuning, the batch size is 5-second 128 ECG samples on a single **RTX 3090** GPU, resulting in a training time of 4 hours for cardiac arrhythmia classification and 18 hours for patient identification, respectively.

5.3. Fine-tuning a Single 12-lead Model on Padded Leads

In Table 1, we represent the experimental results when pre-training a single 12-lead model and fine-tuning on various lead combinations. In other words, a single pre-trained model by 12-lead is fine-tuned on reduced-leads by filling unavailable leads with zero.

The experimental results show that our proposed method, W2V+CMSC+RLM, outperforms other state-of-the-art methods that learn local or global context disjointly. For the classification task, W2V+CMSC+RLM shows an average of 0.0298 and 0.1366 improvement in CinC Score compared to W2V and CMSC, respectively, across all lead combinations. Similarly, for the identification task, W2V+CMSC+RLM achieves an average of 6.4%p and 6.67%p increase in accuracy compared to W2V and CMSC, respectively, across all lead combinations.

Table 3:	Test performances when applying random leads masking to W2V and CMSC. We measure CinC
	Score for diagnosis classification (Dx.), and accuracy for patient identification (Id.). If the perfor-
	mance is improved with RLM, we mark it with boldface .

Methods		Lead combinations				
		12-lead	P-6-lead	P-3-lead	P-2-lead	P-1-lead
W2V	Dx.	0.714 ± 0.011	0.643 ± 0.012	0.676 ± 0.011	0.611 ± 0.002	0.525 ± 0.016
	Id.	0.492 ± 0.004	0.411 ± 0.002	0.470 ± 0.001	0.414 ± 0.004	0.247 ± 0.003
W2V+RLM	Dx.	0.718 ± 0.003	0.649 ± 0.007	0.700 ± 0.011	0.655 ± 0.009	0.559 ± 0.008
	Id.	0.476 ± 0.002	$\boldsymbol{0.421 \pm 0.002}$	0.427 ± 0.001	0.398 ± 0.004	$\boldsymbol{0.283 \pm 0.004}$
CMSC	Dx.	0.625 ± 0.006	0.522 ± 0.004	0.575 ± 0.010	0.507 ± 0.014	0.406 ± 0.006
	Id.	0.513 ± 0.006	0.392 ± 0.012	0.510 ± 0.003	0.378 ± 0.023	0.227 ± 0.008
CMSC+RLM	Dx.	$\boldsymbol{0.697 \pm 0.002}$	0.615 ± 0.003	0.665 ± 0.006	0.616 ± 0.010	0.499 ± 0.006
	Id.	$\boldsymbol{0.565 \pm 0.002}$	$\boldsymbol{0.481 \pm 0.003}$	$\boldsymbol{0.554 \pm 0.006}$	$\boldsymbol{0.479 \pm 0.004}$	$\boldsymbol{0.309 \pm 0.003}$

Additionally, we can also see that the performances on P-3-lead are consistently higher than P-2-lead or P-6-lead for all methods. This is because any two limb leads can be used to calculate the other four leads since they are measured on the same frontal (coronal) plane. Therefore, 2-lead and 6-lead contain the same amount of ECG information. On the other hand, 3-lead includes a precordial lead (V2) together with two limb leads (I, II), so that 3-lead has additional ECG information compared to 2-lead or 6-lead.

In addition, comparing the results of W2V+CMSC+RLM with W2V+CMSC, there exists a significant gap for all lead combinations. This shows the benefit of utilizing RLM with W2V+CMSC when pre-training for 12-lead ECG data.

5.4. Pre-training and Fine-tuning for Each Lead Combination

The fine-tuning results of the individually pre-trained models for each lead combination are shown in Table 2. For the classification task, W2V shows the best score for all lead combinations other than 12-lead. As mentioned in Section 4, this classification task focuses on local contextual representations for accurate diagnosis. Therefore, the local contrastive method, W2V, is able to show stronger performance compared to global and local contrastive method, W2V+CMSC+RLM.

For the identification task, W2V+CMSC shows optimal performance for all other lead combinations other than 12-lead. In this case, global and local representations learned for each lead combination were able to outperform either local or global representations.

In this experimental setup, the performance of W2V+CMSC+RLM was consistently worse than W2V+CMSC for all reduced-lead combinations (6-lead, 3-lead, 2-lead). We hypothesize that this is due to using fewer leads at pre-training with RLM, which harms the expressiveness of the model compared to when using all 12 leads. In other words, since we reduced available leads, the possible combinations of leads significantly decreased together. Since the efficacy of RLM originates from that the model can learn diverse combinations of leads at the pre-training stage, reducing available leads is detrimental to the model from learning robust representations for an arbitrary set of leads.

