

# Refining chronological assessment in affective disorders: Preliminary results of ecological momentary data from a community sample

Outlining clinical applications of the dynamical properties in neurobehavior.

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## Abstract

Changes in theories underlying brain function established basis for novel ideas in psychopathology, such as deep brain stimulation of specific areas and interventions targeting microenvironment (e.g. microglia).

Concerning affective disorders, mounting evidence relate the reward circuitry with mood states.

The Brazilian High Risk Cohort is a large (started in 2010,  $n = 2,512$ ) community sample in which brain network attributes were previously linked with depression onset.

We present preliminary findings from the third phase of assessment (2017-2018). It includes ecological momentary assessment through mobile phone (4 weeks intensive, 1 year sparse).

@ Results

@ Discussion

*Keywords:* Affective disorders; Ecological Momentary Assessment; Depression; Bipolar Disorder; Dynamical Systems.

## Introduction

In the last decades, multidisciplinary advances provided new frameworks to understand the nervous system of humans. On the physiological aspect, one could describe neural dynamics with enhanced precision, including populational activity [Greicius, 2003]. Noteworthy milestones were also achieved in reverse engineering the information processing mechanisms.

The unraveled details imply that the interaction among different structures through time is key to understand global behavior.

We may cite (1) encoding of reinforcement learning reward prediction errors by the neurons in the basal ganglia [Pessiglione, 2006], (2) spatial mapping of visual stimuli to cortical (V1,V2,V3) neurons activity [Ayzenshtat, 2012], (3) working memory attractor networks and long-term memory storage encoding in the hippocampus [Tsodyks, 2005] and (4) evolutionarily preserved central pattern generators for walking and swimming [Dimitrijevic, 2006].

Joint effort has led to more coherent theories about mental disorders, comprising unexplained observations. Focusing on information processing and system states (embodied cognition) eliminates problems previously considered as paradoxes, since circuit states could be manipulated in equivalent ways whether via electrical current, drugs or behavioral interventions. Such anomalies were generally approached through ad-hoc hypotheses (e.g. normalization of chemical levels after electrically induced convulsions) [Bolwig, 2011].

This prism also favored insights for clinical taxonomy and phenotyping, allowing for the conception of novel (yet to be validated) interventions targeting microenvironmental variables (e.g. microglia) and specific electrical activity (e.g. TMS) [REF: blood/fecal transplant@JamesLeckman ; DBS; TMS@Brunoni]

This paper outlines potential advances contained in Ecological Momentary Assessment (EMA) for affective disorders. We (1) show preliminary findings from mobile data in a community sample, (2) discuss target axes of investigation and (3) techniques for analysis.

## Dynamics in Neurobehavior of Mood

Evolving from simple signaling processing organs wired to rudimentary motor responses [Churchland, 2012], the evolutionary emergence of complex nervous systems is a characteristic of higher animals. Mammals' brain possesses structures with marked distinct connections, functioning and developmental pathways [Krubitzer, 2009].

Affective disorders express altered mood, a construct describing a base state influencing several instances of behavior. Positive mood is related to optimistic bias in evaluation of future scenarios. Instant perception of environmental experiences (e.g. more pleasant sensations) and self-perception (e.g. increase in self-confidence) is also influenced [Pyszczynski, 1989]. Negative mood is intimately related to depression: negative bias for expectations, pessimistic evaluation of the environment, feelings of self worthlessness [Cheng, 2016].

The underlying circuitry was one of the first targets of neuroscience, hence being extensively studied. The quantitative connection between reward prediction errors (RPE) and firing rates of dopaminergic neurons in the Basal Ganglia was extensively explored [Pessiglione, 2006] in several animals, including humans. A full characterization of networks heavily important for these functions is undergoing, however the prefrontal cortex (PFC) seems to play a very prominent role. Often, the PFC (specially the mPFC) composes theories of mood functioning as a modulation hub [Price, 2012; Rolls, 2016]. Findings from neuroimaging support these circuits are related to depressive symptoms from an early age [Pan, 2017].

