

Faraz Younus

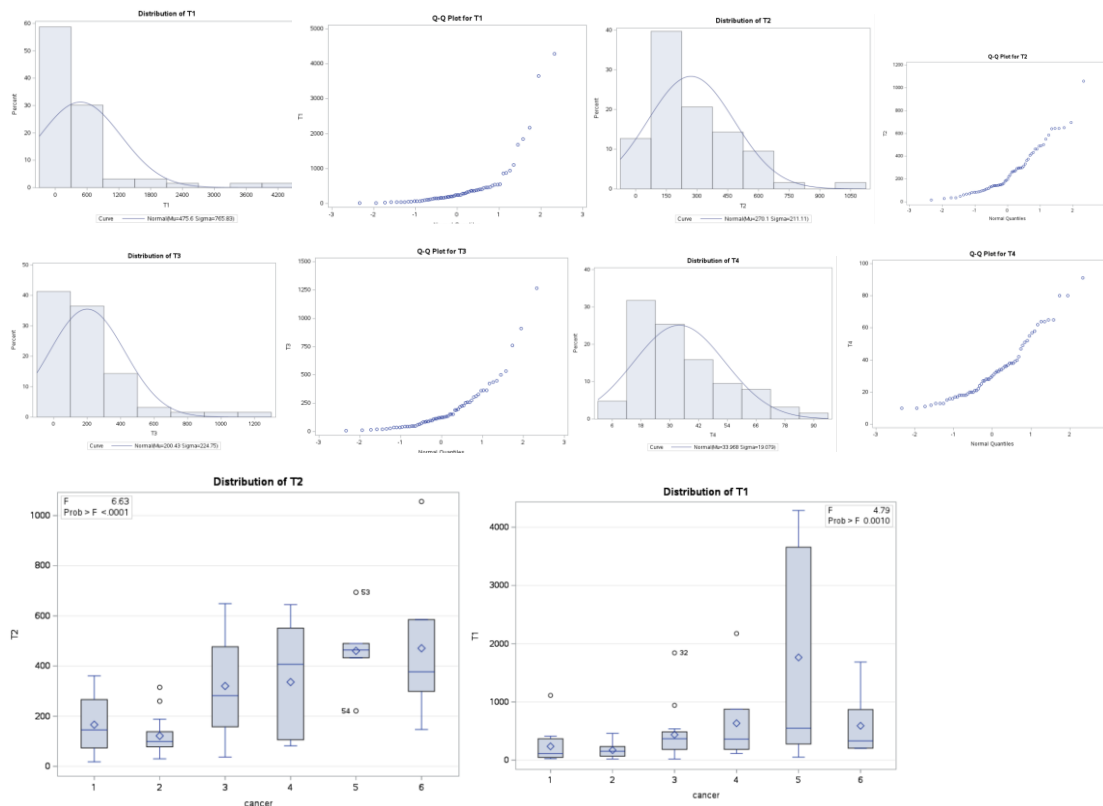
Prof. Yu

Sta 9750

## Question 1)

(1A) Let's first consider the factor of cancer only. Is there any difference between the six types of cancers in terms of their survival times? Conduct appropriate tests and list all assumptions for those tests. Which test is the most powerful one? Explain.

- The assumption of normality means that the data must be distributed in a bell-shaped curve. If the data is not normally distributed, the ANOVA test may be less powerful and may not be able to detect significant differences between the groups.
- The assumption of equal variances or homoscedasticity means that the variances of the data must be equal. If the variances are not equal, the ANOVA test may be less powerful and may not be able to detect significant differences between the groups.
- The assumption of independence means that the data must be independent of each other. If the data is not independent, the ANOVA test may be less powerful and may not be able to detect significant differences between the groups.



Just by looking at normal, QQ, and distributions, I can tell that many of the assumptions for ANOVA are violated making ANOVA not that great for our data.

The ANOVA Procedure					
Dependent Variable: T1					
Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	5	10763118.18	2152623.64	4.79	0.0010
Error	57	25599940.90	449121.77		
Corrected Total	62	36363059.08			

Anova on T1 The p-value is less than 0.05, so we can reject the null hypothesis that there is no difference between the six types of cancers in terms of their survival times. This means that there is a significant difference between the survival times of patients with different types of cancer.

Dependent Variable: T2					
Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	5	1016409.660	203281.932	6.63	<.0001
Error	57	1746827.768	30646.101		
Corrected Total	62	2763237.429			

Anova on T2 The p-value is less than 0.05, so we can reject the null hypothesis that there is no difference between the six types of cancers in terms of their survival times. This means that there is a significant difference between the survival times of patients with different types of cancer.

The ANOVA Procedure					
Dependent Variable: T3					
Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	5	452330.782	90466.156	1.92	0.1044
Error	57	2679340.646	47005.976		
Corrected Total	62	3131671.429			

Anova on T3 The p-value is not less than 0.05, so we can **fail to reject the null hypothesis** that there is no difference between the six types of cancers in terms of their survival times.

Dependent Variable: T4					
Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	5	1903.92520	380.78504	1.05	0.3974
Error	57	20664.01131	362.52651		
Corrected Total	62	22567.93651			

Anova on T4 The p-value is not less than 0.05, so we can **fail to reject the null hypothesis** that there is no difference between the six types of cancers in terms of their survival times.

