

# Algebraic Geometry

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## Plan for today

- Finish the proof about homogenisation
- Some important theorems in Algebraic Geometry
- Regular functions

## Theorem

Let  $V \subseteq \mathbb{A}^n \subseteq \mathbb{P}^n$  be a closed affine algebraic variety, and  $I := \mathbb{I}(V) \subseteq \mathbb{C}[x_1, \dots, x_n]$ . Define the homogenised ideal

$$\tilde{I} = \{\tilde{f} \in \mathbb{C}[x_0, \dots, x_n] : f \in I\}.$$

Then,

$$\overline{V} = \mathbb{V}(\tilde{I}) \subseteq \mathbb{P}^n.$$

## Proof.

- If  $f \in \mathbb{I}(V)$  then  $\overline{V} \subseteq \mathbb{V}(\tilde{f})$ .
- If  $G \in \tilde{I}$ , then  $g := G(1, x_1, \dots, x_n) \in I$  (Why?).  
Do we have  $\tilde{g} = G$ ?



## Example

The twisted cubic is given by  $C = \mathbb{V}(y - x^2, z - xy)$ .  $C \subseteq \mathbb{A}^3$  can be parametrised by  $\mathbb{A}^1 \ni t \longrightarrow (t, t^2, t^3) \in \mathbb{A}^3$ . Homogenisation of the generators of this ideal are  $wy - x^2$  and  $wz - xy$ .

- Check that

$$\mathbb{V}(wy - x^2) \cap \mathbb{V}(wz - xy) \supseteq \{[x : y : z : w] \in \mathbb{P}^3 : w = x = 0\}.$$

This shows that

# Morphisms of Projective Varieties

## Definition

Let  $V \subseteq \mathbb{P}^n$  and  $W \subseteq \mathbb{P}^n$  be projective algebraic varieties. We say that the map  $\varphi : V \longrightarrow W$  is a *morphism of projective varieties* if for each  $p \in V$ , there exist

- (a) an open subset  $U \subseteq V$  with  $p \in U$ ;
- (b) homogeneous polynomials  $\varphi_0, \dots, \varphi_m : U \longrightarrow W$  of the same degree,

such that  $\varphi|_U = [\varphi_0 : \dots : \varphi_m]$ .

- (Exercise 3.28) Prove that  $\mathbb{V}(y) \subseteq \mathbb{A}^2$  and  $\mathbb{V}(y - x^3) \subseteq \mathbb{A}^2$  are isomorphic, but their projective closures are not.

# Why do we care about Projective Varieties?

## Theorem (Chow Lemma)

*Assume that  $X \subseteq \mathbb{P}^n$  is an analytic subvariety of  $\mathbb{P}^n$ , that is,  $X$  is locally given by an analytic equation. Then  $X \subseteq \mathbb{P}^n$  is algebraic.*

# Why do we care about Projective Varieties?

## Theorem (Chow Lemma)

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## Theorem (Bézout Theorem)

*Let  $f_1, f_2 \in \mathbb{C}[x_0, x_1, x_2]$  two homogeneous polynomials of degree  $d_1$  and  $d_2$ , respectively. Let  $Z_1 = \mathbb{V}(f_1) \subseteq \mathbb{P}^2$  and  $Z_2 = \mathbb{V}(f_2) \subseteq \mathbb{P}^2$ , be the projective curves associated to  $f_1$  and  $f_2$ . Then, the number of intersection points of  $Z_1$  and  $Z_2$  counted with multiplicity is given by  $d_1 d_2$ .*

## Definition

- (a) The dimension of an irreducible projective variety is the dimension of any affine open subsets.
- (b) The *degree* of an irreducible projective variety  $Y \subseteq \mathbb{P}^n$  is the number of intersection points (counted with multiplicity) of  $Y$  with any linear subvariety  $L \subseteq \mathbb{P}^n$  such that  $\dim(L) + \dim(Y) = n$ .



# Quasi-Affine and quasi-projective varieties

## Definition

- (a) Any open subset of an affine algebraic variety is called a *quasi-affine variety*.
- (b) Any open subset of a projective variety is called a *quasi-projective variety*.