Recent results give precise quantitative support for the relationship between RPE and self-perceived emotional states [Rutledge, 2017]. An ecological perspective suggests that mood regulates an organism's exploration and exploitation policies in the environment. For instance, restrained activity (e.g. lower motor activity, longer periods of sleep) may be strategically wise in scarcity situations. Risk-taking and exploration of new actions also presents a trade-off according to environmental conditions: volatile returns discourage exploiting conservative policies [Humphries, 2012].

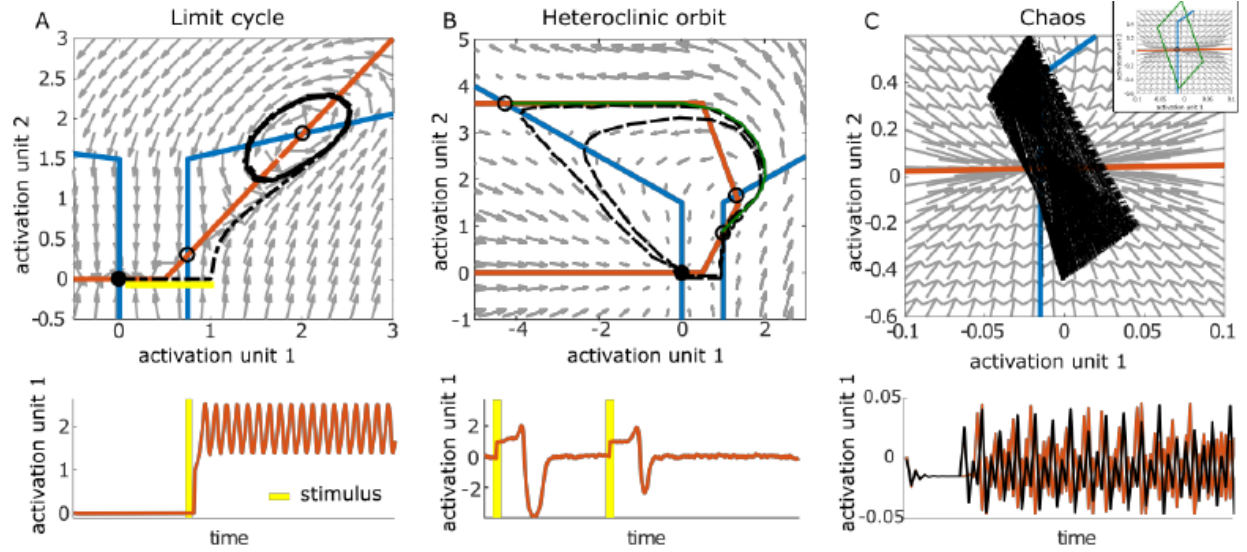


Figure 1: Coupled activity of 2-unit recurrent neural network expressing three types of attractors, from Durstewitz, 2018

Experimental efforts are far from completely closing the gap between theoretical constructs and observed phenomena. However, available results suggest a close interaction between mood, environmental settings and rewards through time (e.g. seasonal manifestation of major depression and mania; reward-related trigger events for depression and mania; mammalian behavioral response to environment, including hibernation) [Rolls, 2016]. In fact, the very definition of a major depressive episode requires minimal duration (4 weeks) for symptoms.

Neurophysiological dynamics describe how such processes are instantiated in biological cells. Approaches include top-down generative models, in which one infers hidden characteristics of the nervous system by finding models capable of generating similar outputs [Friston, 2009]. Bottom-up approaches investigate global behavior of neural networks by simulating thousands of neurons from different populations. Dynamical system frameworks are often employed by both perspectives (top-down and bottom-up) in order to investigate time evolution of interacting structures. This is generally done by expressing population firing with stable or quasi-stable state spaces using coupled differential equations [Durstewitz, 2018].

## Ecological Momentary Assessment

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## Methods

### The Brazilian High Risk Cohort

The Brazilian High Risk Cohort (HRC) is a large ( $n = 2,512$ ) community sample of youths (inclusion age range: 6-14y) stratified for high risk for mental disorders from 9,937 candidates. Three data collection phases occurred (2010-2011, 2013-2014, 2018-Current). Further details can be found in (Salum et al, 2015)

Measures include physiological data (e.g. fMRI, genome sequencing), clinical and neuropsychological tests. A subsample of 750 participants possess at least one brain image. It was used to demonstrate a relationship between previously cited brain networks and major depression [Pan, 2017].

