

# CS458: Introduction to Information Security

## Notes 5: Public-Key Cryptography

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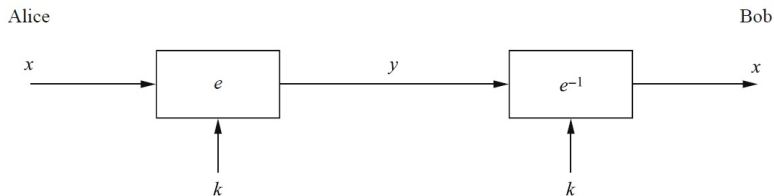
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Slides: Modified from [Christof Paar and Jan Pelzl](#) & [Ewa Syta](#)

- Principles of Asymmetric Cryptography
- Practical Aspects of Public-Key Cryptography
- Important Public-Key Algorithms

# Symmetric Cryptography revisited



- Two properties of symmetric (secret-key) crypto-systems:
  - The same *secret key*  $K$  is used for encryption and decryption
  - Encryption and Decryption are very similar (or even identical) functions

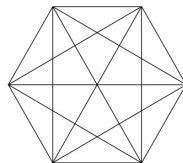
# Symmetric Cryptography: Analogy



- Safe with a strong lock, only Alice and Bob have a copy of the key
  - Alice encrypts → locks message in the safe with her key
  - Bob decrypts → uses his copy of the key to open the safe

# Symmetric Cryptography: Shortcomings

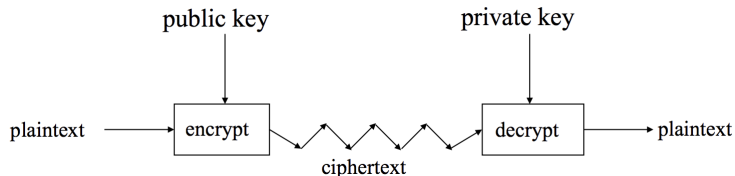
- Symmetric algorithms, e.g., AES or 3DES, are very secure, fast & widespread **but**:
  1. **Key distribution problem**: The secret key must be transported securely
    - i.e., when Alice and Bob communicate using a symmetric system, they need to securely exchange their shared key  $k_{ab}$
  2. **Key management**: In a network, each pair of users requires an individual key
    - $n$  users in the network require  $\frac{n \times (n-1)}{2}$  keys, each user stores  $(n-1)$  keys
    - If Alice wants to talk to Bob, Carol and Dave, she needs to exchange and maintain  $k_{ab}$ ,  $k_{ac}$ , and  $k_{ad}$
- Example: 6 users (nodes)  
 $\frac{6 \times 5}{2} = 15$  keys (edges)



# Symmetric Cryptography: Shortcomings

3. No Protection Against Cheating by Alice or Bob: Alice or Bob can **cheat each other**, because they have identical keys.
  - Who is the author of a message encrypted with  $k_{ab}$ , a key Alice and Bob share?
    - Example: Alice can claim that she never ordered a TV on-line from Bob (he could have fabricated her order). To prevent this: “non-repudiation”

# Public Key Crypto



- Two keys:
  - **Private key** known only to owner
  - **Public key** available to anyone
  - One key pair per person
    - $O(N)$  keys

# Uses of Public Key Crypto

- Encryption

- Suppose we encrypt  $m$  with Bob's public key.
- Bob's private key can decrypt  $c$  to recover  $m$ .
- Q: Why public key for encryption?

- Digital Signatures

- Bob **signs** by “encrypting” with his private key.
- Anyone can use Bob's public key to **verify** the signature.
- Like a handwritten signature, but way better...
- Q: Why private key for digital signatures?



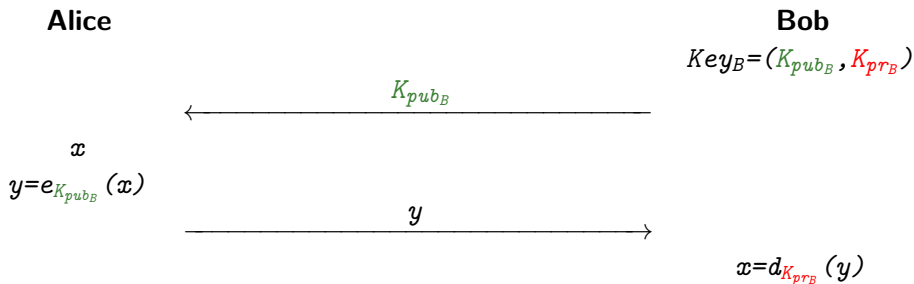
# Security of the keys

- Two keys, public and private
  - Given that one key is public, the other one cannot be (easily) computable.
- Based on “trapdoor one-way function”
  - “One-way” means easy to compute in one direction, but hard to compute in other direction (reverse)
    - Easy to calculate  $f(x)$  from  $x$
    - Hard to invert: to calculate  $x$  from  $f(x)$
    - Example:
      - Given  $p$  and  $q$ , product  $N = pq$  easy to compute, but hard to find  $p$  and  $q$  from  $N$ .
  - A **trapdoor one-way function** has one more property, that with certain knowledge it is easy to invert, to calculate  $x$  from  $f(x)$ 
    - i.e., “Trapdoor” is used when creating key pairs. If you have it, you can reverse the process

# Security Mechanisms of Public-Key Cryptography

- Here are main mechanisms that can be realized with asymmetric cryptography:
  - **Symmetric Key Distribution** (e.g., Diffie-Hellman key exchange, RSA) without a pre-shared secret (key)
  - **Nonrepudiation and Digital Signatures** (e.g., RSA, DSA or ECDSA) to provide message integrity
    - i.e., **Integrity/authentication**: encipher using private key, decipher using public one
  - **Encryption** (e.g., RSA / Elgamal)
    - **Confidentiality**: encipher using public key, decipher using private key
    - Disadvantage: Computationally very intensive (1000 times slower than symmetric Algorithms!)