# A Basis for Zariski Topology of Affine Varieties

Recall that a basis for a topology is a collection  $\mathcal{B}$  of open subsets of a topological space  $X$  such that every open set  $U$  in  $X$  can be written as a union of elements from  $\mathcal{B}$ . Note that for any polynomial  $f \in \mathbb{C}[x_1, \dots, x_n]$ , the set

$$D(f) := \mathbb{A}^n \setminus \mathbb{V}(f),$$

is an open subset in  $\mathbb{A}^n$ .

**Claim 1.** The collection of open sets  $D(f)$  for  $f \in \mathbb{C}[x_1, \dots, x_n]$  forms a basis for Zariski on  $\mathbb{A}^n$ .

**Claim 2.** If  $V \subseteq \mathbb{A}^n$ , is a c.a.a.v. then the open sets of the form  $D(g) = V \setminus \mathbb{V}(g)$ , where  $g \in \mathbb{C}[V]$  form a basis for the Zariski topology on  $V$ .

## Proof of claim 2

Proof.

- Any open set is of the form  $V \setminus \mathbb{V}(J)$  for some  $J \subseteq \mathbb{C}[V]$ .
- We can find  $g_1, \dots, g_\ell \in \mathbb{C}[V]$  such that  $J = (g_1, \dots, g_\ell)$ .

Observe.  $(V \setminus \mathbb{V}(g_1)) \cup (V \setminus \mathbb{V}(g_2)) = \dots$

- Use induction.

# Regular functions

## Definition

Let  $V \subseteq \mathbb{A}^n$ , a (closed) affine algebraic variety, and  $U \subseteq V$  open. A function  $f : U \rightarrow \mathbb{C}$ , is called *regular at a point*  $p \in V$ , if there is an open neighbourhood  $U' \subseteq U$ , and polynomials  $g, h \in \mathbb{C}[x_1, \dots, x_n]$ , such that  $h(p) \neq 0$ , for any  $p \in U'$ , and  $f|_{U'}(p) = \frac{g(p)}{h(p)}$ . We say that  $f$  is *regular* on  $U$  if it is regular at every point of  $U$ . The set of regular functions on  $U \subseteq V$  is denoted by  $\mathcal{O}_V(U)$ .

## Examples of regular functions

(a) The function

$$f_1 : \mathbb{A}^1 \setminus \{0, 1\} \longrightarrow \mathbb{C}$$
$$z \longmapsto \frac{(z-2)(z-3)}{(z-1)}$$

is a in  $\mathcal{O}_{\mathbb{A}^1}(\mathbb{A}^1 \setminus \{0, 1\})$ .

(b) Let  $f_2 : \mathbb{A}^1 \longrightarrow \mathbb{C}$ ,

$$f_2(z) = \begin{cases} \frac{(z-1)(z-3)}{(z-1)} & z \in \mathbb{A}^1 \setminus \{1\} \\ \frac{(z-2)(z-3)}{(z-2)} & z \in \mathbb{A}^1 \setminus \{2\} \end{cases}.$$

Then  $f_2 \in \dots\dots$ . We can see that the values of  $f_2(z)$  coincides with  $\dots\dots \in \mathbb{C}[\mathbb{A}^1]$ .

(c) Let  $g = xy - 1 \in \mathbb{C}[x, y]$ . Give two examples of a regular function on  $\mathcal{O}_{\mathbb{V}(g)}(\mathbb{V}(g))$  and a non-example.

## Lemma

A regular function  $f \in \mathcal{O}_V(U)$  is continuous when  $\mathbb{C}$  is identified with  $\mathbb{A}^1$ .

## Proof.

- It suffices to show that  $f^{-1}(a)$  is closed, for  $a \in \mathbb{A}^1$ , because
- For every point  $p \in U$  there exists  $U_p$  such that .....
- A set  $V$  is closed, if and only if,  $V \cap U_i$  is closed in  $U_i$ , where  $\bigcup U_i$  is an open cover for  $V$ .



## Two remarks

### Example

The twisted cubic is given by  $C = \mathbb{V}(y - x^2, z - xy)$ .  $C \subseteq \mathbb{A}^3$  can be parametrised by  $\mathbb{A}^1 \ni t \longrightarrow (t, t^2, t^3) \in \mathbb{A}^3$ . Homogenisation of the generators of this ideal are  $wy - x^2$  and  $wz - xy$ .