### Mobile data

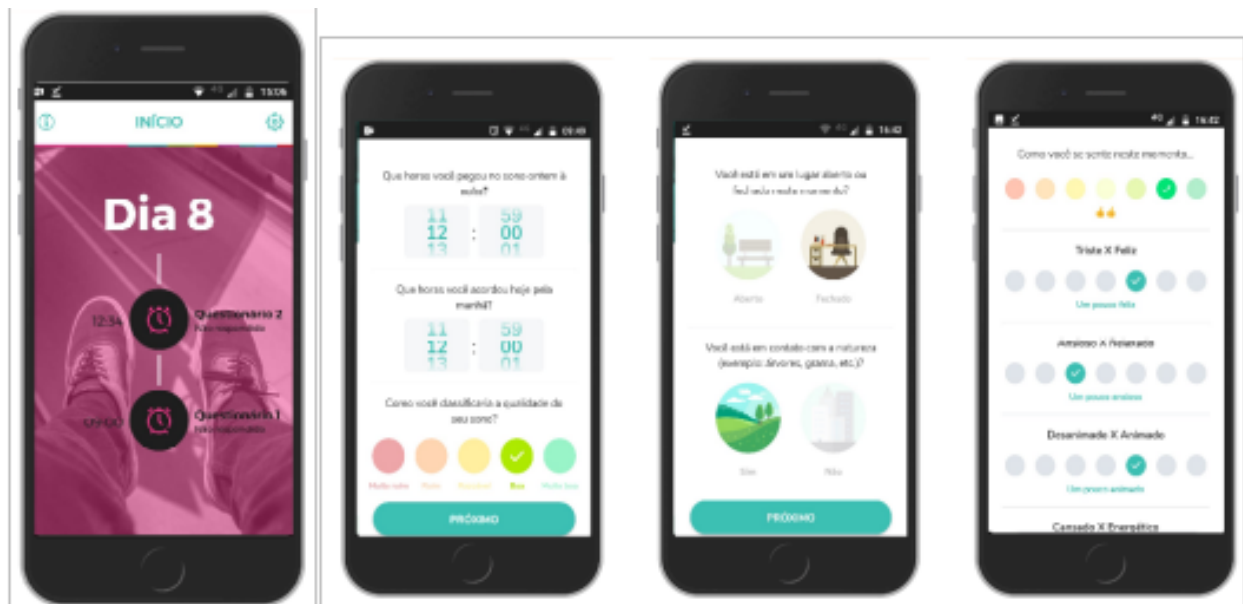
Current phase includes ecological momentary assessment through mobile phone (4 weeks intensive, 1 year sparse).

Participants and parents or relatives were asked to install and interact with our in-house Electronic Momentary Assessment (EMA) software. This application conforms to ethical norms and its used was approved by national and regional ethical research committees, it also obeys privacy and user right policies enforced by Google and Apple distribution server stores.

Our application gathers input through screen prompted self-report items. Users consent to every step of data collection.

Our protocol measures human behavior using multiple targets, such as: sleep patterns and quality, self-reported behavior, subjective emotional perception, composition of environmental surroundings, average dislocation by step count and social media activity.

*Supplementary material* provides a detailed reference list of items, including sources, description of prompted stimuli, measurement data types and referred code section



### Analysis

Long term goals include deriving domain specific equations describing chronological evolution of measures along with relationships among them.

In order to capture individual profiles, we used a generative model for the time series of self-reported scores (Well-being, Calm, Relaxed, Active, Happy, Energetic). A Bayesian framework generated posterior estimates of key parameters associated with individual's data. In a multiple-output Gaussian process, we consider  $y_i$  observations at each  $t_i$ , where  $y$  is a  $n$ -dimensional vector  $y_i \in \mathbb{R}^n$  for  $n$  different variables. The output is then given by a multivariate normal distribution whose mean depends on a Gaussian process. Each value is defined after time related autocorrelations and between-variables covariance.

$$\begin{aligned} y_i &\sim \mathcal{N}_n(f(x_i), \mathbf{I}_n \sigma^2) \\ f(x) &\sim \mathbf{GP}(m(x), K(x|\theta, \phi)) \\ K(x|\theta) &\in \mathbb{R}^{n \times n}, f(x), m(x) \in \mathbb{R}^n \end{aligned}$$

Kernel  $K$  parameterizes covariances after  $\theta$  and  $\phi$ . Posterior estimates of covariances among variables can later be extracted. A detailed definition of the model, along with code used (Stan version 2.18.0) is available in the supplementary material section.

