

CH-231-A

Algorithms and Data Structures

ADS

Lecture 40

Dr. Kinga Lipskoch

Spring 2022

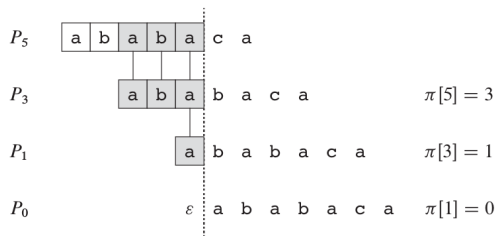
Prefix Function

Given a pattern $P[1..m]$, the **prefix function** for the pattern P is the function $\pi : \{1, 2, \dots, m\} \rightarrow \{0, 1, \dots, m-1\}$ such that $\pi[q] = \max\{k : k < q \text{ and } P_k \sqsupseteq P_q\}$.

Example: $P = ababaca$

i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	a	c	a
$\pi[i]$	0	0	1	2	3	0	1

(a)



(b)

KMP-Matcher

KMP-MATCHER(T, P)

```

1   $n = T.length$ 
2   $m = P.length$ 
3   $\pi = \text{COMPUTE-PREFIX-FUNCTION}(P)$ 
4   $q = 0$  // number of characters matched
5  for  $i = 1$  to  $n$  // scan the text from left to right
6      while  $q > 0$  and  $P[q + 1] \neq T[i]$ 
7           $q = \pi[q]$  // next character does not match
8      if  $P[q + 1] == T[i]$ 
9           $q = q + 1$  // next character matches
10     if  $q == m$  // is all of  $P$  matched?
11         print "Pattern occurs with shift"  $i - m$ 
12          $q = \pi[q]$  // look for the next match
```

Compute Prefix

COMPUTE-PREFIX-FUNCTION(P)

```
1   $m = P.length$ 
2  let  $\pi[1..m]$  be a new array
3   $\pi[1] = 0$ 
4   $k = 0$ 
5  for  $q = 2$  to  $m$ 
6      while  $k > 0$  and  $P[k + 1] \neq P[q]$ 
7           $k = \pi[k]$ 
8      if  $P[k + 1] == P[q]$ 
9           $k = k + 1$ 
10      $\pi[q] = k$ 
11 return  $\pi$ 
```

KMP Example (1)

Position	1	2	3	4	5	6	7	8	9
Pattern:	a	b	a	b	c	a	b	a	b
π	0	0	1	2	0	1	2	3	4

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	...
Text:	a	b	a	b	a	b	c	b	a	b	a	b	c	a	b	a	b	c	a	b	...
Pattern:	a	b	a	b	c	a	b	a	b												

KMP Example (2)

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	...
Text:	a	b	a	b	a	b	c	b	a	b	a	b	c	a	b	a	b	c	a	b	...
Pattern:			a	b	a	b	c	a	b	a	b										

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	...
Text:	a	b	a	b	a	b	c	b	a	b	a	b	c	a	b	a	b	c	a	b	...
Pattern:			a	b	a	b	c	a	b	a	b										

KMP Example (3)

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	...
Text:	a	b	a	b	a	b	c	b	a	b	a	b	c	a	b	a	b	c	a	b	...
Pattern:								a	b	a	b	c	a	b	a	b					

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	...
Text:	a	b	a	b	a	b	c	b	a	b	a	b	c	a	b	a	b	c	a	b	...
Pattern:								a	b	a	b	c	a	b	a	b					

KMP Example (4)

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	...
Text:	a	b	a	b	a	b	c	b	a	b	a	b	c	a	b	a	b	c	a	b	...
Pattern:									a	b	a	b	c	a	b	a	b				

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	...
Text:	a	b	a	b	a	b	c	b	a	b	a	b	c	a	b	a	b	c	a	b	...
Pattern:														a	b	a	b	c	a	b	...

Time Complexity

- ▶ First, line 4 starts k at 0, and the only way that k increases is by the increment operation in line 9, which executes at most once per iteration of the for loop of lines 5 – 10.
- ▶ Thus, the total increase in k is at most $m - 1$.
- ▶ Second, since $k < q$ upon entering the for loop and each iteration of the loop increments q , we always have $k < q$.
- ▶ Therefore, the assignments in lines 3 and 10 ensure that $\pi[q] < q$ for all $q = 1, 2, \dots, m$, which means that each iteration of the while loop decreases k .
- ▶ Third, k never becomes negative.
- ▶ Therefore, the total decrease in k is $m - 1$.
- ▶ COMPUTE-PREFIX-FUNCTION runs in time $\Theta(m)$.
- ▶ Similarly, KMP-MATCHER runs in $\Theta(n)$.