- Check that  $\mathbb{V}(wy - x^2) \cap \mathbb{V}(wz - xy) = \mathbb{V}(xz - y^2) \cap \mathbb{V}(z(yw - z^2) - w(xw - yz)) \cup \{[x : y : z : w] \in \mathbb{P}^3 : w = x = 0\}$ . This shows that  $\mathbb{V}(wy - x^2) \cap \mathbb{V}(wz - xy) \neq \overline{C}$ .

### Remark

*Homogenisation of an ideal is the ideal generated by the homogenisation of its elements.*

# Regular functions on a closed affine algebraic variety

## Theorem

Let  $V$  be an irreducible Zariski closed subset of  $\mathbb{A}^n$ . Then

$$\mathcal{O}_V(V) = \mathbb{C}[V].$$

## Proof.

- $\mathcal{O}_V(V) \supseteq \mathbb{C}[V]$ .
- $\mathcal{O}_V(V) \subseteq \mathbb{C}[V]$ .
  - Let  $g \in \mathcal{O}_V(V)$ . By definition every point  $p \in V$  has a neighbourhood  $U_p$  such that on  $U_p$   $g|_{U_p} = \frac{h}{k}$  where  $h, k \in \mathbb{C}[V]$  and  $k$  does not vanish on  $U_p$ .
  - By making  $U_p$  possibly smaller, we can assume that  $U_p$  is of the form  $D(f)$ .
  - We can do this for every  $p \in V$ , and cover it with open sets, but  $V$  is compact with respect to the Zariski topology. We deduce that .....



- On these finitely many open sets, we can write  $f$  as.....
- The  $\bigcap V(k_i) = \emptyset$ , therefore by Nullstellensatz....
- On  $D(f_i) \cap D(f_j)$ , we have  $g = \dots\dots\dots$ , therefore on  $\dots\dots\dots$  on entire  $V$ .
- On  $D(f_1)$ , we have  $g = g \cdot 1$ .
- If  $g, G$  are two regular functions and  $g = G$  in  $D(f_1)$ , then...

## Definition

Let  $Y \subseteq \mathbb{P}^n$ , a projective algebraic variety, and  $U \subseteq Y$  open. A function  $f : U \rightarrow \mathbb{C}$ , is called *regular at a point*  $p \in Y$ , if there is an open neighbourhood  $U' \subseteq U$ , and homogeneous polynomials  $g, h \in \mathbb{C}[x_1, \dots, x_n]$ , of the same degree, such that  $h(p) \neq 0$ , for any  $p \in U'$ , and  $f|_{U'}(p) = \frac{g(p)}{h(p)}$ . We say that  $f$  is *regular* on  $U$  if it is regular at every point of  $U$ . The set of regular functions on  $U \subseteq Y$  is denoted by  $\mathcal{O}_Y(U)$ .

## Definition

Let  $X, Y$  be two algebraic varieties (i.e., affine, quasi-affine, projective or quasi-projective). A morphism  $\varphi : X \longrightarrow Y$ , a map such that

- (a)  $\varphi$  is continuous;
- (b) For any for every open set  $U \subseteq Y$ , and for every regular function  $f \in \mathcal{O}_Y(U)$ ,  $\varphi^*(f) = f \circ \varphi \in \mathcal{O}_X(\varphi^{-1}(U))$ .

## Theorem

*Let  $X$  be an algebraic variety,  $Y \subseteq \mathbb{A}^n$  a closed affine algebraic variety, and  $\varphi : X \longrightarrow Y$  a map of sets. Then,  $\varphi = (\varphi_1, \dots, \varphi_n)$  is a morphism, if and only if, for all  $i$ ,  $\varphi_i \in \mathcal{O}_X(X)$ .*

## Question

*How do you compare this with the isomorphisms between closed affine algebraic varieties?*

# Global regular functions on projective varieties

## Theorem

*Let  $Y$  be an irreducible Zariski closed subset of  $\mathbb{P}^n$ . Then*

$$\mathcal{O}_Y(Y) = \mathbb{C}.$$

## Example

Let  $V = \mathbb{V}(xy - 1) \subseteq \mathbb{A}^2$ , and  $D(x) = \mathbb{A}^1 \setminus \{0\}$ . By definition the map

$$\begin{aligned}\psi : V &\longrightarrow D(x) \\ (x, y) &\longmapsto x,\end{aligned}$$

- $\psi$  is an isomorphism.
- $\mathcal{O}_V(D(x)) = \dots$ , since

- Any open subset  $D(f) \subseteq \mathbb{A}^n$  is isomorphic to a closed subset of  $\mathbb{A}^{n+1}$ .
- Any open subset  $D(f) \subseteq V = \mathbb{V}(g_1, \dots, g_\ell)$  is isomorphic to .....  $\subseteq \mathbb{A}^{n+1}$ .

