# From Modal $\mu$ -Calculus to Alternating Tree Automata using Parity Games

Automata, Logics and Infinite games

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#### Outline

- Preliminaries
- Model Checking
- μ-Calculus
- Syntax & Semantics
- ullet Kripke Model  $(\mathcal{K})$
- Correspondance:  $(L_{\mu} \equiv L_{A})$
- $\varphi \in L_{\mu} \rightsquigarrow \mathcal{A}(\varphi)$
- $L_{\mathcal{A}(\varphi)} \leadsto \mathcal{G} = (\mathcal{A}(\varphi), \mathcal{K}, s_l)$
- Completeness Proof
- Complexity Issues
- Solving Parity by reduction to SAT
- Appendix



#### Motivation

- Complexity Results.
- Model Checking Problem Reduction to Acceptance Problem.
- Satisfiability in  $\mu$ -Calculus to Emptiness Problem.
- Easy Completeness Proof.
- The advantage of the automaton model is its ability to deal with arbitrary branching in a much simpler way as compare to the one proposed by Janin and Walukiewicz [Panagiotis 2000].

#### **Preliminaries**

A Transitionsystem (i.e., KripkeStructure) over  $\mathcal{P}$  is a tripe  $\mathcal{K}=(S,R,\lambda)$  where

#### Kripke Structure K:

- $\mathcal{P}$  be a set of atomic propositions (properties) and for any propositional interpretation  $\mathcal{I}: \mathcal{P} \to \{\textit{true}, \textit{false}\}.$
- ullet S is a set called **states** (worlds), universe of  ${\cal K}$ ,
- $R \subseteq S \times S$  is a transition relation and
- $\lambda: S \to 2^{\mathcal{P}}$  is a mapping (i.e.,  $\lambda(s_i) = p_i$  for every  $p_i \in \mathcal{P}$ ).  $\lambda(s_i) = p_i$  if  $p_i$  is true in  $s_i$  and  $\neg p_i$  if  $p_i$  is false in  $s_i$ .

 $\lambda: S \to 2^{\mathcal{P}}$  regards transition systems as labeled directed graphs. For every  $s \in S$  , we denote

$$sR = \{s' \in S | (s, s') \in R\}, Rs = \{s' \in S | (s', s) \in R\}$$



# Alternating Tree Automaton

An alternating tree automata is a device which accepts or rejects pointed transition systems by parsing the paths.

## Alternating Tree Automata(A):

An alternating tree is a tuple  $\mathcal{A} = \{Q, q_I, \delta, \Omega\}$  where

- ullet Q is a finite set of states of the automaton,
- $q_I \in Q$  is a state called the initial state,
- $\delta: Q \to TC^Q$  is a transition function which maps every state  $q \in Q$  to a transition condition TC where all the transition conditions TC over Q are defined by:
  - 0 and 1 are transition conditions over Q.
  - ▶  $p, \neg p$  are transition conditions over Q, for every  $p \in \mathcal{P}$ .
  - ▶ q,  $\Box q$ ,  $\Diamond q$  are transition conditions over Q, for every  $q \in Q$ .
  - ▶  $q_1 \land q_2, q_1 \lor q_2$  are transition conditions over Q, for every  $q_1, q_2 \in Q$ .
- $\Omega: Q \to \omega$  is called priority function (coloring function) which assigns color to states of  $\mathcal{A}$ .

## Alternating Tree Automata

#### Word Problem

The word problem is to decide whether a given alternating tree automaton  $\mathcal{A} = \{Q, q_I, \delta, \Omega\}$  accepts a given finite pointed transition system  $(\mathcal{K}, s_I)$ .

#### **Emptiness Problem**

The emptiness problem is to show that an alternating tree automaton  $\mathcal{A}=\{Q,q_I,\delta,\Omega\}$  accepts if  $\mathcal{A}$  accepts at least one transition system (i.e.,  $\mathcal{K}$ ).

A parity game is infinite two-person games on directed graphs along with winning play strategies for a certain player [Rene 2002].

#### Game G:

A game is composed of an arena and a winding condition. Let  $\mathcal A$  be an arena then the pair  $\mathcal G=(\mathcal A, \mathit{Win})$  is called a **game** where  $\mathit{Win}\subseteq V^\omega$  is a winning set where  $\omega$  is infinite supply of intergers.