(1B)

The DISCRIM Procedure							
Classification Summary for Calibration Data: WORK.SURVTIME							
Resubstitution Summary using Linear Discriminant Function							
Number of Observations and Percent Classified into cancer							
From cancer	1	2	3	4	5	6	Total
1	0 0.00	10 83.33	2 16.67	0 0.00	0 0.00	0 0.00	12 100.00
2	0 0.00	15 93.75	1 6.25	0 0.00	0 0.00	0 0.00	16 100.00
3	0 0.00	6 37.50	8 50.00	0 0.00	0 0.00	2 12.50	16 100.00
4	0 0.00	3 42.86	2 28.57	1 14.29	0 0.00	1 14.29	7 100.00
5	0 0.00	1 20.00	1 20.00	0 0.00	2 40.00	1 20.00	5 100.00
6	0 0.00	1 14.29	2 28.57	1 14.29	1 14.29	2 28.57	7 100.00
Total	0 0.00	36 57.14	16 25.40	2 3.17	3 4.76	6 9.52	63 100.00
Priors	0.19048	0.25397	0.25397	0.11111	0.07937	0.11111	

Error Count Estimates for cancer						
	1	2	3	4	5	Total
Rate	1.0000	0.0625	0.5000	0.8571	0.6000	0.7143
Priors	0.1905	0.2540	0.2540	0.1111	0.0794	0.1111

I have a strong reason to believe that T1and T2 contributed most to the classification because ANOVA said there was difference in Means for those two variables. I believe Linear discriminant function works better if means are different.

(1C)

The DISCRIM Procedure							
Classification Summary for Calibration Data: WORK.SURVTIME							
Resubstitution Summary using Nearest Neighbor							
Number of Observations and Percent Classified into cancer							
From cancer	1	2	3	4	5	6	Total
1	12 100.00	0 0.00	0 0.00	0 0.00	0 0.00	0 0.00	12 100.00
2	0 0.00	16 100.00	0 0.00	0 0.00	0 0.00	0 0.00	16 100.00
3	0 0.00	0 0.00	16 100.00	0 0.00	0 0.00	0 0.00	16 100.00
4	0 0.00	0 0.00	0 0.00	7 100.00	0 0.00	0 0.00	7 100.00
5	0 0.00	0 0.00	0 0.00	0 0.00	5 100.00	0 0.00	5 100.00
6	0 0.00	0 0.00	0 0.00	0 0.00	0 0.00	7 100.00	7 100.00
Total	12 19.05	16 25.40	16 25.40	7 11.11	5 7.94	7 11.11	63 100.00
Priors	0.19048	0.25397	0.25397	0.11111	0.07937	0.11111	

Error Count Estimates for cancer						
	1	2	3	4	5	Total
Rate	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Priors	0.1905	0.2540	0.2540	0.1111	0.0794	0.1111

I used K means with k=1 and I got 0 percent error rate. It was surprising how accurate it was. Maybe this accuracy rate is deceiving.

(1D) Now let's consider the factor of gender as well as the factor of cancer. Use an appropriate method to answer the following questions: 1. Is there any difference in gender regarding the survival times? 2. Is there any interaction effect between gender and cancer type on the survival times? Show all necessary work.

Dependent Variable: T1						Dependent Variable: T2						Dependent Variable: T3						Dependent Variable: T4					
Source	DF	Sum of Squares	Mean Square	F Value	Pr > F	Source	DF	Sum of Squares	Mean Square	F Value	Pr > F	Source	DF	Sum of Squares	Mean Square	F Value	Pr > F	Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	11	12740917.73	1158265.25	2.50	0.0135	Model	11	1221117.129	111010.648	3.67	0.0007	Model	11	480950.391	43722.763	0.84	0.6008	Model	11	4060.15476	369.10498	1.02	0.4453
Error	51	23622141.35	463179.24			Error	51	1542120.300	30237.653			Error	51	2650721.038	51974.922			Error	51	18507.78175	362.89768		
Corrected Total	62	36363059.08				Corrected Total	62	2763237.429				Corrected Total	62	3131671.429				Corrected Total	62	22567.93651			
R-Square						R-Square						R-Square						R-Square					
0.350381						0.441915						0.153576						0.179908					
Coef Var						Coef Var						Coef Var						Coef Var					
143.0968						64.38091						113.7463						56.08140					
Root MSE						Root MSE						Root MSE						Root MSE					
680.5727						173.8896						227.9801						19.04987					
T1 Mean						T2 Mean						T3 Mean						T4 Mean					
475.0032						270.0952						200.4286						33.96825					
Source	DF	Type I SS	Mean Square	F Value	Pr > F	Source	DF	Type I SS	Mean Square	F Value	Pr > F	Source	DF	Type I SS	Mean Square	F Value	Pr > F	Source	DF	Type I SS	Mean Square	F Value	Pr > F
gender	1	8892.35	8892.35	0.02	0.8903	gender	1	3016.929	3016.929	0.10	0.7534	gender	1	1508.6593	1508.6593	0.03	0.8654	gender	1	6.414072	6.414072	0.02	0.8948
cancer	5	11512917.73	2302583.55	4.97	0.0009	cancer	5	1075634.890	215126.978	7.11	<.0001	cancer	5	467100.8268	93420.1654	1.80	0.1300	cancer	5	1907.950250	381.590050	1.05	0.3981
gender*cancer	5	1219107.64	243821.53	0.53	0.7552	gender*cancer	5	142465.310	28493.062	0.94	0.4618	gender*cancer	5	12340.9048	2468.1810	0.05	0.9986	gender*cancer	5	2145.790440	429.158088	1.18	0.3307
Source	DF	Type III SS	Mean Square	F Value	Pr > F	Source	DF	Type III SS	Mean Square	F Value	Pr > F	Source	DF	Type III SS	Mean Square	F Value	Pr > F	Source	DF	Type III SS	Mean Square	F Value	Pr > F
gender	1	1206624.52	1206624.52	2.61	0.1127	gender	1	53022.5877	53022.5877	1.75	0.1913	gender	1	13282.3482	13282.3482	0.26	0.6154	gender	1	63.166775	63.166775	0.17	0.6783
cancer	5	10729871.07	2145974.21	4.63	0.0015	cancer	5	748223.2518	149644.6504	4.95	0.0009	cancer	5	427626.8060	85525.3612	1.65	0.1649	cancer	5	1911.095768	382.219154	1.05	0.3971
gender*cancer	5	1219107.64	243821.53	0.53	0.7552	gender*cancer	5	142465.3100	28493.0620	0.94	0.4618	gender*cancer	5	12340.9048	2468.1810	0.05	0.9986	gender*cancer	5	2145.790440	429.158088	1.18	0.3307