# Basic Protocol for Public-Key Encryption



- Key Distribution Problem solved (at least for now; public keys need to be authenticated)

# Basic Key Transport Protocol

- In practice: **Hybrid systems**, incorporating asymmetric and symmetric algorithms
  - Examples: SSL/TLS protocol for secure Web connections, or IPsec, the security part of the Internet communication protocol.
  - 1. **Key exchange** (for symmetric schemes) and digital signatures are performed with (slow) asymmetric algorithms
  - 2. **Encryption** of data is done using (fast) symmetric ciphers, e.g., block ciphers or stream ciphers

# Basic Key Transport Protocol

- Example: Hybrid protocol with AES as the symmetric cipher

**Alice**

**Bob**

$$Key_B = (K_{pub_B}, K_{pr_B})$$

$$K_{pub_B}$$

Choose random  
symmetric key  $K$

$$y_1 = e_{K_{pub_B}}(K)$$

$$y_1$$

$$K = d_{K_{pr_B}}(y_1)$$

message  $x$

$$y_2 = AES_K(x)$$

$$y_2$$

$$x = AES^{-1}_K(y_2)$$

# How to build Public-Key Algorithms

- Asymmetric schemes are based on a “one-way function”  $f()$  :
  - Computing  $y = f(x)$  is computationally easy
  - Computing  $x = f^{-1}(y)$  is computationally infeasible
- One way functions are based on **mathematically hard problems**.  
Three main families:
  - **Integer-Factorization Schemes:**
    - Several public-key schemes are based on the fact that it is difficult to factor large integers, e.g., RSA
    - Given a composite integer  $n$ , find its prime factors
    - (Multiply two primes: easy)
  - **Discrete Logarithm Schemes**
    - Several algorithms, such as Diffie-Hellman key exchange, Elgamal, Digital Signature Algorithm (DSA)
    - Given  $a$ ,  $y$  and  $m$ , find  $x$  such that  $a^x = y \bmod m$
    - (Exponentiation  $a^x$ : easy)
  - **Elliptic Curves (EC) Schemes**
    - Generalization of discrete logarithm
    - e.g., Elliptic Curve Diffie-Hellman key exchange (ECDH) and the Elliptic Curve Digital Signature Algorithm (ECDSA)

# Key Lengths and Security Levels

- An algorithm is said to have a “security level of  $n$  bit” if the best known attack requires  $2^n$  steps
  - Symmetric algorithms with security level of  $n$  have key of length  $n$  bit.
  - The relationship between cryptographic strength and security is not as straightforward in the asymmetric case

<i>Symmetric</i>	<i>ECC</i>	<i>RSA, DL</i>	<i>Remark</i>
64 Bit	128 Bit	$\approx 700$ Bit	Only short term security (a few hours or days)
80 Bit	160 Bit	$\approx 1024$ Bit	Medium security (except attacks from big governmental institutions etc.)
128 Bit	256 Bit	$\approx 3072$ Bit	Long term security (without quantum computers)

- The exact complexity of RSA (factoring) and DL is difficult to estimate
- The existence of quantum computers would probably be the end for ECC, RSA & DL (at least 2-3 decades away, and some people doubt that QC will ever exist)

# Requirements

- Computationally easy
  - for a party to generate a key pair
  - to encrypt a message using a public key
  - for the receiver to decrypt a message using the private key
- Computationally infeasible
  - for an opponent knowing only the public key to determine the private key
  - for an opponent knowing the public key and a ciphertext to recover the original message
- Either of the two related keys can be used for encryption with the other used for decryption



# General Facts about Public Key Systems

- Public Key Systems are much slower than Symmetric Key Systems
  - Generally used in conjunction with a symmetric system for bulk encryption
- Public Key Systems are based on “hard” problems
  - Factoring large composites of primes, discrete logarithms, elliptic curves
- Only a handful of public key systems perform both encryption and signatures

- Exam 1: Take Home Exam
  - Start: Monday October 15 at 10:00AM
  - End: Wednesday October 17 by 10:00AM SHARP
- Online students (Campus): You need to take the exam with the live class
- Online students (not in campus): You need to contact Charles Scott <scott@iit.edu> to schedule remote site proctors

- *NCIX DATA BREACH*

- Millions of Canadian and American consumers are now at risk thanks to a series of shady backroom deals that have resulted in records detailing 15 years of business being sold.

- The RSA Cryptosystem

# Prime Numbers

- **Factors** are whole numbers that can be divided evenly into another number.
- Example
  - $1, 3, 5$  and  $15$  are factors of  $15$
- **Prime number  $p$** 
  - $p$  is an integer
  - $p \geq 2$
  - The only divisors of  $p$  are  $1$  and  $p$ .
  - i.e., are numbers with exactly  $2$  factors.
- **Composite number  $n$** 
  - $n$  is an integer
  - $n > 1$
  - The divisors of  $n$  are  $1$ ,  $n$  and at least one other number.
  - i.e., have more than  $2$  factors.
- Example
  - $2, 5, 11, 19$  are primes and  $4, 6, 9$  are composite numbers.
  - Composite numbers that are a product of two prime numbers.