#### Arena A:

An arena is a triple

$$\mathcal{A}=(V_0,V_1,E)$$

#### Play $\pi$ :

We define a play in the arena  $\mathcal{A}$  as followed:

- a finite play  $\pi = v_0 v_1 \dots v_l \in V^+$  with  $v_{i+1} \in v_i E$  for all i < l and  $v_l E = \emptyset$  represents a dead-end, a prefix of this finite play is  $\rho(\pi) = v_0 v_1 \dots v_k$  for  $k \le l$ .
- an infinite play  $\pi = v_0 v_1 \dots v_l \in V^{\omega}$  with  $v_{i+1} \in v_i E$  for all  $i \in \omega$ , a prefix for this infinite play is  $\rho(\pi) = v_0 v_1 \dots v_k$  for  $k \ge 0$

#### Winning Set

To define the winning conditions for Players (Player 0, Player 1) are as followed:

Player  $\sigma$  is declared the winner of a play  $\pi$  in the game  ${\cal G}$  iff

- $\pi$  is a finite play  $\pi = v_0 v_1 \dots v_l \in V^+$  and  $v_l$  is a  $\bar{\sigma}$ -Vertex where Player  $\bar{\sigma}$  can not move anymore (i.e.,  $v_l$  is a dead-end,  $v_l E = \emptyset$ ) or
- $\pi$  is an infinite play and  $\pi \in \mathit{Win}$ .

Conversely, Player  $\bar{\sigma}$  wins play  $\pi$  if Player  $\sigma$  does not win  $\pi$ .

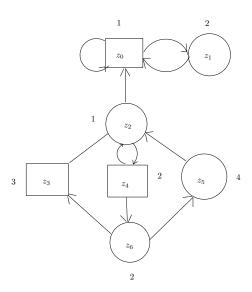
#### Coloring Function

The coloring function  $\chi:V\to C$  color vertices of arena  $\mathcal A$  where C is a finite set of colors (priorities)(i.e,  $C\subseteq\mathbb N$ ) and it extends to an infinite play  $\pi=v_0v_1\ldots$  as  $\chi(\pi)=\chi(v_0)\chi(v_1)\ldots$ 

Let Win is an acceptance condition for an automaton then  $W_{\chi}(Win)$  is the winning set consisting of all infinite plays  $\pi$  where  $\chi(\pi)$  is accepted according to Win.

- Parity conditions or Colour set C is a finite subset of integers and  $Inf(\chi(\pi))$  be the set of colors that occurs infinitely often in  $\chi(\pi)$  then for,
- Max-parity condition:  $\pi \in W_\chi(\mathit{Win})$  iff  $\mathit{max}(\mathit{Inf}(\chi(\pi)))$  is even.
- Min-parity condition:  $\pi \in W_{\chi}(Win)$  iff  $min(Inf(\chi(\pi)))$  is even.

# Example Game



#### Example:

Let (G) = (A, Win) where  $A = (V_0, V_1, E)$  such that  $V_0 = \{z_1, z_2, z_5, z_5\}$ (circles),  $V_1 = \{z_0, z_3, z_4\}$  (squares), Coloring set  $C = \{1, 2, 3, 4\}$  and  $\chi(z_4) = 2$  as shows in figure; winning set of condition  $Win = \{\{1, 2\}, \{1, 2, 3, 4\}\}$ . In a possible infinite play in this is  $\pi = z_6 z_3 z_2 z_4 z_2 z_4 z_6 z z_5 (z_2 z_4)^{\omega}$ . According to Muller acceptance condition (i.e.,  $\pi \in W_{\gamma}(Win)$  iff  $Inf(\chi(\pi)) \in \mathcal{A}$ ) this play  $\pi$  is winning for Player 0 because  $\chi(\pi) = 23121224(12)^{\omega}$  where  $Inf(\chi(\pi)) = \{1, 2\} \in Win$ . For play  $\pi' = (z_2 z_4 z_6 z_3)^{\omega}$  yields  $\chi(\pi') = (1223)^{\omega}$  and  $Inf(\chi(\pi')) = \{1,2,3\} \notin Win$ , hence  $\pi'$  is winning for Player 1. Regarding parity conditions this play is a loss for player 0 because  $min(Inf(\chi(\pi)) = \{1\})$  is odd., hence a win for the opponent.