Level of gender	N	T1		T2		T3		T4	
		Mean	Std Dev	Mean	Std Dev	Mean	Std Dev	Mean	Std Dev
1	39	484.923077	801.583281	264.666667	225.230915	196.589744	237.174344	33.7179487	19.2325775
2	24	460.458333	720.440927	278.916667	190.253816	206.666667	207.741408	34.3750000	19.2304390

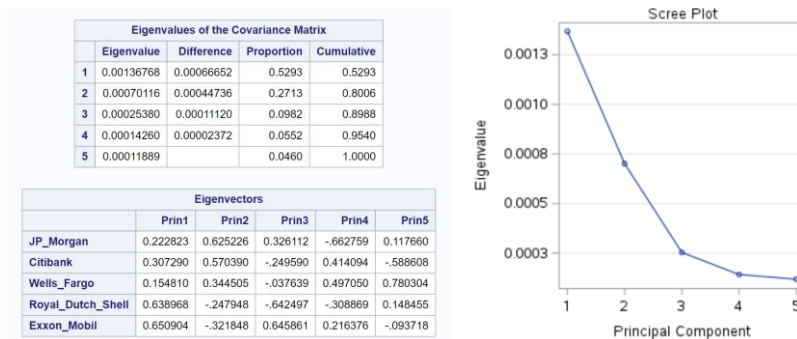
1) From the output All of the P values for gender are over .05 so we fail to reject null hypothesis of means being the same for gender. The Levels of Gender chart above somewhat confirms this.

2) There is a little interaction effect between gender and cancer. But, it's not significant. Before Adding the interaction effect We rejected the null hypothesis for T1 and T2 only. After adding the interaction effect also We rejected the null hypothesis for T1 and T2 only. That's why I believe interaction isn't important.

## Question 2)

2A) Since the unit of measurement is the same for those stocks, I believe it's best to use covariance matrix. Sometimes it depends on the scenario; I remember covariance matrix had an easier time converging to exact values. PCs are generally computed from covariance matrix rather than correlation. Cov remains closer to the spirit and intent of PCA, especially if further computations on PCs are used. In some cases, the PCs will be more interpretable if correlation matrix is used. For example, if the variances differ widely, the PCs of covariance will be dominated by the variables with large variances. The other variables will contribute little.

2B)



2C)

Obs	Week	JP_Morgan	Citibank	Wells_Fargo	Royal_Dutch_Shell	Exxon_Mobil
1	56	0.018815	0.037969	0.015499	0.05104	0.078416

I consistently found that Week 56 was the best week after summing up the returns for all stocks by week.

2D)

- There is Kaiser criterion which says keep PCs that are bigger than one.
- The is the elbow scree plot which says keep 2-3 eigenvalues from our data.
- If Cumulative proportion of variance explained is 80 percent then that amount of PCs should be kept
- Retain the PCs with eigenvalues above the average of all eigenvalues.

2E) The first PC we kept maximized the variance captured. The second PC is orthogonal to first PC. This method helps transform a set of possibly correlated stock into a new set of “hybrid” uncorrelated stocks. The principal components are linear combinations of the original stock variables. The banks will be combined into one PC while the oil companies will be combined into another orthogonal PC.

## Question 3)

3A)

Dependent Variable: y1

Number of Observations Read50

Number of Observations Used50

Analysis of Variance

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	4	2520.49121	630.12280	241.32	<.0001
Error	45	117.50399	2.61120		
Corrected Total	49	2637.99520			

Root MSE1.61592R-Square0.9555

Dependent Mean98.83600Adj R-Sq0.9515

Coeff Var1.63495

Parameter Estimates

Variable	DF	Parameter Estimate	Standard Error	t Value	Pr >  t
Intercept	1	68.95355	1.35196	51.00	<.0001
x1	1	0.35938	0.07409	4.85	<.0001
x2	1	0.30034	0.09598	3.13	0.0031
x3	1	0.79575	0.13364	5.95	<.0001
x4	1	0.44315	0.03059	14.49	<.0001

Dependent Variable: y2

Number of Observations Read50

Number of Observations Used50

Analysis of Variance

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	4	4852.96681	1213.24170	321.87	<.0001
Error	45	169.61899	3.76931		
Corrected Total	49	5022.58580			

Root MSE1.94147R-Square0.9662

Dependent Mean106.62200Adj R-Sq0.9632

Coeff Var1.82089

Parameter Estimates

Variable	DF	Parameter Estimate	Standard Error	t Value	Pr >  t
Intercept	1	75.41979	1.62433	46.43	<.0001
x1	1	0.11954	0.08902	1.34	0.1860
x2	1	0.86739	0.11531	7.52	<.0001
x3	1	-0.57782	0.16056	-3.60	0.0008
x4	1	0.79513	0.03675	21.63	<.0001

Dependent Variable: y3

Number of Observations Read50

Number of Observations Used50

Analysis of Variance

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	4	1013.57078	253.39270	153.11	<.0001
Error	45	74.47422	1.65498		
Corrected Total	49	1088.04500			

Root MSE1.28646R-Square0.9316

Dependent Mean102.81000Adj R-Sq0.9255

Coeff Var1.25130

Parameter Estimates

Variable	DF	Parameter Estimate	Standard Error	t Value	Pr >  t
Intercept	1	83.70818	1.07632	77.77	<.0001
x1	1	0.56792	0.05899	9.63	<.0001
x2	1	-0.08071	0.07641	-1.06	0.2965
x3	1	0.65839	0.10639	6.19	<.0001
x4	1	0.23258	0.02435	9.55	<.0001

I tried to model multivariate using multiple regression on the 3 variables.

$$Y1 = x1 + x2 + x3 + x4$$

$$Y2 = x1 + x2 + x3 + x4$$

$$Y3 = x1 + x2 + x3 + x4$$

All of the Anova's had P value less than .05. The R<sup>2</sup> of the regression was good for prediction purpose. Standard errors weren't bad. I think most of the tests are statistically useful for performance.