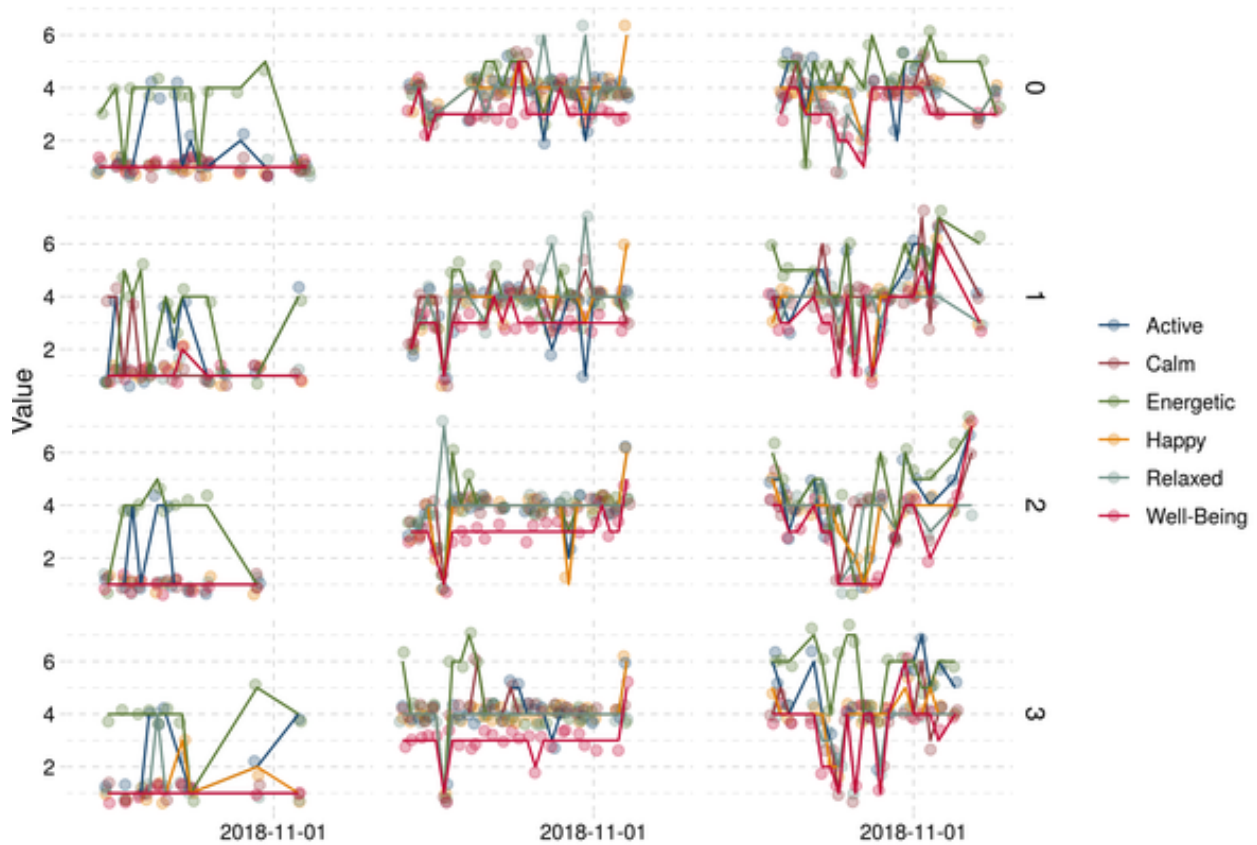


Figure 2: Time series: 4 daily measures (rows) of 6 variables from 3 participants (columns)

## Results

Current sample comprises 67 users (Dec/18 - Jan/19) some of which did not went through full evaluation by the end of January.

Participants are X% male and age ranges from X to X (Mean+sd). X% went through ate least 1 neuroimaging session. Key cognitive and clinical characteristics are displayed in **Table 1**.

