

Ethics Declaration Form for CS3IP Student Projects

EPS Department: Computer Science

Project Title: Litigat8

Supervisor Name and e-Mail: Joe Yuen j.yuen@aston.ac.uk

Student Name, Number and e-Mail: Faran Zafar 220036414 220036414@aston.ac.uk

Section 0: Ethics Declaration Questions

If you are conducting a study for your supervisor where your supervisor already has ethical approval for the work to be conducted, please consult with your supervisor to ensure that (s)he has applied for an amendment to the existing ethical approval in order to permit your involvement. A letter from the College Research Ethics Committee confirming that permission will need included with your project report. You do not need to complete the remainder of this form.

Please answer the following questions honestly and carefully:

Will your project involve <u>any</u> of the following?	Delete as applicable
The NHS – either patients selected via the NHS or clinical staff working for the NHS	Not Permitted
Participants under the age of 18	Not Permitted
Vulnerable groups – individuals with physical disabilities, mental health disabilities/ill health, individuals with learning difficulties, prisoners/detained persons, people over 65 years of age, and/or pregnant women	Not Permitted
Any of the following: i) clinical procedures or ii) physical intervention or iii) penetration of the participant's body or iv) prescription of compounds additional to normal diet or other dietary manipulation/supplementation or v) collection of bodily secretions or vi) involve human tissue which comes within the Human Tissue Act (e.g., surgical operations; taking body samples including blood and DNA; exposure to ionizing or other radiation; exposure to sound light or radio waves; psychophysiological procedures such as fMRI, MEG, TMS, EEG, ECG, exercise and stress procedures; administration of any chemical substances)	Not Permitted
Delving into topics that might be sensitive, embarrassing or upsetting or where it is possible that criminal or other disclosures requiring action could take place (e.g., during interviews) – including but not limited to projects focusing on mental health	Not Permitted
Human participants (including all types of interviews, questionnaires, focus groups, records relating to humans, use of online datasets or other secondary data, observations, usability testing, etc.)	No
Testing of apparatus (including where you have developed new apparatus and are testing it for accuracy, including on yourself)	No
Risk to you, including:	No
lone working during data collection	No

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travel to areas where you may be at risk	No
risk of emotional distress	No
other: please outline	No
Any risk to the environment	No
Any conflict of interest	No
Work/research that could be considered controversial or be of reputational risk to Aston University	No
Social media data and/or data from internet sources that could be regarded as private	Yes
Any other ethical considerations (please state here or contact the Research Ethics Officer via your College Ethics inbox if there are any substantial ethical considerations you are aware of and would like to flag for the REC.)	No

If you answer 'yes' to any of the questions above, you will need to continue to complete the rest of this form as well as any required participant-facing documentation (see Ethics Guidance provided). You and your supervisor will need to sign the declarations section at the end of this form before submitting it, along with all other paperwork, for ethical approval. No data collection and use (including recruitment of participants) may take place before ethical approval has been granted. All ethics paperwork, including evidence of ethical approval, should be included in your final report submission.

If you answered 'no' to all of the questions above, you may skip to Section 2. You and your supervisor will need to sign the declarations section at the end of this form. The form will need included in your final report submission.

Section 1: Study Details

Please provide the following information about your study. Be as detailed as possible. Where a question is not relevant, please indicate 'Not Applicable' but also explain why you believe that to be so.

Study Details	
Project Objectives Please provide a brief outline of your overall project objectives	To have a language processing model to answer basic legal queries about household tenant law with references to the suitable case laws if they exist for that specific query
Study Objectives Please explain how the study you are seeking ethical approval to conduct contributes to your overall project objectives	The data collection objective it to have textual data for giving context to my NLP model from which the model would structure the advice and responses from.
Data Collection Method(s) to be Used Please outline your proposed data collection methods – e.g., questionnaire/survey, interview, observational study, etc. Justify their use and explain how you	The data would be collected from google scholar with appropriate references and the data would be under public license no private data would be used.