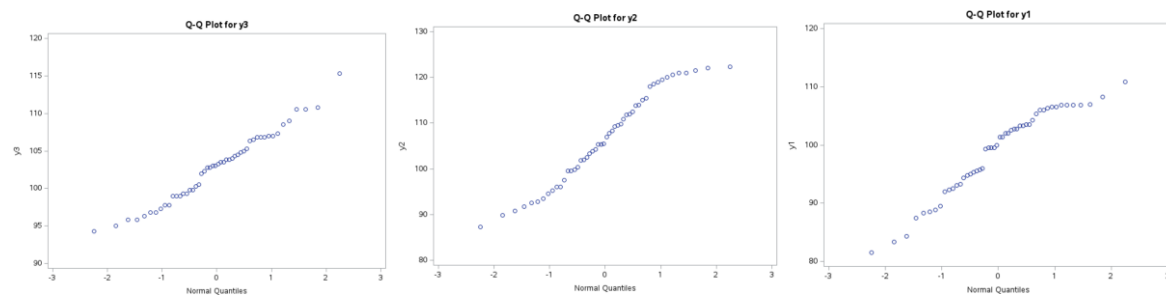
3B)

Variable: y1			
Moments			
N	50	Sum Weights	50
Mean	98.836	Sum Observations	4941.8
Std Deviation	7.33734535	Variance	53.8366367
Skewness	-0.586368	Kurtosis	-0.5670708
Uncorrected SS	491065.74	Corrected SS	2637.9952
Coeff Variation	7.42375789	Std Error Mean	1.03765733

Variable: y2			
Moments			
N	50	Sum Weights	50
Mean	106.622	Sum Observations	5331.1
Std Deviation	10.1243148	Variance	102.501751
Skewness	-0.0667732	Kurtosis	-1.1185544
Uncorrected SS	573435.13	Corrected SS	5022.5858
Coeff Variation	9.49552141	Std Error Mean	1.43179434

Variable: y3			
Moments			
N	50	Sum Weights	50
Mean	102.81	Sum Observations	5140.5
Std Deviation	4.71221816	Variance	22.205
Skewness	0.22562755	Kurtosis	-0.3069492
Uncorrected SS	529582.85	Corrected SS	1088.045
Coeff Variation	4.58342395	Std Error Mean	0.66640828

The Kurtosis is small and near 0 which is a good thing for normality assumption!



The QQ plots also confirm normality!

3C)

We do stepwise model selection to see which tests are best for which performance measure. There are ways to find reduced models that don't hurt overall accuracy.

For first performance measure 4<sup>th</sup> test is probably the best measure.

For second performance measure, 4<sup>th</sup> test is also the best measure.

For third performance measure, 4<sup>th</sup> and second test are the best measures

Variable	Parameter Estimate	Standard Error	Type II SS	F Value	Pr > F
Intercept	68.95355	1.35196	6792.43757	2601.27	<.0001
x1	0.35938	0.07409	61.43177	23.53	<.0001
x2	0.30034	0.09598	25.57001	9.79	0.0031
x3	0.79575	0.13364	92.58581	35.46	<.0001
x4	0.44315	0.03059	548.00956	209.87	<.0001

Bounds on condition number: 1.9804, 28.288

All variables left in the model are significant at the 0.1500 level.

All variables have been entered into the model.

Summary of Stepwise Selection								
Step	Variable Entered	Variable Removed	Number Vars In	Partial R-Square	Model R-Square	C(p)	F Value	Pr > F
1	x4		1	0.8599	0.8599	95.5309	294.63	<.0001
2	x2		2	0.0459	0.9058	51.1952	22.88	<.0001
3	x3		3	0.0264	0.9322	26.5263	17.90	0.0001
4	x1		4	0.0233	0.9555	5.0000	23.53	<.0001

Variable	Parameter Estimate	Standard Error	Type II SS	F Value	Pr > F
Intercept	83.34162	1.02013	11074	6674.45	<.0001
x1	0.53739	0.05149	180.75408	108.94	<.0001
x3	0.63980	0.10506	61.53599	37.09	<.0001
x4	0.22455	0.02316	155.91474	93.97	<.0001

Bounds on condition number: 1.7596, 13.42

All variables left in the model are significant at the 0.1500 level.

No other variable met the 0.1500 significance level for entry into the model.

Summary of Stepwise Selection								
Step	Variable Entered	Variable Removed	Number Vars In	Partial R-Square	Model R-Square	C(p)	F Value	Pr > F
1	x4		1	0.7269	0.7269	133.564	127.74	<.0001
2	x1		2	0.1464	0.8733	39.2981	54.32	<.0001
3	x3		3	0.0566	0.9299	4.1158	37.09	<.0001

Variable	Parameter Estimate	Standard Error	Type II SS	F Value	Pr > F
Intercept	75.82927	1.60933	8514.65011	2220.17	<.0001
x2	0.94326	0.10140	331.90448	86.54	<.0001
x3	-0.61672	0.15930	57.48392	14.99	0.0003
x4	0.80409	0.03646	1865.74813	486.49	<.0001

Bounds on condition number: 1.8856, 14.624

All variables left in the model are significant at the 0.1500 level.