- The **greatest common divisor** (*GCD*) of two positive integers  $a$  and  $b$ , denoted  $\gcd(a, b)$ , is the largest positive integer that divides both  $a$  and  $b$ .
  - $\gcd(12, 20) = 4$
  - $\gcd(14, 36) = 2$
- Two integers  $a$  and  $b$  are said to be **relatively prime** or **coprime** if  $\gcd(a, b) = 1$ 
  - 12 and 7

# Modular Arithmetic

- “Wrap around” arithmetic
  - Numbers “wrap around” upon reaching a certain value called the **modulus**.
  - Example: *12-hour* clock
- Modulo operator for a positive integer  $n$ 
  - $a \bmod n$  denotes the remainder when  $a$  is divided by  $n$ .
  - $r \equiv a \bmod n$ , that is,  $a = r + qn$ , where  $q$  is *quotient*
  - $5 \equiv 32 \bmod 9$ , that is,  $32 = 5 + 3 \times 9$
  - $\equiv$  congruence relation (equivalence relation)

# The RSA Cryptosystem

- RSA was independently invented by: Clifford Cocks (GCHQ)
  - 1973 (not published; classified)
  - Government Communications Headquarters
  - British Intelligence Agency
- Martin Hellman and Whitfield Diffie published their landmark public key paper in 1976<sup>1</sup>
- Ronald **R**ivest, Adi **S**hamir and Leonard **A**dleman proposed the asymmetric RSA cryptosystem in 1977<sup>2</sup>

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<sup>1</sup> New Directions in Cryptography

<sup>2</sup> A Method for Obtaining Digital Signatures and Public-Key Cryptosystems



# Overview of RSA

- Probably the most commonly used asymmetric cryptosystem today, although elliptic curve cryptography (ECC) becomes increasingly popular
- Unlike the symmetric systems, RSA is based not on substitution and transposition.
- RSA is based on arithmetic involving very large integers numbers that are hundreds or even thousands of bits long
- RSA is mainly used for two applications
  - Transport of (i.e., symmetric) keys
  - Digital signatures

# RSA Key Generation

- Let  $p$  and  $q$  be two large prime numbers. Let  $N = pq$  be the modulus.
  - $p$  and  $q$  chosen at random
  - Primality tests
  - Important to discard  $p$  and  $q$  once done
- Choose  $e$  relatively prime to  $\Phi(N) = \Phi(p)\Phi(q) = (p-1)(q-1)$ .
  - $\Phi$  is **Euler's totient function**: counts the positive integers up to a given integer  $N$  that are relatively prime to  $N$
  - i.e., select the public exponent  $e \in \{1, 2, \dots, \Phi(N)-1\}$  such that  $\gcd(e, \Phi(N)) = 1$
- Find  $d$  s.t.  $ed \equiv 1 \pmod{(p-1)(q-1)}$ .
  - Modular multiplicative inverse
  - Extended Euclidean algorithm
- *Public key* is  $(e, N)$ .
- *Private key* is  $(d)$
- Remark:  $\gcd(e, \Phi(N)) = 1$  ensures that  $e$  has an inverse and, thus, that there is always a private key  $d$

# RSA Encryption and Decryption

- Message  $M$  is treated as a number.
  - Must be less than  $N$ .
- To encrypt message  $M$  compute  $C = M^e \bmod N$
- To decrypt  $C$  compute  $M = C^d \bmod N$

- Recall that  $e$  and  $N$  are public.
- If attacker can factor  $N$ , she can use  $e$  to easily find  $d$  since
$$ed \equiv 1 \pmod{(p-1)(q-1)}.$$
- Factoring the modulus breaks RSA.
- It is not known whether factoring is the only way to break RSA.

# Does RSA Really Work?

- Given  $C \equiv M^e \pmod N$ , show that  $C^d \pmod N \equiv M^{ed} \equiv M \pmod N$
- We'll need Euler's Theorem:
  - If  $x$  is relatively prime to  $n$  then  $x^{\Phi(n)} \equiv 1 \pmod n$ .
- Facts:
  - $ed \equiv 1 \pmod{(p-1)(q-1)}$
  - By definition of "mod",  $ed = k(p-1)(q-1) + 1$
  - $\Phi(N) = (p-1)(q-1)$
- Then  $ed - 1 = k(p-1)(q-1) = k\Phi(N)$ .
- So,  $M^{ed} = M^{(ed-1)+1} = M \cdot M^{ed-1} = M \cdot M^{k\Phi(N)} = M \cdot (M^{\Phi(N)})^k$   
 $M \cdot (M^{\Phi(N)})^k \equiv M \cdot 1^k \pmod N \equiv M \pmod N$

# Simple RSA Example

- Select “large” primes  $p = 11$ ,  $q = 3$
- Then  $N = pq = 33$  and  $(p-1)(q-1) = 20$
- Choose  $e = 3$  (relatively prime to 20)
- Find  $d$  such that  $ed \equiv 1 \pmod{20}$ 
  - We find that  $d = 7$  works
- *Public key:*  $(N, e) = (33, 3)$ . *Private key:*  $d = 7$

# Simple RSA Example

- *Public key:*  $(N, e) = (33, 3)$
- *Private key:*  $d = 7$
- Suppose message to encrypt is  $M = 8$
- Ciphertext  $C$  is computed as
  - $C \equiv M^e \bmod N \equiv 8^3 = 512 \equiv 17 \bmod 33$
- Decrypt  $C$  to recover the message  $M$  by
  - $M = C^d \bmod N = 17^7 = 410,338,673 = 12,434,505 \cdot 33 + 8 \equiv 8 \bmod 33$