5.5. Ablation of RLM

As shown in Table 3, W2V+RLM shows improved performance for all lead combinations on the classification task. However, the performance decreases for some lead combinations on the identification task when applying RLM. We speculate that the main reason for this outcome is because using RLM with W2V enhances the W2V's capability to catch local context

Table 4: Test performances when applying various augmentations to W2V+CMSC model. *Physio*(4) stands for powerline noise, electromyographic noise, baseline wander, and baseline shift. *Physio*(3) stands for powerline noise, electromyographic noise, and baseline wander. We measure CinC Score for diagnosis classification (Dx.), and accuracy for patient identification (Id.).

Augmentations		Lead combinations				
		12-lead	P-6-lead	P-3-lead	P-2-lead	P-1-lead
RLM	Dx.	0.732 ± 0.004	$\boldsymbol{0.662 \pm 0.011}$	0.714 ± 0.006	0.656 ± 0.010	0.554 ± 0.016
	Id.	$\boldsymbol{0.577 \pm 0.006}$	0.459 ± 0.007	$\boldsymbol{0.548 \pm 0.003}$	0.457 ± 0.005	0.313 ± 0.005
RLM+Physio(4)	Dx.	0.697 ± 0.003	0.645 ± 0.015	0.674 ± 0.004	0.634 ± 0.005	0.562 ± 0.001
RLM+Thysio(4)	Id	0.455 ± 0.012	0.409 ± 0.004	0.433 ± 0.006	0.377 ± 0.002	0.302 ± 0.012
RLM+Physio(3)	Dx.	0.706 ± 0.007	0.645 ± 0.019	0.691 ± 0.002	0.646 ± 0.005	0.540 ± 0.000
RLM + Fitysto(3)	Id.	0.558 ± 0.008	$\boldsymbol{0.489 \pm 0.018}$	0.528 ± 0.007	$\boldsymbol{0.466 \pm 0.016}$	$\boldsymbol{0.322 \pm 0.005}$
- $Physio(4)$	Dx.	0.691 ± 0.002	0.596 ± 0.006	0.644 ± 0.006	0.604 ± 0.028	0.496 ± 0.001
Fnysio(4)	Id.	0.450 ± 0.009	0.403 ± 0.004	0.450 ± 0.005	0.437 ± 0.002	0.271 ± 0.002
Physio(3)	Dx.	0.708 ± 0.008	0.610 ± 0.002	0.622 ± 0.006	0.554 ± 0.005	0.479 ± 0.009
	Id.	0.488 ± 0.001	0.401 ± 0.008	0.421 ± 0.006	0.373 ± 0.006	0.249 ± 0.009

rather than global context. Accordingly, compared to W2V, W2V+RLM shows superior performance in classification task that requires local context but decreased performance in identification task that requires global context.

On the other hand, CMSC+RLM shows drastically increased performance compared to CMSC for all cases. We hypothesize that the contrastive task in the original CMSC, which is to maximize agreement between temporal adjacent ECG segments, is too simple for the model to learn valuable representations. As mentioned in Section 3.2, RLM helps the model learn diverse combinations of leads, which means the model can explore massive amounts of augmented ECG samples for each patient during pretraining. This ensures CMSC learns useful representations of patients showing much better performance for all lead combinations on two downstream tasks.

6. Discussion and Future Work

In this work, we presented a self-supervised learning method for global and local ECG representations by combining existing contrastive learning schemes, W2V and CMSC. In addition, we proposed RLM, an augmentation technique that masks each lead randomly at the pre-training stage, in order to obtain

a single pre-trained model robust against when all 12-leads are not available at the fine-tuning stage. The experimental results showed that our proposed method, W2V+CMSC+RLM, outperforms the state-of-the-art methods.

Augmentations We introduced random lead masking as an augmentation method to allow a single pre-trained model to be fine-tuned successfully with an arbitrary number of lead combinations. However, there are many previous and ongoing research on ECG-specific augmentation methods that exploit relations between leads that can potentially improve the performance of our proposed method. In Mehari and Strodthoff (2021), four different ECG-specific augmentation methods are introduced, which are baseline wander, powerline noise, electromyographic noise, and baseline shift. Details of these augmentations are provided in Appendix B.

We experimented W2V+CMSC with applying these four augmentation methods and RLM (RLM+Physio(4)). As shown in Table 4, it shows a decrease in performance compared to RLM, across all lead combinations except for P-1-lead on Dx. We speculate that the reason is because our dataset does not contain a high level of noise, but augmentation method such as baseline shift produces too many al-

terations to the original ECG samples for the model to train properly. Accordingly, we conducted experiments excluding baseline shift, and results are stated as RLM+Physio(3). Although the performance improved without the baseline shift, using only RLM as an augmentation still shows better performance in most cases. Furthermore, when applying only the ECG-specific augmentations without RLM (i.e. Physio(4) and Physio(3)), the performance mostly decreased, especially showing a significant gap on reduced leads. We leave the exploration of more advanced ECG-specific augmentations as our future work.