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will conduct the data	
collection in practice,	
including timeframe	
Data to be	Articles and papers on household and tenant law.
	Acticles and papers on nousehold and tenant law.
Collected	
Please briefly outline	
the type of data to	
be collected	
Location of Data	The data would be collected from online sources only from publicly available articles.
Collection	
Please briefly outline	
where you will be	
collecting the data –	
e.g., where you will	
be conducting your	
study	
Participant	Not Applicable
Recruitment	
Please outline how	
you will recruit	
participants to your	
study and how you	
will ensure that	
participants are not	
coerced to	
participate	
Data Storage	
Please outline where	The data collected would be in the form of pdfs which would be kept on the university servers.
you will store your	
data (ideally, on an	
encrypted server;	
USB drives are not	
permissible)	
Data Deletion	The data collected in the form of pdfs would be deleted as soon as the vector database is
Please outline when	created and after that it would be deleted only the vector database would be kept till the
you will erase the	
alaska vast selle til	nroject deadline
aata you collected.	project deadline.
data you collected. For personally	project deadline.
For personally	project deadline.
-	project deadline.
For personally identifiable	project deadline.
For personally identifiable information, it should be deleted once an	project deadline.
For personally identifiable information, it should be deleted once an anonymised version	project deadline.
For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio	project deadline.
For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio recordings should be	project deadline.
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For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio recordings should be deleted once the corresponding	project deadline.
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For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio recordings should be deleted once the corresponding anonymised transcripts are created. For anonymised data, it	project deadline.
For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio recordings should be deleted once the corresponding anonymised transcripts are created. For anonymised data, it should be kept until	project deadline.
For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio recordings should be deleted once the corresponding anonymised transcripts are created. For anonymised data, it should be kept until the final grade of the	project deadline.
For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio recordings should be deleted once the corresponding anonymised transcripts are created. For anonymised data, it should be kept until the final grade of the project is released	project deadline.
For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio recordings should be deleted once the corresponding anonymised transcripts are created. For anonymised data, it should be kept until the final grade of the project is released OR there is	project deadline.
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For personally identifiable information, it should be deleted once an anonymised version is created, e.g., audio recordings should be deleted once the corresponding anonymised transcripts are created. For anonymised data, it should be kept until the final grade of the project is released OR there is agreement from participants (via the consenting process) to retain and share data for/with future	project deadline.
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data

For Secondary Data/Dataset Use Only: Compliance with Terms & Conditions of Use

If you will be in receipt of secondary data OR will be using an online, publicly available dataset, please provide evidence that you are observing any terms and conditions associated with its use and have permission to use it. Be mindful that just because data is available online does not mean that you are ethically entitled to use it for your study; this needs proven. If you are being given data by, for example, a third party, you need to be sure that the individual has permission to share the data with you.

I'm not using any public dataset the only data I would be collecting would be articles and papers on household and tenant law under the open license which would be appropriately referenced in the report as well to provide the citation to the papers.

Following papers would be used for my model:

- Carr, Helen and Cowan, Dave, The Social Tenant, the Law and the UK's Politics of Austerity (February 16, 2015). Oñati Socio-Legal Series, Vol. 5, No. 1, 2015, Available at SSRN: https://ssrn.com/abstract=2565733
- 2. Andy Dickerson, Emily McDool & Damon Morris. (2023) <u>Post-compulsory education pathways and labour market outcomes</u>. *Education Economics* 31:3, pages 326-352.
- Peta Wolifson, Sophia Maalsen & Dallas Rogers. (2023) <u>Intersectionalizing Housing Discrimination Under Rentier Capitalism in an Asset-Based Society</u>. *Housing, Theory and Society* 40:3, pages 335-355.
- 4. Moore, T. and Dunning, R., 2017. Regulation of the private rented sector in England using lessons from Ireland. JRF Report, Joseph Rowntree Foundation, York
- 5. Flint, J., 2004. The responsible tenant: Housing governance and the politics of behaviour. *Housing studies*, *19*(6), pp.893-909.
- 6. Ball, M., 2010. The UK private rented sector as a source of affordable accommodation. York: Joseph Rowntree Foundation.
- 7. Power, E. R. and Gillon, C. (2022) 'Performing the 'good tenant'', Housing Studies, 37(3), pp. 459–482. doi: 10.1080/02673037.2020.1813260.
- 8. McKee, K., Muir, J. and Moore, T. (2017) 'Housing policy in the UK: the importance of spatial nuance', Housing Studies, 32(1), pp. 60–72. doi: 10.1080/02673037.2016.1181722.
- 9. Hilber, Christian A. L. (2015) UK housing and planning policies: the evidence from economic research. Election Analysis (33). Centre for Economic Performance, The London School of Economics and Political Science, London, UK.
- 10. Rolfe, S. et al. (2023) 'The role of private landlords in making a rented house a home', International Journal of Housing Policy, 23(1), pp. 113–137. doi: 10.1080/19491247.2021.2019882.
- S. Moffatt, S. Lawson, R. Patterson, E. Holding, A. Dennison, S. Sowden, J. Brown, A qualitative study of the impact of the UK 'bedroom tax', *Journal of Public Health*, Volume 38, Issue 2, June 2016, Pages 197–205, https://doi.org/10.1093/pubmed/fdv031