## Obtaining $\mathbb{P}^1$ with gluing

We can construct  $\mathbb{P}^1$  by gluing two copies of  $\mathbb{A}^1$  along  $\mathbb{A}^1 \setminus \{0\}$ , by the map  $x \mapsto x^{-1}$ . We have,

- $\xi_0 : U_0 \longrightarrow X_0 := \xi_0(U_0)$ ,  $\xi_1 : U_1 \longrightarrow X_1 := \xi_1(U_1)$ , are isomorphism. (why?)
- $X_{01} := \xi_0(U_0 \cap U_1) \subseteq X_0$ .
- $X_{10} := \xi_1(U_1 \cap U_0) \subseteq X_1$ .
- $g_{01} := \xi_1 \circ \xi_0^{-1} : X_{01} \longrightarrow X_{10}$ ,  $x \mapsto y = x^{-1}$ .

Note that all these sets are open subsets of  $\mathbb{P}^1$  and isomorphic to closed affine algebraic varieties. We have

- $\mathbb{C}[X_0] = \mathcal{O}_{X_0}(X_0) = \mathbb{C}[x]$ ,
- $\mathbb{C}[X_1] = \dots\dots\dots$
- $\mathbb{C}[X_{01}] = \mathcal{O}_{X_0}(X_{01}) = \frac{\mathbb{C}[x, x']}{(xx' - 1)} \simeq \dots\dots\dots \supseteq \mathbb{C}[x]$ .
- $\mathbb{C}[X_{10}] = \mathcal{O}_{X_1}(X_{10}) = \dots\dots\dots \simeq \mathbb{C}[y, y^{-1}] \supseteq \mathbb{C}[y]$ .

We have now the isomorphism of  $\mathbb{C}$ -algebras induced by  $\varphi$  :

$$\begin{aligned} g_{01}^* : \mathbb{C}[X_{10}] &\longrightarrow \mathbb{C}[X_{01}] \\ f &\longmapsto f \circ g_{01} = f(y^{-1}) \\ y &\longmapsto x = y^{-1}. \end{aligned}$$

Therefore, we can also think of  $\mathbb{P}^1$  as  $X_0 \simeq \mathbb{A}^1$  and  $X_1 \simeq \mathbb{A}^1$ , where  $X_{01}$  and  $X_{10}$  are glued by the isomorphism  $g_{01}$ .

Let  $[x_0 : x_1 : x_2]$  denote the homogeneous coordinates of the space  $\mathbb{P}^2$ . It is covered by three coordinate charts:

- $U_0$  corresponding to  $x_0 \neq 0$ , with affine coordinates  $(\frac{x_1}{x_0}, \frac{x_2}{x_0}) = (a_1, a_2)$ .
- $U_1$  corresponding to  $x_1 \neq 0$ , with affine coordinates  $(\frac{x_0}{x_1}, \frac{x_2}{x_1}) = (a_1^{-1}, \dots\dots\dots)$ .
- $U_2$  corresponding to  $x_2 \neq 0$ , with affine coordinates  $(\frac{x_0}{x_2}, \frac{x_1}{x_2}) = (\dots\dots\dots, \dots\dots\dots)$ .