Limitations Our experiments contain some limitations. First, although all baselines and our model used the same set of hyperparameters for fair comparison, we did not investigate optimal hyperparameters in-depth, such as the probability of RLM (we used 0.5 in all experiments), leaving some room for squeezing out maximum possible performance. Second, even though our dataset contains a large amount of ECG samples that are collected from multiple healthcare facilities, all samples we used have a sampling rate of 500 Hz. Given that there are many ECGs recorded with various sampling rates, we plan to expand our work to frequency-agnostic self-supervised learning of ECGs.

Institutional Review Board (IRB)

This research does not require IRB approval.

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Appendix A. Visualization of Training Process for Patient Identification

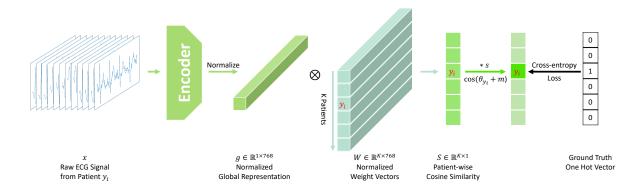


Figure 2A: Training process of patient identification task utilizing ArcFace loss. When validating or testing, we discard weight vectors and only get the normalized global representation vectors of ECG samples. Then, for each probe sample, we calculate cosine similarities with all the gallery samples, where the closest pair is considered to share the same identity.

Appendix B. Visualization of ECG-specific Augmentations

In this section, we describe the ECG-specific physiological augmentations applied in our work, which are initially introduced in Mehari and Strodthoff (2021). We also provide visualizations of these perturbations in Figure 3B.

1. Powerline Noise: Powerline interference is added to original signals. The noise is defined as

$$n(t) = \sum_{k=1}^{K} a_k \cos(2\pi t k f_n + \phi)$$

where K = 1, $f_n = 50$ Hz, $a_k \sim \mathcal{U}(0, 0.5)$, $\phi \sim \mathcal{U}(0, 2\pi)$.

2. **Electromyographic Noise**: Electromyographic is a high-frequency noise commonly from muscle contraction. The noise is defined as

$$n(t) \sim \mathcal{N}(0, a_t^2)$$

where $a_t \sim \mathcal{U}(0, 0.5)$.

3. Baseline Wander: Baseline wandering is considered as a low frequency artifact of ECG. The noise is defined as

$$n(t) = C \sum_{k=1}^{K} a_k \cos(2\pi t k \Delta f + \phi_k)$$

where K = 3, $C \sim \mathcal{N}(1, 0.5^2)$, $a_k \sim \mathcal{U}(0, 0.5)$, $\Delta f \sim \mathcal{U}(0.01, 0.2)$, and $\phi_k \sim \mathcal{U}(0, 2\pi)$.

4. Baseline Shift: Baseline shift changes baseline of random sampled steps from original signals. The noise is defined as

$$n \sim \mathcal{U}(-0.5, 0.5)$$

$$n(t) = \begin{cases} n & \text{if } t_{start} \le t \le t_{end} \\ 0 & \text{otherwise} \end{cases}$$

where $[t_{start}, t_{end}]$ is a randomly sampled span of original signals.

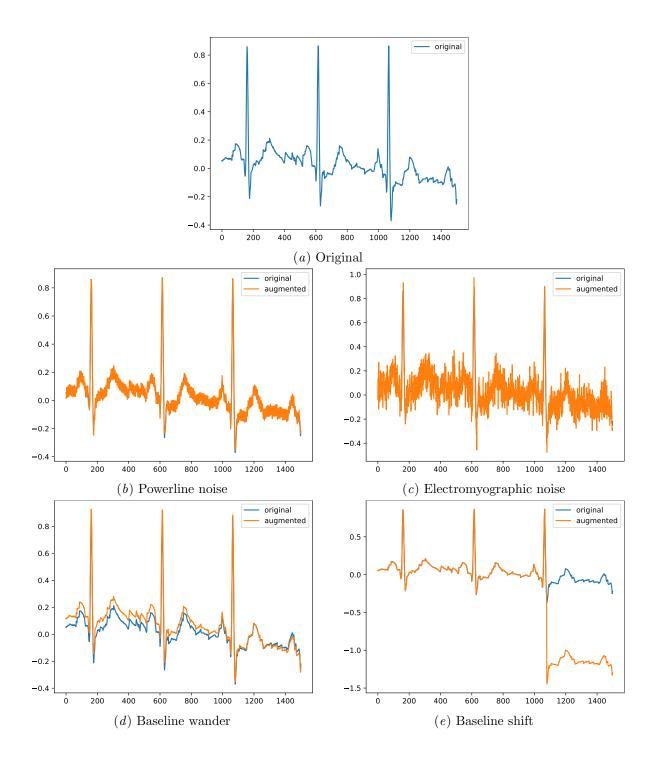


Figure 3B: Visualizations of ECG-specific augmentations.