

CH-231-A

**Algorithms and Data Structures**

ADS

**Lecture 31**

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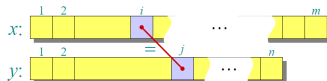
# Strategy

- ▶ Look at length of longest-common subsequence.
- ▶ Let  $|s|$  denote the length of a sequence  $s$ .
- ▶ To find  $LCS(x, y)$ , consider **prefixes** of  $x$  and  $y$  (i.e., we go from right to left)
- ▶ **Definition**:  $c[i, j] = |LCS(x[1..i], y[1..j])|$ .  
In particular,  $c[m, n] = |LCS(x, y)|$ .
- ▶ **Theorem** (recursive formulation):

$$c[i, j] = \begin{cases} c[i-1, j-1] + 1 & \text{if } x[i] = y[j], \\ \max\{c[i-1, j], c[i, j-1]\} & \text{otherwise.} \end{cases}$$

## Proof (1)

Case  $x[i] = y[j]$ :



Let  $z[1..k] = LCS(x[1..i], y[1..j])$  with  $c[i, j] = k$ .

Then,  $z[k] = x[i] = y[j]$  (else  $z$  could be extended).

Thus,  $z[1..k-1]$  is CS of  $x[1..i-1]$  and  $y[1..j-1]$ .

**Claim:**  $z[1..k-1] = LCS(x[1..i-1], y[1..j-1])$ .

- ▶ Assume  $w$  is a longer CS of  $x[1..i-1]$  and  $y[1..j-1]$ , i.e.,  $|w| > k-1$ .
- ▶ Then the concatenation  $w + z[k]$  is a CS of  $x[1..i]$  and  $y[1..j]$  with length  $> k$ .
- ▶ This contradicts  $|LCS(x[1..i], y[1..j])| = k$ .
- ▶ Hence, the assumption was wrong and the claim is proven.

Hence,  $c[i-1, j-1] = k-1$ , i.e.,  $c[i, j] = c[i-1, j-1] + 1$ .

## Proof (2)

Case  $x[i] \neq y[j]$ :

Then,  $z[k] \neq x[i]$  or  $z[k] \neq y[j]$ .

▶  $z[k] \neq x[i]$ :

Then,  $z[1..k] = \text{LCS}(x[1..i-1], y[1..j])$ .

Thus,  $c[i-1, j] = k = c[i, j]$ .

▶  $z[k] \neq y[j]$ :

Then,  $z[1..k] = \text{LCS}(x[1..i], y[1..j-1])$ .

Thus,  $c[i, j-1] = k = c[i, j]$ .

In summary,  $c[i, j] = \max\{c[i-1, j], c[i, j-1]\}$ .

# Dynamic Programming Concept (1)

Step 1: Optimal substructure.

An optimal solution to a problem contains optimal solutions to subproblems.

Example:

If  $z = LCS(x, y)$ , then any prefix of  $z$  is an  $LCS$  of a prefix of  $x$  and a prefix of  $y$ .

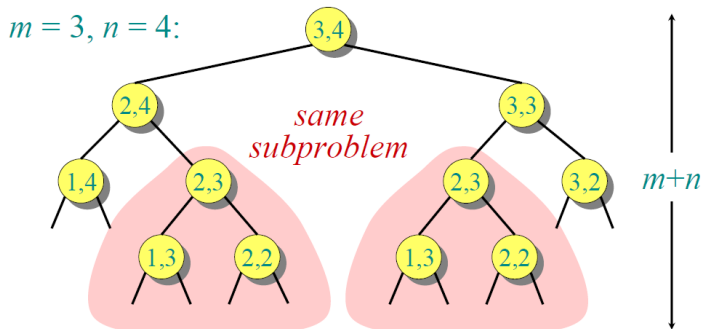
# Recursive Algorithm

- Computation of the length of *LCS*:

```
1 LCSlength(x,y,i,j):  
2   if i == 0 or j == 0  
3     return 0  
4   else if x[i] == y[j]  
5     return LCSlength(x,y,i-1,j-1)+1  
6   else return max{LCSlength(x,y,i-1,j),  
7                   LCSlength(x,y,i,j-1)}
```

- Remark: if  $x[i] \neq y[j]$ , the algorithm evaluates two subproblems that are very similar.

# Recursive Tree



Height =  $m + n \Rightarrow$  work potentially exponential,  
but we're solving subproblems already solved!

## Dynamic Programming Concept (2)

Step 2: Overlapping subproblems.

A recursive solution contains a "small" number of distinct subproblems repeated many times.

Example:

The number of distinct *LCS* subproblems for two prefixes of lengths  $m$  and  $n$  is only  $m \cdot n$ .



# Memoization Algorithm

## Memoization:

- ▶ After computing a solution to a subproblem, store it in a table.
- ▶ Subsequent calls check the table to avoid repeating the same computation.