No other variable met the 0.1500 significance level for entry into the model.

Summary of Stepwise Selection								
Step	Variable Entered	Variable Removed	Number Vars In	Partial R-Square	Model R-Square	C(p)	F Value	Pr > F
1	x4		1	0.8917	0.8917	98.3160	395.19	<.0001
2	x2		2	0.0617	0.9534	18.0538	62.31	<.0001
3	x3		3	0.0114	0.9649	4.8033	14.99	0.0003

3D)

$$H_0 : \rho_2 = \rho_3 = \dots = \rho_s = 0 \quad \text{vs} \quad H_a : \text{At least } \rho_2 \neq 0$$

	Canonical Correlation	Adjusted Canonical Correlation	Approximate Standard Error	Squared Canonical Correlation	Eigenvalues of $\text{Inv}(\mathbf{E})^* \mathbf{H} = \text{CanRsqr} / (1 - \text{CanRsqr})$				Test of H0: The canonical correlations in the current row and all that follow are zero				
					Eigenvalue	Difference	Proportion	Cumulative	Likelihood Ratio	Approximate F Value	Num DF	Den DF	Pr > F
1	0.994483	0.994021	0.001572	0.988996	89.8745	86.5063	0.9621	0.9621	0.00214847	87.39	12	114.06	<.0001
2	0.878107	0.872097	0.032704	0.771071	3.3682	3.1956	0.0361	0.9982	0.19524127	18.53	6	88	<.0001
3	0.383606	0.366795	0.121835	0.147153	0.1725		0.0018	1.0000	0.85284669	3.88	2	45	0.0278

Correlations Among the Original Variables

Correlations Among the VAR Variables				
	x1	x2	x3	x4
x1	1.0000	0.5907	0.1469	0.4126
x2	0.5907	1.0000	0.3860	0.5746
x3	0.1469	0.3860	1.0000	0.5664
x4	0.4126	0.5746	0.5664	1.0000

Correlations Among the WITH Variables			
	y1	y2	y3
y1	1.0000	0.9261	0.8840
y2	0.9261	1.0000	0.8425
y3	0.8840	0.8425	1.0000

Correlations Between the VAR Variables and the WITH Variables				
	y1	y2	y3	
x1	0.5720	0.5415	0.7004	
x2	0.7081	0.7459	0.6375	
x3	0.6744	0.4654	0.6411	
x4	0.9273	0.9443	0.8526	

Critical value for First, second and third canonical correlations.

simplify $\frac{1.96}{\sqrt{(1 - 0.994483^2)}}$	simplify $\frac{1.96}{\sqrt{(1 - 0.878107^2)}}$	simplify $\frac{1.96}{\sqrt{(1 - 0.383606^2)}}$
Solution	Solution	Solution
18.68484...	4.09643...	2.12236...

Since the Aproximate F value > the above Critical values we conclude all canonical cor of them are significant.

3E)

Canonical Correlation Analysis			
Standardized Canonical Coefficients for the VAR Variables			
	V1	V2	V3
x1	0.2755	-0.7600	0.9739
x2	0.1040	0.6823	-0.4803
x3	0.1916	-1.0607	-0.5996
x4	0.6621	0.7199	0.1194

Standardized Variance of the VAR Variables Explained by					
Canonical Variable Number	Their Own Canonical Variables		Canonical R-Square	The Opposite Canonical Variables	
	Proportion	Cumulative Proportion		Proportion	Cumulative Proportion
1	0.5594	0.5594	0.9890	0.5533	0.5533
2	0.0983	0.6578	0.7711	0.0758	0.6291
3	0.1919	0.8497	0.1472	0.0282	0.6573

Standardized Variance of the WITH Variables Explained by					
Canonical Variable Number	Their Own Canonical Variables		Canonical R-Square	The Opposite Canonical Variables	
	Proportion	Cumulative Proportion		Proportion	Cumulative Proportion
1	0.9206	0.9206	0.9890	0.9105	0.9105
2	0.0463	0.9670	0.7711	0.0357	0.9462
3	0.0330	1.0000	0.1472	0.0049	0.9511

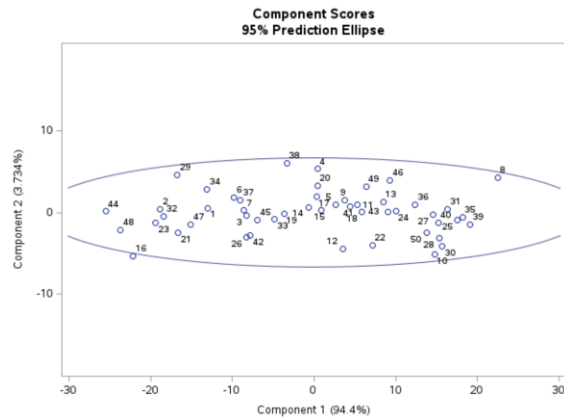
  

Standardized Canonical Coefficients for the WITH Variables			
	W1	W2	W3
y1	0.4577	-1.2772	-2.7673
y2	0.2119	2.4517	1.0480
y3	0.3688	-1.1229	1.8067



I still think X4 is the best variable because it's most correlated with V1 and V2 which have the biggest cononical  $R^2$ . X4 which is math ability also had the largest partial  $R^2$  in stepwise regression 86%, 89%, and 73% respectively for  $y_1$   $y_2$   $y_3$ .

I calculated the 95% prediction ellipse and for two components below.