As before, let  $X_i = \xi_i(U_i)$ , and  $X_{ij} = \xi_i(U_i \cap U_j)$ . We have

- $\mathbb{C}[X_0] = \mathcal{O}_{X_0}(X_0) = \mathbb{C}[a_1, a_2]$ ,
- $\mathbb{C}[X_{01}] = \mathcal{O}_{X_0}(X_{01}) = \mathbb{C}[\dots, \dots, \dots]$ .

and Since on  $X_1$ ,  $a_1 \neq 0$ , we can write

$$\mathbb{C}[X_1] = \mathcal{O}_{X_1}(X_1) = \mathbb{C}[a_1^{-1}, a_1^{-1}a_2].$$

As a result,

$$\mathbb{C}[X_{10}] = \mathcal{O}_{X_{10}}(X_{10}) = \mathbb{C}[\dots, a_1^{-1}, a_1^{-1}a_2].$$

The isomorphism from  $X_{01} \longrightarrow X_{10}$  by

$$(a_1, a_2) \longmapsto [1 : a_1 : a_2] \longmapsto (1/a_1, a_2/a_1),$$

provides the information for gluing of  $X_{01} \simeq \mathbb{C}^* \times \mathbb{C}$  and  $X_{10} \simeq X_{01} \simeq \mathbb{C}^* \times \mathbb{C}$  and their corresponding coordinate rings. We can similarly understand the isomorphisms between other charts.

# Tangent spaces

## Definition (Tangent space)

If  $V = \mathbb{V}(I) = \mathbb{V}(f_1, \dots, f_k) \subseteq \mathbb{A}^n$ . For  $a \in V$ , we define the tangent space of  $V$  at  $a$ , denoted by  $T_a V$ , as

$$\begin{aligned} T_a V &= \left\{ v \in \mathbb{A}^n : \forall i, \frac{\partial f_i}{\partial v}(a) = \left( \frac{d}{d\lambda} f_i(a + \lambda v) \right) \Big|_{\lambda=0} = 0 \right\} \\ &= \left\{ v \in \mathbb{A}^n : \forall f \in I, \lambda \mapsto f(a + \lambda v) \text{ has order } \geq 2 \right\} \\ &= \left\{ v \in \mathbb{A}^n : \begin{pmatrix} \frac{\partial f_1}{\partial x_1}(a) & \cdots & \frac{\partial f_1}{\partial x_n}(a) \\ \vdots & \ddots & \vdots \\ \frac{\partial f_k}{\partial x_1}(a) & \cdots & \frac{\partial f_k}{\partial x_n}(a) \end{pmatrix} v = (0, \dots, 0) \in \mathbb{A}^k \right\} \\ &= \left\{ v \in \mathbb{A}^n : \begin{pmatrix} \nabla f_1(a) \\ \vdots \\ \nabla f_k(a) \end{pmatrix} v = (0, \dots, 0) \in \mathbb{A}^k \right\}. \end{aligned}$$

## Example

- $V = \mathbb{V}(x^2 + y^2 - z^3) \subseteq \mathbb{A}^3$ .
- $C = \mathbb{V}(y^2 - x^2(x + 1)) \subseteq \mathbb{A}^2$ .

# Smoothness

## Definition

Assume that  $I \subseteq \mathbb{C}[x_1, \dots, x_n]$ , is a radical ideal. Choose the generators  $I = (f_1, \dots, f_k)$ . Then the closed affine algebraic subvariety  $V = \mathbb{V}(I) \subseteq \mathbb{A}^n$  is *smooth* of dimension  $d$  at  $x \in V$ , if

$$\dim(T_x V) = d.$$

## Definition (Smooth Variety)

Let  $X$  be a variety (affine, quasi-affine, projective, quasi-projective). Then  $X$  is said to be *smooth of dimension  $d$* , if for all  $a \in X$ , .....  $X \supseteq U \ni a$ , which is isomorphic to a smooth .....

# Smooth + Connected $\implies$ Irreducible

We state the following without proof:

## Theorem (Smoothness implies Irreducibility)

*If  $X$  is a connected smooth variety of dimension  $d$ , then  $X$  is irreducible and  $\dim(X) = d$  (as a topological space).*

## Intrinsic definition

Let  $A := \mathbb{C}[x_1, \dots, x_n]$ ,  $I = \mathbb{I}(V) \subseteq A$ , a radical ideal, and for a point  $a = (a_1, \dots, a_n) \in V \subseteq \mathbb{A}^n$ , denote by  $\mathfrak{m}_a \subseteq A$ , the ideal corresponding to  $a \in \mathbb{A}^n$ , and  $\bar{\mathfrak{m}} := \bar{\mathfrak{m}}_a \subseteq \mathbb{C}[V] = \frac{A}{\mathbb{I}(V)} \simeq \mathcal{O}_V(V)$ , the ideal corresponding to  $a \in V$ . For any vector space  $V$ , and subspace  $W \subseteq V$ , denote its dual subspace by  $W^\vee$ . We will show that

- $\mathfrak{m}_a/\mathfrak{m}_a^2$  as a  $\mathbb{C}$ -vector space, can be identified with the dual of  $(T_a\mathbb{A}^n)$ .
- $\bar{\mathfrak{m}}_a/\bar{\mathfrak{m}}_a^2$  as a  $\mathbb{C}$ -vector space can be identified with the dual of  $(T_aV)$ .