# Implementation aspects

- The RSA cryptosystem uses only one arithmetic operation (modular exponentiation) which makes it conceptually a simple asymmetric scheme
- Even though conceptually simple, due to the use of very long numbers, RSA is orders of magnitude slower than symmetric schemes, e.g., DES, AES
- When implementing RSA (esp. on a constrained device such as smartcards or cell phones) close attention has to be paid to the correct choice of arithmetic algorithms



# More Efficient RSA

- Modular exponentiation of large numbers with large exponents is an expensive operation.
- To make it more manageable, several tricks are used in practice.
- Modular exponentiation example
  - $5^{20} = 95367431640625 \equiv 25 \pmod{35}$
  - The naïve approach is to multiply 5 by itself 20 times and then reduce the result  $\pmod{35}$
- When you work with “real” RSA numbers, they get too big to store and would take forever to compute!

# More Efficient RSA: Square-and-Multiply

- A better way: **square-and-multiply algorithm**
- **Basic principle:** Determine binary representation of the exponent, then scan exponent bits from left to right and square/multiply operand accordingly
  - The idea is to build up the exponent one bit at a time.
  - At each step we **double/square** the current exponent and if the binary expansion of the number has a **1** in the corresponding position, we **add** to the exponent.
  - Take *mod* whenever possible.

# More Efficient RSA: Square-and-Multiply: Example

- Computes  $5^{20}$  without modulo reduction
  - Binary representation of exponent:  $20 = (10100)_2$
  - $(1, 10, 101, 1010, 10100) = (1, 2, 5, 10, 20)$
  - Note that  $2 = 1 \cdot 2$ ,  $5 = 2 \cdot 2 + 1$ ,  $10 = 2 \cdot 5$ ,  $20 = 2 \cdot 10$
  - $5^1 \equiv 5 \pmod{35}$
  - $5^2 = (5^1)^2 = 5^2 \equiv 25 \pmod{35}$
  - $5^5 = (5^2)^2 \cdot 5^1 = 25^2 \cdot 5 = 3125 \equiv 10 \pmod{35}$
  - $5^{10} = (5^5)^2 = 10^2 = 100 \equiv 30 \pmod{35}$
  - $5^{20} = (5^{10})^2 = 30^2 = 900 \equiv 25 \pmod{35}$
- No huge numbers and it's efficient!

# Speed-Up Techniques

- Modular exponentiation is computationally intensive
- Even with the [square-and-multiply](#) algorithm, RSA can be quite slow on constrained devices such as smart cards
- Some important tricks: (not covered here)
  - Short public exponent  $e$
  - Chinese Remainder Theorem (CRT)
  - Exponentiation with pre-computation

- Things are never easy. You cannot use the RSA we talked about for real applications.
  - Deterministic encryption
  - Malleability

## (Plain) RSA is deterministic

- Public key:  $(e, N)$ . Private key:  $d$ . Encryption:  $E(M) = M^e \bmod N$
- Eve finds matching ciphertexts, she knows the plaintexts match too.
  - Remember ECB?
- Eve can check for potential decryptions.
  - Eve (of course) knows Alice's key.
  - She sees  $C$ . She suspects  $D_d(C) = M$ .
  - She can check! She computes  $E_e(M)$  and compares to  $C$

# (Plain) RSA is malleable

- **Malleability**: the property that a ciphertext can be transformed into another ciphertext which decrypts to a related plaintext without knowing the private key
  - it allows an attacker to modify the contents of a message
- Public key:  $(e, N)$ . Private key:  $d$ . Encryption:  $E(M) = M^e \bmod N$
- Eve can fiddle with two ciphertexts encrypted under the same key:
  - $E(M_1) \cdot E(M_2) = M_1^e \cdot M_2^e \bmod N = (M_1 \cdot M_2)^e \bmod N = E(M_1 \cdot M_2)$
- Eve doesn't know  $M_1$  or  $M_2$  but she managed to calculate a function of the plaintext
  - (after decryption, Alice will get the product of  $M_1$  and  $M_2$ )

- **Optimal Asymmetric Encryption Padding (OAEP)** is a padding scheme often used together with RSA encryption.
- OAEP satisfies the following two goals:
  - Add an element of randomness.
  - Prevent partial decryption of ciphertexts (or other information leakage) by ensuring that an adversary cannot recover any portion of the plaintext.



# Factoring assumption

- The **factoring problem** is to find a prime divisor of a composite number  $N$ .
- The **factoring assumption** is that there is no probabilistic polynomial-time algorithm for solving the factoring problem, even for the special case of an integer  $N$  that is the product of just two distinct primes.
- The security of RSA is based on the factoring assumption. No feasible factoring algorithm is known, but there is no proof that such an algorithm does not exist.

# How big is big enough?

- The security of RSA depends on  $N$ ,  $p$ ,  $q$  being sufficiently large.
- What is sufficiently large?
  - Hard to say.
  - $N$  is typically chosen to be at least *1024 bits* long, or for better security, *2048 bits* long.
  - The primes  $p$  and  $q$  whose product is  $N$  are generally chosen to be roughly the same length, so each will be about half as long as  $N$ .