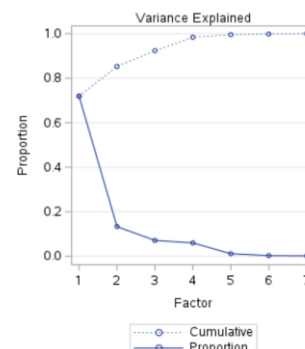
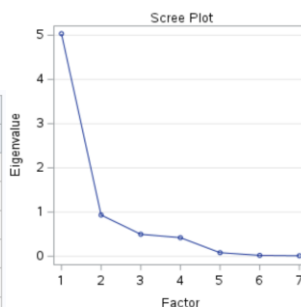


4)

4A)

- Choose  $m$  factors necessary for the variance accounted for to achieve a predetermined percentage, say 80%, of the total variance
- Choose  $m$  = number of eigenvalues greater than the average eigenvalue. For R the average is 1.
- Use the scree plot based on the eigenvalues of R. If the graph drops sharply, followed by a straight line with much smaller slope, choose  $m$  equal to the number of eigenvalues before the straight line begins.

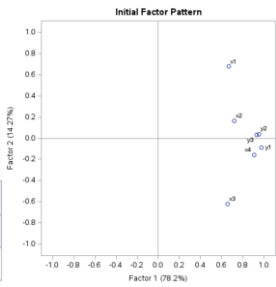
Eigenvalues of the Correlation Matrix: Total = 7 Average = 1				
	Eigenvalue	Difference	Proportion	Cumulative
1	5.03459779	4.10108165	0.7192	0.7192
2	0.93351614	0.43559640	0.1334	0.8526
3	0.49791975	0.07667426	0.0711	0.9237
4	0.42124549	0.34020506	0.0602	0.9839
5	0.08104043	0.06069980	0.0116	0.9955
6	0.02034063	0.00900087	0.0029	0.9984
7	0.01133977		0.0016	1.0000



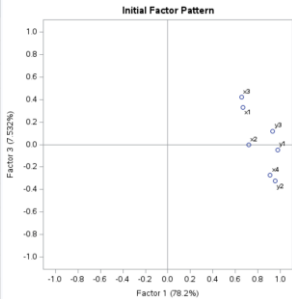
I believe One or two factors will be enough. This is because after 2 factors all of the eigen values are much less than 1. Furthermore Eivenvalues 1 and 2 account for 85 percent of the information. Also the scree plot flattens after 2.

4B)

THE FACTOR PROCEDURE						
Initial Factor Method: Iterated Principal Factor Analysis						
Prior Communalities Estimates: SMC						
y1	y2	y3	x1	x2	x3	x4
0.97154338	0.96837839	0.95390306	0.90511191	0.78074838	0.88487831	0.97679536



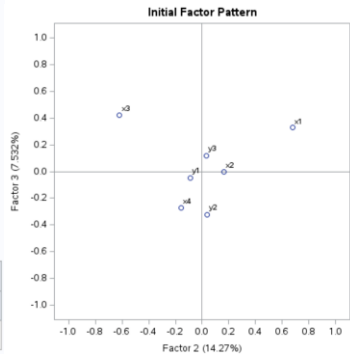
Iteration	Change	Communalities					
1	0.1602	0.96090	0.98036	0.91394	0.89492	0.62059	0.88437
2	0.0506	0.96068	0.99329	0.90283	0.90223	0.57003	0.88810
3	0.0147	0.96144	1.00000	0.89934	0.91336	0.55533	0.89191
4	0.0117	0.96200	1.00000	0.89774	0.92510	0.55071	0.89531
5	0.0115	0.96249	1.00000	0.89661	0.93658	0.54890	0.89844
6	0.0110	0.96283	1.00000	0.89558	0.94761	0.54785	0.90134
7	0.0105	0.96305	1.00000	0.89458	0.95815	0.54705	0.90404
8	0.0101	0.96318	1.00000	0.89362	0.96822	0.54634	0.90655
9	0.0096	0.96326	1.00000	0.89269	0.97784	0.54569	0.90891
10	0.0092	0.96332	1.00000	0.89180	0.98705	0.54508	0.91113
11	0.0088	0.96335	1.00000	0.89096	0.99587	0.54450	0.91323
12	0.0041	0.96337	1.00000	0.89016	1.00000	0.54397	0.91522



Factor Pattern			
	Factor1	Factor2	Factor3
y1	0.97487	-0.09011	-0.04976
y2	0.95123	0.03944	-0.32131
y3	0.93247	0.03339	0.11667
x1	0.66532	0.67639	0.32822
x2	0.71912	0.16172	-0.00252
x3	0.65446	-0.61855	0.41953
x4	0.91037	-0.15631	-0.26997

Variance Explained by Each Factor		
Factor1	Factor2	Factor3
4.9415996	0.9014769	0.4759512

Final Commuality Estimates: Total = 6.319028						
y1	y2	y3	x1	x2	x3	x4
0.9609688	1.0096249	0.8842340	1.0078822	0.5432966	0.9869328	0.9260885



4C)

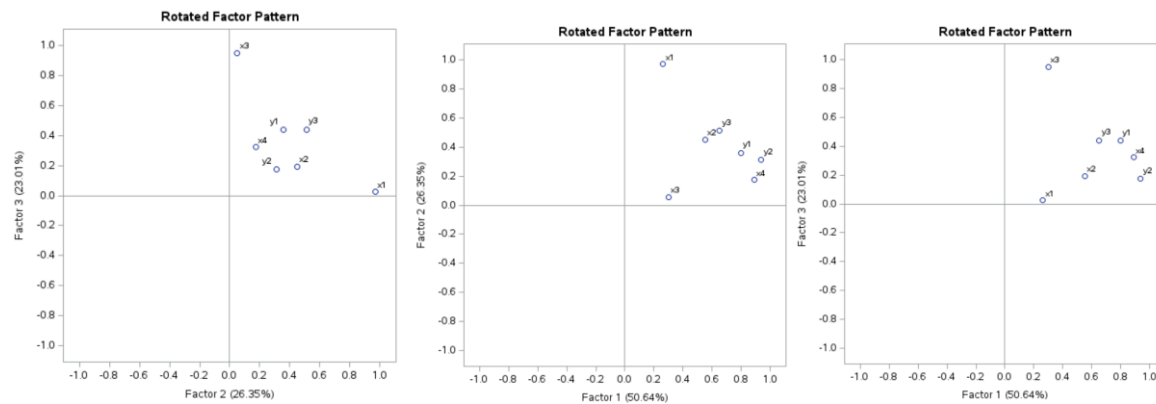
Rotated Factor Pattern			
	Factor1	Factor2	Factor3
y1	0.79892	0.36032	0.43917
y2	0.93878	0.31191	0.17615
y3	0.65303	0.51348	0.44059
x1	0.26334	0.96839	0.02734
x2	0.55041	0.45107	0.19206
x3	0.29884	0.05183	0.94601
x4	0.89026	0.17361	0.32155