The set  $L_{\mu}$  is a set of inductively defined modal  $\mu$ -Calculus formulas:

- $\perp$ ,  $\top \in L_{\mu}$ .
- For every atomic proposition  $p \in \mathcal{P}$ ;  $p, \neg p \in L_{\mu}$ .
- If  $\varphi, \psi \in L_{\mu}$ , then  $\varphi \circ \psi \in L_{\mu}$  where  $\circ \in \{\lor, \land\}$ .
- If  $\varphi \in L_{\mu}$ , then  $\Box \varphi, \Diamond \varphi \in L_{\mu}$ .
- If  $p \in \mathcal{P}$ ,  $\varphi \in L_{\mu}$ , and p occurs only positively in  $\varphi$  then  $\mu p \varphi, \nu p \varphi \in L_{\mu}$ .

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e.g a:- 
$$(\varphi \in L_{\mu})$$
  
 $\varphi = \frac{\nu p_1(\mu p_2(p \vee \Diamond p_2) \wedge \Box p_1)}{(\mu p_2(p \vee \Diamond p_2) \wedge \Box p_1)}$ 

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 $\psi = \mu p_1((p_2 \wedge p_0) \vee p_1)$ 

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$$\varphi = \nu p_2(\lozenge \psi)$$

# Model Checking

#### Model checking in $\mu$ -Calculus

Given a finite rooted Kripke structure  $(\mathcal{K}, s_l)$  and an  $L_{\mu}$  formula  $\varphi$ , determine whether  $(\mathcal{K}, s_l) \models \varphi$ .

#### Satisfiability in $\mu$ -Calculus

Given an  $L_{\mu}$  formula  $\varphi$ , determine whether there *exists* a pointed Kripke structure  $(\mathcal{K}, s_l)$  such that  $\mathcal{K} \models \varphi$ .

The set  $free(\varphi)$  of free variables of an  $L_{\mu}$  formula  $\varphi$  is defined inductively as follows:

## Free variable set for $(\varphi \in L_{\mu})$

- $free(\bot) = free(\top) = \emptyset$ ,
- $free(p) = free(\neg p) = \{p\},$
- $free(\varphi \circ \psi) = free(\varphi) \cup free(\psi)$ } where  $\circ \in \{\land, \lor\}$ ,
- $free(\Box \varphi) = free(\Diamond \varphi) = free(\varphi)$ ,
- $free(\mu p\varphi) = free(\nu p\varphi) = free(\varphi)/\{p\}.$

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e.g a:- 
$$(\varphi \in L_{\mu})$$
  
 $\varphi = \nu p_1(\mu p_2(p \vee \Diamond p_2) \wedge \Box p_1).$ 

For every formula  $\varphi \in L_{\mu}$ , subformula of  $\varphi$  defined as follows:

# Subformula(s) of $(\varphi \in L_{\mu})$

- $\varphi$  is subformula of  $\varphi \in L_{\mu}$ ,
- $\varphi, \psi$  is subformula of  $\varphi \circ \psi \in L_{\mu}$  where  $\circ \in \{\land, \lor\}$ ,
- $\varphi$  is subformula of  $\Box \varphi, \Diamond \varphi, \mu p \varphi, \nu p \varphi \in L_{\mu}$ .

A Transitionsystem (i.e., KripkeStructure) over  $\mathcal{P}$  is a tripe  $\mathcal{K}=(S,R,\lambda)$  where

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 $\lambda: S \to 2^{\mathcal{P}}$  regards transition systems as labeled directed graphs. For every  $s \in S$  , we denote

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The formulas of modal  $\mu$ -Calculus are interpreted in Kripke structures  $\mathcal K$  such that for every Kripke structure  $\mathcal K$  and every  $\varphi \in L_{\mu}$ , where  $\kappa: \mathcal P \to 2^{\mathcal S}$  is defined as:

# Semantics of $\mu$ -Calculus $L_{\mu}$

- $||\bot||_{\mathcal{K}} = \emptyset$ ,  $||\top||_{\mathcal{K}} = S$ ,
- $||p||_{\mathcal{K}} = \kappa(p)$ ,  $||\neg p||_{\mathcal{K}} = S/\kappa(p)$ ,
- $||\varphi_1 \vee \varphi_2||_{\mathcal{K}} = ||\varphi_1||_{\mathcal{K}} \cup ||\varphi_2||_{\mathcal{K}}$ ,
- $||\varphi_1 \wedge \varphi_2||_{\mathcal{K}} = ||\varphi_1||_{\mathcal{K}} \cap ||\varphi_2||_{\mathcal{K}}$ ,
- $||\Box \varphi||_{\mathcal{K}} = \{s \in S | sR \subseteq ||\varphi||_{\mathcal{K}}\},$
- $||\Diamond \varphi||_{\mathcal{K}} = \{ s \in S | sR \cap ||\varphi||_{\mathcal{K}} \neq \emptyset \}.$

#### Semantics of Fixed-point operators (i.e., $\mu, \nu$ )

For a set of states  $S' \subseteq S$  and  $\mathcal{K}[p \mapsto S']$  denoted as followed:

$$\mathcal{K}[p\mapsto S']=(S,E,\kappa[p\mapsto S'])$$

where  $\kappa[p \mapsto S']$  is given as followed:

$$\mathcal{K}[p \mapsto S']p' = \begin{cases} S' & \text{if } p' = p \\ \kappa(p) & \text{if } p' \neq p \end{cases}$$

The semantics of the fixed-point operators is now defined as:

# Semantics of $\mu$ -Calculus $L_{\mu}$

- $||\mu p\varphi||_{\mathcal{K}} = \bigcap \{S' \subseteq S | ||\varphi||_{\mathcal{K}[p\mapsto S']} \subseteq S'\}$
- $||\nu p\varphi||_{\mathcal{K}} = \bigcup \{S' \subseteq S | ||\varphi||_{\mathcal{K}[p\mapsto S']} \supseteq S'\}$

## Pointed transition system $(K, s_I)$

A pointed transition system (i.e, a rooted kripke structure) is a pair  $(\mathcal{K}, s_l)$  in a transition system  $\mathcal{K} = (S, R, \kappa)$  with an initial state  $s_l \in S$  [Daniel 2002].

- A pointed Kripke structure  $(K, s_I)$  is a model of  $\varphi \in L_\mu$ , denoted by  $K \models \varphi$  if  $s_I \in ||\varphi||_K$ .
- Aditionally,  $\varphi \equiv \psi$  if for all Kripke models  $(\mathcal{K}, s_I)$ , we have  $(\mathcal{K}, s_I) \models \varphi$  iff  $(\mathcal{K}, s_I) \models \psi$ .

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#### Theorem:

Let  $\varphi$  be an arbitrary  $L_{\mu}$  formula. Then  $\varphi$  and  $\mathcal{A}(\varphi)$  are equivalent, that is:

$$||\varphi|| = ||\mathcal{A}(\varphi)||.$$

# Correspondance: $(L_{\mu} \equiv L_{\mathcal{A}})$

Consider an alternating tree automaton  $\mathcal{A} = (Q, q_I, \delta, \Omega)$  and a  $\varphi \in L_\mu$  formula then alternating tree automaton  $\mathcal{A}(\varphi)$  is defined by:

## Translation $(\varphi \in L_{\mu} \rightsquigarrow \mathcal{A}(\varphi))$

- Q is the set which contains for each subformula  $\psi$  of  $\varphi$  (including  $\varphi$  itself), a state denoted by  $\langle \psi \rangle$ ,
- the initial state is given by  $q_I = \langle \varphi \rangle$ .
- $\delta(\langle \bot \rangle) = 0$ ,
- $\delta(\langle \top \rangle) = 1$ ,
- $\bullet \ \delta(\langle p \rangle) = \left\{ \begin{array}{cc} p & \textit{if } p \in \textit{free}(\varphi) \\ \langle \varphi_p \rangle & \textit{if } p \not \in \textit{free}(\varphi) \end{array} \right.$
- $\bullet$   $\delta(\langle \neg p \rangle) = \neg p$  ,
- $\delta(\langle \psi_1 \wedge \psi_2 \rangle) = \langle \psi_1 \rangle \wedge \langle \psi_2 \rangle$ ,  $\delta(\langle \psi_1 \vee \langle \psi_2 \rangle) = \langle \psi_1 \rangle \vee \langle \psi_2 \rangle$ ,

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## Translation $(\varphi \in L_{\mu} \rightsquigarrow \mathcal{A}(\varphi))$

- $\delta(\langle \Diamond \psi \rangle) = \Diamond \langle \psi \rangle$ ,
- $\delta(\langle \Box \psi \rangle) = \Box \langle \psi \rangle$ ,
- $\delta(\langle \mu p \psi \rangle) = \langle \psi \rangle$ ,
- $\delta(\langle \nu p \psi \rangle) = \langle \psi \rangle$ .

