

CH-231-A

Algorithms and Data Structures

ADS

Lecture 35

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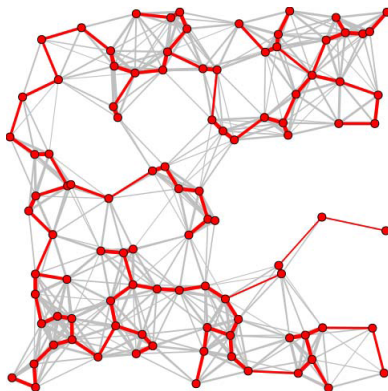
Minimum Spanning Tree: Problem

- ▶ Given a connected undirected graph $G = (V, E)$ with weight function $w : E \rightarrow \mathbb{R}$.
- ▶ Compute a **minimum spanning tree** (MST), i.e., a tree that connects all vertices with minimum weight

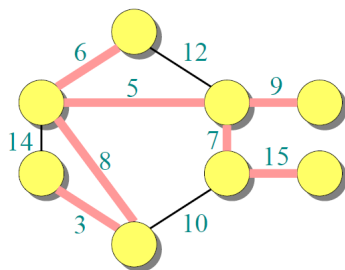
$$w(T) = \sum_{(u,v) \in T} w(u,v).$$

- ▶ Why of interest?
One example would be a telecommunications company laying out cables to a neighborhood.

Example Spanning Tree

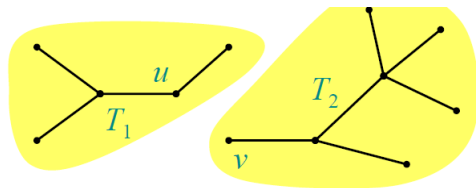


Example MST



Optimal Substructure

- ▶ Consider an MST T of graph G (other edges not shown).
- ▶ Remove any edge $(u, v) \in T$.
- ▶ Then, T is partitioned into subtrees T_1 and T_2 .



MST: Theorem

- (a) Subtree T_1 is a MST of graph $G_1 = (V_1, E_1)$ with V_1 being the set of all vertices of T_1 and E_1 being the set of all edges $\in G$ that connect vertices $\in V_1$.
- (b) Subtree T_2 is a MST of graph $G_2 = (V_2, E_2)$ with V_2 being the set of all vertices of T_2 and E_2 being the set of all edges $\in G$ that connect vertices $\in V_2$.

Proof (only (a), (b) is analogous):

- (1) $w(T) = w(T_1) + w(T_2) + w(u, v)$
- (2) Assume S_1 was a MST for G_1 with lower weight than T_1 .
- (3) Then, $S = S_1 \cup T_2 \cup \{(u, v)\}$ would be an MST for G with lower weight than T .
- (4) Contradiction.

Greedy Choice Property (1)

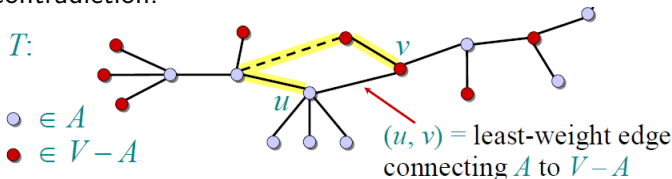
Theorem:

- ▶ Let T be the MST of graph $G = (V, E)$ and let $A \subset V$.
- ▶ Let $(u, v) \in E$ be the edge with least weight connecting A to $V \setminus A$.
- ▶ Then, $(u, v) \in T$.

Greedy Choice Property (2)

Proof:

- ▶ Suppose (u, v) is not part of T .
- ▶ Then, consider the path from u to v within T .
- ▶ Replace the weight of the first edge on this path that connects a vertex in A to a vertex in $V \setminus A$ with the weight of (u, v) .
- ▶ This results in a spanning tree with smaller weight.
Contradiction.



Prim's Algorithm

Idea:

- ▶ Develop a greedy algorithm that iteratively increases A and, consequently, decreases $V \setminus A$.
- ▶ Maintain $V \setminus A$ as a min-priority queue Q (min-priority queue analogous to max-priority queue).
- ▶ Key each vertex in Q with the weight of the least weight edge connecting it to a vertex in A (if no such edge exists, the weight shall be infinity).
- ▶ Then, always add the vertex of $V \setminus A$ with minimal key to A .

Min-Priority Queues

Definition (recall):

A priority queue is a data structure for maintaining a set S of elements, each with an associated value called a key.

Definition (implementation as min-heap):

A min-priority queue is a priority queue that supports the following operations:

- ▶ **Minimum**(S): return element from S with smallest key. [$O(1)$]
- ▶ **Extract-Min**(S): remove and return element from S with smallest key. [$O(\lg n)$]
- ▶ **Decrease-Key**(S, x, k): decrease the value of the key of element x to k , where k is assumed to be smaller or equal than the current key. [$O(\lg n)$]
- ▶ **Insert**(S, x): add element x to set S . [$O(\lg n)$]

Prim's Algorithm Pseudocode

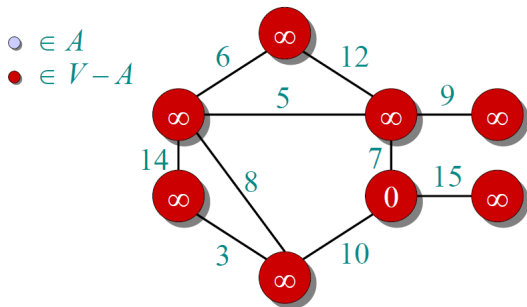
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 $Q \leftarrow V$ 
 $key[v] \leftarrow \infty$  for all  $v \in V$ 
 $key[s] \leftarrow 0$  for some arbitrary  $s \in V$ 
while  $Q \neq \emptyset$ 
    do  $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
        for each  $v \in \text{Adj}[u]$ 
            do if  $v \in Q$  and  $w(u, v) < key[v]$ 
                then  $key[v] \leftarrow w(u, v)$ 
                     $\pi[v] \leftarrow u$ 

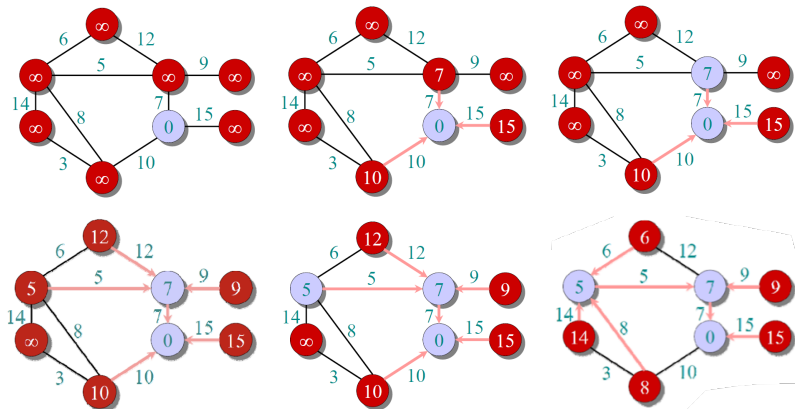
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- ▶ The output is provided by storing predecessors $\pi[v]$ of each node v .
- ▶ The set $\{(v, \pi[v]) \mid v \in V\}$ forms the MST.

Example (1)



Example (2)



Example (3)