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- 12. Hulse, K. Haffner, (2014)'Security and M. and Rental Housing: New Perspectives', Housing Studies. 29(5), 573-578. doi: pp. 10.1080/02673037.2014.921418.
- 13. Murie, A. (1997) 'The social rented sector, housing and the welfare state in the UK', *Housing Studies*, 12(4), pp. 437–461. doi: 10.1080/02673039708720909.
- 14. Stone, M. E. (2006) 'A Housing Affordability Standard for the UK', *Housing Studies*, 21(4), pp. 453–476. doi: 10.1080/02673030600708886.
- 15. Harris, J. and McKee, K., 2021. Health and Wellbeing in the UK Private Rented Sector: enhancing capabilities. *Glasgow, UK: UK Collaborative Centre for Housing Evidence (CaCHE)*.
- 16. Harris, J. and McKee, K., 2021. Health and Wellbeing in the UK Private Rented Sector: enhancing capabilities. Glasgow, UK: UK Collaborative Centre for Housing Evidence (CaCHE).
- 17. Kemp, P. A. (2011) 'Low-income Tenants in the Private Rental Housing Market', Housing Studies, 26(7–8), pp. 1019–1034. doi: 10.1080/02673037.2011.615155.
- 18. Jordan M. Contesting the property paradigm amid 'radical' constitutional change: Living Rent and the Private Residential Tenancies (Scotland) Act 2016. *Legal Studies*. Published online 2024:1-18. doi:10.1017/lst.2024.4
- 19. Lister, D. (2006). Unlawful or just awful? Young people's experiences of living in the private rented sector in England. YOUNG, 14(2), 141-155. https://doi.org/10.1177/1103308806062738
- 20. Powell, R. (2015) 'Housing Benefit Reform and the Private Rented Sector in the UK: On the Deleterious Effects of Short-term, Ideological "Knowledge", Housing, Theory and Society, 32(3), pp. 320–345. doi: 10.1080/14036096.2015.1027830.
- 21. Green, G., Barratt, C. and Wiltshire, M. (2016) 'Control and care: landlords and the governance of vulnerable tenants in houses in multiple occupation', *Housing Studies*, 31(3), pp. 269–286. doi: 10.1080/02673037.2015.1080818.
- 22. Badarinza, Cristian and Ramadorai, Tarun, Long-Run Discounting: Evidence from the UK Leasehold Valuation Tribunal (October 26, 2015). Available at SSRN: https://ssrn.com/abstract=2412296 or https://ssrn.com/abstract=2412296 or https://dx.doi.org/10.2139/ssrn.2412296
- 23. Feijten, P., & van Ham, M. (2010). The Impact of Splitting Up and Divorce on Housing Careers in the UK. *Housing Studies*, *25*(4), 483–507. https://doi.org/10.1080/02673031003711477.
- 24. Morgan, J. (2001) 'Nuisance and the Unruly Tenant', The Cambridge Law Journal, 60(2), pp. 382–404. doi:10.1017/S0008197301000162.
- 25. Coulter, Rory, and Michael Thomas. "A New Look at the Housing Antecedents of Separation." Demographic Research, vol. 40, 2019, pp. 725–60. JSTOR, https://www.jstor.org/stable/26727015. Accessed 4 Apr. 2024.
- 26. Easthope, H. (2014) 'Making a Rental Property Home', *Housing Studies*, 29(5), pp. 579–596. doi: 10.1080/02673037.2013.873115.
- 27. Soaita, A. M. et al. (2017) 'Becoming a landlord: strategies of property-based welfare in the private rental sector in Great Britain', Housing Studies, 32(5), pp. 613–637. doi:

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10.1080/02673037.2016.1228855.