Variance Explained by Each Factor		
Factor1	Factor2	Factor3
3.2001820	1.6648642	1.4539816

Final Communality Estimates: Total = 6.319028						
y1	y2	y3	x1	x2	x3	x4
0.9609688	1.0096249	0.8842340	1.0078822	0.5432966	0.9869328	0.9260885



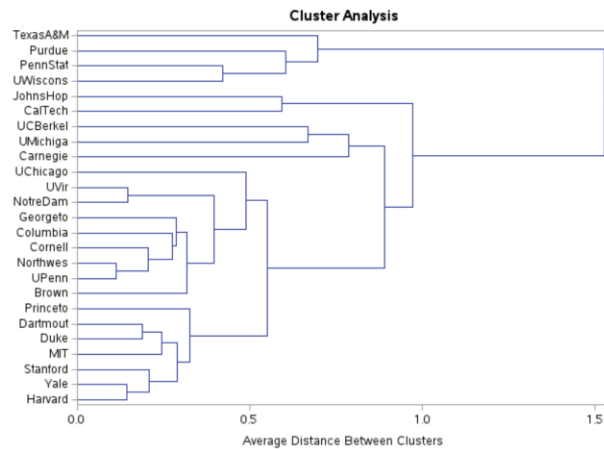
4D)

Rotated Factor Pattern			
	Factor1	Factor2	Factor3
y1	0.79892	0.36032	0.43917
y2	0.93878	0.31191	0.17615
y3	0.65303	0.51348	0.44059
x1	0.26334	0.96839	0.02734
x2	0.55041	0.45107	0.19206
x3	0.29884	0.05183	0.94601
x4	0.89026	0.17361	0.32155

The first factor is able to explain the y1 y2 y3 and x4 really well. The other factors factor 2 and 3 are better at explaining x1 and x3 at .60 threshold.

# Question 5)

5A)



Number of Clusters	Cluster History				
	Clusters Joined		Freq	Norm RMS Distance	Tie
24	UPenn	Northwes	2	0.114	
23	Harvard	Yale	2	0.1439	
22	NotreDam	UVir	2	0.1454	
21	Duke	Dartmout	2	0.1882	
20	CL24	Cornell	3	0.2063	
19	CL23	Stanford	3	0.2072	
18	MIT	CL21	3	0.2458	
17	CL20	Columbia	4	0.2767	
16	CL17	Georgeto	5	0.2878	
15	CL19	CL18	6	0.2884	
14	Brown	CL16	6	0.3167	
13	CL15	Princeto	7	0.3258	
12	CL14	CL22	8	0.3958	
11	UWiscns	PennStat	2	0.4218	
10	CL12	UChicago	9	0.4886	
9	CL13	CL10	16	0.5497	
8	CalTech	JohnsHop	2	0.5935	
7	CL11	Purdue	3	0.6035	
6	UMichiga	UCBerkel	2	0.6685	
5	CL7	TexasA&M	4	0.6968	
4	Carnegie	CL6	3	0.7869	
3	CL9	CL4	19	0.891	
2	CL3	CL8	21	0.9712	
1	CL2	CL5	25	1.5275	

Root-Mean-Square Distance Between Observations 3.464102

5B)

- Cubic clustering criterion (CCC): a measure of the deviation of the clusters from the distribution expected if data points were obtained from a uniform distribution. A larger CCC, a better clustering.
- Pseudo-F statistic: a ratio of the mean sum of squares between groups to the mean sum of squares within group. A larger pseudo-F, a better clustering.

With two, three, 4 clusters respectively:

Pseudo F Statistic = 30.51	Pseudo F Statistic = 24.12	Pseudo F Statistic = 23.18
Approximate Expected Over-All R-Squared = 0.20454	Approximate Expected Over-All R-Squared = 0.34659	Approximate Expected Over-All R-Squared = 0.46247
Cubic Clustering Criterion = 14.529	Cubic Clustering Criterion = 13.066	Cubic Clustering Criterion = 12.949

I choose two clusters because two clusters has the highest Pesudo F statistics & Cubic Custer Criterion,

Obs	col	CLUSTER	DISTANCE
1	Duke	1	0.54121
2	UPenn	1	0.59359
3	Columbia	1	0.68464
4	Dartmout	1	0.74145
5	Northwes	1	0.75373
6	Stanford	1	0.82377
7	MIT	1	0.83861
8	Cornell	1	0.88630
9	Brown	1	0.94298
10	Georgeto	1	1.21093
11	Yale	1	1.36407
12	UChicago	1	1.38184
13	Princeto	1	1.40509
14	Harvard	1	1.46222
15	NotreDam	1	1.53344
16	UVir	1	1.85867
17	JohnsHop	1	2.36741
18	UCBerkel	1	2.48512
19	CalTech	1	3.03489
20	UWiscons	2	0.75447
21	PennStat	2	1.16158
22	UMichiga	2	1.66774
23	Purdue	2	1.96790
24	TexasA&M	2	2.17147
25	Carnegie	2	2.67807