# Recursive Algorithm with Memoization

Computation of the length of *LCS*:

```
1 LCSlength_(x,y,i,j):  
2   if c[i,j] == NIL  
3     then if i==0 or j==0  
4           c[i,j] = 0  
5   else if x[i] == y[j]  
6     c[i,j] = LCSlength_(x,y,i-1,j-1)+1  
7   else c[i,j] = max{LCSlength_(x,y,i-1,j),  
8                     LCSlength_(x,y,i,j-1)}  
9   return c[i,j]
```

# Dynamic Programming (1)

Compute the table bottom-up:

		A	B	C	B	D	A	B
		0	0	0	0	0	0	0
B		0	0	1	1	1	1	1
D		0	0	1	1	1	2	2
C		0	0	1	2	2	2	2
A		0	1	1	2	2	3	3
B		0	1	2	2	3	3	4
A		0	1	2	2	3	4	4

## Dynamic Programming (2)

Compute the table bottom-up:

$j$		0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$	
0	$x_i$	0	0	0	0	0	0	
1	$A$	0	↑	↑	↑ ↖	1 ←	1 ↖	
2	$B$	0	↖ 1	← 1	← 1	↑ 1	↖ 2	
3	$C$	0	↑ 1	↑ 1	↖ 2	← 2	↑ 2	
4	$B$	0	↖ 1	↑ 1	↑ 2	↖ 2	↖ 3	
5	$D$	0	↑ 1	↖ 2	↑ 2	↑ 2	↖ 3	
6	$A$	0	↑ 1	↑ 2	↑ 2	↖ 3	↑ 4	
7	$B$	0	↖ 1	↑ 2	↑ 2	↑ 3	↖ 4	

LCS-LENGTH( $X, Y$ )

```

1   $m = X.length$ 
2   $n = Y.length$ 
3  let  $b[1..m, 1..n]$  and  $c[0..m, 0..n]$  be new tables
4  for  $i = 1$  to  $m$ 
5       $c[i, 0] = 0$ 
6  for  $j = 0$  to  $n$ 
7       $c[0, j] = 0$ 
8  for  $i = 1$  to  $m$ 
9      for  $j = 1$  to  $n$ 
10         if  $x_i == y_j$ 
11              $c[i, j] = c[i - 1, j - 1] + 1$ 
12              $b[i, j] = "\nwarrow"$ 
13         elseif  $c[i - 1, j] \geq c[i, j - 1]$ 
14              $c[i, j] = c[i - 1, j]$ 
15              $b[i, j] = "\uparrow"$ 
16         else  $c[i, j] = c[i, j - 1]$ 
17              $b[i, j] = "\leftarrow"$ 
18  return  $c$  and  $b$ 
```

# Complexity

- ▶ Time complexity:  $T(m, n) = \Theta(m \cdot n)$
- ▶ Space complexity:  $S(m, n) = \Theta(m \cdot n)$

# Reconstructing LCS

## ► Trace backwards:

		$j$	0	1	2	3	4	5	6
$i$	$y_j$		B	D	C	A	B	A	
	$x_i$								
0	$x_i$		0	0	0	0	0	0	0
1	A		0	↑	0	↑	0	←1	1
2	B		0	↖1	←1	↑	1	←2	2
3	C		0	↑	↑	↖2	←2	↑	2
4	B		0	↑	↑	↑	2	↖3	3
5	D		0	↑	↖2	↑	↑	↑	3
6	A		0	↑	↑	↑	3	↖4	4
7	B		0	↑	↑	↑	4	↑	4

PRINT-LCS( $b, X, i, j$ )

```

1  if  $i == 0$  or  $j == 0$ 
2      return
3  if  $b[i, j] == \nwarrow$ 
4      PRINT-LCS( $b, X, i - 1, j - 1$ )
5      print  $x_i$ 
6  elseif  $b[i, j] == \uparrow$ 
7      PRINT-LCS( $b, X, i - 1, j$ )
8  else PRINT-LCS( $b, X, i, j - 1$ )
    
```

## ► Time complexity: $O(m + n)$

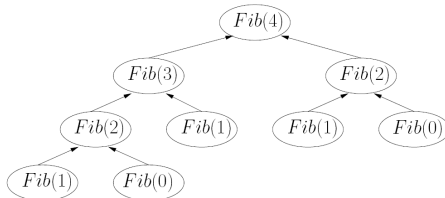
# Fibonacci Numbers Revisited (1)

Recall:

- Recursive definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

- Recursion tree of brute-force implementation:



## Fibonacci Numbers Revisited (2)

Dynamic programming solution:

- ▶ Avoid re-computations of same terms.
- ▶ Store results of subproblems in a table.
- ▶ Thus,  $Fib(k)$  is computed exactly once for each  $k$ .
- ▶ This basically leads to the previously discussed bottom-up approach.
- ▶ Computation time is  $T(n) = \Theta(n)$ .