- 28. Martin, C., 2004. Law and Order in Public Housing: the Residential Tenancies Amendment (Public Housing) Act 2004 (NSW). *Current Issues in Criminal Justice*, *16*(2), pp.226-232.
- 29. Tunstall, R. (2003) "Mixed tenure' policy in the UK: privatisation, pluralism or euphemism?", *Housing, Theory and Society*, 20(3), pp. 153–159. doi: 10.1080/14036090310019445.
- 30. Gibb, K. (2015) 'The multiple policy failures of the UK bedroom tax', *International Journal of Housing Policy*, 15(2), pp. 148–166. doi: 10.1080/14616718.2014.992681.
- 31. Shankley, W. and Finney, N., 2020. Ethnic minorities and housing in Britain. In Ethnicity, Race and Inequality in the UK (pp. 149-166). Bristol: Policy Press.
- 32. Adriana Mihaela Soaita, Kim McKee, Assembling a 'kind of' home in the UK private renting sector, Geoforum, Volume 103,2019, Pages 148-157, ISSN 0016-7185, https://doi.org/10.1016/j.geoforum.2019.04.018.
- 33. Carr, H., 2002. Renting Homes 2: Co-Ocupation, Transfer and Succession. A Consultation Paper. The Stationary Office.
- 34. https://www.landmarkchambers.co.uk/wp-content/uploads/2018/07/Landlord-and-Tenant-Recent-Cases-John-Male-QC-Katharine-Holland-QC.pdf
- 35. Law Commission, 1996. Landlord and tenant: Responsibility for State and Condition of Property. The Law Commission, London.
- **36.** https://www.camden.gov.uk/documents/20142/2134745/Rent+Repayment+Order-Tenants+Guide.pdf/03d9c275-6cca-c7ab-0363-34e72fc0409f
- 37. https://researchbriefings.files.parliament.uk/documents/SN01998/SN01998.pdf
- 38. Rolfe, S. et al. (2023) 'The role of private landlords in making a rented house a home', International Journal of Housing Policy, 23(1), pp. 113–137. doi: 10.1080/19491247.2021.2019882.
- 39. https://www.supremecourt.uk/cases/docs/uksc-2010-0239-judgment.pdf

Risk

Please outline any risks to either the participants in your study and/or yourself in the conduct of the study and what you have done to mitigate that risk

N/A

Section 2: Declarations

The following declaration should be signed by both you, the student, and your supervisor

Student:

I confirm the following:

- The above is an accurate representation of my study activities;
- That I shall not commence participant recruitment and/or data collection without ethical

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approval to do so (where applicable);

- That I shall seek further ethical approval should I need to make any changes to my study after ethical approval has been granted (where applicable);
- That I shall conduct my study with integrity and in accordance with the ethical approval granted (where applicable);
- That, where necessary, I shall use existing or secondary data in accordance with terms and conditions of use or ethical approval, as applicable;
- That I understand that if I breach the terms of the approval granted I may not be able to use the data collected in my project report and may face disciplinary procedures; and
- That I shall respect my participants (where applicable) and the data I have collected and am using.

Supervisor:

I confirm the following:

- That I have reviewed the content of this form and all associated paperwork and am happy with its standard and accuracy;
- That I shall monitor the student's conduct of the study in accordance with the ethical approval granted (where applicable); and
- That I shall report to the person(s) granting ethical approval any breaches of approval and ensure that no data is included in the student's work that has been collected in breach of approval.

Both student and supervisor should sign* and date below:

Signatures:

Date:

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* note, type //e-signatures are acceptable.

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