#### Ω

- $\Omega=$  The smallest odd number greater or equal to  $lpha(\psi)$  1 where  $\psi\in\mathcal{F}_{\mu}$  ,
- $\Omega=$  The smallest even number greater or equal to  $\alpha(\psi)$  1 where  $\psi\in\mathcal{F}_{
  u}$  ,
- $\Omega = 0$  for  $\psi \notin \mathcal{F}_{\nu}$ .



$$L_{\mathcal{A}(\varphi)} \leadsto \mathcal{G} = (\mathcal{A}(\varphi), \mathcal{K}, s_l)$$

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A vertex  $v = (\langle \psi \rangle, s)$  belongs to Player 0 iff

- $\psi = \bot$ ,
- $\psi = p$ ,  $p \in free(\varphi)$ ,  $s \notin \kappa(p)$ ,
- $\psi = \neg p$ ,  $p \in free(\varphi)$ ,  $s \in \kappa(p)$ ,
- $\psi = p$ ,  $p \notin free(\varphi)$ ,
- $\psi = \eta p \psi'$  where  $\eta \in \{\mu, \nu\}$ ,
- $\psi = \psi_1 \lor \psi_2$  for some  $\psi_1, \psi_2 \in L_\mu$ ,
- $\psi = \Diamond \psi'$ .

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A vertex  $v = (\langle \psi \rangle, s)$  belongs to Player 1 iff

- $\bullet$   $\psi = \top$ ,
- $\psi = p$ ,  $p \in free(\varphi)$ ,  $s \in \lambda(s)$ ,
- $\psi = \neg p$ ,  $p \in free(\varphi)$ ,  $s \notin \lambda(s)$ ,
- $\psi = p$ ,  $p \in free(\varphi)$ ,
- $\psi = \psi_1 \wedge \psi_2$  for some  $\psi_1, \psi_2 \in L_\mu$ ,
- $\psi = \Box \psi'$ .

In parity Game G, the edge relation  $E^{G}$  is defined as:

$$\mathsf{E}^{\mathcal{G}} = \left\{ \begin{array}{ll} \{(\langle \psi' \rangle, s) | \langle \psi' \rangle \in \delta(\langle \psi \rangle)\} & \text{if } \psi \neq \Diamond \psi', \square \psi' \\ \{(\langle \psi' \rangle, s') | \langle \psi' \rangle \in \delta(\langle \psi \rangle), s' \in sR\} & \text{if } \psi = \Diamond \psi', \square \psi' \end{array} \right.$$

For  $\mu$ -formula the priority is odd and for a  $\nu$ -formula priority is even.

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#### Model Checking Problem Reduction to Acceptance Problem

The alternating tree automaton  $\mathcal{B}$  accepts  $(\mathcal{K}, s_I)$  if and only if Player 0 has a winning strategy in the parity game  $\mathcal{G}(\mathcal{T}) = (\mathcal{K}, \mathcal{B}, s_I)$  (i.e.,  $\mathcal{T} = (V_0, V_1, q_I \times s_I, \Omega)$ ).

## Satisfiability in $\mu$ -Calculus to Emptiness Problem

The automaton C accepts a pointed Kripke structure  $(K, s_I)$  if and only if Player 0 wins the game T.

## Completeness of Translation

#### Completeness Theorem:

Let  $\varphi$  be an arbitrary  $L_{\mu}$  formula. Then for every pointed transition system  $(\mathcal{K}, s)$  the following holds:

$$(\mathcal{K},s)\models arphi$$
 iff  $(\mathcal{K},s)\in \mathit{L}(\mathcal{A}(arphi))$ 

## Complexity Bounds

The Model-Checking problem for  $\mu$ -Calculus, is solvable in time:

$$\mathcal{O}(\ln(\frac{2nkn}{b})^{\lfloor b/2 \rfloor})$$

where k is the number of worlds of the Kripke structure, l is the size of accessability relation, n is the number of subformulas and b is the alternation depth.

