

# **OPTICAL PROPERTIES OF TITANIUM OXYNITRIDE THIN FILMS AND APPLICATION IN SELECTIVE SOLAR ABSORBER**

**Zhang Jun**

## ***ABSTRACT***

The selective solar absorber (SSA) is one of the key units in the concentrated solar power (CSP) system, which is a promising renewable and clean energy generation technique by using solar energy. The titanium oxynitride ( $\text{TiN}_x\text{O}_y$ ) based SSA has been paid a lot of attention due to many advantages, such as environmental friendly, low cost, excellent optical property and so on. In this thesis, the optical properties of  $\text{TiN}_x\text{O}_y$  thin films have been investigated and the SSA based on  $\text{TiN}_x\text{O}_y$  thin film has been designed and modeled.

Firstly, the  $\text{TiN}_x\text{O}_y$  thin films have been characterized with various techniques, including X-ray photoelectron spectroscopy (XPS), X-ray diffraction (XRD), atomic force microscope (AFM), transmission electron microscope (TEM), spectroscopic ellipsometry (SE), Hall effect measurement, spectrophotometer, etc. The TEM image confirms the existence of TiN nanoparticles in the  $\text{TiN}_x\text{O}_y$  thin films. The localized surface plasmon resonance (LSPR) of the TiN nanoparticles plays an important part in the optical properties of the  $\text{TiN}_x\text{O}_y$  thin films. Then the SE is carried out to model the dielectric function of the  $\text{TiN}_x\text{O}_y$  thin films by using an optical dispersion model with the consideration of LSPR effect, free electron absorption and interband transitions. It is found that the optical properties of the  $\text{TiN}_x\text{O}_y$  layer can be significantly affected by changing the  $\text{N}_2$  flow rate in the sputtering deposition process as a result of the tuning of N/O ratio in the  $\text{TiN}_x\text{O}_y$  thin films.

A single  $\text{TiN}_x\text{O}_y$  thin film based high performance SSA with the structure of  $\text{SiO}_2\text{-TiO}_2\text{-TiN}_x\text{O}_y\text{-Cu}$  has been designed. The  $\text{SiO}_2$  and  $\text{TiO}_2$  thin films form a double-layer anti-reflection coating (ARC). The  $\text{TiN}_x\text{O}_y$  thin film serves as the absorbing layer and the optical properties can be easily adjusted by the  $\text{N}_2$  flow rate, which provides a simple and effective way for the optimization of a high performance SSA. Due to large free electron concentration, the Cu substrate is used as the infrared reflector. The SSA based on the  $\text{TiN}_x\text{O}_y$  layer deposited with the  $\text{N}_2$  flow rate of 2 sccm achieves a solar absorbance of 96.29% and a thermal emittance of 6.11% at the temperature of 400 °C.

In the  $\text{TiN}_x\text{O}_y$  thin film with low N/O ratio ( $\text{TiNO\_L}$ ) and  $\text{TiN}_x\text{O}_y$  thin film with high N/O ratio ( $\text{TiNO\_H}$ ), three absorption mechanisms have been modeled, including LSPR, free electron absorption and interband transitions. Because  $\text{TiNO\_H}$  has a higher free electron concentration than that of  $\text{TiNO\_L}$ , the absorption due to both the LSPR and free electron absorption in  $\text{TiNO\_H}$  is found larger than that in  $\text{TiNO\_L}$ . The absorption caused by the interband transitions in the  $\text{TiNO\_L}$  and  $\text{TiNO\_H}$  is close to each other. By using  $\text{TiNO\_L}$  and  $\text{TiNO\_H}$ , a double  $\text{TiN}_x\text{O}_y$  layer based SSA with the structure of  $\text{SiO}_2\text{-TiO}_2\text{-TiNO\_L-TiNO\_H-Cu}$  has been proposed. It is found that the double  $\text{TiN}_x\text{O}_y$  based SSA has a wider low reflectance range than that of single  $\text{TiN}_x\text{O}_y$  based SSA.

A low cost SSA with the structure of  $\text{SiO}_2\text{-TiN}_x\text{O}_y\text{-W/Cu}$  has been fabricated on glass substrate. In the SSA,  $\text{W/Cu}$  thin film structure is used to serve as an infrared reflector. Without W thin film, the reflectance in both visible and infrared ranges of the SSA increases due to the crystallization of the Cu layer at elevated temperatures. With a W layer with appropriate film thickness, the increase of the reflectance in the visible range can be suppressed to maintain a high solar absorptance, while a high infrared reflectance can be maintained to achieve a low thermal emittance.