Excuse: Linear Programming

Linear programming problem:

Let A be matrix of size $m \times n$, b a vector of size m , and c a vector of size n .

Find a vector x of size n that maximizes $c^T x$ subject to $Ax \leq b$, or determine that no such solution exists.

The diagram illustrates the linear programming problem using colored rectangles to represent dimensions:

- A large pink rectangle labeled A has height m and width n .
- A small yellow rectangle labeled x has width n .
- A small pink rectangle labeled b has height m .
- The constraint is shown as $Ax \leq b$.
- The objective is shown as maximizing $c^T x$, where c^T is represented by a small pink rectangle and x by a small yellow rectangle.

Example: Difference Constraints

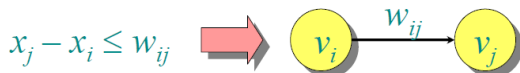
Linear programming example, where each row of A contains exactly one 1 and one -1 , other entries are 0.

$$\left. \begin{array}{l} x_1 - x_2 \leq 3 \\ x_2 - x_3 \leq -2 \\ x_1 - x_3 \leq 2 \end{array} \right\} x_j - x_i \leq w_{ij}$$

Goal: Find 3-vector x that satisfies these inequations.

Solution: $x_1 = 3$, $x_2 = 0$, $x_3 = 2$.

Build constraint graph (matrix A of size $|E| \times |V|$):



Case 1: Unsatisfiable Constraints

Theorem:

If the constraint graph contains a negative-weight cycle, then the constraints are unsatisfiable.

Proof:

Suppose we have a negative-weight cycle:

$$v_1 \rightarrow v_2 \rightarrow \cdots \rightarrow v_k \rightarrow v_1.$$

Then,

$$\begin{aligned} x_2 - x_1 &\leq w_{12} \\ x_3 - x_2 &\leq w_{23} \\ &\vdots \\ x_k - x_{k-1} &\leq w_{k-1, k} \\ x_1 - x_k &\leq w_{k1} \end{aligned}$$

Summing the inequations delivers: $LHS = 0$, $RHS < 0$.

Hence, no x exists that satisfies the inequations.

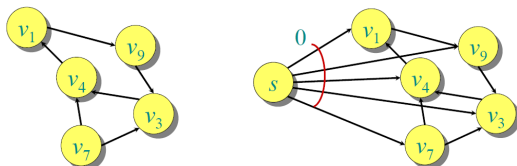
Case 2: Satisfiable Constraints (1)

Theorem:

If no negative-weight cycle exists in the constraint graph, then the constraints are satisfiable.

Proof:

Add a vertex s with a 0-weight edge to all vertices. Note that this does not introduce a negative-weight cycle.



Case 2: Satisfiable Constraints (2)

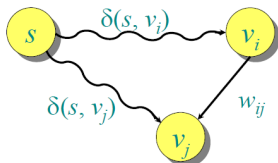
Show that the assignments $x_i = \delta(s, v_i)$ for $i = 1, \dots, n$ solve the constraints.

Consider any constraint $x_j - x_i \leq w_{ij}$.

Then, consider the shortest path from s to v_j and v_i .

The triangle inequality delivers $\delta(s, v_j) \leq \delta(s, v_i) + w_{ij}$.

Since $x_i = \delta(s, v_i)$ and $x_j = \delta(s, v_j)$, constraint $x_j - x_i \leq w_{ij}$ is satisfied.



Bellmann-Ford for Linear Programming

Corollary:

The Bellman-Ford algorithm can solve a system of m difference constraints on n variables in $O(m \cdot n)$ time.

Remark:

Single-source shortest paths is a simple linear programming problem.

All-Pairs Shortest Paths

Problem:

- ▶ So far, we considered the (single-source) shortest paths problem of finding the shortest paths from a source vertex $s \in V$.
- ▶ Now, we would like to extend this to finding all-pairs shortest paths.
- ▶ The input is, again, a directed graph $G = (V, E)$ with an edge-weight function $w : E \rightarrow \mathbb{R}$.
- ▶ Let $V = \{1, \dots, n\}$.
- ▶ The output shall be an $n \times n$ -matrix of shortest-path lengths $\delta(i, j)$ for all $i, j \in V$.

Use Single-Source Shortest Paths

- ▶ **Idea:**
Run the single-source shortest paths algorithm for each vertex $s \in V$ being the source once.
- ▶ Dijkstra's algorithm (for non-negative weights):
Computation time = $O(|V| \cdot (|E| + |V|) \cdot \lg(|V|))$ [min-heap]
Worst-case = $\Theta(|V|^3 \cdot \lg(|V|))$
- ▶ Bellman-Ford algorithm (for general case):
Computation time = $O(|V|^2 \cdot |E|)$
Worst-case = $\Theta(|V|^4)$

Dynamic Programming for All-Pairs Shortest Paths (1)

Consider the substructure:

$d_{ij}^{(m)}$ = weight of a shortest path
from i to j that uses at most m edges.