Table 1 - Descriptive data of sample

Sample characteristics
Age: mean(sd)
Sex:%Male
CBCL
SDQ
IQ
P factor (Salum et al.,)
?

**Table 2** provides summary measures for self-reported variables (median and interquartile range). Columns correspond to measure order (0: first measure in the morning; 3: last measure at night).

Table 2 - Summary (centre and dispersion) of measures

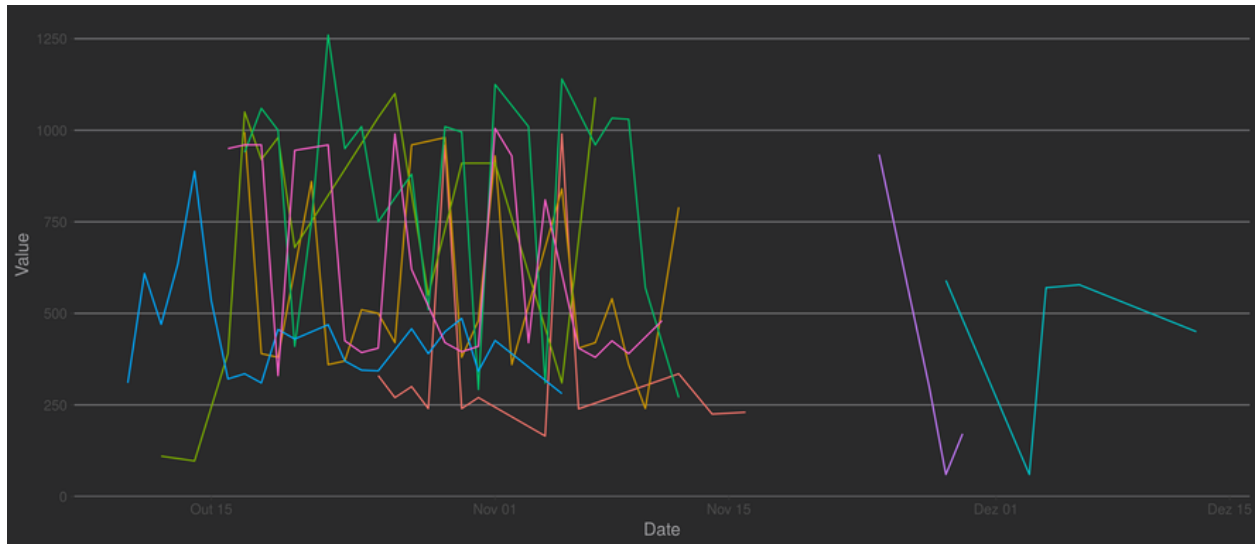


Figure 3: Self reported sleep duration for 8 participants

Table 3 - Point estimates for covariance among variables for one patient

	Well-being	Calm	Relaxed	Active	Happy	Energetic
Well-Being						
Calm						
Relaxed						
Active						
Happy						
Energetic						

## Discussion

@ “Physiological” sadness vs. Pathological sadness: several distinguishing characteristics, including severity, functional impairment and **chronology**.

Psychiatrists are interested in understanding triggers and patterns associated with onset, remission and recurrence (a common feature of the disease).

Indeed, researchers are already looking for the optimal chronological regimes in multiple session interventions (e.g. infused ketamine or electroconvulsive therapy).

This perspective also opens the possibility for the development of new psychoactive drugs, targeting distinct pharmacokinetics and microbioavailability instead of disease-specific receptors [Rajkumar, 2010].

@ Chronological optimization Initial efforts to investigate therapeutical effects of psychoactive drugs targeted stable ranges bioavailability.

This is not always the optimal strategy: other medical specialties make use of temporal asymmetries to optimize effectiveness. Endocrinologists modulate hormonal axes in consonance with their natural dynamics (e.g. oral contraceptives with varying doses designed after the menstrual cycle) [Alam, 2014]. Cardiologists induce a desired state with drugs and also observe cardiac cyclic states in order to maximize efficiency in cardioversion [Killip, 1963].

For instance, instead of maintaining a constant or slowly-increasing dosage, patients could benefit of time-varying dosages in precisely planned periods. We discuss how recently acquired tools (e.g. Ecological Momentary Assessment, EMA) provide potentially valuable measures for this approach.