# Key Lengths Comparison

Symmetric Key Size (bits)	RSA and Diffie-Hellman Key Size (bits)	Elliptic Curve Key Size (bits)
80	1024	160
112	2048	224
128	3072	256
192	7680	384
256	15360	521

# Symmetric vs. Asymmetric

- By now you should know that you either get performance or key distribution.
  - Symmetric: fast but need to deal with keys.
  - Asymmetric: slow (orders of magnitude) but resolved key distribution

- Diffie-Hellman Key Exchange

# Key exchange problem

- The key exchange problem is for Alice and Bob to agree on a common random key  $k$ .
- One way for this to happen is for Alice to choose  $k$  at random and then communicate it to Bob over a secure channel.
  - but same issue as with symmetric crypto.
- A better way is to use public key crypto.

- A **group** is a set of elements  $G$  together with an operation  $\circ$  which combines two elements of  $G$ . A group has the following properties:
- $(G, \circ)$  forms a **group** because:
  - Closed:  $\forall a, b \in G, a \circ b \in G$ .
  - Associative:  $\forall a, b, c \in G, (a \circ b) \circ c = a \circ (b \circ c)$
  - Identity (neutral) element:  $\forall a \in G, 1 \circ a = a \circ 1 = a$
  - Inverse element:  $\forall a \in G, \exists b \in G \text{ s.t. } a \circ b = b \circ a = 1$
  - Commutative:  $\forall a, b \in G, a \circ b = b \circ a$  (abelian group)
- In cryptography we use both multiplicative groups and additive groups

- $(\mathbb{Z}, +)$  is a group:
  - i.e., the set of integers  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$  together with the usual addition forms an **abelian group**, where  $e = 0$  is the identity element and  $-a$  is the inverse of an element  $a \in \mathbb{Z}$
- We need groups with a finite number of elements.



# Modular Arithmetic: Groups

- Recall that so far all operations were done *mod*  $n$
- We then have a group:  $\mathbb{Z}_n = \{0, 1, \dots, n-1\}$ 
  - $\mathbb{Z}_n$  is just a convenient notation for numbers between 0 and  $n-1$ .
- Problem: Inverses only exist for elements  $a$  such that  $\gcd(a, n)=1$
- We can define another group  $\mathbb{Z}_n^* = \{a \in \mathbb{Z}_n \mid \gcd(a, n)=1\}$ 
  - All numbers in  $\mathbb{Z}_n$  that are relatively prime to  $n$ .
  - $\mathbb{Z}_n^*$  forms an **abelian group under multiplication modulo  $n$** . The identity element is 1
  - i.e., defined as the set of positive integers smaller than  $n$  which are relatively prime to  $n$
- Note:
  - $\mathbb{Z}_p^*$ ,  $p$  is prime, forms a multiplicative group.  $\mathbb{Z}_p^* = \{1, 2, 3, \dots, p-1\}$

- A group  $(G, \circ)$  is a **finite** if it has a finite number of elements. We denote the **cardinality** or **order** of the group  $G$  by  $|G|$
- Example:
  - $(\mathbb{Z}_n, +)$ : the cardinality of  $\mathbb{Z}_n$  is  $|\mathbb{Z}_n| = n$  since  $\mathbb{Z}_n = \{0, 1, 2, \dots, n-1\}$
  - $\mathbb{Z}_p^*$ : the cardinality of  $\mathbb{Z}_p^*$  equals **Euler's phi function** evaluated for  $p$ ,
    - i.e.,  $|\mathbb{Z}_p^*| = \Phi(p)$
    - For instance, the group  $|\mathbb{Z}_9^*|$  has a cardinality of  $\Phi(9) = 3^2 - 3^1 = 6$ .

# Order of an element

- The order  $ord(\alpha)$  of an element  $\alpha$  of a group  $(\mathbb{Z}_p^*, \circ)$ : is the smallest positive integer  $k$  such that  $\alpha^k = \underbrace{\alpha \circ \alpha \circ \alpha \circ \dots \circ \alpha}_{k \text{ times}} = 1$  where  $1$  is

the identity element of  $G$ .

- Example:  $\mathbb{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$ . Q: order of  $\alpha = 3$ ?

- $\alpha^1 = 3$
- $\alpha^2 = 9$
- $\alpha^3 = 27 \equiv 5$
- $\alpha^4 = \alpha^3 \alpha = 5 \cdot 3 \equiv 4 \pmod{11}$
- $\alpha^5 = \alpha^4 \alpha = 4 \cdot 3 \equiv 1 \pmod{11}$
- $\alpha^6 = \alpha^5 \alpha = 1 \cdot 3 \equiv 3 \pmod{11}$
- $\alpha^7 = \alpha^6 \alpha = 3 \cdot 3 \equiv 9 \pmod{11}$
- $\alpha^8 = \alpha^7 \alpha = 9 \cdot 3 \equiv 5 \pmod{11}$
- $\alpha^9 = \alpha^8 \alpha = 5 \cdot 3 \equiv 4 \pmod{11}$
- $\alpha^{10} = \alpha^9 \alpha = 4 \cdot 3 \equiv 1 \pmod{11}$
- $\alpha^{11} = \alpha^{10} \alpha = 1 \cdot 3 \equiv 3 \pmod{11}$
- ...

- The powers of  $\alpha$  run through the sequence  $\{3, 9, 5, 4, 1\}$  indefinitely.