Theorem:

- Initially ($m = 0$), we have

$$d_{ij}^{(0)} = \begin{cases} 0 & \text{if } i = j, \\ \infty & \text{if } i \neq j; \end{cases}$$

- Then, for $m = 1, \dots, n - 1$, we have

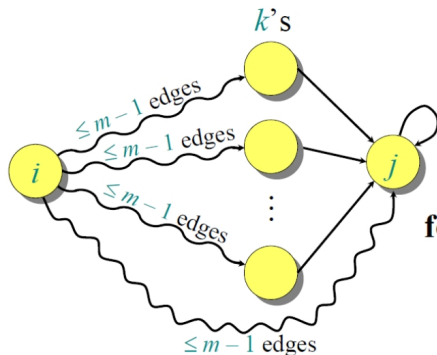
$$d_{ij}^{(m)} = \min_k \{d_{ik}^{(m-1)} + a_{kj}\}$$

where $A = (a_{ij})$ is the adjacency matrix

Dynamic Programming for All-Pairs Shortest Paths (2)

Proof:

$$d_{ij}^{(m)} = \min_k \{d_{ik}^{(m-1)} + a_{kj}\}$$



for $k \leftarrow 1$ to n
 do if $d_{ij} > d_{ik} + a_{kj}$
 then $d_{ij} \leftarrow d_{ik} + a_{kj}$

Remark

- ▶ The dynamic programming strategy is to start with $m = 0$ and successively increase m until we reach $n - 1$.
- ▶ If we have no negative-weights cycles, we are done after $n - 1$ steps, i.e.,
$$\delta(i, j) = d_{ij}^{(n-1)} = d_{ij}^{(n)} = d_{ij}^{(n+1)} = \dots$$

Implementation (1)

- ▶ The expression $d_{ij}^{(m)} = \min_k \{d_{ik}^{(m-1)} + a_{kj}\}$ updates all entries of the $n \times n$ -matrix $D^{(m)} = (d_{ij}^{(m)})$ from the $n \times n$ -matrices $D^{(m-1)}$ and A .
- ▶ We can use a matrix multiplication notation $D^{(m)} = D^{(m-1)} \cdot A$, where the typical operations "+" and "." are mapped to the operations "min" and "+".
- ▶ $D^{(0)}$ is the respective identity matrix

$$I = \begin{pmatrix} 0 & \infty & \infty & \infty \\ \infty & 0 & \infty & \infty \\ \infty & \infty & 0 & \infty \\ \infty & \infty & \infty & 0 \end{pmatrix} = D^{(0)} = (d_{ij}^{(0)})$$

Implementation (2)

- ▶ The introduced matrix multiplication is associative and it can be shown that it forms a closed semi-ring (assuming real numbers).
- ▶ Hence, the dynamic programming algorithm executes the following computation steps:

$$D^{(1)} = D^{(0)} \cdot A = A^1$$

$$D^{(2)} = D^{(1)} \cdot A = A^2$$

...

$$D^{(n-1)} = D^{(n-2)} \cdot A = A^{n-1}$$

where the result is stored in

$$D^{(n-1)} = (\delta(i, j))$$

Analysis

- ▶ Since we are executing $n - 1$ matrix multiplications for matrices of size $n \times n$, the computation time is $\Theta(n \cdot n^3) = \Theta(n^4)$.
- ▶ Since $n = |V|$, this is not better than running n times the Bellman-Ford algorithm.
- ▶ However, we can exploit the generalized power-of-a-number recursion, which reduces the time complexity to $\Theta(n^3 \cdot \lg n)$.
- ▶ Note that n does not need to be a power of 2, as $A^{n-1} = A^n = A^{n+1} = \dots$

Summary

- ▶ Directed and undirected graphs
- ▶ Adjacency matrix vs. adjacency lists
- ▶ Graph search: BFS or DFS in $\Theta(|V| + |E|)$
- ▶ MST: Prim in $O(|E| \lg(|V|))$ for min-heap
- ▶ Single-source Shortest Paths:
 - ▶ Dijkstra for non-negative weights in $O((|V| + |E|) \lg(|V|))$ for min-heap
 - ▶ BFS for non-weighted edges in $\Theta(|V| + |E|)$
 - ▶ Bellman-Ford for all cases in $\Theta(|V| \cdot |E|)$