#### Complexity Class:

The model checking is in  $UP \cap co$ -UP.

In complexity theory, UP ("Unambiguous Non-deterministic Polynomial-time") is the complexity class of decision problems solvable in polynomial time on a non-deterministic Turing machine with at most one accepting path for each input. UP contains P and is contained in NP.

## **Complexity Bounds**

#### Complexity Class

The satisfiability of  $\mu$ -Calculus is in **EXPTIME**.

#### Motivation

Solve parity games (encoding winning strategy) as a reduciton to SAT [Martin 2005].

- Comparision with other techniques for parity games like Omega, as well as model cherks for the modal  $\mu$ -calculus like SMV.
- Reduction of Parity to decide fragment of SAT to check whether it can be proved in polynomial time.

#### **THANKS**

## Completeness of Translation

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### Proof:

✓ Case  $(\varphi = \top)$ : Clearly, every Kripke structure  $(\mathcal{K}, s_I)$  is a model of  $\varphi$ . Thus every pointed transition system is accepted by  $\mathcal{A}(\varphi)$ . The initial state of game  $\mathcal{G}(\mathcal{A}(\varphi), \mathcal{K}, s_I)$  is a *Vertex* − 1 and is dead-end. Hence, every game in this play is won by Player 0.

### Proof:

 $\checkmark$  Case  $(\varphi = \top)$ : : Clearly, every Kripke structure  $(\mathcal{K}, s_I)$  is a model of  $\varphi$ . Thus every pointed transition system is accepted by  $\mathcal{A}(\varphi)$ . The initial state of game  $\mathcal{G}(\mathcal{A}(\varphi), \mathcal{K}, s_I)$  is a Vertex - 1 and is dead-end. Hence, every game in this play is won by Player 0.

? Case  $(\varphi = \bot)$ :

- ✓ Case (φ = ⊤): : Clearly, every Kripke structure  $(K, s_I)$  is a model of φ. Thus every pointed transition system is accepted by A(φ). The initial state of game  $\mathcal{G}(A(φ), K, s_I)$  is a Vertex 1 and is dead-end. Hence, every game in this play is won by Player 0.
- $\checkmark$  Case  $(\varphi = \bot)$ : Then for the complement case  $(\mathcal{K}, s) \not\models \bot$  and from proposition 1 it follows that automata  $\mathcal{A}$  does not contain any succeeding run for  $\varphi = \bot$  (i.e.,  $(\mathcal{K}, s) \not\in L(\mathcal{A}(\varphi))$ .

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### For Further Reading

Martin Lange. 2005
Solving Parity Games by reduction to SAT.

Julia Zappe. 2002

Automata, Logics, and Infinite Games: Lecture Notes in Computer Science, "Modal  $\mu$ -Calculus and Alternating Tree Automata"

Julia Zappe. 2002

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Rene Mazala. 2002

Automata, Logics, and Infinite Games: Lecture Notes in Computer Science, "Infinite Games"

Daniel Kirsten. 2002

Automata, Logics, and Infinite Games: Lecture Notes in Computer Science, "Alternating Tree Automata and Parity Games"

Thomas Wilke. 2002

For an arbitrary formula  $\varphi \in L_{\mu}$ , its alternation depth  $\alpha(\varphi) : L_{\mu} \to \mathbb{N}$  is function defined inductively:

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### Tarski-Knaster Fix-point Theorem

Let  $f: L \to L$  be a monotonic function on a complete lattice  $(L, \leq, \sqcup, \sqcap)$  then for  $A = \{y | f(y) \leq y\}$ ,  $x = \sqcap A$  is the *least fixed point* of f.

### Example

Given a set S, the power set of S, (i.e.,  $\mathcal{P}(S)$ ) is  $(\mathcal{P}(S), \subseteq)$  is a lattice. For a given set  $A \subseteq \mathcal{P}(S)$  of subsets such that maximal set  $\Box A = \bigcup_{S' \in A} S'$  and minimal set  $\Box A = \bigcap_{S' \in A} S'$ :

$$\sqcup A = \{ \bigcup_{S' \in A} S' | A \supseteq \mathcal{P}(S') \}$$

$$\sqcap A = \{\bigcap_{S' \in A} S' | A \subseteq \mathcal{P}(S')\}$$