# Cyclic Groups

- Keep computing powers of  $\alpha$  until we obtain the identity element  $1$
- **Cyclic Group**
  - A group  $G$  which contains an element  $\alpha$  with maximum order  $ord(\alpha) = |G|$  is said to be **cyclic**. Elements with maximum order are called **primitive elements/roots** or **generators**.
- An element  $\alpha$  of a group  $G$  with maximum order is called a **generator** since every element  $a$  of  $G$  can be written as a power  $\alpha^i = a$  of this element for some  $i$
- i.e.,  $\alpha$  generates the entire group
- Cyclic groups are the basis of discrete logarithm cryptosystems. '

# Cyclic Groups

- Example:  $\mathbb{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$
- Q: Check whether  $\alpha = 2$  happens to be a primitive element of  $\mathbb{Z}_{11}^*$ ?
  - $\alpha^1 = 2$
  - $\alpha^2 = 4$
  - $\alpha^3 = 8$
  - $\alpha^4 = 5$
  - $\alpha^5 = 10$
  - $\alpha^6 = 9$
  - $\alpha^7 = 7$
  - $\alpha^8 = 3$
  - $\alpha^9 = 6$
  - $\alpha^{10} = 1$
  - $\alpha^{11} = 2$
- $\text{ord}(2) = 10 = |\mathbb{Z}_{11}^*|$
- $\alpha = 2$  is a generator of  $\mathbb{Z}_{11}^*$

# Primitive root

- We say  $\alpha$  is a **primitive root** of  $n$  if  $\alpha$  generates all of  $\mathbb{Z}_n^*$ .
- Not every integer  $n$  has primitive roots but every prime  $p$  does.
- For every prime  $p$ ,  $(\mathbb{Z}_p^*, \cdot)$  is an abelian finite cycle group
  - i.e., the multiplicative group of every prime field is cyclic
- Let  $G$  be a finite cyclic group. Then it holds that
  1. The number of primitive elements of  $G$  is  $\Phi(|G|)$ .
  2. If  $|G|$  is prime, then all elements  $a \neq 1 \in G$  are primitive.

# Primitive root example

- Let  $p=19$ , so  $\Phi(p)=18$  and  $\Phi(|G|)=\Phi(18)=\Phi(2) \cdot \Phi(9) = 6$ .
- Consider  $\alpha = 2$  and  $\alpha = 5$ .
- The subgroups  $S_\alpha$  of  $\mathbb{Z}_p$  generated by each  $\alpha$  is given by the table:

$k$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$2^k$	2	4	8	16	13	7	14	9	18	17	15	11	3	6	12	5	10	1
$5^k$	5	6	11	17	9	7	16	4	1	5	6	11	17	9	7	16	4	1

- We see that 2 is a primitive root since  $S_2 = \mathbb{Z}_p^*$  but 5 is not

# Logarithms mod $p$

- Let  $y = b^x$  over the reals. The ordinary *base- $b$*  logarithm is the inverse of exponentiation, so  $x = \log_b(y)$
- The discrete logarithm is defined similarly, but now arithmetic is performed in  $\mathbb{Z}_p^*$  for a prime  $p$ .

$$y \equiv b^x \pmod{p}, \quad x = \log_b(y) \pmod{p}$$

- Fact: If  $b$  is a primitive root of  $p$ , then  $\log_b(y)$  is defined for every  $y \in \mathbb{Z}_p^*$ .



# Discrete Log Problem

- Given is the finite cyclic group  $\mathbb{Z}_p^*$  of order  $p-1$  and a primitive element  $\alpha$  in  $\mathbb{Z}_p^*$  and another element  $\beta$  in  $\mathbb{Z}_p^*$ . The **Discrete Log Problem** (DLP) is the problem of determining the integer  $1 \leq x \leq p-1$  such that:

$$\alpha^x \equiv \beta \pmod{p}$$

- Put another way, compute  $\log_\alpha(\beta)$
- No efficient algorithm is known for this problem and it is believed to be intractable.
  - Brute-force:** compute  $\alpha^x \pmod{p}$  for  $x=1, 2, \dots, p-1$ .
  - Better algorithm exists, but still of exponential time

# Diffie-Hellman Key Exchange (DHKE)

- The first public key cryptosystem proposed
  - Invented by Williamson (GCHQ) and, independently, by D and H (Stanford)
- First practical method for establishing a shared secret over an unsecured communication channel
- A “key exchange” algorithm:
  - Used to establish a shared symmetric key.
  - Called a symmetric key exchange protocol
  - Not for encrypting or signing.
  - Based on the [discrete log](#) problem
- The point is to agree on a key that two parties can use for a symmetric encryption, in such a way that an eavesdropper cannot obtain the key
- Diffie-Hellman is a cornerstone of modern cryptography used for VPNs, HTTPS websites, email, and many other protocols.

# Diffie-Hellman Key Exchange (DHKE)

- Let  $p$  be prime,  $\alpha$  be a generator
- Alice selects her private value  $a$
- Bob selects his private value  $b$
- Alice sends  $\alpha^a \bmod p$  to Bob
- Bob sends  $\alpha^b \bmod p$  to Alice
- Both compute shared secret,  $\alpha^{ab} \bmod p$
- Shared secret can be used as symmetric key

# Diffie-Hellman Key Exchange (DHKE)

- Public:  $p$  (prime) and  $\alpha \bmod p$
- Private: Alice's exponent  $a$ , Bob's exponent  $b$