SAS code:

Q1

```
1 data survtime;
2   infile "/home/u63223421/SURVTIME.txt" delimiter=' ';
3   input cancer gender t T1 T2 T3 T4;
4 run;
5
6
7 PROC UNIVARIATE data=survtime;
8   VAR T1 T2 T3 T4;
9   HISTOGRAM T1 T2 T3 T4 / NORMAL;
10  QQPLOT T1 T2 T3 T4 / NORMAL;
11 RUN;
12 proc anova data=survtime;
13   class cancer;
14   model T1 T2 T3 T4 = cancer;
15   means cancer / tukey;
16 run;
17 PROC DISCRIM LIST pool=Yes ;
18   CLASS cancer ;
19   VAR T1 T2 T3 T4;
20   PRIORS proportional;
21 RUN;
22 PROC DISCRIM LIST pool=Yes Method=NPART k=1 ;
23   CLASS cancer ;
24   VAR T1 T2 T3 T4;
25   PRIORS proportional;
26 RUN;
27 PROC GLM DATA=survtime;
28   CLASS gender cancer;
29   MODEL T1-T4 = gender|cancer;
30   LSMEANS gender / DIFF;
31   LSMEANS gender*cancer / DIFF;
32 RUN;
```

```
proc glm data=survtime;
class gender cancer;
model T1 T2 T3 T4 = gender cancer gender*cancer;
run;

proc glm data=survtime;
class Gender Cancer;
model T1 T2 T3 T4 = Gender|Cancer;
means Gender Cancer / hovtest=levene;
run;
```

Q2)

```
1 DATA stock_data;
2   INFILE '/home/u63223421/stock_price.txt' DLM='09'x ;
3   INPUT JP_Morgan Citibank Wells_Fargo Royal_Dutch_Shell Exxon_Mobil;
4 RUN;
5 PROC PRINCOMP Cov DATA=stock_data OUTSTAT=pc_stats;
6   VAR JP_Morgan Citibank Wells_Fargo Royal_Dutch_Shell Exxon_Mobil;
7 RUN;
8 /* Week with highest gain = 56*/
9 data stock_data;
10  infile '/home/u63223421/stock_price.txt' dlm='09'x ;
11  input JP_Morgan Citibank Wells_Fargo Royal_Dutch_Shell Exxon_Mobil;
12  Week = _N_;
13  Total_Return = sum(of JP_Morgan Citibank Wells_Fargo Royal_Dutch_Shell Exxon_Mobil);
14 run;
15 proc sort data=stock_data;
16   by descending Total_Return;
17 run;
18
19 proc print data=stock_data(obs=1);
20   var Week JP_Morgan Citibank Wells_Fargo Royal_Dutch_Shell Exxon_Mobil;
21 run;
22 /* Question D */
23 proc princomp data=stock_data n=5 outstat=pc_stats;
24   var JP_Morgan Citibank Wells_Fargo Royal_Dutch_Shell Exxon_Mobil;
25 run;
26
27 proc print data=pc_stats;
28   var Variable Eigenvalue Proportion Cumulative;
29 run;
```

Q3)

```
1 DATA salesman;
2   INFILE '/home/u63223421/salesman.txt' DLM=' ' ;
3   INPUT y1 y2 y3 x1 x2 x3 x4;
4 RUN;
5 PROC REG data=salesman;
6 MODEL y1 y2 y3 = x1 x2 x3 x4;
7 RUN;
8 PROC UNIVARIATE data=salesman;
9   VAR y1 y2 y3;
10  HISTOGRAM y1 y2 y3 / NORMAL;
11  QQPLOT y1 y2 y3 / NORMAL;
12 RUN;
13 /* this code says that kurtosis and skewness is near zero so normality of residual
14 is present*/
15 PROC REG data=salesman;
16 MODEL y1 y2 y3 = x1 x2 x3 x4 / selection=stepwise;
17 RUN;
18 /* */
19 PROC CANCORR data=salesman ALL MStat= exact;
20 WITH y1 y2 y3;
21 VAR x1 x2 x3 x4;
22 RUN;
23 PROC PRINCOMP COV OUT=results plots(ncomp = 2)=score(ellipse);
24   VAR y1 y2 y3;
25 RUN;
```

Q4)

```
1 DATA salesman;
2   INFILE '/home/u63223421/salesman.txt' DLM=' ' ;
3   INPUT y1 y2 y3 x1 x2 x3 x4;
4 RUN;
5 /* A*/
6 PROC FACTOR data=salesman method=principal scree rotate=none;
7 VAR y1 y2 y3 x1 x2 x3 x4;
8 RUN;
9 /* B*/
10 PROC FACTOR METHOD=PRIN PLOTS=scree;
11 RUN;
12 /*Iterated Principal Factor Method*/
13 PROC FACTOR METHOD=PRINIT NFACT=3 PRIORS=SMC HEYWOOD MAXITER=100 plots= all;
14 RUN;
15 /* D */
16 PROC FACTOR METHOD=PRINIT NFACT=3 PRIORS=SMC HEYWOOD MAXITER=100 rotate=varimax plots= all;
```

Q5)

```
2 data college1;
3   infile '/home/u63223421/university.txt' delimiter=' ' ;
4   input col $ SAT top acrate sfr annualexpenses gradrate;
5 run;
6
7 proc standard data=college1 out=college mean=0 std=1;
8   var SAT top acrate sfr annualexpenses gradrate;
9 run;
10
11 run;
12
13 proc tree data=ProTree nclusters=4 out=newdata noprint;
14   id col;
15   copy SAT top acrate sfr annualexpenses gradrate;
16 run;
17
18 proc sort data=newdata;
19   by CLUSTER;
20 run;
21
22 proc print data=newdata;
23   var col CLUSTER;
24 run;
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