### Question

*What is the (linear) dual of a vector space  $V$ ?*

# Frame Title

## Lemma

$\langle \cdot, \cdot \rangle$  is bilinear and induces a perfect pairing

$$\langle \cdot, \cdot \rangle : \mathfrak{m}_a / \mathfrak{m}_a^2 \times T_a \mathbb{A}^n \longrightarrow \mathbb{C},$$

of  $\mathbb{C}$ -vector spaces, i.e., each side can be identified with the dual of the other side.

**Proof.** By a translation, we can assume that  $a = 0$ .

- (a) Note that  $T_a \mathbb{A}^n = \mathbb{A}^n$  is as a  $\mathbb{C}$ -vector space.
- (b) The dual  $T_a(\mathbb{A}^n)^\vee$  is, by definition, the set of linear functions  $f : T_a(\mathbb{A}^n) \longrightarrow \mathbb{C}$ .
- (c)  $\langle \cdot, \cdot \rangle$  defines a linear map  $\Psi : \mathfrak{m}_a \longrightarrow T_a(\mathbb{A}^n)^\vee$ , given by

$$f \longrightarrow \langle f, \cdot \rangle.$$

## Frame Title

$$\Psi(f) = \langle f, \cdot \rangle : T_a \mathbb{A}^n \longrightarrow \mathbb{C}$$

$$v \longmapsto \langle f, v \rangle = \frac{\partial f}{\partial v}(a).$$

is linear.

- (d) We have  $\ker(\Psi) = \mathfrak{m}_a^2$ . Since, we can write any polynomial, as its Taylor expansion at  $a = 0$  :

$f = f(a) + \sum b_i x_i + (\text{higher degree terms})$ . If  $f \in \mathfrak{m}_a$

$f(a) = \dots$ , and  $\nabla f(a) = (\dots)$ , and  $\mathfrak{m}_a^2 \dots$

- (e) The induced map  $\overline{\Psi} : \mathfrak{m}_a / \mathfrak{m}_a^2 \longrightarrow T_a \mathbb{A}^n$  is injective. As a  $\mathbb{C}$ -vector space,  $\mathfrak{m}_a / \mathfrak{m}_a^2$  is  $n$ -dimensional and is spanned by  $\{x_1, \dots, x_n\}$  hence



# Blowing up

Goal: Start from a variety  $V$  which is possibly singular. Apply a procedure to obtain another variety which is isomorphic to  $V$  on an open dense subset but less singular.

## Definition

A morphism  $\pi : X \longrightarrow V$ , of varieties is called a *birational morphism* if there are open dense subsets  $A \subseteq X$  and  $B \subseteq V$ , such that  $\pi|_A : A \longrightarrow B$  is an isomorphism of algebraic varieties.

## Example

- $y^2 - x^2(x - 1) = 0$ . Take every point  $(x, y) \in \mathbb{A}^2$  to  $(x, y, \frac{y}{x})$ .
- What happens to  $\mathbb{A}^2$  after applying this map?
- What is the variety  $\mathbb{V}(y = ux) \subseteq \mathbb{A}^3$ ?

## Examples continued

- $\mathbb{V}(y^2 - x^2(x - 1))$  in  $\mathbb{A}^3$ ?
- (Total transform) What is the intersection  $\mathbb{V}(y^2 - x^2(x - 1)) \cap \mathbb{V}(y = ux) \subseteq \mathbb{A}^3$ ?
- (Blow up) What is the intersection  $\mathbb{V}(y^2 - x^2(x - 1)) \cap \mathbb{V}(y = ux) \subseteq \mathbb{A}^3$  after we remove the  $u$  axis?