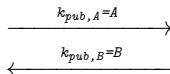
## Alice

choose random private key

$$a = k_{pr,A} \in \{2, \dots, p-2\}$$

Compute corresponding public key

$$A = k_{pub,A} \equiv \alpha^a \bmod p$$



Compute common secret

$$K_{AB} = B^a \equiv (\alpha^a)^b \bmod p$$

## Bob

choose random private key

$$b = k_{pr,B} \in \{2, \dots, p-2\}$$

Compute corresponding public key

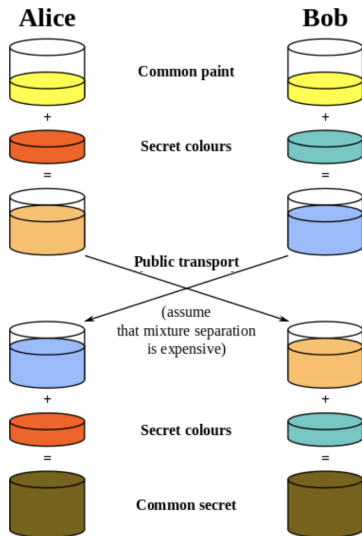
$$B = k_{pub,B} \equiv \alpha^b \bmod p$$

Compute common secret

$$K_{AB} = A^b \equiv (\alpha^b)^a \bmod p$$

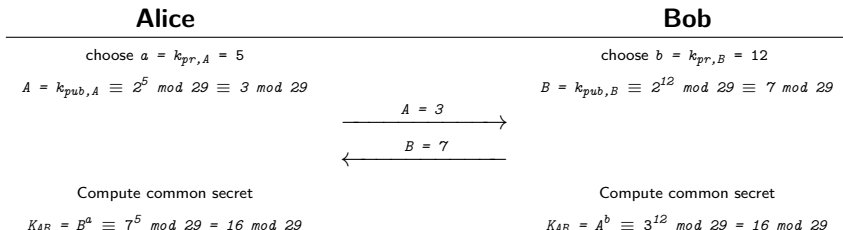
- The key  $K = K_{AB} = \alpha^{ab} \bmod p$  can now be used to establish a secure communication between Alice and Bob
  - e.g., by using  $K_{AB}$  as key for a symmetric algorithm like AES or 3DES

# Diffie-Hellman Key Exchange (DHKE)



# Diffie-Hellman Key Exchange: Example

- The Diffie-Hellman domain parameters are  $p = 29$  and  $\alpha = 2$ . The protocol proceeds as follows:



- ElGamal Cryptosystem
  - Proposed by Taher Elgamal in 1985
  - Can be viewed as an extension of the DHKE protocol

# A variant of DHKE

- Bob goes first followed (at some point) by Alice.

Alice	Bob
	choose random $y$ $B \equiv \alpha^y \bmod p$ <i>Send B to Alice</i>
choose random $a$ $A \equiv \alpha^a \bmod p$ <i>Send A to Bob</i>	
$k_{ab} = B^a \equiv \alpha^{ba} \bmod p$	$k_{ab} = B^b \equiv \alpha^{ab} \bmod p$



## Comparison with first DHKE protocol

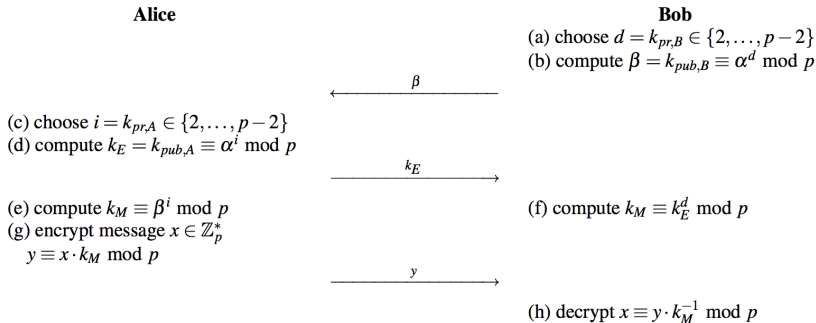
- The difference here is that Bob completes his action at the beginning and no longer has to communicate with Alice.
- Alice, at a later time, can complete her half of the protocol and send  $a$  to Bob, at which point Alice and Bob share a key.

# Turning DHKE into a public key cryptosystem

- “Principle of ElGamal Encryption”
- Consider two parties, Alice and Bob.
- Alice wants to send an encrypted message  $x$  to Bob
- Alice and Bob first complete DHKE to derive a shared key  $k_M$
- Alice uses this key as a **multiplicative mask** to encrypt  $x$  as  $y \equiv x \cdot k_M \bmod p$ .

# Principle of ElGamal Encryption

- Bob computes his private key  $d$  and public key  $\beta$ .
  - This key pair does not change, i.e., it can be used for encrypting many messages
- Alice computes her private key  $i$  and public key  $K_E$  (**Ephemeral key**).
  - Alice has to generate a new public-private key pair for the encryption of every message
  - $K_E$  is ephemeral (existing only temporarily) key, hence the index  $E$
- Joint key is denoted by  $k_M$  because it is used for masking the plaintext



# ElGamal Encryption Protocol

**Alice**

**Bob**

choose large prime  $p$   
choose primitive element  $\alpha \in \mathbb{Z}_p^*$   
or in a subgroup of  $\mathbb{Z}_p^*$   
choose  $k_{pr} = d \in \{2, \dots, p-2\}$   
compute  $k_{pub} = \beta = \alpha^d \bmod p$

$k_{pub} = (p, \alpha, \beta)$



choose  $i \in \{2, \dots, p-2\}$   
compute ephemeral key  
 $k_E \equiv \alpha^i \bmod p$   
compute masking key  
 $k_M \equiv \beta^i \bmod p$   
encrypt message  $x \in \mathbb{Z}_p^*$   
 $y \equiv x \cdot k_M \bmod p$

$(k_E, y)$



compute masking key  
 $k_M \equiv k_E^d \bmod p$   
decrypt  $x \equiv y \cdot k_M^{-1} \bmod p$

# ElGamal Encryption Protocol

- ElGamal is a **probabilistic** encryption scheme, i.e., encrypting two identical messages  $x_1$  and  $x_2$ , where  $x_1, x_2 \in \mathbb{Z}_p^*$  using the same public key results (with extremely high likelihood) in two different ciphertexts  $y_1 \neq y_2$
- This is because  $i$  is chosen at random from  $\{2, 3, \dots, p-2\}$  for each encryption, and thus also the **session key**  $k_M = \beta_i$  used for encryption is chosen at random for each encryption.

# ElGamal Encryption Protocol: Example

## Alice

message  $x = 26$

choose  $i = 5$

compute  $k_E = \alpha^i \equiv 3 \pmod{29}$

compute  $k_M = \beta^i \equiv 16 \pmod{29}$

encrypt  $y = x \cdot k_M \equiv 10 \pmod{29}$

## Bob

generate  $p = 29$  and  $\alpha = 2$

choose  $k_{pr,B} = d = 12$

compute  $\beta = \alpha^d \equiv 7 \pmod{29}$

$\xleftarrow{k_{pub,B}=(p,\alpha,\beta)}$

$\xrightarrow{y,k_E}$

compute  $k_M = k_E^d \equiv 16 \pmod{29}$

decrypt

$x = y \cdot k_M^{-1} \equiv 10 \cdot 20 \equiv 26 \pmod{29}$

# Diffie-Hellman: What can Eve do?

- Suppose Bob and Alice use Diffie-Hellman to determine key  $K = \alpha^{ab} \bmod p$
- Eve can see  $\alpha^a \bmod p$  and  $\alpha^b \bmod p$ 
  - But...  $\alpha^a \cdot \alpha^b \bmod p = \alpha^{a+b} \bmod p \neq \alpha^{ab} \bmod p$
- If Eve can find  $a$  or  $b$ , she gets  $K$

# Security of DH key exchange

- The security of this protocol relies on Eve's presumed inability to compute  $K$  from  $a$  and  $b$  and the public information  $p$  and  $\alpha$ .
- This is sometime called the **Diffie-Hellman problem** and, like discrete log, is believed to be intractable.
  - Compute  $\alpha^{ab} \bmod p$  given  $\alpha^a \bmod p$  and  $\alpha^b \bmod p$  with Given  $\alpha$  and  $p$  are known.
  - DHKE is believed to be secure for large enough  $p$
- Certainly the Diffie-Hellman problem is no harder than discrete log. However, it is not known to be as hard as discrete log.
  - It is unknown if this could be done without solving discrete logarithm first.
  - If the only way of solving DHP requires the DLP, one would say that "the DHP is equivalent to the DLP". However, this is not proven (yet)



# Diffie-Hellman: Man-in-the-Middle (MiM)

- Subject to **man-in-the-middle** (MiM) attack.
- Eve sits between Alice and Bob, and replaces all messages on either direction.
  - Neither Alice and Bob will be able to detect it!

**Alice,  $a$**

$$\begin{array}{c} \xrightarrow{\alpha^a \bmod p} \\ \xleftarrow{\alpha^e \bmod p} \end{array}$$

**Eve,  $e$**

$$\begin{array}{c} \xrightarrow{\alpha^e \bmod p} \\ \xleftarrow{\alpha^b \bmod p} \end{array}$$

**Bob,  $b$**

- Eve shares secret  $\alpha^{ae}$  with Alice.
- Eve shares secret  $\alpha^{be}$  with Bob.
- Alice and Bob don't know Eve exists (MiM)

- How to prevent MiM attack?
  - Encrypt DH exchange with symmetric key.
  - Encrypt DH exchange with public key.
  - Sign DH values with private key.
  - Other?
- At this point, DH may look pointless
  - but it's not (more on this later).
- You **must** be aware of MiM attack on Diffie-Hellman

# How crypto fails in practice<sup>3</sup>

- **Socat** is an all-purpose command-line network tool that can connect almost any type of network resource and supports virtually any network protocol. It makes use of DH.
- Socat was found to be using a hardcoded, *1024-bit* non-prime Diffie-Hellman parameter.

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<sup>3</sup> Dan Goodin, "Crypto flaw was so glaring it may be intentional eavesdropping backdoor", Arstechnica 2/2/2016

# Lessons Learned

- Public-key algorithms have capabilities that symmetric ciphers don't have, in particular digital signature and key establishment functions.
- Public-key algorithms are computationally intensive (a nice way of saying that they are slow), and hence are poorly suited for bulk data encryption.
- Only three families of public-key schemes are widely used. This is considerably fewer than in the case of symmetric algorithms.
- The Diffie-Hellman protocol is a widely used method for key exchange.
- The discrete logarithm problem is one of the most important one-way functions in modern asymmetric cryptography. Many public-key algorithms are based on it.
- For the Diffie-Hellman protocol in  $\mathbb{Z}_p^*$  the prime  $p$  should be at least *1024 bits* long. This provides a security roughly equivalent to an 80 bit symmetric cipher.
- For a better long-term security, a prime of length *2048 bits* should be chosen.

- The ElGamal scheme is an extension of the DHKE where the derived session key is used as a multiplicative mask to encrypt a message.
- ElGamal is a probabilistic encryption scheme, i.e., encrypting two identical messages does not yield two identical ciphertexts.
- For the ElGamal encryption scheme over  $\mathbb{Z}_p^*$ , the prime  $p$  should be at least 1024 bits long, i.e.,  $p > 2^{1000}$ .